

Functional Outcomes and Complications of Intramedullary Fixation Devices for Midshaft Clavicle Fractures: A Systematic Review and Meta-Analysis.

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Abstract

Background An alternative to the current gold standard in operative treatment of displaced midshaft clavicle fractures using plate osteosynthesis, is internal fixation by means of an intramedullary fixation device. These devices differ considerably in their specifications and characteristics and an adequate evaluation of their clinical results is warranted. **Methods** A systematic review was conducted to identify all papers reporting functional outcomes, union rates and/or complications using an intramedullary fixation device for the management of midshaft clavicle fractures. Multiple databases were searched from inception until January 2018. Meta-analysis was conducted based on functional outcomes and type of complication per type of intramedullary fixation device. Pooled estimates of functional outcomes scores and incidence of complications were calculated using a random effects model. **Results** Fifty-eight studies were included in this systematic review. The majority of studies report on the use of Titanium Elastic Nails (TEN). At 12 months follow up the titanium elastic nail and Sonoma CRx report an average Constant-Murley score of 94.2 (95%CI 93.2-95.3) and 93.4 (95%CI 92.1-94.7) respectively. The most common reported complications after intramedullary fixation are implant-related and implant-specific. For the TEN, hardware irritation and protrusion, telescoping or migration, with a reported pooled incidence of 20% (95%CI 14-27) and 13% (95%CI 9-20), are major contributors to the total complication rate. For the Rockwood/Hagie Pin, hardware irritation is identified as the most common complication with 21% (95%CI 11-35). The most common complication for the Sonoma CRx was cosmetic dissatisfaction in 7% (95%CI 2-22) of cases. **Conclusion** Although most studies were of low quality, in general, good functional results and union rates irrespective of the type of device are found in the reviewed literature. However, there are clear device-related and device-specific complications for each. The results of this systematic review and meta-analysis can help guide surgeons in choosing the appropriate operative strategy, implant and informing their patient.

Background

Clavicle fractures are common fractures with an incidence reported of 59.3 per 100.000 person years. [1] Historically, these fractures were predominantly treated non-operatively. However, it has been reported that surgical treatment of displaced mid-shaft clavicle fractures (DMCF) leads to better union rates, improved early functional outcomes, and increased patient satisfaction. [2–4] The current gold standard in operative treatment is Open Reduction Internal Fixation (ORIF) using plates and screws. An alternative to this technique is internal fixation using intramedullary fixation devices. These devices aim to reduce the DMCF in a minimally invasive manner and thereby improving cosmetic satisfaction and union rates while lowering infection rates. [5] There are multiple different intramedullary devices available. Some of these devices are made out of rigid stainless steel while others consist of flexible titanium alloys. Some are not fixated within the bone while others are fixated on either one or both sides of the midshaft clavicle fracture. Since these devices differ considerably in their specifications and characteristics the array and distribution of complications and functional outcomes may vary as well.

The aim of this systematic review is to generate an overview of functional outcomes and complications in the management of DMCF per available intramedullary devices.

Methods

Electronic databases (PubMed, ScienceDirect, Embase and Cochrane) were searched from their inception to January 2018. Keywords used to develop our search strategy were 'clavicle', 'fracture', 'intramedullary fixation'. The detailed search strategy is described in Appendix 1.

Inclusion Criteria

All titles and abstracts were screened and study inclusion was decided on by two reviewers (PH/TvD). In case of discrepancy in study inclusion, disagreements were discussed until consensus on eligibility was reached. If disagreement persisted after discussion, consensus was met consulting GH. References of retrieved eligible articles were searched for supplementary studies. Studies meeting the following criteria were included:

- Studies describing the functional outcomes, with use of any type of intramedullary fixation for DMCF
- Studies describing complications, with use of any type of intramedullary fixation for DMCF
- Only original studies were included.
- Studies written in English, Dutch, and German.
- Studies concerning skeletally mature patients.

Abstracts, theses, case reports, biomechanical studies, surgical technique papers, editorials, letters and conference proceedings were not included. Studies using Kirschner wires and screws were excluded. Studies concerning intramedullary fixation for open fractures, pathological fractures, multi-trauma patients, floating shoulders, non-unions or mal-unions were also excluded.

Data Extraction

Studies in the final study selection were divided into subgroups depending on type of implant and ranked according to their study design and level of evidence (Oxford Centre of Evidence Based Medicine) by 2 authors (PH, TvD). The level of evidence (LoE) rating is divided into 5 levels: level I indicates the highest evidence studies, level II high, level III moderate, level IV low and level V very low-evidence studies.[6] Disagreement between the reviewers concerning quality assessment was resolved by discussion.

Data from all included studies were extracted with respect to specific characteristics including title, author, year of publication, number of clavicles reported, type of fracture, intramedullary device used, length of follow-up, functional outcomes, and type and number of complications. Data were extracted and checked for accuracy by PH and TvD. Discrepancies were resolved by discussion. This study was

conducted and reported in accordance with the reporting guidance provided in the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement. [7] The protocol was prospectively registered in PROSPERO (CRD42018086518).

Risk of bias assessment

The Cochrane risk of bias tool was used for assessing risk of bias in randomized trials.

The risk of bias tool covers six domains of bias: selection bias, performance bias, detection bias, attrition bias, reporting bias, and other bias. Within each domain, assessments are made for one or more items, which may cover different aspects of the domain, or different outcomes.[8]

The ROBINS-I tool was used for assessing risk of bias in non-randomized studies of interventions.[9] This tool assesses seven domains through which bias might be introduced. The first two domains, covering confounding and selection of participants into the study, address issues before the start of the interventions. The third domain addresses classification of the interventions themselves. The other four domains address issues after the start of interventions: biases due to deviations from intended interventions, missing data, measurement of outcomes, and selection of the reported result.

Data Analysis

A meta-analysis was performed whenever three or more studies per intramedullary device that reported on a functional outcome or type of complication could be included.

Despite anticipated heterogeneity, the individual study proportions were pooled. Pooled estimates with their corresponding 95% confidence intervals were calculated using logit transformation (complications) or using untransformed data (functional outcome scores) within a random effects model framework. A continuity correction of 0.5 was applied if a study had an event probability of either 0 or 1. This continuity correction is used both to calculate individual study results with confidence limits and to conduct the meta-analysis. Heterogeneity of combined study results was assessed by I^2 , and its connected Chi-square test for heterogeneity, and the corresponding 95% confidence intervals were calculated. Restricted maximum likelihood was used to estimate the heterogeneity variance. 95% Prediction intervals were calculated to present the expected range of true effects in similar studies. [12] Publication bias was assessed only if 10 or more studies were included in the meta-analysis using funnel plots and Egger's (for continuous outcomes) and Peters' test (for proportions) for funnel plot asymmetry. [9–11] Sensitivity analyses were performed to assess the influence of study quality.

Statistical analyses were performed using R version 3.4.4 (R Foundation for Statistical Computing, Vienna, Austria) with package 'meta'.

Results

The search strategy retrieved 305 unique records. Subsequent selection procedure resulted in 63 eligible articles of which 58 studies could be included in this systematic review and 53 in the meta-analysis (Fig. 1). In total, 9 studies concerning the Rockwood (DePuy, Warsaw, IN, USA) and Hagie pin (Smith & Nephew, Memphis, TN, USA) were identified and included in the analysis (two level I, [13, 14] two level III [15, 16] and five level IV [17–21] studies). These devices were evaluated together since they are essentially the same; they both consist of the exact same stainless-steel pin, with a cancellous and machine thread end, and two nuts. The only difference between the two is that the Rockwood pin also has a trocar point on the machine thread end of the pin. Concerning the Titanium Elastic Nail (TEN) (Depuy Synthes, Warsaw, IN, USA or Stryker, Kalamazoo, MI, USA) the 36 studies that were incorporated in the analysis were comprised of seven level I, [22–28] eight level II, [29–36] eight level III [37–44] and thirteen level IV [5, 45–56] studies. Another type of fixation described was the Sonoma CRx (Arthrex, Naples, FL, USA) for which 5 studies (two level I, [57, 58] one level II, [59] one level III [60] and one level IV [61]) were identified. Less frequently described intramedullary fixation devices were the threaded titanium elastic nails (Kang Li Min Medical Devices Co. Ltd., Tianjin, China), [62–64] the Knowles pin (Zimmer Biomet, Warsaw, IN, USA) [65–68] and one study describing a second generation Titanium elastic nail (Puwei Medical Appliances Inc, Shanghai, China). [69] Table 1 displays study characteristics including population description, type of intramedullary device, functional outcome scores, and type and number of complications.

Risk of bias assessment

The results of the *Cochrane risk of bias tool* are summarized in Table 2 and shows high risk of bias in domains 3 and 4 assessing performing and detection bias. The results of the ROBINS-I risk of bias assessment, summarized in Table 3 shows that the overall ROBINS-I score for most studies were subject to serious or critical risk of bias.

Studies concerning the Rockwood Pin and Hagie Pin

All studies identified concerning these devices described an identical surgical technique. All pins were removed after union between 6–20 weeks through a secondary surgical intervention. Average follow-up of the studies ranged between 6 months and 7 years. The functional outcome scores reported were heterogeneous and therefore not comparable. Only two studies reported a Constant-Murley (92.1 ± 6) [13] or DASH (5.9.) [17]. Other functional outcome scores reported were the Oxford Shoulder Score (45.2 ± 2.3), [13] L'Insalata (95.5 ± 7.3), [14] and ASES (89). [18]

Meta-analysis:

It was not possible to perform a meta-analysis for functional outcomes. A meta-analysis was performed for 6 different complications. Data from 9 studies were used to evaluate nonunion followed by data from 7 studies for infection. Six studies reported hardware irritation, soft tissue problems [13, 15, 17–19, 21] and hardware failure [13–15, 18, 20, 21]. Four studies were included in a meta-analysis for persistent pain. (Figure 2) The highest pooled incidences were found for complications hardware irritation (21%, 95%CI 11–35), soft tissue problems (10%, 95%CI 6–15) and infection (10%, 95%CI 6–18). A pooled

incidence of unspecified persistent pain was reported in 7% (95%CI 2–25) of cases. The pooled incidence of nonunion and hardware failure was 6% (95%CI 3–13) and 5% (95%CI 3–10) respectively.

Studies concerning the Titanium Elastic Nail (TEN)

The first reports on using TEN in the treatment of DMCF dated from 2002. [32] TENs with a diameter varying between 2 and 3.5mm were used. Closed reduction rates were reported in 28 of 35 studies. The rates ranged from 15% [43] to 93% [24]. Most studies report a routine removal of the TEN in all cases mostly through a second surgical intervention but also removal under local anesthesia was described. The earliest routine nail removal was performed at 3 months [50] and the latest on average at 8.8 months. [22]

Meta-analysis:

A meta-analysis was performed for functional outcomes based on 27 studies reporting the Constant-Murley Score and 14 studies reporting a DASH score. (Figure 3) The pooled data for the Constant-Murley score and DASH score at 12 months is 94.2 (95%CI 93.2–95.3) and 4.9 (95%CI 2.7–7.1), respectively (figure 3). The functional outcomes of two studies were not included in the meta-analysis. [25, 28] Fuglesang et al. [25] report the Constant-Murley and DASH scores of 60 TENs only by means of a line graph and van der Meijden et al. [28] report in-text Constant-Murley scores at 1 year follow up that differ from the line graph displayed. Visual evaluation of the line graphs however seems similar to the pooled incidences from the meta-analysis.

Data from 34 studies were pooled in the meta-analysis for evaluating complications rates using the TEN. Twenty-seven studies reported on infection, 25 studies on hardware irritation, 21 studies on protrusion/telescoping/migration, 14 on hardware failure, 10 on nonunion, 6 on soft tissue problems and 3 on malunion or pain. (Figure 4) The two most common complications reported, protrusion/telescoping/migration and hardware irritation, are implant-related. The pooled incidence was 13% (95%CI 9–20) and 20% (95%CI 14–27), respectively.

Malunion after surgical management by means of a TEN was reported in 7% (CI 4–12) and hardware failure was 5% (95%CI 3–7). Pooled infection incidence was 5% (95%CI 3–7) and the pooled incidence of a nonunion using a TEN was 3% (95%CI 2–5).

Studies concerning the Sonoma CRx

Meta-analysis:

Five studies were included in the meta-analysis. Data from 4 studies were pooled for functional outcomes using the Constant-Murley score. The pooled Constant-Murley score at 12 months was 93.4 (95%CI 92.1–94.7). Five studies reported on nonunion, infection and hardware failure. Three studies reported cosmetic dissatisfaction. (Figure 5) The pooled incidence for cosmetic dissatisfaction was highest at 7% (95%CI

2–22), followed by of hardware failure (5%; 95%CI 2–9) and infection (4%; 95%CI 2–10). Although there were no reports of non-union, the pooled incidence was 2% (95%CI 0–5).

Two studies reported on persistent pain as a complication [58, 60] and 1 study mentions the occurrence of a delayed union. [57]

Studies concerning a threaded elastic nail

Meta-analysis was only possible for infection [62–64] and the pooled incidence was 5% (95%CI 1–34).

Other complications described for this type of fixation were soft tissue problems, delayed union and malunion. (Table 2)

Studies concerning the Knowles Pin

One study reported 4 hardware irritations in 56 patients [66] and another study reported a nonunion rate of 5.6%. [68] No meta-analysis was possible for this device type.

Study concerning a second generation TEN

One level IV study described the results of a second generation TEN in 36 patients. [69] It reported a Constant-Murley score of 93.4 (SD2.7) and 3 complications; 2 protrusions and 1 hardware irritation.

Sensitivity analysis

The sensitivity analysis including only studies with a low risk of bias showed our results to be robust. Only for protrusion/telescoping/migration of the TEN including the low risk studies pooled incidence of was 9% (95% CI 5–17) versus a pooled incidence of 13% (95%CI 9–20) when including all studies. The complete results of the sensitivity analysis can be found in supplement A.

Publication bias

In those cases that publication bias could be assessed, its presence was unlikely based on the inspection of the funnel plots and evaluation of Egger's or Peters' tests. Only for the Constant Murley and DASH scores the tests for funnel plot asymmetry were significant, but publication bias seems unlikely here due to ceiling effects in both scores.

Discussion

In this study the functional outcomes and complications after surgical treatment of DMCF with an intramedullary device were systematically reviewed. Good functional results and union rates irrespective of the type of device are found in the reviewed literature. However, there are clear device-related and device-specific complications for each. The pooled Constant-Murley scores of the TEN and Sonoma CRx were 94.2 (96%CI 93.2–95.3) and 93.4 (95%CI 92.1–94.7), respectively. Since the Constant-Murley score

ranges from 0–100 points and higher scores are better, the pooled scores can be considered good. Though the minimally clinically important difference (MCID) for both the Constant-Murley score is unknown for midshaft clavicular fractures in particular it is described that the MCID in Constant Murley scores for shoulder pathology is 10.4 points. [70] Therefore, with an SD reported well within that range our conclusion seems valid. The pooled DASH score for the TEN was 4.9 (95%CI 2.7–7.1). The functional outcomes for the Rockwood/Hagie pin could not be analyzed because all identified papers reported different functional outcome measures. This study supports the need for uniform reporting of functional outcomes and in the case of clavicle fracture treatment the Constant-Murley and the DASH are the ones most commonly used.

The most commonly reported complications after intramedullary fixation of DMCFs are implant-related and implant-specific complications. For the TEN, hardware irritation, protrusion, telescoping and migration, are major contributors to the total complication rate. The explanation for this finding may be that the TEN re-aligns but does not fixate in both fracture elements of the DMCF. These TEN-specific complications lead to infection, soft-tissue problems, pain, early re-interventions (removal or additional cutting of the nail) and loss of reduction with subsequent secondary shortening. When using the Rockwood/Hagie Pin, pooled incidence of hardware irritation was 21% (95%CI 11–35). This may be explained by the two bulky nuts at the posterolateral aspect of the clavicle where the pin is inserted and is has been reported to be an important disadvantage of the implant. [13, 17, 20] For the Sonoma CRx no reports on hardware irritation were found since this device has no extra-cortical prominences and is fully embedded in the clavicular cortex.

With regards to the TEN, there is a pooled malunion incidence of 7% (95%CI 4–12). Reports on persistent average shortening after union range between 3.5 and 6.3mm. [24, 34, 48] Others report on shortening after union of more > 1cm in 2.3%–50% of cases. [38, 51, 54] Since shortening of the DMCF can lead to post-traumatic symptoms, altered scapular kinematics and the occurrence of gleno-humeral joint arthritis, shortening is an important issue to prevent and could be interpreted as a disadvantage of this intramedullary fixation device.

There are no studies specifically reporting on the presence or absence of post-operative shortening after fracture fixation with the Sonoma CRx. Concerning the Rockwood pin only Mudd et al. [19] reports a secondary shortening of 4–7mm in 22% of patients which all occurred after early pin removal due to complications.

The pooled incidence for infection was 10% (95%CI 6–18) when using the Rockwood/Hagie pin, 4% (95%CI 2–10) when using the Sonoma CRx and 3% (95%CI 2–5) with use of the TEN. The two posterolateral nuts that can cause wound-breakdown and subsequent infection may explain the high infection rate of the Rockwood/Hagie pin.

Meta-analysis shows hardware failure incidences to be similar irrespective of the type of implant used. The pooled incidence for nonunion using the Rockwood/Hagie pin was 6% (95%CI 3–13) compared to 3% (CI95% 2–7) and 2% (95%CI 1–11) with the use of the TEN or Sonoma CRx respectively. Although no

non-unions were reported in the Sonoma CRx group. the random effects model used calculated shows a pooled incidence for nonunion of 2% (95%CI 0–5). This is due to the continuity correction that was used both to calculate individual study results with confidence limits and to conduct the meta-analysis.

As for all systematic reviews this study is limited by the quality of evidence available. Furthermore, only studies written in English, German or Dutch were included in this systematic review which could be a potential limitation of this study. Complications and early re-interventions are reported in some studies, [19, 30–32, 45, 48, 51] but underreporting is very likely to occur. Most studies do not clearly report causes for implant failure, measures taken with occurrence of infection or information concerning implant migration or secondary shortening. Only few specifically report on the presence or absence of certain relevant complications such as secondary shortening, neuropathy of the supraclavicular nerve, delayed union and persistent pain. This information could be interesting to fully report in future studies and is a limitation of this review. Another limitation is that not all functional outcomes and complications were reported in a similar manner leading to heterogeneity of the various studies. To account for the expected heterogeneity, a random effects model was used.

In the last years multiple meta-analysis comparing the gold standard of plate fixation and intramedullary devices (irrespective of device or plate type) for the management of midshaft clavicle fractures have been published.[71–78] These studies report similar [71–73, 75–77] or superior [74, 78] functional outcomes and union rates in the intramedullary fixation group. Furthermore, most report a higher rate of complications (such as infection, refracture rate) and increased surgical time when using plate fixation, making an evaluation of the devices described in the present study even more relevant.[71, 72, 75–78]

The results of this systematic review show there is still room for improvement in treating DMCF in an intramedullary fashion. For newer designs it may be interesting to take the implant-related and implant-specific complications described in this systematic review into account in order to optimize future treatment strategies.

Conclusion

Although most studies were of low quality, in general, good functional results and union rates irrespective of the type of device are found in the reviewed literature. However, there are clear device-related and device-specific complications for each. The results of this systematic review and meta-analysis can help guide surgeons in choosing the appropriate operative strategy, implant and informing their patients.

List Of Abbreviations

ASES = American Shoulder Elbow Surgeons

CI = Confidence Interval

DASH = Disabilities of Arm Shoulder Hand

DMCF = Displaced Mid-shaft Clavicle Fractures

FL = Florida

IN = Indiana

LoE = Level of Evidence

MCID = Minimally Clinical Important Difference

MI = Michigan

ORIF = Open Reduction Internal Fixation

PRISMA = Preferred Reporting Items for Systematic Reviews and Meta-Analyses

TEN = Titanium Elastic Nail

USA = United States of America

Declarations

Ethics Approval and Consent to participate

The need for approval by the ethics committee and Consent to participate was waived by our institutional review board (CMO Arnhem-Nijmegen).

Consent for publication

Not applicable.

Availability of Supporting Data

The detailed search strategy for this systematic review is available in appendix 1. The review protocol adhered to by the authors is available via PROSPERO (CRD42018086518). The PRISMA flowchart and PRISMA checklist are available in Figure 1 and Appendix 2 respectively.

Competing Interests

All authors declare that they have no competing interests.

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Authors' contributions

PH contributed in the conception and design of the study, acquisition and analysis of the data, drafting and critical revision of the manuscript. TD contributed in the conception and design of the study, acquisition and analysis of the data, drafting and critical revision of the manuscript. NV contributed in the conception and design of the study and critical revision of the manuscript.

GH contributed in the conception and design of the study, analysis of the data and critical revision of the manuscript.

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Tables

Due to technical limitations, tables are only available as a download in the supplemental files section.

Figures



PRISMA Flow Diagram

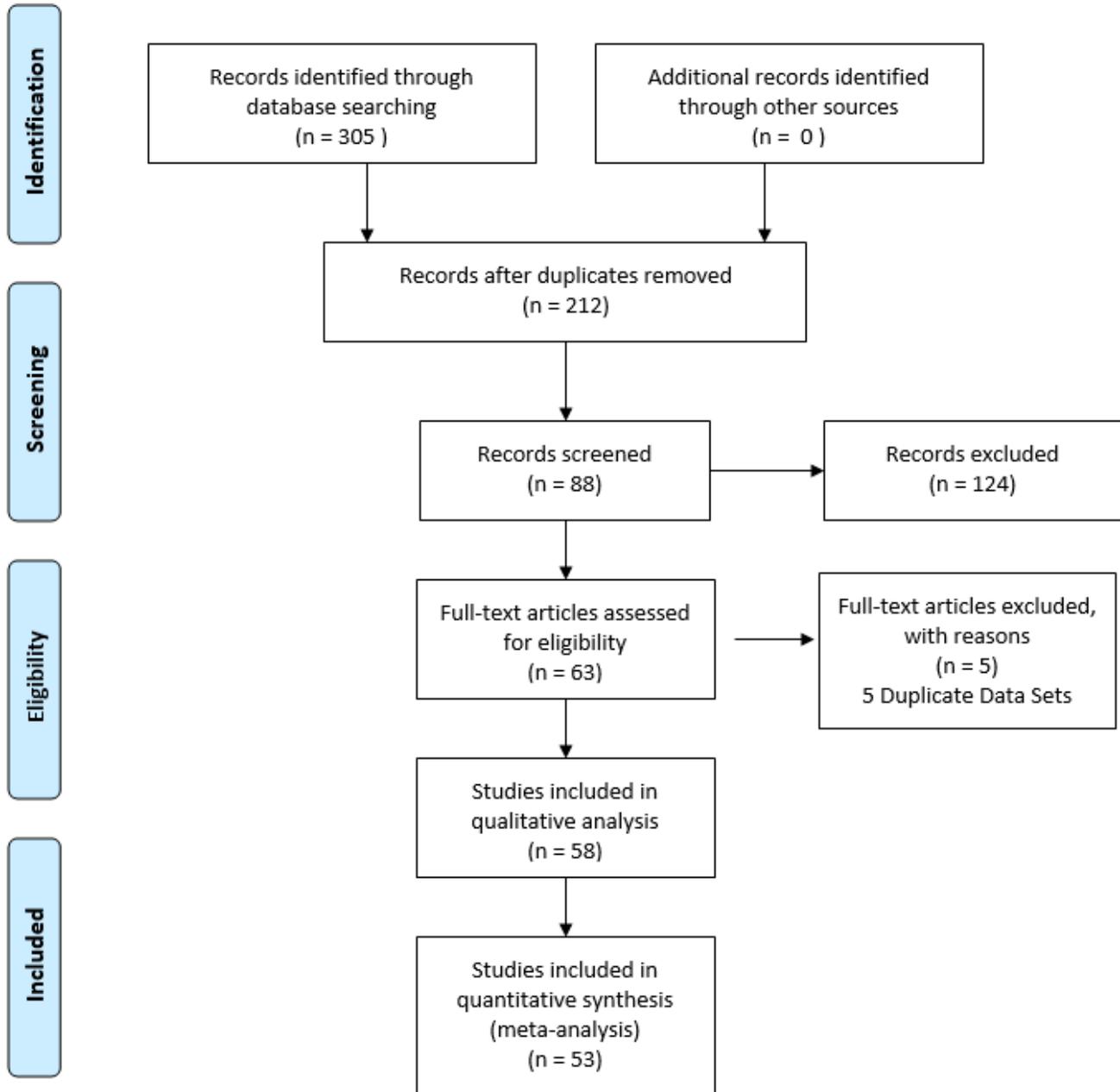


Figure 1

PRISMA Flow Diagram

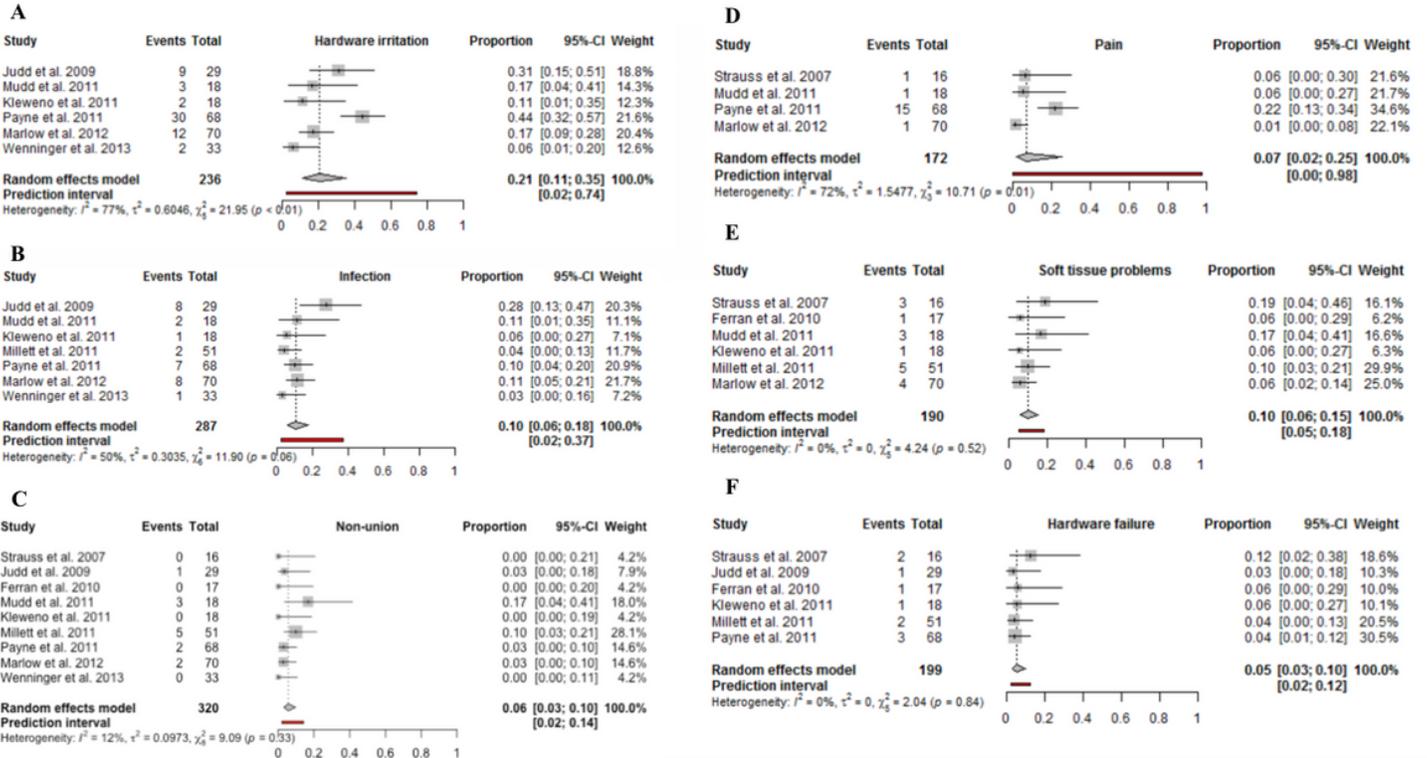


Figure 2

Forest plots of the included studies using the Rockwood and Hagie Pin reporting on (A) hardware irritation, (B) infection, (C) nonunion, (D) persistent pain, (E) soft tissue problems, and (F) hardware failure. Forest plots display the mean proportion of complications (A-F), 95% confidence interval and the relative weight of the individual studies. The diamond indicates the pooled estimate and its 95% confidence interval. The red bar indicates the 95% prediction interval. Prediction intervals illustrate which range of true effects expected to occur in similar studies in future settings.

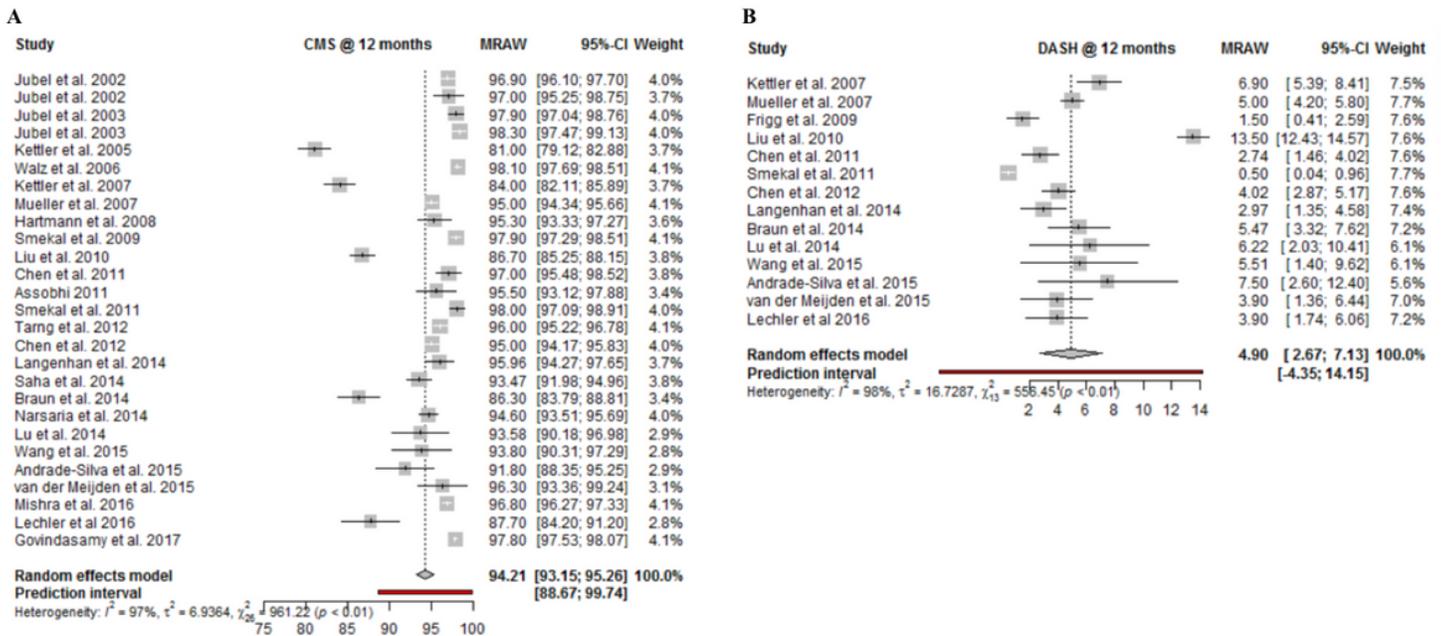


Figure 3

Forest plots of the included studies using the Titanium Elastic Nail reporting on (A) Constant-Murley score at 12 months, and (B) DASH score at 12 months. 95% confidence interval and the relative weight of the individual studies. The diamond indicates the pooled estimate and its 95% confidence interval. The red bar indicates the 95% prediction interval. Prediction intervals illustrate which range of true effects expected to occur in similar studies in future settings.

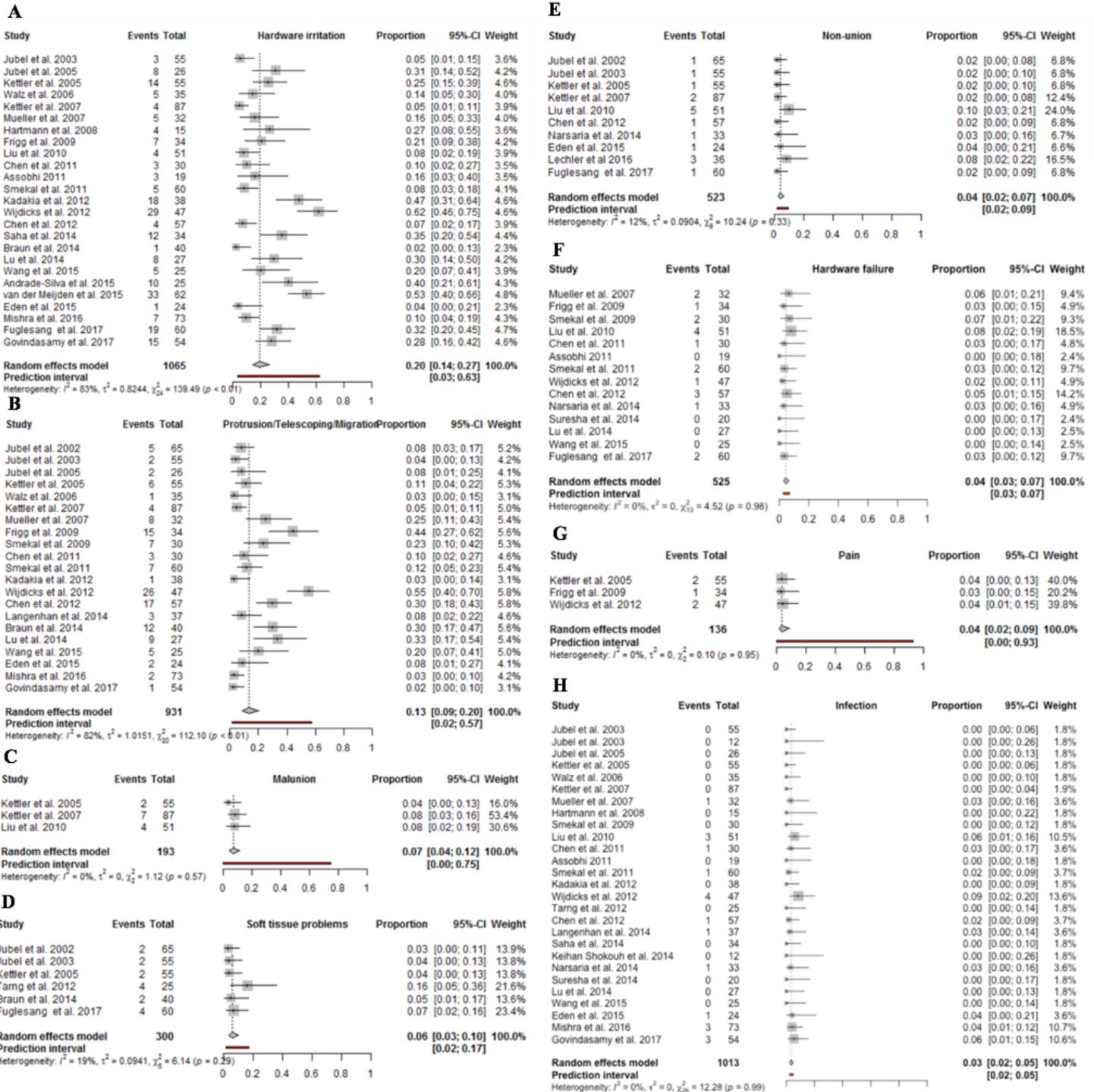


Figure 4

Forest plots of the included studies using the Titanium Elastic Nail reporting on (A) hardware irritation, (B) protrusion/telescoping/migration, (C) malunion, (D) soft tissue problems, (E) nonunion, (F) hardware failure, (G) pain, and (H) infection. Forest plots display the mean proportion of complications (A-H), 95% confidence interval and the relative weight of the individual studies. The diamond indicates the pooled estimate and its 95% confidence interval. The red bar indicates the 95% prediction interval. Prediction intervals illustrate which range of true effects expected to occur in similar studies in future settings.

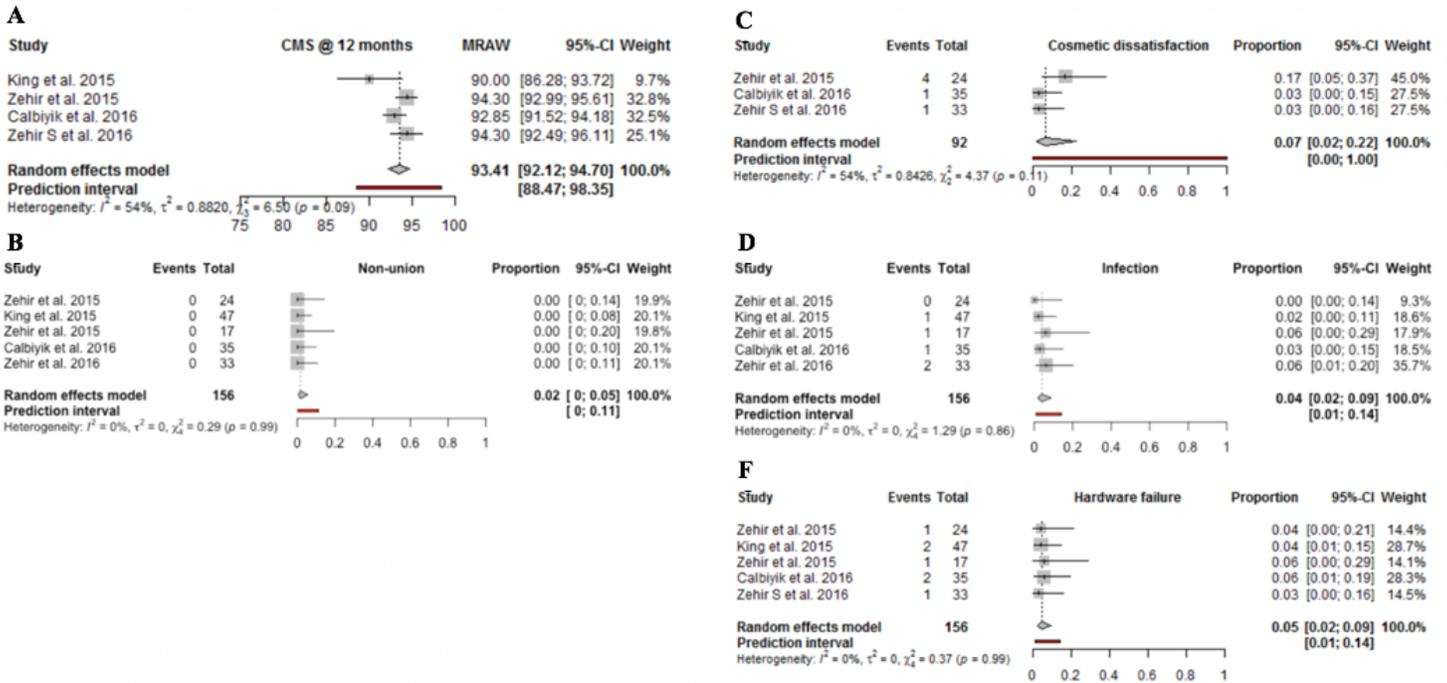


Figure 5

Forest plots of the included studies using the Sonoma CRx reporting on (A) Constant-Murley score at 12 months, (B) nonunion, (C) cosmetic dissatisfaction, (D) infection, and (E) hardware failure. Forest plots display the mean functional outcome (A) or proportion of complications (B-E), 95% confidence interval and the relative weight of the individual studies. The diamond indicates the pooled estimate and its 95% confidence interval. The red bar indicates the 95% prediction interval. Prediction intervals illustrate which range of true effects expected to occur in similar studies in future settings.

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