

Use of immune checkpoint inhibitors in patients with solid tumors and pre-existing autoimmune or inflammatory disease: real-world data.

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Abstract

OBJECTIVE

Immune checkpoint inhibitors (ICI) are a cornerstone in cancer treatment but they can induce immune-related adverse events (irAEs). Furthermore, patients with pre-existing autoimmune and/or inflammatory disease (AID) have been excluded from clinical trials. The objective of this study is to evaluate the efficacy and safety of ICI in patients with cancer and AID.

METHODS

This is an observational, retrospective study carried out at the Medical Oncology Department of Hospital Universitario Puerta de Hierro, Majadahonda, Madrid between January 2016 and December 2018.

RESULTS

202 cancer patients treated with ICI were included, 15 (7, 4%) of them had pre-existing autoimmune diseases. The most frequent pre-existing AID were thyroid diseases (33.3%): autoimmune hypothyroidism, Graves Basedow disease and Hashimoto's thyroiditis. Three patients had psoriasis, two ANA + polyarthritis, one rheumatoid arthritis, another LADA (latent autoimmune diabetes in adults), another a systemic lupus erythematosus (SLE) and the last one, a polymyalgia rheumatica. In this series, the majority of patients (73.33%) did not experience any flare-up of their autoimmune disease. In patients who had AID flare-up, this was treated with corticosteroids. The most frequent cause of immunotherapy discontinuation was tumor progression (40%). 20% of patients had to discontinue immunotherapy due to toxicity.

CONCLUSIONS

In our series, AID flare or irAEs in patients with pre-existing AID who receive immunotherapy are not very common and can often be controlled without interrupting treatment. Prospective studies are needed to establish the incidence of irAEs in patients with preexisting autoimmune conditions, evaluate risk-benefits and elaborate management clinical guidelines in this population.

Background

BACKGROUND

Immune checkpoint inhibitors (ICI) have revolutionized (dramatically changed) cancer treatment and are becoming a standard of care in different types of cancer. ICI include anti-programmed cell death 1 (anti-PD-1) agents (nivolumab, pembrolizumab), anti-programmed cell death-ligand 1 (anti-PD-L1) agents (atezolizumab, durvalumab, avelumab), and cytotoxic T lymphocyte-associated protein 4 inhibitors (anti-CTLA-4) like ipilimumab and tremelimumab. ICI have been approved for the treatment of multiple advanced solid tumors, including melanoma, non-small cell lung cancer (NSCLC) and, urothelial cancer. In many other pathologies, these drugs are under investigation in other settings such as neoadjuvant and adjuvant treatments.

Ipilimumab, an anti-CTLA-4 antibody, was the first ICI approved by health authorities as a treatment for metastatic melanoma in patients without prior treatment, in 2011. After this, it has been studied in other types of tumors, such as non-small cell lung cancer (NSCLC) or renal cell carcinoma. Ipilimumab was followed by antibodies that block PD-1 and PD-L1; the first of these was pembrolizumab, an anti-PD-1 antibody approved in 2014 for the treatment of metastatic melanoma that was later also approved for NSCLC. Nivolumab, another anti-PD-1, was initially approved for the treatment of melanoma, NSCLC, and renal cell carcinoma. Atezolizumab is the only anti-PD-L1 and was approved in 2016 for the treatment of urothelial carcinoma. Later, these PD-1 / PD-L1 blocking antibodies have been shown to be effective in other types of tumors, such as head and neck cancer, Hodgkin lymphoma, hepatocellular carcinoma (HCC) or gastric cancer.

Since the mechanism of action is different, its combination has been studied and shown to have a synergistic effect, obtaining better results than monotherapy in melanoma. The combination of ipilimumab with nivolumab was approved by the FDA in 2015 for the treatment of advanced melanoma and has also been studied in the treatment of NSCLC with promising results. The development of ICI as a cancer treatment has brought with it the appearance of new toxicities, related to the activation of the immune system. These toxicities are known as immune-related adverse events (irAEs). The most frequent adverse effects are cutaneous, gastrointestinal, respiratory and endocrine (especially affecting the thyroid gland). These inflammatory and/or autoimmune manifestations are frequent, up to 70% for anti PD-1 and up to 90% for anti CTLA-4. A recent meta-analysis has found that anti CTLA-4 treatment causes high grade irAEs in approximately 20–30% of patients. Meanwhile, anti PD-1 treatment causes high grade irAEs in less than 5%. Due to the appearance of these irAEs, patients with

autoimmune diseases have been frequently excluded from clinical trials with ICI. For this reason, there is very little evidence about the impact that having a pre-existing autoimmune disease can have on the selection, toxicity or efficacy of immunotherapy treatment.

Despite this, some studies show that although these patients often have exacerbations of their pre-existing autoimmune disease, they can be easily managed, benefiting from the anti-tumor effect of ICI. These studies therefore suggest that by balancing the overall risk-benefits patients with pre-existing autoimmune diseases can benefit from immunotherapy treatment.

The objective of this study is to evaluate the efficacy and safety of ICI in patients with cancer and pre-existing AID.

Material And Methods

STUDY DESIGN

This is an observational, retrospective and single-center study, carried out at the Medical Oncology Department of Hospital Universitario Puerta de Hierro, Majadahonda, Madrid. The list of patients was obtained through the HUPHM Pharmacy Service and it included all patients treated with immunotherapy (nivolumab, pembrolizumab, atezolizumab or ipilimumab) at the Medical Oncology Service of these hospital, between January 2016 to December 2018, inclusive. This sample includes patients treated with immunotherapy (according to protocol) or within a clinical trial. 206 were reviewed (electronic medical record), of which 4 were excluded because they did not meet the inclusion criteria.

VARIABLES

Different variables were collected: age, sex, type of cancer, tumor stage, date of diagnosis, previous treatments, immunotherapy treatment, start date, end date, reason for discontinuation, immune-related adverse effects, date of last follow-up or date of exitus.

Regarding the history of autoimmune disease, the following data have been collected: type of autoimmune disease, date of diagnosis, treatment and evolution of autoimmune disease after treatment with immunotherapy.

CONFIDENTIALITY OF DATA

Both data collection and analysis have been carried out anonymously at all times, taking appropriate precautions to maintain patient confidentiality.

This work has been classified by the Spanish Agency of Medicines and Health Products (AEMPS) as a post-authorization study with other designs different from the prospective follow-up (abbreviated as EPA-OD), and has the favorable opinion of the Ethical Committee for Research with Medicines of the HUPHM and the Ethics Subcommittee of the Autonomous University of Madrid.

ANALYSIS

Statistical analysis was carried out using SPSS v25.

Results

206 medical records of patients treated with immunotherapy (nivolumab, pembrolizumab, atezolizumab or ipilimumab) were reviewed in the Medical Oncology Service, of the HUPHM, between January 2016 and December 2018, both included. Of these, 4 were excluded because they did not meet the inclusion criteria, they had been treated with several immunotherapy drugs during the course of the disease and this could confound our results. Of the 202 patients diagnosed with cancer and treated with immunotherapy (monotherapy or in combination) at a certain point in the course of their disease, 15 were found to have a history of autoimmune disease (7.4%). The characteristics of these 15 patients are described in Table 1.

Table 1
Characteristics of patients with previous autoimmune disease

Patient	AID	Tumor	ICI	Line of treatment	Treatment duration	Discontinuation	Evolution AID	Exitus
1	Autoimmune hypothyroidism	Renal cell carcinoma	Atezolizumab	2	9 weeks	Toxicity	Exacerbation	NO
2	Autoimmune hypothyroidism	Hepatocarcinoma	Nivolumab	1	4 weeks	Toxicity	No changes	YES
3	Graves Basedow disease	Lung cancer: Adenocarcinoma	Pembrolizumab	1	6 weeks	Progression	No changes	NO
4	Graves Basedow disease	Hepatocarcinoma	Nivolumab	2	15 weeks	Exitus	Exacerbation	YES
5	Hashimoto thyroiditis	Melanoma	Nivolumab + Ipilimumab	1	33 months		No changes	NO
6	Psoriasis	Lung cancer: Adenocarcinoma	Nivolumab	1	12 months	Toxicity	Exacerbation	NO
7	Psoriasis	Lung cancer: Adenocarcinoma	Nivolumab + Carboplatino/ Paclitaxel	1	14 months		No changes	NO
8	Psoriasis	Lung cancer: Squamous cell carcinoma	Nivolumab	1	12 months	Progression	No changes	NO
9	ANA + Polyarthrititis	Lung cancer: Adenocarcinoma	Pembrolizumab	1	6 weeks		Exacerbation	NO
10	ANA + Polyarthrititis	Timoma	Pembrolizumab	2	3 weeks	Progression	No changes	YES
11	Rheumatoid arthritis	Lung cancer: Adenocarcinoma	Nivolumab	2	14 months	Exitus	No changes	YES
12	LADA type diabetes	Melanoma	Nivolumab + Ipilimumab	5	13 weeks	Progression	No changes	NO
13	Systemic lupus erythematosus	Renal cell carcinoma	Nivolumab	2	7 months	Progression	No changes	NO
14	Polymyalgia rheumatica	Hepatocarcinoma	Nivolumab	1	18 months		No changes	NO
15	Antinuclear antibodies+ (ENA, DNA)	Hepatocarcinoma	Nivolumab	1	8 months	Progression	No changes	YES

A broad spectrum of pre-existing autoimmune disease was reported. 5 patients (33%) had a history of autoimmune thyroid disease, 2 (13%) patients had a history of autoimmune hypothyroidism, 2 (13%) of Graves Basedow disease and 1 (7%) of Hashimoto thyroiditis. 3 (20%) patients had psoriasis, 2 (13%) ANA + polyarthrititis, 1 (7%) rheumatoid arthritis, 1 (7%) LADA type diabetes, another Systemic Lupus Erythematosus (SLE) and another, polymyalgia rheumatica. The last case was a patient with positive antinuclear antibodies but without established diagnosis.

Most of the patients (73.33%), did not experience any change or exacerbation of their autoimmune disease during immunotherapy treatment, 4 (27%) of them had a worsening of prior manifestations.

The most frequent cause of discontinuation of immunotherapy treatment was the progression of tumor disease (40%), 5 patients of them died (33.3%). Only 3 of the 15 patients (20%) had to discontinue immunotherapy due to toxicity. In 2 of these patients, the toxicity was related to a

worsening of prior manifestations of the pre-existing autoimmune disease (psoriasis in one case and autoimmune hypothyroidism in another), while the toxicity of the third patient, hepatotoxicity, was not related to his underlying autoimmune disease (autoimmune hypothyroidism).

Finally, it is important to note that 5 of the 15 patients (33.3%) did not present any immune-related adverse reaction, although 2 of these 5 patients experienced a worsening of their underlying disease.

Of the 202 patients, 114 (56.4%) experienced some immune-related adverse event. Of those, 58 (50.9%) experienced only one, 33 (28.9%) experienced two, and 23 (20.2%) experienced 3 or more (up to 6).

Because the degree of toxicity was not well recorded in the medical records in all cases, we considered serious immune-related adverse events those requiring hospital admission and / or interruption of immunotherapy treatment. Of the 114 patients who presented any irAEs, 24 had to discontinue immunotherapy (11.9%). Of these 24 patients who had severe irAEs, 12 were receiving Nivolumab as monotherapy and 7 Nivolumab + Ipilimumab.

Table 2 shows the different immune-related adverse events that appeared with the different treatment schedules

Table 2
Immune-related adverse events

irAEs	Nivolumab (N = 124)	Pembrolizumab (N = 26)	Atezolizumab (N = 10)	Ipilimumab (N = 5)	Nivolumab + Ipilimumab (N = 24)	Nivolumab + Daratumumab (N = 6)	Nivolumab + Carboplatino/ Paclitaxel (N = 7)
Asthenia	22 (17.7%)	12 (46.2%)	0	0	2 (8.3%)	1 (16.7%)	3 (42.9%)
Hyporexia	1 (0.8%)	3 (11.5%)	0	0	0	0	0
Rash	4 (3.2%)	2 (7.7%)	1 (10%)	2 (40%)	5 (20.8%)	0	2 (28.6%)
Pruritus	6 (4.8%)	4 (15.4%)	0	0	6 (25%)	1 (16.7%)	2 (28.6%)
Vitiligo	1 (0.8%)	0	0	0	1 (4.2%)	0	0
Psoriasis	1 (0.8%)	0	0	0	1 (4.2%)	0	1 (14.3%)
Infusional reaction	1 (0.8%)	0	0	0	1 (4.2%)	0	0
Diarrhea/Colitis	3 (2.4%)	4 (15.4%)	0	0	4 (16.7%)	0	0
Nausea/ Vomiting	2 (1.6%)	1 (3.8%)	0	0	0	0	1 (14.3%)
Liver toxicity	9 (7.3%)	2 (7.7%)	1 (10%)	0	6 (25%)	0	0
Pancreatic toxicity	3 (2.4%)	0	0	0	1 (4.2%)	0	0
Pneumonitis	7 (5.6%)	2 (7.7%)	0	0	5 (20.8%)	0	0
Arthritis	5 (4%)	3 (11.5%)	1 (10%)	0	0	0	1 (14.3%)
Neurotoxicity	5 (5%)	1 (3.8%)	0	0	0	0	0
Anemia	2 (1.6%)	1 (3.8%)	0	0	0	0	0
Neutropenia	3 (2.4%)	2 (7.7%)	0	0	0	0	0
Thrombopenia	1 (0.8%)	1 (3.8%)	0	0	0	0	0
Renal toxicity	1 (0.8%)	1 (3.8%)	0	0	2 (8.3%)	1 (16.7%)	0
Thyroid toxicity	16 (12.9%)	2 (7.7%)	1 (10%)	0	3 (12.5%)	1 (16.7%)	1 (14.3%)
Parathyroid toxicity	1 (0.8%)	0	0	0	0	0	0
Mellitus diabetes	0	1 (3.8%)	0	0	2 (8.3%)	0	0
Suprarrenal insufficiency	1 (0.8%)	0	0	0	1 (4.2%)	0	0
Others	3 (2.4%)	0	0	0	2 (8.3%)	0	1 (14.3%)

Discussion

Immune checkpoint inhibitors (ICI) represent an important new treatment modality for cancer patients. Despite the important clinical benefits of ICI therapy, these treatments can also cause a variety of immune-related adverse events (irAEs). The mechanisms leading to irAEs are unclear, although irAEs caused by ICI resemble autoimmune disease. That's the reason why all the clinical trials leading to the approval of ICI therapy actively excluded patients with preexisting active autoimmune disease because of apprehension that these individuals might be at risk for treatment induced irAEs.

The objective of this observational study of patients with cancer and preexisting autoimmune disease (AD) is to evaluate the efficacy and safety of ICI.

Many patients who are diagnosed with cancer have a preexisting autoimmune disease, for example, approximately 14–25% of patients with lung cancer also have an AID. However, in our series only 7.4% of patients with cancer treated with ICI had a preexisting AID. This low prevalence in our sample may be due to several factors. Firstly, the use of immunotherapy in patients with preexisting autoimmune disease is not very widespread due to the lack of experience. The increased risk of irAEs, which can be unpredictable and potentially very serious, and the risk of AID symptom exacerbation (flare-ups) in patients with preexisting AID has led these patients to be frequently excluded from immunotherapy clinical trials. For this reason, there is very little evidence and very little clinical experience in the use of immunotherapy in these patients. Secondly, our sample includes patients receiving immunotherapy within a clinical trial, whose exclusion criteria include suffering or having some autoimmune-based pathology.

In our study, we found that 4 of the 15 patients (26.67%) with preexisting AID had autoimmune exacerbations. This percentage was lower to that observed in other series. Johnson et al retrospectively evaluated 30 patients with preexisting autoimmune disease and metastatic melanoma. Of the 30 patients who received ipilimumab, 15 (50%) experienced irAEs or flares of their underlying autoimmune disease. Leonard et al¹³ retrospectively analyzed the safety of anti-PD-1 and PD-L1 antibodies (nivolumab, pembrolizumab, or atezolizumab) in 56 patients with non-small-cell lung cancer (NSCLC) and preexisting autoimmune disease. 55% of patients developed a flare and/or irAEs. Danlos et al compared 45 patients with underlying AD to 352 patients without AD who were treated with anti-PD-1 agents in the Registry of Severe Adverse Reactions to Immunomodulatory Antibodies Used in Oncology (REISAMIC) between 2014 and 2016. 47.1% of the patients with AD experienced an AD flare, 65.9% experienced an irAEs, and 9.4% developed a grade 3/4 irAEs. In a recent review of 41 case reports published to date, in 65.6% of patient immunotherapy resulted in a flare-up of the baseline disease; being severe and very severe in 22.7% of patients.

Of the 4 patients in our study with preexisting AID that had flares, one was treated with atezolizumab, two with nivolumab, and one with pembrolizumab, so we cannot think that a certain drug could interfere with previous AID more than another.

The most frequent cause of discontinuation of immunotherapy was not immune-related adverse events, as we might expect from patients with preexisting AID, it was progression of tumor disease (40%). Only 3 of the 15 patients (20%) had to discontinue immunotherapy for toxicity. Despite the fact that five patients died during our follow-up period, no deaths occurred as a consequence of treatment.

On the other hand, although it is true that irAEs occurred (not related to preexisting AID) in 10 of the 15 patients (66.7%), most were mild and easy to control. Of the 5 patients (33.3%) who did not have any irAEs, two experienced worsening of their disease. Three patients (20%) did not present any immune-related adverse event or not to their pre-existing AID. The incidence of irAEs observed in Johnson et al. did not exceed the incidence of irAEs found by other studies in a population without AID. In our study, 66.7% of patients with AID present irAEs.

Despite the limited information in this regard, some studies have concluded that ICI can be used in patients with previous AID, since the potential risks do not seem to outweigh the benefit of these treatments.

Khan SA and collaborators observed that AID were relevant in NSCLC, 14% of patients with NSCLC had a concurrent AID and they could be treated with ICI, since they observed that patients with AID present a mortality from cancer and from any cause similar to those patients who do not have AID. In patients with melanoma and AID, Johnson DB et al.¹⁴ concluded that ipilimumab treatment can be considered, always leading to close surveillance and monitoring of the patient, and the Menzies et al. study obtained a similar conclusion regarding anti-PD1 therapy.

Conclusions

In our series, exacerbations or irAEs in patients with prior autoimmune disease receiving immunotherapy treatment are not very common and can often be controlled without interruption of treatment. Administration of immunotherapy in cancer patients with a preexisting controlled autoimmune condition seems safe with an adequate follow-up and early onset of treatment once flares-up or irAEs happen.

Prospective studies are needed to establish the incidence of irAEs in patients with preexisting autoimmune conditions, evaluate the risk-benefit indexes, and elaborate management clinical guidelines in this population.

Abbreviations

ICI
immune checkpoint inhibitors
irAES
immune related adverse events
AID
autoimmune inflammatory disease
LADA
latent autoimmune diabetes in adults
SLE
systemic lupus erythematosus
NSCLC
non-small cell lung cancer
HCC
hepatocellular carcinoma
FDA
US Food and Drug administration
HUPHM
Hospital Universitario Puerta de Hierro Majadahonda
AEMPS
Spanish Agency of Medicines and Health Products
AD
autoimmune disease

Declarations

• Ethics approval and consent to participate:

• This study has the favorable opinion of the Ethical Committee for Research with Medicines of the HUPHM and the Ethics Subcommittee of the Autonomous University of Madrid.

• Consent for publication :

• Institutional consent for publication was obtained

• Availability of data and materials:

• The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

• Competing interests:

• No conflicts of interests regarding this work need to be declared

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• Authors' contributions:

VC analyzed and interpreted the patient data regarding the autoimmune diseases and the adverse events and wrote the article; MF collected all the data, AC was a major contributor in writing the manuscript. FF contributed to the clinical interpretation of data, BN collected data and helped with the writing, MP contributed to the design and final interpretation. All authors read and approved the final manuscript."

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