

Optimization of Epicardial Fat Thickness Change in Obese Patients with Weight Loss by Bariatric Surgery Using Central Composite and Box-Behnken Design

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1 **Optimization of Epicardial Fat Thickness Change in Obese Patients with Weight Loss by Bariatric**
2 **Surgery Using Central Composite and Box-Behnken Design**

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12
13 **ABSTRACT**

14 **Background:** The aim of our study was to determine the optimization of the change in epicardial fat
15 thickness in obese patients who underwent bariatric surgery with Central Composite (CCD) and Box-
16 Behnken Experimental design (BBD).

17 **Methods:** Response Surface Methods are used to see the sensitivity of the assessment criterion to changes
18 in design variables, and even to obtain the necessary correlations experimentally. Response surface
19 methods are evaluated in two different ways as CCD and BBD design. In this study, 3³ experimental
20 designs were designed. The study data consisted of 40 obese patients who lost weight by bariatric surgery
21 between February 2015 and December 2016. Body Mass Index (BMI), Age and HOMA values were
22 evaluated in 3 categories and 3 levels, and response variable was the change in Epicardial Fat Thickness
23 (Δ EFT).

24 **Results:** As a result of CCD analysis, Age = 30.52, BMI = 45.30, HOMA = 34.62, the optimum Δ EFT =
25 2.571. As a result of BBD analysis, Age = 38.36, BMI = 63.18, HOMA = 14.95, the optimum Δ EFT = 3.756.
26 Optimum Δ EFT is modeled with Contour and Response surface graphics.

27 **Conclusion:** According to the results of the analysis, it was found that BBD analysis for optimum Δ EFT
28 was more positive than CCD and optimum age, BMI and HOMA combinations were determined to reach
29 maximum Δ EFT.

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31 Keywords: Response surface methodology, Central composite design, Box-Behnken design, Bariatric Surgery
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1. INTRODUCTION

Although factorial trials may be applied in all areas of research, biology is particularly common in medical practice. Because biological events are under the influence of multiple factors. Therefore, we need to examine the effects together in any biological event to get closer to the reality. In factorial trials, different levels of multiple factors are studied at the same time and the status of a factor can be addressed at different levels of other factor or factors.

None of the combinations tried may be the best. In other words, the highest yielding combination can be found in or outside the trials. Therefore, a large number of factor combinations are needed in factorial trials. However, it is very expensive to do such trials and in addition, as the number of factors increases, it is difficult to find the homogeneous test material necessary to test all combinations. Therefore, to find the most appropriate combination of factors, statistical methods that do not require the conduct of trials involving all combinations have been developed. These methods basically carry out the first attempt by designing a relatively limited trial area, taking advantage of previous studies or similar trials, and combinations that only determine the points in this area. From the results of this experiment, firstly the point of the highest yielding factor levels is estimated, then the point where the actual optimum point is reached or by using the coefficients of the second degree response surface function of the first trial results (steepest ascent method). The highest optimization is attempted to be found [1].

It is well known that obesity is one of the greatest public health challenges and individuals with obesity have increased mortality related to cardiovascular disease (CVD) throughout in their life. Bariatric surgery is treatment of choice when all the other pharmacological and non-pharmacological approaches fail to provide desired results. Epicardial fat thickness (EFT) has been suggested as a new cardio-metabolic risk factor [2-3-4]. It was previously showed that increased EFT which is an predictor of visceral adiposity and early atherosclerotic structural changes may be reversed by sustained weight loss following bariatric surgery in asymptomatic obese patients in a prospective study design [5].

The aim of our study was to determine the optimization of the change in EFT in obese patients who underwent bariatric surgery with Central Composite (CCD) and Box-Behnken Trials (BBD).

81 This study was approved by Baskent University Institutional Review Board and Ethics Committee and supported
 82 by Baskent University Research Funding (Approval number: KA16/281). The study data consisted of 40 obese
 83 patients who lost weight by bariatric surgery between February 2015 and December 2016. Body Mass Index
 84 (BMI), Age and HOMA values were evaluated in 3 categories and 3 levels, and response variable was the
 85 change in Epicardial Fat Thickness (ΔEFT).

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87 2. METHODS

88

89 A first order model should have to be a linear structure. However, the curvature test may reveal the presence of
 90 curvature. In this case, second order response surface analysis should be used.

$$91 \quad y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_{11} x_1^2 + \beta_{22} x_2^2 + \beta_{12} x_1 x_2 + \varepsilon \quad (2.1)$$

92 This model is called the second order response surface model. This trial order has some characteristics [2,3,4].

93 i) Each factor must have at least 3 levels.

94 ii) The model shall have at least $1 + 2k + k(k-1)$ 2 different parameters. As a result, the trial order $1 + 2k + k(k-$
 95 $1)$ should contain data from 2 different points.

96 In these experiments, the point where the dependent variable takes its maximum or minimum value is called
 97 stationary point [9]. This point is located at the center of the system shown as ellipses. In some cases, the central
 98 point in the center indicates neither the maximum nor the minimum value. In this case, the static point is called
 99 the saddle point, and the system is called saddle system. One of the most important points in the method of
 100 second order response surfaces are stationary points. 3D graphics (response surface and contour graph) helps to
 101 determine these points.

102 2.1 Calculation of constant points

103 The determination of the components in the second order response surface method depends on the size of the
 104 coefficients given in the regression equation. The steps to calculate the constant points are as follows.

105 i) A second order response surface model is estimated by the help of the data obtained from the experiment.

106 ii) For each of the factors included in a model, partial derivatives are taken and equalized to zero.

$$107 \quad \frac{\partial \hat{Y}}{\partial x_1} = \frac{\partial \hat{Y}}{\partial x_2} = \dots = \frac{\partial \hat{Y}}{\partial x_j} = 0 \quad (2.2)$$

108 iii) Equivalent which obtained in step ii. (2.2) solves the equation system. A value will be obtained for each
 109 factor. These values are substituted in the model and the predicted variable value is obtained for the stationary
 110 points.

111

112 It is also possible to obtain constant points with matrices. If the given model is expressed in matrices;

$$113 \quad \hat{Y} = b_1 + x'b + x' \hat{B}x \quad (2.3)$$

114 At Equation 2.3 b_1 shows the model constant and \hat{B} indicates the expected values of second order model
 115 coefficients.

$$116 \quad x' = [x_1, \dots, x_j] \quad (2.4)$$

117 \hat{B} is a symmetric matrix.

$$\hat{B} = \begin{bmatrix} b_{11} & \frac{1}{2}b_{12} & \frac{1}{2}b_{1q} \\ \frac{1}{2}b_{12} & \frac{1}{2}b_{22} & \frac{1}{2}b_{2q} \\ \frac{1}{2}b_{1q} & \frac{1}{2}b_{2q} & b_{qq} \end{bmatrix} \quad (2.5)$$

119 Constant Points

$$120 \quad x_s = \frac{1}{2} \hat{\beta}^{-1} b \quad (2.6)$$

121 Equation is available from 2.6. If we replace the static points in the main equation;

$$122 \quad \hat{Y}_s = b_0 + x'_s b + x'_s \hat{B} x_s$$

$$123 \quad \hat{Y}_s = b_0 + \frac{1}{2} x'_s b \quad (2.7)$$

124 Equation 2.7 will be obtained.

125 \hat{Y}_s is the predicted value of the response variable from the constant point [8,10,11,12].

126

127 **2.2. Structure of Constant Point (Canonical Analysis)**

128

129 When a quadratic equation is found to be sufficient, Canonical analysis is applied to decide about the location
130 and structure of stationary points. The structure of the stationary point determines the marks of the eigenvalues
131 obtained by the matrix \hat{B} . For this, it is possible to write a new equation containing canonical variables.

$$132 \quad \hat{Y} = \hat{Y}_s + \sum_{j=1}^k \lambda_j W_j^2 \quad (2.8)$$

133 Equation 2.8 shows the eigenvalues to be derived from the $\lambda_1, \lambda_2, \dots, \lambda_k$ $\hat{\beta}$ vector, while W_1, W_2, \dots, W_k is
134 called canonical variables. It is possible to understand the properties of the constant points obtained with the help
135 of Equation 2.8.

136 i) If all $\lambda_1, \lambda_2, \dots, \lambda_k$ are negative, the static point is showing the maximum,

137 ii) If all $\lambda_1, \lambda_2, \dots, \lambda_k$ are positive, the static point represents the minimum and,.

138 iii) If the signs of $\lambda_1, \lambda_2, \dots, \lambda_k$ eigenvalues are mixed, the static point denotes the saddle point [8, 12, 13].

139

140 **2.3. Central Composite Design**

141

142 Central composite trial order (CCD) is one of the most popular methods for creating a second order response
143 level model. The CCD is composed of 2^k number of two-level factorial trials, with $2k$ number of axes or star
144 point. Also nc contains a number of central points. The factors in the model must be at least two-level. The
145 placement of the axis points in the trial layout is given in Table 2.1. The main effects of the second order model

146 and the first-order interaction effects are obtained from the 2^k experiment, while the curvature of the system is
147 tested with the help of the center points. Quadratic terms in the model with the help of axis points are estimated
148 [13, 8, 10, 12, 14].

149 **Table 2.1. Central Composit Design**

150

151 **2.4. Box-Behnken Design**

152 These experimental schemes laid out by Box and Behnken in 1980 are an effective method to create model of
153 second order response surfaces. It is a method built on unbalanced block trials. The factors to be included in the
154 model must have at least three levels. Let us try to explain the structure of the experiment with the help of a 3-
155 factor experiment. In the Box-Behnken layout, the value of one of the factors is fixed at the central value and
156 combinations of all the other factors are applied [13,8,15,16,17,18]. As can be seen in Table 2.2, combinations of
157 all levels of A and B factors were applied at the first level of C factor. The most recent columns of the layout
158 matrix are center point values.

159

160 **Table 2.2. Three Factors Box-Behnken Desgin**

161

162 **3. RESULTS**

163 **Data set**

164 The study data consisted of 40 obese patients who lost weight by bariatric surgery between February 2015 and
165 December 2016. Body Mass Index (BMI), Age and HOMA values were evaluated in 3 categories and 3 levels,
166 and the change in Epicardial Fat Thickness (ΔEFT) has been chosen as response variable.. The trial set-up was
167 planned before working and the study data were determined in accordance with the trial order.

168 **Table 3.1. Data design for three-factor design**

169

170 **Measurement of epicardial fat thickness:** EFT was described as the echo-free area between the free wall of the
171 myocardium and the visceral layer of the pericardium. It was measured by standard transthorasic 2D
172 echocardiography (Vivid S5 ultrasound machine, GE, Healthcare, Horten, Norway) in the parasternal long axis
173 views of 3 cardiac cycles at the end of the diastole and perpendicular to the right ventricular free wall as
174 previously described [1-3]. All measurements were performed by the same investigators who were blinded to all
175 clinical data of the patients. After digitally stored reviewed by senior echocardiographer in order to avoid inter-
176 reader variability.

177 First of all, 3^3 trial designs were determined by CCD design and contour and response graphs were drawn
178 according to the most radical increase or decrease. While planning the CCD design, 3 factors were established as
179 $\alpha = 1,633$, 6 axial points, 4 central points and 2 axial central points.

180 **Table 3.2. CCD design analysis results**

181

182 When Table 3.2 is examined, the interaction effects of Age and BMI, Age and HOMA and BMI and HOMA
183 variables were significant (p values respectively; 0.041, 0.031 and 0.026). According to these results, optimum
184 ΔEFT combinations were determined by drawing contour and response graphs and Model Equation was
185 expressed as 3.1. The R^2 value of the model was found as 87.75%.

186 The model was formed in accordance with the Equation 3.1.

187

$$\begin{aligned} 188 \Delta EFT = & 2.03 + 0.0198 \text{ Age} + 0.013 \text{ BMI} + 0.0078 \text{ HOMA} - 0.000481 \text{ Age} * \text{Age} - 0.00028 \text{ BMI} * \text{BMI} - 0.000282 \\ 189 & \text{HOMA} * \text{HOMA} + 0.000168 \text{ Age} * \text{BMI} + 0.000055 \text{ Age} * \text{HOMA} + 0.000221 \text{ BMI} * \text{HOMA} \quad (3.1) \end{aligned}$$

190

191 **Figure 3.1. Contour and response surface graphs for CCD design**

192 As a result of CCD analysis, it was determined that the optimum EFT = 2.571 was determined as Age = 30.52,
193 BMI = 45.30, HOMA = 34.62.

194 BBD design is planned as 3 factors and 3 central points.

195 **Table 3.3. BBD design analysis results**

196

197 When Table 3.3 is examined, the interaction effects of Age and BMI, Age and HOMA and BMI and HOMA
198 variables were significant (p values respectively; 0.043, 0.040 and 0.022). In this case, contour and response
199 graphs were drawn, optimum ΔEFT combinations were determined and Model equality was expressed at
200 Equation 3.2.. The R^2 value of the model was found to be 91.27%.

$$\begin{aligned} 201 \Delta EFT = & -7.72 + 0.1718 \text{ Age} + 0.245 \text{ BMI} + 0.0599 \text{ HOMA} - 0.001746 \text{ Age} * \text{Age} - 0.00166 \text{ BMI} * \text{BMI} - \\ 202 & 0.000766 \text{ HOMA} * \text{HOMA} - 0.000653 \text{ Age} * \text{BMI} + 0.000173 \text{ Age} * \text{HOMA} - 0.000690 \text{ BMI} * \text{HOMA} \quad (3.2) \end{aligned}$$

203

204 **Figure 3.2. Contour and response surface graphs for BBD experiment design**

205 As a result of the BBD analysis, when Age = 38.36, BMI = 63.18, HOMA = 14.95, optimum ΔEFT = 3.756.

206

207 **Figure 3.3. CCD and BBD design optimal point**

208 As can be seen from Figure 3.3, there are clear optimal differences between CCD and BBD designs.

209

210 **4. DISCUSSION**

211

212 One of the goals of response surface studies is to determine an appropriate function (or model) to determine the
213 relationship between the response variable and the input variables in order to accurately predict the future values
214 of the response variable. Another is to investigate the largest or the smallest response value depending on the
215 type of the problem and to determine the values of the input variables that can provide this value. Finally, the
216 contribution of a mechanism to an understanding of the mechanism underlying a response system. As a result of
217 CCD analysis, Age = 30.52, BMI = 45.30, HOMA = 34.62, the optimum ΔEFT = 2.571. As a result of the BBD
218 analysis, Age = 38.36, BMI = 63.18, HOMA = 14.95, the optimum, ΔEFT = 3.756. Optimum ΔEFT is modeled
219 with Contour and Response surface. According to the results of the analysis, it was found that BBD analysis for
220 optimum ΔEFT was much more positive than CCD and optimum Age, BMI and HOMA combinations were
221 determined to reach maximum ΔEFT .

222

223 Body mass index and waist circumference are the widely accepted measurements of generalized adiposity;
224 however they are poor indicators for visceral obesity. It is well known that visceral adipose tissue accumulation
225 is associated with subclinical atherosclerosis and increased cardiovascular risk more strongly than generalized

226 adiposity [19]. Emerging data have suggested that EFT is a reliable method to assess visceral adiposity and
227 strongly correlates with cardio-metabolic risk factors independent of overall adiposity [20,21]. EFT ≥ 5 mm was
228 found to be associated with higher incidence of detectable carotid atherosclerosis [21]. In addition EFT may be a
229 modifiable factor for CVD or a target to modify cardiovascular risk [22]. Early atherosclerotic structural changes
230 including EFT and carotid intima media thickness may be reversed or improved by sustained weight loss
231 following LSG in asymptomatic obese patients (5).

232

233 Patient are generally operated according to standard bariatric surgical indications in guidelines [23,24]. However
234 which patients have more cardiovascular benefit following bariatric surgery is uncertain. Our results may be
235 helpful to address this question. Short follow-up period is an important limitation of our study. Randomized,
236 prospective and large scale further studies are required to confirm our results.

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279 **Ethical Standards section**

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Ethic Decleration

283 In this research article which was prepared for journal;

- 284 • We have obtained the data, information and documents in the framework of academic and ethical rules,
- 285 • We provide all the information, documents, evaluations and results in accordance with scientific ethics
- 286 and moral codes,
- 287 • We referred to all of the articles I used in this study with appropriate references,
- 288 • We have not made any changes to the data used and the results,
- 289 • The information and findings specified in this study are original.

290 We declare above mentioned issues and accept all rights losses that may arise against me.

291

292 This study was approved by Baskent University Institutional Review Board and Ethics Committee and supported
293 by Baskent University Research Funding (Approval number: KA16/281).

294

295 **5. REFERENCES**

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Figures

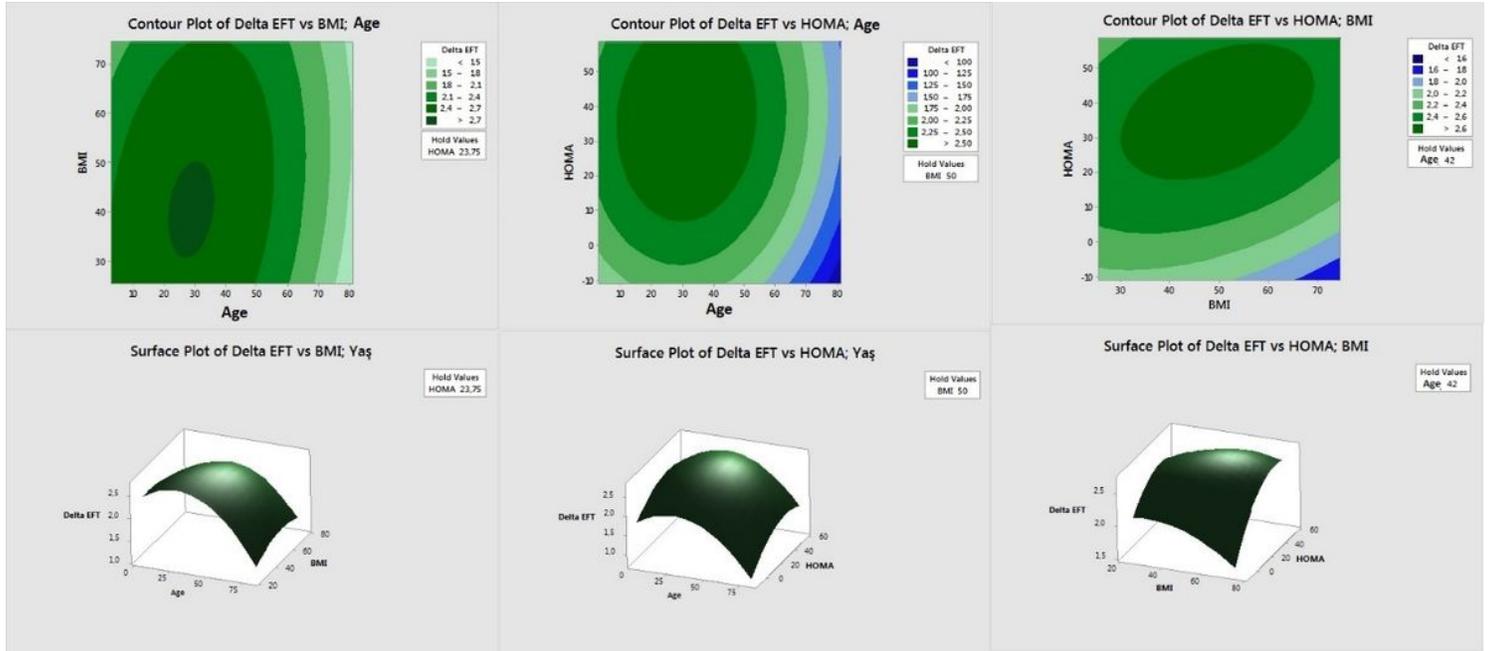


Figure 1

Contour and response surface graphs for CCD design

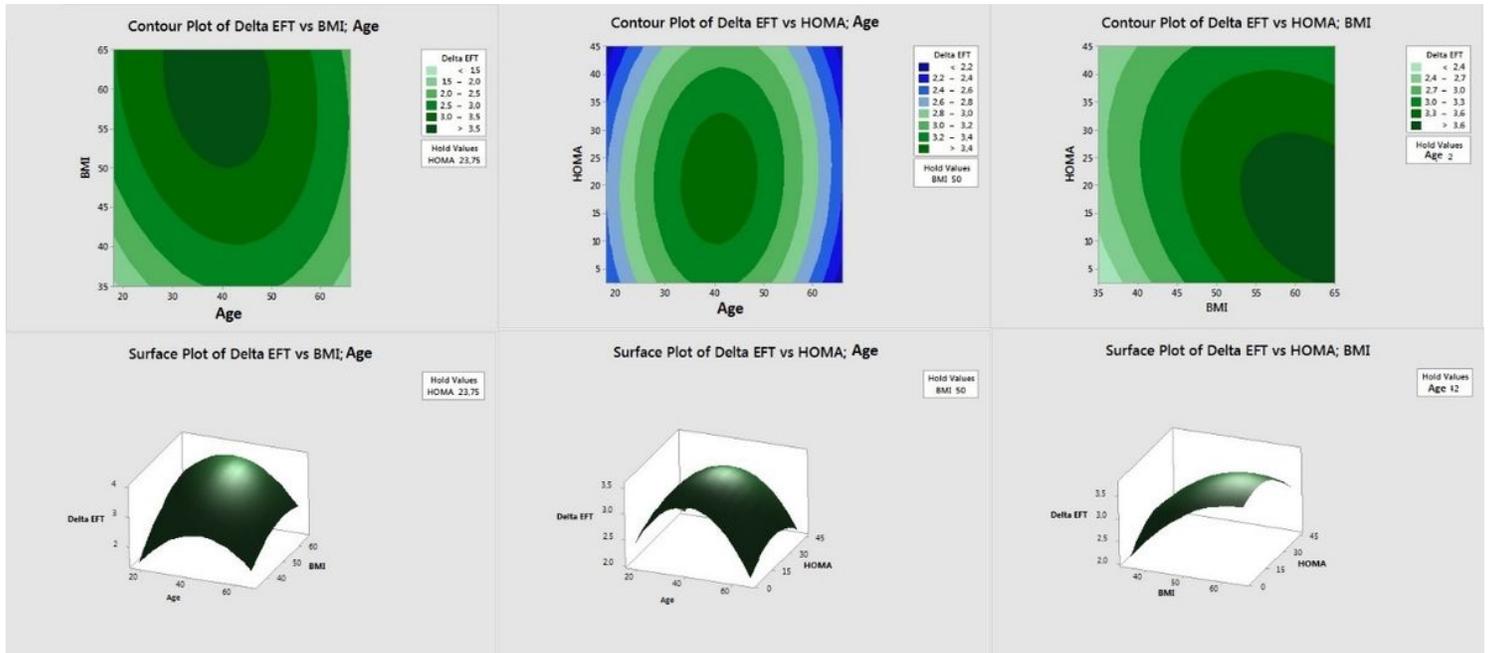


Figure 2

Contour and response surface graphs for BBD experiment design

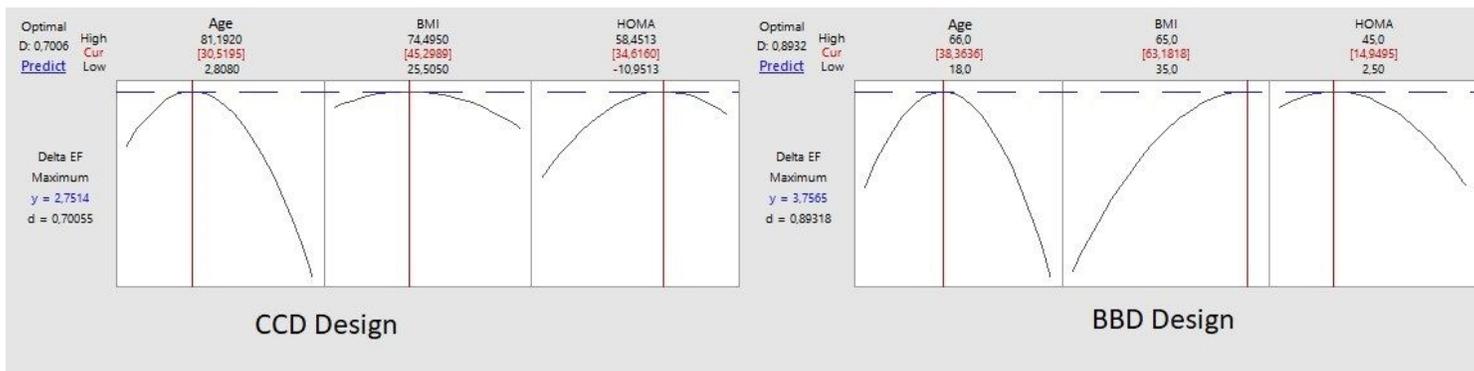


Figure 3

CCD and BBD design optimal point