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## Research Article

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# SiC generation mechanism in photovoltaic crystal pulling waste graphite infusion cylinder

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## Abstract

Carbon etching and silicide deposition are common phenomena in furnaces during photovoltaic crystal pulling processes, both of which decrease Graphite components in the furnace service life and pollute silicon-based materials. SiC as one of the major silicides and carbon etching products whose generation mechanism is of practical significance. Therefore, this study took discarded graphite infusion cylinders with significantly different apparent morphologies as the research objects. Through systematic characterization and thermodynamic analysis, we investigated the physical and chemical reactions that occurred during the generation of SiC in various regions of a discarded graphite infusion cylinder. We derived the SiC delamination, infiltration, and phagocytosis mechanism to explain the formation of the apparent morphological characteristics of the waste graphite infusion cylinder. We also described the Generation mechanism of SiC in graphite infusion cylinder during photovoltaic crystal pulling process to provide a theoretical basis for prolonging the service life of the graphite infusion cylinder and treating waste graphite infusion cylinder.

29 **Keywords:** Photovoltaic crystal pulling, graphite, SiC, infusion cylinder

## 30 **1. Introduction**

31 The development of a sustainable low-carbon economy and the use of renewable  
32 and clean energy are important for reducing carbon emissions<sup>26</sup>. Photovoltaic (PV)  
33 power generation is one of the most promising ways to obtain clean energy [4-6], and  
34 China is the world's largest energy consumer and a leader in the solar PV industry[7-9].  
35 The rapid development of the photovoltaic industry[10-11]has led to a rapid growth in  
36 demand for industrial silicon, monocrystalline silicon, polycrystalline silicon, chips,  
37 cells, and modules[12-14]. Li et al[15]pointed out that graphite products are necessary  
38 for the development of the photovoltaic industry, and are often used to manufacture key  
39 upstream equipment in the photovoltaic industry[16-18]. However, severe carbon  
40 etching and silicide deposition often occur[19-20], which greatly shorten the service  
41 life of components and affect the quality of the silicon ingots. This process also  
42 generates a large amount of waste graphite, which greatly impacts the development of  
43 the photovoltaic industry and pollutes the environment. Because graphite components  
44 are made of isostatically-pressed, high-purity graphite, they should be fully  
45 recycled[21]. Purifying this waste graphite infusion cylinder or reducing its generation  
46 can fully utilize graphite resources and improve industrial efficiency.

47 In recent years, the generation mechanism of silicon carbide during the  
48 manufacture of monocrystalline and polycrystalline silicon has been investigated. Liu  
49 et al.[22] performed transient global modeling of heat and mass transfer during the  
50 melting of silicon crystal growth in direct-drawn monocrystalline silicon and simulated  
51 the formation and engulfment mechanism of silicon carbide during the directional  
52 solidification growth of industrial silicon. Kalejs and Chalmers[23] probed the  
53 nucleation and growth mechanisms of silicon carbide at the solid-liquid interface.  
54 Ouaddah et al.[24]proposed a model for the presence of silicon carbide precipitates at  
55 the solid-liquid interface during silicon-based solidification processes . Bornside et al.  
56 explored the formation mechanism of silicon-carbon impurities in the system by  
57 simulating the convection and diffusion of SiO and CO in the furnace during the

58 production of monocrystalline silicon by the straight drawing process(CZ). The  
59 simulation illustrated that during the direct drawing of monocrystalline silicon, the  
60 source of carbon contaminants entered the silicon melt via gas-phase transport, i.e., in  
61 the form of carbon monoxide. Dissolved oxygen in the melt and silicon generated by  
62 silicon monoxide entered the furnace via evaporation of the surface of the silicon melt.  
63 The gas-phase SiO subsequently reacted with the graphite fixed inside the furnace to  
64 form CO. Then, this cycle of reactions was repeated, and silicon carbide was also  
65 produced during the reaction[25]. During the reaction, the sources of oxygen included  
66 the dissolution of the quartz crucible containing molten Si and the absorption of CO  
67 into the melt[26], the sources of carbon were the graphite components in the  
68 furnace[27-28].

69 Various studies have established models to determine the formation mechanism  
70 of SiC during polycrystalline silicon pulling. Delannoy et al.[29] introduced a time-  
71 dependent three-dimensional numerical model for the solidification of large-size  
72 photovoltaic silicon ingots, which was applicable to account the formation mechanisms  
73 of liquid-phase SiC. The proposed SiC formation mechanism was: dissolved carbon  
74 aggregated on the surface of the silicon melt, silicon carbide precipitated when the  
75 carbon concentration exceeded the carbon dissolution limit of the silicon melt, and then  
76 silicon nucleated on the silicon carbide particles and eventually engulfed them to form  
77 a sand-like structure. Gao et al.[30]proposed a mechanism for the formation of carbon  
78 impurities in the gas phase during polysilicon production. Subsequently, Zheng et al.  
79 summarised and proposed the generation, transport and dissolution laws of SiO and CO  
80 in the furnace based on the duo's viewpoints, and elaborated on the mechanism by  
81 which the carbon solutes overflowed from the cured silicon and piled up at the growth  
82 interface to form SiC precipitates[31]. The generation and migration of carbon  
83 impurities have been widely studied, and the corresponding mechanism of silicon  
84 carbide formation has been proposed. However, due to the the research subjects were  
85 in a high-temperature, vacuum, closed environment, the reaction temperature changes  
86 with the thermal environment. Current research has mainly focused on the use of  
87 computer simulations and theoretical analyses,and the main objects were formation

88 mechanisms of SiC in silicon melt, monocrystalline silicon and polycrystalline silicon  
89 products. There have been fewer reports of the formation mechanism about SiC in  
90 photovoltaic crystal pulling waste graphite infusion cylinder.

91 In this article, the formation mechanism of SiC in a photovoltaic pulling crystal  
92 waste graphite infusion cylinder is proposed. Selected the existence of significant  
93 differences in the apparent morphology of the photovoltaic crystal pulling waste  
94 graphite infusion cylinder as the object of study, through the systematic characterisation  
95 and analysis, thermodynamic analysis to study the mechanism of SiC generation in the  
96 photovoltaic crystal pulling waste graphite infusion cylinder, with the aim of providing  
97 the theoretical basis to increase the service life of photovoltaic crystal pulling graphite  
98 infusion cylinder and treatment and purification application of waste graphite infusion  
99 cylinders.

## 100 **2. Material and method**

### 101 **2.1 Sample Preparation**

102 The waste graphite infusion cylinder was placed in a blast drying oven at 70 °C  
103 for two hours. After cooling, the sample was divided into six regions according to its  
104 apparent morphological characteristics. A part of the sample was selected from each of  
105 the six regions for crushing, grinding, and sieving, Fig. 1(a) shows the sample obtained  
106 after crushing, which black powder for qualitative and quantitative analyses samples can  
107 be prepared by grinding and sieving to obtain by it. Some samples from each of the 6  
108 regions were selected to be cut and polished to obtain a block sample, which Can be  
109 used in SEM to observe delamination, infiltration and other morphological features, as  
110 shown in Fig. 1(b).

### 111 **2.2 Sample characterization**

112 According to the GB/T3521-2008 graphite chemical analysis method to detect the  
113 fixed carbon content of the sample, each sample was tested three times and the average  
114 value was taken. An Agilent 5800 VDV ICP-OES inductively coupled plasma emission  
115 spectrometer was used for the qualitative and quantitative analysis of the sample  
116 elements. X-ray diffractometer model X'Pert3 Powder was used for the material

117 identification. A PHI5000 Versaprobe-II multifunctional scanning imaging  
118 photoelectron spectrometer was used to analyze the chemical states of samples. A  
119 VEGA3 TESCAN tungsten filament scanning electron microscope was used to observe  
120 the morphology and microstructure of the samples.

### 121 **3. Results and Discussion**

#### 122 **3.1 Characterization of the apparent morphology of the samples**

123 As shown in [Fig. 2\(a\)](#), there were significant differences in the apparent  
124 morphology of the waste graphite infusion cylinder, which was divided into six regions  
125 from top to bottom: A, B, C, D, E, F. [Fig. 2\(b\)](#) shows that there were different  
126 characteristics among the six regions. In region A, there were earthy-yellow and white  
127 intertwined substances attached to the surface of the infusion cylinder, which were  
128 powdered, lumpy amorphous substances with a fine, soft, and loose texture. In region  
129 B, there were a few gray scaly substances on the surface of the graphite body. In region  
130 C, the surface of the infusion cylinder generated a more greenish material. The upper  
131 layer was granular and light texture while the lower layer was a denser, more massive  
132 material. In region D, more black particles accumulated material, and the particle size  
133 was large (1–3 mm), and the particle density was higher, with a metallic luster. In  
134 region E, substances on the surface of the infusion cylinder were similar to region D,  
135 but the particles size were smaller than those in region D, and the color was lighter. In  
136 region F, the surface of the infusion cylinder were lighter coloured spherical silver-grey  
137 particles with a high degree of adhesion to the infusion cylinder compared to areas D  
138 and E, the particles were hard, with a metallic appearance, and the densities were the  
139 highest among the six areas. Overall, from area A to area F, the material generated on  
140 the surface of the infusion cylinder gradually hardened and became more  
141 metallic.

#### 142 **3.2 Qualitative and quantitative analysis**

143 The fixed carbon detection data are shown in [Table 1](#). Overall, the fixed carbon  
144 contents of the waste graphite infusion cylinders were all over 50% because the infusion  
145 cylinders were made of high-purity isostatically-pressed graphite. Among the six

146 regions, A region had the highest fixed carbon content, and B region was slightly lower  
147 than region A, but both regions were higher than 90%, probably due to the lowest  
148 relative temperature in the A region. Most silicon vapors directly condensed here, which  
149 did not react with the graphite body of the infusion cylinders. From region A to F, the  
150 fixed carbon content gradually decreased, probably more SiC were produced due to  
151 closer proximity to the silicon melt and at higher temperatures. The ICP results also  
152 illustrated this point, in which the waste graphite infusion cylinder mainly contained C,  
153 Si, Cu, Sn, Fe, and Ti elements, of which the relative content of carbon was the highest,  
154 followed by silicon. Relative content of silicon obtained from ICP analysis. From  
155 regions A to F, the relative content of silicon gradually increased, indicating that there  
156 may be more silicon or silicon carbide in the system. So the XRD was carried out on  
157 the ash of the waste graphite infusion cylinder samples, the main phases of ash are Si  
158 and SiC, combined with Fig. 3, from A to F, the relative intensities of the characteristic  
159 peaks of SiC and Si were enhanced.

160 The XRD patterns in Fig. 3, show that characteristic peaks of carbon appear near  
161  $2\theta = 26.5^\circ, 42.3^\circ, 44.5^\circ, 50.7^\circ, 54.6^\circ, 59.9^\circ, 71.5^\circ, 77.5^\circ, 83.6^\circ, 85.4^\circ, \text{ and } 87.0^\circ$ , with  
162 the strongest peak at  $26.554^\circ$ . The characteristic peaks of silicon appeared around  $28.4^\circ,$   
163  $47.3^\circ, 56.1^\circ, 58.8^\circ, 69.1^\circ, 76.3^\circ, \text{ and } 88.0^\circ$ , with the strongest characteristic peak at  
164  $28.443^\circ$ . The peaks at  $35.6^\circ, 41.1^\circ, 59.9^\circ, 58.8^\circ, 71.7^\circ, 75.5^\circ, \text{ and } 89.9^\circ$  were  
165 characteristic peaks of silicon carbide, with the strongest at  $35.597^\circ$ . The above results  
166 show that the main phases in the six regions of the waste graphite infusion cylinder A-  
167 F were C, Si, and SiC, and C was the main phase. Compared with the other three regions,  
168 the relative intensity of the characteristic peaks of silicon carbide in regions A and B  
169 was lower, due to the low temperature of the two regions, which result in silicon  
170 monoxide was not stable and substantial condensation of rising silicon vapour. Therefore,  
171 only a small amount of silicon vapor and the graphite surface reaction of the infuser a  
172 small amount of silicon carbide. Compared with the other five regions, the relative  
173 intensity of the characteristic spectral peaks of silicon carbide in region F was higher  
174 than that of silicon, which indicates that the content of silicon carbide in region F was  
175 higher than that of silicon. This was probably because the F region was the bottom of

176 the infusion cylinder, the closest to the heat source, that is, the location of the highest  
177 temperature, coupled with the infusion cylinder raw material isostatic graphite surface  
178 has a large number of holes, which provided passageway for the internal diffusion of  
179 the silicon liquid. As a result, the splashed silicon reacts with the graphite in this area  
180 to form a relatively large amount of silicon carbide. Based on apparent physiognomy,  
181 region D contained more impurity particles than region E, which were darker. The XRD  
182 patterns showed that region D contained more SiC than region E, possibly because  
183 region D was located in the central part of the infusion cylinder, where the upward heat  
184 flow from the silicon melt and the blowing argon gas form convection currents in this  
185 area. The enriched SiO reacts with C and CO in this region to form SiC.

186 During SiC formation, the reaction system should contain SiO and SiO<sub>2</sub>.  
187 Combined with the surface of the waste graphite infusion cylinder there are earthy  
188 yellow, white scales, lumps of material, check the relevant literature, silicon monoxide  
189 at room temperature and normal pressure for the black-brown to earthy yellow  
190 amorphous powder, or white cubic, in the air when heated, earthy yellow powder into  
191 white powder[32]. In addition, silicon monoxide is not stable and oxidizes to silicon  
192 dioxide in the air. And the slow condensation of silicon monoxide vapour results in a  
193 disproportionation reaction with the products being silicon and silica. Therefore, it was  
194 inferred that the relevant substances were SiO, SiO<sub>2</sub>, or their mixtures.

195 XPS was carried out to detect the substances in the six areas of the waste graphite  
196 infusion cylinder, and the results showed that the main elements in the six areas of the  
197 infusion cylinder A-F were C, Si, and O. There were three peaks of C1s, Si2p, and O1s.  
198 The results of Si2p split peak fitting are shown in Fig. 4, and the results show that there  
199 were four forms of Si element, i.e., monomorphous Si, SiC, SiO, and SiO<sub>2</sub>, which were  
200 found in all six regions. These results indicate that the earthy yellow and white  
201 amorphous substances on the surface of the waste graphite infusion cylinder were SiO,  
202 SiO<sub>2</sub>, or their mixture. It can be inferred that silica-oxygen compounds (SiO, SiO<sub>2</sub>, or  
203 their mixtures) formed during SiC generation. The XRD did not detect SiO<sub>2</sub> or SiO,  
204 suggesting that amorphous SiO<sub>2</sub> was formed[33], which could not be detected by XRD,  
205 or that the SiO<sub>2</sub> content was below the detection limit.



### 206 3.3 Sample morphology and microstructure analysis

207 EDS showed that a large amount of Si and O composite areas(Fig. 5 (a)) existed  
208 in all six regions of the waste graphite infusion cylinder.Combined with the XPS  
209 analysis and the apparent morphology of the waste graphite infusion cylinder, it is  
210 believed that SiO, SiO<sub>2</sub>, or their composite substances existed during SiC formation.  
211 On a micro level, there were highly-enriched areas of Si and C elements(Fig. 5 (b)) in  
212 all six regions.It is speculated that SiC may have been generated in these areas, and  
213 some special structures were observed in the corresponding areas ,as shown in Fig. 6(a,  
214 b) there are tubular and fibrous structures in the A and B regions, which are presumed  
215 to be silicon carbide in combination with EDS, with a fine particle size close to the  
216 nanoscale. Regions C and D of the graphite matrix were found to be dendrite-like  
217 silicon carbide, as shown in Figure 6 (c, d). Regions E and F, on the other hand, showed  
218 a layered structure of carbon, silicon, and silicon carbide in Figure 6 (e, f). .From a  
219 macroscopic point of view, the two regions D and E on the surface of the waste graphite  
220 infusion cylinder had larger sediment particles than region F, and the color was darker.  
221 The intensity of the characteristic peaks of SiC in the XRD patterns was higher in  
222 regions D and E than in region F, i.e., regions D and E generated more SiC. This was  
223 probably because regions D and E were located in the middle of the cylinder. The  
224 convection of the upward silicon melt heat flow with the downward argon flow, which  
225 lead to a large enrichment of SiO with CO at this location, with the strong agitation of  
226 the gas flow, a violent reaction occurs, so a large amount of SiC was generated.Regions  
227 E and F of the infusion cylinder were closer to the silicon melt, and the temperature  
228 was high, which created a more intense reaction. The splashed high-temperature silicon  
229 fluid reacts directly with the graphite of the infusion cylinder to form dense silicon  
230 carbide.

231 As shown in Fig. 7,samples of the waste graphite infusion cylinder from the  
232 longitudinal section were clearly divided into two layers, where the lower layer was a  
233 black graphite layer, and the upper layer was a mixture of varying thicknesses and  
234 densities of the layer. Combined with the results of the previous XRD and XPS analysis,  
235 it is presumed that the upper layer was silicon, silicon oxide, silicon monoxide, silicon

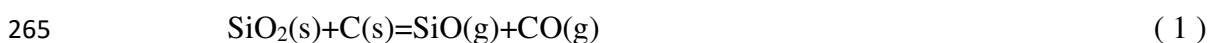
236 dioxide, silicon carbide, and carbon. Comparing the thickness of the upper mixture,  
237 region D was the thickest, reaching 2988.41  $\mu\text{m}$ , and region B was the thinnest, only  
238 42.43  $\mu\text{m}$ . For the upper layer, from A to F, i.e., from the upper section of the infusion  
239 cylinder to the lower end, the number of pores inside the mixture gradually decreased  
240 and became smaller, which indicates that the density of the mixture was higher.

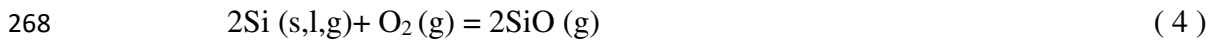
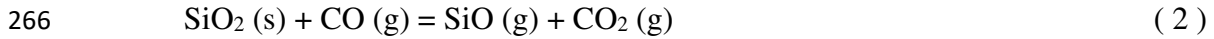
241 As shown in Fig. 8, regions A, B, and C all contained silicon and carbon-enriched  
242 and silicon and oxygen-enriched regions in their upper layers. Among them, the highest  
243 degree of silica-oxygen enrichment in the upper layer mixture was found in region A,  
244 which corresponds to its apparent morphology, i.e., the earthy yellow and white  
245 amorphous mixture on the surface of area A was an intermediate product formed during  
246 the generation of silicon carbide. Region B was an area with the least upper layer  
247 mixture among the six areas, as shown in the EDS elemental distribution diagrams of  
248 region B. The interfaces and the degree of silicon enrichment in regions D, E, and F  
249 were more obvious than those in regions A, B, and C. It is believed that as the  
250 temperature increased from region A to F, the thermodynamic conditions of the reaction  
251 were enhanced, and the reaction between carbon monoxide and silicon monoxide or the  
252 reaction between silicon monoxide and carbon directly to generate silicon carbide  
253 became easier. This densified the generated silicon carbide layer, and the degree of  
254 combination of silicon, silicon monoxide, and silicon carbide mixtures became higher.

### 255 **3.4 Thermodynamic analysis of SiC generation in Si-C-O system during ph** 256 **otovoltaic crystal pulling**

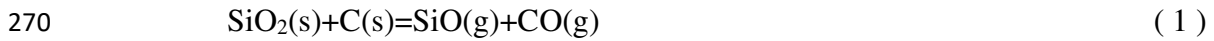
257 Using the reaction equilibrium calculation module of HSC Chemistry  
258 thermodynamic analysis software,  $\Delta_r H_m^\theta$ ,  $\Delta_r S_m^\theta$ , and  $\Delta_r G_m^\theta$  of the reaction at different  
259 temperatures were calculated based on the Gibbs free energy minimum principle and  
260 entropy increase principle to analyze and discuss the spontaneity of the reaction. During  
261 silicon carbide generation, which involves the generation of intermediate products SiO  
262 (g) and CO (g) [34-35], combined with research conducted by Ding, the relevant  
263 possible reactions are as follows:

264 Reactions likely to produce SiO (g):

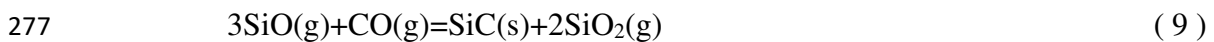
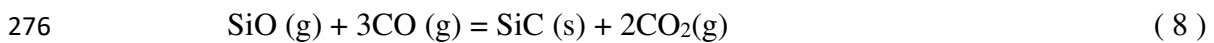




269 Reactions likely to produce CO (g):



274 Reactions likely to produce SiC(s):



279 By calculating  $\Delta_r G_m^\theta(T)$  for the possible formation of SiO (g), CO (g), and SiC (s)  
 280 in the reaction temperature range of 0 °C to 1700 °C under standard conditions ( $P^\theta =$   
 281 101.325 kPa), we analyzed the relationship between changes of  $\Delta_r G_m^\theta(T)$  and  
 282 temperature T.

283 Fig. 9(a) shows the eight reactions about likely to produce SiO (g) the r  
 284 elationship between changes of  $\Delta_r G_m^\theta(T)$  and temperature T , in which  $\Delta_r G_m^\theta$   
 285 (T) decreased upon increasing the temperature under standard conditions, except  
 286 for reaction (2) $\text{Si}(\text{s,l,g})+\text{O}_2(\text{g})=2\text{SiO}(\text{g})$ . For Reactions (1), (2), and (3),  $\Delta_r G_m^\theta$   
 287 (T) > 0 in the range of 0–1700 °C, except for reaction (3) when the silicon  
 288 was in the gaseous state. For Reaction (4),  $\Delta_r G_m^\theta(T) < 0$  when silicon was in  
 289 the solid-liquid-gas state in the range of 0–1700 °C. Reaction (4) was most lik  
 290 ely to generate gaseous silicon monoxide. However, the PV pulling graphite inf  
 291 usion cylinder was under an argon atmosphere, so the oxygen content was ver  
 292 y limited. The system contained large amounts of SiO<sub>2</sub> (s), C (s), and Si (s) a  
 293 s reactants, Therefore, under the above conditions, SiO is more likely to be pr  
 294 oduced by reaction (1) $(\text{SiO}_2(\text{s})+\text{C}(\text{s})=\text{SiO}(\text{g})+\text{CO}(\text{g}))$  and reaction (3) $(\text{SiO}_2(\text{s})+\text{Si}$   
 295  $(\text{s,l,g}) = 2\text{SiO}(\text{g})$  ).

296 Fig. 9(b) shows that during CO(g) generation,  $\Delta_r G_m^\theta(T)$  was inversely  
 297 proportional to temperature for reaction(1), reaction (5), and reaction (7) under standard  
 298 conditions.  $\Delta_r G_m^\theta(T)$  did not vary greatly with temperature for reactions (3–6) under  
 299 standard conditions. Reaction (1) was not  $\Delta_r G_m^\theta(T) < 0$  in the range of 0–1700 °C, while  
 300 reactions (6) and (7) had no  $\Delta_r G_m^\theta(T) > 0$  in the range of 0–1700 °C. Reaction (5) had  
 301 a cut-off point of 700°C, and  $\Delta_r G_m^\theta(T) < 0$  only above 700 °C. Analyzing the degree of  
 302 difficulty in the occurrence of the four reactions, carbon monoxide was most likely to  
 303 be generated by reaction (7). In addition, Reaction (5) and Reaction (6) were also  
 304 possible. However, the photovoltaic crystal pulling hot field was an argon atmosphere,  
 305 in which the oxygen content was very limited. Thus, CO(g) in the system should have  
 306 been generated by reaction (5)( $\text{CO}_2(\text{g}) + \text{C}(\text{s}) = 2\text{CO}(\text{g})$ ) and reaction (6)( $\text{SiO}(\text{g}) + 2\text{C}(\text{s})$   
 307  $= \text{SiC}(\text{s}) + \text{CO}(\text{g})$ ).

308 As can be seen from Fig. 9(c),  $\Delta_r G_m^\theta(T)$  for both reaction (6) and reactio  
 309 n (10) in the standard state did not change much upon increasing the temperat  
 310 ure, except when silicon was in the gaseous state.  $\Delta_r G_m^\theta(T)$  for reaction (8) an  
 311 d reaction (9) in the standard state increased with the temperature. For reaction  
 312 (6), reaction (9), and reaction (10),  $\Delta_r G_m^\theta(T) < 0$  in the range of 0–1700 °C.  
 313 For reaction (8),  $\Delta_r G_m^\theta(T) < 0$  up to 900 °C, and  $\Delta_r G_m^\theta(T) > 0$  above 900 °C.  
 314 Thus, SiC (g) in the system should have been generated by reaction (6)( $\text{SiO}(\text{g})$   
 315  $+ 2\text{C}(\text{s}) = \text{SiC}(\text{s}) + \text{CO}(\text{g})$ ), reaction (8)( $\text{SiO}(\text{g}) + 3\text{CO}(\text{g}) = \text{SiC}(\text{s}) + 2\text{CO}_2(\text{g})$ ), reaction 9(  
 316  $3\text{SiO}(\text{g}) + \text{CO}(\text{g}) = \text{SiC}(\text{s}) + 2\text{SiO}_2(\text{g})$ ), reaction (10)( $\text{Si}(\text{s}, \text{l}, \text{g}) + \text{C}(\text{s}) = \text{SiC}(\text{s})$ ).

317 Taken together the reactions  $\Delta_r G_m^\theta(T)$  versus temperature that are most likely to  
 318 produce gaseous silicon monoxide, carbon monoxide and silicon carbide in the reaction  
 319 system are shown in Fig. 9(d).

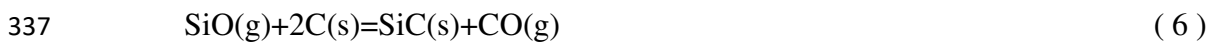
320 The degree of difficulty of each reaction can be derived according to Fig. 9(d),  
 321 which shows that reaction (1), reaction (3), reaction (5), and reaction (6) have a great  
 322 likelihood of generating intermediate products SiO(g) and CO(g). Due to the  
 323 consumption of CO<sub>2</sub> by the graphite infusion cylinder in the system, reaction (2) can  
 324 proceed in the positive direction and generate CO. Reaction (6) and reaction (10)  
 325 generate SiC nuclei and also continue to generate CO. Then, the intermediate products

326 SiO(g) and CO(g) continue to grow on the SiC nuclei via reactions (8) and (9), and  
 327 ultimately the growth of SiC is realized. Thermodynamic analysis shows that SiC was  
 328 generated via a gas-solid reaction growth mechanism, where the intermediate product  
 329 SiO (g) generated SiC nuclei with the infusion cylinder graphite. The intermediate  
 330 reactants SiO(g) and CO(g) continued to react to grow SiC. The chemical reactions  
 331 involved are as follows:

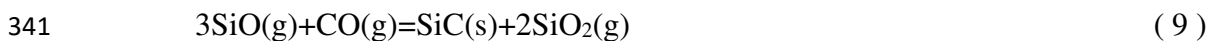
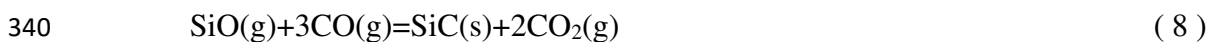
332 (1) SiO(g) and CO(g) generate the reaction:



336 (2) SiC nucleation reaction:



339 (3) SiC growth reaction:



342 In summary, by combining the characterization results and the thermodynamic  
 343 analysis, the SiC generation mechanism of the photovoltaic crystal pulling infusion  
 344 cylinder is shown in [Fig. 10](#).

345 From the mechanism diagram [Fig. 10](#), during the straight pulling of  
 346 monocrystalline silicon, the quartz crucible (mainly composed of silica) in the hot field  
 347 reacted with the graphite torus (mainly composed of carbon) to generate SiO (g) and  
 348 CO (g). The quartz crucible reacted with the silicon liquid, silicon vapor, and silicon  
 349 material to generate SiO (g), and SiO (g) and CO (g) participated in the subsequent  
 350 reaction as the intermediate products to generate SiC. As the silicon melt released a  
 351 large amount of heat, The heat flow induces upward movement of SiO(g), CO(g), and  
 352 Si(g),and argon gas blown in from the upper end of the infusion cylinder tube forms  
 353 convection with the above three gases,which result in A highly enriched region of  
 354 SiO(g), CO(g), and Si(g) was formed in the middle of the inflow cylinder.. In the  
 355 lowermost region of the infusion cylinder, the splashing of high-temperature silicon

356 liquid and the infusion cylinder graphite directly reacted to generate silicon carbide. In  
357 other regions, SiO (g) and the infusion cylinder graphite reacted to generate silicon  
358 carbide nuclei and CO (g). During the generation of silicon carbide nuclei, SiO (g) and  
359 CO (g) continued to react and promote the growth of silicon carbide crystals, which  
360 generated SiO<sub>2</sub> (g). As the single-crystal pulling proceeded, a silicon carbide layer was  
361 generated on the surface of the infusion cylinder, and a large amount of SiO (g) and Si  
362 (g) were enriched on the silicon carbide layer. At the same time, the reaction between  
363 SiO (g) and CO (g) continued to generate silicon carbide, and a mixture of silicon,  
364 silicon monoxide, and silicon carbide was generated on the surface of the silicon  
365 carbide layer, i.e., silicon carbide was engulfed and covered. The middle and lowermost  
366 part of the infusion cylinder generate the most silicon carbide, in the middle region, a  
367 large amount of enriched SiO (g), CO (g), and Si (g) reacted, and the lowermost region  
368 was due to the close proximity to the silicon melt, which had the highest reaction  
369 temperature and better thermodynamic conditions. Silicon and carbon reacted at the  
370 interface to generate a layer of silicon carbide. Due to diffusion, silicon and carbon  
371 further reacted, but the diffusion rate of carbon in β-SiC was faster than that of silicon,  
372 so silicon carbide was mainly generated on the surface of the infusion cylinder.  
373 However, because the infusion cylinder was made of isostatically-pressed graphite,  
374 there were many voids and pores in its interior, which provided conditions for the  
375 diffusion of the molten silicon to the interior of the graphite. Therefore, the interior of  
376 the graphite infusion cylinder also generated some silicon carbide, i.e., silicon carbide  
377 penetrated the interior.

#### 378 **4. Conclusion**

379 Through systematic characterization and thermodynamic analysis, we elaborated  
380 the SiC generation mechanism in a PV drawn crystal waste graphite infusion cylinder.  
381 The results showed that SiO (g) and CO (g) were important reaction intermediates  
382 involved in the generation of SiC. The SiO (g) concentration was an important factor  
383 affecting the amount of SiC generation, densification, and penetration depth, in addition  
384 to temperature, air flow, and structure. SiC was not generated by a simple chemical

385 reaction, but the SiC generation mechanism obtained from these basic findings  
386 generally explained the apparent morphological characteristics of the waste graphite  
387 infusion cylinder. This provides theoretical guidance for prolonging the service life of  
388 the graphite infusion cylinder, improving the quality of the silicon base, and purifying  
389 and treating the waste graphite infusion cylinder. Future research should consider the  
390 potential influence of dynamics more carefully, and a detailed quantitative analysis is  
391 also necessary.

## 392 **Acknowledgments**

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542 \* Ethics approval and consent to participate

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\* Consent for publication

Not applicable.

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\* Availability of data and materials

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629 All data generated or analysed during this study are included in this published  
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\* Competing interests

The authors declare that they have no competing interests.

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\* Authors' contributions

756       **Yiyu Hu:** Conceptualization, Resources, Writing - review & editing, Visu  
757 alization, Validation, Supervision. **Zhengjie Chen:** Conceptualization, Methodolo  
758 gy, Validation, Formal analysis, Investigation, Data curation; **Yonghang Zhang:**  
759 Methodology, Formal analysis, Data curation ;**Xiuhua Chen:**Conceptualization, I  
760 nvestigation, Validation;**Wenhui Ma:** Formal analysis, Validation, Data curation.  
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813 \* Disclosure of potential conflicts of interest

814 We declare that we have no conflict of interest.

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\* Research involving Human Participants and/or Animals

Not applicable.

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\* Informed consent

Not applicable.

## Table Captions

Table 1 Fixed Carbon Detection Data

**Table 1**

samples	A	B	C	D	E	F
Fixed carbon	95.85%	91.44%	72.00%	58.19%	74.32%	52.41%

## Figures

Fig. 1 Sample of waste graphite infusion cylinder(a: sample obtained after crushing;b:sample obtained after cutting and polishing)

Fig. 2 Apparent morphology of the abandoned infusion cylinder

Fig. 3 XRD analysis of samples from six regions of the waste graphite infusion cylinders

Fig. 4 XPS Si2p split peak fitting results

Fig. 5 EDS analysis: (a:Si, O overlapping area, b: Si, C overlapping area)

Fig. 6 SiC structure observed in six regions of the discarded graphite infusion cylinder

Fig. 7 Longitudinal section electron micrographs of six regions of the waste graphite infusion cylinders

Fig. 8 EDS elemental distributions of Si, C, and O in the longitudinal section of waste graphite infusion cylinder

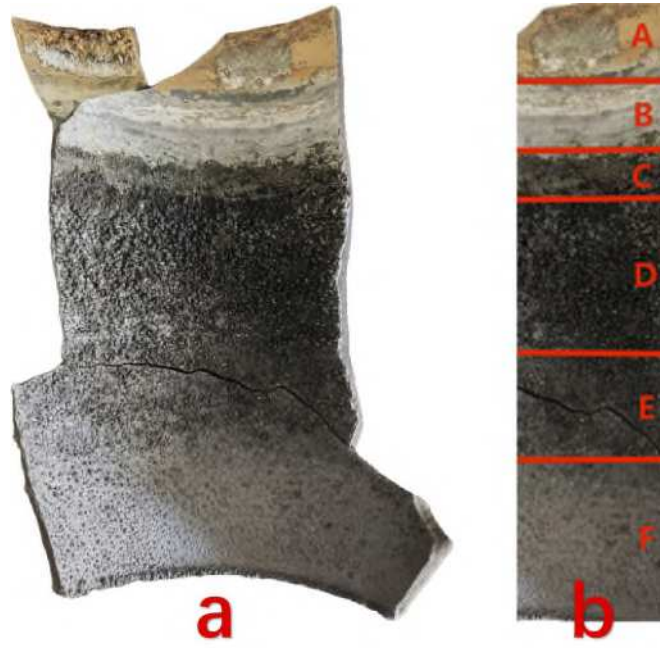
Fig.9 The relationship of the  $\Delta_r G_m^\theta(T)$  and temperature

Fig.10 SiC generation mechanism in photovoltaic crystal pulling waste graphite infusion cylinder

**Fig. 1**

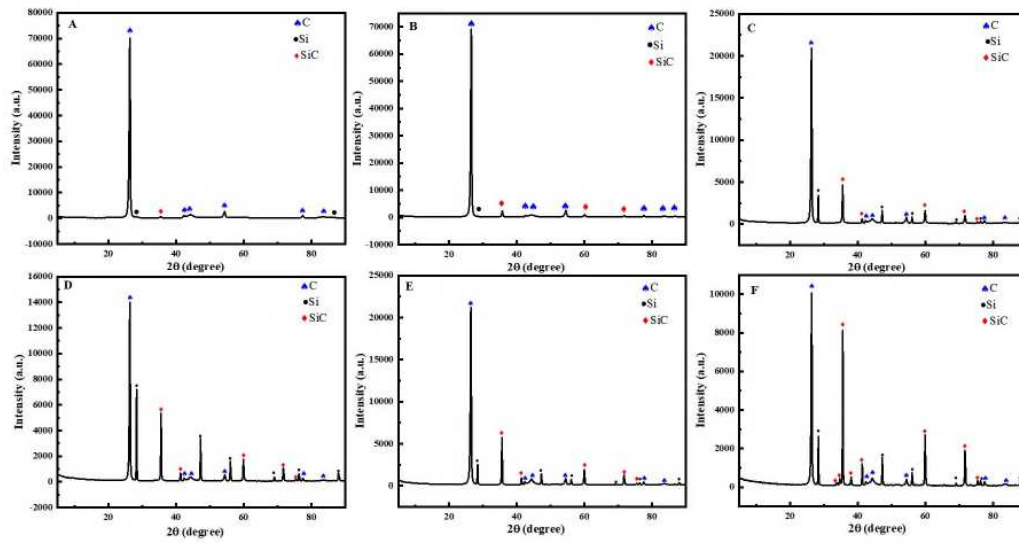


**Fig. 2**

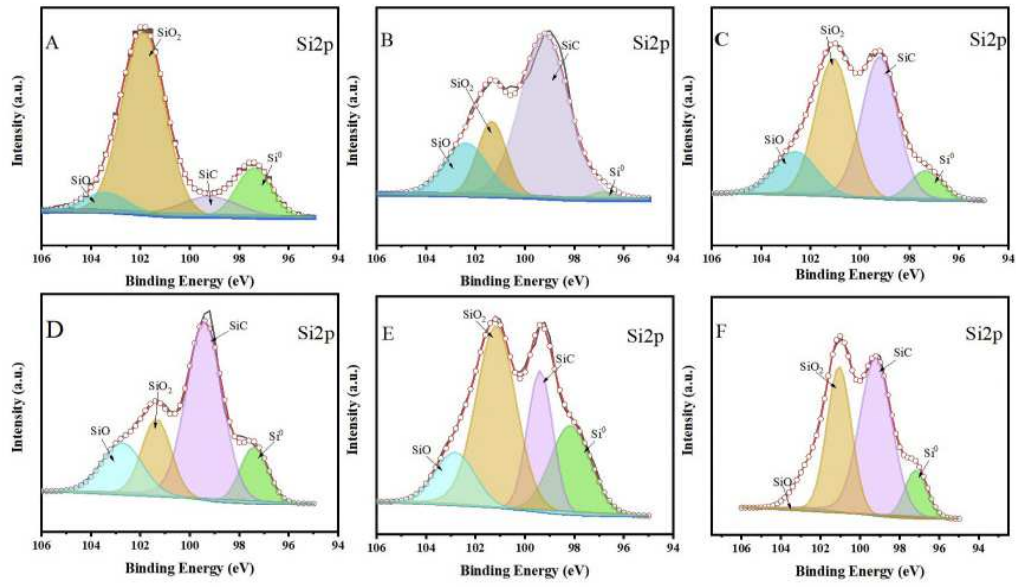




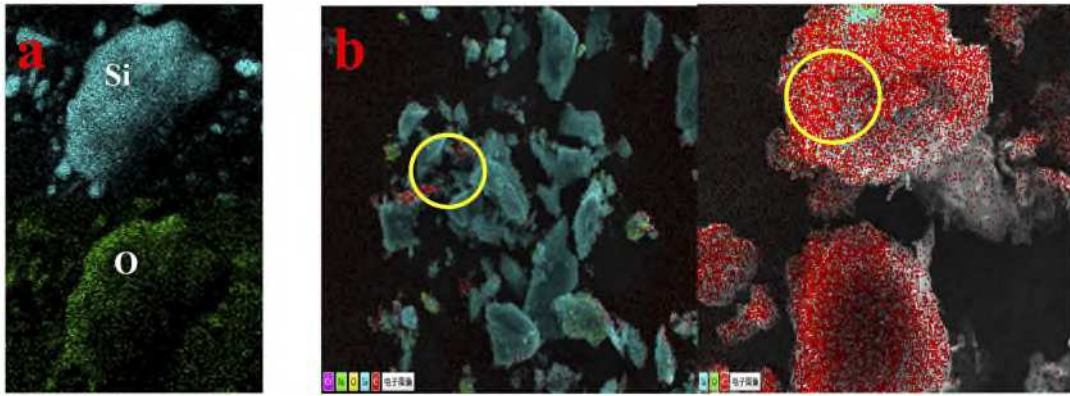
**Fig. 3**



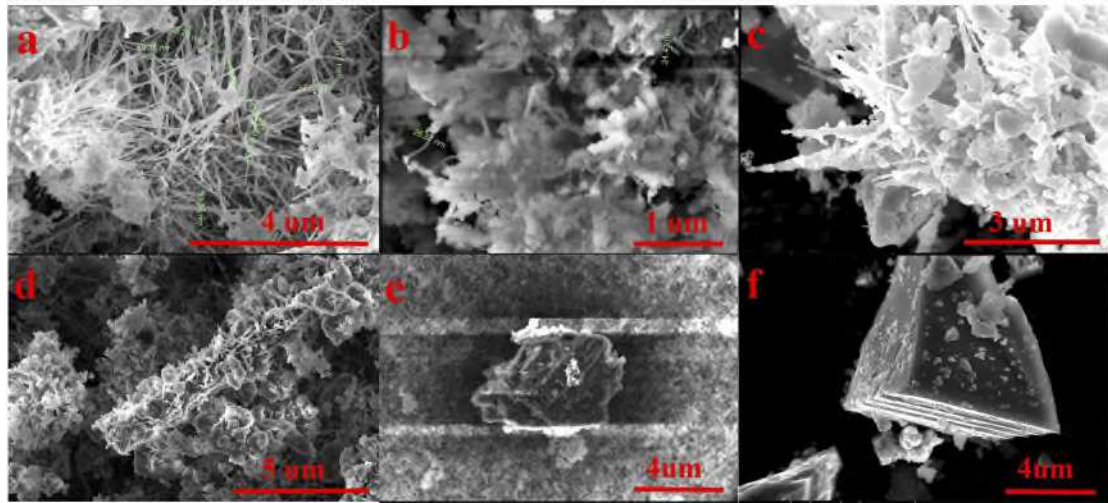
**Fig. 4**



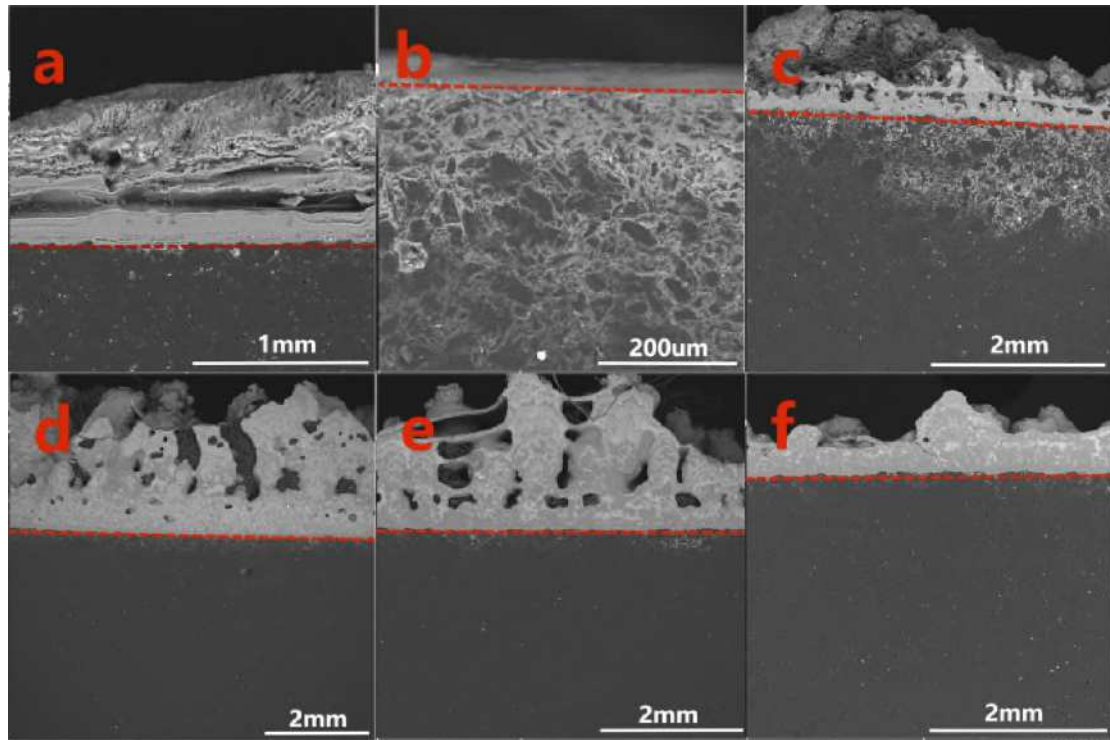
**Fig. 5**



**Fig. 6**



**Fig. 7**



**Fig. 8**

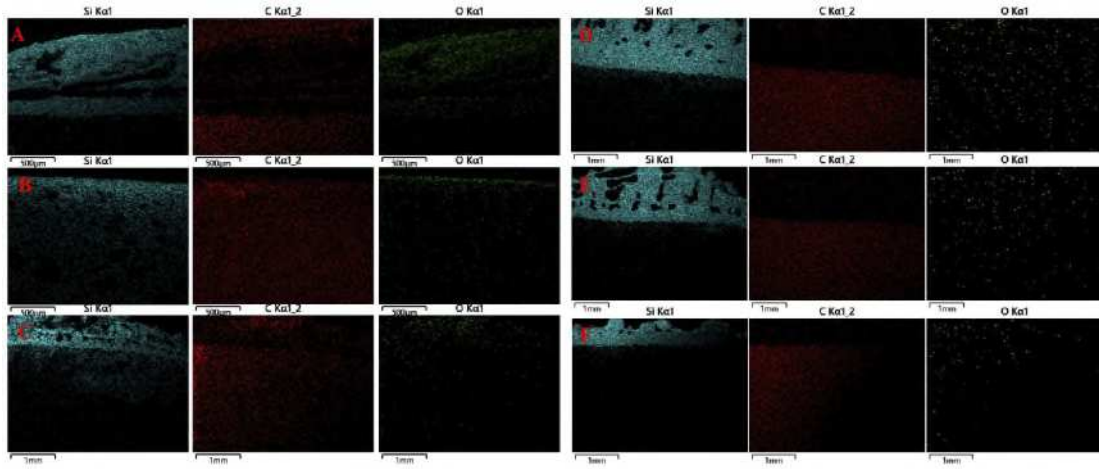


Fig. 9

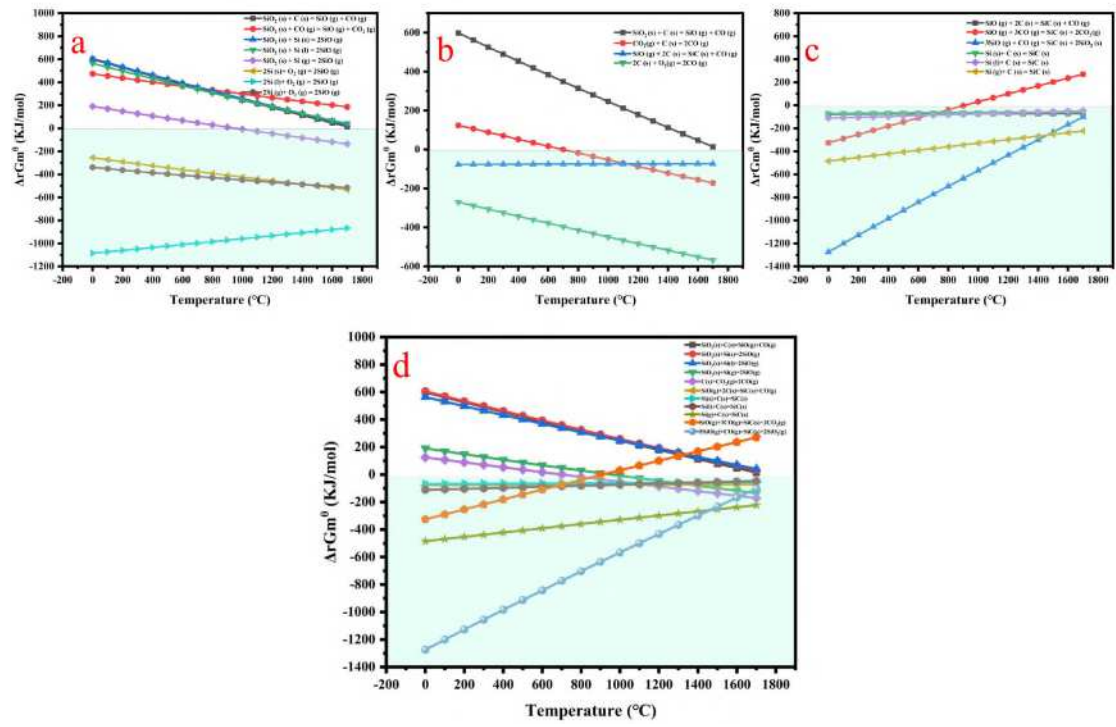
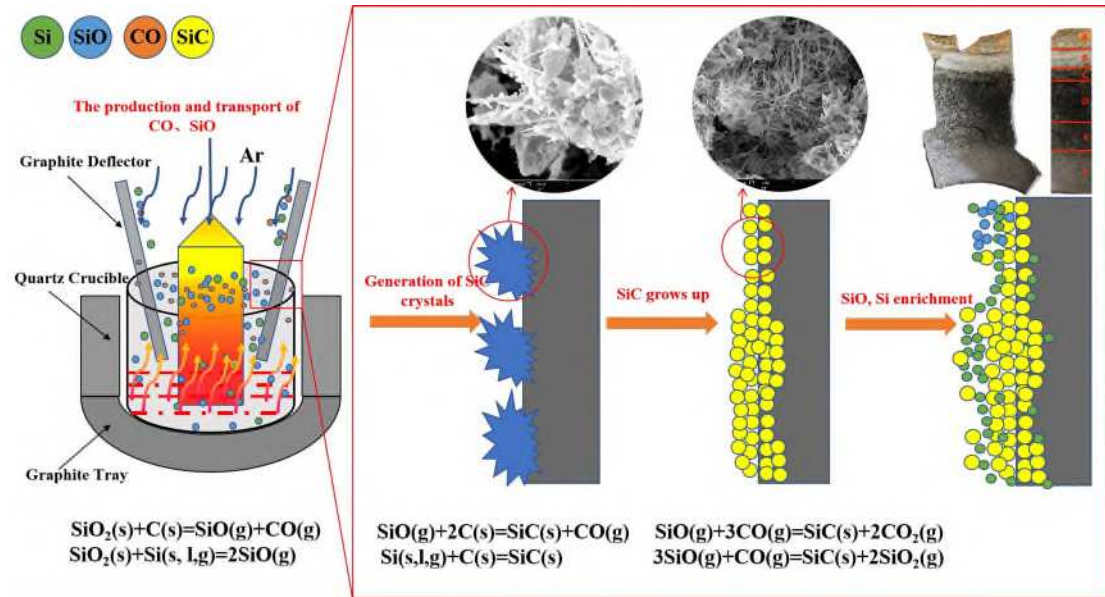


Fig. 10





## Supplementary Files

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