

# Role of hippocampal location and radiation dose in glioblastoma patients with hippocampal atrophy

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## Research Article

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## Abstract

**Background** The hippocampus is a critical organ for irradiation. Thus, we explored changes in hippocampal volume according to the dose delivered and the location relative to the glioblastoma.

**Methods** All patients were treated for glioblastoma with surgery, concomitant radiotherapy and temozolomide, and adjuvant temozolomide. Hippocampi were retrospectively delineated on three MRIs, performed at baseline, at the time of relapse, and on the last MRI available at the end of follow-up. A total of 98, 96, and 82 hippocampi were measured in the 49 patients included in the study, respectively. The patients were stratified into three subgroups according to the dose delivered to 40% of the hippocampus. In the group 1 ( $n = 6$ ), the hippocampal  $D_{40\%}$  was  $< 7.4$  Gy, in the group 2 ( $n = 13$ ), only the  $H_{\text{contra}} D_{40\%}$  was  $< 7.4$  Gy, and in the group 3 ( $n = 30$ ), the  $D_{40\%}$  for both hippocampi was  $> 7.4$  Gy.

**Results** Regardless of the time of measurement, homolateral hippocampal volumes were significantly lower than those contralateral to the tumor. Regardless of the side, the volumes at the last MRI were significantly lower than those measured at baseline. There was a significant correlation among the decrease in hippocampal volume regardless of its side, and  $D_{\text{max}}$  ( $p = 0.001$ ),  $D_{98\%}$  ( $p = 0.028$ ) and  $D_{40\%}$  ( $p = 0.0002$ ). After adjustment for the time of MRI, these correlations remained significant. According to the  $D_{40\%}$  and volume at  $\text{MRI}_{\text{last}}$ , the hippocampi decreased by  $4 \text{ mm}^3/\text{Gy}$  overall.

**Conclusions** There was a significant relationship between the radiotherapy dose and decrease in hippocampal volume. However, at the lowest doses, the hippocampi seem to exhibit an adaptive increase in their volume, which could indicate a plasticity effect. Consequently, shielding at least one hippocampus by delivering the lowest possible dose is recommended so that cognitive function can be preserved.

**Trial registration:** Retrospectively registered.

## Introduction

New memories was associated with neural stem cells located in the subgranular zone of the hippocampal dentate gyrus [1]. Injury of these cells has been hypothesized to be one of the leading causes of the radiation-induced early cognitive decline [2]. Preclinical studies have shown that low doses of radiotherapy are sufficient to induce a decrease in neurogenesis in the subgranular zone. This loss in neurogenic capacity is reportedly correlated with a decline in new memory formation and impaired recall [3]. Furthermore, clinical trials have demonstrated the validity of these preclinical results by dosimetric analysis [4, 5]. Fortunately, new radiotherapy techniques, such intensity-modulated radiation therapy (IMRT), have helped to protect hippocampi and prevent cognitive decline [6–8].

According to trials investigating brain metastasis, dose constraints have been described for both hippocampi [5, 8]. In the setting of partial-brain irradiation, there has also been evidence indicating that a higher radiotherapy (RT) dose to the hippocampus may be associated with greater memory impairment [4, 9, 10].

Trials studying hippocampal shielding and cognitive consequences have mainly been designed for and conducted in patients with whole-brain irradiation or stereotactic irradiation of multiple metastases leading to an equivalent dose in both hippocampi [5, 7, 8]. In glioma, the radiation fields were mainly asymmetrical, allowing for one hippocampus to be shielded.

Hippocampal volume tracking with structural MRI has proven clinical utility in a variety of diseases, including Alzheimer's disease [11, 12], temporal lobe epilepsy [13], and traumatic brain injury [14]. Interestingly, Maguire et al. showed that taxi drivers' hippocampi were larger than those of other people, which was not correlated with innate navigational expertise but with training and their ability to use their spatial knowledge [15, 16].

Many authors have investigated the role of hippocampal and memory disorders in numerous pathologies [9, 13, 17–21]. Thus, complementary studies on the consequences of hippocampal irradiation are warranted to improve memory preservation in brain radiotherapy patients.

The purpose of the study was to evaluate changes in hippocampus size among irradiated glioblastoma (GBM) patients during the follow-up according to the tumor side and the received dose and to assess whether the changes to the nonirradiated/low-irradiated hippocampus are similar to those of the higher-irradiated hippocampus.

## Methods

The institutional review board approved this retrospective study. All patients gave their consent to collect and analyze their data, and all live patients specifically agreed to participate in this study, according to the French CNIL law MR004.

Forty-nine patients with GBM, treated with irradiation, were retrospectively analyzed in this study. There were 34 males and 15 females with a median age of 61-years old (mean: 60.6; min-max: 24–81). Twenty-five tumors were located in the left cerebral hemisphere, and 24 were located in the right cerebral hemisphere.

### Imaging acquisition

All MR images were acquired using a Signa Excite HDx 3.0™ system (GE Healthcare, Milwaukee, WI) with an 8-channel dedicated head coil. The MRI scanning protocol included pre- and postcontrast 1-mm, 3-dimensional (3D) volumetric T1-weighted multiecho magnetization-prepared rapid-acquisition gradient echo (MPRAGE) images, and 3D T2-weighted fluid-attenuated inversion recovery (FLAIR) images. Three MRI image sets were analyzed. The first MRI was obtained with a median interval of 13 days (mean 12.7; min-max: 5–23) before the start of RT (MRI<sub>dosimetric</sub>), the second at the time of relapse (MRI<sub>relapse</sub>), with a median interval of 4.6 months (mean 7.2; min-max: 1.1–22.0) after the end of RT, and the third was the last MRI during follow-up (MRI<sub>last</sub>), with a median interval of 17.6 months (mean 17.7; min-max: 3.3–44.3) after the end of RT. The median time interval between MRI<sub>relapse</sub> and MRI<sub>last</sub> was 11.4 months (mean 13.1; 1.9–36.7).

The Planning Target Volume (PTV) included tumors visualized on a gadolinium-enhanced T1 weighted MPRAGE sequence plus a 10 mm-margin completed with edema in the FLAIR sequence, finally encompassed by a 3-mm margin. GBM patients were irradiated at a dose of 60 Gy in 30 daily fractions of 2 Gy, five days a week. All the patients received concomitant chemotherapy with temozolomide at a median daily dose of 140 mg (mean 135.85; min-max: 120–160). Forty-three patients underwent a median number of 6 cycles (1–10) of adjuvant chemotherapy at a median daily dose of 340 mg (mean 330; 140–400) according to the EORTC/NCIC protocol [22].

### Hippocampus delineation

Hippocampi were prospectively delineated on the gadolinium-enhanced T1-weighted MPRAGE sequence with 1-mm slices MRI<sub>dosimetric</sub> and retrospectively delineated from the same MRI sequence on the MRI<sub>relapse</sub>, and the MRI<sub>last</sub>, according to atlas [6, 23]. Hippocampal delineation was performed by a radiation oncologist with a five years of experience (xx) and approved by a radiation oncologist (xx) with over 20 years of experience [24].

Hippocampi were not included if there was any distortion in the hippocampal anatomy due to postsurgical effects or proximity/invasion of the tumor. Hippocampal volumes were stratified into contralateral (H<sub>contra</sub>) and homolateral (H<sub>homo</sub>) to the GBM, and the composite bilateral consisted of the sum of H<sub>contra</sub> and H<sub>homo</sub> (H<sub>sum</sub>). At baseline MRI<sub>dosimetric</sub>, MRI<sub>relapse</sub>, and MRI<sub>last</sub>, the numbers of delineated hippocampi were 98, 96, and 82, respectively. No patient had both hippocampi censored.

### Scheduled doses to hippocampi

The dose constraint was  $D_{40\%} < 7.4$  Gy for H<sub>sum</sub>. If this aim could not be reached (mainly due to the proximity of one hippocampus to the tumor), then this constraint was imposed on the contralateral hippocampus. In the case of cross-median line GBM, the planning tried to reach the lowest dose as possible in the H<sub>contra</sub>. However, hippocampal constraint respect was never preferred to the tumor coverage ( $D_{98\%} > 95\%$  of the prescribed dose) to limit the risk of GBM relapse.

Finally, the entire patient group was split into three subgroups according to the dose delivered to 40% of the hippocampus. In group 1 (n = 6), the hippocampal  $D_{40\%}$  was  $< 7.4$  Gy, in group 2 (n = 13), only H<sub>contra</sub>  $D_{40\%}$  was  $< 7.4$  Gy, and in group 3 (n = 30), both hippocampal  $D_{40\%}$  were  $> 7.4$  Gy. Furthermore, hippocampi were split into four subgroups according to the  $D_{40\%}$ ,  $< 7.4$  Gy, between 7.4 Gy and  $< 30$  Gy, between  $\geq 30$  Gy and  $< 50$  Gy, and  $\geq 50$  Gy.

### Statistics

Volumes of hippocampi were determined on MRI<sub>dosimetric</sub> (H<sub>homo-j0</sub>, H<sub>contra-j0</sub>, and H<sub>sum-j0</sub>), on MRI<sub>relapse</sub> (H<sub>homo-relapse</sub>, H<sub>contra-relapse</sub>, and H<sub>sum-relapse</sub>), and MRI<sub>last</sub> (H<sub>homo-last</sub>, H<sub>contra-last</sub>, and H<sub>sum-last</sub>).

The minimum dose ( $D_{min}$ ), mean dose ( $\bar{D}$ ), maximum dose ( $D_{max}$ ),  $D_2\%$ ,  $D_{10\%}$ ,  $D_{40\%}$ ,  $D_{50\%}$ ,  $D_{80\%}$ , and  $D_{98\%}$  were collected for each hippocampus and for the combination of both. According to the linear-quadratic model, for the hippocampi receiving less than 2 Gy per fraction, doses were recalculated with an  $\alpha/\beta = 2$  Gy. The change in hippocampal volumes was analyzed according to the doses, follow-up time, and contact/proximity to the GBM using Pearson's product-moment correlation. Comparisons of the distribution of volumes, doses, and percentages between homolateral and contralateral hippocampus were performed with the T-Test. RStudio Version 1.2.5033 was used to perform statistical calculations.

## Results

### Hippocampal volumes and time of measure

### *Overall patients*

The volumes are presented in table 1. Regardless of the time of measurement, the volume of  $H_{\text{homo}}$  was always significantly lower than those of  $H_{\text{contra}}$ ,  $H_{\text{homo-j0}}$  vs.  $H_{\text{contra-j0}}$  ( $p = 0.02$ ),  $H_{\text{homo-relapse}}$  vs.  $H_{\text{contra-relapse}}$  ( $p < 0.002$ ), and  $H_{\text{homo-last}}$  vs.  $H_{\text{contra-last}}$  ( $p < 0.003$ ) (Annex 1). Regardless of the side, the volume at the last measurement was always significantly lower than that measured at baseline,  $H_{\text{homo-j0}}$  vs.  $H_{\text{homo-last}}$  ( $p = 0.02$ ),  $H_{\text{contra-j0}}$  vs.  $H_{\text{contra-last}}$  ( $p = 0.049$ ). There was no significant difference in the measurements between  $\text{MRI}_{\text{relapse}}$  and  $\text{MRI}_{\text{last}}$ , neither for  $H_{\text{homo}}$  nor  $H_{\text{contra}}$  (Annex 1a).

### *Group stratification*

The volumes are presented in table 2. According to intragroup comparisons, only for group 3 was the volume of  $H_{\text{homo-G3}}$  always lower than those of  $H_{\text{contra-G3}}$ ,  $H_{\text{homo-j0-G3}}$  vs.  $H_{\text{contra-j0-G3}}$  ( $p = 0.01$ ),  $H_{\text{homo-relapse-G3}}$  vs.  $H_{\text{contra-relapse-G3}}$  ( $p = 0.01$ ), and  $H_{\text{homo-last-G3}}$  vs.  $H_{\text{contra-last-G3}}$  ( $p = 0.01$ ) (Annex 2a).

According to intergroup analysis, significant decreases in volume were observed between G1 and G3 for  $H_{\text{homo-j0-G1}}$  vs  $H_{\text{homo-j0-G3}}$  ( $p = 0.03$ ),  $H_{\text{homo-relapse-G1}}$  vs  $H_{\text{homo-relapse-G3}}$  ( $p = 0.02$ ),  $H_{\text{homo-last-G1}}$  vs  $H_{\text{homo-last-G3}}$  ( $p = 0.01$ ) and  $H_{\text{contra-last-G1}}$  vs  $H_{\text{contra-last-G3}}$  ( $p < 0.01$ ). There was no significant difference in volume between G1 and G2 and between G2 and G3 (Annex 2a).

### Volume differences between $\text{MRI}_{\text{dosimetric}}$ and $\text{MRI}_{\text{relapse}}$

#### *Overall patients (table 1)*

For  $H_{\text{homo}}$ , the median volume of reduction was  $-310 \text{ mm}^3$  corresponding to a difference of  $-9.5\%$ , ( $p = 0.02$  and  $p = 0.02$ , respectively) (Annex 1b). For  $H_{\text{contra}}$ , the median volume of reduction was  $-140 \text{ mm}^3$  corresponding to a difference of  $-3.97\%$  ( $p = 0.02$  and  $p = 0.02$ , respectively) (Annex 1b).

#### *Group stratification (table 2)*

According to intra- or inter-group analysis, no significant differences were observed (Annex 2b, 2c).

### Volume differences between $\text{MRI}_{\text{dosimetric}}$ and $\text{MRI}_{\text{last}}$

#### *Overall patients (table 1)*

For  $H_{\text{homo}}$ , the median volume of reduction was  $-520 \text{ mm}^3$ , representing a difference of  $-17.6\%$  ( $p = 0.03$  and  $p = 0.01$ , respectively) (Annex 1b). For  $H_{\text{contra}}$ , the median volume of reduction was  $-190 \text{ mm}^3$ , representing a difference of  $-5.37\%$  ( $p = 0.03$  and  $p = 0.01$ , respectively) (Annex 1b).

#### *Group stratification (table 2)*

According to intragroup analysis, differences were only significant for  $H_{\text{contra-dosi-G3}}$  vs  $H_{\text{contra-last-G3}}$ , and their median volumes were  $3640 \text{ mm}^3$  and  $3310 \text{ mm}^3$  ( $p = 0.18$ ) (Annex 2b), representing a difference of  $-5.37\%$  ( $p = 0.03$ ) (Annex 2c). According to intergroup analysis, no significant difference was observed.

### Volume difference between $\text{MRI}_{\text{relapse}}$ and $\text{MRI}_{\text{last}}$

#### *Overall Patients (Table 1)*

For  $H_{\text{homo}}$  and  $H_{\text{contra}}$ , volume reduction was not significantly different (Annex 1b).

#### *Group stratification (table 2)*

According to intra- or intergroup analysis, no significant differences were observed (Annex 2b, 2c).

### Dose distribution and volume

#### *Overall patients (table 3a)*

On both sides, the volume decrease at  $\text{MRI}_{\text{last}}$  time was correlated with  $D_{\text{max}}$ ,  $D_{98\%}$  and  $D_{40\%}$  ( $p = 0.0011$ ,  $p < 0.001$  and  $p = 0.0002$ , respectively).

For  $D_{\text{min}}$ ,  $D_{2\%}$ ,  $D_{\text{max}}$ ,  $D_{98\%}$ ,  $D_{10\%}$ ,  $D_{40\%}$ ,  $D_{50\%}$ ,  $D_{80\%}$ , and  $D_{100\%}$ , the values for  $H_{\text{homo}}$  were significantly higher than those for  $H_{\text{contra}}$  ( $p < 0.0001$  for all comparisons)

Before and after recalculation with a 2-Gy equivalent-dose, each analyzed dose value was significantly higher for  $H_{\text{homo}}$  than for  $H_{\text{contra}}$  ( $p < 0.0001$  for all comparisons).

### Group stratification (table 3b)

$D_{40\%}$  and  $D_{40\%eq2Gy}$  were studied among the three groups. For group 1, there was no difference in  $D_{40\%}$  and  $D_{40\%eq2Gy}$  for  $H_{\text{homo}}$  and  $H_{\text{contra}}$ . For group 2, the median  $D_{40\%}$  and  $D_{40\%eq2Gy}$  values were significantly higher in  $H_{\text{homo}}$  than in  $H_{\text{contra}}$ , 38.5 Gy vs 5.1 Gy ( $p < 0.001$ ) and 31.6 Gy and 2.8 Gy ( $p < 0.0001$ ), respectively. For group 3, comparable differences were observed for 59.3 Gy vs 18.5 Gy ( $p < 0.001$ ) and 58.9 Gy vs. 12.1 Gy ( $p < 0.0001$ ), respectively.

### Correlation between hippocampus volumes and dose

#### Overall patients (table 4)

There was a significant correlation between the decrease in the volume of the hippocampus, regardless of its side and  $D_{\text{max}}$  ( $p = 0.001$ ),  $D_{98\%}$  ( $p = 0.028$ ) and  $D_{40\%}$  ( $p = 0.0002$ ). Adjusted to the time of analysis, these correlations remained significant. According to  $D_{40\%}$  and volume at  $\text{MRI}_{\text{last}}$  time, overall hippocampi decreased by  $4 \text{ mm}^3/\text{Gy}$ . However, these changes were not linear when the doses were stratified into four subgroups,  $<7.4 \text{ Gy}$ , between  $7.4 \text{ Gy}$  and  $<30 \text{ Gy}$ , between  $\geq 30 \text{ Gy}$  and  $<50 \text{ Gy}$ , and  $\geq 50 \text{ Gy}$ . The slopes were  $+94.3 \text{ mm}^3/\text{Gy}$ ,  $-8.6 \text{ mm}^3/\text{Gy}$ ,  $-44.5 \text{ mm}^3/\text{Gy}$ , and  $-112.2 \text{ mm}^3/\text{Gy}$ , respectively.

#### Group stratification (table 5)

For group 1, the change in volume for  $H_{\text{homo}}$  and  $H_{\text{contra}}$  from  $\text{MRI}_{\text{dosimetric}}$  to  $\text{MRI}_{\text{last}}$  according to  $D_{40\%}$ , was opposite, with slopes of  $-124 \text{ mm}^3/\text{Gy}$  and  $+172 \text{ mm}^3/\text{Gy}$ , respectively.

For group 2,  $H_{\text{homo}}$  and  $H_{\text{contra}}$ 's evolution was also opposite,  $-15 \text{ mm}^3/\text{Gy}$  and  $+154 \text{ mm}^3/\text{Gy}$ , respectively.

For group 3, the slopes of the change in volume for  $H_{\text{homo}}$  and  $H_{\text{contra}}$  volumes followed the same directions, with  $-19.7 \text{ mm}^3/\text{Gy}$  and  $-19.7 \text{ mm}^3/\text{Gy}$ , respectively.

## Discussion

The dose constraints of hippocampi are currently well defined to dramatically and efficiently decrease the hippocampal dose and, consequently, memory impairment. However, these dose constraints were primarily referenced by  $D_{40\%}$ , including both hippocampi, and were proposed secondary to the results of the first study, which used whole-brain radiation therapy, where hippocampi were irradiated or shielded symmetrically. In contrast, only two studies have focused on asymmetric irradiation in glioma [4, 25].

To our knowledge, this is the first study to investigate  $D_{40\%}$  in hippocampal volumes measured by MRI and to analyze the change in the hippocampus contralateral to the GBM after irradiation. This study clearly showed that the volume of hippocampi decreased after radiotherapy in patients irradiated for GBM. However, the decrease in hippocampal size depended on the tumor side and relied on the received radiation dose. These factors could explain the variability in memory disturbances after brain irradiation.

Delineation of hippocampi, which requires training and support of the atlas, have been recommended [6, 23]. In the study by Gondi *et al.*, for protection, hippocampi were manually delineated according to the protocol but only after the planning dose calculation was determined [4]. Notably, Siebert *et al.* used an automated segmentation method that is more reproducible than manual tracing that requires more expertise and training. Furthermore, in the Siebert *et al.* studies, all images were obtained with the same MRI devices, which required conditions to optimize the automated delineation that often deviated from daily practice [26–28]. Computerized segmentation volume methods were shown to be competitive with expert segmentation [17]. The main advantage of automated processes is the decrease in interobserver variability. However, automatic segmentation methods have enabled the subevaluation of hippocampal atrophy that develops over time [29]. In the present study, only one radiation oncologist delineated all the hippocampi, which improved the quality of volume comparison and removed the interobserver variability.

In this study, the median volumes of the homolateral and the contralateral hippocampi were  $3400 \text{ mm}^3$  and  $3540 \text{ mm}^3$ , respectively. These volumes compared favorably with the measurement obtained by Gondi *et al.* in their delineation study, at  $3300 \text{ mm}^3$  (range,  $2800\text{--}4000 \text{ mm}^3$ ) [6].

Physiologically, the decreasing hippocampi volume in one year was between 0.8% and 4.4% of the initial volume [30]. In a meta-analysis, the hippocampus atrophy rates in the both hippocampus, the left hippocampus, and the right hippocampus were 0.85%, 0.64%, and 0.70%, respectively. For both hippocampi, the atrophy rate differed according to the age and was 0.38%, 0.98%, and 1.12% in patients younger than 50, between 50 and 70, and older than 70, respectively [31]. In contrast, Prust *et al.* did not observe any change in the nine-month MRI-follow-up in 14 patients treated for GBM [32]. The median decrease in hippocampal volumes varied from 4–17.6% in the current series, depending on the tumor side, received dose, and time after irradiation.

Gondi *et al.* did not show any correlation with the hippocampus analyzed separately [4]. Moreover, the authors analyzed the hippocampus dose on the left and right hemispheres but not according to their position in terms of the tumor side. The results of the current study showed that the hippocampal

volume decrease is dependent on location of the hippocampus relative to the tumor. At the time of relapse, the percent decrease in volume was more substantial in the homolateral hippocampus than in the contralateral hippocampus, at 10.3% and 4%, respectively. These values increased over time and were 17.6% and 5.4% at the last follow-up MRI with no significant size difference observed between MRI<sub>relapse</sub> and MRI<sub>last</sub>. This demonstrated a clear relationship between the post-irradiation time and hippocampal atrophy, with substantial changes appearing in the first months after RT. In the case series reported by Siebert et al., the authors did not include the volume of hippocampi close to the tumor and did not compare the volume in terms of adaptation [25]. In this work, we showed that the median volume of the homolateral hippocampi relative to the glioblastoma was always lower than that of the contralateral hippocampi. The impact of glioblastoma on hippocampi functioning and homeostasis is unknown, but these results suggest an interaction. However, the consequences of surgery always being performed before the reference MRI (MRI<sub>dosimetry</sub>) cannot be excluded, but other causes should also be considered (medicine, age, addiction, estrogen level, corticosteroid intake...) [33]. Another assumed reason to explain this difference is the possible ability of the contralateral hippocampus to compensate for the decrease in the homolateral hippocampus volume after a low dose of irradiation, as a plasticity effect has already been shown in some variable situations [18, 20, 34].

Animal studies have shown that when the brains of young rats are unilaterally irradiated, the volume of the irradiated hippocampus is reduced compared to that of the nonirradiated side, corresponding to apoptosis, which induces the loss of neural stem cells and progenitor cell proliferation [35, 36]. A postmortem study on patients treated with chemotherapy and cranial irradiation showed profoundly reduced hippocampal neurogenesis. This observation further supports the hypothesis that neurocognitive impairment after brain-directed therapy hampers hippocampal neurogenesis to some degree [37, 38]. In the study by Gondi et al., doses to the tumor were variable, and the fractionation differed according to the nature of the tumor, varying from 1.8 to 4 Gy per fraction. After cognitive tests, among the 29 patients, 12.5% presented changes in their performance on the WMS-III Word Lists Delayed Recall Test. Risk impairment was significantly correlated with a D<sub>40%</sub> in the bilateral hippocampi > 7.4 Gy (p = 0.043) [4].

Siebert et al. included 52 patients and 79 noninvaded or nonchanged hippocampi because of surgery or the tumor's proximity. Hippocampal volume loss was significantly correlated with the mean RT dose delivered to the hippocampus (p = 0.03). The mean hippocampal volume was significantly reduced one year after high-dose (> 40 Gy) radiation therapy, but not after low-dose (< 10 Gy) radiation therapy [25]. In the current study, there was a correlation between the delivered D<sub>max</sub>, D<sub>98%</sub>, and D<sub>40%</sub> with decreasing hippocampal volume. Furthermore, we showed that the volume decreased continuously with D<sub>40%</sub> from > 7.5 Gy to > 50 Gy. Notably, for a D<sub>40%</sub> < 7.4 Gy, hippocampal volumes increased. Dose-dependent brain changes were also demonstrated for white matter [39], the amygdala [40], and left-sided perisylvian white matter [41]. In our study, the hippocampi receiving less than 7.4 Gy were always contralateral hippocampus relative to the GBM, and in 7 cases, the homolateral hippocampus whom D<sub>40%</sub> was < 7.4 Gy because the GBM was far enough away from the hippocampus and consequently, the hippocampus was not in, or near the radiation fields.

Finally, Siebert et al. included patients treated with nonclassical doses (1.8-2 Gy per fraction) and tried to calculate the dose equivalent to compare the results obtained with the different fractionations used [25]. At one year, atrophy in the hippocampi that received a dose > 40 Gy was 6%, which represented a relevant value compared to the 1% volume loss per year observed in the elderly [30, 31] and the 2.2 to 4% volume loss per year observed in Alzheimer's patients with mild to severe cognitive decline [29, 30, 42]. For the entire series, we noted a median decrease of 0.33% in hippocampal volumes over a median period of 17.5 months (time between MRI<sub>dosimetry</sub> and MRI<sub>last</sub>), but a median reduction of 5.55% in hippocampi that received more than 50 Gy in the same period.

In the study by Siebert et al., no significant hippocampal atrophy was detected after low-dose radiation treatment (mean < 10 Gy) exposure [25]. These findings were consistent with a previous study of 15 patients with head and neck malignancy, where a low incidental radiation dose to the hippocampus did not result in hippocampal volume loss [27]. Similarly, a study of 14 GBM patients reported no volume change six months after the start of chemoradiotherapy [32]. A phase II trial investigating hippocampal protection in the whole-brain radiation therapy setting (30 Gy in 10 fractions, RTOG 0933) found less short-term memory impairment than historical controls when constraining the mean dose to the hippocampus to less than 10 Gy [5]. In the study by Maguire et al., taxi drivers had a significantly higher volume in the posterior hippocampus, whereas control subjects showed a higher volume in the anterior hippocampus [42]. The ratio between posterior and anterior hippocampal volumes seems to be correlated with the cognitive mapping [43]. In our current series, contralateral hippocampi that received a D<sub>40%</sub> less than 7.4 Gy did not show any atrophy in the hippocampus; in contrast the volume increased significantly. Physiological and functional compensations could explain this observation, but methods to specifically study each hippocampus separately have not yet been developed. At present, our study cannot confirm that when the dose of irradiation was low, the increased volume was an adaptive reaction to irradiation or a natural adaptation to the brain trauma.

Notably, regardless of the tumor distance, the homolateral hippocampus volume was always significantly smaller than the contralateral hippocampus volume. This relative atrophy suggested that dose was not the sole cause of this decline. Other causes could be vascular disruption and permeability [21], alteration of interneurons [19], and neuroinflammation [39]. This difference in hippocampal volume has already been described in hippocampal sclerosis and epilepsy [13, 17, 29, 30]. However, it is unknown whatever this difference in volume was due to a variation secondary to atrophy alone (i.e., the contralateral hippocampus having a normal volume) or atrophy and unaltered volume compensation in the contralateral hippocampus [24].

This study was limited by the absence of specific cognitive performance measures to correlate with the observed structural neuroimaging changes. Validated cognitive tests are not always used in routine clinical practice, precluding clinical neurologic observation analysis in retrospective studies. However, these tests should be precise and split the left or right hippocampus [44], and dose thresholds should be relevantly chosen [45] to avoid unclear or confusing analysis. Moreover, advanced imaging access is still limited in medical practice, and other brain regions are involved in cognitive

functions [39, 46]. Another drawback is the lack of a control group to measure the change in the hippocampus over time in a population based on age, IK,... However, this requirement could be disputed because of the absence of tumors, which probably interact with the hippocampal structure through the microenvironment. To correlate neurocognitive outcomes with structural brain changes, prospective longitudinal trials are needed to examine performance in multiple cognitive domains in concert with serial neuroimaging [47].

## Conclusion

This study demonstrated a  $D_{40\%}$ -dependent atrophy effect on the irradiated hippocampus. The volume of the contralateral hippocampus increased when irradiated at a  $D_{40\%} < 7.4$  Gy increased, suggesting a compensatory reaction. Thus, limiting the radiation dose to the greatest extent possible in at least one hippocampus is recommended, when relevant, in cases of asymmetrical brain cancer.

## List Of Abbreviations

FLAIR: Fluid-attenuated inversion recovery

GBM: Glioblastoma

IMRT: Intensity-modulated radiation therapy

MPRAGE: Multiecho magnetization-prepared rapid-acquisition gradient echo

PTV: Planning Target Volume

RT: Radiotherapy

## Declarations

Ethics approval and consent to participate: Data are available as required and authorized by French law MR004.

Consent for publication: Data are available as required and authorized by French law MR004.

Availability of data and materials: The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Authors' contribution:

Clara LE FEVRE: Conceptualization, Methodology, Formal analysis, Investigation, Data curation, Writing-Original draft, Visualization;

Xue CHENG: Formal analysis, Investigation, Data curation, Writing-Original draft, Visualization;

Marie-Pierre LOIT: Validation, Writing-Review and Editing,

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## Tables

Table 1: Hippocampi volume, change in volume and percent change according to the interval between MRIs.

	Median Volume (min-max) mm <sup>3</sup>		
	H <sub>homo</sub>	H <sub>contra</sub>	H <sub>sum</sub>
MRI <sub>dosimetric</sub>	3400 (650-4850)	3540 (2000-4680)	6940 (3600-9530)
MRI <sub>relapse</sub>	3150 (610-4630)	3410 (2030-4440)	6480 (3080-9050)
MRI <sub>last</sub>	3060 (400-4230)	3350 (1860-5780)	6340 (3240-8290)
	Median reduction between MRI <sub>dosimetric</sub> and MRI <sub>relapse</sub>		
	H <sub>homo</sub>	H <sub>contra</sub>	H <sub>sum</sub>
Volume (mm <sup>3</sup> )	-310 (+840 - -2750)	-140 (+500 - -1160)	-380 (+1170 - -3460)
%	-9.5 (+36.0 - -80.9)	-4.0 (+15.9 - -32.0)	-5.3 (+17.9 - -87.6)
	Median reduction between MRI <sub>dosimetric</sub> and MRI <sub>last</sub>		
	H <sub>homo</sub>	H <sub>contra</sub>	H <sub>sum</sub>
Volume (mm <sup>3</sup> )	-520 (+500 - -1157)	-190 (+1720 - -201)	-720 (+1200 - -2310)
%	-17.6 (+14.7 - -61.8)	-5.4 (+42.4 - -50.1)	-10.3 (+18.3 - -35.8)
	Median reduction between MRI <sub>relapse</sub> and MRI <sub>last</sub>		
	H <sub>homo</sub>	H <sub>contra</sub>	H <sub>sum</sub>
Volume (mm <sup>3</sup> )	-150 (+960 - -1470)	-190 (+1390 - -1370)	-290 (+1400 - -2230)
%	-5.0 (+25.9 - -151.5)	-5.7 (+32.2 - -73.7)	-4.2 (+18.1 - -57.0)

Table 2: Hippocampi volume and change in volume between MRIs according to the D40% groups.

In group 1 (G1: n=6), in both hippocampi, the D<sub>40%</sub> was < 7.4 Gy; in group 2 (G2: n=13), the H<sub>contra</sub> D<sub>40%</sub> was < 7.4 Gy; and in group 3 (G3: n=30), the D<sub>40%</sub> for both hippocampi was > 7.4 Gy

Median Volume (min-max) (mm <sup>3</sup> )						
	H <sub>homo-G1</sub>	H <sub>contra-G1</sub>	H <sub>homo-G2</sub>	H <sub>contra-G2</sub>	H <sub>homo-G3</sub>	H <sub>contra-G3</sub>
MRI <sub>dosimetric</sub>	3700 (3070-4410)	3460 (2880-4010)	3540 (2330-4850)	3450 (2180-4680)	3250 (650-4320)	3640 (2000-4350)
MRI <sub>relapse</sub>	3260 (3120-4390)	3340 (2760-4070)	3050 (1960-4630)	3380 (2340-4440)	3030 (610-3970)	3500 (2030-4120)
MRI <sub>last</sub>	3200 (3020-3560)	3350 (3200-3620)	2670 (1970-4230)	3440 (1860-5780)	3080 (400-3620)	3310 (2000-4350)
Median reduction between MRI <sub>dosimetric</sub> and MRI <sub>relapse</sub>						
	H <sub>homo-G1</sub>	H <sub>contra-G1</sub>	H <sub>homo-G2</sub>	H <sub>contra-G2</sub>	H <sub>homo-G3</sub>	H <sub>contra-G3</sub>
Volume (mm <sup>3</sup> )	-200 (+180 - -1140)	-70 (+220 - -590)	-420 (+840 - -950)	-100 (+330 - -690)	-220 (+580 - -2750)	-220 (+500 - -1160)
%	-5.4 (+5.5 - -26.4)	-1.9 (+7.6 - -17.6)	-10.6 (+36 - -25.9)	-3.1 (+10.8 - -17.8)	-8.7 (+21.5 - -80.9)	-5.3 (+15.9 - -32.0)
Median reduction between MRI <sub>relapse</sub> and MRI <sub>last</sub>						
	H <sub>homo-G1</sub>	H <sub>contra-G1</sub>	H <sub>homo-G2</sub>	H <sub>contra-G2</sub>	H <sub>homo-G3</sub>	H <sub>contra-G3</sub>
Volume (mm <sup>3</sup> )	-60 (+250 - -1370)	+10 (+100 - -500)	-150 (+960 - -970)	-20 (+1390 - -1370)	-270 (+500 - -1470)	-210 (+1170 - -1300)
%	-1.7 (+7.5 - -31.2)	+0.3 (+3.2 - -13.3)	-4.8 (+35 - -29.5)	-0.6 (+31.7 - -42.4)	-12.4 (+16.0 - -60.2)	-6.9 (+47.6 - -39.4)
Median reduction between MRI <sub>dosimetric</sub> and MRI <sub>last</sub>						
	H <sub>homo-G1</sub>	H <sub>contra-G1</sub>	H <sub>homo-G2</sub>	H <sub>contra-G2</sub>	H <sub>homo-G3</sub>	H <sub>contra-G3</sub>
Volume (mm <sup>3</sup> )	-130 (+130 - -1390)	-180 (+320 - -600)	-620 (+500 - -1400)	-190 (+1720 - -1230)	-500 (+150 - -1570)	-190 (+840 - -2010)
%	-3.5 (+4.2 - -31.5)	-5.1 (+11.1 - -15.5)	-20.9 (+14.7 - -38.1)	-5.6 (+42.4 - -39.8)	-17.6 (+5 - -61.8)	-5.4 (+23.9 - -50.1)

Table 3: Median dose in the hippocampi

Table 3a: Median dose delivered to each hippocampus (homo- or contralateral)

		Dmean	Dmax	D10%	D20%	D30%	D40%	D50%	D60%	D70%	D80%	D90%	D100%
Homolateral hippocampus	Min.	<b>1,30</b>	<b>1,86</b>	<b>1,51</b>	<b>1,40</b>	<b>1,34</b>	<b>1,30</b>	<b>1,27</b>	<b>1,24</b>	<b>1,20</b>	<b>1,16</b>	<b>1,12</b>	<b>1,03</b>
	Max.	<b>61,12</b>	<b>63,49</b>	<b>62,82</b>	<b>62,16</b>	<b>61,51</b>	<b>61,35</b>	<b>61,18</b>	<b>60,97</b>	<b>60,75</b>	<b>60,53</b>	<b>60,25</b>	<b>59,65</b>
	median	<b>53,85</b>	<b>59,99</b>	<b>59,37</b>	<b>59,10</b>	<b>58,74</b>	<b>57,94</b>	<b>56,63</b>	<b>53,93</b>	<b>50,87</b>	<b>45,02</b>	<b>38,21</b>	<b>35,33</b>
Contralateral hippocampus	Min.	<b>1,26</b>	<b>1,99</b>	<b>1,52</b>	<b>1,37</b>	<b>1,31</b>	<b>1,27</b>	<b>1,23</b>	<b>1,10</b>	<b>0,94</b>	<b>0,83</b>	<b>0,73</b>	<b>0,58</b>
	Max.	<b>46,88</b>	<b>61,07</b>	<b>58,33</b>	<b>56,23</b>	<b>52,73</b>	<b>49,68</b>	<b>46,27</b>	<b>42,65</b>	<b>40,35</b>	<b>38,34</b>	<b>37,62</b>	<b>36,71</b>
	median	<b>13,89</b>	<b>33,26</b>	<b>20,41</b>	<b>16,42</b>	<b>14,57</b>	<b>11,50</b>	<b>11,15</b>	<b>10,87</b>	<b>10,74</b>	<b>10,60</b>	<b>9,72</b>	<b>3,80</b>

Table 3b: Median dose delivered to each hippocampus (homo- or contralateral) and according to the three groups stratified by D<sub>40%</sub> in each hippocampus

		Dmean	Dmax	D10%	D20%	D30%	D40%	D50%	D60%	D70%	D80%	D90%	D100%	
Group 1	Homolateral hippocampus	Min.	<b>1,30</b>	<b>1,86</b>	<b>1,51</b>	<b>1,40</b>	<b>1,34</b>	<b>1,30</b>	<b>1,27</b>	<b>1,24</b>	<b>1,20</b>	<b>1,16</b>	<b>1,12</b>	<b>1,03</b>
		Max.	<b>5,06</b>	<b>17,63</b>	<b>8,47</b>	<b>7,39</b>	<b>6,02</b>	<b>5,06</b>	<b>4,41</b>	<b>3,91</b>	<b>3,58</b>	<b>3,27</b>	<b>2,98</b>	<b>1,71</b>
		median	<b>2,78</b>	<b>6,32</b>	<b>4,77</b>	<b>3,69</b>	<b>2,64</b>	<b>2,30</b>	<b>2,19</b>	<b>2,04</b>	<b>1,94</b>	<b>1,80</b>	<b>1,71</b>	<b>1,35</b>
	Contralateral hippocampus	Min.	<b>1,26</b>	<b>1,99</b>	<b>1,52</b>	<b>1,37</b>	<b>1,31</b>	<b>1,27</b>	<b>1,23</b>	<b>1,19</b>	<b>1,11</b>	<b>1,00</b>	<b>0,90</b>	<b>0,77</b>
		Max.	<b>4,80</b>	<b>13,12</b>	<b>6,97</b>	<b>5,77</b>	<b>5,20</b>	<b>4,74</b>	<b>4,36</b>	<b>4,08</b>	<b>3,81</b>	<b>3,52</b>	<b>3,22</b>	<b>1,05</b>
		median	<b>1,99</b>	<b>5,47</b>	<b>3,50</b>	<b>2,11</b>	<b>1,73</b>	<b>1,60</b>	<b>1,47</b>	<b>1,38</b>	<b>1,30</b>	<b>1,20</b>	<b>1,12</b>	<b>0,99</b>
Group 2	Homolateral hippocampus	Min.	<b>8,44</b>	<b>17,94</b>	<b>10,78</b>	<b>10,65</b>	<b>10,35</b>	<b>8,56</b>	<b>7,87</b>	<b>7,87</b>	<b>4,12</b>	<b>3,27</b>	<b>2,44</b>	<b>4,79</b>
		Max.	<b>59,96</b>	<b>61,83</b>	<b>61,08</b>	<b>60,93</b>	<b>60,78</b>	<b>60,56</b>	<b>60,32</b>	<b>60,32</b>	<b>59,72</b>	<b>59,22</b>	<b>58,88</b>	<b>54,03</b>
		median	<b>35,54</b>	<b>54,95</b>	<b>45,74</b>	<b>43,05</b>	<b>40,98</b>	<b>38,47</b>	<b>34,90</b>	<b>34,90</b>	<b>22,06</b>	<b>17,04</b>	<b>15,34</b>	<b>7,50</b>
	Contralateral hippocampus	Min.	<b>3,28</b>	<b>11,30</b>	<b>5,31</b>	<b>3,56</b>	<b>3,15</b>	<b>2,25</b>	<b>1,31</b>	<b>1,10</b>	<b>0,94</b>	<b>0,83</b>	<b>0,73</b>	<b>0,58</b>
		Max.	<b>12,59</b>	<b>54,15</b>	<b>34,18</b>	<b>25,58</b>	<b>13,73</b>	<b>7,40</b>	<b>6,22</b>	<b>5,94</b>	<b>5,51</b>	<b>4,91</b>	<b>4,16</b>	<b>3,57</b>
		median	<b>6,16</b>	<b>21,06</b>	<b>10,17</b>	<b>7,43</b>	<b>6,59</b>	<b>5,14</b>	<b>4,70</b>	<b>3,30</b>	<b>3,11</b>	<b>2,94</b>	<b>2,75</b>	<b>2,46</b>
Group 3	Homolateral hippocampus	Min.	<b>17,60</b>	<b>25,00</b>	<b>21,30</b>	<b>20,01</b>	<b>19,13</b>	<b>18,17</b>	<b>17,35</b>	<b>16,52</b>	<b>15,75</b>	<b>14,70</b>	<b>9,26</b>	<b>9,25</b>
		Max.	<b>61,12</b>	<b>63,49</b>	<b>62,82</b>	<b>62,16</b>	<b>61,51</b>	<b>61,35</b>	<b>61,18</b>	<b>60,97</b>	<b>60,75</b>	<b>60,53</b>	<b>60,25</b>	<b>59,65</b>
		median	<b>57,87</b>	<b>60,77</b>	<b>60,18</b>	<b>59,72</b>	<b>59,31</b>	<b>59,25</b>	<b>58,98</b>	<b>58,73</b>	<b>57,98</b>	<b>56,79</b>	<b>55,49</b>	<b>44,26</b>
	Contralateral hippocampus	Min.	<b>8,17</b>	<b>16,02</b>	<b>11,76</b>	<b>11,42</b>	<b>10,64</b>	<b>8,12</b>	<b>5,86</b>	<b>4,61</b>	<b>3,63</b>	<b>2,80</b>	<b>2,21</b>	<b>2,31</b>
		Max.	<b>46,88</b>	<b>61,07</b>	<b>58,33</b>	<b>56,23</b>	<b>52,73</b>	<b>49,68</b>	<b>46,27</b>	<b>42,65</b>	<b>40,35</b>	<b>38,34</b>	<b>37,62</b>	<b>36,71</b>
		median	<b>19,57</b>	<b>38,32</b>	<b>29,41</b>	<b>23,58</b>	<b>19,40</b>	<b>18,52</b>	<b>17,95</b>	<b>17,31</b>	<b>16,08</b>	<b>15,47</b>	<b>14,53</b>	<b>13,96</b>

Table 4: Volume size changes according to hippocampi groups.

Table 4a: Slope values of the volume lost (VL, mm<sup>3</sup>/Gy) or percentage of volume lost (L - %/Gy) in each hippocampus (homo or contralateral to the tumor) and for the three groups stratified by D<sub>40%</sub> in both hippocampi: In group 1 (G1: n=6), in both

hippocampi, the  $D_{40\%}$  was  $< 7.4$  Gy; in group 2 (G2: n=13), the  $H_{\text{contra}} D_{40\%}$  was  $< 7.4$  Gy; in group 3 (G3: n=30), the  $D_{40\%}$  for both hippocampi was  $> 7.4$  Gy

	# of patients	Homolateral		Contralateral	
		VL ( $\text{mm}^3/\text{Gy}$ )	%L (%/Gy)	VL ( $\text{mm}^3/\text{Gy}$ )	%L (%/Gy)
Group 1	6	-124	+1.5	+172	+4.0
Group 2	13	-15	-0.51	+15	+4.3
Group 3	30	-19,7	-0.04	-19,7	-0.52

Table 4b: Slope values of volume lost: The volume lost (VL) or percentage of volume lost (%L - %/Gy) according to the dose for both hippocampi between the reference MRI (dosimetric MRI) and last MRI during follow-up

	$D_{40\%} \leq 7.4$ Gy	$7.4$ Gy $< D_{40\%} \leq 30$ Gy	$30$ Gy $< D_{40\%} \leq 50$ Gy	$D_{40\%} > 50$ Gy
Slope VL	+94.3 $\text{mm}^3/\text{Gy}$	-8.6 $\text{mm}^3/\text{Gy}$	-45.4 $\text{mm}^3/\text{Gy}$	-112.2 $\text{mm}^3/\text{Gy}$
Slope %L	+2.7 %/Gy	-0.44 %/Gy	-1.13 %/Gy	- 5.55 %/Gy

## Supplementary Files

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- [Hippocampusannex1RO.docx](#)
- [Hippocampusannex2RO.docx](#)