

# Net Costs of Breast Cancer in Colombia: A Cost-of-Illness Study Based on Administrative Claims Databases

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## Research Article

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**NET COSTS OF BREAST CANCER IN COLOMBIA: A COST-**

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**OF-ILLNESS STUDY BASED ON ADMINISTRATIVE CLAIMS**

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## **DATABASES**

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## **ABSTRACT**

18

### **Background**

19 Breast Cancer (BC) is associated with substantial costs of healthcare; however, real-world data  
20 regarding these costs in Colombia is scarce. The contributory regime provides healthcare  
21 services to formal workers and their dependents and covers almost half of the population in  
22 Colombia. This study aims to describe the net costs of healthcare in women with BC covered  
23 by the contributory regime in Colombia in 2019 from the perspective of the Colombian Health  
24 System.

## 25 **Method**

26 The main data source was the Capitation Sufficiency Database, an administrative database that  
27 contains patient-level data on consumption of services included in the National Formulary  
28 (PBS, in Spanish Plan de Beneficios en Salud). Data on consumption of services not included  
29 in the PBS (non-PBS) were calculated using aggregated data from MIPRES database. All direct  
30 costs incurred by prevalent cases of BC, from January 1 to December 31, 2019, were included  
31 in the analysis. The net costs of the disease were estimated by multiplying the marginal cost  
32 and the expected number of cases with BC by region and age group. Marginal costs were  
33 defined as the costs of services delivered to patients with BC after subtracting the expected  
34 costs of health services due to age, comorbidity burden or region of residence. To calculate  
35 these costs, we used Propensity Score Matching in the main analysis. All costs were expressed  
36 in 2019 international dollars. Productivity losses, transportation expenses, and caregiving costs  
37 were not included.

## 38 **Results**

39 A total of 46,148 patients with BC were identified. Total net costs were \$392.7 million (95%  
40 CI \$386.4 to \$404.9 million) 60% associated with non-PBS services. Marginal costs were  
41 \$8,507 (95% Confidence Interval \$8,305 to \$8,708), with substantial variations between  
42 regions age groups (from \$4,509 for older patients in the Amazonia region to \$12,646 for

43 younger patients in the Pacific region). The costs for PBS services were higher for ambulatory  
44 services and for patients who died during 2020.

#### 45 **Conclusions**

46 BC imposes a substantial economic burden for the Colombian Health System with important  
47 variations in net costs between regions and age groups. Patients near death and ambulatory  
48 services were associated with higher costs of healthcare.

49

50 **Keywords:** breast cancer, cost-of-illness study, net costs.

51

## 52 **INTRODUCTION**

53 Breast cancer (BC) is the most common malignancy and one of the leading causes of death  
54 among women worldwide (1). According to information published by the Ministry of Health,  
55 6,593 incident cases of BC were diagnosed during 2020 in Colombia, and almost one-third of  
56 all these cases were diagnosed at stage III or IV (2). Although incidence rates of BC in high-  
57 income countries have usually been higher, incidence rates in low- and middle-income  
58 countries (LMICs) have been rising by up to 5% per year (3). This continuous increase in  
59 incidence adds up to the high mortality burden associated with BC in patients from LMICs,  
60 largely attributed to limited diagnostic and treatment capacity in these settings (4, 5). In  
61 addition to the direct effects of the disease on health, BC also increases financial pressures on  
62 most health care systems (6, 7). This greater financial burden displaces investments from other  
63 programs within health systems.

64 Cost-of-illness (COI) studies seek to evaluate the economic burden that diseases impose on  
65 society in terms of the use of health care resources (8). This information can help estimate the

66 magnitude of diseases in monetary terms, justify preventive or therapeutic strategies, and  
67 provide an economic framework for the evaluation of programs, among other useful  
68 applications (9). BC has been the subject of several COI studies (10-16); however, there exists  
69 great variability between the estimates from these studies in Colombia. Furthermore, there is  
70 no information regarding the net costs associated to BC after adjusting for comorbidities. A  
71 failure to adjust for comorbidities increases the risk of double counting due to the attribution  
72 of all expenditures to the main diagnosis and is related to the generation of implausible large  
73 estimates of attributable costs (17).

74 Since 1993, Colombia has provided mandatory health insurance to all its citizens through  
75 several regimes of affiliation based on a managed care competition system. Formal workers  
76 and their dependents are affiliated with the contributory regime and comprise almost 48% of  
77 the total country's population. "Despite their regimen of affiliation, all individuals are entitled  
78 to receive all health services prescribed by authorized health professionals, included or not, in  
79 the National Health Benefit Package (HBP). In theory, the HBP contains all diagnostic,  
80 therapeutic and rehabilitation services to which all individuals are entitled to within the Health  
81 System, under a cost-effectiveness approach (18). In practice, the Ministry of Health  
82 undertakes a yearly updating process which continuously expands the list of services included  
83 in the HBP. However, new technologies, as well as off-label uses of some included drugs are,  
84 at least temporarily, not included in the HBP. Given the continuous growth of available  
85 therapeutic options, the cost of non-HBP services frequently takes a substantial share of the  
86 total costs of care in cancer (19). According to data published by the Ministry of Health, almost  
87 90% of non-HBP costs within the health system are related to the delivery of non-HBP drugs  
88 (20). Most of these services are provided by health maintenance organizations with mixed  
89 public and private ownership, and they are reimbursed by the Ministry of Health through a  
90 system of risk-adjusted capitation payments.

91 This study aims to estimate the net costs of BC in women affiliated with the contributory regime  
92 in Colombia in 2019. According to Barlow, net cost is defined as “*the difference between the*  
93 *mean costs for cancer patients and for patients without cancer who are otherwise*  
94 *comparable*”(21). All costs borne by the health system, medications, inpatient and outpatient  
95 services, and all diagnostic and surgical procedures, regardless of disease stage or histological  
96 classification, are included in this study. Costs not borne by the health system, such as  
97 productivity losses, out-of-pocket expenditures, transportation costs or caregiving, are not  
98 included.

## 99 **METHODS**

### 100 ***Setting and design***

101 This study uses a retrospective COI approach based on prevalent cases to establish the net costs  
102 of BC borne by the contributory regime in 2019. For services included in the HBP (HBP  
103 services), net costs are estimated using individual-patient data from existing administrative  
104 databases. For services not included in the HBP (non-HBP services), quantities and prices are  
105 extracted from aggregated data using the public information system provided by the Ministry  
106 of Health (SISPRO from the Spanish *Sistema Integrado de Información de la Protección*  
107 *Social*) and available tariff manuals. Finally, total net costs are calculated as the sum of the  
108 costs of HBP and non-HBP services. All costs are transformed to 2019 International US dollars  
109 using the Purchasing Power Parity conversion factor for Colombia published by The World  
110 Bank (1,343.6 Colombian pesos per international dollar) (22). All analyses are made using  
111 Stata MP® 14.0 and Microsoft® Excel® for Microsoft 365 MSO licensed to the National  
112 University of Colombia.

### 113 *Data sources*

114 This study uses data from existing administrative databases within the health system. The main  
115 source of data is the Database for the Study of the Sufficiency of the Capitation Unit (UPC  
116 from the Spanish *Base de datos para el estudio de la Unidad por Capitación*). The UPC  
117 database is used by the Ministry of Health to adjust the capitation payments received by HMOs  
118 according to the level of use of HBP services of their affiliates. The available UPC database  
119 reports patient-level data on the use of HBP services from approximately 80% of the  
120 contributory regime and includes the costs paid by the health system, the diagnosis associated  
121 with each service using the International Classification of Diseases (ICD-10), and the 10<sup>th</sup>  
122 revision jointly with the basic demographic characteristics. The UPC database is reported by  
123 HMOs to the Ministry of Health, and its data are collected at the point of delivery by health  
124 care providers. The process of data cleaning and validation of the UPC database is described  
125 in detail by Bolivar et al. (23).

126 All individuals in the UPC database are identified using an anonymized identification number  
127 that allows us to link their data to the Unique Registry of Affiliates (RUAF from the Spanish  
128 *Registro Único de Afiliados*) and the Unique Database of Affiliation (BDUA from the Spanish  
129 *Base de Datos Única de Afiliación*). RUAF allows health professionals to report data on all  
130 births and deaths, and its data are key to estimating, among others, mortality indicators of  
131 interest for the Colombian Government. The BDUA contains data from all individuals  
132 affiliated with the health system, and its data are essential for all reimbursements made by the  
133 Ministry of Health to HMOs. Finally, this study uses aggregated data from the My Prescription  
134 Database (MIPRES from the Spanish *Mi Prescripción*) available through SISPRO. MIPRES  
135 is a web tool that allows health professionals to report the prescription of non-PBS services,  
136 and it supports all claims for non-PBS services made by the HMOs.

### 137 *Identification of patients*

138 To identify patients with BC affiliated with the contributory regime, this study used the  
139 electronic algorithm previously validated by Saldaña et al. (24)

140 Saldana et al compared the incidence estimates of breast, stomach, and colorectal cancer  
141 obtained by several electronic algorithms in the UPC database with the estimates published by  
142 a prospective, multicentric, cancer registry in Colombia known as Infocancer. The electronic  
143 algorithms that yielded the incidence estimates nearest to the ones published by Infocancer  
144 were selected as the recommended algorithms for research within the UPC database.

145 For BC, the persistence of ICD-10 codes for at least four months and at least one BC-specific  
146 procedure (the “specific” algorithm) was selected as the recommended electronic algorithm  
147 and was used in this study for the main analysis. To estimate the robustness of these results to  
148 changes in the electronic algorithm, we calculated the prevalence estimates and the number of  
149 cases detected using the persistence of ICD-10 codes for at least four months without the  
150 criteria for BC-specific procedures (the “sensitive” algorithm). The full electronic algorithms  
151 used in this study are described in table S1 in the additional file.

152 All women who fulfilled the specific algorithm at any time from 2015 to 2019 and received at  
153 least one health care service during 2019, regardless of the stage of the disease or its  
154 histological classification, were included in the main cohort and classified as “exposed”.  
155 Unexposed individuals were selected among all individuals affiliated with the contributory  
156 regime who did not receive any ICD-10 code of BC from 2015 to 2019 and received at least  
157 one health care service for any other reason during 2019. Data regarding unexposed individuals  
158 without any consumption of health services during 2019 (i.e., non-users), and who did not  
159 receive any ICD-10 code of BC from 2015 to 2019, were collected from the corresponding  
160 BDUA database.



161 All costs accrued by exposed and unexposed individuals and borne by the health system, from  
 162 January 1<sup>st</sup> to December 31<sup>st</sup>, 2019, were considered in the analysis. Given that, Colombia  
 163 provides compulsory insurance coverage for all its citizens and that the UPC database contains  
 164 data on most individuals affiliated with the Contributory regime, no administrative censorship  
 165 or losses to follow-up were considered in the cohort.

166 To explore plausible differences in costs during 2019 between women within the first year of  
 167 diagnosis of BC or at the end of life, this study identified the subgroups of patients who received  
 168 their first diagnosis of BC during 2018 or who died during the first semester of 2020 within the  
 169 main cohort. Given that the available UPC database contains data regarding approximately  
 170 80% of the individuals affiliated with the contributory regime, this study will assume that the  
 171 individuals in the remaining 20% of the contributory regime present a similar distribution of  
 172 disease conditional prevalence, demographic characteristics, and costs.

### 173 ***Estimation of net costs associated to non-HBP services***

174 To estimate the net costs associated to the delivery of non-HBP services, this study uses data  
 175 on quantities from the MIPRES database through SISPRO and data on prices from the national  
 176 tariff manuals (25, 26). Equation 4 summarizes the approach used to calculate the costs of non-  
 177 HBP services:

$$Cost\ nonHBP = \sum_{region} \sum_{age} \sum_{service} \bar{q}_{iras} \times p_s \times n_{ra} \quad (1)$$

178 where  $\bar{q}_{iras}$  is the mean number of non-HBP services delivered per patient by region, age group  
 179 and service,  $p_s$  is the price of each service according to the data published by the Ministry of  
 180 Health in national tariff manuals, and  $n_{ra}$  is the expected number of patients per region and age  
 181 group. To decrease the risks of a misallocation of services to the main disease, this study only  
 182 considers the costs associated with the delivery of targeted therapies (that includes

183 immunotherapy, hormonal therapy, and standard chemotherapy) in this category. We believe  
 184 that this is a valid assumption since most non-HBP costs are caused by the delivery of targeted  
 185 therapies, and these are rarely used for other conditions unrelated to cancer. The full list of  
 186 included non-HBP therapies is shown in table S2 in the additional file.(20). All estimates are  
 187 reported with their corresponding 95% confidence intervals (95% CI), assuming a uniform  
 188 distribution for prices and quantities identified in the MIPRES database as the population  
 189 parameters.

### 190 *Estimation of net costs associated to HBP services*

191 A failure to adjust for comorbidities increases the risk of double counting due to a misallocation  
 192 of all expenditures to the main diagnosis. To decrease this risk, this study uses an approach  
 193 based on first estimating the marginal costs of delivering HBP services after adjusting for  
 194 comorbidities ( $\tau$ ) and then multiplying them by the expected number of individuals ( $n$ ) per  
 195 geographical region  $r$  and age group  $a$ . Equation 1 summarizes this approach:

$$Costs\ HBP = \sum_{region} \sum_{age} \tau_{ra} \times n_{ra} \quad (2)$$

196 “To estimate  $\tau$ , this study uses a nearest neighbor propensity score matching (PSM) within a  
 197 caliper of  $1 \times 10^{-3}$  percentage points without replacement in the main analysis.”. PSM allows  
 198 us to decrease the risk of a misallocation of costs by comparing individuals with and without a  
 199 given condition, but otherwise, a similar risk of use of resources (19). The methods used to  
 200 estimate attributable costs in COI studies using PSM have been described previously (27). In  
 201 summary, propensity scores per individual  $i$  are defined as the conditional probability of  
 202 assignment to a particular treatment ( $W=1$ ) versus no treatment ( $W=0$ ) given a vector of  
 203 observed covariates  $x_i$ :

$$e(x_i) = pr(W_i = 1 | X_i = x_i) \quad (3)$$

204 To estimate the propensity scores, this study uses logistic regression analysis with a binary  
 205 variable that indicates the disease status as the dependent variable and comorbidities (using the  
 206 Charlson Comorbidity Index), age, region of residence and employment status (defined as  
 207 employed or unemployed during the last 12 months) as explanatory variables. Given that data  
 208 regarding income during the last 12 months is available for approximately 80% of the entire  
 209 cohort only, we performed a sensitivity analysis to compare our PSM estimates for the full  
 210 cohort and for the subgroup with available data regarding average income (see table S3 in the  
 211 additional file). Finally, the marginal cost ( $\tau$ ) is defined as the mean of the expected differences  
 212 across all the matched pairs. Equation 3 summarizes this approach:

$$\tau_{ra} = \frac{1}{M_{ra}} \sum E[c_{ira} - c'_{ira} | e(x)] \quad (4)$$

213 where  $M_{ra}$  is the number of matched pairs and  $c_{ira}$  and  $c'_{ira}$  are the costs of delivering health  
 214 services per individual  $i$  in the age  $a$  and region  $r$  group, with and without BC, respectively.

215 The quality of the matching was assessed by calculating the remaining differences across the  
 216 covariates. Standardized differences between individuals with and without BC after matching  
 217 of greater than 20% were considered unacceptable (see table S4 in the additional file). The  
 218 robustness of all estimations of marginal costs was tested using ordinary least squares and  
 219 changes in the identification algorithm. The fitted OLS regression model was:

$$220 \quad COSTS_i = \beta_0 + \beta_1 BREAST_i + \beta_2 AGE_i + \beta_3 INS_i + \beta_4 REGION_i + \beta_5 JOB_i + \delta Z_i + u_i$$

221 where  $COSTS_i$  represents the costs accrued by the individual  $i$  due to the delivery of health  
 222 services during 2019;  $BREAST_i$  represents a dummy variable that can take values of one if the  
 223 individual is classified with diagnosis of breast cancer, or zero otherwise;  $AGE$  represents the  
 224 age in years,  $INS$  is a nominal variable that represents the insurer;  $REGION_i$  represents the  
 225 region where the individual  $i$  received most services from 2015 to 2019;  $JOB_i$  represents a

226 dummy variable that can take values of one if the individual  $i$  was employed during the last 12  
227 months, or zero otherwise. The vector  $\mathbf{Z}_i$  includes all comorbidities defined by the Charlson  
228 Comorbidity Index; and  $u_i$  represents an idiosyncratic error clustered by individual. The OLS  
229 model included all variables available in the dataset to describe baseline sociodemographic and  
230 clinical characteristics, therefore no variable selection strategies were used to specify the final  
231 model.

232 All estimates are reported with 95% confidence intervals (95% CIs) using robust standard  
233 errors and are reported by category of service and setting. Exploratory estimations of costs are  
234 made for the subgroup of patients who received the first diagnosis of BC during 2018 and for  
235 the subgroup of those who died during the first semester of 2020.

### 236 *Estimation of total net costs*

237 Finally, total attributable costs by age group and region are calculated by summing the costs  
238 due to the delivery of HBP and non-HBP services as calculated in Equations 1 and 4. All  
239 estimates of total attributable costs and their corresponding 95% CI are presented by category,  
240 setting of delivery and exploratory subgroups of interest.

241

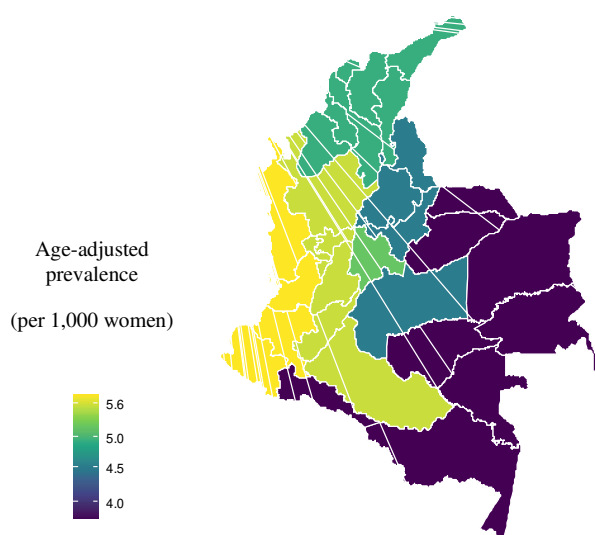
## 242 **RESULTS**

### 243 *Identification of patients with breast cancer in the contributory regime*

244 Using the specific algorithm described by Saldaña et al. (24), this study identified 40,800  
245 women with BC in the UPC database during 2019. Assuming a similar distribution of baseline  
246 risks with women with unavailable data, 46,148 women in the contributory regime suffered  
247 from BC and used at least one health service during 2019 (see Tables S5 and S6 in the  
248 additional file). The age-adjusted prevalence conditional on the use of health services varied

249 widely across the country, ranging from 3.8 cases per 1,000 women in Amazonia to 5.8 cases  
 250 per 1,000 women in the Pacific region (see Figure 1). The baseline characteristics of the main  
 251 study cohort and the prespecified subgroups are described in Table 1. In summary, whereas  
 252 most women from the main cohort were between 45 and 64 years old and had a Charlson  
 253 Comorbidity Index (CCI) of I or II (including the cancer diagnosis), most women from the  
 254 cohort of deceased in 2020 were 65 years old or older and had a CCI of five or more. In contrast,  
 255 most women from the main cohort and all subgroups lived in Bogotá or the Central region of  
 256 the country.

257



258 Figure 1. Age-adjusted prevalence of Breast Cancer in the contributory regime by region,  
 259 conditional to the consumption of at least one health service during 2019

260

261 Table 1. Baseline characteristics of the main study cohort

	Main cohort (n=40,800)		Diagnosis in 2018 (n=5,067)		Deceased in 2020 (n=833)	
	n	%	n	%	n	%

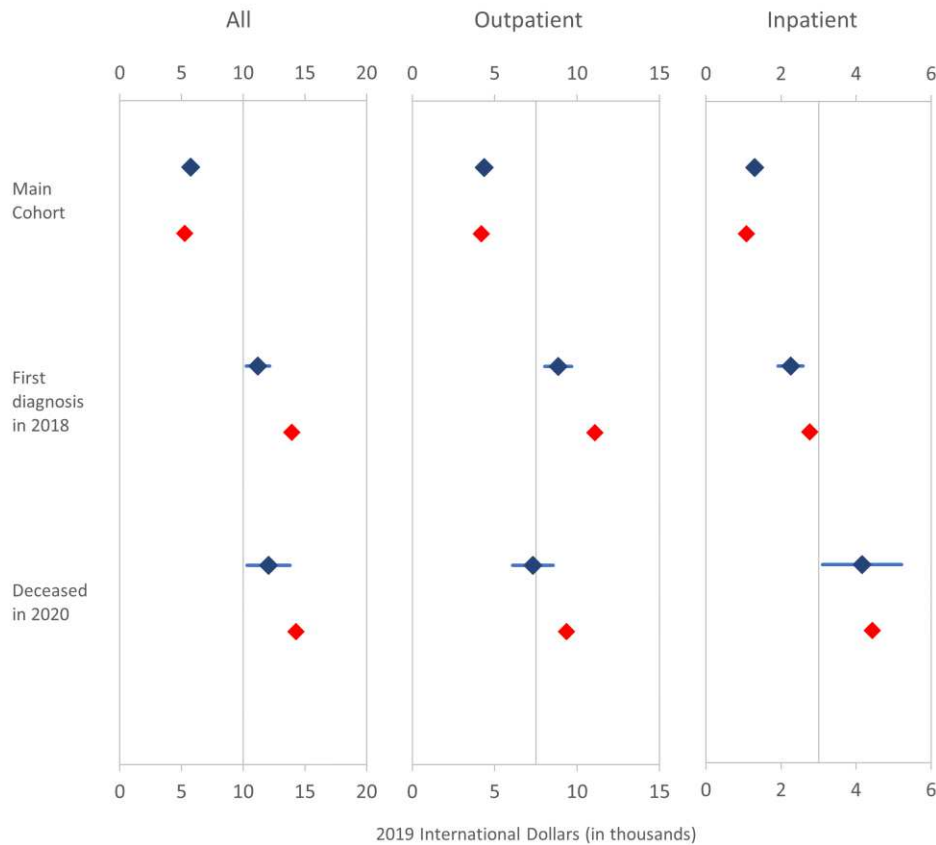
Age (years)						
20 – 44	6,469	15.86	923	18.22	107	12.85
45 – 64	20,804	50.99	2672	52.73	351	42.14
65 or more	13,527	33.15	1472	29.05	375	45.02
Charlson Comorbidity Index						
I – II	19,931	48.85	2943	58.08	226	27.13
III – IV	11,673	28.61	1476	29.13	257	30.85
V or more	9,196	22.54	648	12.79	350	42.02
Presence of ICD-10 codes associated with metastatic disease						
Yes	3,847	9.43	140	2.76	175	21.01
No	36,953	90.57	4,927	97.24	658	78.99
Region of residence						
Atlántica	4,606	11.29	628	12.39	114	13.69
Bogotá	11,994	29.40	1335	26.35	237	28.45
Central	12,316	30.19	1566	30.91	223	26.77
Oriental	5,216	12.78	701	13.83	114	13.69
Orinoquía	226	0.55	35	0.69	3	0.36
Pacífica	6,442	15.79	802	15.83	142	17.05

262 n: number of cases

### 263 *Estimation of net costs associated to HBP services*

264 The total net costs associated with the delivery of HBP services in the contributory regime were  
265 \$243.5 million (95% CI \$242.3 to \$244.8 million) during 2019. The distribution of these costs  
266 across age groups and regions is described in Tables 2, and tables S5 and S6 in the additional  
267 file. In brief, the mean attributable cost of delivering HBP services in the contributory regime

268 was \$5,277 (95% CI \$5,249 to \$5,305). These costs were substantially different between  
269 regions, age groups and settings of delivery. Regarding regions, mean attributable costs were  
270 lower for Bogotá (\$4,835, 95% CI \$4,786 to \$4,884) than for other regions (from \$5,037 [95%  
271 CI \$4,962 to \$5,113] in Atlántico to \$7,936 [95% CI \$7,571 to \$8,301] in Orinoquia). Likewise,  
272 regarding differences between age groups and settings of delivery, mean attributable costs per  
273 patient were lower for women of older ages (from \$7,334 to \$10,077 in the group of 20 to 44  
274 years old to \$2,756 to \$4,489 in the group of 65 years old or older) and inpatient services (from  
275 \$1,061 to \$1,097 for inpatient services to \$4,182.83 to \$4,216 for outpatient services). The  
276 subgroups of patients with an initial diagnosis during 2018 and those who died during the first  
277 semester of 2020 showed higher mean per patient costs of care during 2019 than those in the  
278 main cohort. The results of using OLS to estimate the mean attributable costs per patient are  
279 shown in Figure 2. No apparent differences were found between the results yielded by both  
280 model specifications. Additional information regarding mean attributable costs per patient by  
281 category of service is described in table S7 and S8 in the Additional file.



282

283 Figure 2. Marginal costs of delivering services included in the Health Benefit Package in  
 284 women affiliated with the contributory regime in 2019 by type of model's specification  
 285 (Propensity Score Matching: blue, Ordinary Least Squares: red)

286

### 287 *Estimation of net costs associated to non-HBP services*

288 The total and mean attributable costs per patient due to the delivery of non-HBP services in the  
 289 contributory regime are listed in Tables 2, and S9 and S10 in the additional file. In summary,  
 290 the total cost was almost \$150 million (95% CI \$139.9 to \$158.2 million). The mean per patient  
 291 cost was \$3,230 (95% CI \$3,030 to \$3,427). There were striking differences in these costs  
 292 between regions, being almost zero in the Orinoquia region and almost \$5,000 in the Pacific  
 293 region. No apparent differences were found between age groups.

294 Table 2. Mean attributable costs per patient in the Contributory Regime during 2019 by region.



	n	Services included in the Health Benefit Package	Services not included in the Health Benefit Package	Total
Atlántica	5,190	5,037.72 (4,962.03 - 5,113.41)	3,280.17 (2,904.16 - 3,646.38)	8,319.18 (7,930.0 - 8,695.73)
Bogotá	12,887	4,835.78 (4,786.89 - 4,884.67)	3,904.43 (3,731.39 - 4,073.49)	8,738.14 (8,555.28 - 8,919.13)
Central	13,943	5,263.94 (5,214.70 - 5,313.18)	2,400.69 (2,252.92 - 2,546.81)	7,663.54 (7,504.09 - 7,819.70)
Oriental	6,032	5,128.65 (5,043.20 - 5,214.11)	1,786.20 (1,663.04 - 1,905.00)	6,912.83 (6,756.79 - 7,065.41)
Orinoquía	346	7,936.60 (7,571.40 - 8,301.80)	15.98 (11.26 - 20.73)	7,952.28 (7,590.88 - 8,318.36)
Pacífica	7,752	6,536.16 (6,357.87 - 6,714.44)	4,860.81 (4,558.42 - 5,152.12)	11,393.14 (11,029.74 - 11,751.18)
All	46,148	5,277.56 (5,249.85 - 5,305.28)	3,229.75 (3,030.17 - 3,426.80)	8,507.31 (8,305.26 - 8,707.81)

295 95% Confidence Intervals in parenthesis, n: number of cases by region

### 296 *Estimation of total attributable costs*

297 The total cost of delivery of health services in patients with BC in the contributory regime in  
298 2019 was \$392.7 million (95% CI \$386.4 to \$404.9 million), with a mean per patient cost of  
299 \$8,507 (95% CI \$8,305 to \$8,707). The mean per patient costs ranged from \$6,912 in the  
300 Oriental region to \$11,393 in the Pacific region. In parallel with the findings on costs due to  
301 the delivery of HBP services, total attributable costs per patient were consistently lower in  
302 women of older ages (from \$8,429 to \$12,646 in the group of 20 to 44 years old to \$4,508 to  
303 \$9,592 in the group of 65 years old or older).

## 304 **DISCUSSION**

305 This study estimates the net costs associated to BC in women affiliated with the contributory  
306 regime in Colombia in 2019. Total net costs associated to BC and borne by the contributory  
307 regime in 2019 ranged from \$383.3 to \$401.9 million, with mean per patient costs that ranged  
308 from \$6,912 in the Oriental region to \$11,393 in the Pacific region. To the best of our  
309 knowledge, this is the first published report that estimates the costs of delivering health services  
310 in BC using national data from Colombia, and it is also the first to describe differences in these  
311 expenditures across the country.

312 Several studies have evaluated the economic burden that BC imposes on Latin American health  
313 systems. Palacios et al., in a systematic review of the literature, summarized existing evidence  
314 regarding the health care costs of patients with BC and their relatives in Latin America and the  
315 Caribbean (28). The authors identified 63 studies, 8 of which described the costs of BC in  
316 Colombia. Buendia et al., in a cost-effectiveness analysis of trastuzumab for the treatment of  
317 early human epidermal growth factor receptor 2-positive (HER2+) BC, estimated the costs of  
318 the disease in Colombia in 2012 (13). Using a Markov model, the authors estimated a lifetime  
319 cost of \$132,361 per patient treated with trastuzumab and of \$75,315 per patient on the control  
320 treatment. Likewise, using a Markov model, Chicaíza et al. estimated the cost-effectiveness of  
321 trastuzumab in the treatment of epidermal growth factor receptor 2-positive (ErbB2+)  
322 metastatic BC (14). The authors estimated a 5-year cost per patient that ranged from COP  
323 \$82,017,207 to COP \$105,313,611 (\$68,144 and \$87,500 in 2012 international dollars),  
324 depending on the selected treatment strategy. More recently, Perea et al., in an analysis of the  
325 cost-effectiveness of immediate versus delayed breast reconstruction, estimated the health care  
326 cost of BC with a time horizon of one year (29). The authors calculated a cost per patient that  
327 ranged from \$11,060 to \$11,165 depending on the type of treatment strategy.

328 In addition to these model-based estimations, some authors have also used case study methods  
329 and an analysis of administrative databases to calculate the costs of BC in Colombia. Gamboa  
330 et al., using a case study, estimated the health care costs of BC by clinical stage (11). The costs  
331 per year ranged from \$10,531 to \$30,826 in 2020 international dollars for stages I and IV,  
332 respectively (28). Similarly, Moreno et al. evaluated the costs of delivering health care services  
333 to patients with BC affiliated with an HMO from 2010 to 2014 (15). The authors estimated a  
334 one-year cost per patient ranging from \$5,214 to \$8,350 depending on the year in the study  
335 period. Finally, Franco et al. estimated the costs and resource utilization of health services in  
336 patients with advanced HR+/HER2- BC using medical records from 145 patients who initiated  
337 first-line treatment from 2012 to 2014 (12). The mean costs per patient ranged from \$1,972 to  
338 \$2,716 by line of treatment.

339 In contrast to previous studies, this study finds that the mean per patient costs of health services  
340 in BC ranged from seven to almost eleven thousand dollars in the contributory regime during  
341 2019. There are several reasons why these results can provide a more accurate estimate of the  
342 costs paid by the health system than previous studies. First, the UPC database provides a rich  
343 and reliable source of information on the use of health services by individuals. Given that the  
344 data are generated at the point of delivery by providers and are key to all claims made by HMOs  
345 to the Ministry of Health, we believe that this is an appropriate incentive to avoid an  
346 underreporting of health services. Furthermore, as described in detail by Bolivar et al., the UPC  
347 database undergoes an extensive process of data cleaning and cross-checking using, among  
348 others, the financial reports that HMOs regularly submit to the Ministry of Health and data  
349 from RUAF and BDUA (23). This external validation process may serve as an additional  
350 auditing tool to identify duplications of reported services or individuals within the database.

351 A second reason why our results may provide more accurate estimates is that this study includes  
352 all services delivered to patients with BC, independent of the type of service and the registered

353 diagnosis. This feature is of great importance since many complications due to the disease or  
354 treatment might be registered in administrative databases as “unrelated” to the main diagnosis.  
355 In contrast, to decrease the risk of excessively allocating services to the main diagnosis, this  
356 study uses econometric methods to isolate the effects of the disease on fitted costs (30).

357 A third reason that further lends support to the accuracy of our results is that the UPC database  
358 contains actual data about prices paid by the health system. Given the wide variation in market  
359 prices for health services and the extensive bargaining processes that HMOs undertake with  
360 individual providers, there may be substantial differences between actual prices paid by the  
361 health system and the prices registered in tariff manuals. Using data from UPC allows this  
362 study to reduce the reliance of its estimates on unverified assumptions about market prices.

363 Finally, it is well-known that the analysis of healthcare resources poses some significant  
364 challenges. These challenges are based on the fact that, among other things, healthcare data  
365 often show substantial positive skewness, heavy tails and are often multimodal (e.g. with a  
366 mass at zero for non-users) (31). This non-normal distribution is frequently associated with the  
367 production of non-informative means. Given that, the mean is the statistic of interest to most  
368 policy makers (32), this study calculates the means of 2019 BC healthcare expenditures for two  
369 previous pre-specified subgroups: the subgroup of individuals diagnosed during 2018 and the  
370 subgroup of individuals who died during the first semester of 2020. We acknowledge that this  
371 approach does not allow to make inferences about how mean estimates of costs change during  
372 the course of the disease, however, we believe that this information may help policy makers to  
373 prioritize health programs for patients with recent diagnosis or who are at the proximity of  
374 death

375 One surprising finding of this study is the important differences between the mean per patient  
376 costs identified by region. Several differences in the characteristics of demand for health

377 services in BC across the country may help explain this wide variation in costs. A more  
378 advanced stage of the disease at diagnosis, especially in regions with low access or utilization  
379 of preventive care, may increase the costs of delivering health services in BC (33, 34).  
380 Likewise, regions with large segments of their populations with earnings below the poverty  
381 line and with low levels of schooling may also present less for HBP and particularly non-HBP  
382 services (35).

383 In addition to differences in demand, differences in characteristics of supply may also help  
384 explain these large differences in mean per patient costs between regions. The delivery of  
385 oncology services in Colombia is highly concentrated in the large urban areas of Bogota,  
386 Antioquia and Valle del Cauca (36). Except for Vaupes, in 2018, all departments from the  
387 Orinoquia – Amazonia region did not report any registered providers of oncological services.  
388 It is highly likely that markets with a higher concentration of providers may exert considerable  
389 pressures to decrease prices and therefore lower the mean per patient costs of delivering health  
390 services (37).

391 Our study has some weaknesses that must be addressed. The first concerns the use of data  
392 regarding the clinical stage to estimate disease severity. The study estimates the impact of the  
393 severity of BC on the costs of health services in 2019 by exploring differences in cost estimates  
394 for patients who died during the first semester of 2020. The impacts of these advanced stages  
395 on the costs of health services are shown in Figure 2. In summary, patients who died during  
396 the first semester of 2020 presented an almost three-fold increase in costs compared to patients  
397 in the main cohort.

398 Another weakness of this study is that prices were not actually paid by the health system due  
399 to the delivery of non-HBP services. Using prices from tariff manuals ignores the fact that the  
400 characteristics of health markets may affect the final costs of services. To incorporate this

401 uncertainty into our estimates, the study used the range of prices identified from tariff manuals  
402 to feed 95% confidence intervals for each estimate of costs in non-HBP services.

403 Another weakness is that unfortunately, we don't have reliable data regarding the population  
404 without data in the UPC database. This population is affiliated with insurance companies whose  
405 data do not fulfill the minimum standards for quality and completeness required by the Ministry  
406 of Health, and therefore, are not available for research purposes (23). This population is, most  
407 of the times, affiliated with insurance companies with relatively fewer affiliates, at higher  
408 financial risk, and whose affiliates live outside the Andes and Caribe regions (see table S11 in  
409 the additional file). Nevertheless, we believe that our approach may provide at least a  
410 conservative estimate of the costs of BC in this population. This assumption is based on the  
411 fact that, this unfavorable financial conditions is frequently associated with low investments  
412 on disease screening and early treatment, and therefore, might result in greater costs due to a  
413 higher rate of complications and end-of-life care (38).

414 Finally, there is no information regarding the histological confirmation of the diagnosis of BC.  
415 As mentioned above, to decrease the impact of including patients without the disease (i.e.,  
416 false-positive cases), the study used the identification algorithm developed by Saldaña et al.  
417 (24). According to these authors, BC identification algorithms have a high PPV compared to  
418 identification algorithms for other malignancies. Furthermore, the "specific" algorithm  
419 developed by Saldaña et al. for the UPC database provides very close estimates of incidence  
420 when compared to official registries of cancer in Colombia (39).

## 421 **CONCLUSIONS**

422 This study estimates net costs associated to BC that were borne by the contributory regime in  
423 2019. It also describes the distribution of these costs across age groups, regions, and settings  
424 of delivery of services. These estimates can help in designing public health interventions aimed

425 at promoting the early detection of BC, making informed decisions on the allocation of health  
 426 resources, and producing essential data for cost-effectiveness analysis of interventions, among  
 427 others. This study also provides information that will help improve understanding of the  
 428 financial effects of BC on health systems from LMICs worldwide. Further research is needed  
 429 to identify the mechanisms that help explain the remarkable differences in mean per patient  
 430 costs across the country.

### 431 **LIST OF ABBREVIATIONS**

BC	Breast Cancer
LMICs	low- and middle-income countries
COI	Cost-of-illness studies
HBP	Health Benefit Package
UPC	Database for the Study of the Sufficiency of the Capitation Unit
ICD-10	International Classification of Diseases, 10 <sup>th</sup> revision
RUAF	Unique Registry of Affiliates
BDUA	Unique Database of Affiliation
MIPRES	My Prescription Database
CI	Confidence Interval
PSM	Propensity Score Matching
CCI	Charlson Comorbidity Index
HER2	Human Epidermal Growth Factor Receptor 2
ErbB2	Epidermal Growth Factor Receptor 2

432

## 433 **DECLARATIONS**

### 434 ***Ethics approval and consent to participate:***

435 This study was approved by the Ethics Committee of the Faculty of Medicine of Universidad  
436 Nacional de Colombia, Act 008, May 12<sup>th</sup>, 2022. All datasets used in this study were provided  
437 by the Colombian Ministry of Health to Universidad Nacional de Colombia for research  
438 purposes and is available under authorization of the Ministry. All data are stored in secure  
439 servers at the Faculty of Medicine. All identification data were removed before being delivered  
440 to the Instituto de Investigaciones Clínicas. Data from single individuals were identified using  
441 an anonymized identifier provided by the Ministry of Health.

### 442 ***Consent for publication***

443 Not applicable

### 444 ***Availability of data***

445 The data that support the findings of this study are available from the Colombian Ministry of  
446 Health, but restrictions apply to the availability of these data, which were used under license  
447 for the current study, and so are not publicly available. Data are however available from the  
448 authors upon reasonable request and with permission of the Colombian Ministry of Health.

### 449 ***Competing interests***

450 Alarcon A, Castano N and Reyes JM are paid employees of Pfizer S.A.S. Buitrago G has  
451 received research contracts through the National University of Colombia from Pfizer, Baxter,  
452 Roche, Amgen and Glaxo-Smith-Kline. He also has been sponsored for attendance of academic  
453 events by Amgen and Pfizer. Torres G has received research contracts through the National  
454 University of Colombia from Pfizer, Roche, Amgen and Glaxo-Smith-Kline. He also has been  
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459 authors.

460 ***Authors' contributions***

461 GB and GT analyzed the data, GT wrote the first draft of the manuscript and the study protocol.  
462 All authors contributed to the design of the study, analysis of the results and read and approved  
463 the final manuscript

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573 [pacientes/tipos-cancer/cancer-mama.](https://www.cancer.gov.co/conozca-sobre-cancer-1/informacion-sobre-cancer-para-pacientes/tipos-cancer/cancer-mama)  
574

575 **ADDITIONAL FILES**

576 Name: Additional\_file.docx.

577 Title: Supplementary Data.

578 Description: This file contains additional information regarding cost estimates by region, age  
579 group and category of costs. It also includes additional information regarding sensitivity  
580 analysis and balance of variables before and after matching.

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