

Spatio-temporal Characteristics of Frictional Properties on the Subducting Pacific Plate Off the East of Tohoku District, Japan Estimated from Stress Drops of Small Earthquakes

Takuji Yamada (✉ takuji.yamada.t9sci@vc.ibaraki.ac.jp)

Ibaraki University <https://orcid.org/0000-0001-6630-3686>

Meitong Duan

Ibaraki University (Graduated)

Jun Kawahara

Ibaraki University

Full paper

Keywords: Frictional properties, Pacific Plate, Stress drop, Spatio-temporal pattern, Small earthquake, East coast of the Tohoku district, 2011 Tohoku earthquake

Posted Date: July 2nd, 2020

DOI: <https://doi.org/10.21203/rs.3.rs-39395/v1>

License: © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Version of Record: A version of this preprint was published on January 18th, 2021. See the published version at <https://doi.org/10.1186/s40623-020-01326-8>.

1 **Spatio-temporal characteristics of frictional properties on the**
2 **subducting Pacific Plate off the east of Tohoku district, Japan**
3 **estimated from stress drops of small earthquakes**

4 Takuji Yamada¹, Faculty of Science, Graduate School of Science and Engineering, Ibaraki
University, Ibaraki 310-8512, Japan, takuji.yamada.t9sci@vc.ibaraki.ac.jp

Meitong Duan², Department of Science, Graduate School of Science and Engineering, Ibaraki
University, Ibaraki 310-8512, Japan, meitong.suihan@gmail.com

Jun Kawahara¹, Faculty of Science, Graduate School of Science and Engineering, Ibaraki
University, Ibaraki 310-8512, Japan, jun.kawahara.ri@vc.ibaraki.ac.jp

6 **Abstract**

7 The east coast of the Tohoku district, Japan has a high seismicity, including aftershocks of the 2011 M9
8 Tohoku earthquake. We analyzed 1142 earthquakes with $4.4 \leq M_W \leq 5.0$ that occurred in 2003
9 through 2018 and obtained spatio-temporal pattern of stress drop on the Pacific Plate that subducts
10 beneath the Okhotsk Plate. Here we show that small earthquakes at edges of a region with a large slip
11 during the 2011 Tohoku earthquake had high values of stress drop, indicating that the areas had a high
12 frictional strength and suppressed the coseismic slip of the 2011 Tohoku earthquake. In addition, stress
13 drops of small earthquakes in some of the areas likely decreased after the 2011 Tohoku earthquake. This
14 indicates that the frictional strength decreased at the areas due to the following aftershocks of the 2011
15 Tohoku earthquake, consistent with a high aftershock activity. This also supports that the frictional
16 properties on a subducting plate interface can be monitored by stress drops of small earthquakes, as
17 pointed out by some previous studies.

18 **Keywords**

19 Frictional properties, Pacific Plate, Stress drop, Spatio-temporal pattern, Small earthquake, East coast
20 of the Tohoku district, 2011 Tohoku earthquake

21 **Background**

22 **Tectonics and characteristics of earthquakes off the east coast of the Tohoku district, Japan**

23 Numerous large earthquakes as well as the huge 2011 Tohoku earthquake with M_W 9.0 have been observed
24 off the east coast of the Tohoku district, Japan, associated with the subduction of the Pacific Plate
25 beneath the Okhotsk Plate at a rate of 80-100 mm/year DeMets et al. (1990). Some previous studies
26 have suggested the spatial heterogeneity of the frictional properties on the plate interface in this region.
27 Yamanaka and Kikuchi (2004) analyzed source processes of large interplate earthquakes that occurred off
28 the east coast of the Tohoku district, Japan and found that areas with a large coseismic displacement,
29 where they refer to as asperities, distributed as stepping stones. They also pointed out that the typical
30 size of individual asperities in northeastern Japan was M7 class and that an M8 class earthquake could
31 be caused when several asperities were synchronized. Nishikawa et al. (2019) analyzed waveforms of

32 slow earthquakes observed by the new S-net ocean-bottom seismic network and investigated their spatial
33 distribution along the Japan Trench. They found that the area that ruptured during the 2011 Tohoku
34 earthquake was bounded by areas that have large numbers of slow earthquakes. They reported that a
35 segmentation likely caused to cease the coseismic rupture of the 2011 Tohoku earthquake, which provides
36 important information for a risk assessment from future major earthquakes. Baba et al. (2020) detected
37 very low frequency earthquakes (VLFEs) off the Hokkaido and Tohoku Pacific coasts by a matched-filter
38 technique. They pointed out that their spatial distribution is consistent with the afterslip of the 2003
39 Tokachi-Oki earthquake (M_W 8.0). They also found that the VLFE activity inside a large coseismic slip
40 area of the 2011 Tohoku earthquake was low thereafter, whereas outside the area, VLFE activity increased
41 after the 2011 Tohoku earthquake. These results suggest that there is significant spatial heterogeneity of
42 the frictional properties on the plate interface in this region.

43 As many small earthquakes have been occurring on the subducting Pacific Plate off the east coast of the
44 Tohoku district, they provide a good opportunity for investigating the pattern of their stress drops in
45 both space and time and its implication with respect to the frictional properties on the plate interface.
46 In this study, we investigated stress drops of these small earthquakes following the method of Yamada et
47 al. (2010, 2015, 2017) and discussed the correlation of their spatial pattern with slip distribution of the
48 2011 Tohoku earthquake and other large historical earthquakes. In the next subsection, we summarize
49 the significance of stress drop analysis.

50 **Significance of analysis on stress drop**

51 Stress drop is an important source parameter which indicates the difference between the initial and
52 residual stress levels associated with an earthquake, that is, the shear stresses before and after the
53 earthquake rupture. Stress drop of small and large earthquakes have been investigated and have almost
54 confirmed the self-similarity of earthquakes (Kanamori and Anderson (1975); Abercrombie (1995); Prieto
55 et al. (2004); Yamada et al. (2005, 2007); Kwiatak et al. (2011); Yoshimitsu et al. (2014)). This self-
56 similarity is important in that we can use values of stress drop as indicators of the difference between
57 the shear strength and the dynamic stress level on the fault plane, independent of the earthquake size.
58 We have to note here that the self-similarity of earthquakes for a broad range of magnitude remains a
59 matter of debate and is not fully confirmed. Some studies have pointed out that earthquakes might have
60 a weak dissimilarity Malagnini et al. (2008). However, small earthquakes with a narrow magnitude range,
61 as considered in this study, do not indicate a strong dissimilarity and their stress drops can be treated

62 as an indicator of frictional properties.

63 Heterogeneity of stress and strength in space and time has been investigated by stress drops of earth-
64 quakes, especially for the last fifteen years. Allman and Shearer (2007) estimated stress drops of small
65 earthquakes near Parkfield, California and investigated relationships of their spatial and temporal varia-
66 tions around the source region of the 2004 Parkfield earthquake. They concluded that earthquakes around
67 the coseismic rupture area of the 2004 Parkfield earthquake had higher values of stress drop compared to
68 the values of earthquakes outside the region. For even finer scale, Yamada et al. (2010) investigated stress
69 drops of small earthquakes which occurred on the fault plane of the 2006 Kiholo Bay earthquake with
70 M_W 6.7 and discussed the spatial characteristics of stress drop compared to the coseismic slip distribution
71 of the earthquake. They found that small earthquakes around patches with a large displacement during
72 the main shock had larger values of stress drop and concluded that the spatial pattern of the stress drop
73 reflects coherent variations in the difference of strength and the residual stress level. Urano et al. (2015)
74 carried out a similar analysis for the 2007 Noto Hanto earthquake and pointed out that static stress drops
75 of aftershocks in the area with a large coseismic slip during the mainshock are larger than those in a small
76 slip area. This result also suggests that *in-situ* frictional properties can be estimated from stress drops of
77 small earthquakes, the same as the conclusion of Yamada et al. (2010). Oth (2013) calculated stress drops
78 of earthquakes in Japan and concluded that the values had strong correlation with heat flow variations.
79 Yamada et al. (2015) investigated a cluster earthquake activity in the Tanzawa Mountains region, Japan
80 and found that the activity showed the hypocenter migration and consisted of earthquakes with a small
81 stress drop. They concluded that the activity would be triggered by the increase of pore pressure due
82 to fluid, that is, the decrease of the shear strength. Yamada et al. (2017) analyzed stress drops of small
83 earthquakes off the Pacific coast of Hokkaido, Japan and found that the spatial pattern in stress drops
84 has a good correlation with spatial characteristics of coseismic displacements during individual historical
85 large earthquakes. Moyer et al. (2018) estimated stress drops of small earthquakes ($2.3 \leq M_W \leq 4.0$)
86 on Gofar transform fault at the East Pacific Rise and found an inverse correlation between stress drop
87 and the reduction of P wave velocity, which they interpreted as the effect of damage around the fault
88 zone. They also pointed out that earthquakes following the mainshock (M_W 6.0) had lower values of stress
89 drop, consistent with increased damage and decreased fault strength after a large earthquake. Although
90 estimation of stress drop in general includes some assumptions such as circular faults explained in the
91 next section, these previous studies strongly suggest that the results of stress drop indicate actual physical

92 characteristics on fault planes of earthquakes.

93 Some studies, on the other hand, raised questions if the values of estimated stress drop reflect frictional
94 properties. Shearer et al. (2006) analyzed stress drops of aftershocks associated with the 1992 Landers
95 earthquake and investigated the relationship of their spatial pattern to the coseismic slip on three major
96 segments derived by Wald and Heaton (1994). They found that stress drops of aftershocks on the northern
97 segment (Camp Rock/Emerson faults) had a good correlation with the slip distribution. However, they
98 also pointed out that the values on the southern segment (Landers/Johnson Valley faults) showed a weaker
99 consistency and they were anti-correlated on the central segment (Homestead Valley fault). Hardebeck
100 and Aron (2009) investigated stress drops of earthquakes on Hayward fault. They found that stress
101 drops were well correlated with an applied shear stress but did not show a direct correlation with the
102 proposed strength of the wall-rock geology. As they noted, this suggests that the stress drop would give
103 an information on the fault strength, but the relation between fault strength and the strength of wall
104 rock would be complex. Our result will provide an example whether or not stress drops estimated from
105 seismograms can be used for investigating frictional properties on earthquake faults.

106 **Methods**

107 Following the method of Yamada et al. (2010, 2015, 2017), we investigated stress drops of 1142 small
108 earthquakes ($4.4 \leq M \leq 5.0$) off the east coast of Tohoku district, Japan, that occurred in 2003 through
109 2018 (Fig.1). Both of the earthquakes before and after the 2011 M9 Tohoku earthquake are included
110 in the analysis. Note that M indicates the magnitude of an earthquake as determined by the Japan
111 Meteorological Agency (JMA) in this paper. The hypocenters of the 1142 earthquakes were located 35.0°
112 N through 41.5° N and 140.5° E through 145.0° E in latitude and longitude directions, respectively, with
113 a depth of ± 15 km from the interface of the Pacific Plate, which had been derived by Nakajima and
114 Hasegawa (2006). Because of the poor azimuthal coverage of seismic stations, the distance of 15 km in
115 depth direction is within the range of uncertainty in the hypocenter estimation in the study area. We
116 analyzed waveforms recorded at stations, which have been maintained by National Research Institute for
117 Earth Science and Disaster Resilience, Japan (NIED), Hokkaido University, Hirosaki University, Tohoku
118 University, and JMA.

119 An observed waveform as a function of time $W(t)$ includes the effects of the source $S(t)$, the path from
120 a hypocenter to a seismic station $P(t)$, site amplification effects $A(t)$, and the instrumental response of

121 a seismometer $I(t)$, that is:

$$W(t) = S(t) * P(t) * A(t) * I(t), \quad (1)$$

122 where the operator $*$ indicates convolution. Because the convolution is expressed as a scalar product in
123 the frequency domain, the following equation holds:

$$W(f) = S(f) \cdot P(f) \cdot A(f) \cdot I(f), \quad (2)$$

124 where $W(f)$, $S(f)$, $P(f)$, $A(f)$, and $I(f)$ are expressions of $W(t)$, $S(t)$, $P(t)$, $A(t)$, and $I(t)$ in the
125 frequency domain, respectively. If we know the functions of $P(f)$, $A(f)$, and $I(f)$, we can then obtain
126 the Green's function and extract the source effect from the observed waveform. As it is difficult in actual
127 to estimate the Green's function precisely, we adopted the method of empirical Green's function (EGF)
128 (Hartzell (1978)).

129 The observed seismograms of two earthquakes at a receiver can be expressed as follows:

$$W_1(f) = S_1(f) \cdot P_1(f) \cdot A_1(f) \cdot I(f), \quad (3)$$

130

$$W_2(f) = S_2(f) \cdot P_2(f) \cdot A_2(f) \cdot I(f). \quad (4)$$

131 If the hypocenters of the two earthquakes are identical, the soil beneath the seismic station acts linearly
132 independent of the amplitude of the incoming waveforms, and no velocity change takes place during the
133 two earthquakes, then the path and site effects are exactly the same, which shows

$$P_1(f) = P_2(f), \quad (5)$$

134 and

$$A_1(f) = A_2(f). \quad (6)$$

135 In this case, we can derive the ratio of source effects on two earthquakes by calculating the ratio of the
136 observed waveforms in the frequency domain,

$$\frac{W_1(f)}{W_2(f)} = \frac{S_1(f) \cdot P_1(f) \cdot A_1(f) \cdot I(f)}{S_2(f) \cdot P_2(f) \cdot A_2(f) \cdot I(f)} = \frac{S_1(f)}{S_2(f)}. \quad (7)$$

137 Eq. (7) gives the spectral ratio of each pair of an analyzed and an EGF earthquakes. It is assumed in
138 this study that source spectrum of an earthquake $S^C(f)$ can be expressed by the omega-squared model
139 of Boatwright (1978), which is formulated as follows:

$$S^C(f) = R^C(f) \cdot M_0^C(f) \cdot \left\{ \frac{1}{1 + (f/f_0^C)^4} \right\}^{1/2}, \quad (8)$$

140 where R , M_0 , and f_0 are the coefficient of the radiation pattern, the seismic moment, and the corner
 141 frequency of the earthquake, respectively. C indicates the wave type, which corresponds to either P or S.
 142 This assumption suggests that we approximated the fault as a circular plane. The deconvolved spectra
 143 of velocity $|\dot{u}_r^C(f)|$ can then be expressed by the following equation:

$$\begin{aligned}
 |\dot{u}_r^C(f)| &= \left| \frac{S_A^C(f)}{S_E^C(f)} \right| = \frac{R_A^C \cdot M_{0A}}{R_E^C \cdot M_{0E}} \cdot \left\{ \frac{1 + (f/f_{0E}^C)^4}{1 + (f/f_{0A}^C)^4} \right\}^{1/2} \\
 &= R_r^C M_{0r} \cdot \left\{ \frac{1 + (f/f_{0E}^C)^4}{1 + (f/f_{0A}^C)^4} \right\}^{1/2}, \tag{9}
 \end{aligned}$$

144 where subscripts A and E correspond to analyzed and EGF earthquakes, respectively. Moreover, R_r^C and
 145 M_{0r} indicate the relative values of R_A^C/R_E^C and M_{0A}/M_{0E} , respectively. The value of R_r^C is equal to 1 if
 146 the focal mechanisms and hypocenters of the analyzed and EGF earthquakes are exactly the same. The
 147 sampling rate of waveforms analyzed in this study was 100 Hz. We used waveforms of earthquakes in 2012
 148 through 2018 (after the 2011 Tohoku earthquake) with M3.5 which were closest to the hypocenters of the
 149 analyzed earthquakes as the EGFs. A list of analyzed and EGF earthquakes in this study is available as
 150 an additional file (refer to eqlist.txt).

151 We adopted earthquakes with M3.5 as EGFs and analyzed corner frequencies of earthquakes in a relatively
 152 narrow magnitude range ($4.4 \leq M \leq 5.0$). This treatment is based on the following considerations. In
 153 order to ensure a good signal-to-noise ratio of EGFs, especially for spectra of lower frequencies, we used
 154 waveforms of earthquakes with M3.5 as EGFs. The lower limit (M4.4) of the analyzed earthquakes was
 155 set for keeping a difference in magnitude of about 1 compared to the EGFs and ensuring quality in
 156 estimating the corner frequencies. As stress drops are calculated for individual earthquakes, the values
 157 for large earthquakes would represent the average characteristics of individual large fault planes. This is
 158 not good condition because the values of stress drop for large earthquakes might not reflect local frictional
 159 characteristics. We can avoid this problem by adopting an upper limit of magnitude for analyzing stress
 160 drops of earthquakes. In addition, as waveforms of some large earthquakes were clipped, we fixed the
 161 maximum size of earthquake to M5.0 in the analysis.

162 We estimated the spectral ratios of P and S waves for individual pairs of an earthquake and an EGF.
 163 The spectral ratios were analyzed for three time windows with a length of 1024 data points, or 10.23 s.
 164 The beginning of the first time window were set to be 0.50 s prior to the arrival times of either the P or S
 165 waves. The elapsed times of the two successive time windows were set to be 1.28 and 2.56 s, respectively.

166 The spectral ratio can be approximated as following equations by taking the logarithm of Eq. (9):

$$\ln |\dot{u}_r^C(f)| \approx g(f; f_{0A}^C; f_{0E}^C), \quad (10)$$

$$g(f; f_{0A}^C; f_{0E}^C) = \ln(R_r^C M_{0r}) - \frac{1}{2} \ln \left\{ 1 + (f/f_{0A}^C)^4 \right\} + \frac{1}{2} \ln \left\{ 1 + (f/f_{0E}^C)^4 \right\}. \quad (11)$$

167 Before fitting individual analyzed spectral ratios with the theoretical function expressed by Eqs. (10) and
 168 (11), we resampled the data points so that the interval in frequency was equal to 0.05 on a \log_{10} scale.
 169 As a result, we obtained 20 data points (frequency bands) for each order of frequency. This procedure
 170 allowed us to treat high- and low-frequency data equivalently. We also estimated the standard deviation
 171 of the spectral ratio for each frequency band and used the value as a weight in fitting data, as explained
 172 below.

173 We investigated the values of $R_r^C M_{0r}$, f_{0A}^C , and f_{0E}^C in Eq. (11) for each station by a grid search that gave
 174 the minimum residual for the spectral ratios of three time windows, similar to Imanishi and Ellsworth
 175 (2006). Here values of residual R can be defined by the following equation,

$$R = \sum_i \frac{\{\ln \dot{u}_r^C(f) - g(f; f_{0A}^C; f_{0E}^C)\}^2}{\sigma_i^2} \quad (12)$$

176 where σ_i is the standard deviation for each frequency band calculated in resampling the spectral ratio.
 177 All of the earthquakes analyzed in this study have four or more stations available for the corner frequency
 178 estimation. We used data within the frequency range of 0.7 Hz through 20 Hz to calculate the residual in
 179 Eq. (12) and investigated corner frequencies by grid search between 0.3 and 20 Hz. This frequency range
 180 and the length of the time window (10.23 s, or 1024 data points) were adopted so that corner frequencies
 181 of earthquakes with $4.4 \leq M \leq 5.0$ could be estimated correctly, which would be around 1-3 Hz as
 182 expected by the self-similarity of earthquakes. Fig. 2 shows an example of the corner frequency analysis,
 183 including S-wave velocity seismograms, their spectra, deconvolved spectra after the resampling, and the
 184 obtained curves of spectral ratio that were used for investigating a corner frequency. Another example
 185 is provided as a supplemental figure Fig. A1, which shows the analysis for the P wave for the same
 186 earthquake and the same station as shown in Fig. 2. Examples for another earthquake are also provided
 187 as supplemental figures (Figs. A2 and A3). We confirm that waveforms have a good signal-to-noise ratio
 188 larger than a factor of five even for low frequencies between 0.7 and 2 Hz for EGF earthquakes.

189 Finally, we estimated the values of stress drop following Madariaga (1976):

$$\Delta\sigma^C = \frac{7}{16} M_{0A} \left(\frac{f_{0A}^C}{kV_S} \right)^3, \quad (13)$$

190 where V_S is the shear wave velocity, which we set 4.5 km/s referring to Matsubara and Obara (2011),
191 and C corresponds to the wave type (P or S). The seismic moment M_0 in newton meters (Nm) can be
192 calculated from M_W using the following equation Hanks and Kanamori (1979): $\log_{10} M_0 = 1.5M_W + 9.1$.
193 We fixed the value k as 0.32 and 0.21 for P and S waves, respectively, assuming that the rupture of
194 earthquakes expanded with a speed of $0.9V_S$ Madariaga (1976). We will discuss the effect of the value k
195 in the section “Discussion.” It is assumed in this study that M is equivalent to the moment magnitude
196 M_W in calculating stress drops. The validity of this assumption will also be discussed in the section
197 “Discussion.”

198 Results

199 Spatial pattern of stress drop

200 Figs. 3 and 4 show the spatial distribution of stress drop estimated from P and S waves, which are
201 superposed on the coseismic slip distribution of large earthquakes, including the 2011 Tohoku earthquake.
202 The results of individual earthquakes in Figs. 3(a)(c) are available as Additional files (refer to results_P.txt
203 and results_S.txt). Both of the results derived from P and S waves indicate spatial heterogeneity on stress
204 drop, suggesting that the frictional properties on the Pacific plate are heterogeneous in space.

205 We found that areas with a large coseismic displacement during the 1968 Tokachi-oki earthquake have
206 higher values of stress drop. This is consistent with the result of Yamada et al. (2017) and suggests that
207 these areas have higher shear strength. Similarly, an area with a large stress drop can be seen at the
208 south-east tip of the coseismic displacement during the 1978 Miyagi-oki earthquake. It is likely that the
209 area acted as a barrier because of a higher shear strength in 1978, whereas it had been included inside
210 the source area in 1936. In addition, the areas marked as A and B in Fig. 4 have a higher value of stress
211 drop. Both of the areas coincide with the regions where moderate-sized earthquakes regularly take place
212 every several years (Uchida et al. (2007); Okuda and Ide (2018)). These results can also be reasonably
213 explained that there is significant spatial heterogeneity in frictional properties and these areas have a
214 higher shear strength.

215 However, we have to note that slip distributions obtained by the waveform inversion may include large

216 uncertainty (Mai et al. (2016)). The significance of the results, as well as the discrepancy between the
217 absolute values of stress drop derived from P and S waves will be discussed in the section “Discussion.”

218 **Temporal change of stress drop: Effects of the 2011 Tohoku earthquake**

219 We would also like to point out the temporal change in stress drop associated with the 2011 Tohoku
220 earthquake. Fig. 5 shows spatial patterns of stress drop before and after the 2011 Tohoku earthquake, as
221 well as that estimated from all the earthquakes analyzed in this study (2003 through 2018). Areas marked
222 as C and D, which correspond to western tips of the large coseismic displacement during the 2011 Tohoku
223 earthquake, have higher values of stress drop before the earthquake (Fig. 5a). After the 2011 Tohoku
224 earthquakes, values of stress drop in these areas decreased to the value around the average all over the
225 study area as shown in Fig. 5(b). Fig. 6 shows stress drops of analyzed earthquakes as a function of
226 depth and seismic moment, as well as the temporal changes. Stress drops of earthquakes show no clear
227 dependency on depth, seismic moment, and time. Therefore, the temporal changes in Figs. 5(a)(b) and
228 6(d)(e) suggest the changes of frictional properties in time and are not artifacts nor apparent ones.
229 We discuss these results from physical point of view in the next section.

230 **Discussion**

231 **Interpretation of our results from the physical viewpoint of earthquake rupture**

232 We found that earthquakes at edges of a large coseismic slip with a large gradient in displacement during
233 the 2011 Tohoku earthquake show a high value of stress drop. As mentioned in the subsection 1.2, stress
234 drop is an indicator of the difference between the shear strength and the dynamic stress level. Therefore,
235 the spatial pattern obtained in this study likely to reflect the spatial heterogeneity in frictional properties
236 on the plate interface between the Pacific and Okhotsk plates.

237 In addition, stress drops of earthquakes at the above area seem to decrease after the 2011 Tohoku
238 earthquake. This would indicate a gradual weakening of the shear strength due to the stress concentration
239 associated with the coseismic slip during the 2011 Tohoku earthquake (Ohnaka and Shen (1999)). Another
240 possibility would be an effect of fluid (Yamada et al. (2015)). As fluid confined in a crack on the plate
241 interface can reduce the normal stress, the increase of fluid pressure can decrease the shear strength,
242 resulting in a smaller stress drop.

243 It is true that a smaller stress drop would be observed if the dynamic stress level increased for some
244 reason. However, it would be hard to be the case. Di Toro et al. (2011) pointed out that the dynamic

245 stress level depends on the slip velocity; a slower slip velocity gives a higher dynamic stress level. If the
246 decrease of stress drop were caused by a slow-down of the slip velocity, all the events after the 2011
247 Tohoku earthquake had to have a slower slip velocity. If this were the case, observed waveforms would
248 have shown some notable change of their characteristics, which we did not observe at all. Therefore, we
249 conclude that the observed temporal change in stress drop would be caused not by the increase of the
250 dynamic stress level, but the decrease of the shear strength due to the stress concentration associated
251 with the coseismic slip of the 2011 Tohoku earthquake.

252 **Comparison to previous studies**

253 Uchide et al. (2014) investigated spatial pattern of stress drop before the 2011 Tohoku earthquake in
254 the same region by a method different from that of this paper. The spatial distribution of stress drop
255 before the 2011 Tohoku earthquake in our results is very much consistent with that shown in Uchide et
256 al. (2014), suggesting the robustness of our analysis. However, there is a discrepancy between the two
257 results. Although Uchide et al. (2014) insisted that they found a strong increase in stress drop with depth
258 between 30 km and 60 km, such an increase cannot be seen in our result (Fig. 6). We are not sure of
259 the reason, but one possibility would be the difference of waveforms used as empirical Green's functions.
260 We used waveforms of earthquakes (M3.5) in the vicinity of individual analyzed earthquakes with a good
261 signal-to-noise ratio for all over the frequency band used in the analysis (Figs. 2, A1, A2 and A3) as local
262 empirical Green's functions so that fine-scale heterogeneity can be detected. Accumulation of the *in-situ*
263 seismic data, including ones observed by S-net (Kubota et al. (2020)), would provide good opportunity
264 for investigating source characteristics, such as stress drop, of earthquakes with higher precision in the
265 region analyzed in this paper in the near future.

266 **Difference of stress drops from P and S waves**

267 As each earthquake has one value of stress drop, the value of stress drop for an earthquake calculated
268 from P wave should be the same as the value derived from S wave. However, our results in Figs. 3 and
269 4 indicate that the absolute values of stress drop deduced from P waves are much lower than the values
270 derived from S waves. This discrepancy originates from the value of k in Eq. (13), that is, the assumed
271 rupture speed in the model. Hence, the discrepancy provides an insight into the rupture characteristics
272 of analyzed small earthquakes, as described in detail in Yamada et al. (2017). We briefly explain the
273 significance of the discrepancy here.

274 The model of Madariaga (1976), which is used in this study and is commonly adopted in stress drop

275 estimation, assumes that the rupture initiates from the center of a circular fault plane and propagates
 276 with a certain rupture speed that is slower than the P-wave velocity. The values of 0.32 and 0.21 for
 277 constant k in Eq. 13 depend on the assumed rupture speed, which we fixed to be 90% of the S-wave
 278 velocity V_S . These factors become smaller for a slower rupture propagation, and the value k for P wave
 279 is much more sensitive to the rupture speed than that for S wave (Madariaga (1976)). As the estimated
 280 values of stress drop from P waves in our study are smaller than those from S waves, slower rupture
 281 speeds result in the values of stress drop being closer. Thus, our results suggest that the actual rupture
 282 speed of the analyzed earthquakes would be slower than $0.9V_S$. This is consistent with many studies
 283 of source process that reported the rupture on a fault plane propagates with a speed of 70 – 80% of
 284 V_S , independent of earthquake magnitude (Wald and Heaton (1994); Yamada et al. (2005)), with a few
 285 exceptions (Ji et al. (2002); Walker and Shearer (2009)).

286 Stress drops derived from P and S waves in Figs. 3 and 4 show exactly the same spatial pattern. This
 287 strongly suggests that our results for stress drop are stably estimated and that their lateral characteristics
 288 indicates the spatial heterogeneity of the frictional properties on the interface of the subducting Pacific
 289 Plate in the study area.

290 **Validity associated with the assumption of $M = M_W$**

291 We estimated stress drops under the assumption that the value of M determined by JMA is equivalent
 292 to M_W . As our results might include an artifact due to this assumption, we investigated its validity. Fig.
 293 7 shows the relationship between M and values of $3.5 + (2/3) \log_{10} (R_r^C M_{0r})$ in Eq. (9) for individual
 294 earthquakes, which correspond to moment magnitudes of analyzed earthquakes if individual pairs of
 295 analyzed and EGF earthquakes have identical focal mechanisms ($R_r^C = 1$) and if the values of M_W for
 296 EGF earthquakes are 3.5 ($M_{3.5} = M_W 3.5$). We herein refer to the value of $3.5 + (2/3) \log_{10} (R_r^C M_{0r})$
 297 as apparent magnitude. As we adopted earthquakes with M3.5 as EGFs, Fig. 7 suggests that the
 298 actual values of M_W would be slightly smaller than M values, which is consistent with Uchide and
 299 Imanishi (2018). This result implies that the absolute values of stress drop derived in this study might
 300 be overestimated. However, Fig. 7 shows a clear linear relationship between the two parameters with a
 301 slope of 1. This fact strongly suggests that the spatial pattern in stress drop obtained in this study is
 302 reliable.

303 **Conclusion**

304 We summarize our conclusion as follows.

305 (1) Areas with a high stress drop are located at edges of a large coseismic slip with a high gradient in
306 displacement during the 2011 Tohoku earthquake.

307 (2) The areas with a high stress drop showed temporal change on values of stress drop after the 2011
308 Tohoku earthquake.

309 (3) The fact (2) may indicate a gradual weakening of the shear strength due to the stress concentration
310 associated with the coseismic slip during the 2011 Tohoku earthquake Ohnaka and Shen (1999).

311 (4) Temporal change in stress drop likely to reflect the change in the shear strength. As the dynamic
312 stress level depends on the slip velocity Di Toro et al. (2011), our results can hardly be explained as
313 the change in the dynamic stress level.

314 Our results suggest that the frictional properties on a subducting plate interface can be monitored by
315 stress drops of small earthquakes, as pointed out by some previous studies including Yamada et al. (2017),
316 which provides important information for strong motion estimation due to future large earthquakes.

317 **List of abbreviations**

318 JMA: Japan Meteorological Agency

319 M_W : Moment magnitude

320 NIED: National Research Institute for Earth Science and Disaster Resilience, Japan

321 **Availability of data and materials**

322 Waveform data that are analyzed in this study can be downloaded from the website of Hi-net, NIED
323 (<https://www.hinet.bosai.go.jp>). A list of earthquakes analyzed in this study and their stress drops
324 estimated from P and S waves are available as following supplementary information files; eqlist.txt,
325 result_P.txt, and result_S.txt, respectively.

326 **Competing interests**

327 The authors declare that they have no competing interests.

328 **Funding**

329 Not applicable.

330 **Authors' contributions**

331 TY designed the research project, conducted the analysis, and wrote the manuscript. MD carried out
332 preliminary analyses. JK participated in the discussion of the results. All of the authors read and approved
333 the final manuscript.

334 **Authors' information**

335 1. Department of Earth Sciences, Faculty of Science, Ibaraki University, 2-1-1 Bunkyo, Mito, Ibaraki 310-
336 8512, Japan. 2. Department of Science, Graduate School of Science and Engineering, Ibaraki University,
337 2-1-1 Bunkyo, Mito, Ibaraki 310-8512, Japan.

338 **Acknowledgments**

339 The authors would like to express our sincere thanks to the two reviewers (AAA AAA and BBB BBB),
340 and the editor CCC CCC, for their insightful comments and suggestions, which helped us improve our
341 manuscript. We used waveforms that had been observed at stations of Hi-net (NIED), as well as
342 Hokkaido Univ., Hirosaki Univ., Tohoku Univ. and JMA, which can be downloaded from the NIED
343 Hi-net website (<https://www.hinet.bosai.go.jp>). The authors would like to thank the individuals who
344 have been maintaining these seismic stations. We also used arrival times of P and S waves which were
345 determined by JMA. The authors would also like to express our gratitudes to Takeshi Iinuma and
346 Yoshiko Yamanaka for providing the results of coseismic displacements. Figures were created using
347 Generic Mapping Tools software Wessel and Smith (1995).

348 **Appendix A. Other examples of the corner frequency analyses**

349 In addition to Fig. 2, we will show three examples of deconvolved spectra as well as waveforms in time
350 and frequency domains (Figs. A1, A2, and A3). We can see waveforms analyzed have good
351 signal-to-noise ratios and confirm that deconvolved spectra are well fitted by the omega-squared model.

352 **References**

- 353 Abercrombie RE (1995) Earthquake source scaling relationships from -1 to 5 M_L using seismograms
354 recorded at 2.5-km depth. *J Geophys Res* 100, B12:24015-24036
- 355 Allman BP, Shearer PM (2007) Spatial and temporal stress drop variations in small earthquakes near
356 Parkfield, California. *J Geophys Res* 112:B04305, doi:10.1029/2006JB004395
- 357 Baba S, Takeo A, Obara K, Matsuzawa T, Maeda T (2020) Comprehensive detection of very low
358 frequency earthquakes off the Hokkaido and Tohoku Pacific coasts, northeastern Japan. *J Geophys*
359 *Res* 125: e2019JB017988
- 360 Boatwright J (1978) Detailed spectral analysis of two small New York State earthquakes. *Bull Seismol*
361 *Soc Am* 68:1117-1131
- 362 Demets C, Gordon RG, Argus DF, Stein S (1990) Current plate motions. *Geophys J Int* 101:425-478
- 363 Di Toro G, Han R, Hirose T, De Paola N, Nielsen S, Mizoguchi K, and Ferri F, Cocco M, Shimamoto T
364 (2011) Fault lubrication during earthquakes. *Nature* 471:494, doi:10.1038/nature09838
- 365 Hanks, T, Kanamori H (1979) A moment magnitude scale. *J Geophys Res* 84:2348-2350
- 366 Hardebeck JL, Aron A (2009) Earthquake stress drops and inferred fault strength on the Hayward
367 fault, east San Francisco Bay, California. *Bull Seismol Soc America* 99:1801-1814
- 368 Hartzell SH (1978) Earthquake aftershocks as Green's functions. *Geophys Res Lett* 5:1-4
- 369 Iinuma T, Hino R, Kido M, Inazu D, Osada Y, Ito Y, Hozono M, Tsushima H, Suzuki S, Fujimoto H,
370 Miura S (2012) Coseismic slip distribution of the 2011 off the Pacific Coast of Tohoku Earthquake
371 (M9.0) refined by means of seafloor geodetic data. *J Geophys Res* 117, B7, doi:10.1029/2012JB009186
- 372 Imanishi K, Ellsworth WL (2006) Source scaling relationships of microearthquakes at Parkfield, CA,
373 determined using the SAFOD pilot hole seismic array. In: Abercrombie RE, McGarr A, Kanamori H,
374 Di Toro G (eds) *Earthquakes: radiated energy and the physics of earthquake faulting*, vol 170,
375 *Geophys Monogr Ser.* AGU, Washington D.C, pp 81–90
- 376 Ji C, Wald DJ, Helmberger DV (2002) Source description of the 1999 Hector Mine, California,
377 earthquake, part I: Wavelet domain inversion theory and resolution analysis. *Bull Seismol Soc Am*
378 92:1192-1207
- 379 Kanamori H, Anderson DL (1975) Theoretical basis of some empirical relations in seismology. *Bull*
380 *Seismol Soc Am* 65:1073-1095
- 381 Kita S, Okada T, Hasegawa A, Nakajima J, Matsuzawa T (2010) Anomalous deepening of a seismic

382 belt in the upper-plane of the double seismic zone in the Pacific slab beneath the Hokkaido corner:
383 Possible evidence for thermal shielding caused by subducted forearc crust materials. *Earth Planetary*
384 *Sci Lett* 290:415-426

385 Kubota T, Saito T, Suzuki W (2020) Millimeter-scale tsunami detected by a wide and dense
386 observation array in the deep ocean: Fault modeling of an M_W 6.0 interplate earthquake off Sanriku,
387 NE Japan. *Geophys Res Lett*: e2019GL085842

388 Kwiatek G, Plenkers K, Dresen G, JAGUARS Research Group (2011) Source Parameters of
389 Picoseismicity Recorded at Mponeng Deep Gold Mine, South Africa: Implications for Scaling
390 Relations. *Bull Seismol Soc Am* 101:2592-2608, doi.org/10.1785/0120110094

391 Madariaga R (1976) Dynamics of an expanding circular fault. *Bull Seismol Soc Am* 66:639-666

392 Mai, PM, Schorlemmer D, Page M, Ampuero JP, Asano K, Causse M, Custodio S, Fan W, Festa G,
393 Galis M, Callovic F, Imperatori, W, Käser M, Malytskyy D, Okuwaki R, Pollitz F, Passone L
394 Razafindrakoto HNT, Sekiguchi H, Song SG, Somala SN, Thingbaijam KKS, Twardzik C, van Driel
395 M, Vyas JC, Wang R, Yagi Y, Zielk O (2016) The earthquake-source inversion validation (SIV)
396 project. *Seismol Res Lett* 87:690-708.

397 Malagnini L, Scognamiglio L, Mercuri A, Akinici A, Mayeda K (2008) Strong evidence for non-similar
398 earthquake source scaling in central Italy. *Geophys Res Lett* 35, doi:10.1029/2008GL034310

399 Matsubara M, Obara K (2011) The 2011 off the Pacific coast of Tohoku Earthquake related to a strong
400 velocity gradient with the Pacific plate. *Earth Planets Space* 63:28, doi:10.5047/eps.2011.05.018

401 Moyer PA, Boettcher MS, McGuire, JJ, Collins, JA (2018) Spatial and temporal variations in
402 earthquake stress drop on Gofar transform fault, East Pacific Rise: Implications for fault strength. *J*
403 *Geophys Res* 123:7722-7740, doi:10.1029/2018JB015942

404 Nagai R, Kikuchi M, Yamanaka Y (2001) Comparative study on the source processes of recurrent large
405 earthquakes in Sanriku-oki region: the 1968 Tokachi-oki earthquake and the 1994 Sanriku-oki
406 earthquake. *Zisin ser.2* 54:267-280 (in Japanese with English abstract)

407 Nakajima J, Hasegawa A (2006) Anomalous low-velocity zone and linear alignment of seismicity along
408 it in the subducted Pacific slab beneath Kanto, Japan: Reactivation of subducted fracture zone?
409 *Geophys Res Lett* 33:16, doi.org/10.1029/2006GL026773

410 Nakajima J, Hirose F, Hasegawa A (2009) Seismotectonics beneath the Tokyo metropolitan area,
411 Japan: Effect of slab-slab contact and overlap on seismicity. *J Geophys Res* 114, B8,

412 doi:10.1029/2008JB006101

413 Nishikawa T, Matsuzawa T, Ohta K, Uchida N, Nishimura T, Ide S (2019) The slow earthquake
414 spectrum in the Japan Trench illuminated by the S-net seafloor observatories. *Science* 365:808-813

415 Ohnaka M, Shen L (1999) Scaling of the shear rupture process from nucleation to dynamic propagation:
416 Implications of geometric irregularity of the rupturing surfaces. *J Geophys Res* 104, B1:817-844

417 Okuda T, Ide S (2018) Hierarchical rupture growth evidenced by the initial seismic waveforms. *Nature*
418 *Comm*, 9:1-7, doi:10.1038/s41467-018-06168-3

419 Oth A (2013) On the characteristics of earthquake stress release variations in Japan. *Earth and*
420 *Planetary Sci Lett* 377:132-141, doi:10.1016/j.epsl.2013.06.037

421 Prieto GA, Shearer PM, Vernon FL, Kilb, D (2004) Earthquake source scaling and self-similarity
422 estimation from stacking P and S spectra. *J Geophys Res* 109:B08310, doi:10.1029/2004JB003084

423 Shearer PM, Prieto, GA, Hauksson, E (2006) Comprehensive analysis of earthquake source spectra in
424 southern California. *J Geophys Res* 111, B06303. doi:10.1029/2005JB003979

425 Uchida N, Matsuzawa T, Ellsworth WL, Imanishi K, Okada T, Hasegawa A (2007) Source parameters
426 of a M4.8 and its accompanying repeating earthquakes off Kamaishi, NE Japan: Implications for the
427 hierarchical structure of asperities and earthquake cycle. *Geophys Res Lett* 34:20,
428 doi:10.1029/2007GL031263

429 Uchide T, Imanishi K (2018) Underestimation of microearthquake size by the magnitude scale of the
430 Japan Meteorological Agency: Influence on earthquake statistics. *J Geophys Res* 123:606-620,
431 doi:10.1002/2017JB014697

432 Uchide T, Shearer PM, Imanishi K (2014) Stress drop variations among small earthquakes before the
433 2011 Tohoku-oki, Japan, earthquake and implications for the main shock. *J Geophys Res*
434 119:7164-7174, doi:10.1002/2014JB010943

435 Urano S, Hiramatsu Y, Yamada T, The Group for the Joint Aftershocks Observations of the 2007 Noto
436 Hanto Earthquake (2015) Relationship between coseismic slip and static stress drop of similar
437 aftershocks of the 2007 Noto Hanto earthquake. *Earth Planets Space* 67:101.
438 doi:10.1186/s40623-015-0277-0

439 Wald DJ, Heaton TH (1994) Spatial and temporal distribution of slip for the 1992 Landers, California,
440 earthquake. *Bull Seismol Soc Am* 84:668-691

441 Walker KT, Shearer PM (2009) Illuminating the near-sonic rupture velocities of the intracontinental

442 Kokoxili M_W 7.8 and Denali fault M_W 7.9 strike-slip earthquakes with global P wave back projection
443 imaging. *J Geophys Res* 114, B02304, doi:10.1029/2008JB005738

444 Wessel P, Smith W (1995) New version of the generic mapping tools. *EOS Trans AGU* 72:445–446

445 Yamada T, Mori JJ, Ide S, Abercrombie RE, Kawakata H, Nakatani M, Iio Y, Ogasawara H (2007)
446 Stress drops and radiated seismic energies of microearthquakes in a South African gold mine. *J*
447 *Geophys Res* 112:B03305, doi:10.1029/2006JB004553

448 Yamada T, Mori JJ, Ide S, Kawakata H, Iio Y, Ogasawara H (2005) Radiation efficiency and apparent
449 stress of small earthquakes in a South African gold mine. *J Geophys Res* 110:B01305,
450 doi:10.1029/2004JB003221

451 Yamada T, Okubo PG, Wolfe CJ (2010) Kiholo Bay, Hawai‘i, earthquake sequence of 2006:
452 Relationship of the main shock slip with locations and source parameters of aftershocks. *J Geophys*
453 *Res* 115:B08304, doi:10.1029/2009JB006657

454 Yamada T, Yukutake Y, Terakawa T, Arai R (2015) Migration of earthquakes with a small stress drop
455 in the Tanzawa Mountains, Japan. *Earth Planets Space* 67:175. doi:10.1186/s40623-015-0344-6

456 Yamada T, Saito Y, Tanioka Y, Kawahara J (2017) Spatial pattern in stress drops of moderate-sized
457 earthquakes on the Pacific Plate off the south-east of Hokkaido, Japan: implications for the
458 heterogeneity of frictional properties. *Prog Earth Planet Sci* 4:38, doi:10.1186/s40645-17-0152-7

459 Yamanaka Y, Kikuchi M (2004) Asperity map along the subduction zone in northeastern Japan inferred
460 from regional seismic data. *J Geophys Res* 109:B7307, doi.org/10.1029/2003JB002683

461 Yoshimitsu N, Kawakata H, Takahashi N (2014) Magnitude -7 level earthquakes: A new lower limit of
462 self-similarity in seismic scaling relationships. *Geophys Res Lett* 41:4495-4502,
463 doi:10.1002/2014GL060306

Figure 1. (a) Seismicity around the study region from 2003 to 2018. Hypocenters with M equal to or greater than 3.0, as determined by JMA, are plotted. The size and color of the symbols show the magnitude and depth of earthquakes, respectively. It is clearly described that most earthquakes take place on the subduction interface of the Pacific Plate. (b) Epicenters of analyzed earthquakes and locations of seismic stations used in this study. The red circles show hypocenters, and the blue squares represent seismic stations, including stations of Hi-net (NIED), JMA, Hokkaido University, Hirosaki University, and Tohoku University. The depth of the upper surface of the subducting Pacific Plate is indicated by orange lines with an interval of 20 km Kita et al. (2010); Nakajima and Hasegawa (2006); Nakajima et al. (2009). Waveforms observed at the stations N.TROH and N.IWEH are displayed in Figs. 2, A1, A2, and A3.

Figure 2. (a) Example of an analyzed waveform of an earthquake with $M4.8$. The horizontal color bars show three time windows used in obtaining spectra, which were (S0) -0.50 to 9.73 s, (S1) 0.78 to 11.01 s, and (S2) 2.06 to 12.29 s after the arrival time of S wave. The gray line indicates a time window from 12.00 to 1.77 s before the P arrival, which was used to calculate the noise spectrum in (b). Individual time windows include 1,024 data points. (b) Waveform spectra for the four time windows marked in (a). (c) Example of a waveform of an $M3.5$ earthquake that was used for an EGF. Note that the vertical scale is different from that in (a). (d) Waveform spectra for the four time windows marked in (c). (e) Deconvolved spectra with the best-fit omega-squared model. The color lines show deconvolved source spectra, that is, (b) divided by (d), for three individual time windows with a resampling of frequency bands. The black broken line indicates the best-fit omega-squared model with corner frequencies of 1.0 and 2.5 Hz for the analyzed and EGF earthquakes, respectively.

Figure 3. (a) Stress drops for individual earthquakes estimated from P waves. The color and scale of circles express values of stress drop and earthquake magnitudes, respectively. The thick lines show the depth of the upper surface of the subducting Pacific Plate Kita et al. (2010); Nakajima and Hasegawa (2006); Nakajima et al. (2009). Thin contours indicate the coseismic displacement of the 2011 Tohoku earthquake with M_W 9.0 Iinuma et al. (2012) at an interval of 2 m. (b) Spatially smoothed pattern of stress drop, which was derived from (a) at grid points at intervals of 0.1 degrees in latitude and longitude. Value at individual grid points were calculated as the average of stress drops of earthquakes within 20 km of the epicentral distance from the grid points. No values were assigned at grid points with less than four earthquakes within 20 km of the epicentral distance. (c) Values of stress drop obtained from S waves. (d) Spatially smoothed pattern of stress drop derived from (c).

Figure 4. Spatially smoothed stress drop derived from (a) P-wave and (b) S-wave analyses, the same as Figure 3. Thin contours show coseismic displacements of the following M7-8 large earthquakes for the 1968 Tokachi-oki, the 1978 Miyagi-oki, the 1981 Miyagi-oki, the 1989 Sanriku-oki, and the 2003 Miyagi-oki at an interval of 0.5 m Nagai et al. (2001); Yamanaka and Kikuchi (2004). Areas A and B, corresponding to areas where repeating earthquakes have been observed Uchida et al. (2007); Okuda and Ide (2018), have higher values of stress drop. Refer to the text in detail.

Figure 5. (a) Spatial distribution of smoothed stress drop estimated by S-wave analyses from 2003 to 2010, which is before the 2011 Tohoku earthquake. Thin contours show the coseismic displacement of the 2011 Tohoku earthquake at an interval of 2 m, the same as Figure 3 Iinuma et al. (2012). Please note that values were not assigned at grid points with less than four earthquakes within 20 km of the epicentral distance. (b) Stress drops from 2012 to 2018. Clear temporal changes are observed associated with the 2011 Tohoku earthquake in areas C and D, corresponding to edges of the coseismic slip. (c) Stress drops for all the analyzed period of 2003 through 2018.

Figure 6. (a) Values of stress drop estimated from S waves as a function of focal depth. The red circles show average values for each depth band for every 10 km. Vertical bars express standard errors for individual earthquakes, as obtained from results at individual seismic stations and components. (b) Values of stress drop estimated from S waves as a function of magnitude. The red circles indicate average values for individual magnitude ranges. Vertical bars show standard errors (same as (a)). (c) Stress drops as a function of time for all the analyzed earthquakes. (d) Temporal characteristics of stress drop in the area C. (e) Temporal features of stress drop in the area D.

Figure 7. Relationship between the JMA magnitude and the apparent magnitude derived as the sum of the magnitude of EGF earthquakes (3.5) and values of $(2/3) \log(R_r^C M_{0r})$ in Equation (9). Results in (a) and (b) show those derived from P and S waves, respectively.

Figure A1. (a) Example of an analyzed waveform of an earthquake with M4.8, which was recorded by the UD component at the station N.TROH. The color lines indicate the three time windows used in deconvolution, which were (P0) -0.50 to 9.73 s, (P1) 0.78 to 11.01 s, and (P2) 2.06 to 12.29 s after the P arrival similar to Fig. 2. The gray line shows a time window from 12.00 to 1.77 s before the arrival time of the P wave, which was used to calculate the spectrum of a noise in (b). Each time window has 1,024 data points. (b) Spectra of waveforms for the four time windows shown in (a). (c) Example of a waveform of an M3.5 earthquake that was used for an EGF. Note that the vertical scales in (a) and (c) are different. (d) Spectra of waveforms for the four time windows shown in (c). (e) Deconvolved spectra with the fitted omega-squared model. The color lines are deconvolved source spectra, that is, (b) divided by (d), for three individual time windows with a resampling of frequency bands. The black broken line shows the fitted omega-squared model with corner frequencies of 1.0 and 3.2 Hz for the analyzed and EGF earthquakes, respectively.

Figure A2. (a) Example of an analyzed waveform of an earthquake with M4.4, which was recorded by the UD component at the station N.IWEH. (b) Spectra of waveforms for the four time windows shown in (a). (c) Example of a waveform of an M3.5 earthquake that was used for an EGF. Note that the vertical scales in (a) and (c) are different. (d) Spectra of waveforms for the four time windows shown in (c). (e) Deconvolved spectra with the fitted omega-squared model. The color lines are deconvolved source spectra, that is, (b) divided by (d), for three individual time windows with a resampling of frequency bands. The black broken line shows the fitted omega-squared model with corner frequencies of 10.0 and 15.8 Hz for the analyzed and EGF earthquakes, respectively.

Figure A3. (a) Example of an analyzed waveform of an earthquake with M4.4, which was recorded by the NS component at the station N.IWEH. (b) Spectra of waveforms for the four time windows shown in (a). (c) Example of a waveform of an M3.5 earthquake that was used for an EGF. Note that the vertical scales in (a) and (c) are different. (d) Spectra of waveforms for the four time windows shown in (c). (e) Deconvolved spectra with the fitted omega-squared model. The color lines are deconvolved source spectra, that is, (b) divided by (d), for three individual time windows with a resampling of frequency bands. The black broken line shows the fitted omega-squared model with corner frequencies of 6.3 and 12.6 Hz for the analyzed and EGF earthquakes, respectively.

Figures

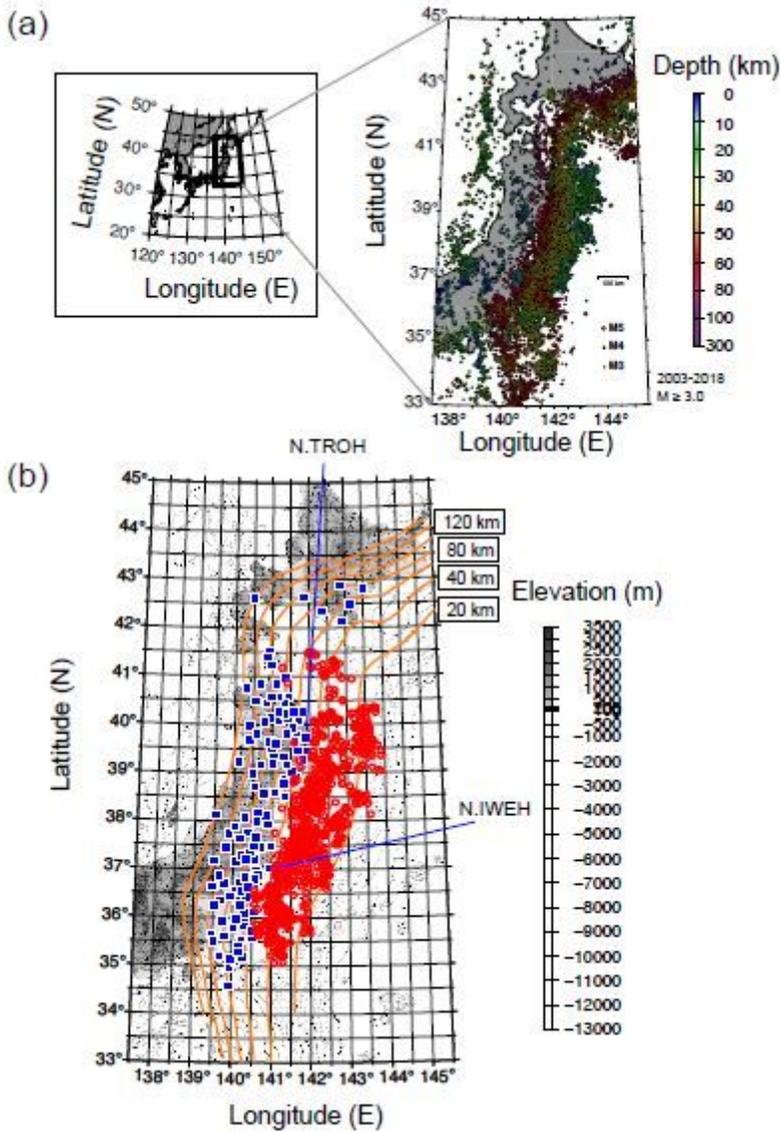


Figure 1

(a) Seismicity around the study region from 2003 to 2018. Hypocenters with M equal to or greater than 3.0, as determined by JMA, are plotted. The size and color of the symbols show the magnitude and depth of earthquakes, respectively. It is clearly described that most earthquakes take place on the subduction interface of the Pacific Plate. (b) Epicenters of analyzed earthquakes and locations of seismic stations used in this study. The red circles show hypocenters, and the blue squares represent seismic stations, including stations of Hi-net (NIED), JMA, Hokkaido University, Hirosaki University, and Tohoku University. The depth of the upper surface of the subducting Pacific Plate is indicated by orange lines with an interval of 20 km Kita et al. (2010); Nakajima and Hasegawa (2006); Nakajima et al. (2009). Waveforms observed at the stations N.TROH and N.IWEH are displayed in Figs. 2, A1, A2, and A3.

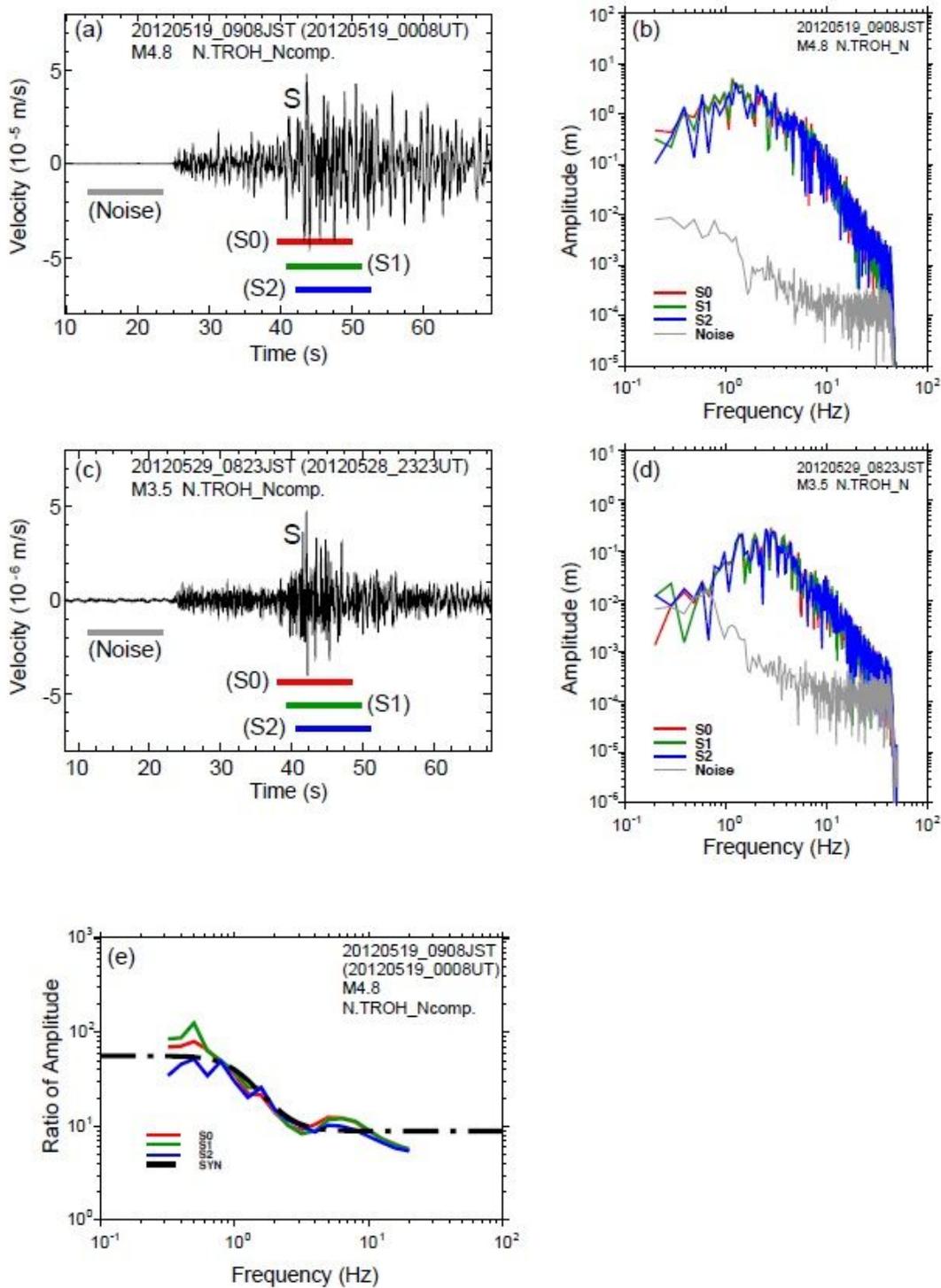


Figure 2

(a) Example of an analyzed waveform of an earthquake with M4.8. The horizontal color bars show three time windows used in obtaining spectra, which were (S0) -0.50 to 9.73 s, (S1) 0.78 to 11.01 s, and (S2) 2.06 to 12.29 s after the arrival time of S wave. The gray line indicates a time window from 12.00 to 1.77 s before the P arrival, which was used to calculate the noise spectrum in (b). Individual time windows include 1,024 data points. (b) Waveform spectra for the four time windows marked in (a). (c) Example of

a waveform of an M3.5 earthquake that was used for an EGF. Note that the vertical scale is different from that in (a). (d) Waveform spectra for the four time windows marked in (c). (e) Deconvolved spectra with the best-fit omega-squared model. The color lines show deconvolved source spectra, that is, (b) divided by (d), for three individual time windows with a resampling of frequency bands. The black broken line indicates the best-fit omega-squared model with corner frequencies of 1.0 and 2.5 Hz for the analyzed and EGF earthquakes, respectively.

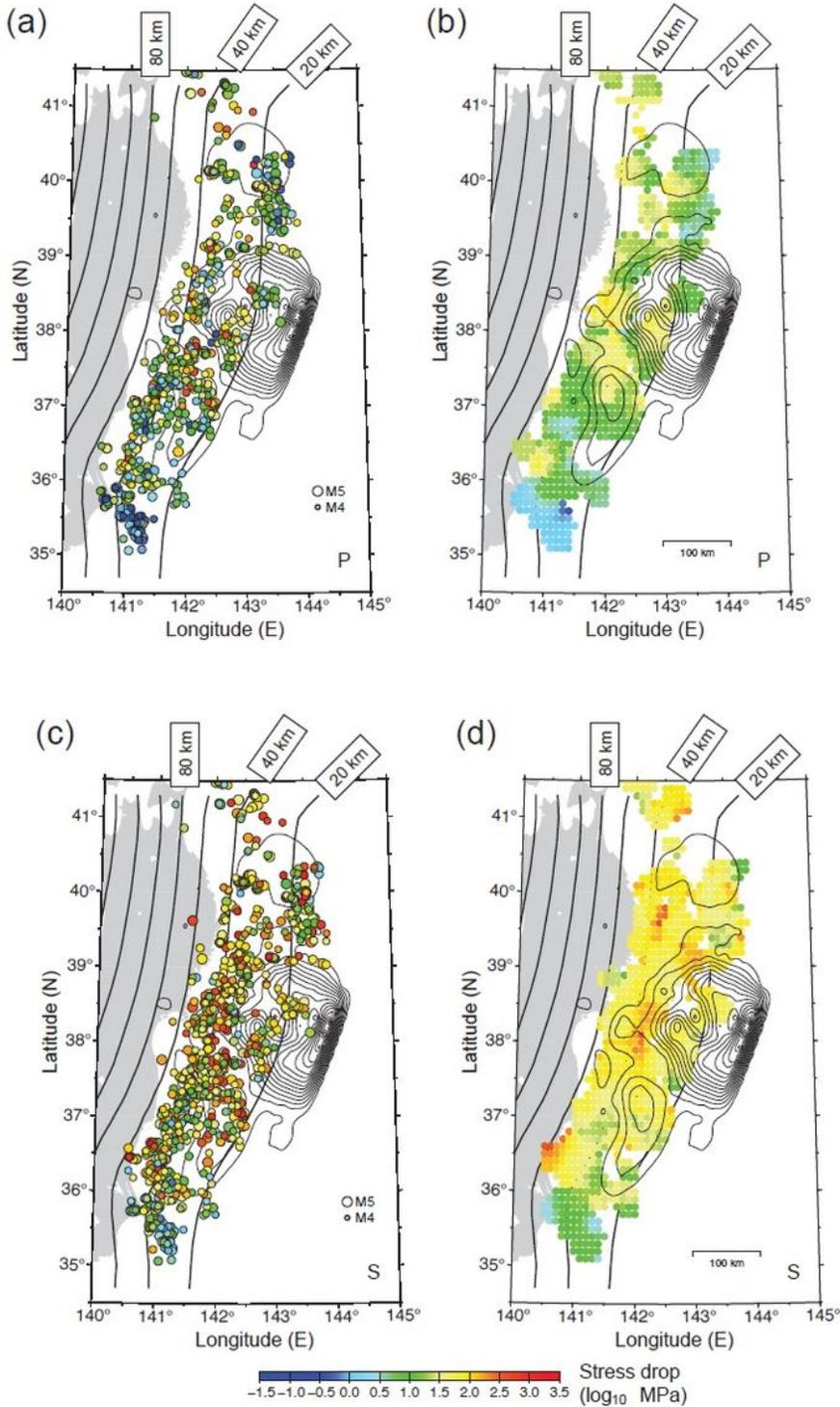


Figure 3

(a) Stress drops for individual earthquakes estimated from P waves. The color and scale of circles express values of stress drop and earthquake magnitudes, respectively. The thick lines show the depth of the upper surface of the subducting Pacific Plate Kita et al. (2010); Nakajima and Hasegawa (2006); Nakajima et al. (2009). Thin contours indicate the coseismic displacement of the 2011 Tohoku earthquake with MW9.0 Iinuma et al. (2012) at an interval of 2 m. (b) Spatially smoothed pattern of stress drop, which was derived from (a) at grid points at intervals of 0.1 degrees in latitude and longitude. Value at individual grid points were calculated as the average of stress drops of earthquakes within 20 km of the epicentral distance from the grid points. No values were assigned at grid points with less than four earthquakes within 20 km of the epicentral distance. (c) Values of stress drop obtained from S waves. (d) Spatially smoothed pattern of stress drop derived from (c).

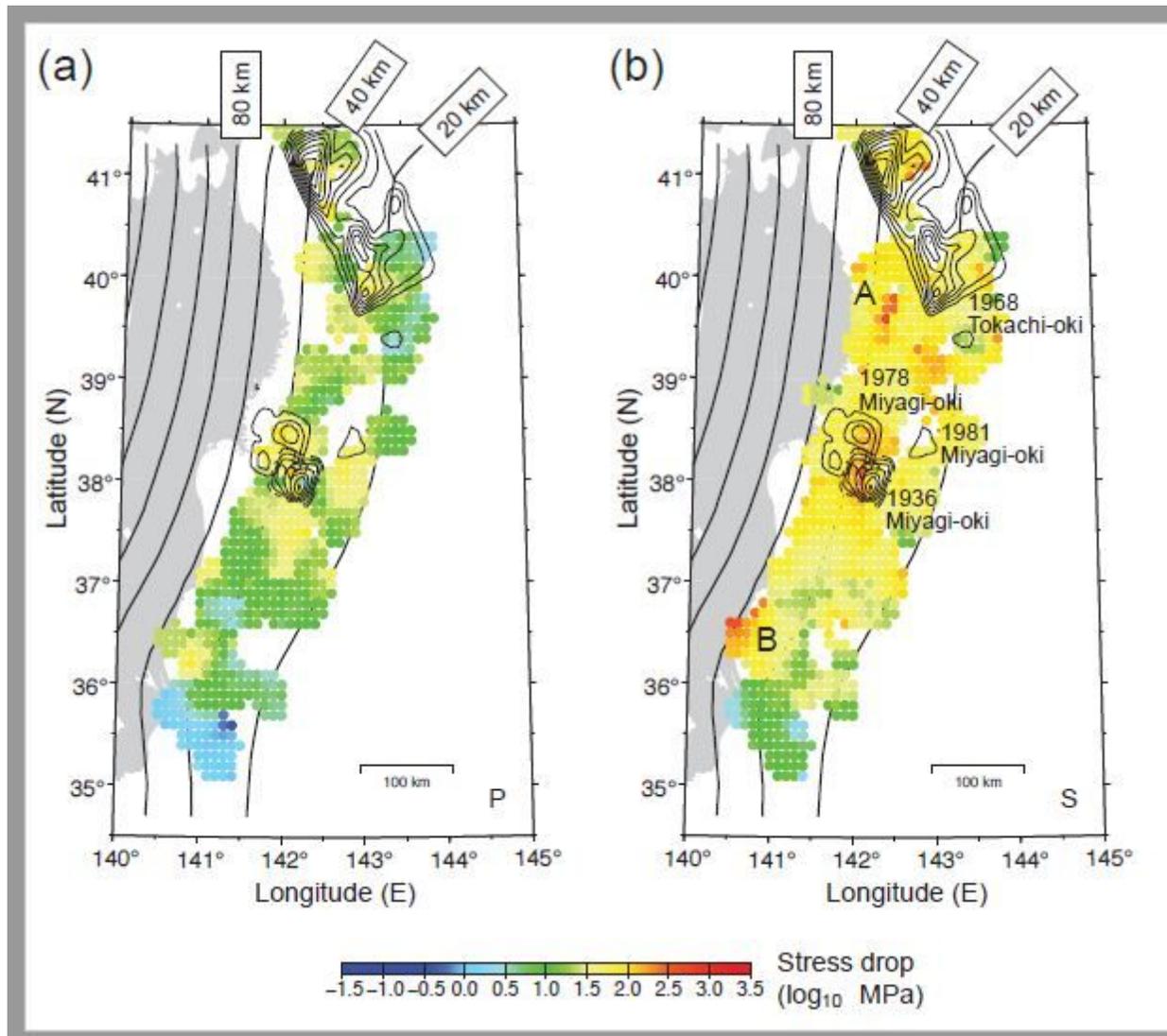


Figure 4

Spatially smoothed stress drop derived from (a) P-wave and (b) S-wave analyses, the same as Figure 3. Thin contours show coseismic displacements of the following M7-8 large earthquakes for the 1968 Tokachi-oki, the 1978 Miyagi-oki, the 1981 Miyagi-oki, the 1989 Sanriku-oki, and the 2003 Miyagi-oki at an interval of 0.5 m Nagai et al. (2001); Yamanaka and Kikuchi (2004). Areas A and B, corresponding to

areas where repeating earthquakes have been observed Uchida et al. (2007); Okuda and Ide (2018), have higher values of stress drop. Refer to the text in detail.

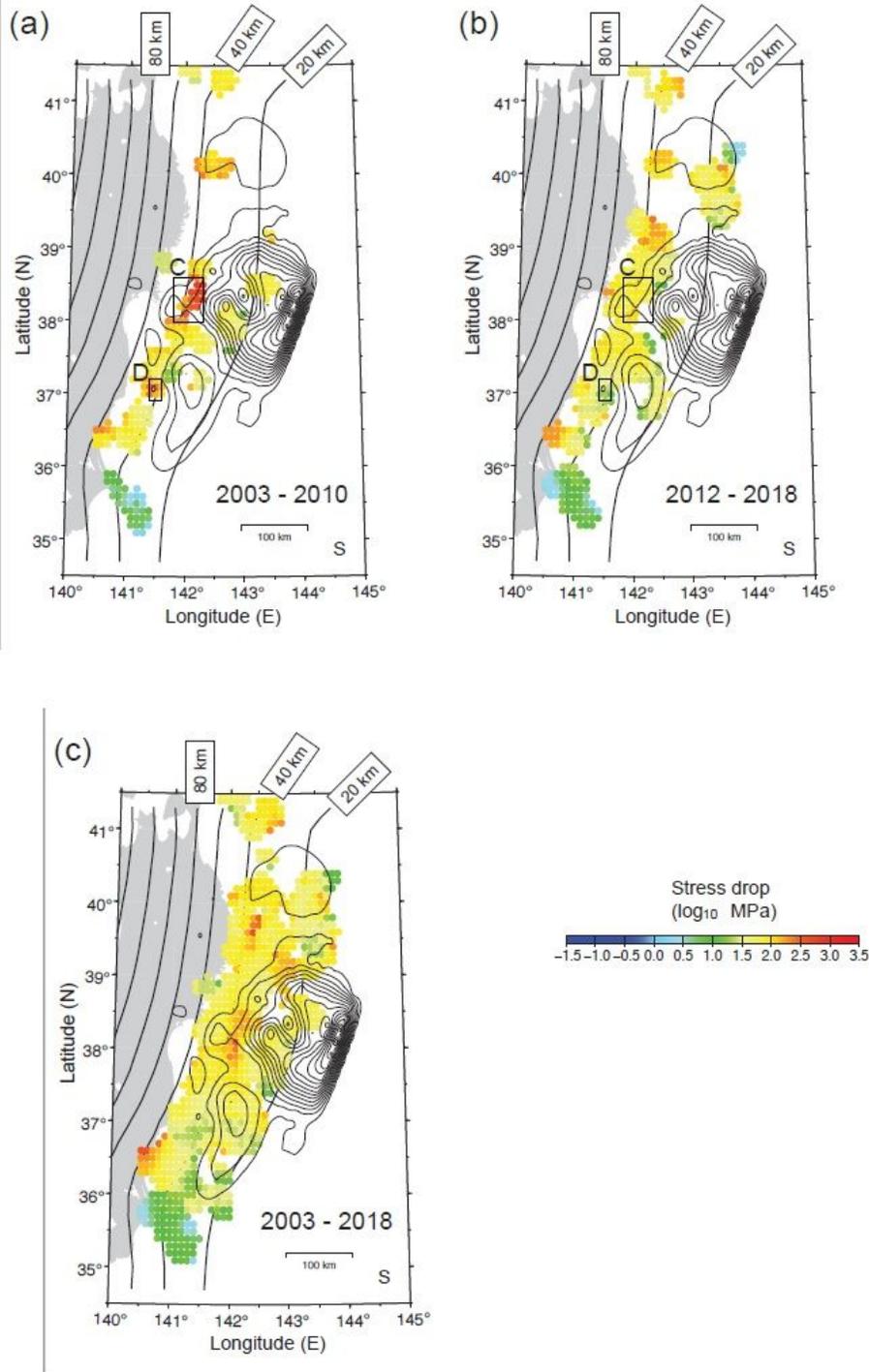


Figure 5

(a) Spatial distribution of smoothed stress drop estimated by S-wave analyses from 2003 to 2010, which is before the 2011 Tohoku earthquake. Thin contours show the coseismic displacement of the 2011 Tohoku earthquake at an interval of 2 m, the same as Figure 3 Iinuma et al. (2012). Please note that

values were not assigned at grid points with less than four earthquakes within 20 km of the epicentral distance. (b) Stress drops from 2012 to 2018. Clear temporal changes are observed associated with the 2011 Tohoku earthquake in areas C and D, corresponding to edges of the coseismic slip. (c) Stress drops for all the analyzed period of 2003 through 2018.

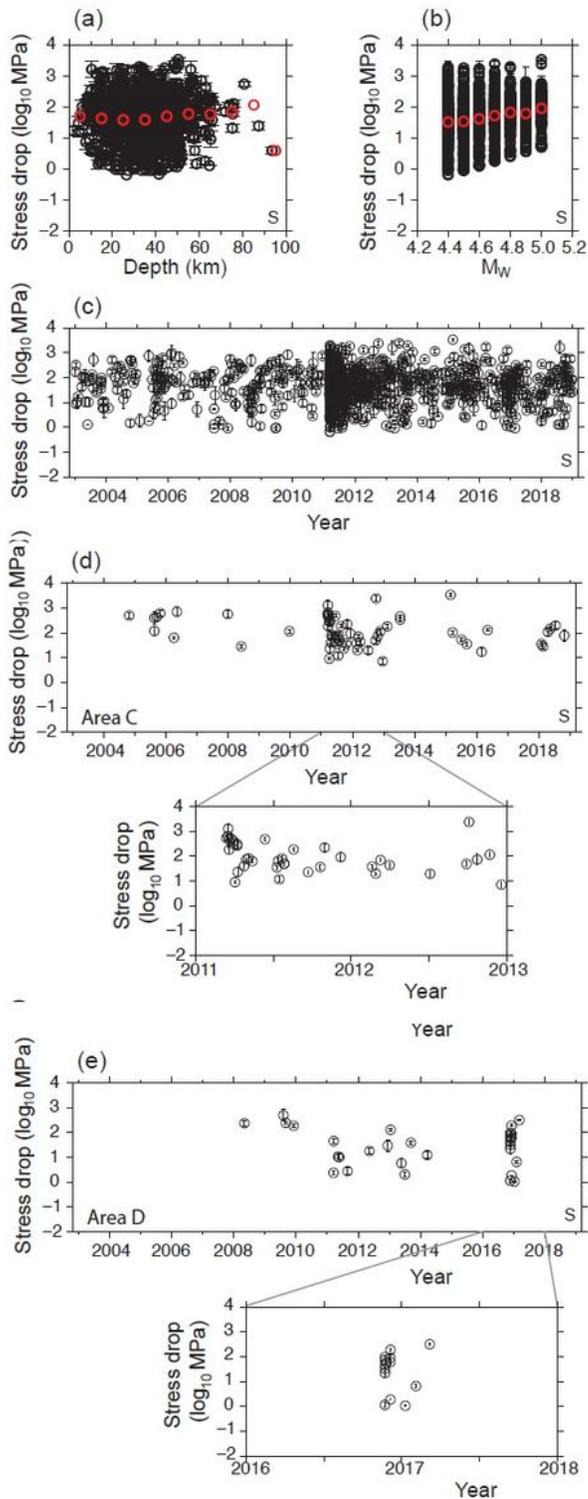


Figure 6

(a) Values of stress drop estimated from S waves as a function of focal depth. The red circles show average values for each depth band for every 10 km. Vertical bars express standard errors for individual earthquakes, as obtained from results at individual seismic stations and components. (b) Values of stress drop estimated from S waves as a function of magnitude. The red circles indicate average values for individual magnitude ranges. Vertical bars show standard errors (same as (a)). (c) Stress drops as a function of time for all the analyzed earthquakes. (d) Temporal characteristics of stress drop in the area C. (e) Temporal features of stress drop in the area D.

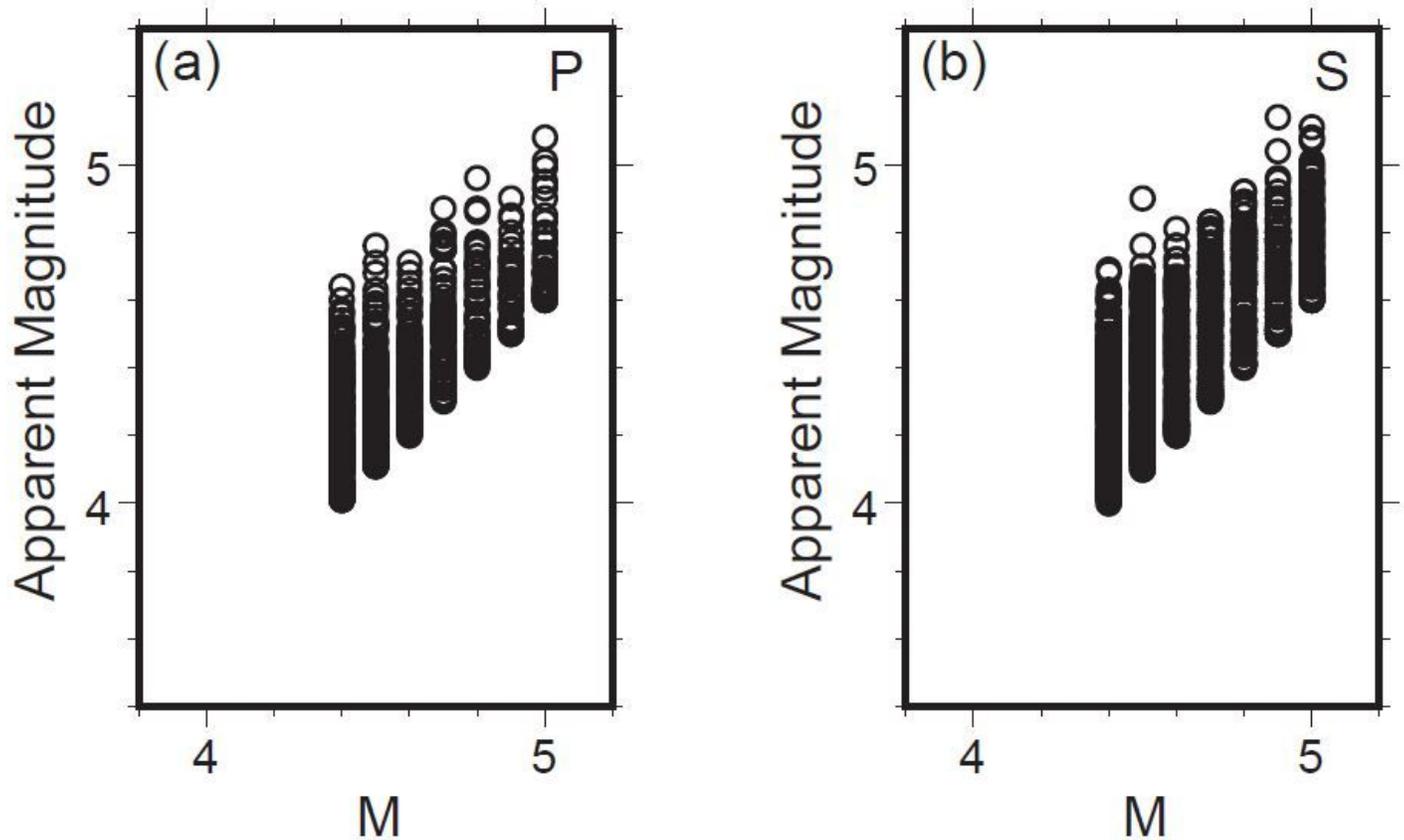


Figure 7

Relationship between the JMA magnitude and the apparent magnitude derived as the sum of the magnitude of EGF earthquakes (3.5) and values of $(2=3) \log RCr M0r$ in Equation (9). Results in (a) and (b) show those derived from P and S waves, respectively.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [13eqlist.txt](#)
- [15resultsS.txt](#)
- [14resultsP.txt](#)
- [12figSupp03deconvM44S.pdf](#)

- [16graphycalabstract.pdf](#)
- [11figSupp02deconvM44P.pdf](#)
- [10figSupp01deconvM48P.pdf](#)