

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

Pneumonitis after normofractionatedi Radoimmunotherapy: A method for Dose-Volume-Effect Correlation Evaluation

Kim Melanie Kraus kimmelanie.kraus@mri.tum.de Technical University of Munich (TUM) **Caroline Bauer** Technical University of Munich (TUM) Lisa Steinhelfer Technical University of Munich (TUM) **Benedikt Feuerecker** Technical University of Munich (TUM) Juliana Cristina Martins Technical University of Munich (TUM) **Julius Clemens Fischer** Technical University of Munich (TUM) Kai Joachim Borm Technical University of Munich (TUM) Jan Caspar Peeken Technical University of Munich (TUM) Denise Bernhardt Technical University of Munich (TUM) Stephanie Elisabeth Combs Technical University of Munich (TUM)

Research Article

Keywords: immunotherapy, radioimmunotherapy, radiation pneumonitis, lung cancer, immune checkpoint inhibition, dose volume histogram

Posted Date: March 7th, 2024

DOI: https://doi.org/10.21203/rs.3.rs-4014140/v1

License: ©) This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

Additional Declarations: No competing interests reported.

Abstract

Background

Post-Therapy-Pneumonitis (PTP) is a critical side effect of both, thoracic radio(chemo)therapy (R(C)T) and immune checkpoint inhibition (ICI). However, disease characteristics and patient-specific risk factors of PTP after combined R(C)T + ICI are less understood. Given that RT-triggered PTP is strongly dependent on the volume and dose of RT, driven by inflammatory mechanisms, we hypothesize that combination therapy of R(C)T with ICI influences the dose-volume-effect correlation for PTP. This study focuses on the development of a method for evaluation of alterations in the dose-volume-effect correlation of PTP after R(C)T with and without ICI.

Methods and materials

PTP volumes were delineated on the follow-up diagnostic Computed Tomography (CT) and deformably matched to the planning CT. Dose data was converted to 2-Gy equivalent doses (EQD2) and dosimetrically analyzed. The method was exemplarily tested on an internal patient cohort including 90 patients having received thoracic R(C)T + ICI (39) and R(C)T (51). Additionally, data on previous chemotherapy and RT, smoking status and pulmonary co-morbidity was conducted. An exploratory analysis has been performed and a matched pair analysis with regard to planning target volumes (PTV) was conducted for curative intended (definitive) and palliative patient cohorts individually.

Results

The presented method was able to demonstrate differences in the dose-volume-effect-correlation of PTP for the different therapies. The dosimetric analysis revealed large volumetric fractions (55%) of the PTP volumes to be located outside of high dose (EQD2 < 40 Gy) regions for R(C)T + ICI. There was a non-significant trend towards increased AUC values for R(C)T + ICI compared to R(C)T only (3743.6 Gy•% vs. 2848.8 Gy•%; *p*-value = 0.171). In contrast to the data for the palliative intended treatment group, for definitive R(C)T + ICI, data tended towards increased volumes with higher doses.

Conclusions

The proposed method was capable to demonstrate dosimetric differences in the dose-volume-effect relationship of PTP for patients with R(C)T + ICI and patients with R(C)T only. In this exploratory analysis, the patient cohorts were too small and inhomogeneous to reveal statistically significant dosimetric differences within PTP volumes for the different groups. However, our observations suggest, that for safe application of thoracic R(C)T + ICI, further careful investigation of dosimetric prescription and analysis concepts with larger and conformer study groups is recommendable.

1 Background

Immune checkpoint inhibitors (ICIs) such as programmed cell death-ligand 1 (PD-L1) and programmed cell death-1 (PD-1) have altered the clinical treatment landscape for lung cancer due to unprecedented improved clinical outcome. Due to convincing results with improved survival when combined after radio(chemo)therapy (R(C)T) [1], the PD-L1 inhibitor durvalumab is routinely applied for unresectable, stage III non-small cell lung cancer (NSCLC) after RCT as maintenance therapy. Further application, e.g. for metastatic disease is growing, leading to an increase in the use of ICI therapy with radiotherapy (RT) [2].

Post-therapy pneumonitis (PTP) as a relevant and potentially fatal side effect of both, RT and ICI, limits the applicable dose and challenges the therapeutic efficacy [3]. Usually, radiation induced pneumonitis occurs 4 to 12 weeks after RT and is restricted to the radiation field. The incidence largely varies between 13-36% depending on the dose regime and the method of follow-up applied in the presenting studies [4]. RT can enhance the immunogenic effect by up-regulation of PD-L1 and PD-1 resulting in an increased anti-tumoral response [5, 6], which may result in an increased therapeutic effect, but also in an altered normal tissue response. Whereas the majority of data existing does not show an increase of severe pulmonary toxicity for combined radioimmunotherapy, the incidence of pneumonitis over all grades seems to be increased [7–13].

However, no conclusion with regard to dose and fractionation schemes can be drawn from these results. This is of major importance for RT dose prescription and treatment planning. As PTP might originate from both, RT and ICI therapy, it is reasonable to reconsider existing dose-volume-effect correlations. Only a small number of studies focus on this topic [14, 15]. Data on PTP after stereotactic body radiation therapy (SBRT) and ICI therapy suggests consisting dose constraints to be safe [15].

In this work, we present a method to explore the dose-volume-effect correlation after normofractionated R(C)T + ICI therapy aiming to generate a hypothesis for further clinical investigations.

2 Methods 2.1 Dosimetric Analysis

Patient CT-scans with a slice thickness of 0.9 mm or 3 mm showing pneumonitis at the time of first occurrence were analyzed. The treatment planning software Eclipse versions 15.6 and 16.0 (Varian Medical Systems, Palo Alto, Santa Clara, CA, USA) was used to accurately delineate the volume encompassing the radiological extensions of the pneumonitis. The derived contours were validated and approved by experienced specialists in radiology and nuclear medicine. Contours were transformed to the RT planning CT using deformable image registration applying a demon's algorithm [20]. In case of overlap with the gross tumor volume (GTV), the GTV was subtracted from the pneumonitis contours to ensure solid tumor mass not to contribute to the assessment of pneumonitis. Three dimensional voxel-wise dose data was converted to 2 Gy equivalent doses (EQD2) based on the Linear Quadratic Model

(LQM) [21] using a Matlab (MATLAB R2019b, The MathWorks Inc., Natick, MA, USA) [22] script. An α/β ratio of 3 for normal lung tissue was assumed [23]. For the pneumonitis volume, relevant dosimetric data was extracted such as the volume fraction receiving at least 20 Gy (V_{20Gy}), 40 Gy (V_{40Gy}), mean dose and the volume receiving 20 Gy in cm³. Dose data were categorized into 3 dose levels: low dose (LD) comprising doses below 20 Gy, intermediate dose (ID) comprising doses ranging from 20 Gy to 40 Gy and high dose (HD) with a minimum dose of 40 Gy. DVHs were extracted and the area under the curve (AUC) was derived. For the total lung, the original mean lung dose (MLD) and the V_{20Gy} were extracted.

2.2 Patient data

Our method was tested using patient data as depicted in Table 1. Ninety patients, who received thoracic R(C)T with (39) or without ICI (51) in a time interval of 110 days around R(C)T between 2010 and 2022 at our institute were collected. Data was conducted based on patient data files and imaging data. Patient follow-up after definitive treatment included clinical examination and chest CT scans 6 weeks after therapy and every 3 to 6 months for 3 years, every 6 months for 2 years followed by once yearly intervals. Follow-up schedules after palliative treatment were based on a patient individual basis. Eighty-six patients with primary lung cancer and 3 patients with lung metastases and one with pleural carcinomatosis were included. RT fractionation schemes varied with total doses from 30 to 66 Gy and single doses between 1.8 Gy and 3.0 Gy. Sixty-one patients received definitive (meaning curatively intended) R(C)T +/- ICI and 29 patients were treated in palliative intention as listed in Table 1. From the 39 patients, who received ICI therapy, all were treated with PD-L1 or PD-1 inhibitors. Out of the group receiving ICI therapy, the majority of 23 patients (59 %) received Durvalumab.

Table 1: Patient characteristics., ¹R(C)T abbreviates radio(chemo)therapy, ²ICI stands for immune checkpoint inhibition ³CTx stands for chemotherapy, ⁴SD abbreviates standard deviation. ⁵MWU stands for Mann-Whitney-U test

Patient characteristics

	R(C)T ¹ +ICl ²	R(C)T+ICI [%]	R(C)T	R(C)T [%]	p- value	Test
No. of patients	39	43	51	56		
No. females	14	35.9	11	21.6	0.159	Chi- square
No. males	25	64.1	40	78.4	0.159	Chi- square
Median Age [a] (min;max)	69 (47;83)		62 (49;85)		0.058	MWU ⁵
Pulmonary Co-morbidity	15	38.5	14	27.5	0.268	Chi- square
Active or former smokers	25	64.1	34	66.7	0.483	Chi- square
No. of patients with lung metastases	2	5.1	1	2.0	0.407	Chi- square
No. of patients with primary lung tumors	36	92.3	50	98.0	0.191	Chi- square
CTx ³	35	89.7	37	72.5	0.043	Chi- square
concomitant CTx	16	41.0	16	31.4	0.343	Chi- square
Prior thoracic RT	2	5.1	1	2.0	0.191	Chi- square
Definitive R(C)T	25	64.1	36	70.6	0.516	Chi- square
Median time between ICI & RT (min;max) [d]	14 (0;76)		-			
No. of pneumonitis	16	41.0	16	31.4	0.578	Chi- square
Mean onset time after RT (SD ⁴) [d]	100.0 (49.73)		74.9 (59.97)		0.102	MWU
Median onset time after RT (min;max) [d]	87 (14;190)		54 (0;198)		0.102	MWU

The time interval between R(C)T and ICI therapy varied between 0 and 76 days.

Additional chemotherapy was administered in 35 (89.7 %) cases in the R(C)T+ICI group and in 37 cases (72.5 %) in the R(C)T only group. Three patients had a history of thoracic RT, 1 in the R(C)T only group

with a time interval of more than 3 years and 2 in the R(C)T+ ICI group with minimum of 11 months prior to radioimmunotherapy.

In total, 59 patients (65 %) were former or active smokers, 25 (64.1 %) in the R(C)T+ICI group and 34 (66.7 %) in the R(C)T group. Twenty-nine patients suffered from pulmonary comorbidities, 15 (38.5 %) in the R(C)T+ICI and 14 (27.5 %) in the R(C)T only group.

2.2.1 Pneumonitis definition

Pneumonitis was diagnosed based on clinical and/or radiological findings and was graded according to the Common Terminology Criteria for Adverse Events (CTCAE) v5 [16]. All grades were included. Clinical symptoms covered coughing, dyspnea and thoracic pain. Radiological findings encompassed a variety of findings such as cryptogenic organizing pneumonia (COP), with ground-glass and consolidative opacities. Nonspecific interstitial pneumonia (NSIP), another form of interstitial lung disease, presents with ground-glass and reticular opacities, indicating thickening of the interstitial lung tissue [11,17–19].

2.3 Statistical Analysis

Exploratory statistical analysis was performed using IBM SPSS Statistics version 28.0.1.1 (14). Univariate analysis and analysis of significance was performed using chi-squared tests for categorial variables. For numeric data, we applied Mann-Whitney-U (MWU) tests. Statistical significance level was set at p < 0.05.

In a first step, statistical analysis was performed for the entire data set. In a second step, the data set was divided into two groups of patients to reduce the impact of biologically different dose schemes. One group contained patients, who received definitive $R(C)T \pm ICI$, and the other group summarized patients who received palliative $R(C)T \pm ICI$. Cases in these subgroups were matched pairwise according to their planning target volumes (PTVs) in order to reduce the interfering influence of non-matching irradiated volumes on the radiation dose-volume correlation.

3 Results

3.1 Dosimetric Data Analysis across all cases

We introduced a method applicable for evaluation of potential differences in dose-volume-effect correlation when additional ICI is administered to R(C)T. Application of this method to a small test cohort could reveal quantitative differences, however without statistical significance. Our results imply large volumetric fractions of PTP (55%) to be located outside of the high dose RT field for R(C)T+ICI. In contrast to palliative intended treatment, a trend towards larger PTP volumes with higher doses could be observed for combined definitive treatment.

We exploratorily investigated 90 patients having received R(C)T+ICI (39) or R(C)T alone (51) as summarized in Table 2. In total, 32 patients were diagnosed with any grade pneumonitis, 16 (41 %) in the R(C)T+ICI group and 16 (31.4 %) in the R(C)T group. Mean EQD2 PTP doses were numerically increased for R(C)T+ICI (35.9 vs. 28.8, p = 0.239) and a pronounced fraction of 45 % could be observed in the HD region (45 % vs. 33.8 %; p = 0.341) and a small fraction of 26 % in the LD region (26 % vs. 39.7 %, p = 0.451), however, without statistical significance. DVH analysis revealed numerically increased AUC values for R(C)T+ICI (3743.6 Gy•% vs. 2848.8 Gy•%, p = 0.171) as depicted in Figure 1, even though MLD and V20_{total lung} were comparable between both groups (MLD 11.5 % vs. 12 %; p = 0.926, V20_{total lung} 17.4 % vs. 18.6; p = 0.956).

The mean onset time of PTP after treatment was increased after R(C)T+ICI (100.0 days vs. 74.9 days; p = 0.102).

Table 2. Dosimetric parameters for all radio(chemo)therapy ¹R(C)T ± immune checkpoint inhibition (²ICI) patient cohorts. ³SD stands for standard deviation; ⁴Min and Max abbreviates minimum and maximum values; ⁵AUC stands for area under the curve of the dose volume histogram (DVH) for the pneumonitis volume; ⁶PTV abbreviates planning target volume.

		$R(C)T^1+ICI^2$	R(C)T	<i>p</i> -value	Test
Number of patients		16	16		
V _{pneumonitis} [cm ³]	Mean	216.4	249.9	0.838	MWU
	SD ³	305.6	300.0		
	Median	94.5	135.0		
	Min ⁴	10.0	8.9		
	Max ⁴	1147.0	1126.7		
V20 _{pneumonitis} EQD2 [cm ³]	Mean	90.7	138.6	0.752	MWU
	SD	95.7	186.2		
	Median	55.0	70.7		
	Min	9.8	2.0		
	Max	350.2	708.6		
Mean EQD2 _{pneumonitis} [Gy]	Mean	35.9	28.8	0.239	MWU
	SD	12.4	14.4		
	Median	36.9	28.6		
	Min	10.1	6.6		
	Max	58.2	49.8		
High dose [%]	Mean	45.0	33.8	0.341	MWU
	SD	30.4	25.6		
	Median	43.1	32.7		
	Min	4.9	0.1		
	Max	99.2	76.2		
Intermediate dose [%]	Mean	35.6	36.1	0.699	MWU
	SD	28.4	20.3		
	Median	28.3	30.7		
	Min	0.4	4.6		
	Max	98.2	86.4		
Low dose [%]	Mean	26.0	39.7	0.415	MWU

	SD	26.2	31.0		
	Median	14.7	32.6		
	Min	0.4	0.1		
	Max	85.5	95.4		
AUC ⁵ [Gy*%]	Mean	3743.6	2848.8	0.171	MWU
	SD	1395.6	1529.9		
	Median	3848.1	3002.0		
	Min	1006.6	268.4		
	Max	6126.3	4976.9		
MLD _{total lung} EQD2 [Gy]	Mean	11.5	12.0	0.926	MWU
	SD	3.9	3.5		
	Median	12.8	12.6		
	N.41				
	MIN	3.8	5.4		
	Min	3.8 18.3	5.4 17.9		
V20 _{total lung} EQD2 [%]	Min Max Mean	3.8 18.3 17.4	5.4 17.9 18.6	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD	3.8 18.3 17.4 6.5	5.4 17.9 18.6 7.4	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD Median	3.8 18.3 17.4 6.5 17.4	5.4 17.9 18.6 7.4 18.7	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD Median Min	3.8 18.3 17.4 6.5 17.4 5.5	5.4 17.9 18.6 7.4 18.7 8.6	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD Median Min Max	3.8 18.3 17.4 6.5 17.4 5.5 28.0	5.4 17.9 18.6 7.4 18.7 8.6 35.4	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD Median Min Max Mean	3.8 18.3 17.4 6.5 17.4 5.5 28.0 495.0	5.4 17.9 18.6 7.4 18.7 8.6 35.4 443.2	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD Median Min Max Mean SD	3.8 18.3 17.4 6.5 17.4 5.5 28.0 495.0 273.4	5.4 17.9 18.6 7.4 18.7 8.6 35.4 443.2 269.2	0.956	MWU
V20 _{total lung} EQD2 [%]	Min Max Mean SD Median Min Max Mean SD Median	3.8 18.3 17.4 6.5 17.4 5.5 28.0 495.0 273.4 419.5	5.4 17.9 18.6 7.4 18.7 8.6 35.4 443.2 269.2 407.5	0.956	MWU
V20 _{total lung} EQD2 [%] PTV ⁶ [cm ³]	Min Max Mean SD Median Min Max Mean SD Median Min	3.8 18.3 17.4 6.5 17.4 5.5 28.0 495.0 273.4 419.5 92.7	5.4 17.9 18.6 7.4 18.7 8.6 35.4 443.2 269.2 407.5 117.6	0.956	MWU

3.2 Matched Pair Analysis

Groups were separated into patients, who were treated in definitive or palliative intention. Definite total doses ranged from 54 Gy to 66 Gy delivered in single dose fractions from 1.8 Gy or 2 Gy. Palliative

patients received variable dose schemes including single doses between 1.8 Gy up to 3 Gy and total doses up to 50.4 Gy. All results are summarized in Table 3.

Table 3: Dosimetric parameters for statistical analysis matched on the planning target volume (¹PTV) patient cohorts for definitive and palliative radio(chemo)therapy ²R(C)T ± immune checkpoint inhibition (³ICI) patient cohorts. ⁴SD stands for standard deviation; ⁵Min and Max abbreviates minimum and maximum values; ⁶AUC stands for area under the curve of the dose volume histogram (DVH) of the pneumonitis volume⁻

Matched Pair Analysis

	Definitive R(C)T ²			Palliative R(C)T		
Matched Parameter	PTV ¹ [cm ³]					
		R(C)T+ICI ³	R(C)T	R(C)T+ICI	R(C)T	
Number of Patients		6	6	4	4	
V _{pneumonitis} [cm ³]	Mean	218.2	141.9	95.5	229.6	
	SD ⁴	252.2	189.6	117.6	118.4	
	Median	160.0	53.1	55.4	239.3	
	Min ⁵	27.0	18.7	10.0	86.9	
	Max ⁵	703.2	505.6	261.2	352.9	
V20 _{pneumonitis} EQD2 [cm ³]	Mean	123.4	85.8	38.7	88.6	
	SD	123.2	97.5	22.6	26.5	
	Median	79.3	41.4	40.2	82.0	
	Min	24.4	2.0	9.8	64.7	
	Max	350.2	222.6	64.8	125.8	
Mean EQD2 _{pneumonitis} [Gy]	Mean	39.9	28.6	29.6	23.0	
	SD	11.2	16.2	15.6	9.9	
	Median	39.1	30.5	30.9	23.5	
	Min	23.9	6.6	10.1	11.9	
	Max	58.2	47.0	46.4	33.0	
High dose [%]	Mean	55.1	66.9	24.3	27.4	
	SD	25.6	37.1	38.0	20.2	
	Median	49.2	79.1	5.4	27.0	
	Min	25.6	4.6	4.9	7.0	
	Max	99.2	99.9	81.4	48.5	
Intermediate dose [%]	Mean	35.6	39.4	49.6	38.6	
	SD	23.9	27.0	40.3	18.6	

	Median	35.2	36.7	44.4	38.2
	Min	0.4	4.6	11.6	20.4
	Max	72.8	86.4	98.2	57.8
Low dose [%]	Mean	16.5	33.1	32.4	51.4
	SD	18.1	37.1	37.9	26.9
	Median	8.9	20.9	21.1	50.9
	Min	0.4	0.1	1.8	25.5
	Max	50.2	95.4	85.5	78.3
AUC ⁶ [Gy*%]	Mean	3988.4	2989.6	2958.0	1994.5
	SD	1124.7	1495.4	1558.2	1508.1
	Median	3913.0	3046.9	3091.5	2202.6
	Min	2386.6	660.1	1006.6	268.4
	Max	5824.3	4687.8	4642.3	3304.3
MLD _{total lung} EQD2 [Gy]	Mean	12.0	12.8	6.7	9.2
	SD	1.8	2.4	2.8	3.5
	Median	12.8	12.9	6.2	9.5
	Min	9.5	10.2	3.8	5.4
	Max	13.6	16.9	10.5	12.6
V20 _{total lung} EQD2 [%]	Mean	20.4	19.4	9.5	13.5
	SD	4.4	4.6	5.2	4.9
	Median	21.2	19.7	7.8	12.8
	Min	15.0	12.6	5.5	8.6
	Max	27.1	26.4	16.9	19.7
PTV [cm ³]	Mean	539.3	531.9	333.0	320.2
	SD	300.4	284.4	214.1	183.4
	Median	446.4	463.3	324.9	304.4
	Min	293.5	250.6	92.7	117.6
	Max	1125.6	1068.7	589.4	554.3

3.2.1 Definitive Treatment

Six patients with pneumonitis, who received definitive R(C)T+ICI were matched according to their PTVs to 6 patients in the R(C)T group (see Table 3). Due to very small sample sizes, no significance tests were performed. Similar numerical trends as for the overall patient cohort were observed. PTP volumes were large (218.2 cm³ vs 141.9 cm³) with large fractions in the HD regions (55.1 % vs. 66.9 %) and increased AUC values (3988.4 Gy•% vs. 2989.6 Gy•%). PTP volumes for definitive and palliative R(C)T with and without ICI are depicted in Figure 2. An exemplary CT scan from a patient's lung after definitive R(C)T+ICI in Figure 3 shows the extension of the pneumonitis beyond the HD region of the radiation field. Figure 4 shows the DVHs for definitive and palliative R(C)T with and without ICI. For definitive treatment a shift towards higher doses with increased volumes resulting higher AUC values can be observed.

3.2.2 Palliative Treatment

In this group, 4 patients with pneumonitis in the R(C)T+ICI group were matched according to their PTVs to 4 patients with pneumonitis in the R(C)T group. Numerical trends within this group do not match the findings for the definitive and overall treatment group. PTP volumes (95.5 cm³ vs. 229.6 cm³) and V20 of the PTP (38.7 cm³ vs. 88.6 cm³) were smaller.

4 Discussion

We introduced a method to evaluate dose-volume-effect-correlation differences for PTP between thoracic R(C)T with and without additional immunotherapy. Exploratory application of the proposed method revealed a quantitative numerical difference of PTP volumes for combined radioimmunotherapy compared to R(C)T only. However, due to the small and inhomogeneous patient cohort, such differences are not statistically significant.

The proposed PTP evaluation method is based on DVH data and thus can be easily reproduced with conventional treatment planning systems. We provided and compared dosimetric analysis of PTP and total lung parameters to reveal potential influences of the lung dose distribution to PTP extension. We defined reasonable dose levels assisting first glance evaluation of the PTP extension with respect to the radiation field. The method applies diagnostic thoracic CT scans, that are acquired in the course of follow up visits anyway, ensuring no additional radiation is administered to the patient and no additional examination is required. One limitation of the applied method is the dependence of user defined segmentation of the PTP contours. In this study, we tried to minimize this impact by independent radiological expert approval of the delineated contours. For future improvement of the method, automatic segmentation by atlas-based algorithms or by application of artificial intelligence could be implemented, also helping to improve the performance of the process.

Our results can only show numerical trends towards large PTP volumes and increased AUC values after combined radioimmunotherapy without statistical significance. Our data sample was too small and inhomogeneous to result in significant results and should be validated with a larger data set. However, differences in dosimetric values closest to the defined statistical significance level of 0.05 with a p-value of 0.171 was found for the AUC showing larger values after combined R(C)T + ICI (3743.6 Gy+% vs. 2848.8 Gy•%) for the overall cohort. More interestingly, after matched pair analysis and differentiation between definitive and palliative treatment, numerical trends differed between the groups. Whereas mean PTP volumes seemed numerically increased for R(C)T + ICI in definitive treatment intention (218.2 cm³ vs. 141.9 cm³) with increased mean EQD2 and V20_{pneumonitis} to the PTP, after palliative R(C)T + ICI, PTP volumes were smaller (95.5 cm³ vs. 229.6 cm³) and mean PTP doses and V20_{pneumonitis} were smaller. One reason for a trend towards smaller PTP volumes in the palliative group might be that the majority of definitive treatments were due to primary lung cancers, where the additional ICI therapy lead to activation of immunogenic systemic response causing an extension of the pneumonitis volumes, whereas in the palliatively treated group, mediastinal treatment was more common and total prescription doses were smaller resulting in less actual dose to the lung tissue. One case in the palliative treatment group, who received ICI therapy showed a large overlap between the initial GTV and the pneumonitis volume resulting in a methodologically reduced pneumonitis volume influencing the analysis towards smaller pneumonitis volumes. Thus, results for palliative treatment have to be evaluated cautiously.

Data on dose-volume-effect correlation for combined radioimmunotherapy using ICIs is sparse. Watanabe et al. investigated dose relationships for pneumonitis after definitive RCT followed by durvalumab maintenance therapy and found lower pneumonitis volume fractions receiving minimum doses of 5 Gy (V_{5Gy}) to 50 Gy (V_{50Gy}) for grade 2 pneumonitis compared to grade 1 pneumonitis. Based on their findings, the authors suggest the 15-Gy isodose line as a definition of the radiation field responsible for pneumonitis [14]. Voong et al. studied the relationship between thoracic RT and development of PTP in NSCLC patients, who received ICI therapy. They found overall increased PTP rates of 19%. Patients, who were treated in curative intent with median total doses up to 60.5 Gy were more likely to develop pneumonitis compared to palliatively treated patients with doses up to 30 Gy (17/19, 89% vs. 2/19, 11%; p = 0.051). The spreading of radiological pneumonitis appearances were mostly found outside intermediate (20 Gy < D < 40 Gy) and high dose (D > 45 Gy) RT regions [24]. Compared to our findings, we rather found PTP within the HD and ID level. Including all data and for the palliative R(C)T + ICI group, we observed intermediate doses to contribute the most to the radiological findings. Whereas Voong et al. included patients with any previous RT and differentiated between more or less than 1-year interval between RT and ICI treatment, in our study, we focused on combined treatment with a time interval of up to 110 days. One reason for this choice of time interval was to consider rather acute and subacute immunologic effects. The other reason was to avoid interfering effects that inevitably arise with time due to potential additional sequential treatments.

Part of the effect leading to large pneumonitis volumes might be due to immune-related effects linked to an altered tumor microenvironment caused by RT. Across all groups, we observed a mild trend towards a

delayed onset of pneumonitis after radioimmunotherapy (100 days vs. 75 days, p = 0.102). The incidence after ICI therapy has been studied and median onset time to ICI caused pneumonitis was found to be 82 days after initiation of ICI therapy [25], which is in the range observed here. In two case studies, also a delayed PTP onset of 5 months and 167 days after radioimmunotherapy were observed [26, 27]. However, the difference in timing between the investigated groups in this study, suggest that PTP occurrence after combined radioimmunotherapy is influenced by altered effects compared to radiation induced PTP. While therapy using ICIs has revolutionized cancer treatment with unprecedented survival, immune enhancement through ICI therapy administered directly after RT might increase the risk for immunerelated side effects such as pneumonitis and can be the reason for delayed onset of PTP.

This study was focused on the establishment of a valid and reproducible method to analyze dosevolume-effect correlation for PTP after R(C)T with and without ICI and our results demonstrated its feasibility. While the dosimetric findings contribute to the rare results on dose-volume relationship for PTP after combined radioimmunotherapy, the application of the proposed method to our dataset is limited by the retrospective design, the small and inhomogeneous patient cohort combined with the rather rare event of PTP, that failed to approach the pre-defined significance level, restricting the conclusions.

5 Conclusions

We introduced a valid and easily reproducible method for dose-volume-effect correlation of PTP after thoracic radio(immuno)therapy. This method can help to explore potential dosimetric changes after thoracic R(C)T, that might be triggered by additional ICI therapy. Testing our method on a small patient cohort, the results suggest an impact of additional ICI therapy on the dose-volume-effect correlation for the development of PTP, even though statistical significance is lacking. To validate these results and to rule out potential associations, that might have been obscured by the limited sample size, the proposed method should be applied to a larger and more homogeneous dataset.

Declarations

Declaration of Competing Interest

Institution conducts active studies with AstraZeneca. Bristol-Myers Squibb. Merck Sharp & Dohme Corp.. DB received honoraria from AstraZeneca and Novocure as well as honoraria and research funding from Accuray Inc. DB`s spouse is an employee at Kite Pharma / Gilead Science.

All other authors declare no conflicting interests.

Author Contributions

KMK designed the project. KMK wrote the paper. KMK, CB and JCM collected data, KMK and CB analyzed data. BF and LS performed radiological assessment. Statistical analysis was conducted by KMK and CB. KJB, JCF, DB, JCP and SEC provided expert clinical knowledge. All authors edited the manuscript.

Funding

KMK received funding for this project from the German Cancer Consortium (DKTK).

Data Availability Statement

All relevant data is contained within the article:

The original contributions presented in the study are included in the article. Further inquiries can be directed to the corresponding author.

Ethics statement

The studies involving human participants were reviewed and approved by the Institutional Review Board with the ethical vote number 149/21 S-SR.

Informed Consent Statement

Informed consent about radiotherapy was obtained from all subjects involved in the study.

References

- 1. Antonia SJ, Villegas A, Daniel D, Vicente D, Murakami S, Hui R, et al. Overall Survival with Durvalumab after Chemoradiotherapy in Stage III NSCLC. New England Journal of Medicine 2018;379:2342–50. https://doi.org/10.1056/NEJMoa1809697.
- Colciago RR, Fischetti I, Giandini C, La Rocca E, Rancati T T, Rejas Mateo A, et al. Overview of the synergistic use of radiotherapy and immunotherapy in cancer treatment: current challenges and scopes of improvement. Expert Rev Anticancer Ther 2023;23:135–45. https://doi.org/10.1080/14737140.2023.2173175.
- 3. Jain V, Berman AT. Radiation Pneumonitis: Old Problem, New Tricks. Cancers (Basel) 2018;10:222. https://doi.org/10.3390/cancers10070222.
- Inoue A, Kunitoh H, Sekine I, Sumi M, Tokuuye K, Saijo N. Radiation pneumonitis in lung cancer patients: a retrospective study of risk factors and the long-term prognosis. Int J Radiat Oncol Biol Phys 2001;49:649–55. https://doi.org/10.1016/s0360-3016(00)00783-5.
- 5. Gao Z, Zhao Q, Xu Y, Wang L. Improving the efficacy of combined radiotherapy and immunotherapy: focusing on the effects of radiosensitivity. Radiat Oncol 2023;18:89. https://doi.org/10.1186/s13014-023-02278-5.
- Demaria S, Guha C, Schoenfeld J, Morris Z, Monjazeb A, Sikora A, et al. Radiation dose and fraction in immunotherapy: one-size regimen does not fit all settings, so how does one choose? J Immunother Cancer 2021;9:e002038. https://doi.org/10.1136/jitc-2020-002038.
- 7. LeClair JN, Merl MY, Cohenuram M, Luon D. Real-World Incidence of Pneumonitis in Patients Receiving Durvalumab. Clin Lung Cancer 2022;23:34–42. https://doi.org/10.1016/j.cllc.2021.08.006.

- Sugimoto T, Fujimoto D, Sato Y, Tamiya M, Yokoi T, Tamiya A, et al. Durvalumab for patients with unresectable stage III non-small cell lung cancer and grade 1 radiation pneumonitis following concurrent chemoradiotherapy: a multicenter prospective cohort study. Invest New Drugs 2021;39:853–9. https://doi.org/10.1007/s10637-020-01060-8.
- 9. Antonia SJ, Villegas A, Daniel D, Vicente D, Murakami S, Hui R, et al. Durvalumab after Chemoradiotherapy in Stage III Non–Small-Cell Lung Cancer. New England Journal of Medicine 2017;377:1919–29. https://doi.org/10.1056/NEJMoa1709937.
- Shaverdian N, Lisberg AE, Bornazyan K, Veruttipong D, Goldman JW, Formenti SC, et al. Previous radiotherapy and the clinical activity and toxicity of pembrolizumab in the treatment of non-smallcell lung cancer: a secondary analysis of the KEYNOTE-001 phase 1 trial. Lancet Oncol 2017;18:895–903. https://doi.org/10.1016/S1470-2045(17)30380-7.
- Naidoo J, Wang X, Woo KM, Iyriboz T, Halpenny D, Cunningham J, et al. Pneumonitis in Patients Treated With Anti-Programmed Death-1/Programmed Death Ligand 1 Therapy. J Clin Oncol 2017;35:709–17. https://doi.org/10.1200/JCO.2016.68.2005.
- Aiad M, Fresco K, Prenatt Z, Tahir A, Ramos-Feliciano K, Stoltzfus J, et al. Comparison of Pneumonitis Rates and Severity in Patients With Lung Cancer Treated by Immunotherapy, Radiotherapy, and Immunoradiotherapy. Cureus 2022;14:e25665. https://doi.org/10.7759/cureus.25665.
- 13. Geng Y, Zhang Q, Feng S, Li C, Wang L, Zhao X, et al. Safety and Efficacy of PD-1/PD-L1 inhibitors combined with radiotherapy in patients with non-small-cell lung cancer: a systematic review and meta-analysis. Cancer Med 2021;10:1222–39. https://doi.org/10.1002/cam4.3718.
- 14. Watanabe S, ogino I, Shigenaga D, Hata M. Relationship Between Radiation Pneumonitis Following Definitive Radiotherapy for Non-small Cell Lung Cancer and Isodose Line. In Vivo 2021;35:3441–8. https://doi.org/10.21873/invivo.12644.
- 15. Korpics MC, Katipally RR, Partouche J, Cutright D, Pointer KB, Bestvina CM, et al. Predictors of Pneumonitis in Combined Thoracic Stereotactic Body Radiation Therapy and Immunotherapy. Int J Radiat Oncol Biol Phys 2022;114:645–54. https://doi.org/10.1016/j.ijrobp.2022.06.068.
- Common Terminology Criteria for Adverse Events (CTCAE) | Protocol Development | CTEP 2022. https://ctep.cancer.gov/protocoldevelopment/electronic_applications/ctc.htm (accessed March 28, 2022).
- Chuzi S, Tavora F, Cruz M, Costa R, Chae YK, Carneiro BA, et al. Clinical features, diagnostic challenges, and management strategies in checkpoint inhibitor-related pneumonitis. Cancer Manag Res 2017;9:207–13. https://doi.org/10.2147/CMAR.S136818.
- Tirumani SH, Ramaiya NH, Keraliya A, Bailey ND, Ott PA, Hodi FS, et al. Radiographic Profiling of Immune-Related Adverse Events in Advanced Melanoma Patients Treated with Ipilimumab. Cancer Immunol Res 2015;3:1185–92. https://doi.org/10.1158/2326-6066.CIR-15-0102.
- 19. Baba T, Sakai F, Kato T, Kusumoto M, Kenmotsu H, Sugiura H, et al. Radiologic features of pneumonitis associated with nivolumab in non-small-cell lung cancer and malignant melanoma.

Future Oncol 2019;15:1911-20. https://doi.org/10.2217/fon-2019-0102.

- 20. Wang H, Dong L, O'Daniel J, Mohan R, Garden AS, Ang KK, et al. Validation of an accelerated "demons" algorithm for deformable image registration in radiation therapy. Phys Med Biol 2005;50:2887–905. https://doi.org/10.1088/0031-9155/50/12/011.
- 21. McMahon SJ. The linear quadratic model: usage, interpretation and challenges. Phys Med Biol 2018;64:01TR01. https://doi.org/10.1088/1361-6560/aaf26a.
- 22. Matlab. MATLAB, Version R2020a 2020.
- 23. Klement RJ, Sonke J-J, Allgäuer M, Andratschke N, Appold S, Belderbos J, et al. Estimation of the α/β ratio of non-small cell lung cancer treated with stereotactic body radiotherapy. Radiother Oncol 2020;142:210–6. https://doi.org/10.1016/j.radonc.2019.07.008.
- 24. Voong KR, Hazell SZ, Fu W, Hu C, Lin CT, Ding K, et al. Relationship Between Prior Radiotherapy and Checkpoint-Inhibitor Pneumonitis in Patients With Advanced Non-Small-Cell Lung Cancer. Clin Lung Cancer 2019;20:e470–9. https://doi.org/10.1016/j.cllc.2019.02.018.
- 25. Suresh K, Voong KR, Shankar B, Forde PM, Ettinger DS, Marrone KA, et al. Pneumonitis in Non-Small Cell Lung Cancer Patients Receiving Immune Checkpoint Immunotherapy: Incidence and Risk Factors. J Thorac Oncol 2018;13:1930–9. https://doi.org/10.1016/j.jtho.2018.08.2035.
- 26. Schoenfeld JD, Nishino M, Severgnini M, Manos M, Mak RH, Hodi FS. Pneumonitis resulting from radiation and immune checkpoint blockade illustrates characteristic clinical, radiologic and circulating biomarker features. J Immunother Cancer 2019;7:112. https://doi.org/10.1186/s40425-019-0583-3.
- 27. Manapov F, Roengvoraphoj O, Dantes M, Marschner S, Li M, Eze C. Pneumonitis in Irradiated Lungs After Nivolumab: A Brief Communication and Review of the Literature. J Immunother 2018;41:96–9. https://doi.org/10.1097/CJI.0000000000000198.
- 28. Khalifa J, Mazieres J, Gomez-Roca C, Ayyoub M, Moyal EC-J. Radiotherapy in the Era of Immunotherapy With a Focus on Non-Small-Cell Lung Cancer: Time to Revisit Ancient Dogmas? Front Oncol 2021;11:662236. https://doi.org/10.3389/fonc.2021.662236.
- 29. Jin JY, Hu C, Xiao Y, Zhang H, Ellsworth S, Schild SE, et al. Higher Radiation Dose to Immune System is Correlated With Poorer Survival in Patients With Stage III Non–small Cell Lung Cancer: A Secondary Study of a Phase 3 Cooperative Group Trial (NRG Oncology RTOG 0617). International Journal of Radiation Oncology, Biology, Physics 2017;99:S151–2. https://doi.org/10.1016/j.ijrobp.2017.06.351.

Figures



Figure 1

Boxplot of pneumonitis AUC values for all patients with and without additional immune checkpoint inhibition (ICI).



Figure 2

Scatter plots for pneumonitis volumes for the definitive (a) and palliative (b) radio(chemo)therapy R(C)T patient cohort with and without immune checkpoint inhibition (ICI). Opposing trends between palliative and definitive treatments can be observed.



Figure 3

Axial Computed Tomography (CT) scan with EQD2 isodose lines and the pneumonitis contours matched in color-washed magenta. The majority of the pneumonitis volume is located outside the high dose region.



Figure 4

DVHs for definitive (a) and palliative (b) R(C)T with ICI (magenta) and without ICI (blue). For definitive treatment, a shift to the right can be noticed for R(C)T+ICI.