

# The Conceptual Socio-Hydrological Based Framework For Water, Energy and Food Nexus

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## Research Article

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2 **Energy and Food Nexus**

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## 23 **Abstract**

24 The current study introduces a conceptual socio-hydrological based framework for water-energy-  
25 food (WEF) nexus. The proposed conceptual framework aims to investigate how farmers' dynamic  
26 agricultural activities under different socio-economic conditions affect the WEF systems. The  
27 WEF nexus model has been integrated with an Agent-Based Model, reflecting the farmers'  
28 agricultural activities. Furthermore, the agent-based model benefits from Association Rule Mining  
29 to define farmer agents' agricultural decision-making in various conditions. The processes within  
30 the WEF nexus are simultaneously physical, socio-economic, ecological, and political. Indeed,  
31 there are interrelated interactions among the mentioned processes in ways that have not yet  
32 properly delineated, mapped, or even perceived. Thus, for obtaining sustainable outcomes, the  
33 current study attempts to investigate trade-offs among natural resources and social systems in the  
34 WEF nexus approach. The proposed framework may provide more in-depth future insights for  
35 policy-makers through capturing bidirectional feedbacks among farmers and WEF systems.  
36 Furthermore, the proposed socio-hydrological WEF nexus framework can be adapted and applied  
37 to various societies and environments to provide more in-depth future insights for policy-makers  
38 through capturing bidirectional feedbacks among farmers and WEF systems.

39 **Keywords:** Water-energy-food Nexus; Socio-Hydrology; Agent-Based Modeling; Data Mining;  
40 Association Rule; Urmia Lake

41

## 42 **1. Introduction**

43 Food, energy, and water are inseparably interrelated resources that are vital for subsistence,  
44 sustainability, and development of every society (Li et al., 2019a; Shang et al., 2018). Agricultural  
45 activities play the leading role in providing food security; however, by being the largest consumer  
46 of freshwater resources, it also affects energy security and hydrological systems (Tian et al., 2018).  
47 Food production consumes about 90% of the freshwater resources, and approximately 30% of  
48 global energy use for production and related supply chains (Zhang and Vesselinov, 2017; Karnib,  
49 2018). Nevertheless, due to world population growth and economic developments, new

50 environmental challenges have also emerged, which have the potential to aggravate the  
51 insufficiency of water and energy resources. It has been estimated that by 2030, demand for water,  
52 energy, and food will be increasing by 30%, 40%, and 50%, respectively (Yang et al., 2016; White  
53 et al., 2018; Li et al., 2019a, 2019b). Therefore, efficient and sustainable management of limited  
54 energy and water resources is indispensable to address the food demand of growing populations  
55 (Taniguchi et al., 2017; Li et al., 2019a; Molajou et al., 2021).

56 In most parts of the world, national strategies on the governance of one system are frequently  
57 established independently from the other two systems; subsequently, the interlinkages among the  
58 three systems may not be appropriately considered. Thus, implementing management policies in  
59 one division of food-water-energy often leads to conflicting strategies, repercussion impacts on  
60 other systems, and amplified competition for the same resources (Daher and Mohtar, 2015; Dang  
61 and Konar, 2018). At this point, as a way to reach sustainable development goals, the water-  
62 energy-food (WEF) nexus approach has been brought into the light at the Bonn conference of 2011  
63 (Hoff, 2011; Scott et al., 2015). While highlighting the interdependencies and interlinkages among  
64 resources, the nexus approach offers insights on how to execute integrated management strategies  
65 for environmental resources without neglecting their spillover impacts on other systems and their  
66 implications for the extent of scarcity (World Economic Forum, 2011; Endo et al., 2017; United  
67 Nations, 2016; Ai-Saidi and Elagib, 2017; Kurian, 2017). In recent years, the conducted works in  
68 WEF nexus have been mainly focused on understanding the correspondences and synergies of  
69 food, water, and energy (Wolfe et al., 2016, Foran, 2015; Cai et al., 2018), creating an analytical  
70 framework to strengthen integrated management outcomes for improving energy, water, and food  
71 security (Scott et al., 2016; De Vito et al., 2017; White et al., 2018), and the political aspects of  
72 WEF divisions to reach nexus goals (Biba, 2016). However, in the anthropogenic era, where

73 numerous instances of unsuccessful attempts to tackle environmental challenges have resulted in  
74 unanticipated consequences, there are limited studies on the WEF nexus investigating the dynamic  
75 impacts of anthropogenic systems on the future trajectories of nexus (de Grenade et al., 2016;  
76 Ramaswami et al., 2017; Spiegelberg et al., 2017; Newell et al., 2019; Li et al., 2019a, 2019b).

77 The increasing interventions of human activities in environmental processes have ended up in  
78 significant alterations of natural resources and failure in various environmental management  
79 strategies (Dang and Konar, 2018; Kuil et al., 2019; Moshir Panahi et al., 2020). Regarding the  
80 processes within the WEF nexus, which are simultaneously physical, socio-economic, ecological,  
81 and political, indeed, there are interrelated interactions among the mentioned processes in ways  
82 that have not yet delineated, mapped, or even perceived (Howells et al., 2013). In this regard, nexus  
83 approaches require strong transdisciplinary and interdisciplinary joint efforts (Newell et al., 2019).  
84 Thus, for obtaining sustainable outcomes, it is necessary to investigate socio-ecological resilience,  
85 tradeoffs among physical and social systems, anthropogenic impacts on the condition of natural  
86 resources, social externalities of natural resources governance, the influences of nexus on human  
87 livelihoods, and vice versa in WEF nexus approaches (Scott et al., 2015; Biggs et al., 2015;  
88 Taniguchi et al., 2017, Bakarji et al., 2017; Di Baldassarre et al., 2019).

89 This research aims to deliver a WEF nexus framework for investigating how farmers' dynamic  
90 decisions and activities can shape the co-evolutionary trajectories of humans and WEF systems.  
91 As a novel strategy, this research benefits from the integration of a socio-hydrological model with  
92 a WEF nexus model to incorporate farmers' dynamic activities within nexus in a more detailed  
93 manner. One of the significant points of the proposed socio-hydrological WEF nexus framework  
94 is its ability to be adapted and exercised for various societies and environments. Thus, the proposed  
95 framework may open a window to have more precise future insights for policy-makers through

96 capturing bidirectional feedbacks among farmers and WEF systems. For assessing the efficiency  
97 of the proposed integrated framework, it has been applied to the Zarrineh-Rud River Basin in Iran,  
98 which is experiencing serious environmental challenges due to conflicting environmental needs  
99 and agricultural activities.

100

## 101 **2. Study area**

102 After the desiccation of the Aral Sea because of human-oriented developments, it has been argued  
103 that the Urmia Lake (Iran), one of the largest Lakes in the world, might experience the same fate  
104 (AghaKouchak et al., 2015). In recent decades, due to the significant growth of agricultural  
105 developments, the area of Urmia Lake has catastrophically shrunk without any restoration.  
106 Alongside almost 90% drop in the Urmia Lake level, the increase in farm areas has nearly reached  
107 its maximum capacity, which has caused severe environmental stresses (ULRP, 2017; Khazaei et  
108 al., 2019). Several studies suggested due to the anthropogenic nature of this critical environmental  
109 challenge, there is a necessity to have a practical framework for managing natural resources  
110 (Ashraf et al., 2019; Ghale et al., 2018). Thus, the proposed framework has been applied on  
111 Zarrineh-Rud River Basin, supplying the main surface water inflow (more than 49%) to the Urmia  
112 Lake (Henareh Khalyani et al., 2014).

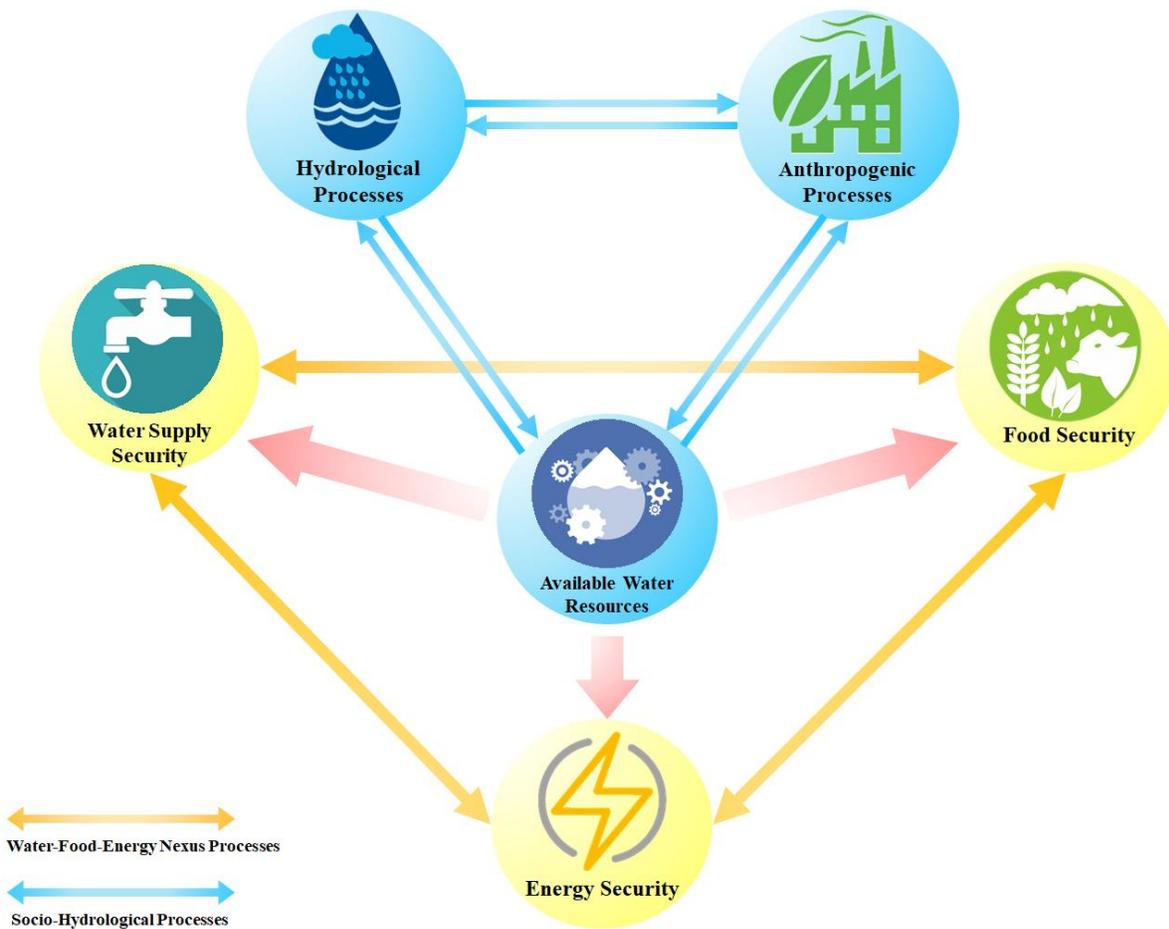
113 The growing season in the Zarrineh-Rud River Basin mainly begins in the mid-spring and lasts  
114 until the mid-autumn. During the growing season, irrigation water demands are extracted by  
115 farmers from both surface water and groundwater resources. It should be noted that the total area  
116 of agricultural farmlands in the study area is about 74 318 ha (Emami and Koch, 2018). In the

117 given region, the crops being cultivated in almost 92% of the farms include wheat, barley, corn,  
118 alfalfa, tomato, onion, and sugar beet.

119

### 120 **3. Socio-Hydrological WEF Nexus Framework**

121 In many countries, agricultural activities have a significant role in enhancing the economy, and  
122 providing food security of the societies. Therefore, one way to improve the economic condition is  
123 through elevating agricultural productivity. As discussed earlier, studies on WEF nexus mainly  
124 explore the optimized strategies leading to the most beneficial outcomes for all food, water, and  
125 energy sectors. However, regardless of how dynamic farmers may behave, these entities mainly  
126 have been considered as statistical elements or boundary conditions (Dang and Konar, 2018; Di  
127 Baldassarre et al., 2019). WEF systems have significant direct and indirect dependence on the  
128 condition of water resources. Respectively, as dynamic activities of farmers relating to irrigation,  
129 land-use change, and crop choice may alter the condition of water resources, it can be inferred that  
130 farmers have the potential to affect the whole WEF nexus system as well (see Fig. 1).



131

132

**Fig. 1** The conceptual framework of socio-hydrological WEF nexus system

133

134

As presented in Fig. 1, the socio-hydrological loop has been jointed within the WEF nexus

135

framework through available water resources. Socio-hydrological loops can contain a wide variety

136

of anthropogenic processes that may cause severe drought in available water resources. Therefore,

137

to capture anthropogenic dynamics, a hybrid socio-hydrological simulation model consisting of

138

the Association Rule Mining (ARM) and Agent-Based Model (ABM) has been employed to

139

inspect farmers' activities. ABM has been exercised to address the two-way feedbacks of farmers'

140

agricultural activities and available water resources from the socio-hydrological viewpoint. In the

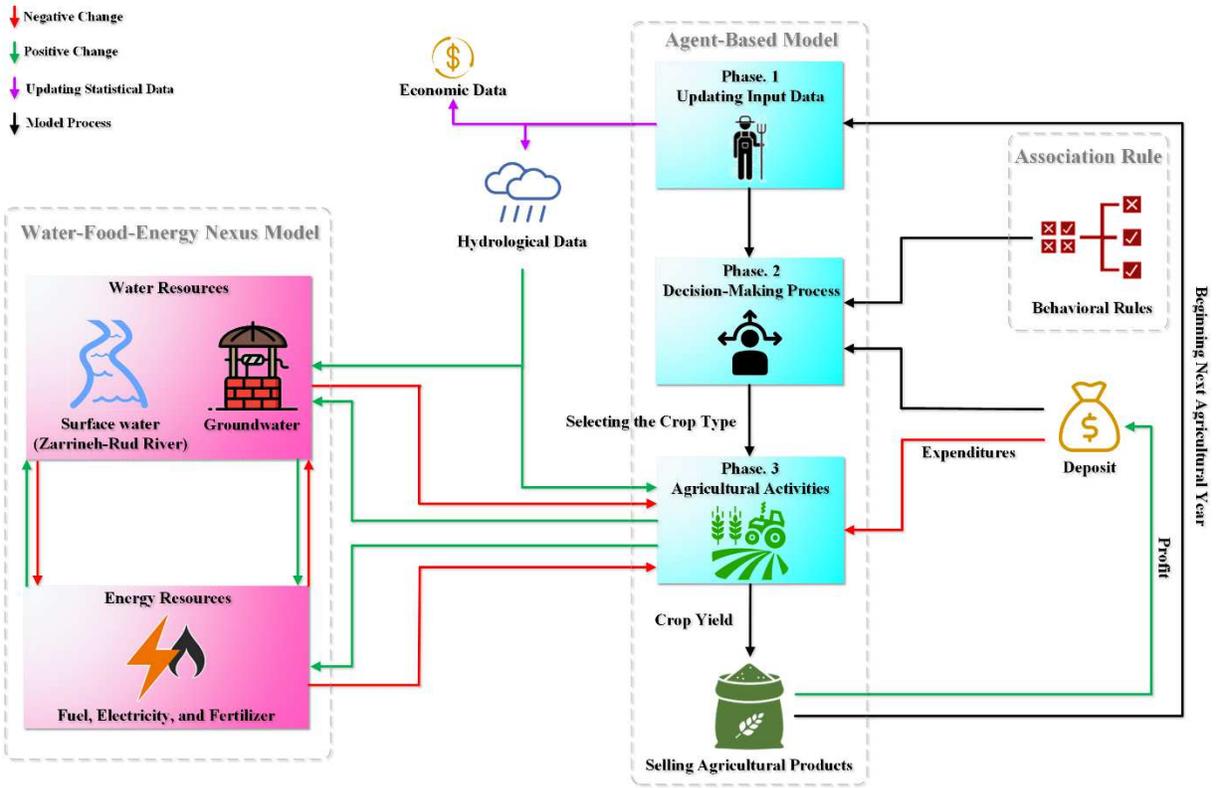
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ABM, agents are representing farmers who interact with surface water and groundwater resources

142 for irrigation purposes. The impacts of farmers' agricultural activities may vary by the crop-type  
143 that they cultivate, and the choice of crop-type depends on different factors such as financial  
144 condition, agricultural possessions, and social characteristics. Therefore, to have an accurate  
145 ABM, behavioral rules of the farmer agents have been extrapolated through ARM based on the  
146 analysis of farmers' social, financial and agricultural real-world properties. Afterward, the  
147 outcomes of the hybrid ABM and ARM affect the WEF nexus model, which have been created in  
148 System-Dynamics (SD) environment.

149 The WEF nexus SD model provides the environment for the ABM, which is in interaction with  
150 the farmer agents. For each of the WEF systems, there is a SD sub-model representing the  
151 condition of the given system and functions in tandem of other WEF sub-models. The proposed  
152 nexus simulation model consists of three sub-models affecting each other's performances.

153



154

155 **Fig. 2** The schematic overview of socio-hydrological WEF nexus simulation model

156

157 Fig. 2 demonstrates the schematic overview of the model, highlighting the connections among  
 158 decision-making, human activities, and WEF systems. In the following sections, the employed  
 159 sub-models have been explained.

160

### 161 3.1 Association Rule Mining (ARM)

162 The ARM is a data mining method, which aims to extract causal structures, frequent patterns,  
 163 associations, or noteworthy correlations between data sources. ARM measures the strength of the  
 164 patterns to identify reliable rules from the given databases. An association rule of  $E \Rightarrow F$  represents  
 165 that it is very likely that  $F$  happens as a result of happening  $E$  in a transaction from the database.

166 In this regard,  $E$  is antecedent, and  $F$  is the consequent of the rule. The reliability and dominance  
167 of a rule between all of discovered rules are defined by measures of support and confidence. The  
168 measure of support indicates the frequency of the transactions satisfying both considered  
169 antecedent and its consequent in the database (Eq. (1)). The measure of confidence represents the  
170 percentage of the transactions within the database including both  $E$  and  $F$  (Han et al., 2006;  
171 Nourani and Molajou, 2017; Nourani et al., 2017).

172

$$S(E \rightarrow F) = P(E \cup F) \quad (1)$$

$$C(E \rightarrow F) = P(E | F) = P(E \cup F)/P(E) \quad (2)$$

173

174 Where  $C$  is confidence and  $S$  is support, and in which  $E, F \subseteq Y$ , where  $Y$  represents a dataset of  
175 transactions.

176 In this study, to have more accurate ABM, which can mimic farmers' decision-making based on  
177 social, environmental, and economic conditions, the behavioural rules of the agents have been  
178 determined through ARM. According to the previous studies and consulting with the specialists  
179 from the Urmia Lake Restoration Program (ULRP), the social data referring to the main non-  
180 psychological attributes of the farmers with the most universality have been gathered through  
181 interviews, filled questionnaires, and social background studies (Jepsen et al., 2006; Gocsik, 2014;  
182 Yekom, 2016a, 2016b). The mentioned questionnaire is shown in Table 1.

183

184

185

**Table 1.** The social properties of the farmers, which have significant impacts on their agricultural activities

Agricultural knowledge	Age	Financial satisfaction	Ownership of the farm	Size of the farmland	Location of the farmland alongside the main river channel	
Poor	Young	Poor	Rented	Small	Upstream	
Medium	Adult	Medium	Owned	Medium	Downstream	
Good	Old	Good		Large	Near diversion dam	
Having well in the farm	Selling contract	Household labor working on the farm	Availability of agricultural machinery	Livestock farming	Education level	Type of the nearby water resources
Yes	Yes	Yes	Yes	Yes	Illiterate	The lake
No	No	No	No	No	Primary	The main irrigation canal
					High school	The nearest dam
					University	The main river

186

187 Using the results of the filled questionnaires, the ARM was utilized to extrapolate the pattern of  
 188 the essential attributes making farmers decide to plant a specific crop type or not. For this study,  
 189 the analysis of social data through ARM has been conducted in the Weka (Waikato Environment  
 190 for Knowledge Analysis) software.

191

192 **3.2 Agent-Based Model (ABM)**

193 As shown in Fig. 2, the ABM processes consist of three main phases. In the first phase, the model  
194 receives historical hydrological and economic data as inputs. The statistical hydrological data  
195 include precipitation, mountain streams, and the average amount of industrial and urban water  
196 demand. And, the economic data contains the production costs and selling prices of the agricultural  
197 products. The mentioned input data may be considered as the boundary conditions for the ABM  
198 environment; therefore, they will be updated beginning each agricultural year, according to the  
199 gathered data.

200 In the 2nd phase, the agents initiate the decision-making processes for the upcoming agricultural  
201 year. For this purpose, to have agents representing farmers of the Zarrineh River Basin, the agents  
202 have been characterized in the model with various social and agricultural characteristics based on  
203 the parameters of Table 1. Therefore, each group of agents has diverse characteristics and  
204 conditions that affect their decision-making processes.

205 Based on the interviews with the farmers, the financial condition is among the top factors affecting  
206 farmers' agricultural decisions. Therefore, the agents assess their economic condition to choose  
207 the crop type that they want to cultivate next year. In this regard, agents use Eq.3 to determine the  
208 amount of money (*Deposit*) they expect to have for each of the upcoming twelve months minus  
209 the average agricultural costs for a year (*Cost<sub>avg</sub>*) before gaining the next profit from selling  
210 agricultural products. Then, the agents compare their financial condition (*F<sub>c</sub>*) with the poverty  
211 threshold of the year (*P<sub>Th</sub>*).

212

$$F_c = \left( \frac{Deposit - Cost_{avg}}{12} \right) \quad (3)$$

213

214 If the financial condition,  $F_c$ , falls below the poverty threshold of the year (i.e.  $F_c < P_{Th}$ ), it is  
215 expected that farmers, who suffer from unfavourable financial conditions attempt to get out of the  
216 poverty situation. Thus, for choosing the crop type, first, the agents assess the correlation of the  
217 behavioral rules with their own social and agricultural conditions. Afterward, from the set of rules  
218 with the maximum correlation with their characteristics, the agents select the behavioral rule with  
219 the highest profit.

220 If  $F_c$  shows a moderately tolerable financial condition (i.e.  $F_c \cong P_{Th}$ ), then, after assessment of the  
221 rules' correlations with their characteristics, the agents select randomly from the rules with the  
222 maximum correlation and medium to maximum profit.

223 Eventually, if  $F_c$  represents a satisfactory financial condition (i.e.  $F_c > P_{Th}$ ), then, agents select  
224 randomly from the rules by only considering the highest correlation with their own properties.

225 After defining the crop type, the ABM commences Phase 3, the agricultural activities. In this part,  
226 farmers acquire their irrigation water need from precipitation, groundwater, surface water. The  
227 amount of irrigation water need for each agent is estimated according to the water need of the  
228 chosen crop type and the area of the agent's farmland. The effective precipitation amount covering  
229 irrigation water needs is calculated by the USDA soil conservation service method (Smith, 1992):

230

$$R_{\text{eff}} = 125 + 0.1R \quad \text{for } R > 250 \text{ mm/m} \quad (4)$$

$$R_{\text{eff}} = R \frac{(125 - 0.2R)}{125} \quad \text{for } R \leq 250 \text{ mm/m} \quad (5)$$

231

232 Where  $R_{\text{eff}}$  and  $R$  are effective precipitation and gross monthly rainfall, respectively.

233 Then, farmers try to obtain their remainder irrigation water need from surface water resources,  
234 Zarrineh-Rud River. Also, it has been considered that farmers use groundwater as an additional  
235 source when accessible surface water is less than their actual demand:

236

$$W_{GW} = I_D - W_{SW} \quad (6)$$

237

238 Where,  $W_{GW}$  is the combined illegal and legal groundwater withdrawal for irrigation,  $I_D$  is farm's  
239 net monthly crop consumptive use minus effective precipitation, and  $W_{SW}$  is withdrawn surface  
240 water.

241 Meanwhile, agricultural expenditures based on the selected crop type and farm's size will be paid  
242 from the agents' deposits:

243

$$DM_1 = DM_0 - AE_i \quad (7)$$

244

245 Where,  $DM_1$  is the remaining money in the deposit after payment,  $DM_0$  is the deposited money, and  $AE_i$   
246 is average agricultural expenditures of the crop  $i$  per hectare.

247 Next, the crop yield for the agents,  $CY_a$ , is determined as a function of supplied water per hectare  
248 (ha) of farm as follow:

249

$$\left(1 - \frac{CY_a}{CY_m}\right) = K_y \left(1 - \frac{\sum ET_a}{\sum ET_m}\right) \quad (8)$$

250

251 where  $CY_a$  is the actual yield (kg/ha),  $CY_m$  is the maximum yield (kg/ha),  $K_y$  is the yield response  
 252 factor,  $ET_m$  is the maximum crop evapotranspiration (mm/period), and  $ET_a$  is the actual crop  
 253 evapotranspiration (mm/period) (Foster and Brozović, 2018; Saed et al , 2019).

254 In the last step of Phase 3, farmer agents sell all of their products at the selling price of the year.  
 255 Then the *deposit* variable will be updated by the gained *profit*. At the end of Phase 3, an agricultural  
 256 year has ended and the outcomes of the conducted processes may alter the conditions of farmer  
 257 agents, which affect their decision-making process for the upcoming year. Subsequently, the model  
 258 iterates the socio-hydrological loop from the first phase for the following agricultural year.

259

#### 260 **4. Results and Discussion**

261 Using the social data gathered in the field studied, nine behavioral rules have been extrapolated by  
 262 ARM for the main crop types of the study area. As stated earlier, the agents choose their behavioral  
 263 profile from the rules listed in Table 2.

**Table 2.** The discovered rules of crop choices and farmers' characteristics.

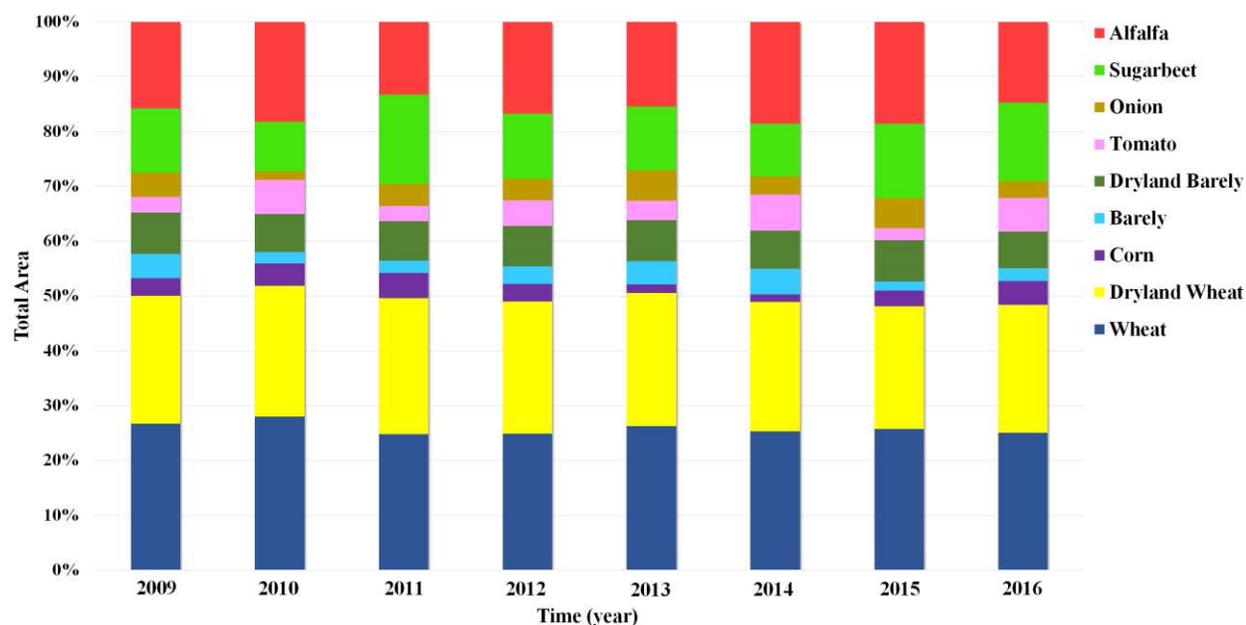
	Alfalfa	Alfalfa	Onion	Corn	Tomato	Barely	Wheat	Sugar beet	Sugar beet
Size of the farmland			Small	Medium	Small		Large		
Having well in the farm		Yes						Yes	
Type of nearby water resources		The main irrigation canal			The main irrigation canal				The main river
Livestock farming	No		Yes			Yes			
Selling contract			No				Yes		No
Financial condition		Poor		Medium	Medium				Poor
Agricultural knowledge	Poor		Medium			Medium			
Household labor working on the farm	Yes					No		Yes	Yes
Availability of agricultural machinery							Yes		Yes
Age			Adult			Old		Old	
Location of the farmland alongside the main river channel		Near diversion dam	Upstream					Upstream	Upstream

Ownership of the farm	Rented		Owned	Owned	Owned	Owned			
Education level				High school				Primary	
Confidence of the rule	69%	82%	77%	78%	87%	79%	91%	81%	74%

264

265 Each of the rules has some degree of correlation with the characteristics of the farmer agents  
 266 limiting the options for each type of agent. After agents finish their agricultural decision-making,  
 267 the amount of required water for irrigation and the energy needed for agricultural activities will be  
 268 determined based on the area under cultivation of each crop type. Fig. 3 illustrates the area of each  
 269 crop type cultivated by agents in the ABM for 2009 to 2016 agricultural years.

270



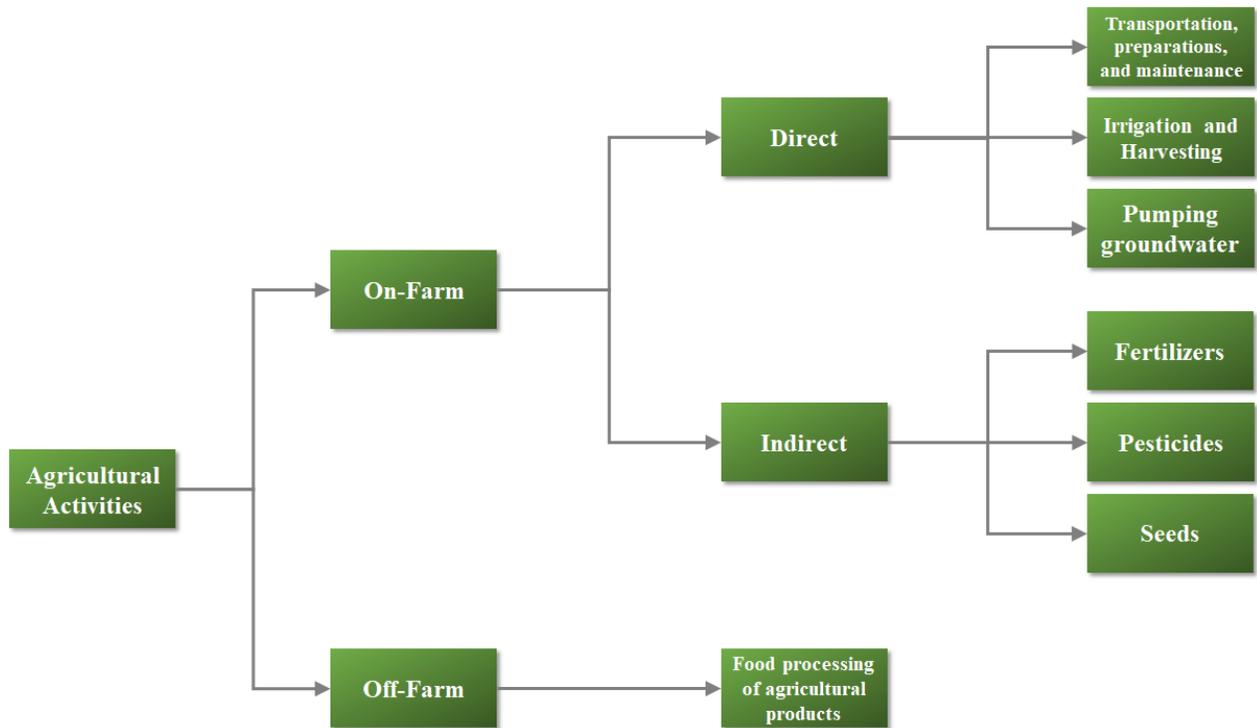
271

272 **Fig. 3** Average total farming area for each crop type in ABM (in percentage)

273

274 As shown in Fig. 3, wheat is the most planted crop type in the simulation period. The underlying  
275 reason for this matter may be due to its suitability (i.e., less required characteristics (Table 2))  
276 for the agents representing the farmers with large farmlands and diverse characteristics, who have  
277 access to agricultural machinery. Also, according to interviewed farmers, because of assured  
278 selling price under pre-set contracts and the simplicity of farming, planting wheat is considered as  
279 a priority by most farmers, regardless of its lower selling price in comparison to sugar beet and  
280 alfalfa. The results of the ABM also indicated that water-intensive crops such as sugar beet and  
281 alfalfa were mostly selected by small-holders with unfavorable financial conditions. Furthermore,  
282 there are some mountainous areas in the region without proper access to surface water and  
283 groundwater resources. Therefore, farmers in these areas mainly have been planting wheat and  
284 corn by dryland farming, and there were no considerable changes in area or crop type in recent  
285 years due to resource limitations. In this regard, for dryland wheat and corn, statistical data have  
286 been used for some agents to represent actual farmers farming dryland wheat averagely 23% of  
287 total agricultural areas and an averagely 7% dryland corn every agricultural year.

288 As stated earlier, the agricultural activities in ABM affect the hydrological and energy systems.  
289 The primary energy usages in agricultural activities, which have been considered for this study,  
290 contain on-farm and off-farm consumptions (Fig. 4).



291

292

**Fig. 4** The overview of energy nexus model

293

294 On-farm direct energy consumption includes: (a) fuel required for agricultural machinery in  
 295 transportation, farmland’s preparation, planting, and maintenance; (b) fuel required for irrigation  
 296 systems and harvesting; (c) electricity for water pumps. On-farm indirect energy expenditures  
 297 include: (a) consumed energy for the production of fertilizers; (b) consumed energy for the  
 298 production of chemical compounds such as pesticides; (c) consumed energy for seeds. It should  
 299 be noted that off-farm energy consumptions mainly cover the food processing of agricultural  
 300 products and their related transportation.

301

302

303

304 **4.1. Irrigation Required Energy for Groundwater Pumping**

305 A significant amount of energy is needed to utilize groundwater resources for irrigation. Required  
306 fuel energy for irrigating one hectare of farmland depends on groundwater elevation, irrigation  
307 system type, crop water need, and equipment's power consumption. Eq. 9 represents the required  
308 energy for pumping water:

309

$$E_i = \rho g H Q_i / (\varepsilon_1 \times \varepsilon_2) \tag{9}$$

310

311 Where  $E_i$  indicates energy (joule per hectare) for the crop (i),  $\rho$  is water density ( $\text{kg/ m}^3$ ),  $g$  is  
312 gravitational constant,  $H$  is the total dynamic head (m) including friction losses, and  $Q_i$  is annual  
313 water demand volume ( $\text{m}^3$  per hectare) for the crop (i).  $\varepsilon_1$  represents pump efficiency, which is  
314 generally ranged from 0.7 to 0.9 based on elevators height, water flow, and speed.  $\varepsilon_2$  indicates the  
315 total efficiency of energy and power conversion, which is 0.18 to 0.22 for electro-pump, and 0.25  
316 to 0.3 for diesel.

317 For calculating the dynamic head, the required data have been provided through field studies. It  
318 should be noted that for this case study, the Moody diagram has been used to calculate the friction  
319 loss ( $E_f$ ). In the next step required energy for the pump ( $E_p$ ) may be calculated through Eq. 10:

$$E_p = \left( \left( \frac{P_2}{\rho} \right) + 0.5v_2^2 + z_2g \right) - \left( \left( \frac{P_1}{\rho} \right) + 0.5v_1^2 + z_1g \right) + E_f \tag{10}$$

320

321 Where  $P$  is pressure,  $\rho$  is specific weight,  $v$  is speed,  $z$  is height, and  $g$  is the gravitational  
 322 acceleration. Since flow speed in the given aquifer is negligible, it has been considered that  $v_1 =$   
 323  $v_2 = 0$ . Groundwater irrigation required energy has been obtained for each crop type, considering  
 324  $\varepsilon_1 = 0.8$  ,  $\varepsilon_2 = 0.2$  (see Table 3).

325

**Table 3.** The amount of required water and the energy needed for irrigation of each crop type

	$Q \left( \frac{m^3}{ha} \right)$	$E \left( \frac{MJ}{ha} \right)$
Wheat	2379	3066.03
Alfalfa	5969	7692.78
Sugar beet	5665	7300.99
Barely	1734	2234.76
Tomato	4488	5784.08
Onion	4941	6367.91
Corn	3817	4919.31

326

327

#### 328 4.2. Energy Consumption of Machinery Operations

329 Required fuel per hectare of farmland varies based on the type of agricultural machinery, soil  
 330 condition, crop type, etc. To determine consumed fuel, at first, the necessary power for the  
 331 movement of machinery in the farmland should be calculated. Table 4 represents the required  
 332 traction force of plough for per unit area of soil, including disc, cultivator, and planter for per unit  
 333 width of the machine.

334

335

**Table 4.** The required traction force of agricultural machinery (Kepner et al., 1982)

Machine	Required power
Plough	3.4~6.2 (N/Cm <sup>2</sup> )
Tandem disc	1.5~2.9 (KN/m)
Offset disc	3.6~5.8 (KN/m)
Cultivator	0.6~1.2 (KN/m)
Planter	0.45~0.8 (KN/row)
Grain Drills	0.4~1.5 (KN/m)
Rotary cultivator	17~24 (N/Cm)

336

337

338 After obtaining the required traction force, the drawbar power ( $P_M$ ) should be calculated by Eq.

339 11. Where  $P_M$  is drawbar power (kW),  $F_M$  is drawbar force (kN), and  $V$  is the movement speed

340 (km/h).

$$P_M = (F_M * V)/3.6 \quad (11)$$

341

342 The  $P_M$  is always less than Power-Take-Off (PTO) output because of drive wheel slippage, tractor

343 rolling resistance, and friction losses in the drive train between the engine and the wheels. The sum

344 of these losses may be represented by a Tractive & Transmission (T&T) coefficient (see Eq. 12).

345 The values of the  $T&T$  coefficient for different soil types and different work conditions are

346 represented in Table 5. After determining  $PTO$ , the percentage of load on the engine (PLE) may

347 be defined by Eq. 13.

348

$$T\&T = \frac{\text{drawbar power } (P_M)}{PTO} \quad (12)$$

$$PLE = \frac{P_M}{\text{Maximum amount of } P_M} \quad (13)$$

349

**Table 5.** *T&T* coefficients in different soils and different loads

Plain type	Light load	Medium load	Heavy load
Concrete	0.75	0.85	0.9
Non-tillage land	0.6	0.75	0.8
Tillage land	0.4	0.6	0.65
Fresh tillage land	0.25	0.4	0.45

350

351 Generally, the maximum amount of  $P_M$  is considered as 80~90% of the tractor's nominal power.

352 Regarding the type of tractor, *MF285*, which is mainly used by the farmers of the given study area,

353 and its nominal power of 75 horsepower (55.86 kW), the maximum amount of  $P_M$  is 47.5kW.

354 Table 6 represents the values of fuel efficiency (kWh/liter) for different imposed loads on the

355 engine. It should be noted that other loads may be calculated through interpolation of the presented

356 values. Then, to determine the consumed fuel per hour for each operation,  $P_M$  will be divided into

357 the value of fuel efficiency.

358

359

360

361

**Table 6.** The values of tractor’s fuel efficiency of full gas mode (kW.h/liter) (Hunt, 2008)

Percentage of the imposed load on engine	Normal diesel engine
100	2.9
80	2.84
60	2.6
40	2.13
20	1.38

362

363 In this regard, the amount of consumed fuel in each operation have been calculated and presented  
 364 in Table 7. It should be noted the required fuel for harvesting machinery has been considered equal  
 365 to the relative operation according to the type of the employed tractor.

**Table 7.** Average required energy for agricultural operations

Operation	Traction force (kN)	drawbar power (kN)	T&T coefficient	P <sub>M</sub> (kW)	Load	Fuel efficiency (kW.h/liter)	Fuel consumption (liter/h)	Total operation time (h)	Required fuel (liter)
Tillage	18.4	25.5	0.8	32	0.65	2.65	12.07	4	48.28
Disk	8.5	14.1	0.45	31.3	0.64	2.65	11.8	3	35.4
Land leveling	8.5	14.1	0.45	31.3	0.64	2.65	11.8	4	47.2
Fertilization	-	-	-	9.7	0.2	1.38	7	2	14
Planting	3.6	7	0.4	17.5	0.36	2.1	8.3	3	24.9
Insecticide	-	-	-	9.7	0.2	1.38	7	2	14
Weeding	2.4	2.7	0.4	6.7	0.14	1.15	5.8	6	34.8
harvesting	-	-	-	-	-	-	12.07	5	60.35
Total required fuel	-	-	-	-	-	-	-	-	278.93

366

367 **4. 3. Relative Energy of Seed, Pesticide, and Fertilizer**

368 Based on the field studies and the farming system of the given region, the relative energy of the production of seed, pesticide, and  
 369 fertilizer have been determined and presented in Table 8.

370

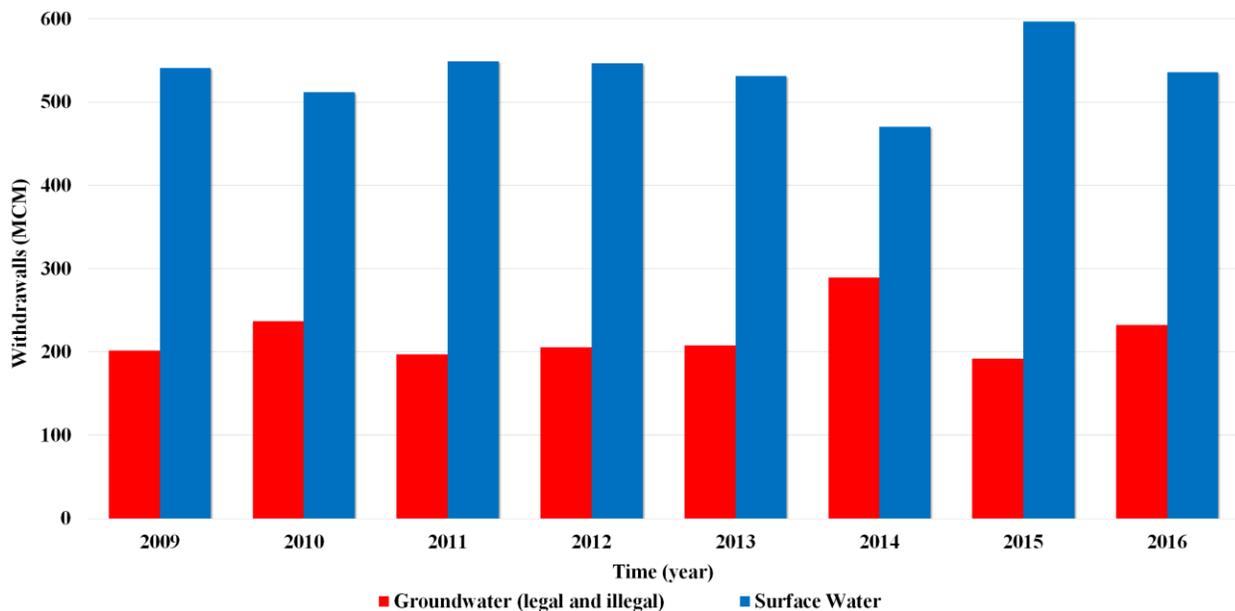
371 **Table 8.** Energy inputs of seeds, pesticides, and fertilizers in the cultivation of one hectare of different crops in the study area

Crop	Seed (kg)		Nitrogen (kg)		Phosphate (kg)		Potassium (Kg)		Pesticide (Diazinon) (lit)		Fungicide (Carboxin) (kg)	
	Quantity per unit area (ha)	Energy equivalent (MJ /unit)										
Wheat	293.5	20.21	161.9	78.1	137.4	17.4	106.2	13.7	1.2	101.2	2	216.9
Dry- Wheat	50.5	20.21										
Alfalfa	48.07	6.9	92	78.1	57.5	17.4	138	13.7	0.5	101.2	1	216.9
Sugarbeet	50	2.9	119.3	78.1	25.8	17.4	11.3	13.7	1	101.2	1.3	216.9
Barely	232.7	14.7	183.4	78.1	121.3	17.4	91.7	13.7	1.7	101.2	2.7	216.9
Dry- Barely	58	14.7										
Onion	11.1	14.7	219	78.1	183	17.4	109	13.7	3.55	101.2	1.63	216.9
Tomato	0.3	1	406.4	78.1	418.5	17.4	105.5	13.7	2.2	101.2	2.2	216.9
Corn	51.7	14.7	173.1	78.1	90.5	17.4	57.5	13.7	1.6	101.2	3.1	216.9

372

373 Figs. 5 and 6 demonstrate the amount of water need and energy need for the agricultural area.  
 374 According to Fig. 6, 2014 was one of the aridest years of the study area. Respectively, the amount  
 375 of groundwater extractions was at its highest in the study period. Therefore, more energy has been  
 376 consumed for pumping groundwater, which significantly raised the energy consumption in 2014.  
 377 On the other hand, energy consumption was at its lowest rate in 2011, which may be due to lower  
 378 groundwater extractions and lower cultivation areas of crop types such as alfalfa and tomato (see  
 379 Fig. 3). It should be noted, the alfalfa is one of the most water-intensive crop types in the study  
 380 region, which contributes to the drought of the Urmia Lake. Additionally, the cultivation of tomato  
 381 requires higher amounts of fertilizers and pesticides in comparison to other crop types, providing  
 382 which consume more energy. Moreover, as surface water resources become less accessible, there  
 383 would be more groundwater exploitations following with higher energy consumptions.

384

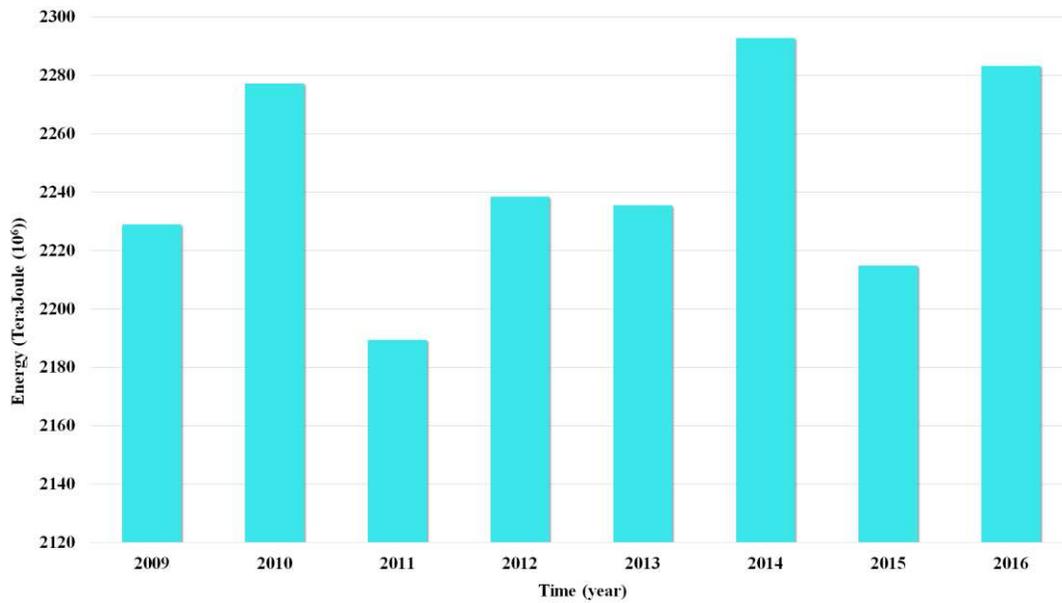


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**Fig. 5** The water need for 2009 to 2016 agricultural years

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**Fig. 6** The energy need for 2009 to 2016 agricultural years

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Regarding Fig. 5, one of the leading water governance challenges in the study area is the increasing number of illegal water wells. According to ULRP (2015), there were approximately 45 000 illegal water wells in Urmia Lake Basin, which have the potential to be increased in the present and the future, since farmers dig deeper and install larger pumps as the groundwater level drops. In addition to the limitations of surface water use, another factor leading farmers to over-extract groundwater resources is the economic decline. In recent decades, the Iran national currency have been devaluated significantly due to economic embargos, which through an increase in living expenses have affected farmers' livelihood. Therefore, most of the farmers with the unfavorable financial condition, especially small-holders, switched their crop type to water-intensive ones such as alfalfa or sugar beet because of their higher profit. Respectively, to supply water need for irrigation, regarding limitations in withdrawing from surface water resources and heightening economic difficulties, over-extractions of groundwater resources have caused a considerable increase in energy consumption as well.

404

405 **5. Conclusion**

406 It has been argued, demand for freshwater, energy, and food will be significantly increased due to  
407 population growth and following rapid anthropogenic changes and developments. Respectively,  
408 the capacity of water resources to sustain environmental and human needs is of paramount concern  
409 of policy-makers, as its condition, directly and indirectly, affects food production, energy systems,  
410 and ecosystems. Thus, due to the interdependence of water-food-energy (WEF), many studies  
411 indicated that improper management strategies that cover the whole system of WEF and also lack  
412 of flexibility against dynamic changes might threaten the availability of natural resources for the  
413 future generations.

414 Regarding the increasing anthropogenic stresses on ecological systems, future studies should  
415 explore the interactions between social and environmental systems more in-depth. To this end, the  
416 current study presents a novel framework to investigate how farmers' decision-making and  
417 activities affect the WEF nexus. In this way, an agent-based model (ABM) representing the human  
418 system, and a system-dynamic model (SD) representing interactions between food, water, and  
419 energy systems have been integrated and applied on the Zarrineh-Rud River Basin. The outcomes  
420 of this study indicated that how farmers' choices and dynamic activities can significantly affect  
421 food production, water resources, and energy systems. Thus, it is essential to consider various  
422 aspects of social systems in future WEF nexus studies.

423

424

425

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428 None

429 **Conflicts of interest/Competing interests**

430 None

431 **Ethics approval**

432 Not applicable

433 **Consent to participate**

434 Not applicable

435 **Consent for publication**

436 The authors give their full consent for the publication of this manuscript.

437 **Availability of data and material**

438 Not applicable

439 **Code availability**

440 Not applicable

441 **Authors' contributions**

442 Amir Molajou: Conceptualization, Formal analysis, Writing - original draft

443 Abbas Afshar: Supervision, Methodology, Review & Editing

444

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447

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# Figures

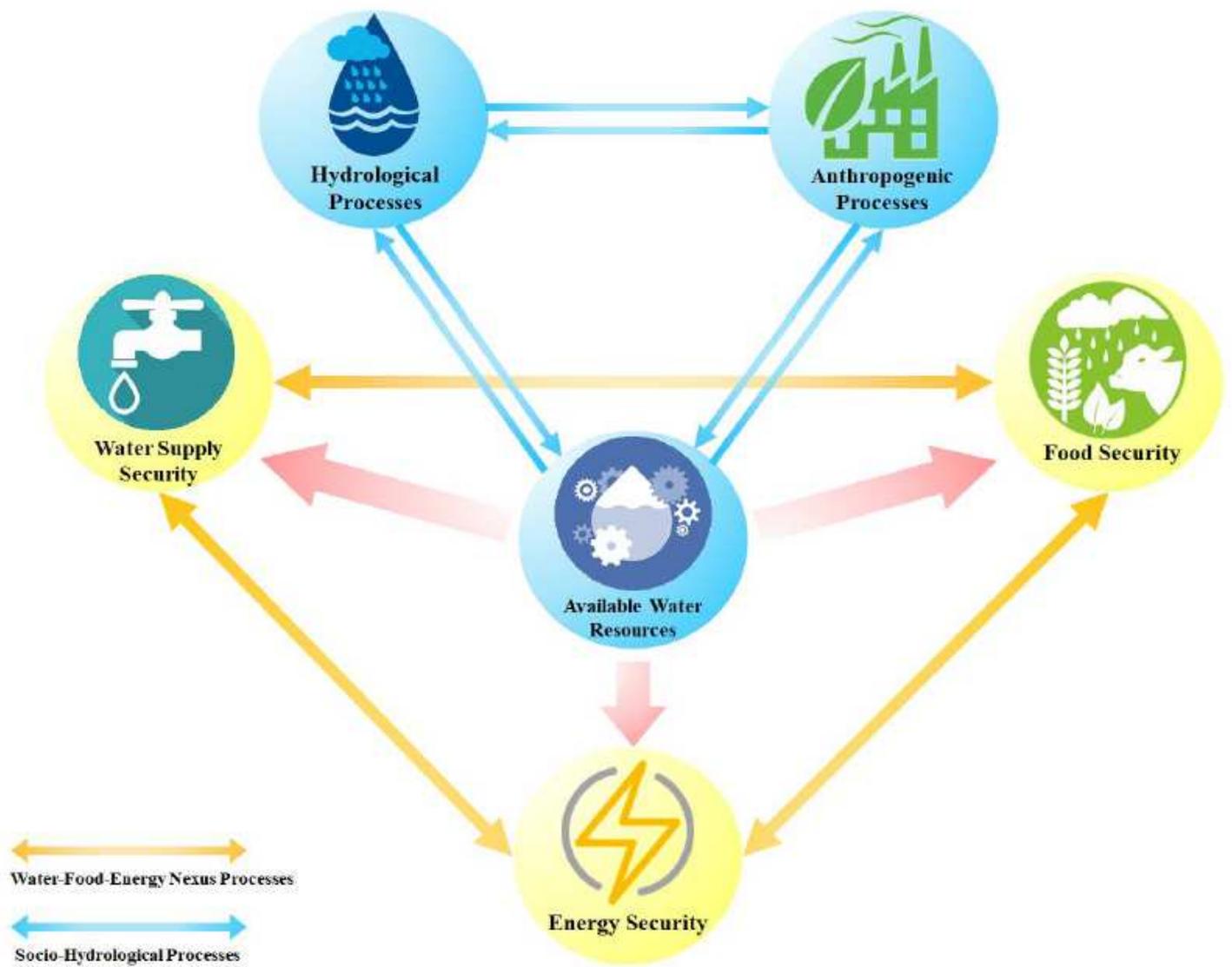


Figure 1

The conceptual framework of socio-hydrological WEF nexus system

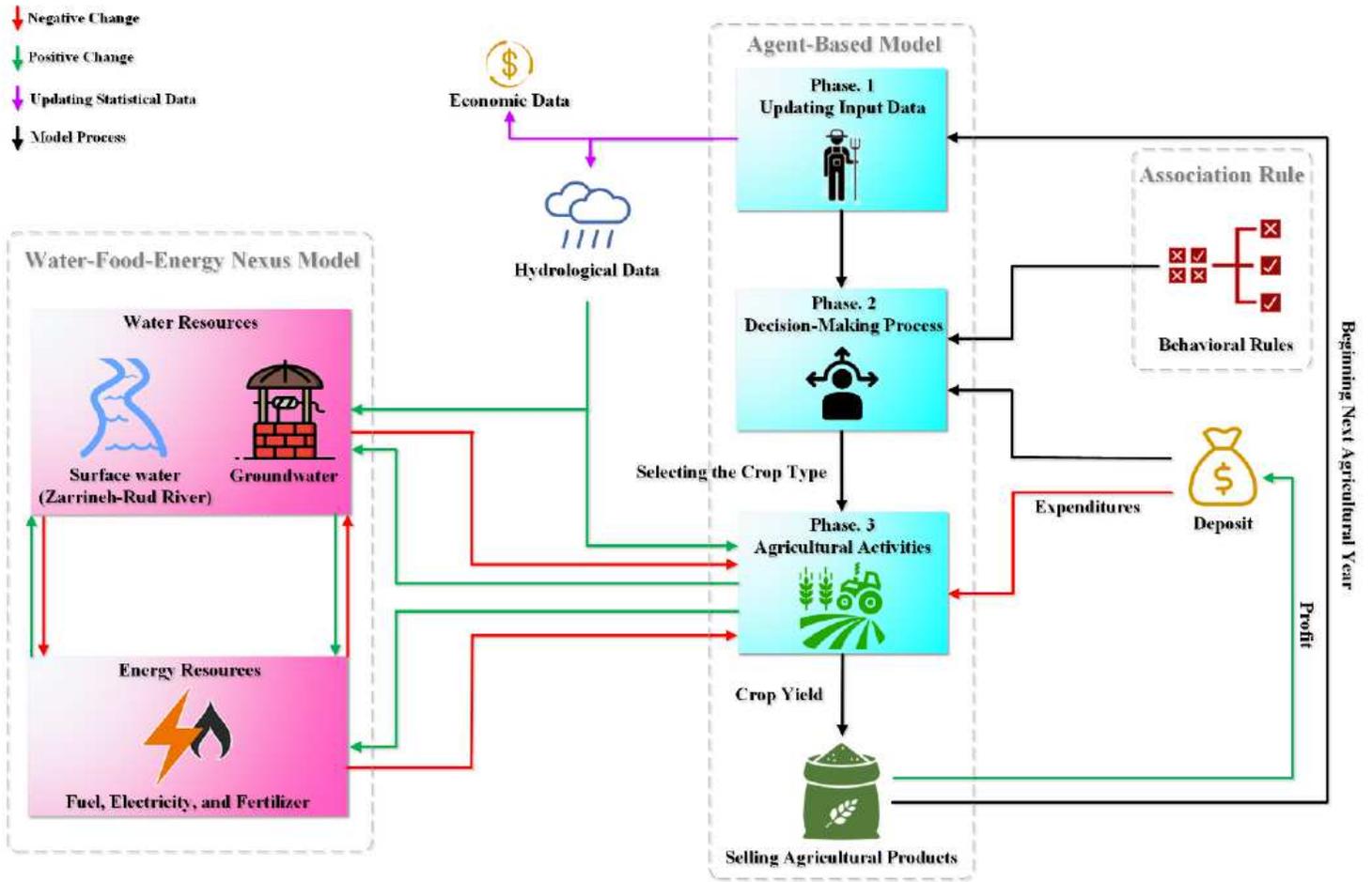
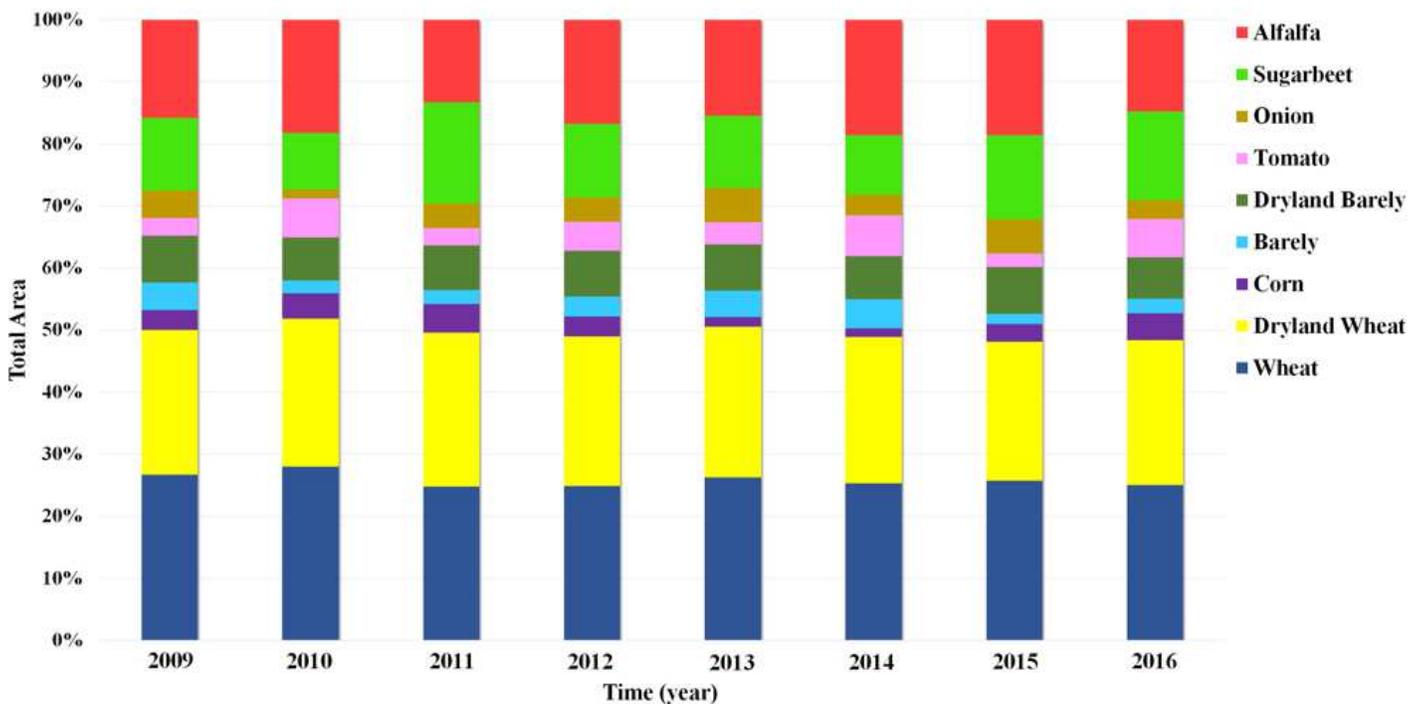


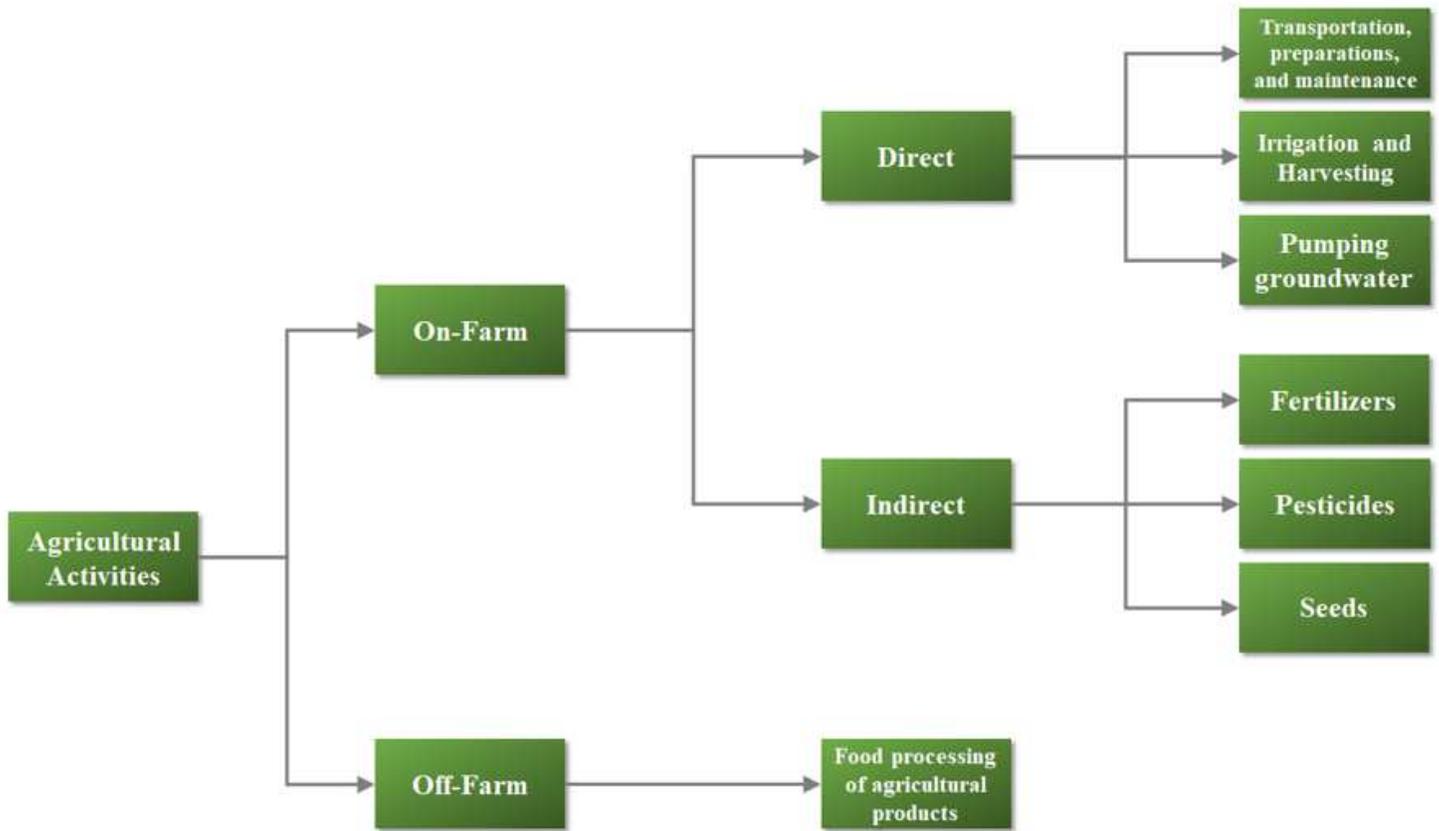
Figure 2

The schematic overview of socio-hydrological WEF nexus simulation model



**Figure 3**

Average total farming area for each crop type in ABM (in percentage)



**Figure 4**

The overview of energy nexus model

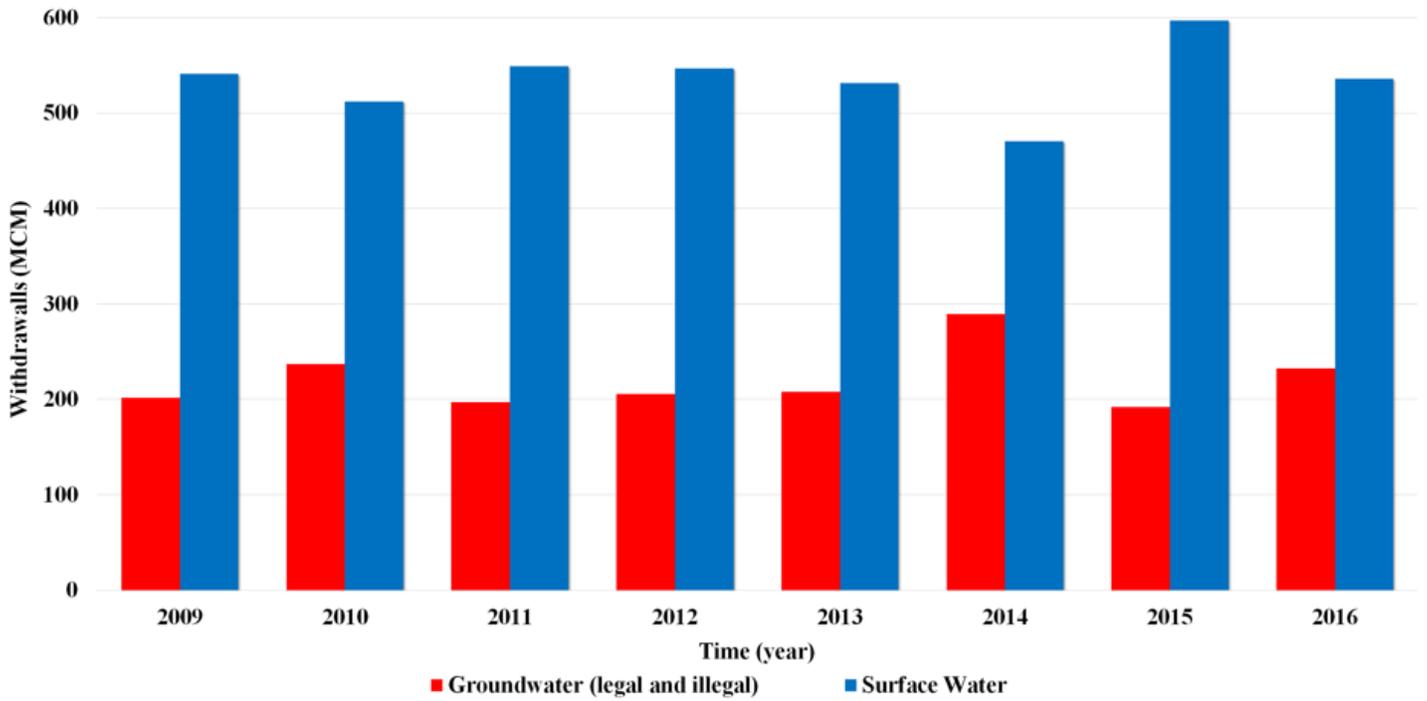


Figure 5

The water need for 2009 to 2016 agricultural years

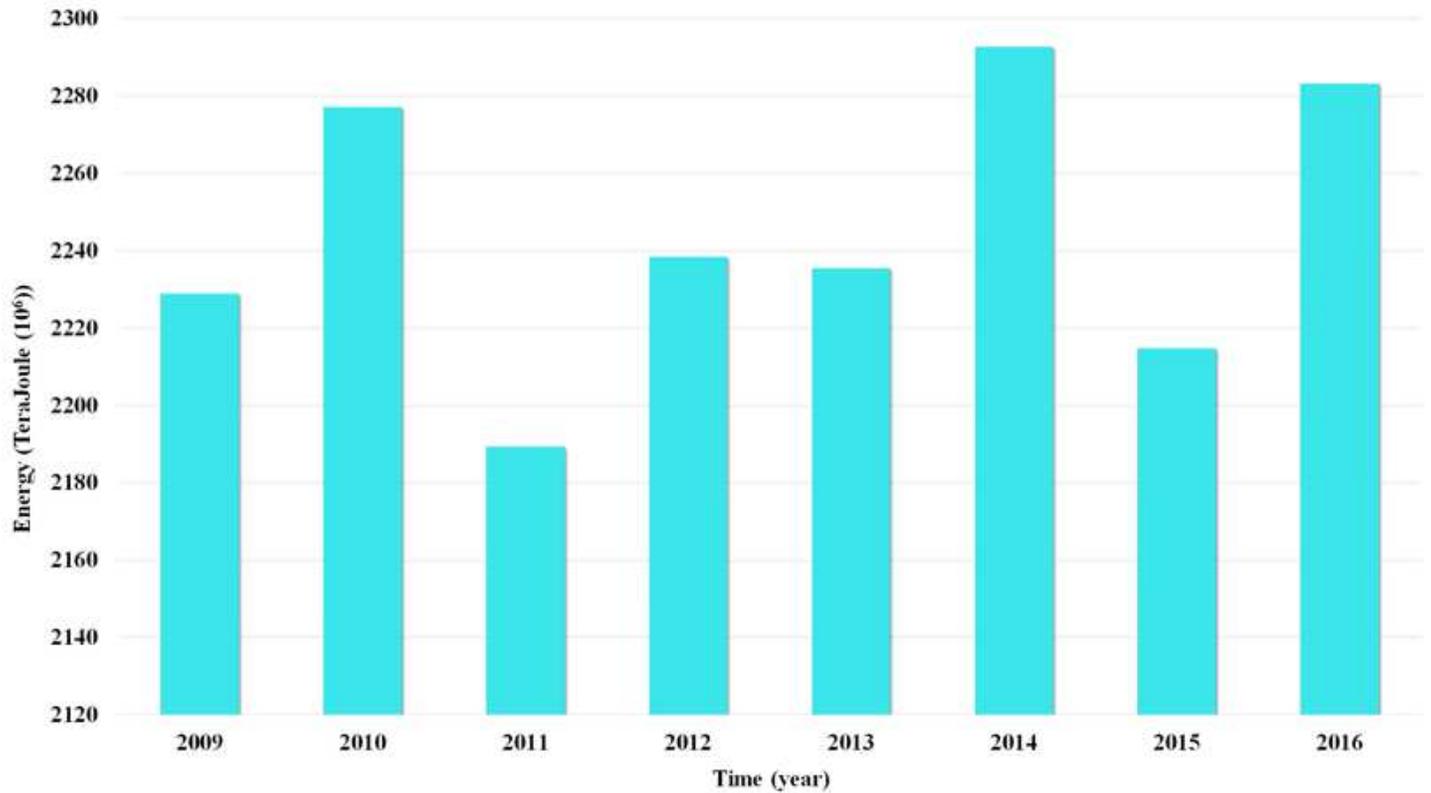


Figure 6

The energy need for 2009 to 2016 agricultural years