

Progressive Genetic Modifications with Growth Hormone Receptor Knockout Extends Cardiac Xenograft Survival to 9 Months

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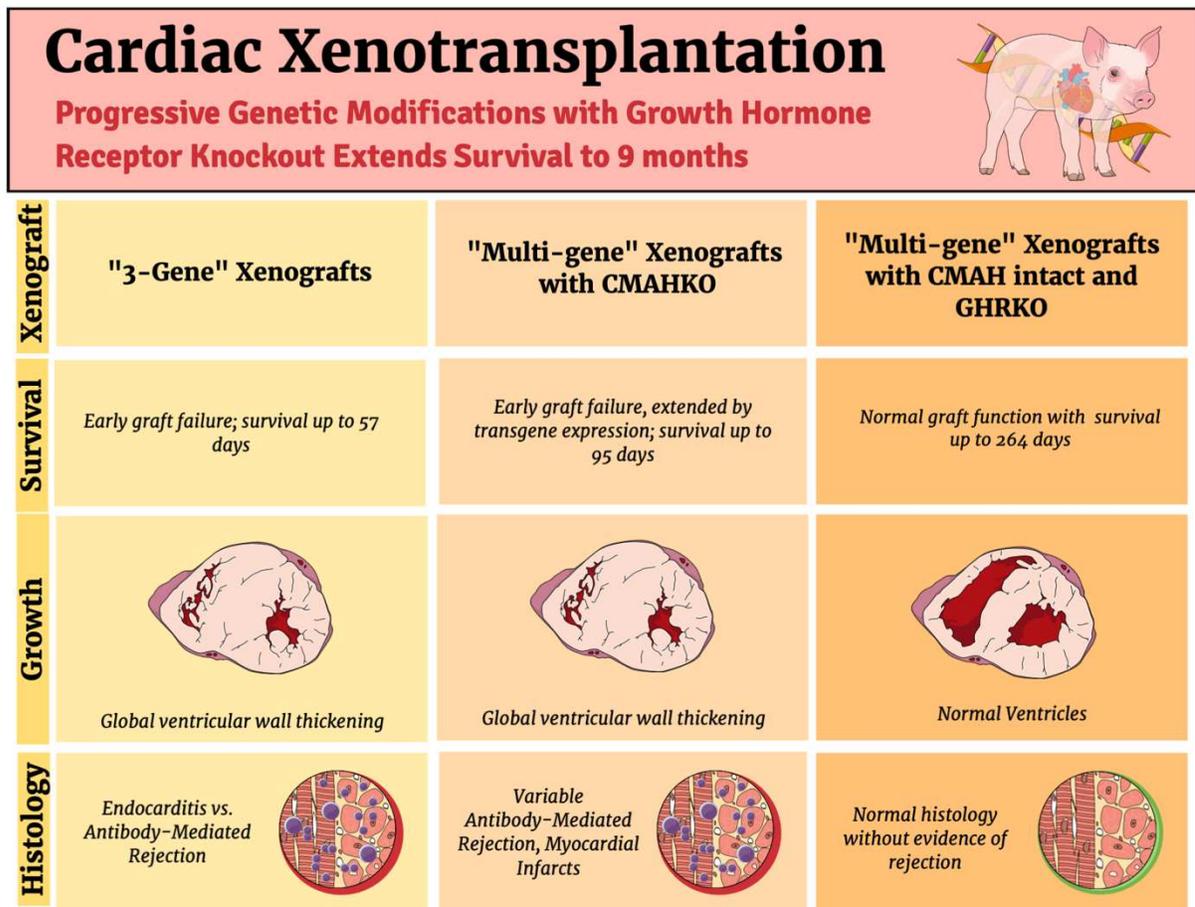
30 **Running Title:** Multi-gene porcine cardiac xenografts extend survival up to 9 months

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33

34 **Visual Abstract:**



35 **Abstract:**

36

37 We report orthotopic (life-supporting) survival of genetically engineered porcine cardiac
38 xenografts (with 3-9 progressive gene modifications) for almost 9 months in baboon recipients.
39 This work builds on our previously reported heterotopic cardiac xenograft (3 gene modifications)
40 survival up to 945 days with an anti-CD40 monoclonal antibody-based immunosuppression. In
41 this current study, life-supporting xenografts containing multiple human complement regulatory,
42 thromboregulatory, and anti-inflammatory proteins, in addition to growth hormone receptor
43 knockout (KO) and carbohydrate antigen KOs, were transplanted. Selective "multi-gene"
44 xenografts demonstrate survival greater than 8 months without the use of adjunctive medications
45 and without evidence of abnormal xenograft thickness or rejection. These data demonstrate that
46 selective "multi-gene" modifications improve cardiac xenograft survival significantly and may
47 be foundational for paving the way to bridge transplantation in humans.

48

49 **Introduction:**

50

51 We have reported previously almost three-year survival of genetically engineered (GE) pig
52 cardiac xenografts in an abdominal, non-load bearing heterotopic heart transplantation (HHTx)
53 in baboons. Initial gene modifications in this model targeted hyperacute antibody-mediated
54 rejection and dysregulation of thrombosis, which included deletion of a carbohydrate antigen
55 (α 1,3-galactose) and insertion of human complement inhibitory protein (hCD46) along with
56 human thrombomodulin, an inhibitor of thrombin (hTBM). We demonstrated the use of a non-
57 depleting, anti-CD40 (2C10R4) monoclonal antibody (mAb)-based immunosuppressive regimen

58 ("Mohiuddin Regimen") that led to rejection-free survival of 945 days¹, which has been reliably
59 reproduced.²⁻⁶

60

61 Our initial efforts to translate these results in a life-sustaining orthotopic heart transplantation
62 (OHTx) failed within 48 hours due to perioperative cardiac xenograft dysfunction (PCXD).⁷

63 Recently, Längin, *et al.* overcame PCXD in OHTx with the same GE xenografts and
64 immunosuppression as in our HHTx, with the addition of non-ischemic cardiac preservation and
65 anti-inflammatory agents.³ However, survival was still limited due to observed diastolic failure
66 from abnormal cardiac growth within one month. This post-transplantation xenograft growth is
67 poorly understood, but six-month recipient survival was achieved after the additional
68 administration of temsirolimus (inhibitor of growth by mTOR, but also an immunosuppressive
69 agent) along with strict blood pressure and heart rate control to prevent this growth. Withdrawing
70 temsirolimus resulted in continuation of growth and ultimately xenograft failure. Recently, we
71 observed that this growth is independent of heart rate and afterload reduction, suggesting an
72 etiology separate from physiologic mismatch.⁸

73

74 Xenograft rejection is a result of endothelial cell activation by preformed antibodies against
75 porcine antigens.^{9,10} This leads to hyperacute rejection within minutes of transplantation,
76 characterized by endothelial damage, antibody deposition, complement activation and
77 intravascular thrombosis.¹¹ However, several scientific discoveries have led to the ability to
78 produce targeted modifications to porcine xenograft donors that reduce their immunogenicity
79 and abrogate this reaction. Knockout of xenogeneic carbohydrate antigens α 1,3-galactose (Gal),
80 SDa blood group antigen (SDa) and N-glycolylneuraminic acid (Neu5Gc) have been shown to

81 reduce antibody binding and complement-dependent cytotoxicity against non-human primates
82 and human sera alone and in combination.¹² Rejection is further prevented by the additional
83 expression of human complement regulatory proteins hCD46 and decay-accelerating factor
84 (hDAF).^{13,14} Thrombotic microangiopathy and consumptive coagulopathy also play a role in
85 rejection, possibly due to incompatible factors of thrombosis between porcine endothelial cells
86 and recipient serum.¹⁵ Factors that promote anti-coagulation, such as human thrombomodulin
87 (hTBM) have been shown to prevent coagulation dysregulation and survival.^{1,3,16,17} Human anti-
88 inflammatory (i.e. heme oxygenase-1 (hHO-1)) and anti-phagocytosis genes (i.e. hCD47),
89 respectively, have also demonstrated a role in abrogating transplant rejection *in vitro*.¹⁸⁻²⁰ Only
90 recently, advances in genetic engineering afforded the opportunity to produce porcine donors
91 with all of these targeted modifications in combination.^{21,22}

92
93 In this study, we examine the utility of “multi-gene” xenografts up to 9 genetic modifications
94 from GE pigs. We also chronicle our experience with xenografts of less than 9-gene
95 modifications, as the limited production of these pigs and very expensive transplant procedures,
96 limits our ability to test every gene iteration, but still provides an opportunity to evaluate several
97 genetic constructs of less than 9-genes.

98
99 All baboon recipients were treated with our previously described anti-CD40-based IS regimen
100 and transplanted with a life-supporting cardiac xenograft from a GE pig without additional
101 immunosuppression or drugs to control cardiac growth. We then examine which “multi-gene”
102 xenograft, in combination with our CD-40 mAb-based regimen, might produce survival
103 conducive for initial human clinical trials.

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Results:

Genetically engineered pigs with relevant gene editing provide less immunogenic donor hearts for transplantation:

Correct, single copy targeting of transgene constructs to landing pads was confirmed by PCR, Southern blot and digital drop PCR. Knockout (KO) of α -1,3-galactosyltransferase (GGTA1, the enzyme responsible for synthesis of Gal) was confirmed by PCR for presence of a disruptive NeoR insertion in exon 9.²³ KO of genes encoding β 1,4-N-acetylgalactosyltransferase (β 4GalNT2, the enzyme responsible for synthesis of SDa), CMP-N-acetylneuraminic acid hydroxylase (CMAH, the enzyme responsible for synthesis of Neu5Gc) and growth hormone receptor (GHR) were assessed by Next-Gen DNA sequencing (MiSeq, Illumina) for the presence of large or frameshifting indels. Phenotypes of GGTA1KO, B4GALNT2KO and CMAHKO knockout were confirmed by flow cytometry of PBMC stained with IB4 lectin, DBA lectin and anti-Neu5Gc respectively, to reveal absence of xenogeneic carbohydrate residues catalyzed by the knocked-out gene product (figure 2a-c). GHRKO phenotype was determined by demonstrating reduced serum IGF-1 levels (figure 2d) and body weight²⁴ at 142 days of age (65.77±9.17 kg vs. 32.27±1.20 kg for GHR wild-type and GHRKO pigs, respectively; Mean±SD; P<0.01). Expression of individual transgenes was confirmed in tail biopsies of donor pigs prior to transplantation by western blot (figure 2e) and demonstrated continued expression in explanted heart tissues by immunohistochemical staining (figure 2f).

127 Multiple gene edits reduced the immunogenicity of cardiac xenografts as measured by antibody
128 binding and complement-dependent cytotoxicity assays. Porcine aortic endothelial cells (pAECs)
129 from OHTx pig donors with double carbohydrate KO (GGTA1KO and β 4GalNT2KO) had
130 reduced binding, compared to single carbohydrate KO (GGTA1KO), but paradoxically worsened
131 with triple carbohydrate KO xenografts (GGTA1KO, β 4GalNT2KO and CMAHKO) (figure 3a-
132 b). CMAHKO (-/+) and CMAHKO (-/-) pAECs showed similar total IgM MFI by flow
133 cytometry (figure 3c), despite CMAHKO (-/-) having reduced anti-Neu5Gc staining by flow
134 cytometry (figure 2b). Group 3 xenografts produced the least amount of complement-dependent
135 cytotoxicity (CDC), followed by Group 4 xenografts. CDC was elevated in Groups 1 and 2 and
136 was markedly reduced with the addition of hCD46 and hDAF (figure 3d-e).

137

138 **Consistent long-term survival of cardiac xenografts by progressive elimination of**
139 **immunogenic pig antigens and expression of human transgenes**

140

141 All recipients were easily weaned from cardiopulmonary bypass (supplementary table 1) after
142 successful life supporting OHTx from GE pigs with 3-9 gene modifications. Improved survival
143 with progressive genetic modifications is summarized in figure 4. Group 1 xenografts, with the
144 gene construct similar to one used in our HHTx model (i.e., GGTA1KO, hTBM, and hCD46
145 (n=4)), survived up to 57 days (mean=23.5 \pm 25.3). Single KO or TKO xenografts in Group 2,
146 without thromboregulatory proteins failed within 1 week. Survival did not improve despite the
147 addition of complement regulatory proteins (CRP; hCD46 and hDAF) (Group 2, n=4).

148

149 GE pigs with nine gene modifications (GGTA1KO, B4GalNT2, CMAHKO, hTBM, hEPCR,
150 hCD46, hDAF, hCD47, hHO1, referred to as Group 3) or seven gene modifications (GGTA1KO,
151 B4GalNT2, hTBM, hEPCR, hCD46, hCD47 and GHRKO, referred to as Group 4) demonstrated
152 significant prolongation of xenograft survival. Cardiac xenograft survival in Groups 3 (n=2) was
153 extended to a mean of 89.5 ± 7.8 days. In Group 4 (n=2), xenograft survival was markedly
154 prolonged to a mean of 223 ± 58.0 days. One xenograft functioned for 264 days prior to
155 explantation (B33130), which is the longest reported life supporting xenograft survival to date.
156 The other recipient (B32863) from Group 4 demonstrated reduced food intake from gingivitis
157 and had to be euthanized on postoperative day (POD) #182 for excessive weight loss as per our
158 institution's protocol. Overall, cardiac xenograft function was excellent on transthoracic
159 echocardiography (TTE) at the time of euthanasia (video 1).

160

161 **Elimination of GHR gene from donor GE pigs resulted in cardiac xenografts with reduced**
162 **growth and improved survival**

163

164 To address intrinsic post-transplantation xenograft growth, pig donors' GHR was knocked out in
165 addition to the other genetic modifications as previously mentioned. As in Groups 1 and 2,
166 adjuncts to reduce post-transplantation xenograft growth (temsirolimus and heart rate and
167 afterload reducers) were not employed.

168

169 All xenografts from Groups 3 and 4 exhibited preserved systolic function post-transplantation
170 and survival measured in months, but Group 3 xenografts (which have intact GHR) developed
171 lower extremity edema, dyspnea, and lethargy by POD #84 and 95 and were subsequently

172 euthanized. On TTE, significant ventricular wall thickening was observed. Cross-sections of the
173 explanted hearts confirmed biventricular wall thickening (figure 5a). Thus, ventricular wall
174 thickening in Group 3 was delayed until POD #55, with additional gene modifications, but
175 ultimately not prevented. Group 4 xenografts, that also lack functional growth hormone receptor
176 (GHRKO), continued to function without myocardial thickening on TTE for up to 264 days prior
177 to explantation. No apparent xenograft thickness was seen on cross-sections of the explanted
178 xenograft from this group either (figure 5b). The explanted xenograft's gross appearance was
179 similar to a naive pig heart. Mean arterial pressures (MAP) and heart rates were elevated
180 compared to pig's native ranges in Groups 3 and 4. Despite the presence of this physiologic
181 mismatch, and the absence of treatment, significant graft growth was not observed in Group 4.

182

183

184 **Histopathological and immunohistochemical evaluation of xenografts demonstrates the**
185 **advantage of multigene modifications of the cardiac xenograft**

186

187 In Group 1 xenografts, with survival passed 48 hours (and thus surpassed PCXD), endocarditis,
188 monocyte and neutrophil infiltration, and fibrin thrombi was demonstrated (supplemental figure
189 1). The presence of rejection versus an inflammatory process was difficult to interpret, but
190 histologic and IHC examination, along with non-gal antibody titer elevation suggested AMR
191 (B32628). Thrombotic complications were seen in 50% of xenografts without expression of
192 thromboregulatory proteins (n=4, Group 2). On gross postmortem examination, notable
193 intracardiac thrombi were seen with propagation into the aorta, pulmonary arteries, and coronary
194 sinus of some of these xenografts (figure 6a). Histologic examination revealed intracardiac

195 organizing thrombus, intravascular fibrin thrombi with regions of myocardial ischemia (figure
196 6b).

197

198 Group 3, with xenografts containing CMAHKO, showed evidence of rejection within 3 months,
199 with the progressive addition of human transgenes improving survival (figure 4). Compared to
200 CMAHKO grafts in Group 2, xenograft survival was significantly prolonged, but ultimately
201 recipients were euthanized for symptoms developed in the presence of myocardial thickening.
202 Gross examination of these explanted xenografts demonstrated ventricular wall thickening, as
203 mentioned previously (figure 5a). Space-filling inflammatory changes, edema, and fibrosis were
204 present in those xenografts with wall thickening (supplementary figure 2). There was no
205 histologic evidence of frank hypertrophy, that is characterized by myocardial disarray,
206 hypertrophy of myofibers, or irregular branching of cardiomyocytes. Although there was
207 scattered evidence of anti-pig antibody deposition in other groups (supplemental figure 4a and
208 4b), with less C4d staining with transgenes' expression, evidence of antibody-mediated rejection
209 (AMR) was dominant only in Group 3 xenografts. These findings include interstitial edema and
210 interstitial hemorrhage, microvascular thrombosis, fibrosis, cellular infiltration, and endotheilitis
211 (figure 7a-7b). The other Group 3 xenograft contained mild interstitial inflammation and chronic
212 xenograft vasculopathy (figure 7c-d). Notably, however, a large acute septal infarct was present
213 (supplemental figure 2g).

214

215 Group 4 xenografts consistently produced survival greater than 6 months, without evidence of
216 growth, rejection or inflammation. One (B32863) of the two recipients was euthanized on POD#
217 182 due to weight loss and demonstrated normal histology without evidence of rejection or

218 inflammation (figure 7e and f). Endomyocardial biopsy was performed on the other long-term
219 survivor (B33130) on POD# 220, and H&E staining also demonstrated normal histology (figure
220 7g and h). Terminal histology of this xenograft on POD# 264 demonstrated evidence of chronic
221 vasculopathy of smaller vessels leading to xenograft failure. These xenografts demonstrate
222 excellent biventricular function and minimal graft thickening, even in B33130 on POD# 260, just
223 4 days prior to explantation (video 2).

224

225 Quantification of C4d, IgM, IgG staining and vascular microthrombi are summarized in figure 8
226 and supplementary figure 4a and 4b. Group 4 had the most favorable IHC staining, as
227 demonstrated by minimal C4d, IgM, IgG and microthrombi staining, followed by Group 1. C4d
228 deposition was minimal in Groups 1 and 4, whereas IgM and IgG deposition was minimal only
229 in Group 4. Xenografts containing CMAHKO (Groups 2 and 3) had the least favorable IHC
230 staining with relatively high levels of both IgM, IgG staining and C4d staining.

231

232 **Immunologic analysis in long-term versus short-term survivors:**

233

234 Immunophenotyping was performed on PBMCs from recipients longitudinally after
235 transplantation. T and B-cell lymphocytes were depleted with ATG and Rituxan and confirmed
236 by flow cytometry. CD3+ lymphocytes reconstituted within 48 hours of transplantation.
237 However, CD20+ lymphocyte depletion was for a prolonged period. In B33121, CD20+
238 lymphocytes re-emerged by 60 days; otherwise, all other long-term recipients reconstituted by
239 120 days, consistent with previous studies by our group (figure 9).^{1,25}

240

241 Serum cytokine levels were measured after xenotransplantation in all the recipients receiving
242 cardiac xenograft from all 4 groups. There were no observed differences in peripheral cytokine
243 levels between short and long-term survivors or those with anti-inflammatory genes (Group 3,
244 HO-1) (supplementary figure 3). Additionally, circulating anti-pig non-gal IgG and IgM
245 antibody levels did not rise significantly in any xenografts with early graft failure, even after
246 AMR (supplementary figure 5). There were no trends found between pretransplant non-gal IgG
247 or IgM antibody levels and xenograft deposition postmortem.

248

249 **Laboratory results demonstrate lasting protection from rejection in xenografts with**
250 **multiple knockouts and human complement, thrombosis, and inflammatory regulatory**
251 **proteins**

252

253 Complete blood count (CBC), complete metabolic profile (CMP), coagulation parameters, and
254 troponin I were collected at regular time intervals throughout the study (supplemental figures 6-
255 9). Long-term survivors in Group 3 and 4 maintained normal kidney and liver function, whereas
256 short-term survivors' end-organ function was more variable (supplemental figure 7).

257

258 Troponin levels peaked immediately after transplantation, consistent with ischemia/reperfusion
259 insults on the xenograft followed by resolution down to 0.01-0.08 ng/mL out to 90 days, with a
260 new baseline troponin between 0.10-0.94 ng/mL in those functioning past 3 months (i.e., Group
261 4) (supplemental figure 8). Most notably, troponin I correlated with rejection prior to xenograft
262 dysfunction and resulted in troponin levels well over 1.0 ng/mL. Moreover, troponin was found
263 to spike to levels well over baseline levels in the context of recipient stress, such as during

264 central line associated infections or examination under sedation and were unrelated to rejection
265 episodes. After inciting events, troponin levels trended back to baseline.

266

267 Partial thromboplastin time (PTT), a measure of heparin anti-coagulation levels, when targeting
268 an activating clotting time (ACT) greater than twice baseline levels, was almost always >100
269 seconds. As seen in supplemental figure 9, all long-term survivors achieved therapeutic anti-
270 coagulation to these levels but had periodic reductions in PTT. During these levels of relatively
271 less anti-coagulation, troponin levels did not rise, and D-dimer, fibrinogen and platelet levels
272 remained normal.

273

274 **Discussion:**

275

276 Xenograft rejection is complex, and its mechanism appears much different from that of
277 allografts. While allografts are predominantly rejected via cell-mediated mechanisms, xenografts
278 are afflicted by a robust antibody-mediated process. Complex incompatibilities exist between
279 donor pig and recipient non-human primates (and humans), which activates complement and
280 induces dysregulation of coagulation.¹⁰ To date, AMR from preformed or induced antibodies to 3
281 carbohydrate antigens have been identified (Gal, SDa, and Neu5Gc).²⁶⁻²⁸ These factors have
282 been addressed with immunosuppression, gene knockout and pigs expressing human transgenes
283 developed in HHTx models of transplantation.^{1,29} As HHTx models were transitioned to OHTx,
284 PCXD became a significant barrier but has now been mitigated with improved preservation of
285 the donor organ. The longer-term survival, afforded by overcoming PCXD in OHTx, allows the
286 investigation of various genetic modifications in life-supporting cardiac xenografts.

287

288 We have shown a stepwise increase in xenograft survival by addressing the main contributing
289 factors of immunologic rejection with additional knockouts and expression of human transgenes
290 in pig donors. Select multi-gene xenografts demonstrate function for up to 264 days and exhibit
291 minimal post-transplantation xenograft growth. Physiologic mismatch between pig xenograft and
292 recipient baboon have not been shown to play a significant role in early graft failure. In our
293 series, thickening was significantly delayed by additional transgene expression and KOs in
294 Group 3 and was avoided in Group 4, and did not require afterload reduction, heart rate control,
295 or Temsirolimus administration as previously suggested.³⁰ The histological evidence presented in
296 this study supports the idea that this growth, while multi-factorial, may have a rejection
297 component that contributes significantly to ventricular wall thickness, xenograft dysfunction, and
298 recipient demise. Temsirolimus has been used to mitigate “growth.” While an inhibitor of mTOR
299 and intrinsic graft growth, is also an immunosuppressive agent, and perhaps its role in
300 suppressing graft growth is dependent on its anti-rejection effect. Indeed, in HHTx, we have not
301 observed post-transplantation cardiac xenograft growth until rejection occurs from removing
302 anti-CD-40 mAb immunosuppression.¹ In this study, intact GHR signaling in xenografts likely
303 also contributes to post-transplantation growth in Group 3 (non GHRKO xenografts) compared
304 to Group 4 (GHRKO xenografts). A multi-factorial working model for post-transplantation
305 xenograft growth is depicted in figure 10.

306

307 Paradoxically, AMR continues to play a significant role in the non-human primate model with
308 xenograft KO of Neu5Gc.²⁷ Immunologic and histopathologic analysis is consistent with
309 CMAHKO-related *de novo* neoantigen production in Groups 2 and 3, which may be driving

310 AMR. This is also supported by demonstrating that CMAHKO has increased IgM binding
311 compared to double KO xenografts (figure 3c). As shown in figure 2c, while heterozygous KO
312 of CMAH is phenotypically null for Neu5Gc, these xenografts still have increased IgM binding
313 compared to double KO xenografts (figure 3c). However, this is purely a limitation of the NHP
314 model, as humans already have a functional mutation of CMAH, which prevents B/T cell
315 education of this antigen in humans and are tolerant to this potential neoantigen.³¹ Thus,
316 xenografts with CMAHKO may not show accelerated rejection when transplanted in human
317 recipients.³² While additional transgenes protected xenografts containing CMAHKO and delay
318 graft failure, these constructs do not completely prevent it (Group 2 vs. 3).

319

320 This study also reveals the potential additive benefit of other transgenes in addition to GHRKO.
321 Specifically, hTBM, EPCR, hCD46 and hDAF. Survival was increased in xenografts that
322 contained hTBM compared to grafts that did not. In xenografts that did not contain
323 thromboregulatory proteins, thrombotic complications were present. This suggests that hTBM
324 and other thromboregulatory proteins such as EPCR are equally important to xenograft
325 protection and survival as immunomodulatory proteins. It is already known that there is an
326 incompatibility between porcine-derived thrombomodulin from the endothelium to non-porcine
327 circulating thrombin, and this contributes to the complex xenotransplantation rejection process.
328 However, its role in preventing thrombosis in an OHTx has not been demonstrated yet. We also
329 demonstrate a markedly reduced CDC with the addition of hCD46 expression with an additive
330 benefit in combination with hDAF. This translated to a significant survival benefit when
331 combined with xenografts containing coagulation regulatory proteins hTBM and hEPCR and
332 anti-apoptotic protein hCD47 as seen in Groups 3 and 4, compared to Group 2.

333

334 Additionally, coronary vasculopathy was found in xenografts of long-term survivors and
335 possibly contributed to an acute myocardial infarction (MI) in one instance (B32988). Termed
336 chronic allograft vasculopathy (CAV), this coronary disease is characterized by diffuse and
337 concentric intimal hyperplasia.³³ It is known to be associated with intracoronary mural and
338 occlusive thrombi and in some instances can lead to MI. CAV usually begins within the first year
339 of transplant and is one of the leading causes of allograft failure.³⁴ Its pathogenesis is poorly
340 understood, but likely has both immunologic and non-immunologic causes.³⁵⁻³⁷ Randomized
341 prospective studies have demonstrated that prophylactic treatment with statins reduces the rate of
342 CAV and decreases mortality in heart transplantation patients.³⁸ This novel finding, combined
343 with the knowledge gained from allotransplantation, suggests statin therapy should be added as a
344 prophylaxis to mitigate chronic xenograft vasculopathy (CXV).

345

346 Results from Group 4 shared in this report are the first evidence of rejection free xenograft
347 survival that provides a clinically translatable combination of GE pigs and target-specific
348 immunosuppression without the need for additional adjuncts. It additionally provides insight and
349 alternative solutions to address previously reported abnormal cardiac growth after OHTx
350 transplantation. Our current immunosuppression protocol, summarized in table 2, is based
351 largely on our previously demonstrated “Mohiuddin” regimen. Additions included two anti-
352 inflammatory agents administered during the first 3 months post-transplantation (etanercept and
353 tocilizumab) based on observations by us and others that these adjuncts may improve survival.
354 However, peripheral cytokine analysis does not suggest any major differences in peripheral
355 cytokine level between short and long-term survivors and those with anti-inflammatory genes

356 such as HO-1. Thus, the utility of these adjuncts have yet to be supported by data, but continue to
357 be used nonetheless. The CD-40 co-stimulation blockade mAb used here (2C10R4) is not FDA
358 approved for clinical use, but several clinical trials are in progress to test humanized forms of
359 anti-CD40/CD40L mAb in transplant and autoimmune diseases (clinicaltrial.gov identifiers:
360 NCT04711226, NCT04322149, NCT03781414, NCT03663335, NCT03905525,
361 NCT03610516).

362

363 **Conclusion:**

364

365 In this study, we demonstrate clear pre-clinical efficacy to selective cardiac xenografts with
366 carbohydrate antigen knockouts, GHR knockout and multiple human coagulation, complement
367 and inflammatory regulatory proteins concomitantly with our proven immunosuppression
368 protocol. Our results also demonstrate that removing Neu5Gc via CMAHKO may have
369 detrimental effects in NHP models but should not be considered as evidence to not use
370 CMAHKO xenografts in human xenotransplantation. Multigene xenografts may immediately
371 reduce certain patients' morbidity and mortality as a bridge to allotransplantation, who would
372 otherwise die waiting for a heart.

373

374 **Competing Interest Statement:**

375 David Ayares, Will Eyestone, Amy Dandro, Kasinath Kuravi, Lorri Sorrels and Todd Vaught
376 are employed by Revivacor/United Therapeutics. The remaining authors declare that the research
377 was conducted in the absence of any commercial or financial relationships that could be
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381

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492 Gal xenoreactive antibodies using pig aortic endothelial cells. *Xenotransplantation* **21**, 555–
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494

495 **Materials and Methods:**

496 Animals:

497

498 Specific Pathogen Free (SPF) *Papio albus* baboons (Southwest National Primate Center, San
499 Antonio TX or MD Anderson Cancer Center, Bastrop TX) weighing 15-30 kg were screened to
500 eliminate specific pathogens of interest and used as recipients. Weight-matched GE German
501 Landrace pigs (Revivicor Inc., Blacksburg, VA) were used as xenograft donors. KO of up to
502 three known carbohydrate antigens, Gal, SDa and Neu5Gc. and expression of multiple human
503 genes, including CD46, TBM, EPCR, CD47 and HO-1. A subset of these GE pigs also had KO
504 of GHR. Expression of transgenes was consistent and at high levels across all pigs by Western
505 blot and immunohistochemistry quantification. A list of all the GE donor pig with different
506 combination of multiple gene used in the study are shown in table 1. Euthanasia was performed
507 for recipients with deteriorating condition of either cardiac or non-cardiac origin. Death was an
508 endpoint in the event of unexpected rapid cardiac deterioration. All animals were used in
509 compliance with guidelines provided by the Institutional Animal Care and Use Committee
510 (IACUC) at the University of Maryland School of Medicine.

511

512 Genetic Engineering:

513

514 Cardiac xenografts were produced from genetically engineered swine generated by somatic cell
515 nuclear transfer as previously described.²¹ Transgene vectors expressing one, two, four or two
516 plus four recombinant human proteins were transfected into cultured fetal porcine fetal
517 fibroblasts (supplementary figure 10). Pigs in Group 1 harbored a multicopy minigene

518 expressing human CD46 and a multicopy vector expressing human thrombomodulin (TBM)
519 driven by the endothelial-specific porcine TBM promoter.³⁹ Pigs in Group 2 contained a bi-
520 cistronic vector containing a single copy each of human CD46 and human DAF, linked by a viral
521 2A sequence and driven by a single CAG promoter to ensure ubiquitous expression.²¹ In
522 addition to this bi-cistronic vector, Group 3 pigs harbored a tetra-cistronic vector in which TBM
523 and EPCR were linked by a 2A sequence and driven by a single endothelial-specific promoter,
524 plus human CD47 and HO1, linked by a 2A sequence and driven by CAG. Finally, Group 4 pigs
525 contained a single tetra-cistron, similar to the Group 3 version except that the CAG-driven bi-
526 cistron was designed to express human CD46 and CD47. The functional activity of each
527 transgene was characterized in in vitro assays as previously reported. All vectors in Groups 1-4
528 were targeted to pre-selected landing pads in the genome, facilitated by crispr/Cas9 and
529 homology-directed repair. The groups also contained various carbohydrate KOs as previously
530 indicated. The dominant carbohydrate antigen galactose- α 1,3-galactose (Gal) was knocked out
531 by insertional mutagenesis of the Ggta1 gene that encodes α 1,3 galactosyl transferase.²³ Two
532 additional xenoantigens, Neu5Gc and SDa, were knocked out by crispr/Cas9-induced insertion
533 and/or deletion mutations (indels) in genes encoding the enzymes that catalyze their synthesis,
534 namely CMAH (cytidine monophosphate-N- neuraminic acid hydroxylase) and β 4GalNT2
535 (β 1,4-N-acetyl-galactosaminyltransferase), respectively. Lastly, growth hormone receptor (GHR)
536 was knocked out with crispr/Cas9 induced indels to decrease the intrinsic growth of the heart.

537

538 Immunosuppression:

539

540 The immunosuppressive regimen for all recipient baboons included induction therapy and
541 maintenance therapy, which is summarized in table 2. Induction included anti-thymocyte

542 globulin (Thymoglobulin; Genzyme, Cambridge, MA, USA; 5mg/kg on days -2 and -1), anti-
543 CD20 antibody (Rituxan; Genetech, San Francisco, CA, USA; 19mg/kg on days , -7, 0, 7) for T
544 and B cell suppression and anti-CD40 (clone 2C10R4)¹⁵ (NHP Reagent Resource, Worcester,
545 MA, USA; 50mg/kg on days -1, 0, 5, 9, 14 then per week) for blocking the CD40/CD154 co-
546 stimulation pathway. Cobra venom factor (CVF; Quidel, San Diego, CA, USA; 50-100 Units;
547 days -1, 0, and 1) or C1 Esterase Inhibitor (Berinert; CSL Behring, King of Prussia, PA, USA;
548 17.5un/kg; Days -1, 0 and 1) was used to inhibit the complement activation. Maintenance
549 immunotherapy included Mycophenolate Mofetil (MMF; Genzyme, Cambridge, MA, USA;
550 20mg/kg BID, daily) and anti-CD40 monoclonal antibody (50mg/kg) weekly. All recipient
551 baboons received continuous heparin infusion to keep the activated clotting time (ACT) level
552 twice the baseline. Ganciclovir (Roche, Nutley, NJ, USA; 5 mg/kg/day) was administered daily
553 Cytomegalovirus (CMV) prophylaxis. Other medications included Epogen (Amgen, Thousand
554 Oaks, California; 200U/Kg) daily from day-7 to 7 and Cephazolin (Hospira, Lake Forest, IL,
555 USA; 250mg) daily for 7 days were given. If there was any sign of abnormal xenograft function,
556 and there was clinical suspicion of rejection, rescue therapy was initiated with intravenous bolus
557 dose of Solu-Medrol (20mg/Kg for 3 days). Increased heparin dosage was also used to prevent
558 thrombus formation, if needed and activated clotted time (ACT) was maintained twice the
559 baseline. Tumor necrosis factor alpha inhibitor (Anti-TNF α) (Etanercept, Amgen Inc., Thousand
560 Oaks, CA) and IL-6 inhibitors (Tocilizumab, Genentech, USA) were given for the first 3 months
561 postoperatively.

562

563 Orthotopic Transplantation:

564

565 Preoperative transthoracic echocardiograms (TTEs) were conducted to ensure adequate cardiac
566 function free of anatomic abnormalities deemed unsatisfactory for transplantation. Donor swine
567 heart procurement was performed using 30cc/kg of blood cardioplegia with XVIVO© heart
568 solution (XHS) for induction preservation (XVIVO© Perfusion, Gothenburg, Sweden). Cardiac
569 preservation was performed using an XVIVO© Perfusion system with XHS cardioplegia at 8°C,
570 maintaining a perfusion pressure of 20 mmHg in the aortic root and physiological pH (7.2-7.6).
571 In two experiments, prior to the availability of XVIVO© technology, blood cardioplegia and
572 storage preservation on ice was employed.

573
574 Life-supporting heart xenotransplantation was performed after placing the baboon recipient onto
575 Aorto-bicaval cardiopulmonary bypass (CPB). Donor porcine xenografts were placed in the
576 orthotopic position after native heart explantation, using a biatrial anastomosis technique.⁴⁰

577
578 Postoperative Care:
579 A cardiac telemetry device (Data Sciences International (DSI) St. Paul, MN) was used to monitor
580 hemodynamics, heart rhythm and temperature postoperatively. Baboons were extubated after
581 hemodynamic stability was achieved on minimal inotropic support and adequate cardiac
582 function was demonstrated by transesophageal echocardiography (TEE). Drug administration,
583 inotropic support, and other supplemental drugs (if needed) were administered through a
584 tunneled central line tethered through the recipient's cage. Critical care nurses and physicians
585 provided ICU level monitoring and management for the first 48-72 hours postoperatively. As
586 soon as deemed surgically appropriate, all baboons were placed on systemic heparin for an ACT

587 goal of twice the baseline level. Recipient blood pressure and heart rate thresholds were not used
588 to guide the use of any afterload reduction or chronotropic controlling agents.

589

590 Methods for evaluating xenograft function:

591 Cardiac xenograft function was evaluated by telemetry (continuously; but weaned to
592 intermittently every hour after 30 days) and transthoracic echocardiography (TTE). The
593 telemetry device (DSI, St. Paul, MN USA) was implanted into the recipient baboon's chest as
594 previously described to monitor the xenograft systolic and diastolic pressures, electrocardiogram
595 (ECG), and the recipient's temperature in our HHTx model.^{29,41} The telemetry device data was
596 transmitted wirelessly to a receiver attached to the recipient's cage and analyzed on Ponemah®
597 Software (DSI, St. Paul, MN USA). TTE's were obtained whenever the recipient was sedated, or
598 as needed for clinical status change and need for evaluation.

599

600 Hematological and Biochemical Parameters of Recipients:

601

602 Complete blood count (CBC), which includes white blood cell (WBC) counts, hematocrit
603 (HCT), red blood cells (RBC), hemoglobin, platelets, neutrophils and monocytes were analyzed
604 by hemoanalyzer (Abaxis Vetscan HM5C, ZOETIS, Parsippany, NJ) and histochemistry (Abaxis
605 Vetscan VS2, ZOETIS, Parsippany, NJ), was performed weekly for the first two months and
606 then biweekly until the xenograft was explanted. Activated clotting time (ACT) and Troponin I
607 levels were measured by iStat (Abbott Laboratories, Princeton, NJ, USA). IGF-1 levels were
608 measured by Antech Diagnostics (Fountain Valley CA, USA).

609

610 Measurement of Non-Gal IgG and IgM Antibodies:

611

612 Non-gal antibodies (IgG and IgM) titer was measured in heat inactivated baboon serum by flow
613 cytometry using GTKO pAEC line (KO:15502).⁴² pAEC lines was from miniature swine which
614 was kindly provided by Dr. Hendrik-Jan Schuurman, formerly of Immerge Biotherapeutics.

615 pAEC were isolated and cultured as previously described. Serum samples were collected from
616 baboon before and after transplant every 2-3 days for month and biweekly thereafter. Flow
617 cytometry was used to measure antibody binding (mean fluorescence intensity; MFI) with FITC
618 labeled anti human IgG (Cat # H10301) and IgM (Cat # H15101) antibodies (Invitrogen Corp,
619 Waltham, MA, USA) to porcine aortic endothelial cells on a Cytex Aurora (Fremont, CA)
620 Cytometer. The MFI of the cells were analyzed with Flowjo software (Flowjo LLC. Ashland,
621 OR, USA) for each test serum and compared with that produced by the controls.

622

623 Cytotoxicity Assay:

624 Sera from recipient baboons was tested in a CDC assay utilizing porcine aortic endothelial cells
625 (pAEC) with either donor or from the same genotype as donor (littermate). Heat inactivated
626 baboon serum samples diluted to 25% in culture media were applied to confluent PAEC
627 monolayers in 96 well plates for 30 min at 37°C. After incubation, serum dilutions were removed
628 and 7.5% rabbit complement (Bio-Rad, Hercules, California, USA) and IncuCyte® Cytotox Red
629 fluorophore reagent (Sartorius, Goettingen, Germany), diluted in culture media, was added to
630 each well. Cells were imaged and counted every 10-15m for 2h using a BioTek Cytation™5
631 reader (Winooski, Vermont) with a CY5 (628/685) filter set and high contrast bright field optics.
632 Percent cytotoxicity was calculated as the number of red fluorescent cells/total bright field cells
633 counted x100. Three replicate wells per serum sample were counted and the mean background

634 cytotoxicity from two untreated wells (complement only, no serum) for each cell line was
635 subtracted from final results.

636

637 FACS analysis for T and B cells Phenotyping:

638

639 Immuno-staining was performed on PBMCs with fluorescence-conjugated antibodies. Anti-
640 human CD3 (Cat#556611򆾔), CD4 (Cat#560628), CD5 (566193), CD8 (Cat#563822),
641 CD16 (Cat#561725), CD20 (Cat#641405), CD24 (Cat#561646), CD25 (Cat#561405), CD28
642 (Cat#560683), CD45 (Cat#563530), CD95 (Cat#561635), CD127 (Cat#562437), CD138
643 (Cat#562098), IgD (Cat#563313), and IgM (Cat#562618) monoclonal antibodies from
644 Pharmingen (BD Bioscience, San Francisco CA, USA) were used. Anti-human CD27
645 (Cat#302827) from Biolegend San Diego, CA, USA and anti CD19 (Cat#IM2470) from
646 Beckman Coulter , Indianapolis, IN, USA was used. Anti-human CD21 (Cat#46-0219-42) and
647 FoxP3 (Cat# 12-4777-42) was used from Invitrogen (Thermo Fisher Scientific) Waltham, MA.
648 Antibodies (3 or 4 microliter per million cells) were used as recommended or suggested by the
649 manufacturers. Samples were run on Cytex Aurora (Fremont, CA, USA). Flow Cytometry
650 analysis was performed using Flow Jo Software (Flowjo LLC. Ashland, OR, USA).

651

652 Histological Evaluation of Biopsies and Explants of Xenografts:

653

654 Paraffin sections from multiple biopsies and sections of explanted xenografts were stained with
655 hematoxylin and eosin for light microscopy. Sections were analyzed semi-quantitatively for the
656 presence of hemorrhage, necrosis, thrombosis, and cellular infiltrates. Immunostaining for
657 immunoglobulins (IgG (Cat# 760-2653), IgM (Cat# 760-2654) and complement (C3d (Cat#760-

658 4522) and C4d (Cat# 760-4436) Roche Tissue Diagnostics) on paraffin section were performed.
659 All the specimens were examined by an independent pathologist. Aperio Digital Pathology Slide
660 Scanner was used with 20x magnification, resulting in a field approximately 870 microns field
661 size. Fields were chosen that had the least amount of necrosis to prevent secondary deposition of
662 IgG and then examined for capillary staining for IgG, IgM and C4d. Intensity was measured for
663 C4d staining as 0: negative or equivocal staining 1+: faint positive staining 2+: strong positive
664 staining in accordance with the Society of Cardiovascular Pathology (SCVP), Association for
665 European Cardiovascular Pathology (AECVP) and the International Society of Heart and Lung
666 Transplantation (ISHLT). Additionally, specimens were graded for microthrombi on a scale of 0-
667 4+, where 1+ = >0 capillaries stained; 2+ = >1-5 capillaries stained; 3+ = >5-10 capillaries
668 stained, 4+ = >10 capillaries stained, per high power field.

669

670 Endomyocardial Biopsy:

671

672 Venous vascular access was obtained percutaneously through the right femoral vein. A 7 Fr
673 sheath was then advanced over a wire with a balloon-tipped catheter from the venous sheath into
674 the right ventricle using X-ray guidance. A bioptome was then advanced through the sheath and
675 using telemetry monitoring to detect premature ventricular contractions. The bioptome was then
676 opened advanced, closed, and withdrawn to remove a tissue piece 1mm cubed. For any given
677 evaluation, 3-5 pieces are taken to be evaluated for pathological evidence of rejection. Venous
678 closure was performed by manual pressure for 5-10 minutes.

679

680 Western blot of human transgene expression:

681
682 hTBM,hEPCR,hCD46, hCD47,hHO-1 and hDAF-1 protein detection in liver tissue lysates was
683 carried out on automated capillary western blotting system Simple WES (Protein simple, San
684 Jose, CA, USA). Liver tissue lysates were obtained using TPER buffer (Thermo Fisher
685 Scientific, Waltham, MA, USA) with protease inhibitors (Thermo Fisher Scientific). Total
686 protein concentrations were quantified using BCA kit (Thermo Fisher Scientific). 3µg of total
687 protein was loaded into each well of 12-230 kDa WES separation module (Thermo Fisher
688 Scientific). Anti-mouse detection module was used for mouse anti-human antibodies (hTBM,
689 hEPCR, actin) and anti-rabbit detection was used for rabbit anti-human antibodies
690 (hCD46,hDAF and hHO-1). Anti-sheep secondary antibodies were used for sheep anti-human
691 CD47 antibody. All assays were run on manufacture's recommended default program.

692

693 Immunohistochemical detection of human transgene expression:

694

695 Immunohistochemistry was performed on formalin-fixed, paraffin-embedded tissues. Samples
696 were sectioned at 4µm, allowed to air-dry, deparaffinized in xylene and rehydrated through
697 graded alcohols to water. Endogenous peroxidases were quenched using Dual Endogenous
698 Enzyme Block (DEEB; Agilent Technologies, Santa Clara, CA, USA) for 10 minutes. After
699 washing with TBS-Tween buffer (Sigma-Aldrich, St. Louis, MO, USA) sections were blocked
700 with Serum Free Protein Block (Agilent Technologies) for 5 minutes. Sections were gently
701 drained and 100µL of antibody was dispensed onto each slide and allowed to incubate at room
702 temperature for 30 minutes. After incubation slides were rinsed in wash buffer then incubated in
703 100µL of EnVision+ Dual HRP secondary with 0.5% pig serum (Agilent Technologies) for 30

704 minutes. Slides were thoroughly rinsed in wash buffer. Antibody binding was then detected
 705 using five-minute incubation of 100µL of diaminobenzidine tetrahydrochloride (DAB, Agilent
 706 Technologies). Slides were rinsed in running tap water, counterstained with hematoxylin,
 707 dehydrated through graded alcohols and xylene, mounted on coverslips, and imaged. Positive
 708 staining was demonstrated by a deposition of brown pigment at the site of antibody binding.

709

710 Data Analysis:

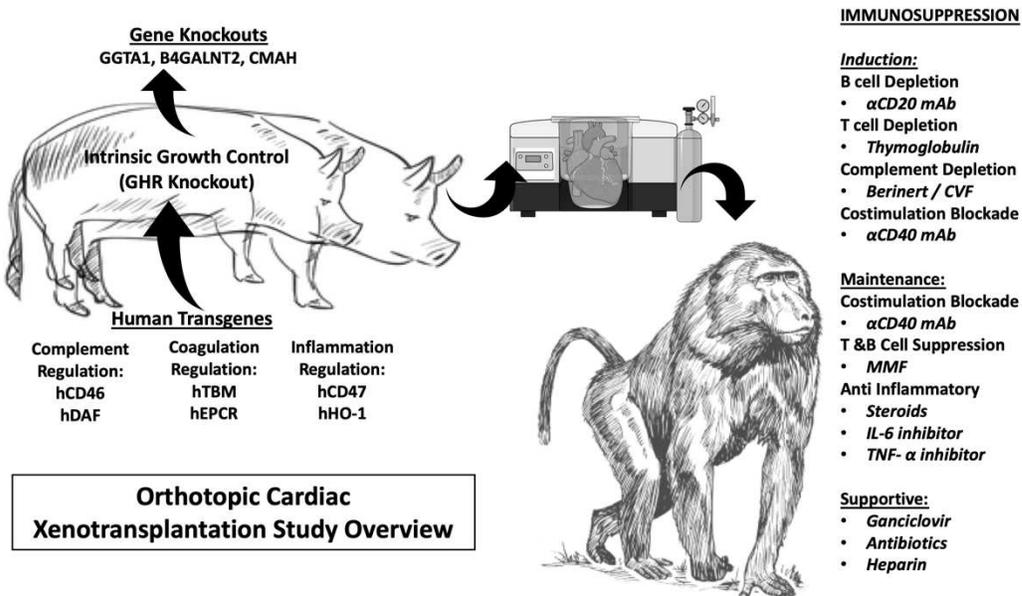
711

712 All statistical analysis and graph tabulation were performed on GraphPad Prism 8 (San Diego,
 713 CA), including Kaplan Meyer curves and line graph plots.

714

715 Figures/Tables/Supplemental:

716



717 **Figure 1: Study Overview.** Pig-to-baboon xenotransplantation was performed with genetically

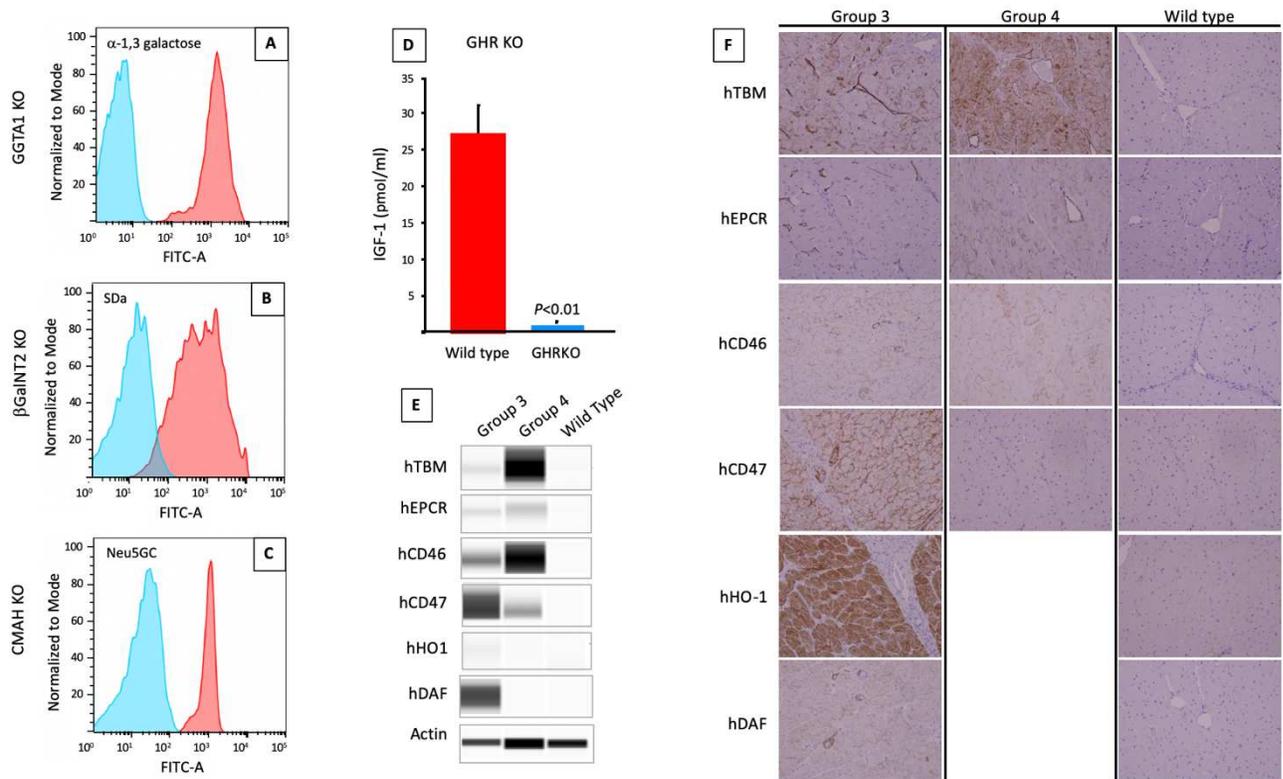
718 modified pigs of various combinations. An anti-CD40 mAb-based regimen was used and

719 xenograft survival was measured. After euthanasia, the graft was explanted and examined.

720 Multimodal analyses were performed on both the graft and the recipient.

721

722



723 **Figure 2: Xenograft phenotypes in long-term survivors.** A, B, C: flow cytometry showing

724 absence of α -1,3 galactose, SDa and Neu5Gc antigens after knockout of GGTA1, B4GalNT2,

725 and CMAH, respectively in Group 2 pigs. Knockouts are shown in blue, wild types in red.

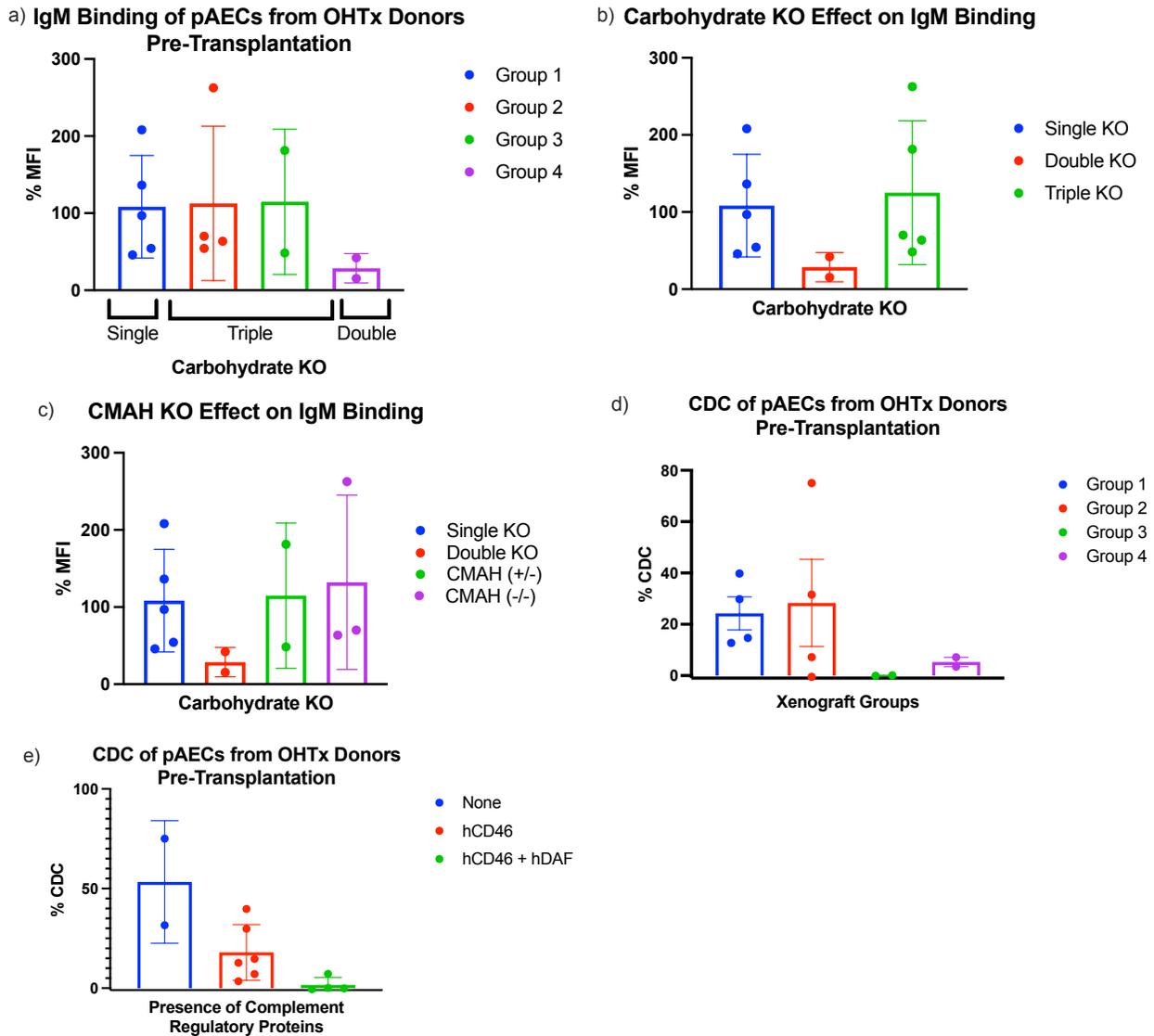
726 CMAHKO (-/-) is shown in blue, whereas CMAHKO (+/-) has similar staining to wild type (not

727 shown). D: Serum IGF-1 levels in GHR knockout donors in Group 4 (blue) vs. wild type pigs

728 (red); E: western blot of human transgenes expression in tail biopsies of Group 3 and 4 donor

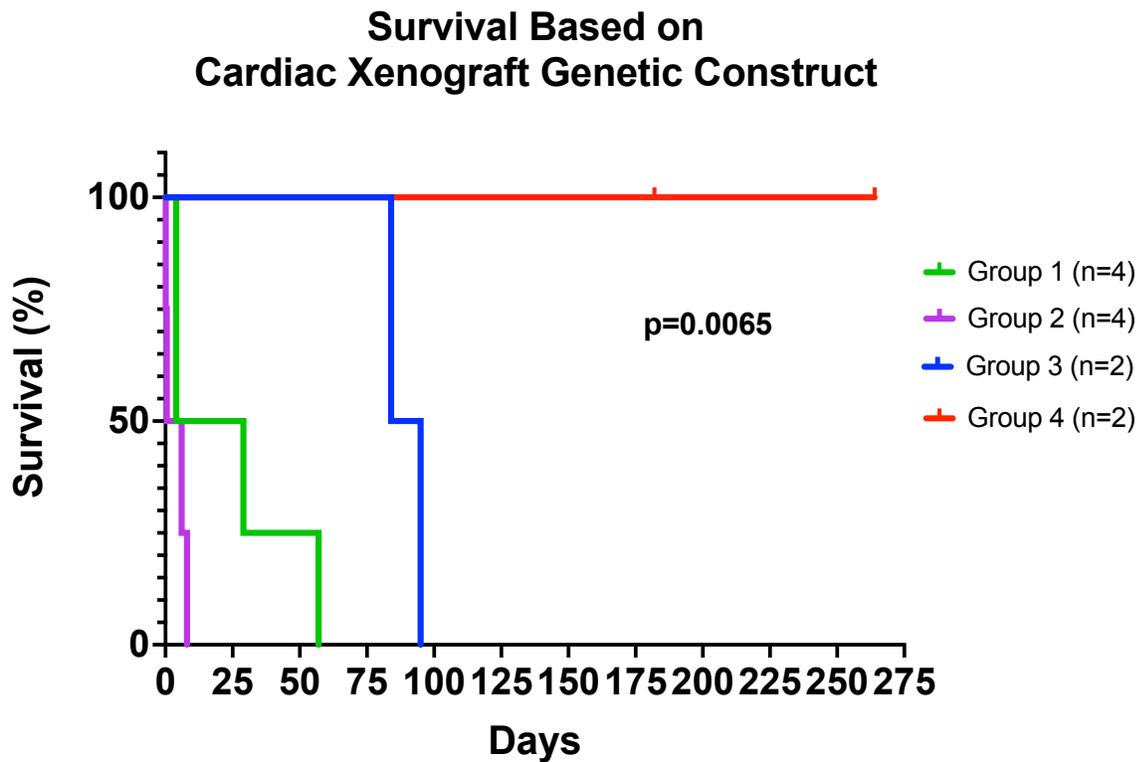
729 pigs. F: IHC of explanted heart xenografts from Group 3 and 4 donors showing expression of

730 human transgenes (x200).

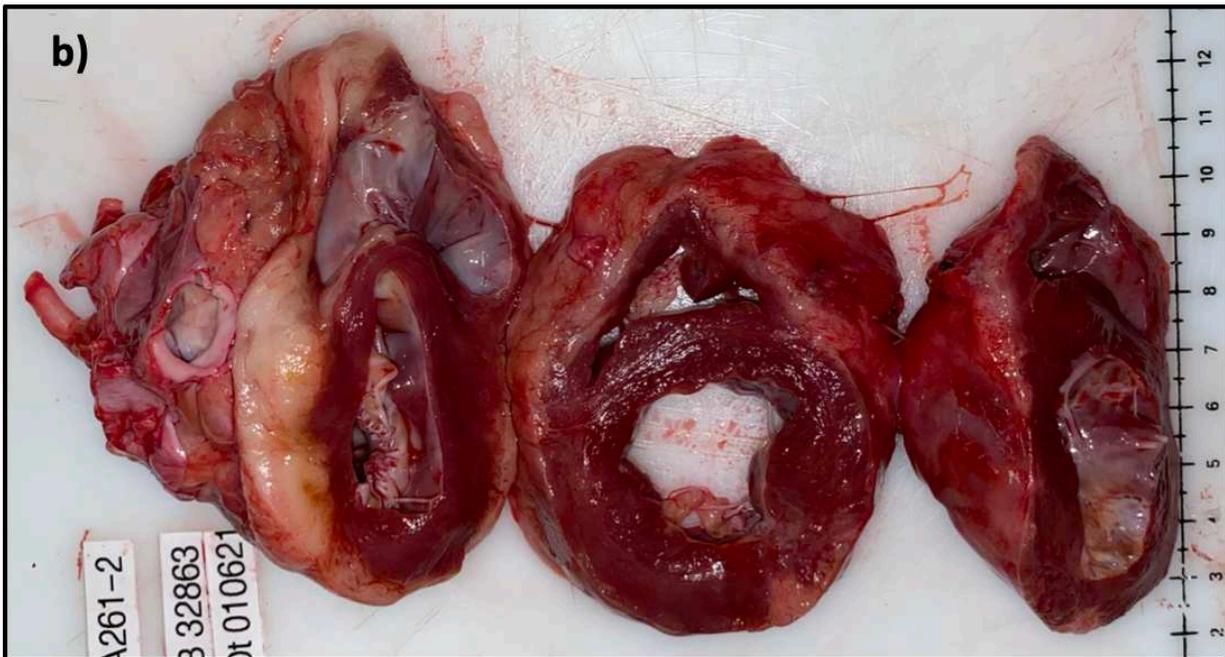


733 **Figure 3: Characterization of multi-gene cardiac xenografts.** a) IgM binding of pAECs from
 734 either xenograft donors or donor litter mates exposed to serum from Groups 1-4 recipients prior
 735 to OHTx. b) IgM binding from panel a, grouped by single, double or triple KO xenografts. c)
 736 IgM binding from a and b, grouped by CMAH (+/-) vs. (-/-). Single= GGTA1KO, double=
 737 GGTA1KO and B4GalNT2, triple=GGTA1KO, B4GalNT2 and CMAHKO. %MFI=MFI as a
 738 percent of control. CDC and IgM binding were performed as triplicates and presented here as an

739 average of triplicates. d) complement dependent cytotoxicity (CDC) measured on pig aortic
 740 endothelial cells (pAECs) from either xenograft donors or donor litter mates exposed to serum
 741 from Group 1-4 recipients prior to orthotopic transplantation (OHTx). e) CDC from panel d,
 742 grouped by complement regulatory proteins hCD46 and hDAF.
 743
 744

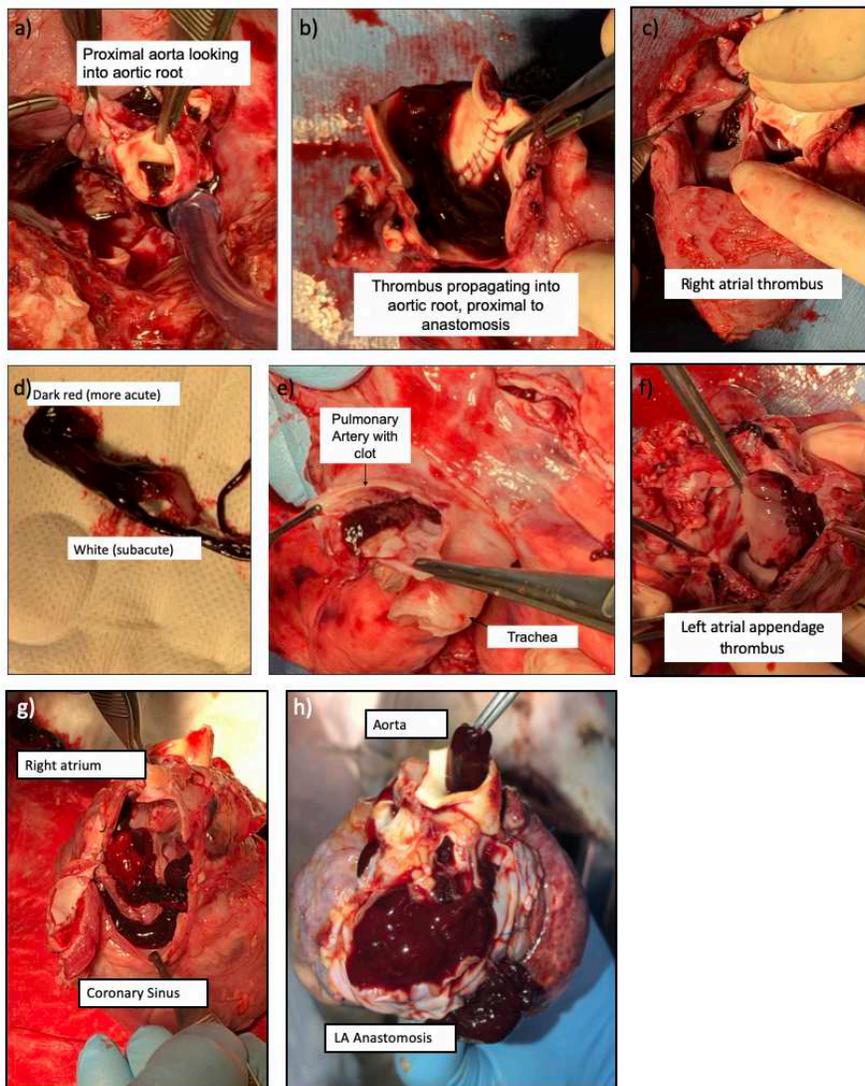


745 **Figure 4: Recipient survival of Groups 1-4.** Survival defined as time after transplantation
 746 before requiring euthanasia for deteriorating condition. Group 4 deaths censored for lack of
 747 evidence for immunologic rejection seen on histology, contributing to recipient deterioration. All
 748 other grafts contained histologic evidence of cardiac abnormalities contributing to deterioration
 749 requiring euthanasia. P=0.0065 by Log-rank (Mantel-cox) test, suggesting a significant
 750 difference in survival between Groups 1-4.
 751



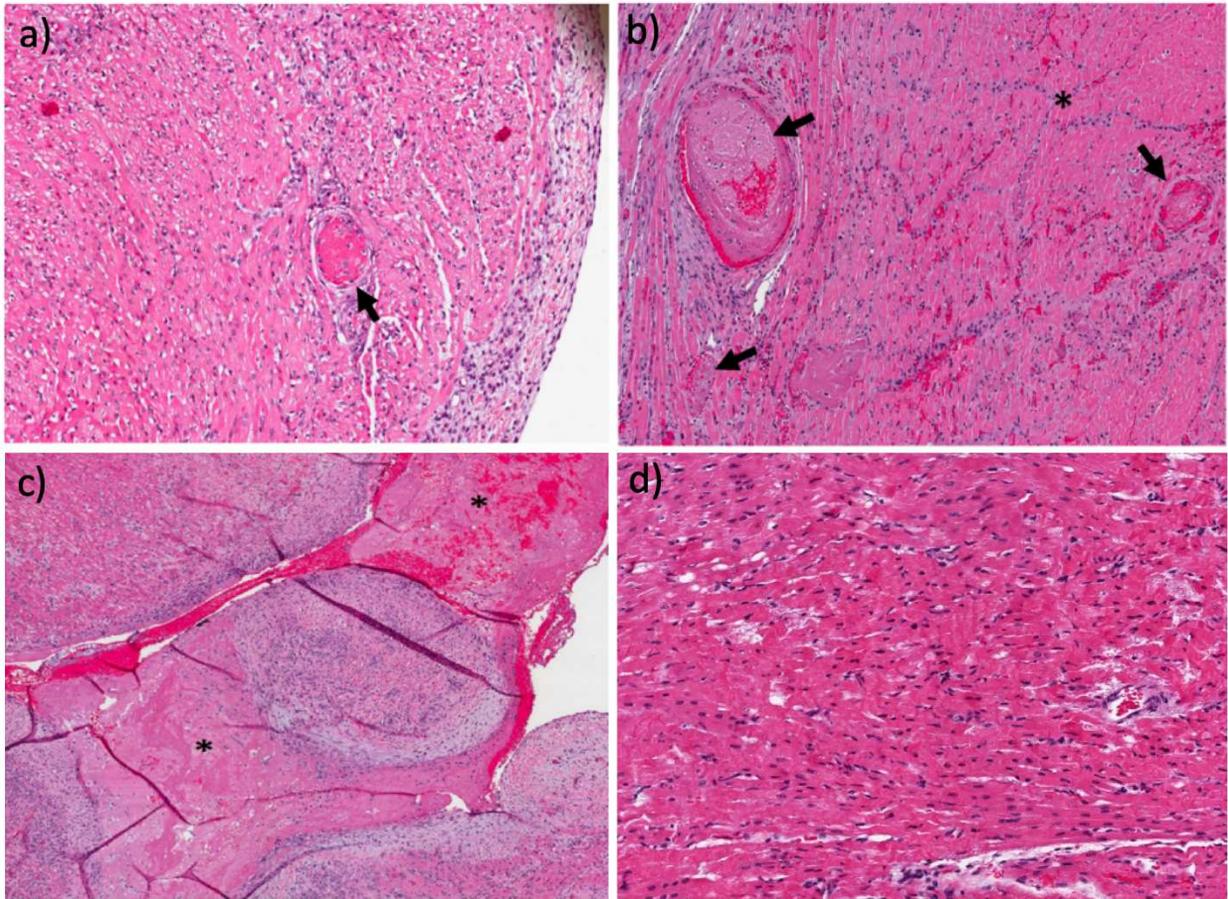
753 **Figure 5: GHRKO versus non-GHRKO xenografts.** a) non-GHRKO grafts (Group 3)
 754 exhibited biventricular wall thickening. Here, B33121 survived 84 days prior to requiring
 755 euthanasia for symptoms of diastolic heart failure. b) GHRKO graft (Group 4) exhibiting normal

756 histology without thickening at 182 days post-transplantation. This animal (B32863) was
757 euthanized for weight loss as required by our institutional animal care committee, but was
758 exhibiting excellent graft function.
759
760

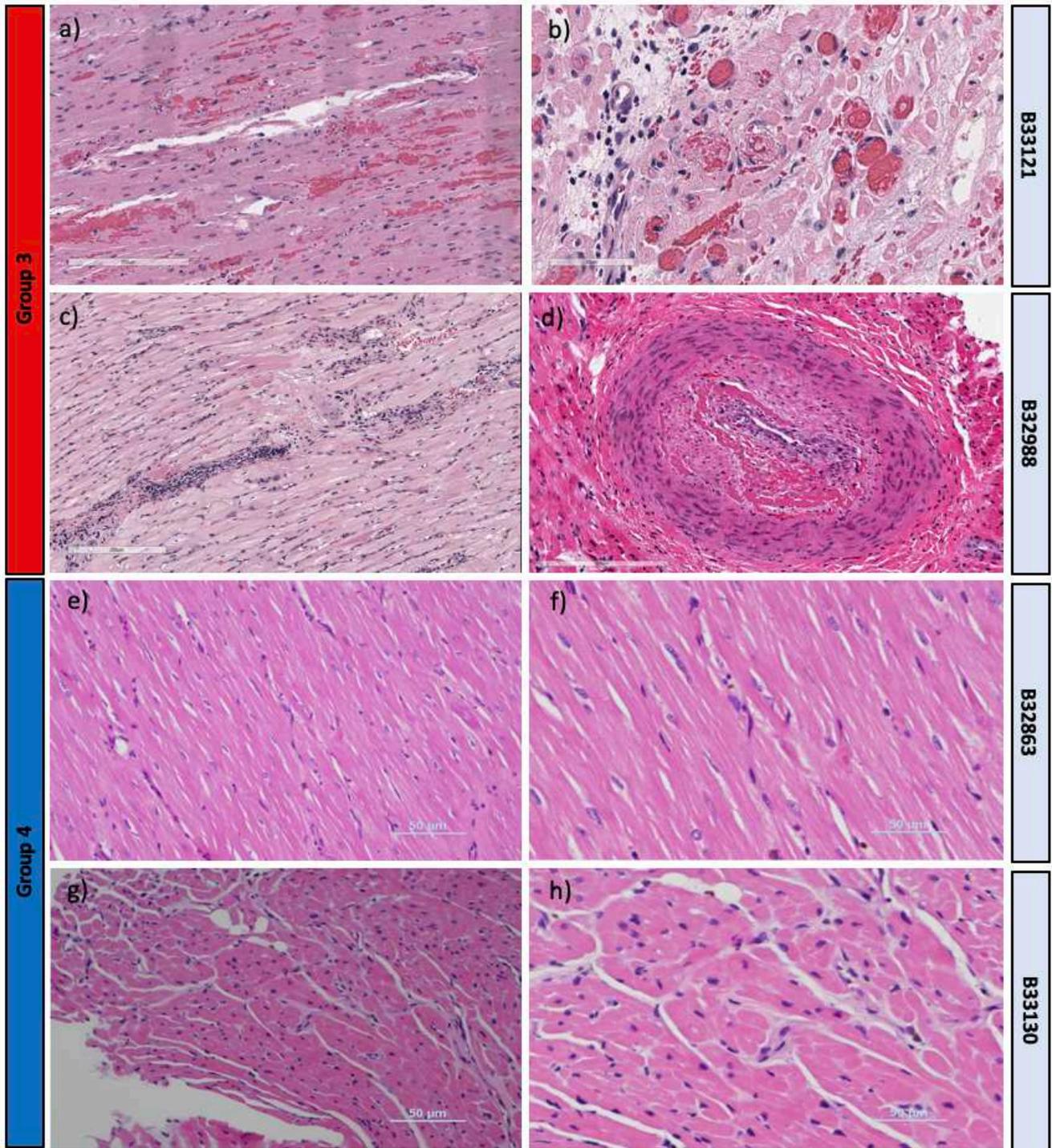


761 **Figure 6a: Thrombotic complications in Group 2 (xenografts without thromboregulatory**
762 **proteins).** panels a-f, showing B33167's xenograft at explantation. Consists of propagating
763 thrombus of the aortic root (a, b and d), left and right atrial thrombus (c and f) and pulmonary

764 artery (e). Pulmonary artery and left atrium appear to have acute and subacute components.
765 intracardiac thrombosis of B33156 within coronary sinus (g), aorta and pulmonary vasculature
766 (h).
767



768
769 **Figure 6b: Histologic findings on H&E in Group 2 (xenografts without thromboregulatory**
770 **proteins).** a) B33167 right ventricle, 10x magnification. Fibrin thrombus (arrow) in a
771 background of ischemic myocytes. b) B33156 apex, 10x magnification. Fibrin thrombi (arrows)
772 and a region of ischemic myocytes (asterisk). c) B33156 left ventricle, 10x magnification. Note
773 the intracardiac organizing thrombus (asterisk). d) B33060 right ventricle, 10x, note contraction
774 bands and hyper eosinophilia, indicating an early necrotic process.
775



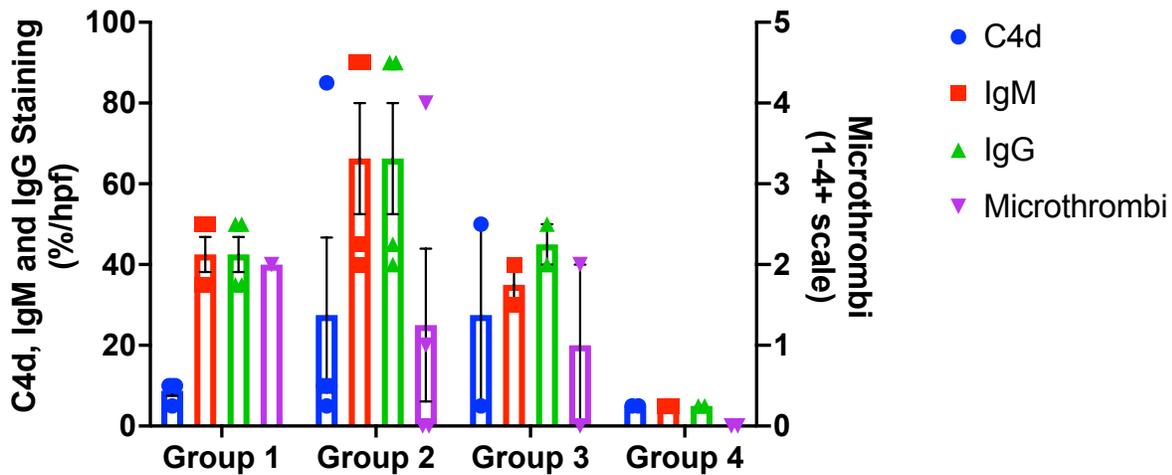
777 **Figure 7: H&E in Long-term Survivors Between Groups 3 and 4.** a) Group 3, B33121 LV
778 (17X)- congestion, mild interstitial hemorrhage individual myofiber degeneration and necrosis,
779 b) Group 3, B33121 RV (40X) veins with intravascular thrombosis c) Group 3, B32988 LV

780 (14X) interstitial mononuclear lymphoplasmacytic inflammation, scant perivascular hemorrhage,
 781 myodegeneration d) Group 3, B32988 RV (20X) organized thrombus in muscular artery,
 782 consistent with chronic xenograft vasculopathy e) Group 4, B32863 RV (20X) normal
 783 myocardium without evidence of rejection f) Group 4, B32863 RV (20X) normal myocardium
 784 without evidence of rejection g) Group 4, B33130 RV (20X), endomyocardial biopsy, normal
 785 myocardium without evidence of rejection h) Group 4, B33130 RV (40X), endomyocardial
 786 biopsy, normal myocardium without evidence of rejection

787

788

Graft C4d, IgM and IgG Quantification



789 **Figure 8: IHC quantification and microthrombi.** Presented as averages within each group.

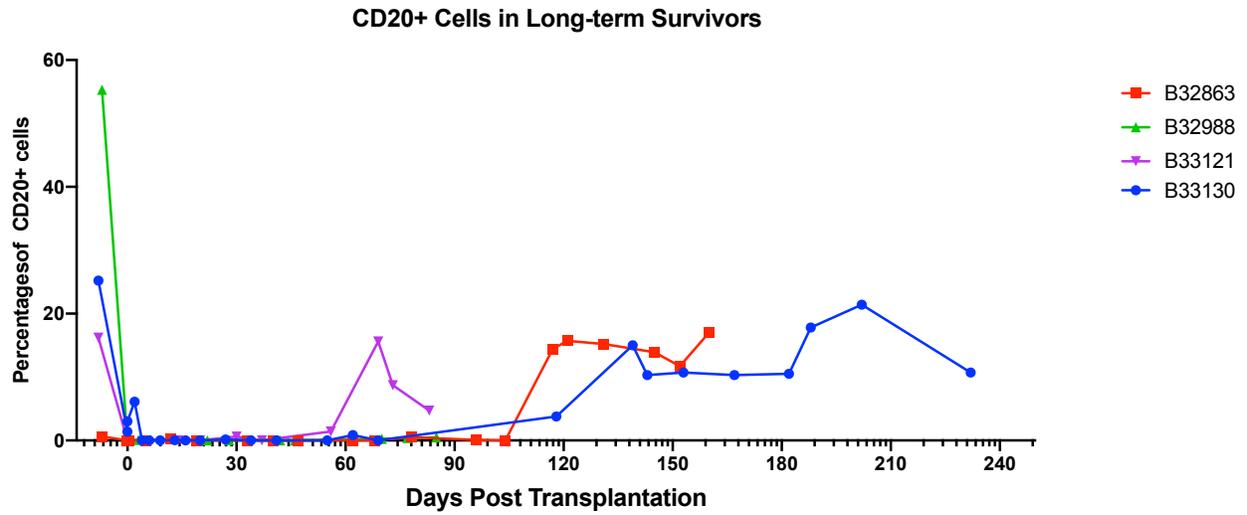
790 High power field was with 20x magnification, resulting in approximately an 870 micron field.

791 Microthrombi were graded on a scale of 0-4+, where 1+ =>0 capillaries stained; 2+ =>1-5

792 capillaries stained; 3+ =>5-10 capillaries stained, 4+ =>10 capillaries stained, per high power

793 field.

794

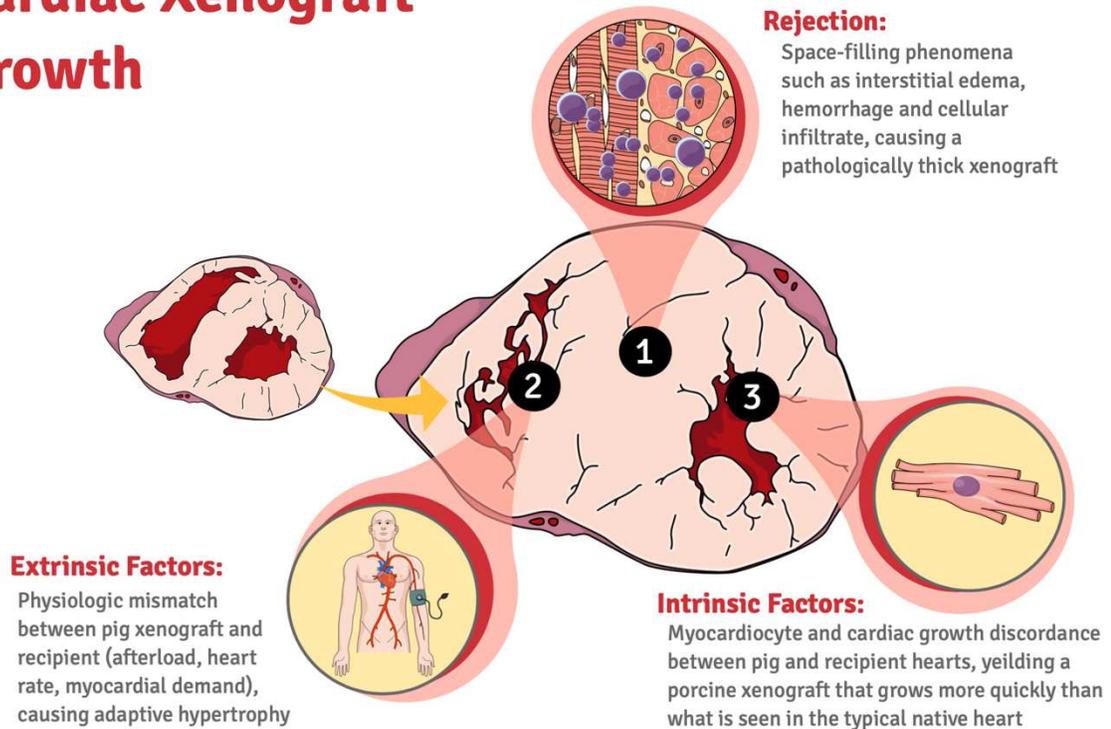


795

796 **Figure 9: CD20+ cells in long-term survivors.** B-cell depletion is adequate after induction
 797 therapy, followed by reconstitution by 60-90 days after transplantation. Percent CD20+ cells
 798 calculated as a proportion of total CD3+ cells. Group 3=B32988 and B33121; Group 4=B32863
 799 and B33130.

800

Post-transplantation Cardiac Xenograft Growth



801

802 **Figure 10: Potential mechanisms of post-transplantation cardiac growth in**

803 **xenotransplantation.** Post-transplantation cardiac xenograft growth is likely caused by both
804 intrinsic and extrinsic factors, which includes rejection, intrinsic factors such as native xenograft
805 growth. Other potential causes of growth include extrinsic factors such as physiologic mismatch
806 leading to adaptive hypertrophy but was not observed in this study.

807

808 Table Legends:

Gene Knockout/Knockin #

Recipient ID #		Carbohydrate Enzyme Knockout			Other	Thromboregulatory		Complement Regulation		Anti-Inflammatory	
		GGTA1	β 4GalNT2	CMAH (-/-)		TBM	EPCR	CD46	DAF	CD47	HO1
B32608	Group 1	GGTA1				TBM		CD46			
B32987		GGTA1				TBM		CD46			
B33422		GGTA1				TBM		CD46			
B32628	Group 2	GGTA1				TBM		CD46			
B33167		GGTA1						CD46	DAF		
B32638		GGTA1	β 4GalNT2	CMAH (-/-)				CD46	DAF		
B33156		GGTA1	β 4GalNT2	CMAH (-/-)							
B33060		GGTA1	β 4GalNT2	CMAH (-/-)							
B33121	Group 3	GGTA1	β 4GalNT2	CMAH (+/-)		TBM	EPCR	CD46	DAF	CD47	HO1
B32988		GGTA1	β 4GalNT2	CMAH (+/-)		TBM	EPCR	CD46	DAF	CD47	HO1
B33130	Group 4	GGTA1	β 4GalNT2		GHRKO	TBM	EPCR	CD46		CD47	
B32863		GGTA1	β 4GalNT2		GHRKO	TBM	EPCR	CD46		CD47	

809 **Table 1: Groups by Knockout and Human Transgene Expression.** Human transgenes are

810 categorized by thromboregulatory, complement regulation and anti-inflammatory proteins.

811 GGTA1= α 1,3-galactosyltransferase, β 4GalNT2= β 1,4-N-acetylgalactosyltransferase, CMAH=

812 CMP-N-acetylneuraminic acid hydroxylase, TBM=thrombomodulin, EPCR=endothelial protein

813 C receptor, DAF=decay accelerating factor, HO1=hemeoxygenase, GHRKO=growth hormone

814 receptor knockout. CMAH (-/-)=knockout homozygous at this locus, CMAH (+/-)=knockout

815 heterozygous at this locus.

816

Induction						
Induction						
	Agent	Dose	Timing	Route	Pre-Treatment	Purpose
	Anti-CD20	19 mg/kg	Day -7, 0, 7	IV infusion	Solu-Medrol, Benadryl, H2 blocker	To deplete B-cells
	ATG	5 mg/kg	Day -2, -1	IV infusion	Solu-Medrol, Benadryl, H2 blocker	To reduce number of T-cells
	Anti-CD40 (clone 2C10R4) CVF or Berinert	50 mg/kg 50-100 U/kg	Day -1 and 0 Day -1, 0 and 1	Slow IV infusion	None None	Co-stimulation blockade. Suppression of both B- and T-cell response. To inhibit complement activity
	Tocilizumab	8 mg/Kg	Day 0	IV	None	Anti-Inflammatory
	Etanercept	0.7 mg/Kg	Day 0	SC	None	Anti-inflammatory
Maintenance						
	Anti-CD40 (clone 2C10R4)	50 mg/kg	Days 3,5,9,14 then weekly	Slow IV infusion	None	Co-stimulation blockade. Suppression of both B- and T-cell response.
	MMF	20 mg/kg/2hr	BID, daily	IV infusion	None	B and T cell suppression
	Tocilizumab	8 mg/Kg	Weekly until day 90	IV	None	Anti-Inflammatory
	Etanercept	0.7 mg/Kg	Weekly until day 60	SC	None	Anti-inflammatory
	Solu-Medrol	2 mg/kg	BID tapered off in 7 weeks	IV	None	Suppress inflammation
	Aspirin	40 mg	Daily	Oral	None	Prevent platelet aggregation
	Heparin	50-1,000 U/hr	Continuous	IV infusion	None	Maintain ACT 2X normal and prevent inflammation
Supportive						

	Ganciclovir	5 mg/kg/day	Daily	IV infusion	None	For CMV prophylaxis
	Cefazolin	250 mg	TID daily for 7 days and whenever needed	IV	None	Infection prophylaxis and treatment
	Epogen	200 U/kg	Day -7 to 7 then weekly	IM or IV	None	To increase hematocrit

817

818 **Table 2: Immunosuppression Regimen.** 125 mg Solu-Medrol is given before Rituxan, ATG at

819 20mg/kg. Solu-Medrol 20mg/Kg/daily is given for 3 days at any concern for rejection.

820 IV=intravenous, SC=subcutaneous, BID=twice daily, TID=three times daily

821

822 Supplementary Legends:

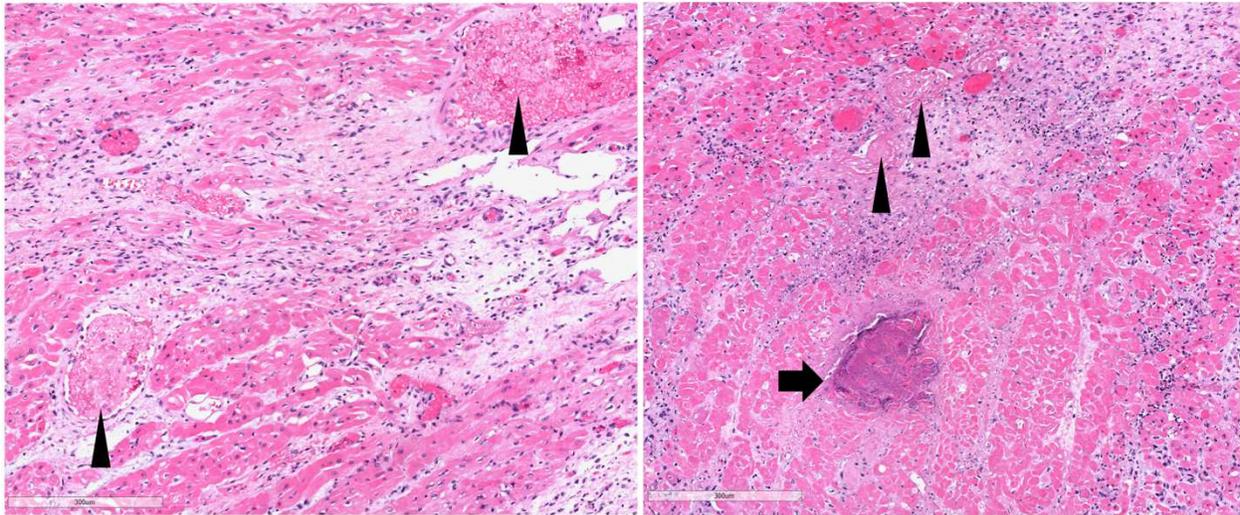
823

Group	Recipient ID	Donor Weight (kg)	Recipient Weight (kg)	Cross Clamp Time (minutes)	Total Ischemia Time (minutes)	CPB Time (minutes)	Preservation	Survival
Group 1	B32608	12	13	142	-	152	BC	6 hours
	B32987	20	17	50	55	92	BC	4 days
	B33422	21	21	49	95	102	BC	29 days
	B32628	21.1	22	53	156	98	XHS	57 days
Group 2	B33167	18	22	63	210	132	XHS	6 days
	B32638	16.8	17.3	47	66	126	XHS	12 hours
	B33156	24	23	31	159	91	XHS	8 days
	B33060	24.7	24.7	40	108	227	XHS	n/a
Group 3	B33121	18	18.6	-	208	117	XHS	84 days
	B32988	28	23	66	173	-	XHS	95 days
Group 4	B33130	31.5	27.4	82	252	129	XHS	264 days
	B32863	33.6	29	68	187	116	XHS	182 days*

824

825 **Supplementary Table 1: Overview.** CPB=cardiopulmonary bypass time, BC=blood
826 cardioplegia, XHS=XVIVO heart solution with NICP, survival with “>”, indicates survival is
827 ongoing. *=elective euthanasia

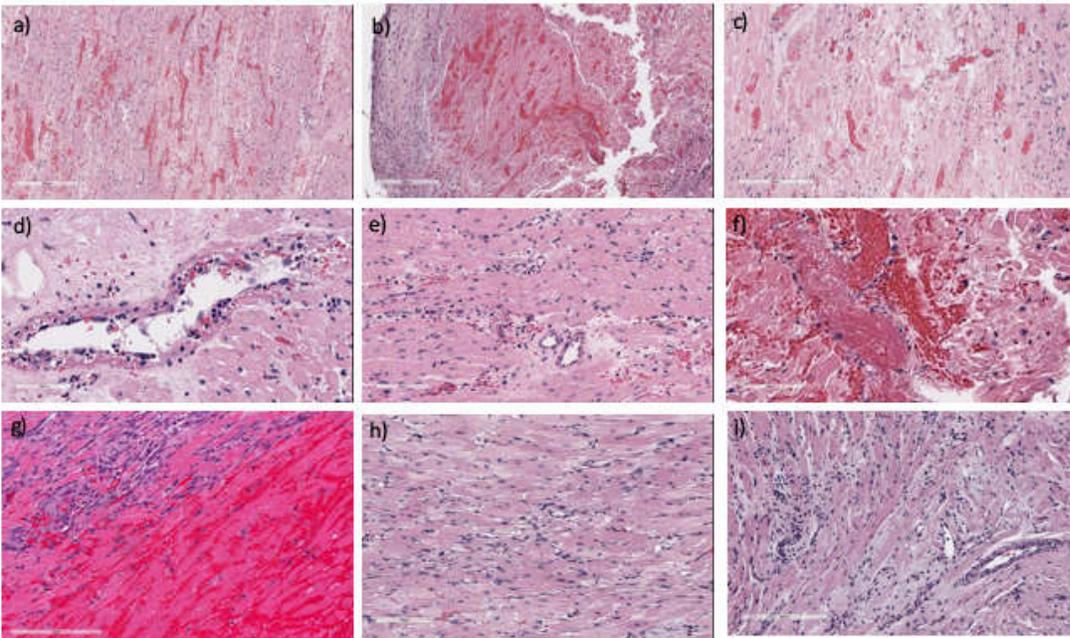
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829

830 **Supplementary Figure 1: H&E suggestive of AMR in B32628.** Left panel-Right ventricle, 10x
831 magnification, H&E stain: two large vessels with fibrin thrombi (arrowheads), Right panel-
832 Right ventricle, 10x magnification, H&E stain: fibrin thrombi (arrowheads) and necrotic
833 myocytes with infiltrating neutrophils and focal calcification (arrow).

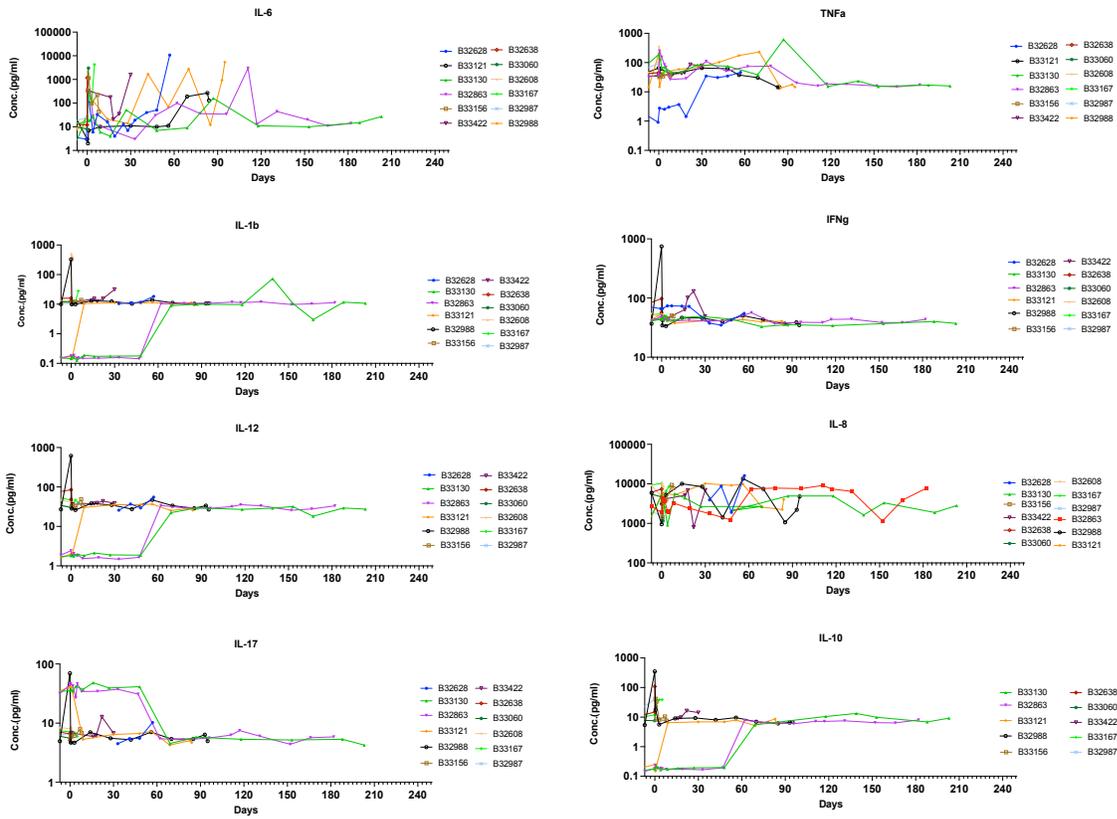
834



836 **Supplemental Figure 2: Space occupying lesions in grafts with rejection or inflammation. a)**

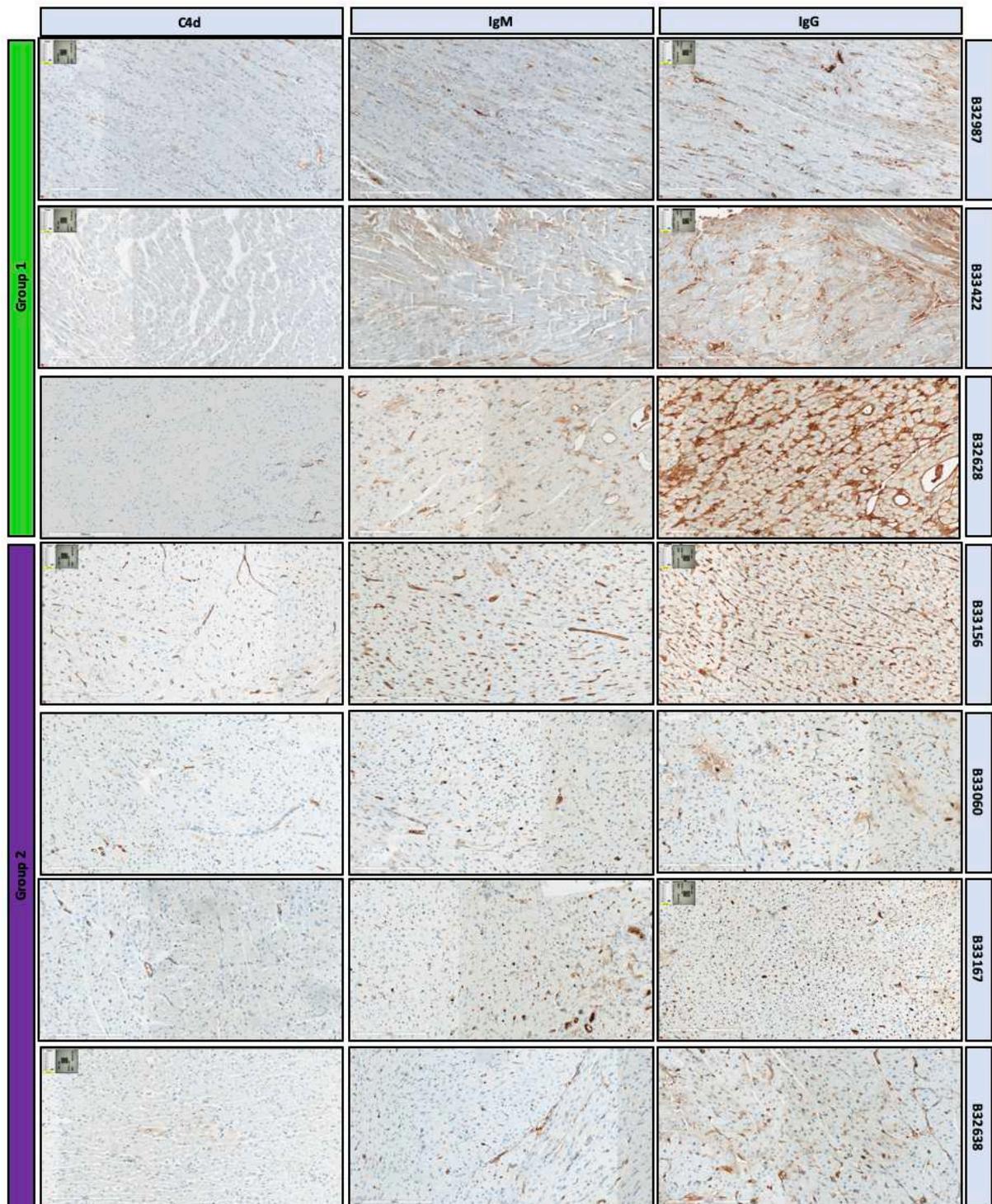
837 Septum (10X) interstitial hemorrhage, b) Septum (10X) myonecrosis subepicardial with
 838 hemorrhage epicardial fibrosis, c) Septum (10X) myonecrosis, congestion, microthrombi, d)
 839 RV (40X) vasculitis with fibrinoid degeneration-necrosis e) LV (20X) mild interstitial
 840 hemorrhage, edema, scant mononuclear perivascular inflammation f) Septum (40X) fibrin
 841 microthrombi, hemorrhage and surrounding myonecrosis and degeneration g) septum (20X)-
 842 infarct about 7 days old i) RV (10X) myocardial degeneration, mild fibrosis, mild mononuclear
 843 inflammation and mild interstitial hemorrhage j) LV (20X) myofiber degeneration, atrophy, and
 844 fibrosis. B33121: panels a-f, B32988: panels g-i.

Serum Cytokine Levels



847 **Supplementary Figure 3: Peripheral cytokine analysis in recipients of GE xenografts.**

848 Longitudinal peripheral cytokine analysis reveals no major differences between recipients of
849 different GE xenografts. Tocilizumab (IL-6 inhibitor) and Etanercept (TNF-alpha inhibitor) were
850 stopped 120 days after transplantation.

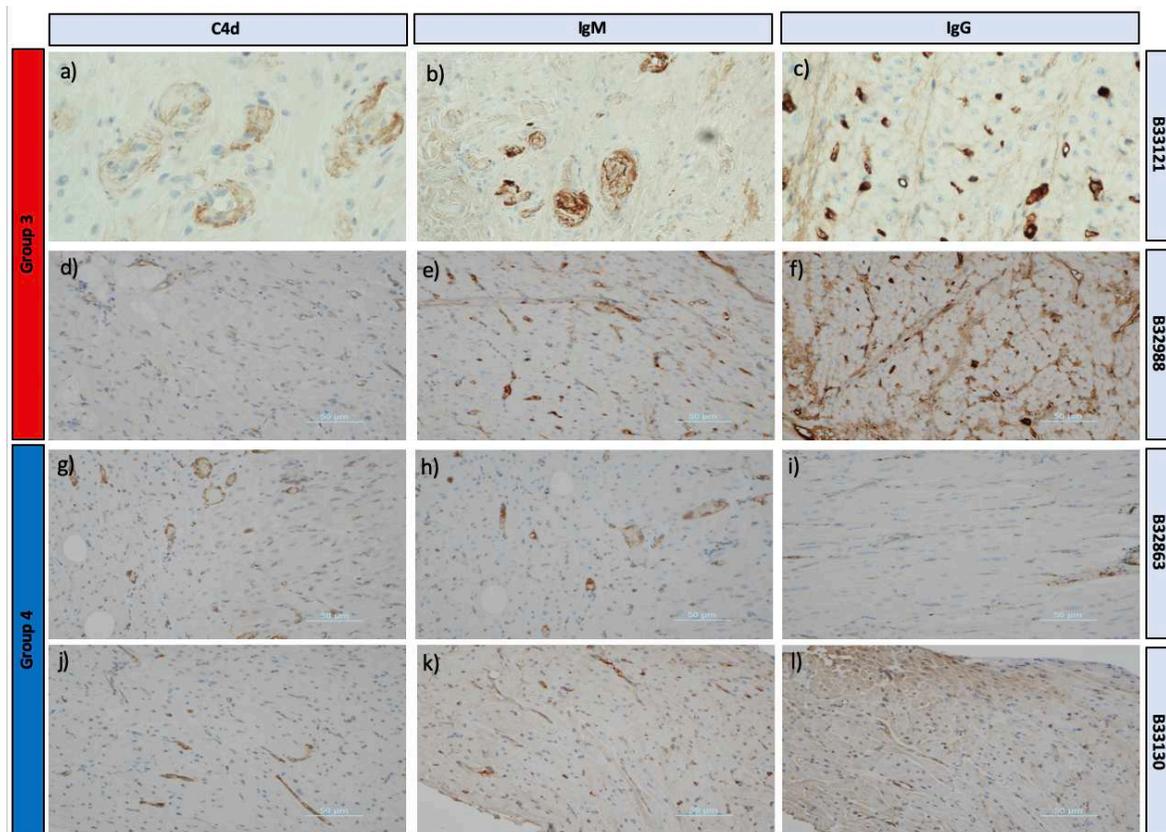


853 Supplemental Figure 4a: IHC for C4d, IgM and IgG in Xenografts in Groups 1 and 2, RV
 854 (20X). demonstrating increased C4d staining in Groups 2, compared to Group 1. The addition of

855 complement regulatory proteins (hCD46 and hDAF) in Group 2 reduces C4d staining similar to
 856 Group 1. IgM/IgG was more variable, with staining in Group 1 from 30-50% of capillaries and
 857 Group 2 between 40-90%.

858

859



860 **Supplementary Figure 4b: IHC for C4d, IgM and IgG in Xenografts between Groups 3 and**

861 **Group 4, RV (20X).** a) staining of C4d complement degradation product in <5% of capillaries,

862 b) Strong IgM staining of 30% of capillaries, c) Strong IgG staining of 50% of capillaries d) C4d

863 complement degradation product staining mild (1+: faint positive staining) in 50% of capillaries

864 d) IgM staining of 40% of capillaries, f) IgG staining of 40% of capillaries g) RV (20X) C4d

865 complement degradation product in <5% of capillaries h) RV (20X) IgM staining of <5% of

866 capillaries, i) RV (20X) IgG staining of <5% of capillaries, IgM/IgG only showing non-specific,

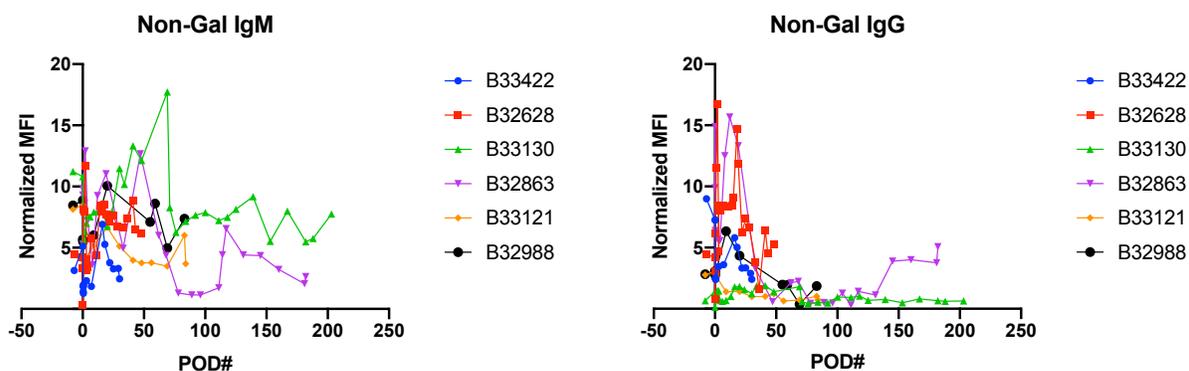
867 non-endothelial serum j) RV C4d staining <5 % of capillaries k) IgM staining <5 % of capillaries

868 l) IgG staining <5 % of capillaries, note: IgM/IgG in panels h, I, k, l showing largely non-

869 specific, non-endothelial serum staining

870

871

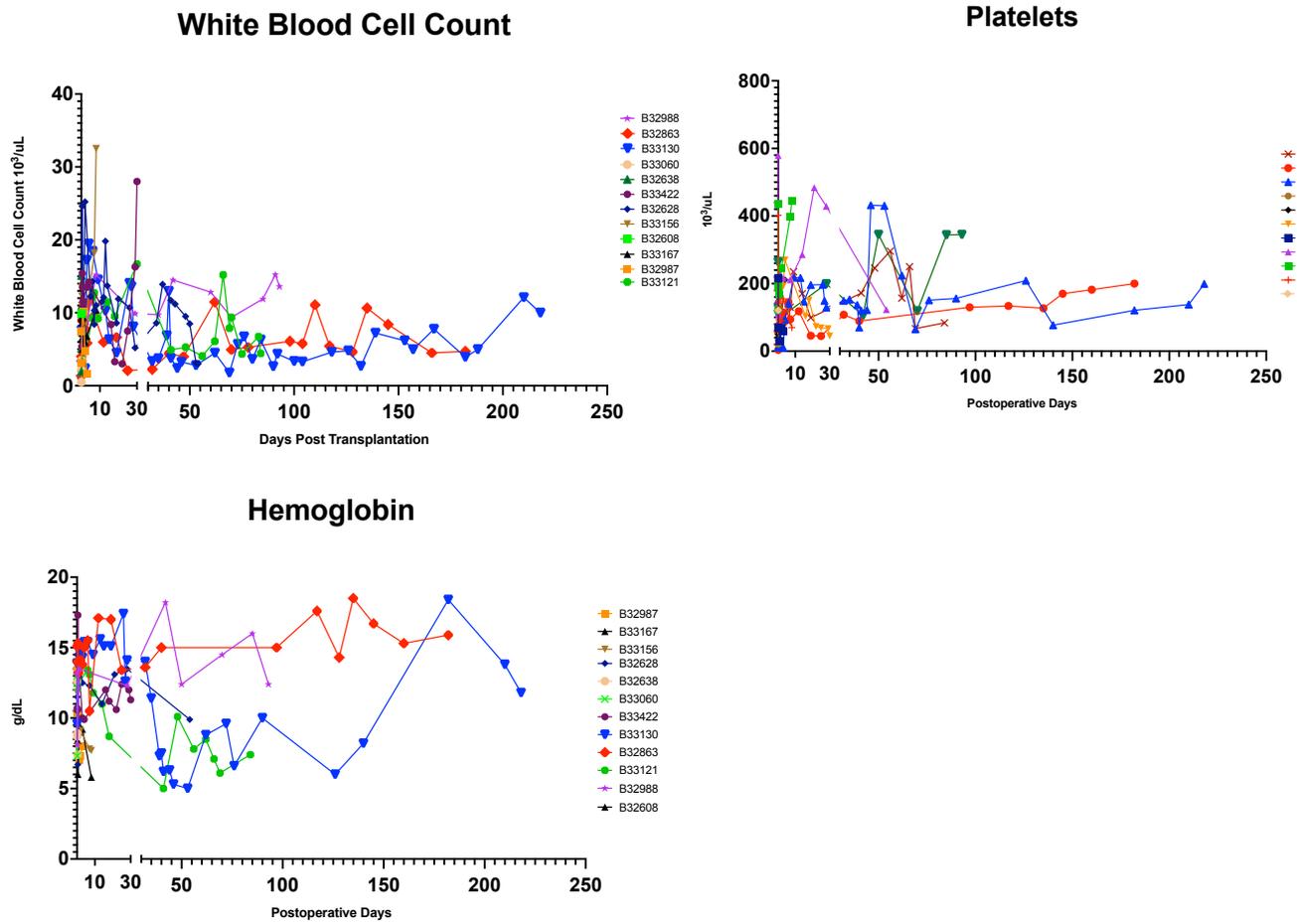


872 **Supplementary Figure 5: anti-pig antibody levels as measured by flow cytometry in long-**

873 **term survivors of cardiac xenografts. MFI normalized to positive control. POD=postoperative**

874 **day.**

875



876 **Supplementary Figure 6: Complete Blood Count (CBC) over time for all recipients.**

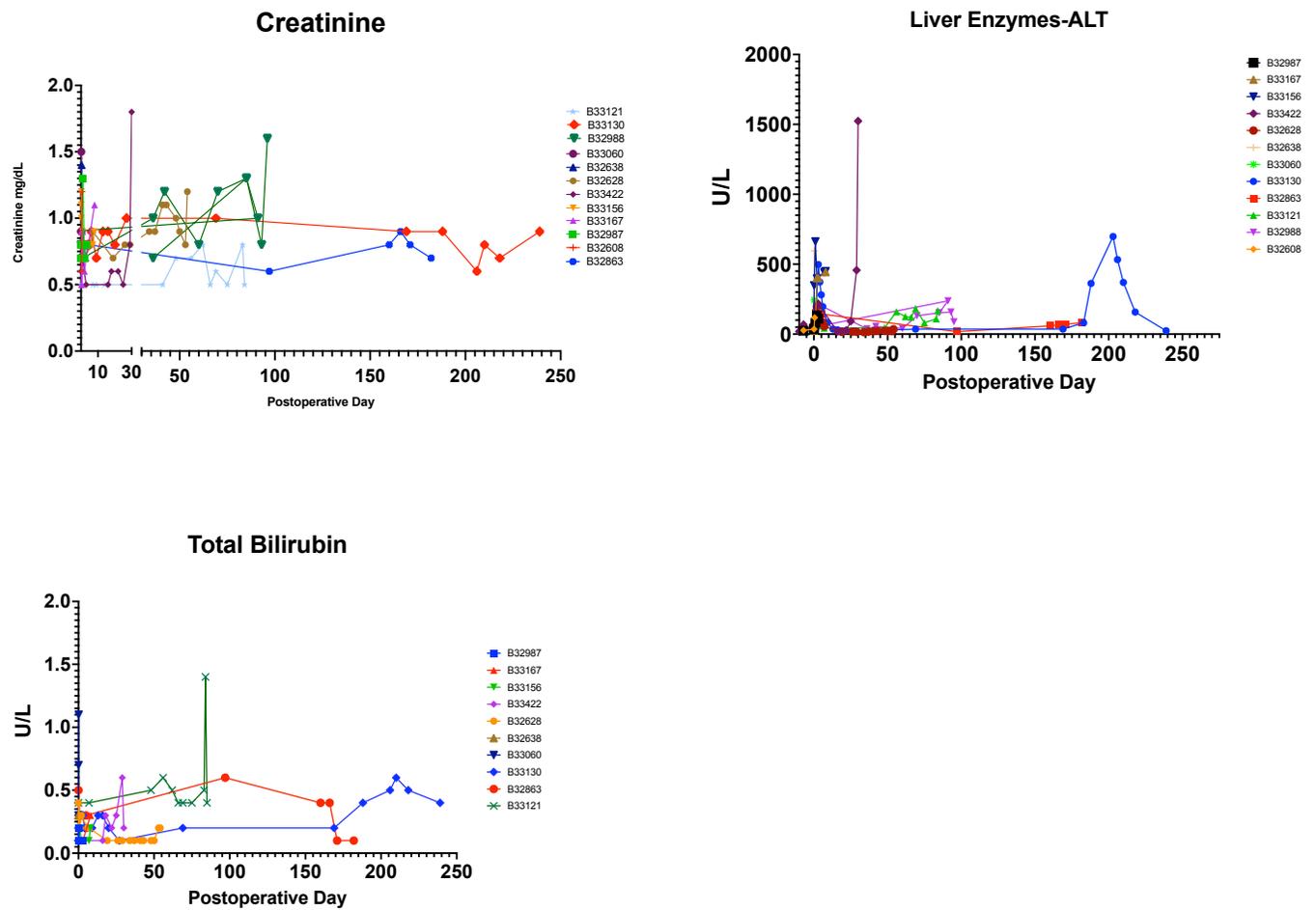
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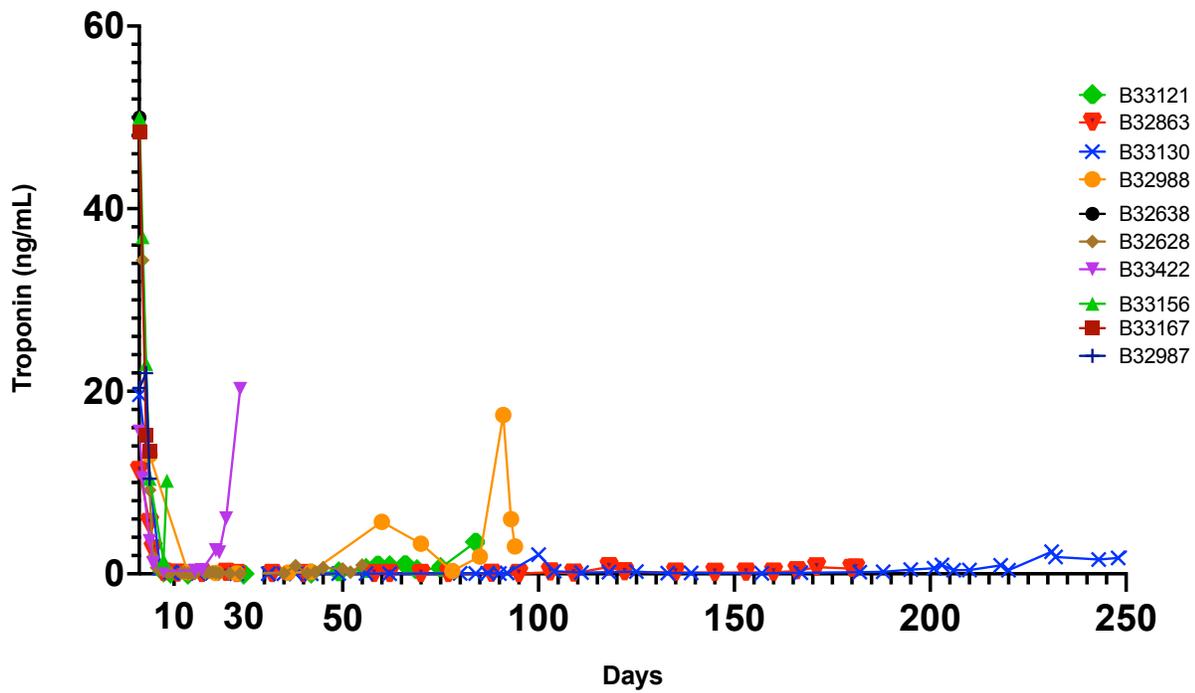
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882 **Supplementary Figure 7: Metabolic Panel (CMP) over time for all recipients.**

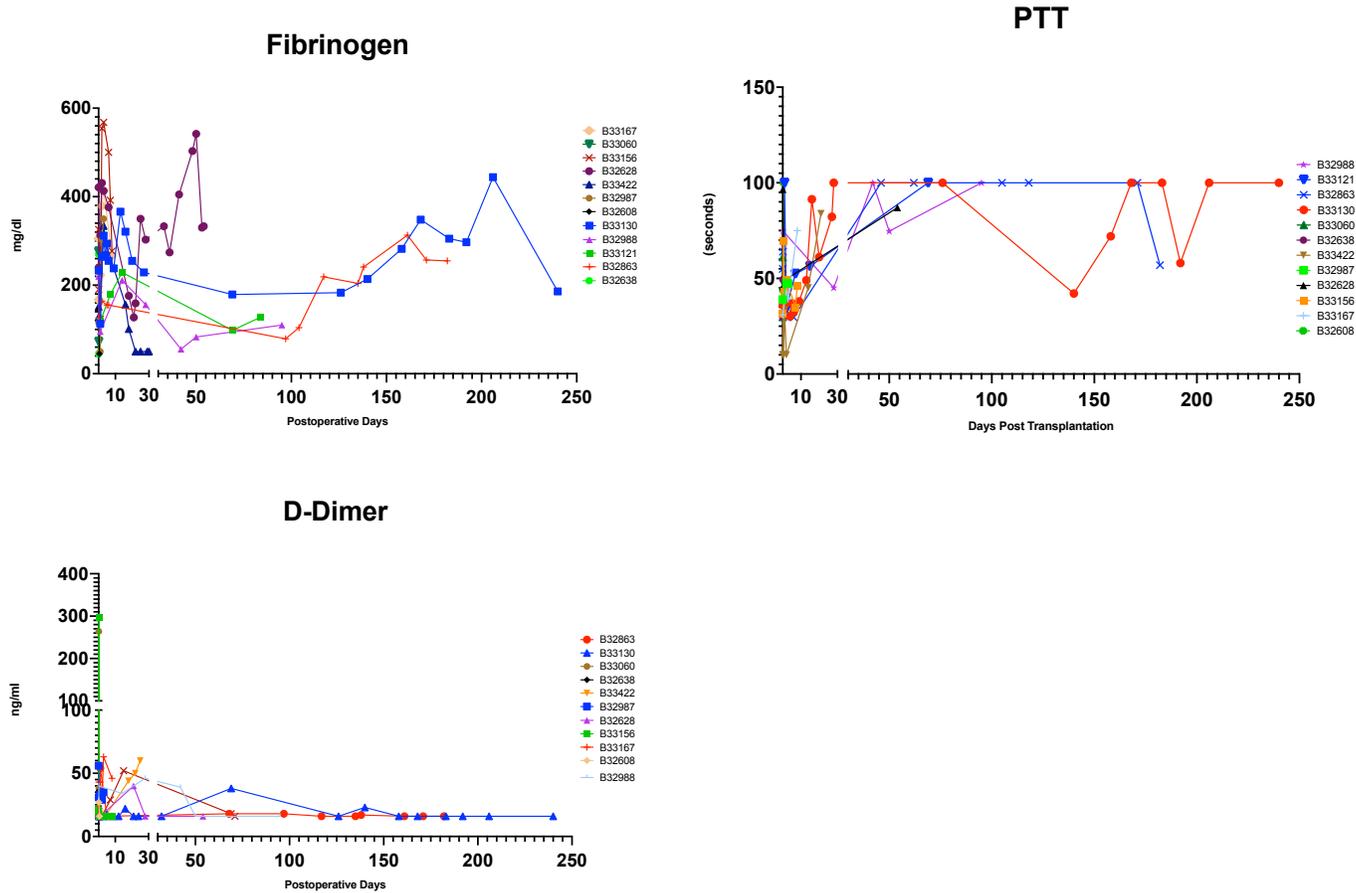
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Troponin



885 Supplementary Figure 8: Troponin I over time post-transplantation.

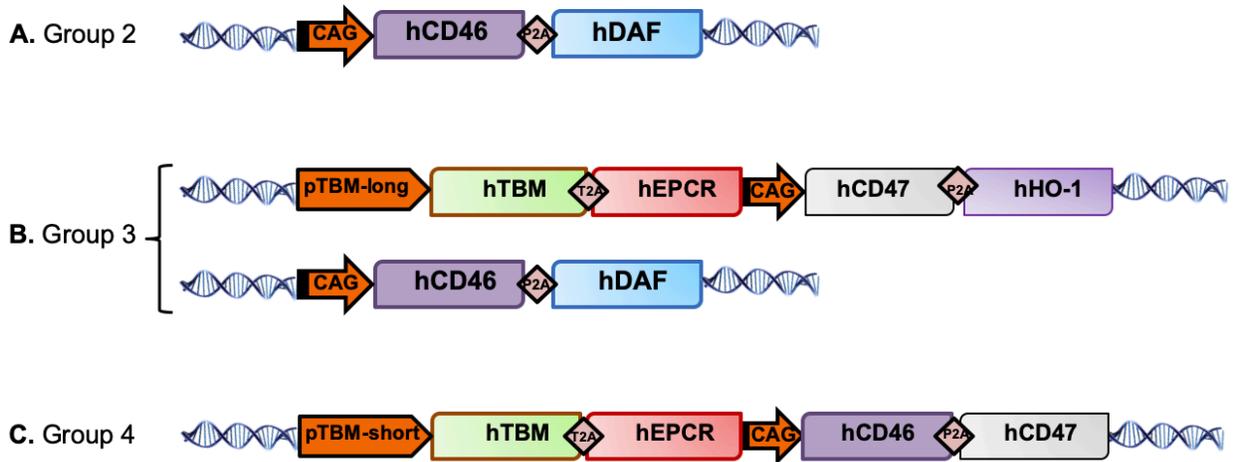
887



888 **Supplementary Figure 9: Coagulation Profile over time for all recipients. PTT=partial**
889 **thromboplastin time.**

890

Multi-gene constructs:



892 **Supplementary Figure 10: Transgene expression vectors in multi-gene pigs.** A) CAG-driven
 893 bi-cistronic vector used in Group 2, expressing human CD46 and DAF via a viral 2A sequence;
 894 B) Two vectors used in Group 3: a tetra-cistronic vector consisting of a bi-cistron expressing
 895 human TBM and EPCR, both driven by the porcine TBM promoter via a 2A sequence, coupled
 896 to a second, CAG-driven bi-cistron expressing human CD47 and HO1; Group 3 also contained
 897 the same bi-cistronic vector used in Group 2; C) a single tetra-cistronic vector was used in Group
 898 4, consisting of a human TBM and EPCR driven by the porcine TBM promoter, coupled to a
 899 CAG-driven bi-cistron expressing human CD46 and CD47.

Figures

IMMUNOSUPPRESSION

Induction:

- B cell Depletion
- α CD20 mAb
- T cell Depletion
- *Thymoglobulin*
- Complement Depletion
- *Beriner / CVF*
- Costimulation Blockade
- α CD40 mAb

Maintenance:

- Costimulation Blockade
- α CD40 mAb
- T & B Cell Suppression
- *MMF*
- Anti Inflammatory
- *Steroids*
 - *IL-6 inhibitor*
 - *TNF- α inhibitor*

Supportive:

- *Ganciclovir*
- *Antibiotics*
- *Heparin*

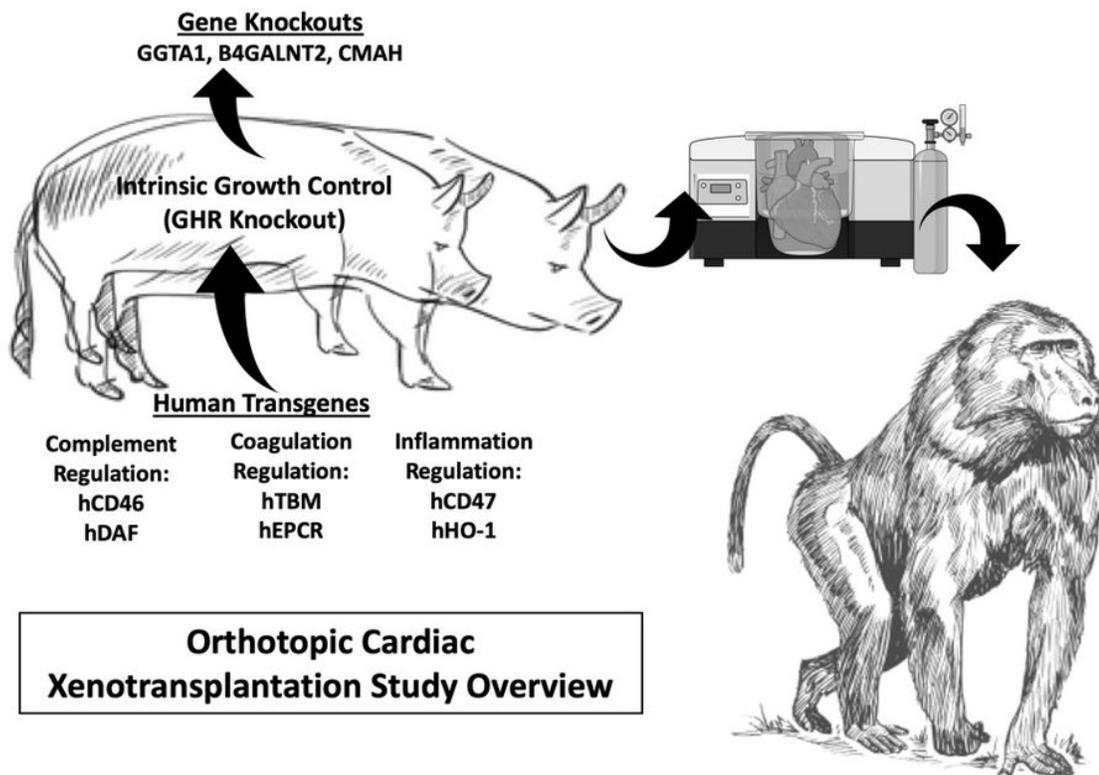


Figure 1

Study Overview. Pig-to-baboon xenotransplantation was performed with genetically modified pigs of various combinations. An anti-CD40 mAb-based regimen was used and xenograft survival was measured. After euthanasia, the graft was explanted and examined. Multimodal analyses were performed on both the graft and the recipient.

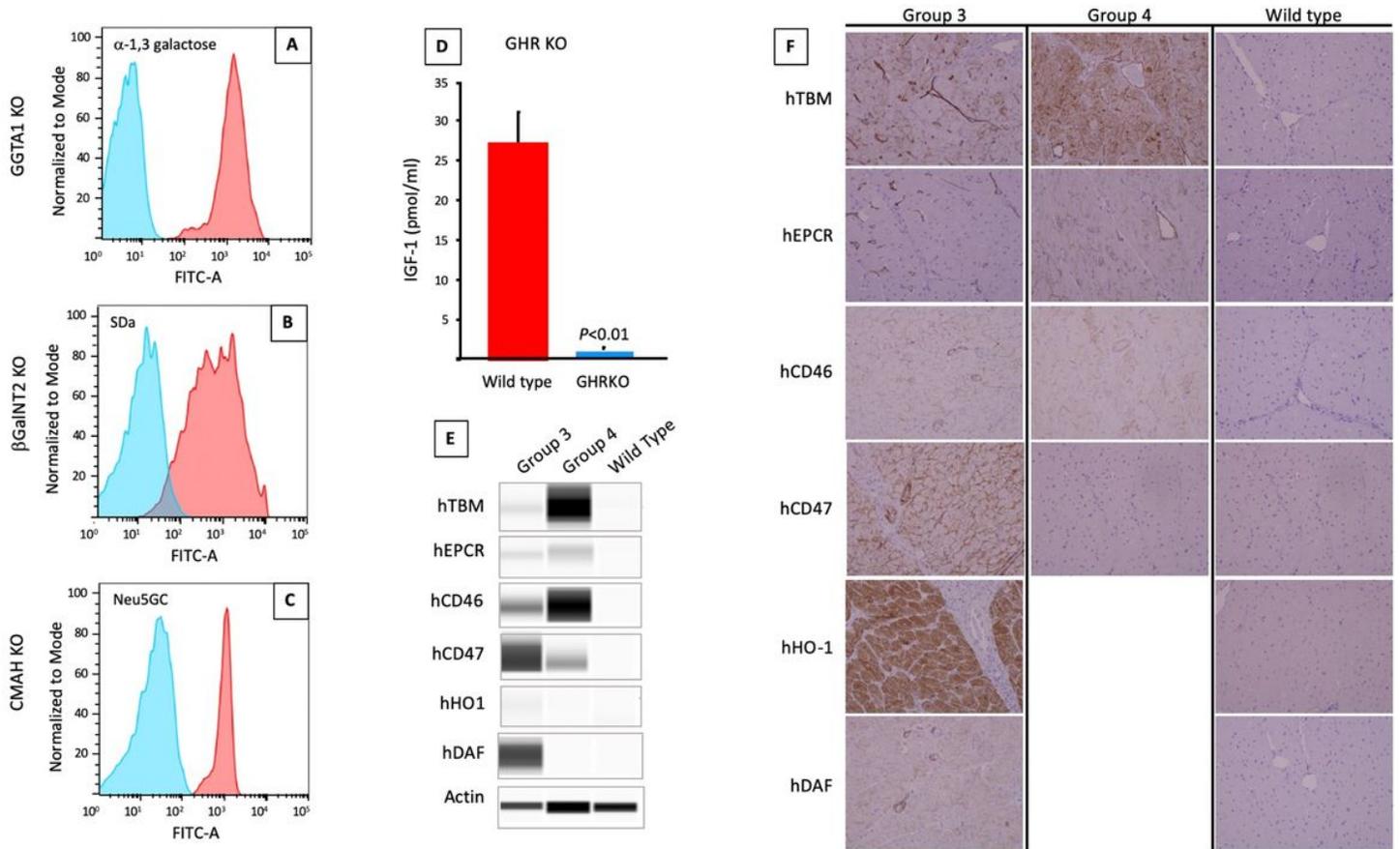


Figure 2

Xenograft phenotypes in long-term survivors. A, B, C: flow cytometry showing absence of α -1,3 galactose, SDa and Neu5Gc antigens after knockout of GGTA1, B4GalNT2, and CMAH, respectively in Group 2 pigs. Knockouts are shown in blue, wild types in red. CMAHKO (-/-) is shown in blue, whereas CMAHKO (+/-) has similar staining to wild type (not shown). D: Serum IGF-1 levels in GHR knockout donors in Group 4 (blue) vs. wild type pigs (red); E: western blot of human transgenes expression in tail biopsies of Group 3 and 4 donor pigs. F: IHC of explanted heart xenografts from Group 3 and 4 donors showing expression of human transgenes (x200).

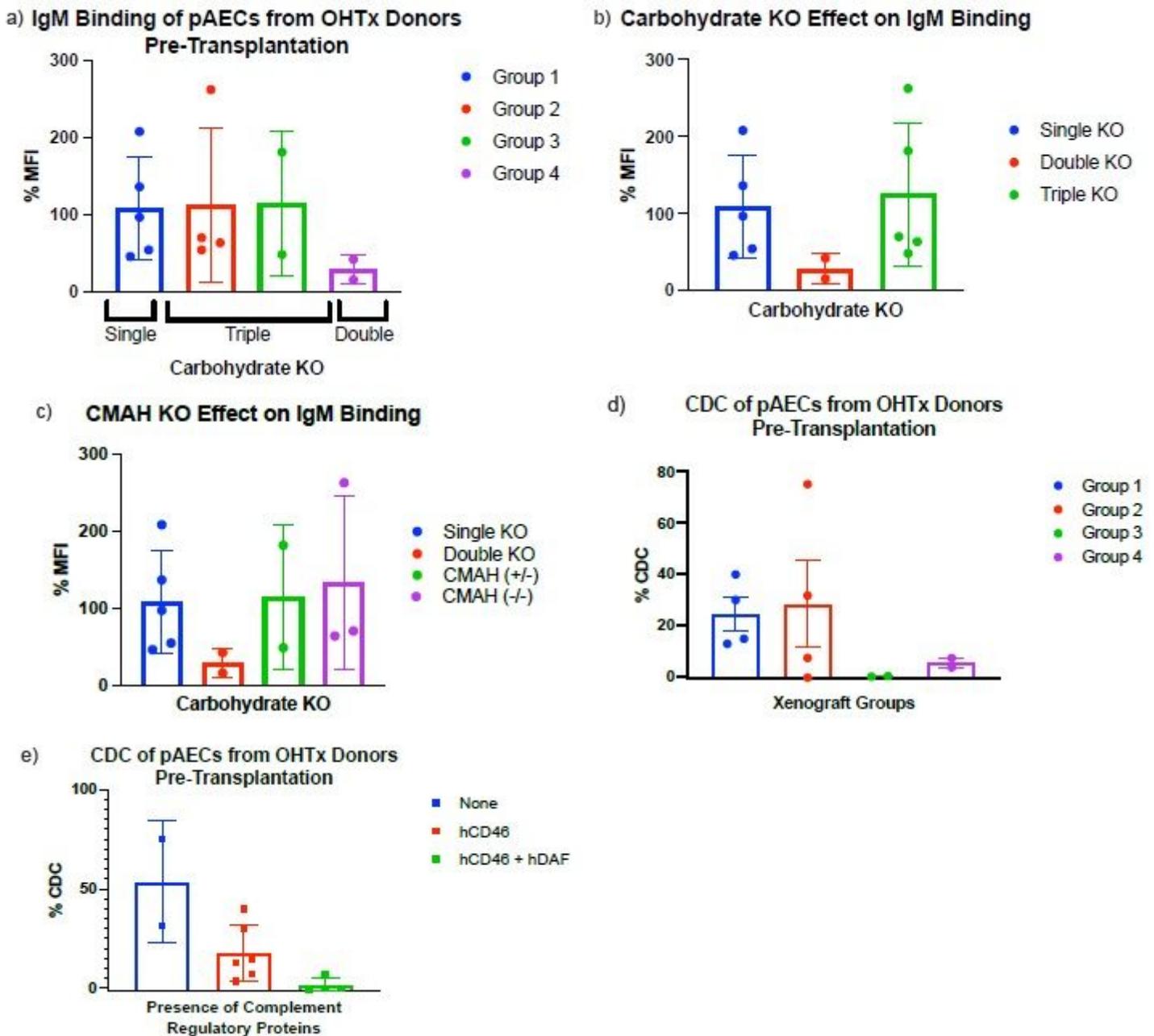


Figure 3

Characterization of multi-gene cardiac xenografts. a) IgM binding of pAECs from either xenograft donors or donor litter mates exposed to serum from Groups 1-4 recipients prior to OHTx. b) IgM binding from panel a, grouped by single, double or triple KO xenografts. c) IgM binding from a and b, grouped by CMAH (+/-) vs. (-/-). Single= GGTA1KO, double= GGTA1KO and B4GalNT2, triple=GGTA1KO, B4GalNT2 and CMAHKO. %MFI=MFI as a percent of control. CDC and IgM binding were performed as triplicates and presented here as an average of triplicates. d) complement dependent cytotoxicity (CDC) measured on pig aortic endothelial cells (pAECs) from either xenograft donors or donor litter mates exposed to serum from Group 1-4 recipients prior to orthotopic transplantation (OHTx). e) CDC from panel d, grouped by complement regulatory proteins hCD46 and hDAF.

Survival Based on Cardiac Xenograft Genetic Construct

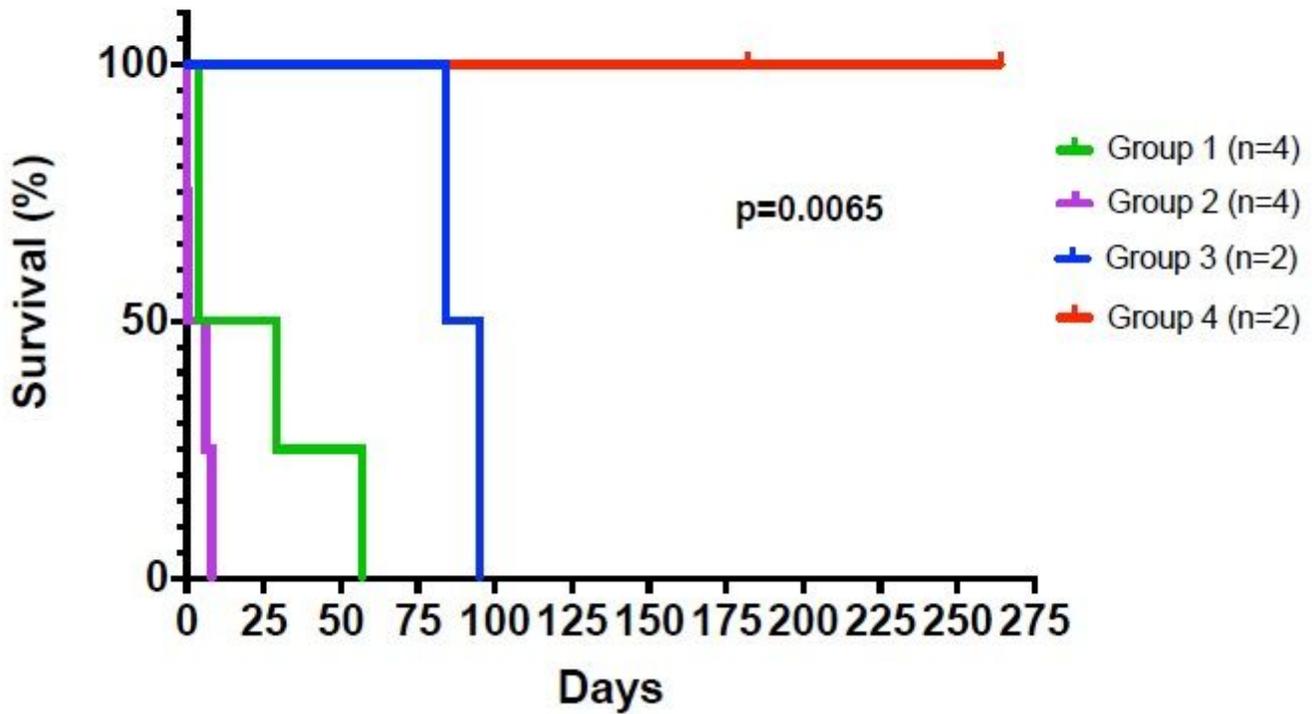


Figure 4

Recipient survival of Groups 1-4. Survival defined as time after transplantation before requiring euthanasia for deteriorating condition. Group 4 deaths censored for lack of evidence for immunologic rejection seen on histology, contributing to recipient deterioration. All other grafts contained histologic evidence of cardiac abnormalities contributing to deterioration requiring euthanasia. $P=0.0065$ by Log-rank (Mantel-cox) test, suggesting a significant difference in survival between Groups 1-4.



Figure 5

HRKO versus non-GHRKO xenografts. a) non-GHRKO grafts (Group 3) exhibited biventricular wall thickening. Here, B33121 survived 84 days prior to requiring euthanasia for symptoms of diastolic heart failure. b) GHRKO graft (Group 4) exhibiting normal histology without thickening at 182 days post-transplantation. This animal (B32863) was euthanized for weight loss as required by our institutional animal care committee, but was exhibiting excellent graft function.

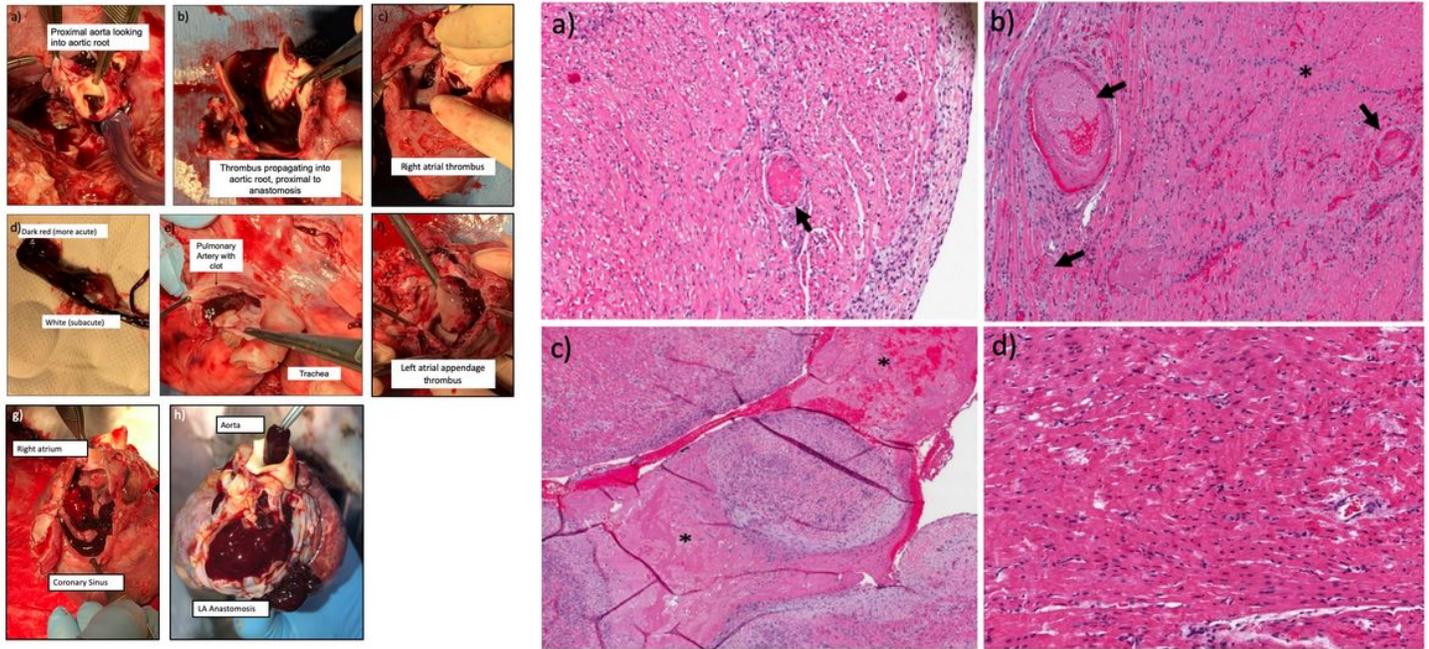


Figure 6

a: Thrombotic complications in Group 2 (xenografts without thromboregulatory proteins). panels a-f, showing B33167's xenograft at explantation. Consists of propagating thrombus of the aortic root (a, b and d), left and right atrial thrombus (c and f) and pulmonary artery (e). Pulmonary artery and left atrium appear to have acute and subacute components. intracardiac thrombosis of B33156 within coronary sinus (g), aorta and pulmonary vasculature (h). b: Histologic findings on H&E in Group 2 (xenografts without thromboregulatory proteins). a) B33167 right ventricle, 10x magnification. Fibrin thrombus (arrow) in a background of ischemic myocytes. b) B33156 apex, 10x magnification. Fibrin thrombi (arrows) and a region of ischemic myocytes (asterisk). c) B33156 left ventricle, 10x magnification. Note the intracardiac organizing thrombus (asterisk). d) B33060 right ventricle, 10x, note contraction bands and hyper eosinophilia, indicating an early necrotic process.

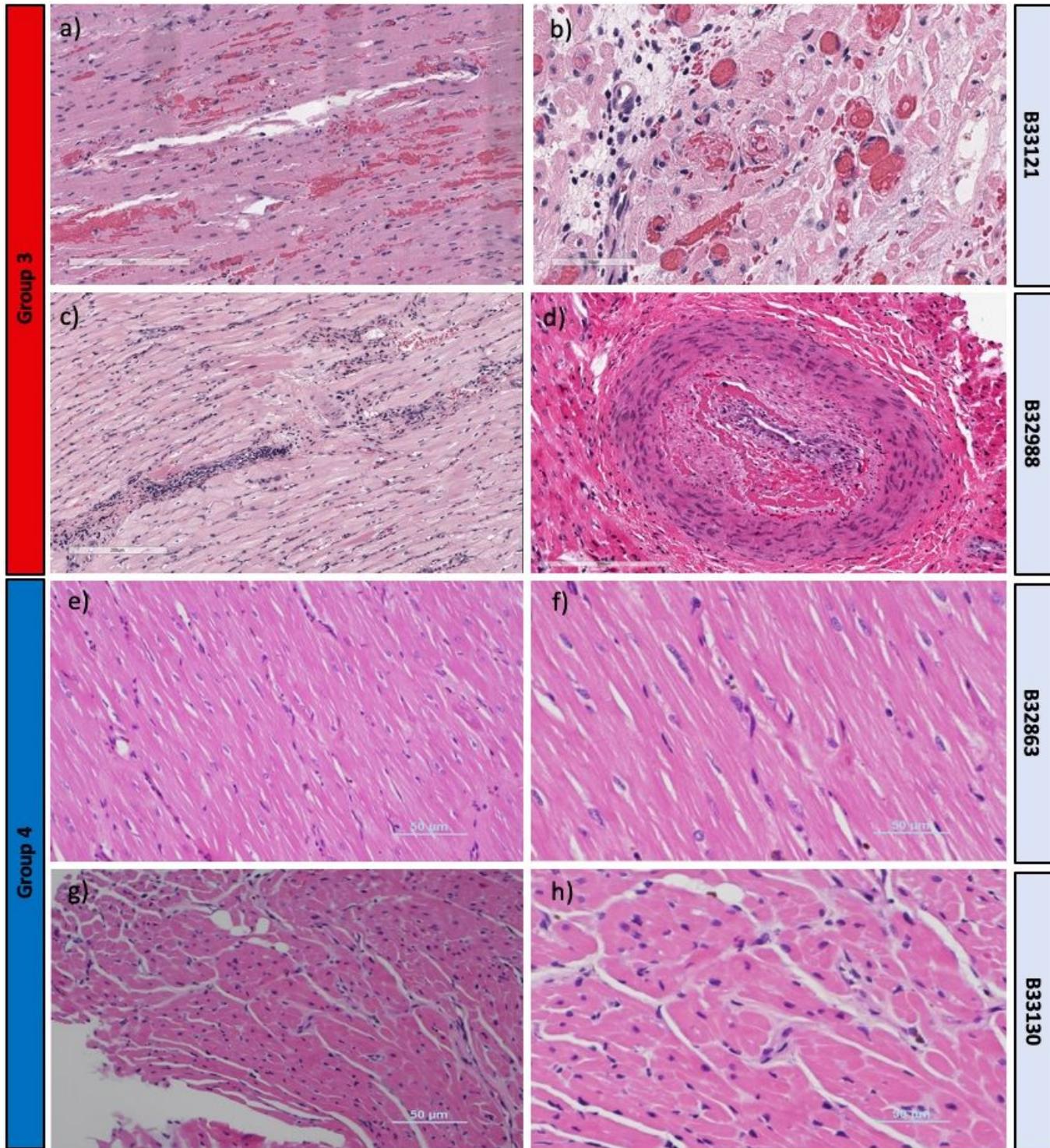


Figure 7

H&E in Long-term Survivors Between Groups 3 and 4. a) Group 3, B33121 LV (17X)- congestion, mild interstitial hemorrhage individual myofiber degeneration and necrosis, b) Group 3, B33121 RV (40X) veins with intravascular thrombosis c) Group 3, B32988 LV (14X) interstitial mononuclear lymphoplasmacytic inflammation, scant perivascular hemorrhage myodegeneration d) Group 3, B32988 RV (20X) organized thrombus in muscular artery, consistent with chronic xenograft vasculopathy e) Group 4, B32863 RV

(20X) normal myocardium without evidence of rejection f) Group 4, B32863 RV (20X) normal myocardium without evidence of rejection g) Group 4, B33130 RV (20X), endomyocardial biopsy, normal myocardium without evidence of rejection h) Group 4, B33130 RV (40X), endomyocardial biopsy, normal myocardium without evidence of rejection

Graft C4d, IgM and IgG Quantification

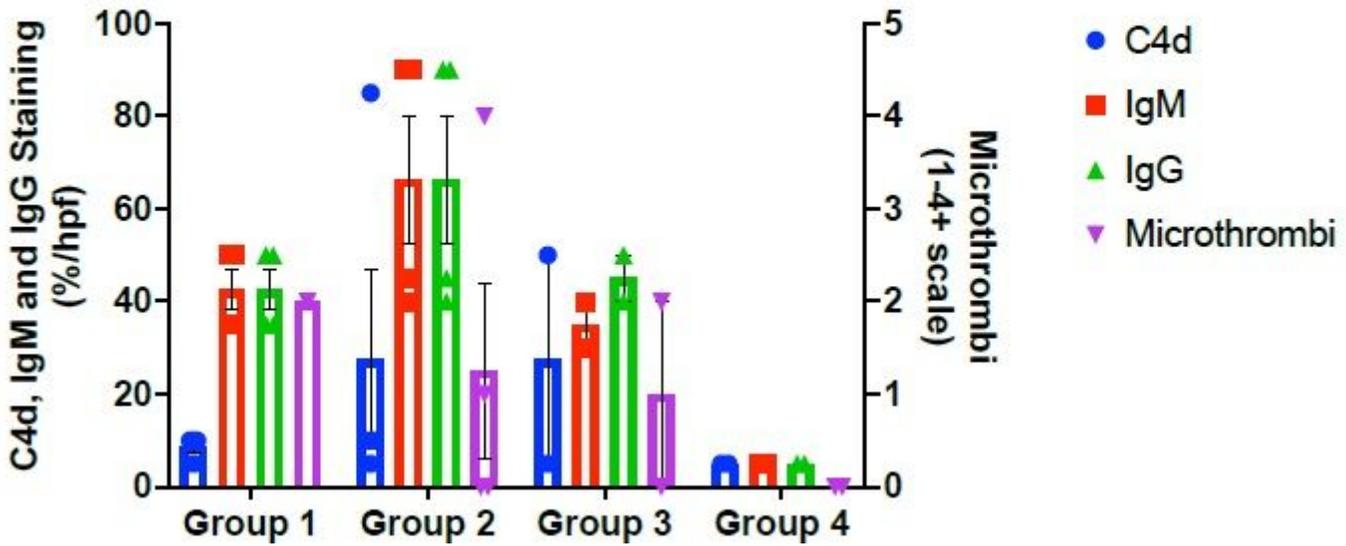


Figure 8

IHC quantification and microthrombi. Presented as averages within each group High power field was with 20x magnification, resulting in approximately an 870 micron field. Microthrombi were graded on a scale of 0-4+, where 1+ = >0 capillaries stained; 2+ = >1-5 capillaries stained; 3+ = >5-10 capillaries stained, 4+ = >10 capillaries stained, per high power field.

CD20+ Cells in Long-term Survivors

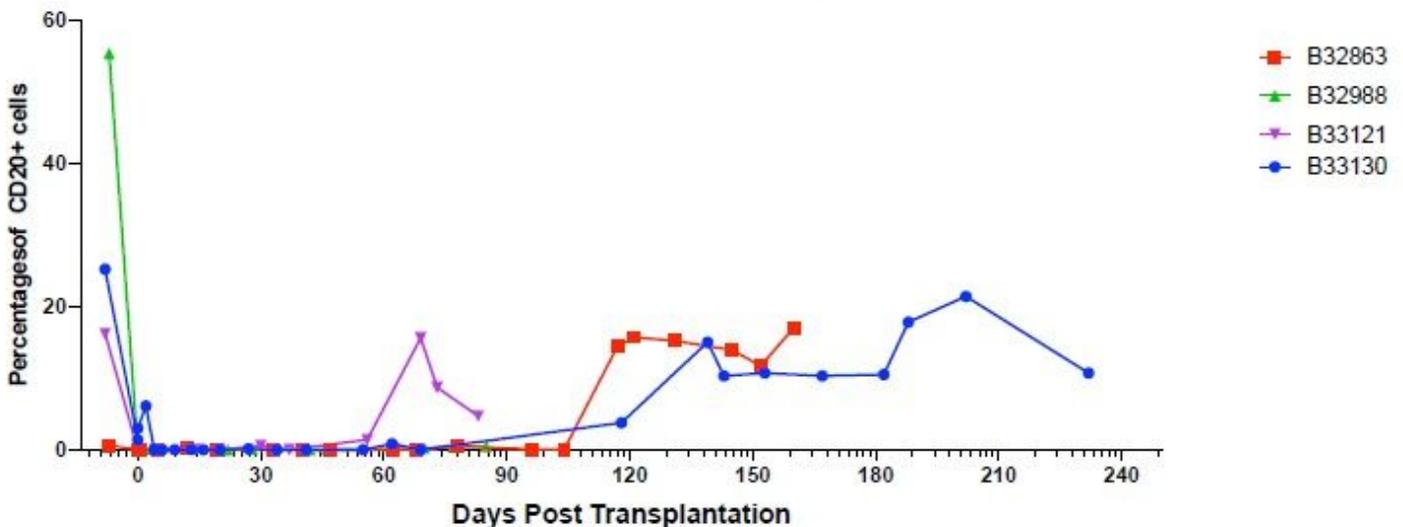


Figure 9

CD20+ cells in long-term survivors. B-cell depletion is adequate after induction therapy, followed by reconstitution by 60-90 days after transplantation. Percent CD20+ cells calculated as a proportion of total CD3+ cells. Group 3=B32988 and B33121; Group 4=B32863 and B33130.

Post-transplantation Cardiac Xenograft Growth

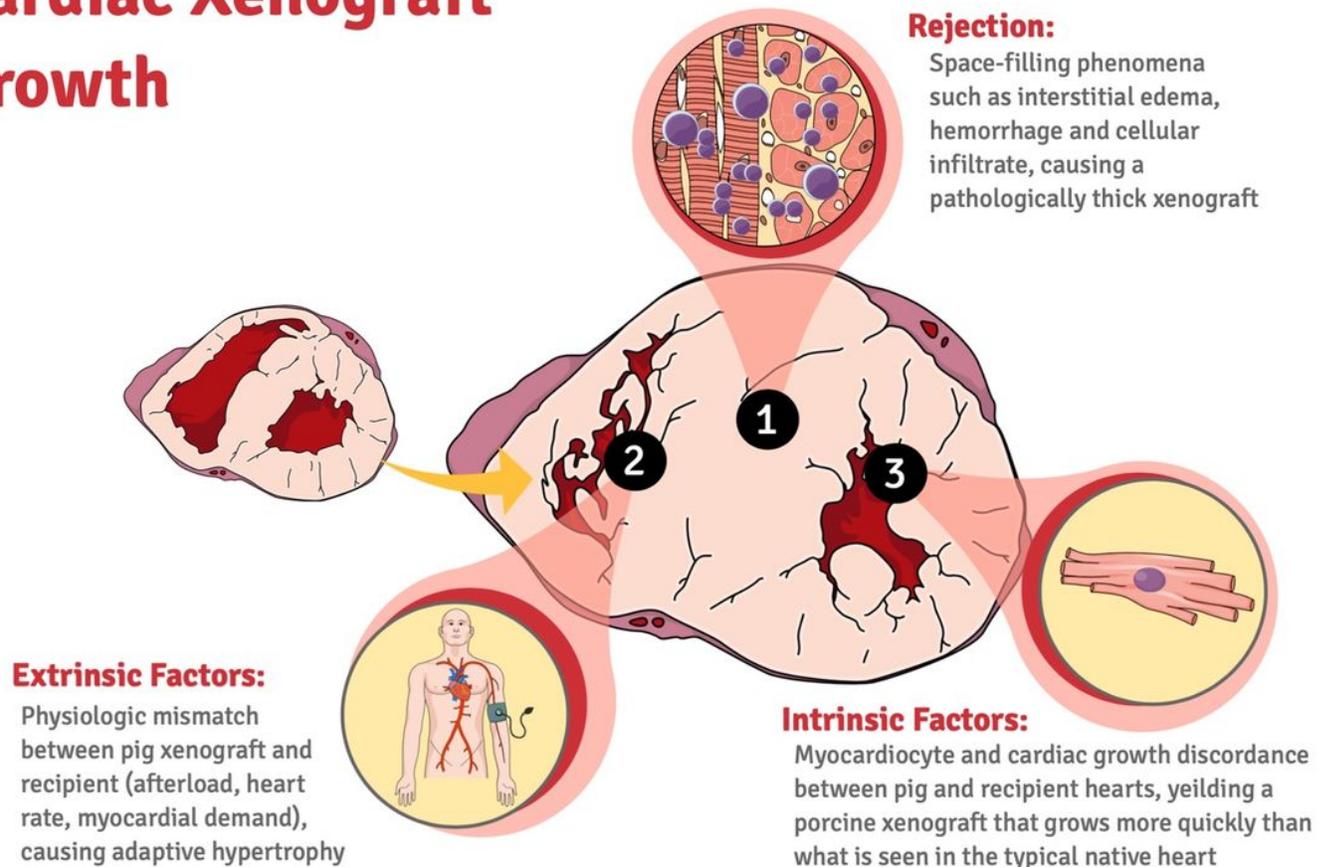


Figure 10

Potential mechanisms of post-transplantation cardiac growth in xenotransplantation. Post-transplantation cardiac xenograft growth is likely caused by both intrinsic and extrinsic factors, which includes rejection, intrinsic factors such as native xenograft growth. Other potential causes of growth include extrinsic factors such as physiologic mismatch leading to adaptive hypertrophy but was not observed in this study.

Supplementary Files

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