

# OH-Functionalized N-Doped Graphene Quantum Dots as an Efficient Metal-Free Catalysts for Oxygen Reduction Reaction in PEMFCs

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## Research Article

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# Abstract

Utilizing the density functional theory (DFT) method, we investigated the catalytic activity of N-doped graphene quantum dots (NGQDs) with nitrogen (N) atoms strategically doped at various active sites on the surface. We focused on exploring their efficiency in the  $2e^-$  and  $4e^-$  reduction pathways for oxygen reduction reaction (ORR). By introducing N-doping at the central benzene ring of carbon-based materials, we observed the formation of localized  $\pi$ -orbitals, significantly enhancing their electrocatalytic activity. In comparison to other reported catalysts, our N-doped GQDs metal-free electrocatalyst displayed remarkable adsorption capability. Furthermore, we introduced the hydroxyl group (OH) into the functionalized N-doped GQDs, which further improved electrocatalytic performance. This enhancement was attributed to the decreased HOMO-LUMO energy gap and increased chemical reactivity. The calculated free energy ( $\Delta G$ ) values for each elementary reaction step in the  $4e^-$  reduction pathway were highly favorable and indicated the feasibility of the process. Our findings indicate that N-doped GQDs exhibit exceptional activity for the ORR, positioning them as promising carbon-based metal-free electrocatalysts. Consequently, they hold significant potential as an alternative to noble metal-based catalysts in proton exchange membrane fuel cells (PEMFC) and metal-air batteries.

## Introduction

The PEMFC is an electrochemical energy conversion device that efficiently converts chemical energy into electrical energy while generating heat and water as byproducts [1, 2] [3]. PEMFCs have garnered significant attention as promising and sustainable clean energy solutions due to their notable advantages, including high conversion efficiency, environmental friendliness, and reliability [4, 5]. The PEMFC consists of two crucial electrodes: the anode, where the hydrogen oxidation reaction (HOR) occurs, and the oxygen reduction reaction (ORR) in the cathode. ORR, being the rate-limiting process in fuel cells, can follow two reaction pathways: the two-electron ( $2e^-$ ) and four-electron ( $4e^-$ ) pathways [6, 7]. For fuel cell applications, the  $4e^-$  proton/electron transfer process in the ORR is preferred since it directly converts oxygen molecules ( $O_2$ ) into water molecules ( $H_2O$ ), offering superior conversion efficiency [8, 9]. However, the ORR process at the cathode is inherently slow, posing a significant challenge for fuel cell technology [10]. Although platinum (Pt) or Pt-based alloys are commonly used catalysts to expedite the reaction and are highly efficient [11, 12], their high cost hinders large-scale commercial applications [7, 13, 14]. Consequently, researchers are actively exploring non-precious metal catalysts (NPMCs) with excellent electrocatalytic performance for the ORR process [15, 16]. Nitrogen-doped (N-doped) carbon materials, including graphene (Gra), graphene quantum dots (GQDs), and carbon nanotubes (CNTs), have emerged as efficient metal-free electrocatalysts with high conversion efficiency when utilizing low-cost and abundant materials [17–23]. Therefore, developing NPMCs exhibiting impressive electrocatalytic performance for the ORR process is crucial for promoting fuel cell advancements [1, 24–28].

Recent studies have identified metal-free catalysts, such as N-doped GQDs, that exhibit promising electrocatalytic activity [23, 29–37]. GQDs possess several advantages as catalysts, including a high surface area-to-volume ratio, providing numerous active sites for catalytic reactions. Additionally, GQDs possess a high electron density and contain multiple edge sites, augmenting their catalytic activity. Moreover, GQDs display tunable energy levels attributable to the quantum confinement effect, making them appealing for various chemical reactions. The surface chemistry of GQDs can be modified by introducing different functional groups, such as carboxyl, amino, or hydroxyl groups, which further enhance their catalytic activity [38]. This study focuses on N-doping and OH functionalization of GQDs to improve their catalytic activity for ORR applications, employing state-of-the-art density functional theory (DFT). Furthermore, we systematically investigate the effects of OH-functionalized N-doped GQDs (OH-NGQDs) on thermochemical properties such as energy barriers ( $E_b$ ), reaction energies ( $E_r$ ), free energies ( $\Delta G$ ), adsorption strengths of intermediate ORR species, and overpotential values. The theoretical findings demonstrate that OH-functionalized N-doped graphene quantum dots (OH-NGQDs) are highly effective metal-free catalysts, facilitating the oxygen reduction reaction (ORR) process.

## Computational details

The molecular formula of GQDs is  $C_{24}H_{12}$ , and to create N-doped GQDs ( $C_{23}NH_{12}$  (NGQDs)), the N-atom replaces a carbon (C) atom at the central benzene ring of the graphene structure. Functionalizing the edge site with OH results in an OH-NGQDs surface. The N-doping and OH functionalization significantly enhance the catalytic properties of GQDs [25].

All the geometry optimization of the molecular species, such as the reactants (R), intermediates (I), transition states (TS), and the final product (P) in the oxygen reduction reaction (ORR) mechanism using density functional theory (DFT) for all the reaction steps of the ORR mechanism with hybrid functional B3LYP [39] along with the 6-31G\*\* [40] basis set is employed. All the R, I, and P geometries have only real frequencies, whereas the TS has only one imaginary frequency, which is the optimized geometry using the Berny algorithm. Furthermore, to verify whether the identified TS connects the designated R and P, intrinsic reaction coordinate (IRC) calculations for all R and P were performed at the same level of theory. All electronic structure calculations are done by Gaussian09 software [41].

## Results and Discussion

Figure 1 depicts the relaxed structures of three different types of graphene quantum dots (GQDs): pure GQDs, N-doped GQDs (NGQDs), and OH-functionalized N-doped GQDs (OH-NGQDs). The pure GQDs structure consists of seven benzene rings comprising 24 carbon (C) atoms, with hydrogen (H) atoms passivated at the edge sites. The C-C bond length of 1.43 Å, while the C = C and C-H bond lengths are 1.43 Å and 1.09 Å. Upon replacing the C-atom with N-atom in NGQDs, the C-N bond length undergoes a variation within the range of 1.41 Å [42–44].

The OH-NGQDs, with the molecular formula  $C_{23}N(OH)_{12}$ , adopt a flat and planar structure consisting of seven interconnected benzene rings. The supplementary information (**Figure S1**) illustrates the structure of OH-NGQDs in various configurations with different positions for the N-atom. The quaternary position exhibits a negative frequency among these configurations, indicating its instability. Additionally, the edge OH-position disrupts the OH group, rendering it unsuitable for the oxygen reduction reaction (ORR) process. Consequently, the structure with N-atom positioned at the center is found to be the most stable configuration.

The formation energy ( $E_{\text{form}}$ ) is calculated using the following equations [45, 46]:

$$E_{\text{form}} = E_{\text{NGQDs}} + E_{\text{C}} - (E_{\text{GQDs}} + \mu_{\text{N}}) \quad (1)$$

where  $E_{\text{NGQDs}}$  and  $E_{\text{GQDs}}$  indicate the total energies of NGQDs and the without N-doped GQDs, respectively.  $E_{\text{C}}$  and  $\mu_{\text{N}}$  refer to the energy of the single C-atom in the GQDs and the chemical potential of a single N-atom in the  $N_2$  molecule. The energy required for the NGQDs value is 0.59 eV and the  $E_{\text{form}}$  indicates an energetically favorable structure. This suggests that NGQDs is energetically feasible from the research viewpoint.

## Frontier Molecular Orbitals

Frontier molecular orbital (FMO) energies of the highest occupied molecular orbital ( $E_{\text{HOMO}}$ ), lowest unoccupied molecular orbital ( $E_{\text{LUMO}}$ ) and HOMO-LUMO energy gap ( $E_{\text{H-L}}$ ) [47, 48] for OH-NGQDs. The energy gap value of removing and adding an electron in a surface can be determined by ionization potential (IP) and electron affinity (EA). Furthermore, the IP is directly related to the HOMO energy value as  $IP = -E_{\text{HOMO}}$ , and the EA can be estimated from the LUMO energy value as  $EA = -E_{\text{LUMO}}$ . The IP and EA values are important in examining the electrochemical reactivity of the considered systems. Furthermore, the chemical hardness ( $\eta$ ) was calculated ( $\eta = IP - EA/2$ ) using Koopman's theorem as depicted in Table 1.

Table 1

The HOMO ( $E_{\text{HOMO}}$ ) and LUMO ( $E_{\text{LUMO}}$ ) energy, HOMO-LUMO energy gap ( $E_{\text{H-L}}$ ), ionization potential (IP), electron affinity (EA), and chemical hardness ( $\eta$ ) for the GQDs, NGQDs and OH-NGQDs catalysts /eV.

Structure	$E_{\text{HOMO}}$	$E_{\text{LUMO}}$	$E_{\text{H-L}}$	IP	EA	$\eta$
GQDs	-5.46	-1.42	4.04	5.46	1.42	2.02
NGQDs	-3.08	-1.41	1.67	3.08	1.41	0.84
OH-NGQDs	-2.70	-1.06	1.65	2.70	1.06	0.82

The  $E_{\text{H-L}}$  gap for pure GQDs is 4.04 eV in good agreement with the similar geometry of GQDs (4.43 eV) [49] whereas in the case of NGQDs and OH-NGQDs, the  $E_{\text{H-L}}$  gap values are 1.67 and 1.65 eV. Therefore, the OH-NGQD catalyst has a small  $E_{\text{H-L}}$  gap, suggesting the higher reactivity of the system. The lower

chemical hardness value for OH-NGQDs shows that OH-functionalization significantly increases the chemical reactivity of the system [50]. Based on the other catalyst, the OH-NGQDs catalyst moved only further studies due to the reactivity parameters.

## Hydroxyl group Functionalized N-doped GQDs

The Supplementary Information includes optimized structures for the metal-free catalyst OH-NGQDs in the presence of various ORR species, including  $*OO$ ,  $*O + *O$ ,  $*O + *OH$ ,  $*OH + *OH$ ,  $*H_2O_2$ ,  $*OOH$ ,  $*O$ ,  $*OH$  and  $H_2O$  (\*denoting the adsorbed on the catalyst). The structures are provided for the reactants (R), transition states (TS), intermediates (I), and the final product (P). These optimized structures are presented in **Figure S2 to S6**. The reaction scheme illustrating the computed reaction mechanisms can be found in Fig. 2.

Table 2  
Thermochemical properties of the OH-NGQDs catalyst for the ORR process using the B3LYP level of theory /eV.

Structure	Four electron reduction pathway					
	Associative Mechanism - Path 1			Dissociative Mechanism		
	$\Delta E$	$\Delta H$	$\Delta G$	$\Delta E$	$\Delta H$	$\Delta G$
R1	0	0	0	0	0	0
TS1	a	a	a	0.23	0.14	0.40
I1	-0.06	-0.07	-0.04	0.18	0.11	0.34
R2	0	0	0	0	0	0
TS2	1.29	1.29	1.29	1.15	1.15	1.15
I2	-0.99	-0.96	-1.03	-1.37	-1.35	-1.39
R3	0	0	0	0	0	0
TS3	0.74	0.76	0.72	1.40	1.41	1.40
I3	-1.09	-1.05	-1.16	-0.25	-0.20	-0.34
R4	0	0	0	0	0	0
TS4	0.64	0.63	0.65	0.64	0.63	0.65
I4	-1.22	-1.21	-1.21	-1.22	-1.21	-1.21
R5	0	0	0	0	0	0
TS5	0.97	0.98	0.95	0.97	0.98	0.95
P1	-1.36	-1.26	-1.52	-1.36	-1.26	-1.52

(a) Indicates could not locate the TS

Thermochemical parameters for both the proposed  $4e^-$  reduction pathway involving the associative mechanism (path-1-2-3) and the dissociative mechanism, as well as the  $2e^-$  reduction pathway on the OH-NGQDs catalyst have been determined. **Table S1** and **S2** present the obtained results. It is observed that the free energy limit is higher for the associative mechanism path-2-3, indicating that this pathway is less favorable. Furthermore, the  $2e^-$  reduction pathway results suggest that the ORR reaction process is not applicable to the OH-NGQDs catalyst. As a result, the most favorable pathways for the ORR process on the OH-NGQDs catalyst are the associative mechanism path-1 and the dissociative mechanism are given in Table 2. Consequently, only these reaction pathways were investigated in this study. The relative energy profile of the ORR steps is depicted in **Figure S7** and the validity of each elementary reaction step is confirmed through the intrinsic reaction coordinate (IRC) as shown in **Figure S8** (the graph displays the \*OOH and \*O + \*OH species).

# Four Electron Reduction Pathway

The reaction mechanism for the  $4e^-$  reduction pathway in the OH-NGQDs catalyst can be described as follows. Two possible reaction steps are observed upon the adsorption of an oxygen molecule ( $O_2$ ). The first reaction step follows an associative mechanism [51, 52], while the second reaction step proceeds via a dissociative mechanism [33, 53]. In the associative mechanism, each elementary reaction step is sequentially followed.

## Associative Mechanism Path-1 (AMP-1)

The initial step involves the adsorption of the  $O_2$  molecule on various positions of the OH-NGQDs catalyst for the ORR process (as shown in **Figure S9**). Subsequently, a more stable configuration is identified. The first reaction step (R1) involves the interaction of the  $O_2$  molecule with the central benzene ring C-atom near the doped N-atom. The bond length of  $O_2$  molecule (O-O) is elongated by 1.25 Å with the catalyst compared to 1.22 Å without the catalyst. This elongation allows for easy diffusion of the  $O_2$  molecule, making it favorable for the ORR mechanism. The reaction energy ( $\Delta E$ ) is slightly exothermic. In the intermediate step (I1), the free energy ( $\Delta G$ ) for the OH-NGQDs catalyst is equal to that of mono-Co-PPy (-0.03 eV) [54], indicating that the reactions are spontaneous. Therefore, the oxygenation process is energetically favorable and feasible on the OH-NGQDs catalyst.

The addition of hydrogen (H) to the adsorbed  $*O_2$  molecule on the OH-NGQDs catalyst results in the formation of  $*OOH$  molecule after relaxation. In this step, TS2 is identified with an energy barrier of 1.29 eV on the OH-NGQDs surface which is lower than that of PYRI-SUMA (1.42 eV) [34]. The reaction energy of the OH-NGQDs catalyst is highly exothermic compared to Sn-Gra (-0.69 eV) [55], FePc (-0.95 eV) [56], and  $RhN_2$ -GN (-0.74 eV) [51]. In intermediate step I2, the reaction is spontaneous as  $\Delta G$  is negative (-1.03 eV) compared to Co-PPy (-0.46 eV) [54],  $Fe-C_{24}N_{24}$  (-0.36 eV) [27], and CN-Sheet (-1.02 eV) [57]. The second H-atom interacts with the  $*OOH$  molecule adsorbed on the OH-NGQDs catalyst, forming the first water ( $H_2O$ ) molecule. The minimum energy barrier of TS3 on the OH-NGQDs catalyst (0.74 eV) is lower than that of P-Gra (0.86 eV) [58]. The  $\Delta E$  is highly exothermic (-1.09 eV) compared to Pt(111) (-0.14 eV) [52] and Pt/Ni (-0.60 eV) [52]. In intermediate step I3, the  $\Delta G$  value is highly negative for the formation of the first  $H_2O$  molecule compared to CoO(100) (-0.60 eV) [59]. Thus, the formation of the  $H_2O$  molecule is energetically more favorable on the OH-NGQDs catalyst.

Next, the hydrogenation of the  $*O$  atom adsorbed on the OH-NGQDs catalyst leads to the formation of  $*OH$  molecule. The minimum energy barrier of TS4 is 0.64 eV due to the strong interaction of  $*OH$  with the C-atom near the N-atom, in comparison to Pt(111) (0.79 eV) [52],  $RhN_2$ -GN (1.08 eV) [51], and  $FeN_2$ -Gra (1.08 eV) [60]. The  $\Delta E$  of the OH-NGQDs surface is more exothermic than that of Pt(111) (-0.14 eV) [52], Pt/Ni (-0.66 eV) [52], and FePc (-0.66 eV) [56]. In intermediate step I4, the  $\Delta G$  value is more negative for the OH-NGQDs catalyst compared to  $C_{23}H_{12}N$  (-1.06 eV) [61],  $Fe-C_{24}N_{24}$  (-0.89 eV) [27], and Co-PPy (-1.18 eV) [54], indicating that this reaction is feasible and energetically favorable. The final step involves

the interaction of the H-atom with the OH-NGQDs catalyst, forming a second H<sub>2</sub>O molecule. The energy barrier of TS5 (0.97 eV) is lower than that of SiC-1 (1.05 eV) [62], and the product H<sub>2</sub>O molecule weakly interacts with the C-atom near the hydroxyl group (2.73 Å) [63]. ΔE is highly exothermic for the OH-NGQDs surface compared to BGNR (-1.23 eV) [64], Pt(111) (-0.70 eV) [52], and FePc (-0.57 eV) [56]. In the final product step (P1), ΔG is more negative for the OH-NGQDs surface than for C<sub>23</sub>H<sub>12</sub>N (-0.44 eV) [61], CN sheet (-0.32 eV) [57], Fe-C<sub>24</sub>N<sub>24</sub> (-0.73 eV) [27], and Co-PPy (-0.68 eV) [54]. These results demonstrate that the formation of the second H<sub>2</sub>O molecule is more feasible and energetically favorable on the OH-NGQDs catalyst.

## Dissociative Mechanism

The dissociation of the adsorbed O<sub>2</sub> molecule on the OH-NGQDs catalyst has also been considered. In the initial reactant step (R1), the O<sub>2</sub> molecule interacts with the C-atom near the N-atom of the OH-NGQDs surface, resulting in a C-O bond length of 3.08 Å. The TS1 exhibits a minimum energy barrier for the OH-NGQDs catalyst (0.23 eV) compared to SiC-1 (0.29 eV) [62] due to the strong interaction between the O-atom and the C-atom near the OH group. The intermediate (I1) involves splitting \*O + \*O atoms bonded with the C-atoms adsorbed on the OH-NGQDs catalyst with bond lengths of 1.36 and 1.43 Å. The initial reaction of O<sub>2</sub> dissociation is endothermic with ΔE of 0.18 eV, similar to the result reported for CoO(111) (0.32 eV) [59], indicating that the initial reaction is energetically unfavorable.

The hydrogenation of the adsorbed \*O + \*O atom leads to the formation of \*O + \*OH. In the reactant step (R2), the \*O + \*O atom interacts with the C-atoms with bond lengths of 1.43 and 1.45 Å (C-O), and the H-atom adds to the adsorbed C-atom of the OH-NGQDs catalyst with a bond length of 1.11 Å (C-H). The TS2 is identified with an energy barrier of 1.15 eV. In the intermediate step (I2), the H-atom forms a bond with the adsorbed O-atom, resulting in the formation of \*OH adsorbed on a C-atom with a bond length of 1.43 Å (C-O) while another O-atom interacts with the C-atom near the N-atom with a bond length of 1.44 Å (C-O). This reaction is exothermic with a ΔE of -1.37 eV equal to P-Gra (-1.37 eV) [58], indicating its energetic favorability.

Introducing a second H-atom into the OH-NGQDs catalyst occurs in the reactant step (R3). The adsorbed \*OH (1.44 Å) and \*O atoms (1.45 and 1.46 Å) interact with the C-atoms near the N-atom while the H-atom interacts with the C-atom of the OH-NGQDs catalyst (C-H) with a bond length of 1.11 Å. The energy barrier of TS3 for the system is 1.40 eV, suggesting that the OH-NGQDs catalyst has lower catalytic activity and limited potential applications. In the intermediate step (I3), the H-atom attached to \*O + \*OH leads to the formation of the first H<sub>2</sub>O molecule, which interacts with the O-atom connected to the N-atom with a bond length of 1.82 Å, and the O-atom bonded with N-atom with a bond length of 1.40 Å. This reaction is exothermic with a ΔE of -0.25 eV. The last two reaction steps, \*O to \*OH and \*OH to H<sub>2</sub>O, follow the associative mechanism path-1 for the OH-NGQDs catalyst.

By comparing the energy barrier and reaction energy values of the OH-NGQDs catalyst to those of the other referenced catalysts, it is evident that OH-NGQDs exhibit efficient catalytic performance for the ORR



reaction. The relative free energy diagram for the OH-NGQDs catalyst is depicted in Fig. 3, providing a visual representation of the energy profile. Moreover, the free energy for the complete  $4e^-$  reduction pathway (-4.92 eV) [60], the OH-NGQDs catalyst of AMP-1 (-4.92 eV), and the dissociative mechanism (-4.46 eV) indicating an enhanced catalytic activity of the OH-NGQDs surface. These findings further support the notion that OH-NGQDs are a highly effective catalyst for facilitating the ORR process. Overall, the results obtained for the OH-NGQDs catalyst demonstrate excellent catalytic activity, highlighting its potential as a promising candidate for promoting the ORR reaction.

## Adsorption Energy

The adsorption energies of intermediates ( $*OO$ ,  $*O + *O$ ,  $*O + *OH$ ,  $*OOH$ ,  $*O$ ,  $*OH$ ) and the final product ( $H_2O$ ) of the ORR process have been calculated [65] and are presented in Table 3. The results show that the adsorption of  $*O_2$  molecules on AMP-1 and the adsorption of  $*O + *O$  atoms in the dissociative mechanism are well-anchored on the C-atom near the N-atom. The  $*O + *OH$  species are found to interact with the C-atom near the N-atom. In the case of  $*OOH$  interaction with the C-atom, the adsorption energy for AMP-1 is -0.79 eV. The first  $H_2O$  molecule adsorbs on the O-atoms while the  $*O$  atom forms a strong bond with the N-atom. The adsorption energy for  $*OH$  interaction with AMP-1, bonded to the C-atom near the N-atom, is -2.03 eV. Finally, the second  $H_2O$  molecule forms the final product on AMP-1 with adsorption energy on the C-atom neighboring the OH group.

A comparison of our adsorption energy results with previous reports [25, 58, 66] reveals enhanced adsorption for the  $4e^-$  reduction pathway on AMP-1. The initial step, where  $*O_2$  molecules strongly interact with the OH-NGQDs catalyst, shows a strong adsorption energy compared to other catalysts [25, 58, 66]. In the final step,  $H_2O$  is physisorbed on the OH-NGQD catalyst while the  $H_2O$  molecule is replaced by an  $O_2$  molecule [21, 38]. On the basis of the above discussion, it can be concluded that the  $4e^-$  reduction pathway on AMP-1 demonstrates promising potential for catalytic applications.

Table 3  
The adsorption energy ( $E_{\text{ads}}$ ) of the OH-NGQDs catalyst in the ORR process  
/eV.

Adsorbates	Adsorption Energy ( $E_{\text{ads}}$ )			
	OH-NGQD (Our work)	Pt(111) [66]	MgPc(OH) <sub>16</sub> [25]	P-Gra [58]
*O <sub>2</sub>	-1.89	-0.62	-0.75	-0.19
*O + *O	-1.64	#	#	-2.66
*O + *OH	-2.54	#	#	-4.19
*OOH	-0.79	-0.94	-0.69	-1.62
*O	-2.94	-4.30	-1.21	-4.17
*OH	-2.03	-2.21	-2.17	-3.34
H <sub>2</sub> O	-0.05	-0.20	-0.69	-0.14

# Denotes unavailability of data

## Overpotential

The overpotential ( $\eta^{\text{ORR}}$ ) is calculated to evaluate the ORR reaction process performance in the presence of an electrocatalyst to derive the minimum overpotential in the OH-NGQDs catalyst. The adsorption energy of the intermediate ORR species \*O, \*OH, and \*OOH can be determined [67]. For the four proton/electron transfer reaction steps, the reaction free energy has the same magnitude at zero potential ( $U = 0$  V), calculated as  $4.92 \text{ eV}/4 = 1.23 \text{ eV}$ . Herein, this is equivalent to all the elementary reaction steps of free energy being zero at the equilibrium potential of 1.23 eV. Hence, the free energy of the ORR catalyst can be determined from the following equations.

$$\Delta G_1 = \Delta G_{\text{OOH}}^* - 4.92 \text{ eV} \quad (2)$$

$$\Delta G_2 = \Delta G_{\text{O}}^* - \Delta G_{\text{OOH}}^* \quad (3)$$

$$\Delta G_3 = \Delta G_{\text{OH}}^* - \Delta G_{\text{O}}^* \quad (4)$$

$$\Delta G_4 = -\Delta G_{\text{OH}}^* \quad (5)$$

The rate-determining step (RDS) is each elementary reaction with maximum free energy, while the onset potential is gained by the equation below:

$$G^{\text{ORR}} = \max\{\Delta G_1, \Delta G_2, \Delta G_3, \Delta G_4\} \quad (6)$$

$$\eta^{\text{ORR}} = |G^{\text{ORR}}|/e + 1.23 \text{ V} \quad (7)$$

According to **Table S3**, the rate-limiting step (RLS) in the OH-NGQDs catalyst is identified as the hydrogenation of OOH\* to form the first H<sub>2</sub>O molecule [67–69]. The ORR reaction process is exothermic, the negative value of free energies ( $\Delta G_1$ ,  $\Delta G_2$ ,  $\Delta G_3$ ,  $\Delta G_4$ ), a reaction favorable to ORR mechanism. The minimum overpotential for the ORR catalyst reaction process is the same for both the 4e<sup>-</sup> reduction pathway (AMP-1) and the dissociative mechanism (DM) which is 0.70 V. The onset potential for the OH-NGQDs catalyst is lesser than N-doped GQDs (0.79–0.90 V) [68] and N-doped graphene oxide (0.80 V) [70]. These results suggest that the OH-NGQDs catalyst can be utilized as a metal-free electrocatalyst for the ORR reaction. Importantly, the N-atom doping at the central benzene ring on the OH-NGQDs surface exhibits extraordinary efficiency.

## Conclusions

We have successfully investigated the catalytic behavior of the OH-NGQDs catalyst for the 4e<sup>-</sup> oxygen reduction reaction using DFT calculations. The adsorbate species (\*O<sub>2</sub>, \*O+\*O, \*O+\*OH, \*OOH, \*O, \*OH, and H<sub>2</sub>O) interact with an N-atom and a nearby C-atom in the OH-NGQDs catalyst, leading to highly favorable thermochemical parameters for the ORR process. The lower positive formation energy of NGQDs is favorable for chemical synthesis. The lower chemical hardness of OH-NGQDs shows that OH-functionalization significantly increases the chemical reactivity of the surface. The OH-functionalization with N-doped GQDs demonstrates excellent catalytic activity with lower energy barriers and all reactions occur spontaneously. Additionally, the minimum overpotential results indicate that the OH-NGQDs catalyst is well-suited for the ORR process in proton exchange membrane fuel cells (PEMFCs). These findings provide strong support for the utilization and development of graphene quantum dots as novel metal-free electrocatalysts for fuel cell technologies.

## Declarations

### Supplementary Data

The thermochemical properties of the OH-NGQDs catalyst for ORR, the rate-limiting step, maximum free energy and overpotential are calculated, the structure of various probability of the minimum energy configuration (N-Position) for OH-NGQDs, the optimized structures of OH-NGQDs catalyst (4e<sup>-</sup> reduction pathway and 2e<sup>-</sup> reduction pathway) with adsorbate species in initial state (R), transition states (TS), intermediates (I) and final state (P) phases and their corresponding bond lengths, the relative energy profile of ORR steps, the IRC plot of ORR reactions, the various conformer of initial step of the molecular oxygen for ORR process are given in the Supplementary Information.

### Declaration of Interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Author Contribution Statement

**Thangaraj Thiruppathiraja:** Methodology, Software, Data curation, Formal analysis, Writing - original draft.

**Senthilkumar Lakshmipathi:** Methodology, Software, Data curation, Formal analysis, review & editing.

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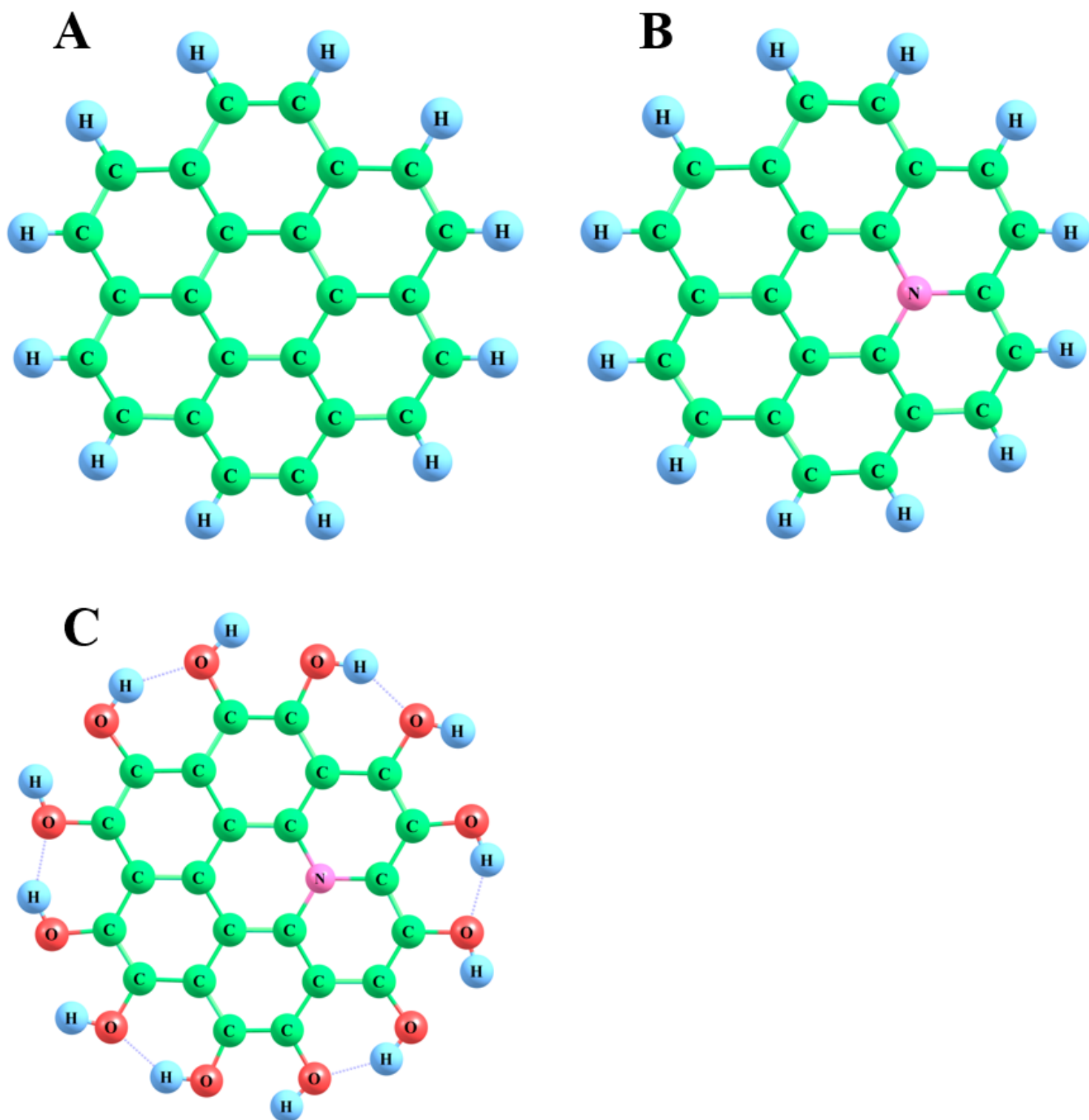
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## Figures





**Figure 1**

The optimized geometry of **A)** Graphene quantum dots (GQDs), **B)** N-doped graphene quantum dots (NGQDs), and **C)** Hydroxyl group functionalized N-doped graphene quantum dots (OH-NGQDs).

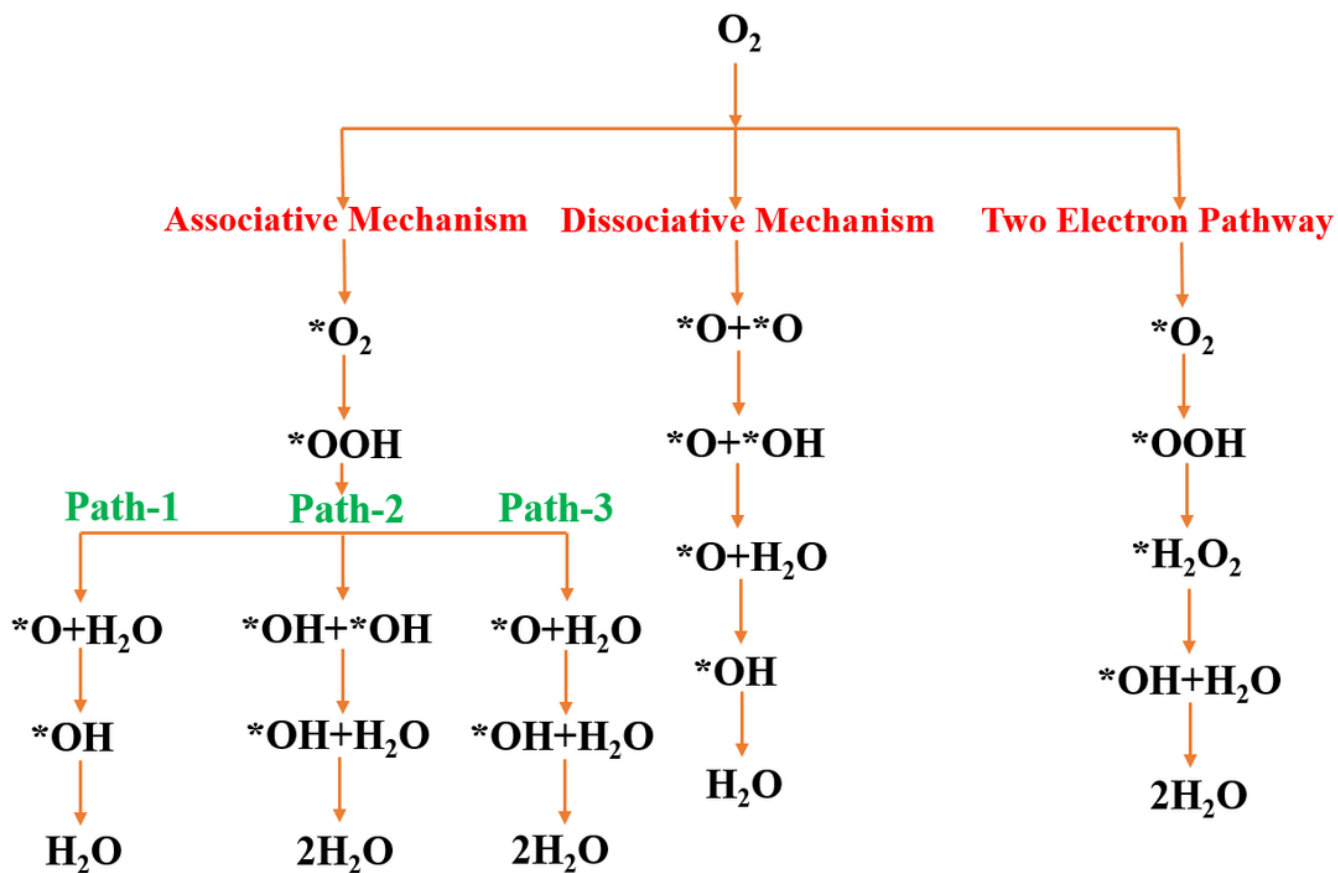


Figure 2

The overall reaction mechanism of the  $4e^-$  reduction pathway (associative mechanism path-1-2-3 and dissociative mechanism) and  $2e^-$  reduction pathway for ORR process.

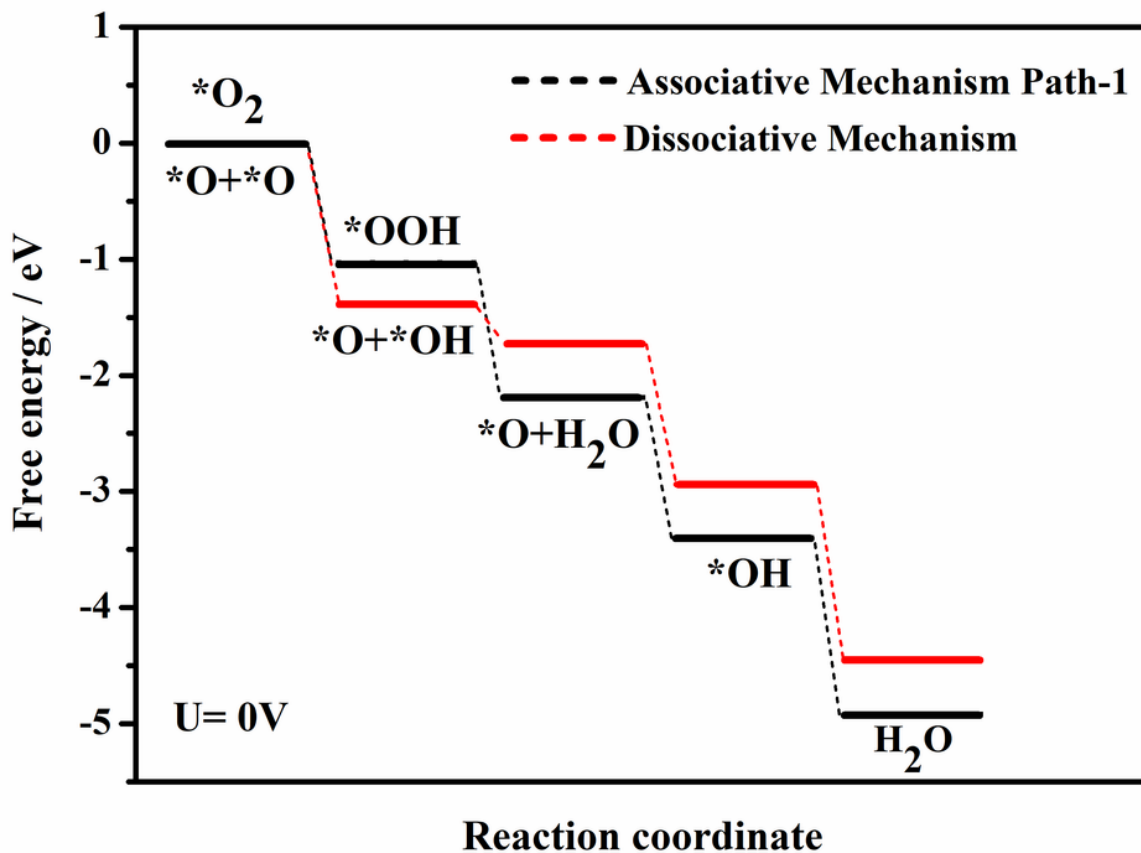


Figure 3

The free energy diagram for OH-NGQDs catalyst of the 4e<sup>-</sup> reduction pathway (associative mechanism path-1 and dissociative mechanism).

## Supplementary Files

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