

Motivational nondirective resonance breathing versus transcutaneous vagus nerve stimulation in the treatment of fibromyalgia: study protocol for a randomized controlled trial

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Study protocol

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Abstract

Background

Chronic widespread pain (CWP), including fibromyalgia (FM), affects one in every ten adults and is one of the leading causes of sick leave and emotional distress. Due to an unclear etiology and a complex pathophysiology, FM is a condition with few, if any, effective and safe treatments. However, current research within the field of vagal nerve innervation suggests psychophysiological and electrical means by which FM may be treated. This study will investigate the efficacy of two different non-invasive vagal nerve stimulation techniques for the treatment of FM.

Methods

The study will use a randomized, single blind, sham-controlled design to investigate the treatment efficacy of motivational nondirective resonance breathing (MNRBTM) and transcutaneous vagus nerve stimulation (Nemos® tVNS) on patients diagnosed with FM. Consenting FM patients (N=112) who are referred to the Department of Pain Management and Research at Oslo University Hospital, in Oslo, Norway, will be randomized into one of four independent groups. Half of these participants (N=56) will be randomized to either an experimental tVNS group or a sham tVNS group. The other half (N=56) will be randomized to either an experimental MNRB group or a sham MNRB group. Both active and sham treatment interventions will be delivered twice per day at home, 15 min/morning and 15 min/evening, for a total duration of 2 weeks (14 days). Participants are invited to the clinic twice, once for pre- and once for post-intervention data collection. The primary outcome is changes in photoplethysmography measured heart rate variability. Secondary outcomes include self-reported pain intensity on a numeric rating scale, changes in pain detection threshold, pain tolerance threshold, and pain-pressure limit determined by computerized pressure cuff algometry, blood pressure, and health related quality of life.

Discussion

The described randomized controlled trial aims to compare the efficacy of two vagal nerve innervation interventions, MNRB and tVNS, on heart rate variability and pain intensity in patients suffering from FM. This project tests a new and potentially effective means of treating a major public and global health concern where prevalence is high, disability is severe, and treatment options are limited.

Trial registration

ClinicalTrials.gov Identifier: NCT03180554; Date of Registration: 08/06/2017

Background

CWP, including FM, is one of the most difficult chronic pain conditions to successfully treat [1]. CWP is characterized by long-lasting pain that persists for longer than 3 months in multiple regions of the body and is commonly associated with a variety of psychophysiological symptoms such as fatigue, cognitive

impairments, and psychological distress [2]. Available CWP/FM treatments provide only modest improvements in pain and minimum improvements in both physical and emotional functioning [3]. Opioids fail to alleviate pain intensity and function [4] and cause a myriad of adverse side effects [5] while complimentary and alternative treatments have only weak to moderate effect sizes for treating CWP [6]. However, vagal nerve innervation may provide us with innovative and successful opportunities to target the complex psychophysiological framework of FM.

Methods/design

Aim

In line with current recommendations [7, 8], the primary aim of this protocol article is to

describe the design of a randomized controlled clinical trial investigating the effects of motivational nondirective resonance breathing (MNRB) and transcutaneous vagus nerve stimulation (tVNS) on photoplethysmography (PPG) measured heart rate variability (HRV) in patients diagnosed with FM. Secondary outcomes are changes in self report numeric rating scale (NRS) pain intensity, pain detection threshold (PDT), pain tolerance threshold (PTT), and pressure-pain limit (PPL) determined by computerized cuff-pressure algometry (CPA), blood pressure (BP) as well as health related quality of life. The principal objective is to explore the following four research questions:

- Does a standardized sham-controlled tVNS intervention and/or MNRB intervention have effects upon PPG- measured HRV?
- Is a substantial change in HRV associated with a significant change of self-report NRS pain intensity?
- Is a substantial change in HRV associated with a change in computerized cuff pressure algometry PDT, PTT, and/or PPL?
- Are there changes in BP following either an active or sham tVNS intervention and/or a MNRB intervention?
- Are there effects on health related quality of life and behavior following either an active or sham tVNS intervention and/or a MNRB intervention?

Design

This study will use a single-blind randomized controlled experimental design which will be

reported according to the CONSORT statement [9] and the Guidelines for Reporting Articles on Psychiatry and Heart rate variability (GRAPH) [10] in order to expedite translational research efforts, improve research methods, replication, and peer-review [11] (Table 3). A total of N=112 consenting FM patients will be consecutively recruited and randomized from the Department of Pain Management and Research at Oslo University Hospital, Ullevål, in Oslo, Norway, during the Summer and Fall of 2019. Participants will be randomized to either an experimental tVNS group, a sham tVNS group, an experimental MNRB group,

or a sham MNRB group. Both active and sham treatment interventions will be delivered at home, twice a day, for 15 min in the morning and for 15 min in the evening, for a total duration of 2 weeks (14 days). Treatment adherence to both interventions will be monitored electronically through a portable Android device and from a Daily Treatment Journal. An 80 % completion of tVNS stimulation and MNRB training (a completion of 23 treatment sessions out of a total 28) will be regarded as adequate adherence in this project. Participants are invited to the clinic twice for pre- and post-intervention data collection. An overview of the participant selection, study design, and study flow is illustrated in Figure 1.

Covariates

Sociodemographic characteristics included which can have a significant impact upon HRV include age [12], sex [13], body mass index (BMI), waist-to-hip ratio (WHR), physical activity levels [14], usual sleeping habits and hours slept prior to clinical visitation, meal consumption within two hours prior to clinical visitation, oral contraceptive use for women, habitual levels of alcohol [15], nicotine [16], and caffeine intake. Cardiovascular diseases [17], psychophysiological disorders such as depression and anxiety [18], as well as various cardioactive medications have a considerable impact upon HRV and pain and will be accounted for. In addition, antidepressant classes (e.g., tricyclics) [19], some antipsychotic classes (e.g., clozapine) [20], benzodiazepines [21], antihypertensives [22], some types of statins, as well as some prescription pain medication use may significantly effect HRV and will be recorded. Non-prescription pain medication as well as sleep aids will also be documented.

Inclusion criteria

Participants must be between the ages of 18 and 65 with an average NRS of 6 - 10 [23] and must have a confirmatory diagnosis of chronic widespread pain (CWP), including fibromyalgia (FM), (Read Code: MG30.01) as defined in the ICD-11 [24]. CWP is currently defined by the American College of Rheumatology 2010/2011 criteria to be a fundamental feature of FM, defined as pain lasting ≥ 3 months, located axially, above and below the waist, and on both sides of the body with physical symptoms that include fatigue and waking unrefreshed [25]. This study will use the 2016 revision to the 2010/2011 FM diagnostic criteria [26] which introduces important changes based on experience both within clinical and research settings. A participant included in this study must satisfy the following 3 conditions:

1. *Widespread pain index (WPI) ≥ 7 and symptom severity scale (SSS) score ≥ 5 OR WPI of 4–6 and SSS score \geq*
2. *Generalized pain, defined as pain in at least 4 of 5 regions, must be present. Jaw, chest, and abdominal pain are not included in generalized pain*
3. *Symptoms have been generally present for at least 3*
4. *A diagnosis of fibromyalgia is valid irrespective of other A diagnosis of fibromyalgia does not exclude the presence of other clinically important illnesses [26].*

Exclusion criteria

Participants must not have any past history and/or presence of comorbid severe neurological or psychiatric disorders (e.g., mania, psychosis, suicidality, bipolar/schizophrenia/autism spectrum disorders) [10] and/or neurodegenerative disorders (e.g., Parkinson's, Alzheimer's, Huntington's disease). Participants will be further excluded on pregnancy or planned pregnancy [27]; planned surgery; receiving treatment for any type of eating disorder (e.g., obesity, anorexia nervosa, etc.) [28]; head trauma; migraine; active heart implants (e.g., pacemaker) [29]; and active ear implants (e.g., cochlear implant). Individuals who have practiced meditation consistently (for more than 20 min/day) within the last six months will also be excluded [30].

Enrolment procedure

Study and contact information will be posted and updated regularly on the Oslo University Hospital website, [ClinicalTrials.gov](https://www.clinicaltrials.gov), CRISITN (Current Research Information System In Norway), and various social media platforms. After reading about the study, interested participants are instructed to log in to Nettskjema— a secure digital data management and collection system in Norway— and fill out a brief digital inclusion/exclusion form consisting of exclusion criteria, diagnostic criterion for FM, and an NRS scale. If participants meet self-reported inclusion criteria, they will be contacted by testing administrators with an invitation to participate and an appointment day and time for both Clinical Visitation I (CVI) and Clinical Visitation II (CVII) at the Department of Pain Management and Research, Oslo University Hospital.

Upon arrival at the Department for CVI, participants are provided with an informed consent. At this time, participants will have the opportunity to ask any of the testing administrators questions about the study and their contribution. Once the informed consent is signed, participants will be formally enrolled into the study.

Data management

This study will use Viedoc— an electronic data capture web-based solution used for clinical trial data collection and management that complies with all relevant health regulations [31] and the FDA Code of Federal Regulations 21 Part 11. The Clinical Trial Unit at Oslo University Hospital will perform the setup and design of our Viedoc electronic case report form (eCRF) and audit the study flow throughout the trial period. Testing administrators will actively use Viedoc for all participant data entry throughout the entirety of the study. Signature of the testing administrator is reacquired to ensure the completeness and accuracy of the participant data that has been obtained in the eCRF.

Data omissions and/or corrections in Viedoc will be justified and accounted for within the eCRFs. After the database is locked, the investigator (C.E.P.) will receive a digital copy of the subject data for archiving at the investigation site. The data will be securely stored at the research site database at Oslo University Hospital. Data will be de-identified so that each study participant is only recognizable by his/her unique

Viedoc trial subject number. The data will be stored 5 years for further analyses and voluntary follow-up 6 months, 1 year, and 3 year after study closure.

Randomization and blinding procedure

Randomization

The computer-generated randomized allocation sequencer will be imported into the Viedoc eCRF system and made available to testing administrators. Only testing administrators will have access to participant treatment allocation and the allocation will not be available until the participant has signed the informed consent and deemed eligible to participate in the study.

This investigation will use a list randomization recruitment method [32] for CV I where participants will be randomly allocated to receive one of the four treatment groups: tVNS #1 (active); tVNS #2 (sham); MNRB #1 (active); MNRB #2 (sham). The randomization will be stratified by sex (Male = 1, Female = 2) and cardioactive medications (Yes = 1, No = 0) with varying block size within strata. Viedoc will generate the randomization number as well as the allocated treatment group for each participant.

Participants will be considered as taking cardioactive medication if they report that they are currently taking any medication for high BP, cholesterol, heart disease, or prescription pain medication. Participants will also be considered as taking cardioactive medication if they answer that they use tranquilizers, antidepressants, and/or antipsychotics either “less than every week”, “every week, but not daily”, or “daily”. Participants are permitted to continue taking any previously prescribed pain medications/psychopharmacological treatments that are necessary during the trial period.

Blinding

Active and sham treatment allocation is concealed from the participants and testing administrators. Both testing administrators and study participants will be told that they will provide/ receive two different *versions* of nerve stimulation at different locations on the ear (for the tVNS group) or that there are two breathing techniques that are being explored (for the MNRB group) in this investigation [33]. The testing administrators will introduce either “Version 1” (Active) or “Version 2” (Sham) of the treatment interventions.

The principal investigators (C.E.P. and H.B.J.) are blinded to patient treatment allocation as well as the randomization form in Viedoc. This form will only be visible to the testing administrators performing participant data collection. To protect against export of blinded data during the study, there will be two export roles in this study: (1) Blinded export and (2) Unblinded export. Blinded data export role will be given to the investigators prior to database lock while the Unblinded data export role will be given to the investigators only after database lock.

Statistical considerations and sample size calculation

An independent trial statistician who is blinded to the treatment allocation will complete the initial analysis for the main outcomes. Data analyses will be performed using IBM SPSS version 25, R software, and SAS® software after importing data from Viedoc. To sufficiently detect a difference between groups in HRV as it relates to NRS pain intensity, a sample size between 30 and 77 (depending on the HRV metric used) is typically needed [34]. However, subgroups are commonly employed within designs that have been suggested to require 20 participants per cell [35]. Furthermore, researchers have typically used Cohen's calculations of small (0.2), medium (0.5), and large (0.8) effect sizes when quantifying the magnitude of group differences for HRV investigations. However, it has been recommended that these guidelines should only be used when the effect size distribution (ESD) is unknown; analyses have shown that Cohen's guidelines underestimate the magnitude of small and large effect sizes and that HRV studies are generally underpowered [36]. A change on the NRS of 20% as our secondary outcome measure in this study for participants between CV I and II will be considered to be a clinically significant treatment efficacy [37, 38].

Due to our power calculation and in light of these findings, effect sizes of 0.25, 0.5, and 0.9 should be interpreted as small, medium, and large effects (after rounding to the closest 0.05). To achieve a statistical power of 0.8 to detect a large effect size, 21 participants are required per group in a case-control study [36]. However, in order to account for possible participant dropout, 28 participants per group will be included which correlates to a statistical power of 0.9. This recommended sample size which is based upon the aforementioned ESD can be tailored to our specific study used to appropriately power this research investigation [36]. This makes it more likely to better replicate and derive true effect size estimates. Mean with standard deviation or median with interquartile will be reported for continuous variables/data. Frequency and percentage will be given for categorical variables/data.

Missing data will be treated as follows: If the first (morning pre-intervention) NRS/HRV recording is missing, the last (evening post-intervention) NRS/HRV recording will be used from the night before. If the second (morning post-intervention) NRS/HRV recording is missing, then the first (morning pre-intervention) NRS/HRV recording will be used. If the third (evening pre-intervention) NRS/HRV recording is missing, then the first (morning pre-intervention) NRS/HRV recording will be used. Finally, if the last (evening post-intervention) NRS/HRV recording is missing, then the third (evening pre-intervention) NRS/HRV recording will be used. If all morning and evening NRS/HRV recordings are missing from a day, then NRS/HRV recordings from the day before will be used based upon the assumption that improvement/change is not present.

Baseline characteristics as mean with standard deviation or median with interquartile and frequency with percentage will be described for the four participant groups, active and sham. The change from the first to second data points will be calculated for the 14-day treatment session in regards to HRV and NRS present pain intensity. The change from CVI to CVII HRV, NRS present pain intensity, and NRS average pain intensity will be determined. Difference in changes between the groups in the morning session and evening session will be examined and tested by using two-way analysis of variance (ANOVAs) or random intercept and slope models. For overall difference in the changes between the four groups regardless of

morning, evening or days, random intercept models or repeated one-way analysis of variance (ANOVAs) will be used to analyze the data. The tests will be two-sided, and the significance level will be set to 0.05.

Description of intervention arms

1. Motivational Nondirective Resonance Breathing™ (MNRB™)

MNRB™ is a meditation-based deep breathing intervention developed by the lead author (CEP) [39] which is based on emergent findings in integrative neuroscience and autonomic self-regulation in cardiopulmonology.

Participants will perform MNRB™ at home for 15 minutes [40] in the morning upon waking and 15 minutes at night before going to bed (preferably at the same time for each individual participant) for a total duration of 2 weeks. Participants will use the BarTek™ device in order to practice MNRB™. The BarTek™ device is a CE-approved respiratory gating device compatible with an Android smartphone. Krüger&Matz Flow 5 Android smartphones will be used for running and recording the MNRB™ program with the BarTek™ respiratory gating device when practicing either active or sham MNRB™. Participants will receive MNRB™ user training at CV I and be provided with a BarTek™ operational worksheet which they will follow every morning and evening when practicing MNRB™.

1. *Active MNRB™* will be practiced relaxed, sitting back in a chair no more than 30 degrees from the horizontal, with both feet flat on the floor, hands on thighs with palms facing downward. Participants are further instructed to not talk or make any movements during their treatment session. The BarTek™ respiratory gating device is placed upon the diaphragm— around the abdomen, below the rib cage, and an inch (about two finger widths) above the navel. Participants open the MNRB™ program on the Krüger&Matz Flow 5 Android phone, which is connected wirelessly via Bluetooth to the BarTek™ respiratory gating device, and are guided through a 15 minute MNRB™ breathing intervention which guides participants from an average respiration rate of 12 breaths/min to a resonance frequency rate of 6 breaths/min— the most optimal means of increasing cardiac-vagal tone (HRV) via respiration [29]. Out of a full (100%) breathing cycle, participants are instructed to use the stomach to breathe in to full inspiratory capacity for 30% and exhale for 60% by tightening and pulling the stomach back toward the spine. At the end of each inspiration and expiration, participants are instructed to retain their breath for 5% of the cycle [41]. The participant is instructed to allow the chest to remain immobile throughout the entirety of the session [42, 43]. This is achieved through a feedback system actively engaging the patient to follow a orb that indicates stages of breathing and constantly correcting participants when they While practicing, participants are to engage in a nondirective state of mind [44], where a relaxed focus of attention is established by listening to the inspiration and expiration sound guides of the MNRB™ program. Attention is neither directed toward staying with the respiration sound guides nor directed toward observing the spontaneous flow of thoughts and sensations [44]. Sensations, such as pain, during MNRB™ are accepted without actively directing attention toward them or away from them [39].

2. *Sham MNRB™* is practiced relaxed, sitting back in a chair no more than 30 degrees from the horizontal, with both feet flat on the floor, hands on thighs with palms facing upward. Participants are instructed not to talk or make any movements during their treatment session. Participants will be instructed to breathe at the normal respiration rate for an adult (12 breaths/ min) [45] by following a respiratory pacer [46] on the Krüger&Matz Flow 5 Android MNRB™ program while counting their breath [33]. Out of a full (100%) breathing cycle, participants are instructed to breathe normally with a 49% inhale and a 49% exhale without any (i.e. 1%) breath retention at the end of each inspiration and expiration. Participants are instructed to maintain a focused attention on their breath while actively detecting mind wandering [47].

Transcutaneous Vagus Nerve Stimulation (tVNS)

The tVNS device (“Nemos®”; cerbomed GmbH, Erlangen, Germany) (Figure 5) stimulates the afferent auricular branch of the vagus nerve located medial of the tragus at the entry of the acoustic meatus [48]. This device has received CE approval as indication that it complies with essential health and safety requirements [49]. The ear is first cleaned with an alcohol wipe and the electrode is sprayed with a conductive fluid to ensure optimal stimulation. Two titan electrodes mounted on a gel frame are connected to the Nemos® pocket-size stimulator and placed in the concha of the left ear in order to avoid stimulation of fibers to the heart. Stimulation intensity is individually adjusted (from 0.1 mA to 10 mA) with a pulse width of 250 µs and a consistent stimulation frequency of 25 Hz for optimal stimulation [50]. During CV I, participants will familiarize themselves with the stimulation device and its proper usage under the guidance of the testing administrator. During this visitation, the intensity of the tVNS will be slowly increased until the optimal intensity (mA) is reached (i.e., a slightly uncomfortable tingling sensation) for each individual participant [33]. Both active and sham stimulation constantly alternate between active stimulation for 30 s, followed by a break of 30s [108]. Participants will perform active or sham tVNS at home for 15 minutes [40] in the morning upon waking and 15 minutes at night before going to bed (preferably at the same time for each individual participant) for a total duration of 2 weeks. Due to habituation, participants will be allowed to readjust this stimulation intensity during their 2 week intervention period if needed.

1. *Active tVNS* is performed in a relaxed position, sitting back in a chair no more than 30 degrees from the horizontal, with both feet flat on the floor, and hands on thighs with palms facing downward. The bipolar stimulation electrode is placed correctly within the concha of the left ear. Participants are instructed to breathe normally while not talking or making any movements during their session.
2. *Sham tVNS* is performed in a relaxed position, sitting back in a chair no more than 30 degrees from the horizontal, with both feet flat on the floor, and hands on thighs with palms facing upward. The bipolar stimulation electrode is turned 180° and placed incorrectly over the center of the left earlobe instead of the outer auditory canal [51]. This area is known to be free of cutaneous vagal innervation [52] and produces no activation in the cortex and brain stem [51]. Participants are instructed to breathe normally while not talking or making any movements during their

Data acquisition

Testing administrators input data directly into Viedoc on a Windows 7 HP EliteDesk 800 G2 SFF desktop computer located in the clinical visitation room at the Department of Pain Management and Research, Oslo University Hospital, Ullevål. Height is recorded with a Seca 206 (Seca GmbH, Hamburg, Germany) device which is bolted into the wall and leveled. Weight is obtained using an ADE M320000 (ADE Germany GmbH, Hamburg, Germany) digital electronic floor scale. Waist and hip circumferences are recorded with a MyoTape (AccuFitness, LLC, Greenwood Village, U.S.A.) and BP is taken utilizing a Philips IntelliVue MMS X2 (Philips Medizin Systeme GmbH, Hamburg, Germany) multi measurement module and transport monitor.

1. CameraHRV

Photoplethysmography (PPG)-measured HRV data will be obtained from CameraHRV (Marco Altini, Amsterdam, Netherlands)— an Android App which has been utilized in multiple clinical trials [53, 54, 55] and validated with both the Polar H7 device and the golden standard electrocardiography (ECG) [56]. PPG- measured HRV is a reliable means of computing HRV [57] and will be used to assess heart rate as well as time-based (AVNN, SDNN, rMSSD, pNN50) and frequency-based (LF, HF) resting HRV. Krüger&Matz Flow 5 Android smartphones without SIM card and telecommunication capability will be used to run CameraHRV and record HRV for both the clinical visitations and the patient daily readings. HRV values will be computed via the reflection through the illumination of the skin of a participant's right index finger using the Krüger&Matz Flow 5 camera's flash. CameraHRV detects the amount of light that is reflected by the camera located next to the light source [58] (see Table 1 for signal processing).

This study will use resting HRV recordings of 1 minute. Reducing the HRV recording window to a duration of 1 min, in comparison to the standardized 5 min recording, is acceptable when rMSSD is considered as the primary HRV parameter of interest [59]. A 1 min recording of the natural log of rMSSD (lnRMSSD) has also been proven to offer good reliability in comparison to the classical 5 min recording of rMSSD [60]. Furthermore, high-frequency HRV (hfHRV) also shows reasonable agreement between ultrashort-term recording windows (60 s or less) and 5-min periods [60, 61]. Several studies use hfHRV as an index of vagal tone [29] due to its strong correlation with rMSSD [62, 63]. However, unlike hfHRV, rMSSD has been shown to be insusceptible to confounding respiratory effects during the recording window [10, 61]. In addition to the main analysis performed with one ideal variable reflecting vagal tone (RMSSD), it is recommended [29] that researchers perform the same analyses with the other variables depicting vagal tone (hfHRV, SDNN, and pNN50). Date and time of day for every HRV recording will be saved within the CameraHRV program which can be used as a proxy for treatment compliancy for all participants during their 2-week home treatment.

2. BarTek™ (Figure 6)

The BarTek™ respiratory gating device (VRMind, Wroclaw, Poland) designed for practicing MNRB™ measures abdominal expansion via strap tension that is induced by the diaphragm during the entire

respiratory cycle. The elastic strap of the BarTek™ sensor is placed around the waist of each participant and is adjusted in length in order to produce a minimal resistance to respiratory movement. Tension measurement is implemented by a strain gauge circuit. This circuit contains a strain gauge measurement element, and a temperature compensation element. High precision measurement is ensured by using a high resolution analog digital converter. Device output signal has an electric potential difference that is sampled at 80 Hz. The signal is transmitted to the Krüger&Matz Flow 5 Android smartphone via a Bluetooth Low Energy protocol. Date and time of day along with all respiratory information will be recorded and saved within the MNRB™ program. The raw signal processing used in order to obtain each participants' respiration dynamics (e.g. rate and volume) is shown in Table 2.

3. DoloCuff

Computerized cuff-pressure algometry (CPA) will be administered using the DoloCuff device with software version 2.0.5.1 (DoloCuff; Unique Electronic Aps, Hvidovre, Denmark) in order to assess clinical pain sensitivity mechanisms in FM patients. The DoloCuff CPA consists of a double chambered 13 cm-wide textile high-pressure 13.5 cm x 76 cm tourniquet cuff (VBM Medizintechnik GmbH, Sulz, Germany), a computer-controlled air compressor (Unique Electronic Alps), a 10-cm electronic visual analog scale (VAS), and a stop-button for immediate release of air in the tourniquet cuff. The tourniquet is tightly mounted around the widest part of the m. gastrocnemius in order ensure reliable pressure readings.

Ramp inflation of 1 kPa/s [64] will be used in order to record a participant's pain detection threshold (PDT), pain tolerance threshold (PTT), pressure-pain limit (PPL), and stop time [65]. Cuff PDT is defined as the pressure value the first time the VAS score exceeds 0 (i.e. at the moment of transition from a sensation of strong pressure to first sensation of pain) whereas cuff PTT is defined as the pressure value when the participant terminates the pressure inflation (i.e. when the pain due to the pressure of the cuff becomes intolerable) [65]. A maximum pressure of 150 kPa and a maximum time under pressure of 180s are set as the upper limits throughout the study [66]. The maximum pain intensity (VAS-peak) and time to VAS-peak will be extracted along with the individual slopes in pain intensity rise and fall from the start of cuff inflation to the end of cuff inflation. Areas under the VAS-curve are also calculated based on raw data [67].

4. ViedocMe

Participant reported outcome measures (PROMs) in the form of questionnaires (see below) will be completed electronically using the ViedocMe functionality available in Viedoc at CV I and II. Study staff will create a ViedocMe account for each participant in the participant's Clinic View in Viedoc and provide an unique log-in profile (user name, pin-code, and ViedocMe web-address) for each participant. Participants are to use this information to log in to their personal ViedocMe account on a tablet (Samsung Galaxy Tab A6 32GB) which is connected to Oslo University Hospital's secure wireless account. The questionnaires in ViedocMe will only be available for completion the day of each clinic visitation. Participants will complete the questionnaires at the end of each visitation. After the time-window has expired (i.e. at midnight on the day of the clinic visit), questionnaires can no longer be

completed electronically. If problems arise using ViedocMe, or if the participant prefers to use paper forms, paper copies of the questionnaires will be handed out to the participant and the data will be entered into Viedoc by testing administrators. Questionnaires are only available to participants in Norwegian. For a list of names with descriptions of each questionnaire utilized in this study see the Appendix.

List of questionnaires in the order of their completion:

1. Credibility Expectancy Questionnaire
 2. Participant Global Impression of Change
 3. EQ-5D-5L
 4. Hopkins Symptom Checklist
 5. Multidimensional Assessment of Interoceptive Awareness, version 2
 6. Spiritual and Religious Attitudes in Dealing with Illness
 7. Pain Catastrophizing Scale
 8. Brief Pain Inventory
 9. Insomnia Sleep Inventory
 10. Oswestry Low Back Pain Disability Questionnaire
 11. General Health Questionnaires
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5. Daily Treatment Journal

Participants will receive a take-home Daily Treatment Journal where they will record their treatment session day, time (morning or evening), pre- and post- treatment HRV recording (Yes/ No), as well as pre- and post- treatment NRS pain intensity. Participants will also be instructed to write any thoughts, feelings, and/or reflections in regards to their overall treatment experience. Upon completion of the 2-week treatment, participants will hand their Daily Treatment Journal to the assigned research administrator at CV II where it will be recorded directly into Viedoc

Data Collection Procedure

Clinical Visitations I & II (Figure 2)

Testing administrators will conduct all data collection for CVI and CVII at the Department of Pain Management and Research under the supervision of the principal investigator. All data will be collected by the same assessor throughout each visitation. From 8:00 to 12:30 three participants will be consecutively registered upon arrival at the Department and directed to the clinical visitation room by an assigned testing administrator. Upon entering the visitation room, each participant will be given an informed consent and will be offered the time to ask any questions in regards to what is required of them for their participation. Upon signing the informed consent, measurements of height, weight, BMI, and

WHR will be made. Participants will be instructed to remove any heavy clothing and footwear when on the digital electronic floor scale. BMI will be calculated as weight in kilograms divided by the square of the height in meters (kg/ m²). After recording the aforementioned measurements, participants will sit in a relaxed semi- Fowler position (30 degree tilt from the horizontal) with feet flat on the floor, hands on thighs, and palms facing upward for the remainder of the clinical visitation. Any discrepancies to the procedure will be logged in Viedoc and kept for the remainder of the study period and will be included as a potential confounder in analyses.

The assigned testing administrator will then conduct a clinical interview at CV I in order to confirm a diagnosis of FM, including FM, and in CVII in order to determine how or if the pain has changed. Participants will be guided through an electronic version of the 2016 revision to the 2010/2011 FM diagnostic criteria form [26] digitalized in Viedoc by the lead author (C.E.P). Prior to the FM diagnostic confirmation interview, participants will be asked to numerically rate their pain in the following manner: “On a scale of 0 to 10, where 0 corresponds to no pain and 10 corresponds to the worst possible pain you can imagine; 1) How strong would you say that the pain usually is?; and 2) How strong is the pain now?” If participants are confirmed to have FM with an average (i.e. usual) pain intensity NRS ≥ 6 , they are instructed to remain seated in a semi-Fowler position (no more than 30 degrees from the horizontal), with eyes closed, and breathe normally without speaking or making any movements [29]. For CV II, there are no diagnostic criteria cutoffs for FM and average pain intensity.

The testing administrator will then conduct a visit compliance interview immediately following the FM diagnostic interview for each visitation. Participants are instructed prior to visitation to follow a normal sleep routine [68] and abstain from any type of intense physical training the day before each clinical visitation [69]. Participants are also asked to abstain from drinking coffee, any type of energizing drinks [70], and tea [71] 2 hours before each clinical visitation. Furthermore, participants are instructed not to drink alcohol 24 hours prior to their visitations [72] or eat within 2 hours of their visitation [73] (eating/digestion [74], missing a meal [75], or smoking [76] can significantly influence HRV). If patients do partake in the aforementioned actions, this information will be accounted for at this time. Upon completion of the visit compliance interview, testing administrators will note the sex of each participant and any cardioactive medications that they are taking. The computer-generated randomized allocation sequencer in Viedoc then generate the randomization number as well as the allocated treatment group for each participant (e.g. tVNS #1 (active); tVNS #2 (sham); MNRB™ #1 (active); MNRB™ #2 (sham)).

In order to acclimatize to the HRV recording environment, each participant is instructed to remain seated for at least 5 min [77] prior to taking the first HRV measurement (Figure 3a). Acclimatization helps reduce HRV changes due to posture changes [78, 79] and can also reduce confounds subsequent to participant test anxiety [10]. Immediately following the 5 min acclimatization period, three 1 min HRV recordings will be taken on the tip of the right index finger of each participant separated by 1 minute intervals. The beginning and end of each of the three HRV recordings will not be announced due to the impact of attentive states and test anxiety on respiratory frequency [80] and HRV recordings [81]. An average of the last two recordings will be used as the baseline measure.

At least 2 minutes following the three HRV recordings, resting systolic, diastolic, and mean blood pressure (BP) will be measured (Figure 3b). The correct size cuff is chosen after the circumference of the upper arm is determined. Three readings on the upper right arm are taken separated by a one minute intervals. The average of the last two measurements will be used in the analyses [82]. This BP assessment protocol is similar to that used in several population studies [83, 84, 85].

Following the BP assessment, CPA will be administered (Figure 3c). Participants are asked to continuously rate perceived pain if the sensation of pain increases, decreases, or remains the same, by using the electronic VAS module which is placed in their dominant hand. The VAS ranges from 0-cm (no pain) to 10-cm (worst pain imaginable). Furthermore, participants are instructed to press a red stop button located directly below their VAS console if and when the sensation of pain becomes intolerable at any time. The testing administrator conducting the data collection will also have the ability to stop the exercise at any moment if needed via the computer. Three CPA sessions will be recorded on each leg individually: first the left leg, then the right leg, and finally the left leg, each separated by a 30 second interval. The average of all three sessions will be used in the final analyses.

For Clinical Visitation I, each participant will be introduced to their assigned treatment (tVNS or MNRB™) and version (version 1 or 2). Testing administrators are to motivate each participant to complete the treatment and provide instructions on how to use the devices throughout the two-week intervention period. In addition to a verbal introduction of each treatment version, testing administrators will also guide participants in a short 5 min trial period during which the treatment is properly setup and tested. This is helpful in demonstrating to participants the functionality and design of their treatment. Participants are welcome to ask any questions or share any concerns in regards to the procedure and what is demanded of them at this time. When the treatment introduction and trial period is complete for CV I, participants will receive their Daily Treatment Journal (or return it at CVII) and complete questionnaires in their ViedocMe account (For assessments as a function of timepoints according to the 2013 SPIRIT guidelines, see Table 4). Patients who receive one version of the treatment will be voluntarily offered the second version 6 months after the end of the study if they are still in pain and the results of the study justify this.

Daily Intervention Procedure (Figure 4)

Upon waking or going to sleep, participants are to take a 1 minute HRV recording with CameraHRV before and after their assigned 15-min treatment intervention. Participants are instructed to use the same HRV recording procedure as in CV I and II: sitting in a relaxed semi-Fowler position no more than 30 degrees from the horizontal, knees at a 90° angle, while breathing normally, with both feet flat on the floor without moving or talking. Due to the fact that circadian rhythms and digestion have an impact upon HRV [86, 87], time of day and time since last meal should be standardized when possible in short-term HRV recordings, especially for designs incorporating repeated recordings over time [10]. Therefore, participants are instructed to take their HRV recordings preferably at the same time everyday in the morning and at night before and after their assigned intervention. Furthermore, participants are instructed to keep a

normal sleep schedule throughout their 2 week intervention while abstaining from consuming any large meals, caffeinated drinks, nicotine, or alcohol immediately prior to their morning HRV recordings and no less than 2 hours before their evening HRV recordings. HRV recordings will be saved locally on the assigned Krüger&Matz Flow 5 phone and labeled with the participant's assigned Viedoc identification number. Participants are to legibly circle their NRS after each 1-min HRV recording (four times per day) in their Daily Treatment Journal throughout the 2 week intervention period.

Discussion

CWP, including FM, affects one in ten individuals within the general population [88] and has the highest prevalence in Norway (12-30%) [89] where it is a leading cause of long-term sick leave and disability [90]. Due to an overall lack of efficacy in both mainstream [91] and alternative [6] treatments, it is necessary to develop new avenues for treatment.

Strengths

A recent systematic review [92] called for future research to identify the autonomic/ cardiovascular mediators that link respiration and pain; identify the physiological (i.e. respiratory) mechanisms needed to reduce pain; identify the central mechanisms responsible for producing respiratory hypoalgesia; and identify the psychological (i.e. behavioral) mechanisms needed to reduce pain. This clinical trial will answer that call by investigating whether the autonomic mediator that links respiration and FM is HRV; the physiological mechanism needed to reduce pain in those with FM is a 30-5-60-5 diaphragmatic breathing (i.e. resonance frequency breathing) technique; the central mechanism responsible for producing respiratory hypoalgesia is vagus nerve stimulation (either through respiratory or electrical means); and the cognitive and affective psychological mechanisms needed to reduce pain in those with FM is nondirective attention and motivation.

Meditative-based breathing techniques commonly investigated in clinical trials are often poorly described [93, 94, 95] and do not account for the relative frequency of diaphragmic movement with precision, nor does it account for effects of expectation and attention on pain outcomes [92]. MNRB™ will be delivered to participants with specific instructions in regards to breathing frequency, volume, and breathing mechanics. Monitoring the participants' breathing with the BarTek™ respiratory belt will show how well patients have complied with these instructions and help identify pain-related compensatory changes in breathing depth and frequency. Participant treatment expectation will be accounted for through the Credibility/Expectancy Questionnaire (CEQ) prior to the start of their active or sham MNRB™ intervention. And attention/distraction will be controlled for by giving the sham-control group a sham MNRB™ technique of counting the breath.

Vagus nerve innervation as a means of pain treatment has been traditionally administered through invasive procedures, known as invasive vagus nerve stimulation (iVNS), which has typically involved the surgical implantation of electrodes around the cervical vagus nerve [96]. iVNS is often accompanied with a high risk for adverse events (e.g. voice alteration, paresthesia, cough, headache, dyspnea, pharyngitis,

and pain at the site of stimulation) [97]. This study will deliver transcutaneous VNS (tVNS) as a safe and effective alternative which allows the user to directly modulate stimulation intensity, pulse duration, and frequency accordingly [98]. Both means of vagal stimulation (MNRB™ and tVNS) are easy to use, portable, safe, and are able to be tailored to a patient's stimulation or respiratory threshold. These factors increase the probability for patient compliancy and overall satisfaction with the treatment interventions.

Limitations

Within-subject designs are typically recommended over between-subject designs for HRV experiments—unlike between-subject designs, within-subject designs offer optimal experimental control and reduce the impact of external factors such as medication, alcohol, smoking, etc. [81]. However, within-subject designs for HRV research also suffer from many inherent weaknesses (such as the learning effect that can be observed in some experimental tasks [29]. If a between-subject design is chosen with testing occurring on different days, it is recommended that participants take part in the experiment at the same time of the day [86]—a practice which has been implemented in this current study.

As mentioned in previous studies investigating pain and respiration [99, 100], not blinding the experimenters to the intervention can be seen as a limitation. Even though it is typically considered unfeasible to blind the experimenter to the intervention for investigations of this kind, it has been recommended [101] that studies document expectation bias by carefully designing and standardizing the intervention instructions and measuring participants' expectations about the effectiveness of the to-be-delivered intervention—both of which are exercised in this study. Nonetheless, the difficulty in designing a reliable sham breathing protocol for experimental and clinical trials is quite apparent—trials that implement sham breathing protocols commonly instruct participants to either “breathe normally” [33] or “spontaneously breathe” [102] while “focusing on their breath” [103]. Longitudinal research [104] comparing daily variations of time and frequency-based HRV parameters between controlled breathing (i.e. pacer breathing) and spontaneous breathing (i.e. natural breathing) sessions found that significant time-based HRV correlations exist between these two different conditions (especially in regards to rMSSD). This demonstrates that during a longitudinal follow-up, these markers provide the same HRV variations regardless of breathing pattern.

Even though a number of studies using high intensity tVNS have not found any major side-effects, as mentioned before, tVNS can still be accompanied by slight pain, burning, tingling, or itching sensations near the sight of the electrodes [97]. There is also no scientific consensus regarding the frequency and strength of tVNS stimulation for pain treatment [105] nor is there a clear understanding of how a constant pulse frequency mirrors endogenous vagal nerve activity—the vagus nerve most likely does not communicate/activate in regimented 30 second consecutive intervals as most of the tVNS devices do [106].

Impact and Dissemination of Results

The knowledge generated from this investigation will inform patients as well as policy makers and healthcare providers within the field of pain research and management. If shown to have a significant effect upon HRV and pain intensity, tVNS stimulators and/or MNR™ treatment and BarTek devices will be investigated further and be provided to FM patients as reliable, noninvasive, and effective means of treatment. Currently, tVNS devices have a narrow patient distribution while the MNRB™ program and accompanying BarTek device have never been evaluated before. The portable nature of these devices, their easy user ability, and the time needed to receive each treatment can increase patient compliance and autonomy while easing the treatment burden of healthcare providers.

These findings will be published in open-access peer-reviewed journals in order to help inform existing treatment procedures and guide the development of new integrative pain treatment programs at both public and private sector clinics. Trial results will also be presented at international and national conferences for both healthcare providers and patients. The main results of this study will be published in 2020/21.

Trial status

At the time of this manuscript submission, participant recruitment is ongoing. The current protocol version is in accordance with two ethics approval amendments.

Protocol Version: 3

Date: 4/27/2020

Recruitment Start Date: 6/5/2019

Recruitment End Date: 8/1/2020

List Of Abbreviations

CV I / CVII: clinical visitation I / clinical visitation II

CWP: chronic widespread pain

FM: fibromyalgia

MNRBTM: motivational nondirective resonance breathing

VNS: vagus nerve stimulation

tVNS: transcutaneous vagus nerve stimulation

iVNS: invasive vagus nerve stimulation

BP: blood pressure

WHR: Waist-to-hip ratio

HRV: heart rate variability

hfHRV: high frequency here rate variability

rMSSD: root mean square of successive differences

lnRMSSD: natural log of rMSSD

SDNN: standard deviation of NN intervals

pNN50: percentage of successive normal sinus RR intervals more than 50 ms

ECG: electrocardiogram

CPA: computerized cuff-pressure algometry

PDT: pain detection threshold

PTT: pain tolerance threshold

PPL: pain pressure limit

ANS: autonomic nervous system

WPI: widespread pain index

SSS: symptom severity scale

NRS: numerical rating scale

VAS: visual analogue scale

PPG: photoplethysmography

PROM: participant reported outcome measure

eCRF: electronic case report form

Declarations

[Ethics approval and consent to participate](#)

Ethical approval (2017/766) was reviewed and obtained by the Regional Committee for Medical and Health Research Ethics (REK South-East) in Norway on the 4th May 2017. Two amendments to the study protocol were reviewed and obtained by REK South-East on the 11th of September, 2018, and on the 13th of February, 2019. The written informed consent of eligible participants is required to participate in the study.

Consent for publication

Not applicable

Availability of data and materials

Unidentifiable participant data from this trial will be available to researchers who provide a methodologically sound proposal of interest and who fulfill institutional guidelines. All of the individual participant data collected during the trial will be available after de-identification, beginning 9 months and lasting 5 years after publication. Requestors must sign a data access agreement form with Oslo University Hospital.

Competing Interests

The authors declare that they have no competing interests.

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Protocol version

Protocol version No. 1/ 03/05/2020

Authors' Contributions

CEP is the lead author of this work. AS and HBJ reviewed and critiqued the work in regards to methodological design, project flow, and scientific content. LMD is responsible for the data management and statistical considerations of this project. All authors have read and approved the manuscript.

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Tables

Table 1. Signal processing pipeline for CameraHRV (Table taken from [109])

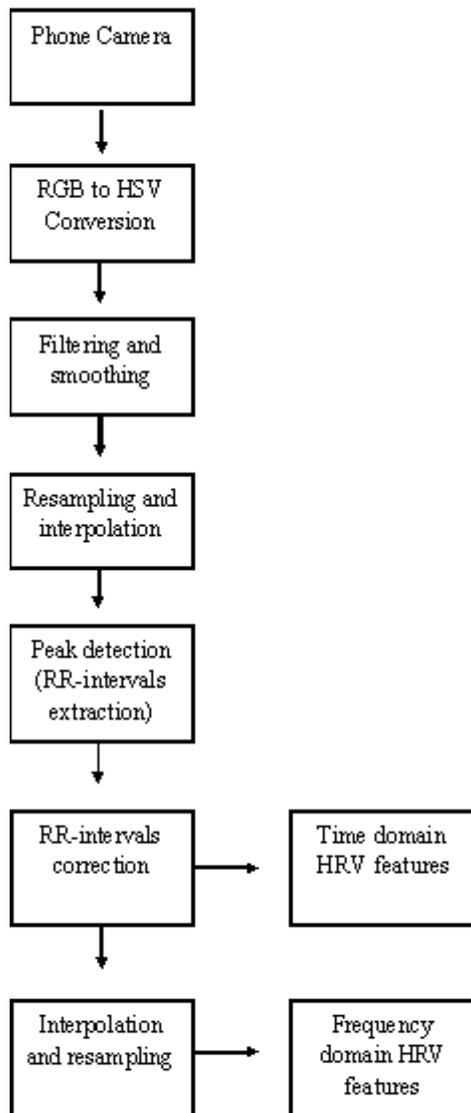


Table 2. Signal processing pipeline for BarTek device

Real time signal processing is based on a zero-crossing algorithm with further modifications. It is important to note that zero-crossing detection is based on a dynamically adjusted threshold.

The basic signal processing algorithm consists of seven steps:

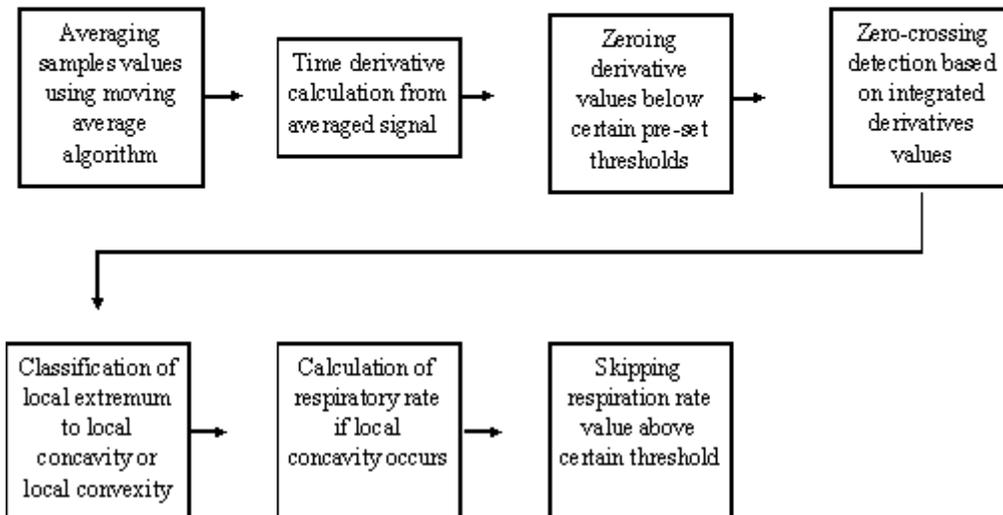


Table 3. World Health Organization Trial Registration Data

| Data Category | Information |
|---|--|
| Primary registry and trial identifying number | ClinicalTrials.gov NCT03180554 |
| Date of registry in primary registry | 08/06/2017 |
| Secondary identifying numbers | Southeast Regional Health Authority, Norway, Project Number: 2017/766 Cristin Project ID: 619480 |
| Source of monetary and material support | Southeast Regional Health Authority, Norway |
| Primary sponsor | Southeast Regional Health Authority, Norway |
| Contact for public queries | Charles Ethan Paccione, M.S., M.A., Ph.D. Fellow Email: charlespaccione@gmail.com |
| Contact for scientific queries | Charles Ethan Paccione, M.S., M.A., Ph.D. Fellow Email: charlespaccione@gmail.com Department of Pain Management and Research Oslo University Hospital, Ullevål |
| Public title | Body versus Machine: Meditative Breathing versus Vagus Nerve Stimulation in the Treatment of Chronic Widespread Pain |
| Scientific title | Body versus Machine: Motivational Nondirective Resonance Breathing versus Transcutaneous Vagus Nerve Stimulation in the Treatment of Fibromyalgia |
| Country of recruitment | Norway |
| Health condition studied | Chronic Widespread Pain, Fibromyalgia |
| Interventions | Motivational Nondirective Resonance Breathing (Active & Sham) Transcutaneous Vagus Nerve Stimulation (Active & Sham) |
| Key inclusion and exclusion criteria | <u>Inclusion Criteria:</u> Confirmatory diagnosis of chronic widespread pain, including fibromyalgia; Widespread pain index (WPI) = 7 and symptom severity scale (SSS) score = 5 OR WPI of 4-6 and SSS score = 9; Generalized pain in at least 4 of 5 body regions must be present; Pain symptoms have been generally present for at least 3 months; Average pain intensity= 6 on a 0-10 numerical rating scale, where 0 represents "no pain" and 10 represents the "worst pain imaginable" |

Table 4. Assessments as a function of time points (According to the 2013 SPIRIT figure guidelines)

| Measure | Target | T0 | Intervention | T1 |
|----------------|---|-----------------|---|------------------------------------|
| | | <i>Baseline</i> | <i>Morning & Evening Treatment (4 Recordings/Day)</i> | <i>Post-Intervention (2-weeks)</i> |
| NRS | Average Pain Intensity | X | | X |
| NRS | Current Pain Intensity | X | X | X |
| HRV | Heart Rate Variability | X | X | X |
| BMI & WHR | Body Mass Index and Waist-to-Hip Ratio | X | | |
| BP | Blood Pressure | X | | X |
| CPA | Experimental Pain Threshold, Tolerance, and Limit | X | | X |
| CEQ | Treatment Efficacy Expectation | X | | |
| PGIC | Treatment Efficacy Impression | | | X |
| EQ-5D-5L | Health-related Quality of Life | X | | X |
| HSCL-25 | Stress and Depression | X | | X |
| MAIA-2 | Interoceptive Awareness | X | | X |
| SpREUK-15 | Spirituality | X | | X |
| PCS | Catastrophizing | X | | X |
| BPI | Pain Interference | X | | X |
| ISI | Insomnia | X | | X |
| ODI | Functional Disability | X | | X |
| General Health | Overall Health/Nutrition and Sociodemographic | X | | |

Appendix

Participant Reported Outcome Measures (PROMS)

Numeric Rating Scale

The Numeric Rating Scale (NRS) [110] will be used to assess pain intensity. Participants are asked to circle a number between 0 and 10 that best describes their pain intensity— zero indicates ‘no pain at all’ whereas the upper limit represents ‘the worst pain ever possible’. The NRS is a self-administered, public domain scale [110] that describes a less-subtle distinction of pain levels unlike the VAS/GRS, where there are an unlimited number of possible answers [111]. However, as in VAS/GRS, a change on the NRS of 20% between two time-points of an assessment is considered clinically significant [112, 113]. Numerical

Rating Scales correlate highly with other pain-assessment tools [114, 115] and have good compliance [113] and reliability. In addition to Clinical Visitations I and II, participants are to complete the NRS pre and post MNRB/tVNS intervention everyday (four times per day) and record their response in their Participant Journal.

Credibility/Expectancy Questionnaire

The Credibility/Expectancy Questionnaire (CEQ) is a self-report measure of a participant

's expectations about the efficacy of a particular treatment and whether they think that the treatment is credible or not. In particular, it investigates two factors– what one feels and what one thinks in regards to the treatment. The CEQ is composed of six items which are scored on a 9 point scale ranging from: "not at all logical", "somewhat logical", and "very logical". Items 4 and 6 ask the participant how they feel and how they think the administered treatment will improve their overall health state in regards to their pain on a 0 - 100% scale, where 0% represents "no improvement" whereas 100% represents "total improvement". The CEQ will only be delivered during Clinical Visitation I.

Patient Global Impression of Change

The Patient Global Impression of Change (PGIC) is a self-report measure of a patient's belief about the efficacy of a treatment and their overall improvement. Patients rate their change on a 7 point scale: "very much improved," "much improved," "minimally improved," "no change," "minimally worse," "much worse," or "very much worse" [116]. Despite a weak positive correlation between PGIC and improvement in standard FM/CWP outcome measures, the PGIC is still believed to be an important source of patient-reported symptoms and a clinically relevant tool in the assessment of perceived impact of FM disease management [117]. The PGIC is especially helpful when paired with other domain-specific questionnaires and/or items following interdisciplinary psychologically-based treatments for pain [118]. The PGIC will only be delivered during Clinical Visitation II.

EQ-5D-5L

The EQ-5D-5L is a standardized instrument developed by the EuroQol Group to measure health-related quality of life. The EQ-5D consists of a descriptive system and the EQ visual analogue scale (EQ VAS). The descriptive system comprises five dimensions: mobility, self-care, usual activities, pain/discomfort and anxiety/depression. Each of these dimensions has 5 levels which include: "no problems," "slight problems," "moderate problems," "severe problems" and "extreme problems". The patient indicates their health state by ticking a box next to the most appropriate statement in each of the five dimensions. This results in a score that expresses the level selected for that dimension. The digits for the five dimensions are then combined into a 5-digit number that describes the patient's health state. The EQ VAS uses a vertical visual analogue scale to record the patient's self-rated health. The endpoints on the EQ VAS are labelled 'The best health you can imagine' and 'The worst health you can imagine'. The VAS is used as a

quantitative measure of health outcome that reflects the patient's own judgement. The EQ-5D-5L has been translated and validated in Norwegian [119].

The Hopkins Symptom Checklist-25

The Hopkins Symptom Checklist-25 (HSCL-25) [120] will be used to assess emotional distress, anxiety, and depressive symptoms. The HSCL-25 scale consists of 25 questions about the presence and intensity of the most common psychiatric symptoms of anxiety and depression. Participants are asked: "To what extent have you been bothered by the following symptoms in the last 14 days including today?"

Responses include: 1 (not at all), 2 (a little), 3 (quite a bit) and 4 (extremely). The HSCL-25 has reliable sensitivity and specificity and has been extensively used and tested in a Norwegian population [121] with both external and internal validity [122].

Multidimensional Assessment of Interoceptive Awareness

The Multidimensional Assessment of Interoceptive Awareness (MAIA-2) [123] will be used to assess participants' interoceptive body awareness and, in particular, provide pertinent information in regards to how emotions and the perception of pain are related to interoception. The MAIA is a 32-item multidimensional instrument comprising of eight scales (e.g. noticing, non-distracting, not-worrying, attention regulation, emotional awareness, self-regulation, body listening, and trusting) ranging from 3 to 7 items each. Each of these eight scales include items that either duplicate or are similar to items previously published: MAIA items 1, 6, 18, 20, 27 are derived from the Scale of Body Connection, Bodily Dissociation Subscale, MAIA item 29 from the Multidimensional Body- Self Relations Questionnaire, MAIA item 5 from the Mindful Attention Awareness Scale and MAIA item 4 from the Kentucky Inventory of Mindfulness Skills [124]. Due to the fact that interoception is considered a significant mediator of therapies developed for CP conditions, the MAIA scale will help investigate intervention-related changes in body awareness to the clinical outcomes under investigation [125].

SPREUK-15 Spirituality Questionnaire

The SpREUK-15 investigates whether or not participants rely on spirituality as a resource to cope with pain. It investigates three factors: 1) having trust/faith; 2) search for a transcendent source to rely on; and 3) reflection of life and subsequent change of life and behavior. Items are scored on a 5-point scale from disagreement to agreement (0 - does not apply at all; 1 - does not truly apply; 2 - don't know (neither yes nor no); 3 - applies quite a bit; 4 - applies very much). The scores can be referred to a 100% level (transformed scale score). Scores > 50% indicate higher agreement (positive attitude), while scores < 50 indicate disagreement (negative attitude).

Pain Catastrophizing Scale

The Pain Catastrophizing Scale (PCS) [126] will be used to assess pain catastrophizing. The PCS contains 13 items rated on a 5-point scale from 0 (not at all) to 4 (all the time). The total score for the PCS ranges between 0 and 52, with a higher score demonstrating more severe catastrophizing [127]. A

total PCS score of 30 represents a clinically relevant level of catastrophizing and corresponds to the 75th percentile of the distribution of PCS scores in clinical samples of CP patients [128]. The PCS is valuable for addressing intervention efficacy because it has been studied extensively across many different CP populations and is commonly used as a key outcome in determining the success of interventions that target CP.

Brief Pain Inventory- Pain Interference Scale

The Brief Pain Inventory (BPI) [129] will be used to assess pain interference. As one of the standard psychometric tools for clinical trials of pain [130, 131], the BPI provides two subscales: pain interference and pain severity. Due to the fact that the NRS will be utilized to assess pain intensity in this study, only the BPI pain interference scale will be used. Pain interference (seven items) is rated on a 0–10 scale, where 0 indicates “no interference” whereas 10 indicates “complete interference”. There are no clinical cutoff scores for the BPI, but the arithmetic mean of the seven interference items can be used as a measure of pain interference. This mean can be used if more than 50%, or four of seven, of the total items have been completed upon administration [129].

Insomnia Severity Index- Items 1, 2, & 3

The Insomnia Severity Index (ISI) is a brief 7-item self-report questionnaire which will be used to assess the nature and severity of both nighttime and daytime components of insomnia [132]. The usual recall period is the “last month” and the dimensions evaluated are: severity of sleep onset, sleep maintenance, and early morning awakening problems, sleep dissatisfaction, interference of sleep difficulties with daytime functioning, noticeability of sleep problems by others, and distress caused by the sleep difficulties. A 5-point Likert scale is used to rate each item (e.g., 0 = no problem; 4 = very severe problem), yielding a total score ranging from 0 to 28. The total score is interpreted as follows: absence of insomnia (0–7); sub-threshold insomnia (8–14); moderate insomnia (15–21); and severe insomnia (22–28) [133]. It is available in several languages and is increasingly used as a metric of treatment response in clinical research— the ISI is a reliable and valid instrument to detect cases of insomnia in the population and is sensitive to treatment response in clinical patients [133].

Oswestry Low Back Pain Disability Questionnaire

The Oswestry Disability Index (ODI - also known as the Oswestry Low Back Pain Disability Questionnaire) [134] evaluates a patient's permanent functional disability. The test is considered the ‘gold standard’ of low back functional outcome tools. It is designed to investigate how pain is affecting a subject’s ability to manage in everyday life. There are 10 sections which include: pain intensity, personal care, lifting, walking, sitting, standing, sleeping, sex life, social life, and traveling. Each section has six statements which the subject is instructed to choose dependent upon how accurate each statement is for describing their current situation. For each section the total possible score is 5: if the first statement is marked the section score = 0; if the last statement is marked, it = 5.

General Health

The General Health questionnaire [135] evaluates a participant's cohabitation, overall physical activity intensity and frequency, overall alcohol and tobacco use, overall caffeine intake, oral contraceptive use for women, importance of religion (e.g. very important, somewhat important, or not important), and types of treatments received for pain (i.e. surgery, physiotherapy, acupuncture, complimentary and alternative medicine, etc.). These questions are taken from the Tromsø 6 population study (2007-2008) in Norway and have been clinically validated and delivered to 12984 men and women 30 - 87 years of age.

Figures

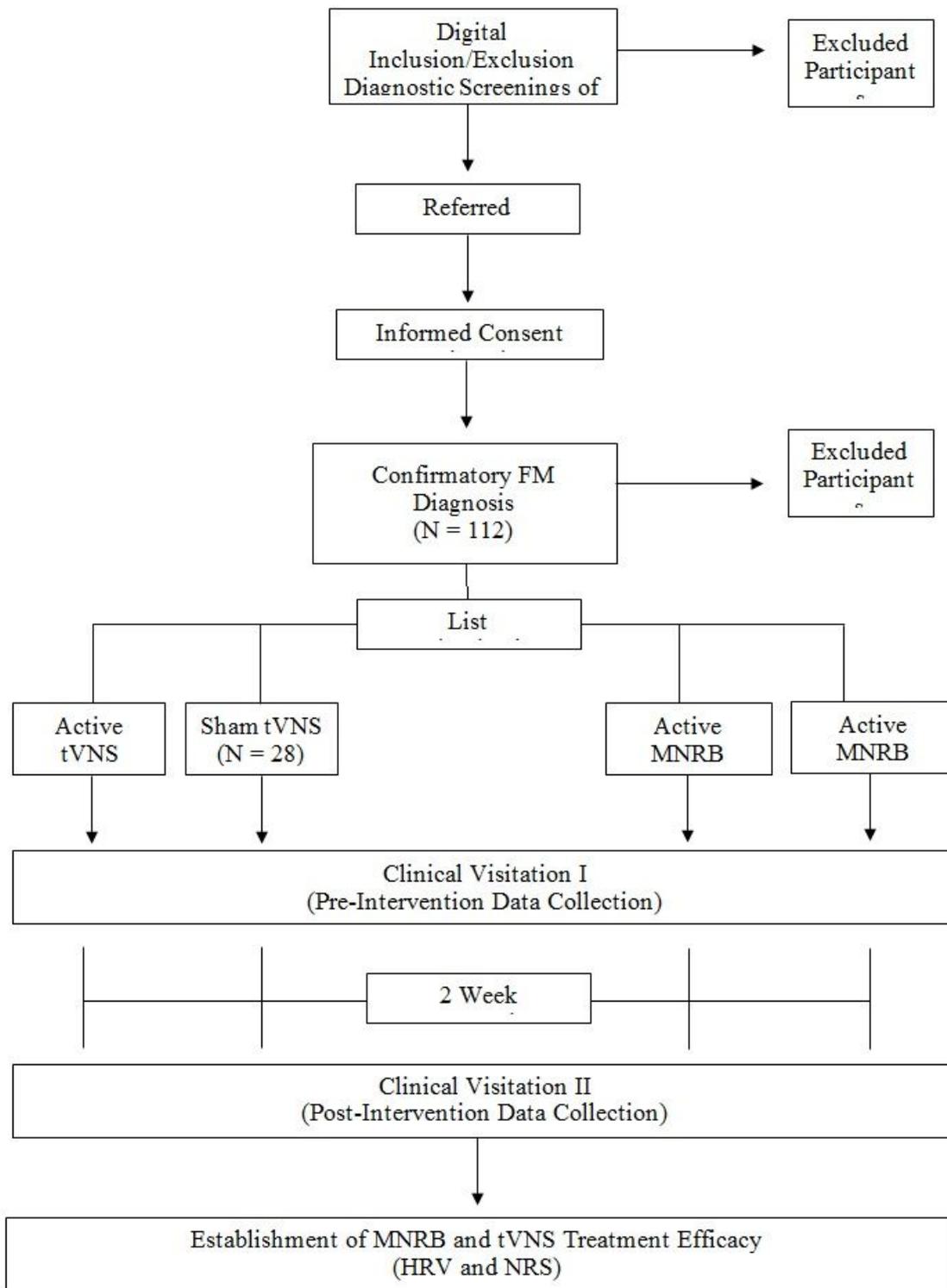
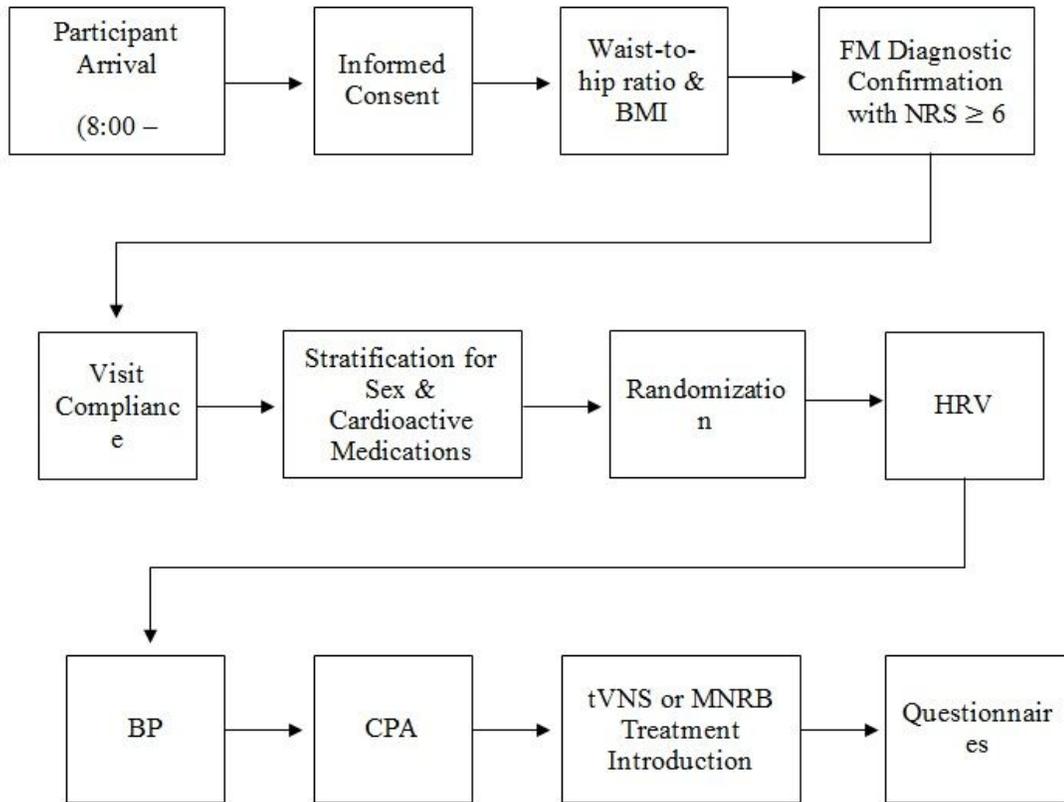


Figure 1

Overview of study design

2a. Clinical Visitation I (CVI)



2b. Clinical Visitation II (CVII)

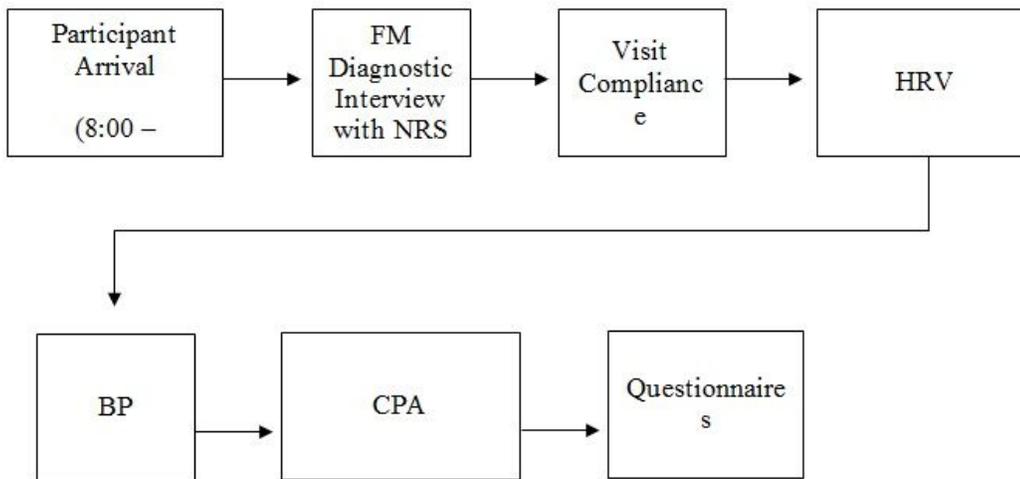
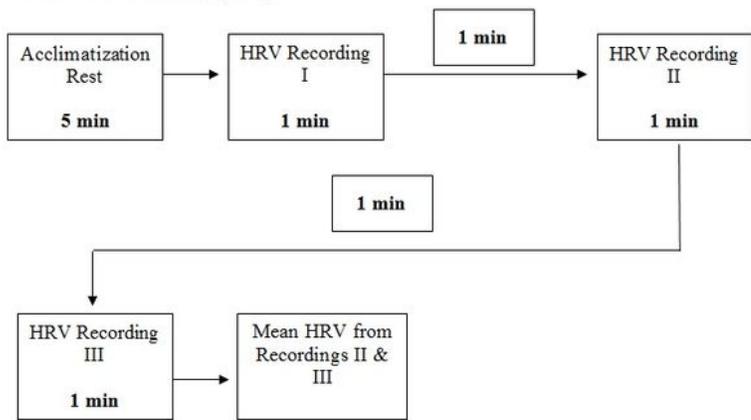


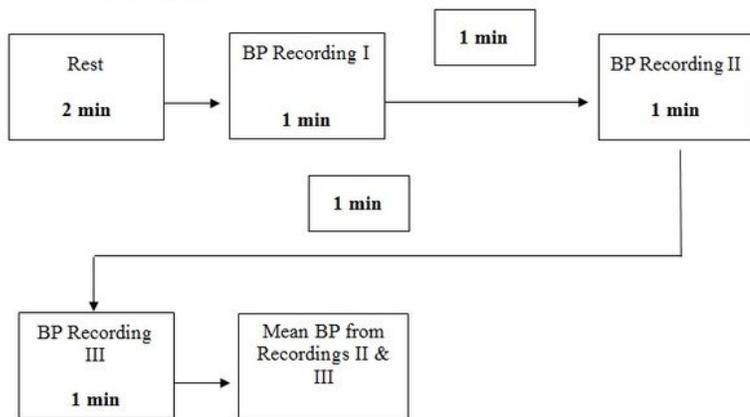
Figure 2

Clinical Visitations

3a. Heart Rate Variability (HRV)



3b. Blood Pressure (BP)



3c. Computerized Cuff Pressure Algometry (CPA)

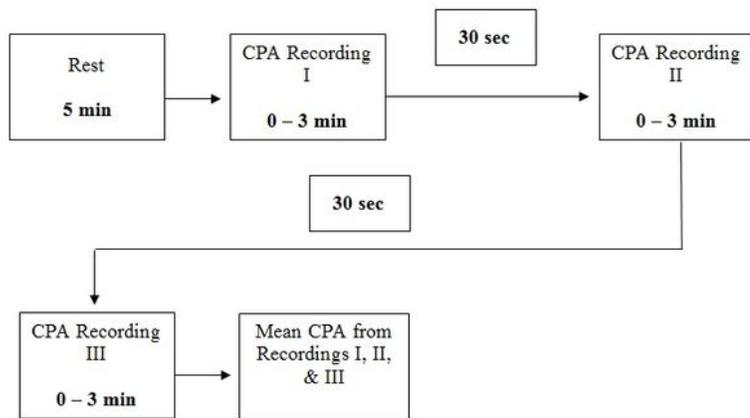


Figure 3

Data Collection Timeline

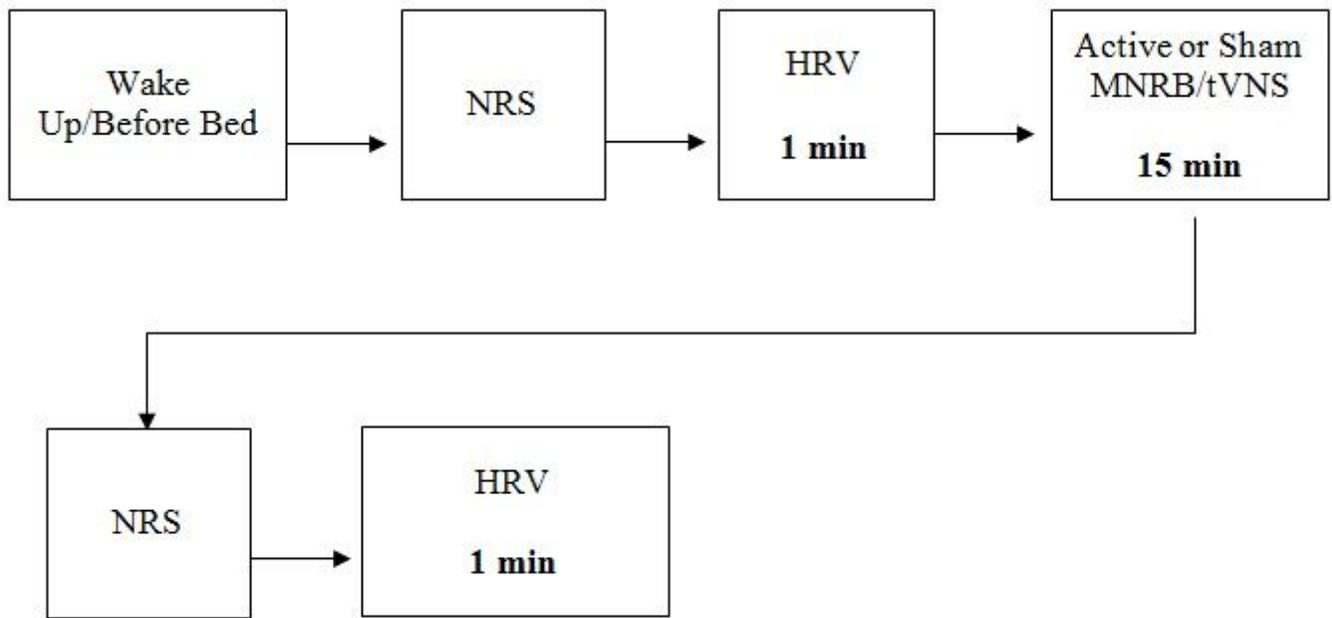


Figure 4

Daily Home Intervention Procedure



Figure 5

tVNS Device 5a. Nemos® Transcutaneous Vagus Nerve Stimulator (tVNS) Device (Figure taken from [107]).

6a. BarTek Device



Figure 6

BarTek Design

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [BodyversusMachineInformedConsentNorwegian.docx](#)
- [InformedConsentEnglishTranslationBMC.docx](#)
- [SPIRITChecklist.doc](#)