

Enhancing Hydrolysis and Syntropy Simultaneously in Solid State Anaerobic Digestion: A GRA – Taguchi Based Study and Techno-Economic Assessment for Sustainable Bioeconomy

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Research

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1 **Enhancing hydrolysis and syntropy simultaneously in solid state anaerobic digestion: A**
2 **GRA – Taguchi based study and techno-economic assessment for sustainable bioeconomy**

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26 **Abstract**

27 **Background:** Solid-state anaerobic digestion of agricultural stubble is attractive technology
28 for energy and bioeconomy as well as it may lead to transitioning towards greenhouse gas
29 neutrality; yet hydrolysis and syntropy affects the process and makes it economically
30 nonviable. In this regard, present study investigates the effect of alkali and biochar addition for
31 simultaneous increment of hydrolysis and syntropy for higher methane yield from pearl millet
32 straw. Further, taguchi based design of experiment was coupled with grey relation analysis for
33 multiple output evaluation and detailed techno-economic assessment was performed.

34 **Results:** Study showed that 0.5 g/100g pearl millet straw of alkali and 10 g/L of biochar was
35 the optimised dosing along with 20% total solid concentration and 4 as feedstock/inoculum
36 ratio. Statistically, contribution of biochar and alkali was 48 and 21% respectively on the
37 multiple output. The confirmation test revealed that hydrolysis rate constant, k for reactor
38 having optimised conditions was 0.0521 d^{-1} while for control, it was 0.0595 d^{-1} . Cumulative
39 methane yield was also increased by 1.8-fold for optimised condition. Techno-economic
40 assessment showed that capital cost and electrical efficiency of combined heat and power unit
41 have dominant effect on the investment. Solid state anaerobic digestion of pearl millet straw
42 with alkali and biochar showed US\$ 25652 of net present value and showed to have payback
43 time of 8.2 years with 11% of internal rate of return.

44 **Conclusion:** The simultaneous increment of hydrolysis rate and syntrophic activity in
45 optimized condition helped to achieve higher methane yield. Techno-economic assessment
46 showed that shorter payback time and higher internal rate of return, making large scale project
47 profitable and viable which may endorse sustainable bioeconomy with lower greenhouse gases.

48 **Keyword:** Methane; Taguchi; Gray Relation Analysis; Syntropy; Hydrolysis; Greenhouse gas,
49 Techno-economic assessment; Bioeconomy

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51 **Background**

52 Economic growth of any developing country is directly related to energy and its utilization [1].
53 India, one of the fastest growing country and economy, was reported to have 634 million tonnes
54 (MT) of lignocellulosic biomass and residues [2]. These lignocellulosic biomass and residues
55 may be utilised as sustainable source of biofuels for bioeconomic development using various
56 biotechnological processes. Also, the agricultural biomass may contribute to energy security
57 of India [3]. Lignocellulosic biomass comprises of cellulose and hemicellulose as polymeric
58 sugars and lignin to protect plant from oxidative stress, pathogens etc. The carbon present in
59 lignocellulosic biomass may be utilised as source of energy in the form of biofuel (here
60 methane) through anaerobic digestion (AD) [4]. The produced biomethane in AD has multiple
61 usage such as cooking fuel in rural areas, source for combined heat and power (CHP)
62 generation or in transportation for greenhouse gas (GHG) neutrality and also for sustainable
63 bioeconomy. The stress on fossil fuels or primary energy provider may be reduced by installing
64 large scale AD plants running on lignocellulosic biomass and building efficient supply chain
65 management of the biomass as well as generated biomethane.

66 Inherently, lignocellulosic biomass has recalcitrant nature as lignin's present on outer part of
67 biomass that opposes the degradation. This recalcitrant behaviour results in lower biogas yield
68 [5]. Apart from this, steps involved in AD process, govern the overall health and performance
69 of AD reactor and biogas production. The steps of AD are hydrolysis, acidogenesis,
70 acetogenesis and methanogenesis. Each step has its own significant role in AD process for
71 smooth operation of the reactor subsequently resulting in higher methane yield. While complex
72 nature of lignocellulosic biomass limits hydrolysis and growth of cellulolytic microbes, excess
73 hydrolysis may trigger enhanced acidogenesis and volatile fatty acids (VFAs) accumulation
74 which may hamper the methanogens and thus the subsequent methane yield. To enhance the
75 hydrolysis of lignocellulosic biomass various treatment approaches have been explored [3, 5].

76 Physical (milling, shredding, microwave), biological, biochemical and chemical treatment
77 (alkaline, acidic) are most commonly used technologies for enhancing methane production
78 from lignocellulosic biomass. Alkaline treatment at high temperature is reported as effective in
79 breaking ester bonds and swell the lignocellulosic biomass for enzymatic action [6].
80 Alkali (e.g., Ca (OH)₂, NaOH, KOH and ammonia) is more favourable over acid (e.g.,
81 sulphuric acid) treatment of biomass due to removal of amorphous components such as lignin,
82 hydrolysing the acetyl groups of hemicelluloses reducing steric hindrance, and thereby
83 enhancing cellulose digestibility [7]. In addition, the degree of polymerization gets affected by
84 the alkaline treatment resulting in change of surface area and crystallinity. Also, presence of
85 alkali in the treated biomass helps to alleviate pH during acidogenesis phase and subsequently
86 improves methanogenic activity. However, right concentration of alkali should be added as
87 excess addition may raise the pH of the system that may cause lower methane yield [8]. Li et
88 al. [9] examined the effect of KOH and Ca(OH)₂ in combined mode for treating corn stover
89 prior to AD. Results revealed that combined effect of alkalis (0.5% KOH + 2% Ca(OH)₂)
90 helped to reach up to 271 L/kg volatile solid (VS) of methane and it was 1.77 fold to that
91 untreated corn stover. Liu et al. [10] attempted alkali treatment (2 – 50% KOH) on wheat straw
92 and reported that maximum methane yield was observed at 20% KOH along with enhanced
93 sugar yield. Liew et al. [11] reported effects of simultaneous addition of NaOH (2, 3.5 and 5%
94 loading mixed in inoculum) using fallen leaves in AD and reported that adding 3.5% of NaOH
95 showed a 24-fold increment in cumulative methane yield. However, if simultaneous addition
96 of alkalis such as KOH breaks the threshold, the K⁺ ions may disturb the osmotic regulation of
97 microbial cell which may cause the dryness of cell and reduce the turgidity that may lead to
98 lower methane yield [12].
99 A balanced syntropy between acidogenesis and methanogenesis is also required for smooth
100 operation of AD process apart from increased hydrolysis by treated biomass. Enhanced

101 hydrolysis rate in an AD reactor may decrease the pH due to VFAs accumulation and disturb
102 the archaeal community dynamics responsible for methane production. To overcome the
103 problem of VFA accumulation and subsequently decreased pH, carbon based conducting
104 materials (CBCM) have been endorsed to improve electron transfer between acidogens and
105 methanogens [13]. In anaerobic bioreactor, hydrogen and formic acid can simply be utilized
106 by methanogens via indirect interspecies electron transfer (IIET) given that the partial pressure
107 is favourable to IIET. However, syntropy may get disturbed if partial pressure of hydrogen is
108 high and it may cease the IIET. This leads to VFA accumulation and decrease of pH in AD
109 bioreactor. This only route of interspecies electron transfer in AD may be replaced by CBCM
110 which provides direct interspecies electron transfer (DIET) in the system. Carbon based
111 materials such as activated carbon, biochar, carbon cloth and granulated activated carbon are
112 now extensively reported for reducing acid stress in the AD reactor and improving DIET
113 simultaneously. In an experiment, Wang et al. [14] mentioned that lag phase shrinks by 67%
114 once sawdust biochar was added to AD bioreactor as it diminishes the oxidation of VFA. In
115 the research performed by Paritosh et al. [4] methane yield increased by 2-fold in the solid state
116 anaerobic digestion (SSAD) of wheat straw by employing hardwood biochar. Results revealed
117 that VFA concentration was less in the biochar added reactors while control showed higher
118 VFA accumulation.

119 In addition to boost the hydrolysis and syntropy due to disruption of lignin and CBCM
120 respectively, total solids (TS) of AD reactor also impact the volumetric methane yield [5]. The
121 reactors having less than 15% TS are termed as liquid anaerobic digestion (LAD) systems while
122 those having more than 15% TS are called as dry or SSAD systems. SSAD has numerous
123 benefits over LAD as it may encompass huge volume of biomass, may shorten digestion period
124 and may reduce the heating load [5]. However, increased TS of lignocellulosic biomass in
125 SSAD reactor may hamper the hydrolysis due to presence of lignin or may enhance the VFA

126 accumulation which affects methanogenesis [15]. Apart from these technical aspects, the
127 profitability, at last, affects the acceptability of any biogas project proposal and its
128 sustainability for bioeconomy as well as the GHG transitioning. A detailed techno-economic
129 assessment of a biogas project is needed for making bioeconomy business a success with lower
130 GHG emissions.

131 To overcome the above said problems, simultaneous addition of alkali and CBCM may be
132 employed in SSAD of lignocellulosic biomass. The idea was to improve hydrolysis by
133 removing lignin with the help of mild alkali addition and to enable syntropy by adding CBCM
134 simultaneously for higher methane yield in SSAD. Also, in order to optimize the input
135 parameters; along with biotechnological tools, various optimization techniques such as
136 response surface method (RSM), artificial neural network (ANN) and Taguchi's design of
137 experiment (DoE) have been incorporated for robust output [16]. However, selection of
138 Taguchi's (DoE) is fruitful because it helps to examine various input factors as well as their
139 levels with lesser experimental setup [17]. Taguchi's DoE approach measures the output of
140 input variables in signal-to-noise ratio (S/N) and provides qualitative assessment. S/N ratio was
141 selected based on the requirement of the experiments which are smaller-the-better, nominal-
142 the-better or larger-the-better for optimized input parameters. However, the limitation of
143 Taguchi's DoE is that it cannot optimize multiple objective problems. So, in this regard, Grey
144 relation analysis (GRA) was clubbed with Taguchi's DoE for multiple objective optimization.
145 After experimental evaluations, techno-economic assessment was also performed for a
146 sustainable bioeconomy.

147 Thus, in the present study, a Taguchi's DoE based GRA was performed for biomethane
148 production using mono-digestion of pearl millet straw (PMS) under SSAD. The parameters
149 selected were TS (%), F/I, addition of KOH (g/100g of PMS) and pyrolysis hardwood biochar
150 (PHWBC) (g/L inoculum). Three different levels of all input parameters were selected under

151 the L₉ orthogonal array for the experiment. For analysing the output parameters and for main
152 effect plot and for analysis of variance (ANOVA), Minitab 15 was used as statistical software.
153 Based on the output of Taguchi's DoE; a confirmation test was also performed. The results of
154 confirmation test were used to assess the techno-economic viability of a full scale SSAD plant
155 running on obtained scenarios.

156 **Results and discussion**

157 *Experimental setup and observations*

158 L₉ orthogonal array (3⁴) employed for experimental setup and output responses were calculated
159 for 60 days of SSAD under batch system. Methane yield, VS reduction, pH of the trials and
160 TVFA/alkalinity ratios were measured after the end of L₉ orthogonal setup of experiment.
161 Cumulative methane yield for all the trials is shown in Figure 1. Experimental investigation
162 showed that maximum methane yield was observed at 20% TS which was 226 L/kg VS in trial
163 R2. It was also observed that trial R2 showed 91 and 38% higher methane yield to that of trial
164 R1 and R3 having same TS content (20%). This may be ascribed to the fact that VS reduction
165 in the case of trial R1 and R3 was 39 and 44% which was 22 and 8% less as compared to R2
166 respectively (Figure 2). Further, increasing TS content from 20% to 22.5 and 25% did not help
167 to improve the methane yield significantly. The maximum methane yield observed in trial R6
168 and R8 which was 184 and 174 L/kg VS for 22.5% and 25% TS respectively. This observed
169 methane yield was 52 and 43% lesser as compared to trial R2 respectively. The subsequent VS
170 reduction in R6 and R8 was examined as 43 and 41% which was 1.11 and 1.16-fold less to the
171 best performing trial (R2) (Figure 2). Similar observations were made by Abbassi-Guendouz
172 et al. [18] for SSAD of cardboard with TS ranging between 10-35%. It was reported that rate
173 of methane production was inversely proportional to the TS content in the SSAD reactor
174 increased. It was also contemplated that beyond 30% TS, methane production rate was highly

175 inhibited. Suksong et al. [19] also reported that increasing TS content from 16 to 25 and 30%
176 did more harm than good in SSAD of palm oil mill effluent.

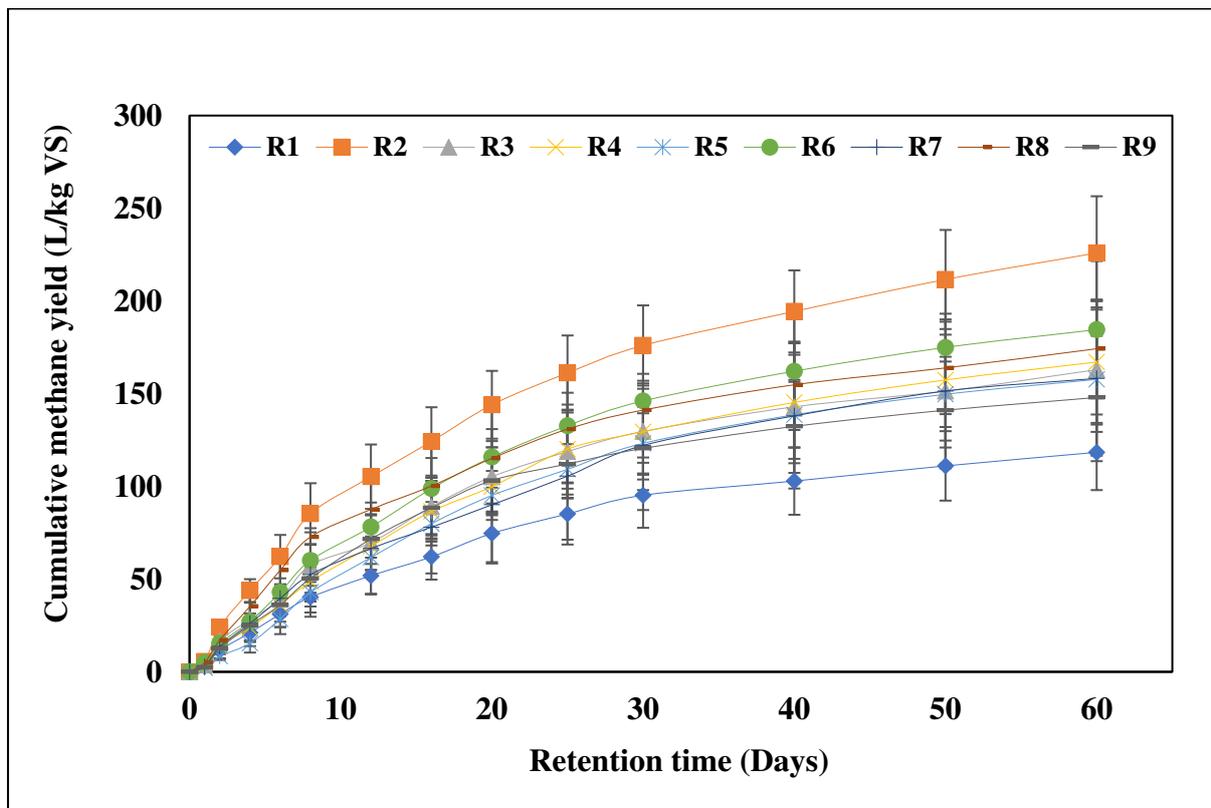
177 The role of pH is also crucial as pH may help to understand the pattern of methane yield as
178 methanogens are sensitive to change in pH (Figure 2). The suggested pH is 7.4 for AD reactor
179 to function properly [8]. Results obtained were supporting the statement as the lowest pH was
180 observed in the case of R1 which was 6.9 at the end of the experiment. Interestingly, the
181 cumulative methane yield was also lowest in the trial R1 which was 118 L/kg VS (Figure 2).
182 The pH of trial R2 was 7.5 which was near the suggested value and methane yield was also
183 maximum (226 L/kg VS). The second and third highest methane yield have noted the pH 7.8
184 and 8.8 respectively after the end of experiment which was 0.4 and 1.3 unit more to that of R2.

185 Measurement of TVFA/alkalinity ratio is also required to monitor the stability of SSAD reactor
186 as pH is not sole indicator of reactor's wellness. Simultaneous KOH or NaOH addition may
187 enhance the lignin disruption and subsequently pH drop may be observed due to TVFA
188 accumulation at acidogenesis stage [11, 15]. However, PHWBC may enable a balanced
189 electron transfer network through DIET within the anaerobic reactor. Furthermore, presence of
190 PHWBC in excess amount may increase the alkalinity of the reactor due to alkaline nature of
191 PHWBC. In this regard, the TVFA/alkalinity ratio may be observed as stress indicator of SSAD
192 reactor [20]. Though this ratio will be unique for each reactor, the ratio of 0.4 and 0.6 is
193 considered as optimal and as excess organic loading in liquid AD [21]. The maximum
194 TVFA/alkalinity ratio was observed as 0.86 at the loading of 0.5% (w/w) KOH and 10 g/L of
195 PHWBC in the case of trial R1 followed by trial R9 as 0.73 at 1% (w/w) KOH and 10 g/L
196 PHWBC respectively (Figure 2). The minimum TVFA/alkalinity ratio was observed in trial R5
197 (1.5% KOH, and 10g/L PHWBC) which was 0.17. The optimal ratio is reported as 0.4 and the
198 TVFA/alkalinity ratio observed for trial R2, R6 and R8 was 0.37, 0.36 and 0.44 which was
199 nearby optimal value. Interestingly, trial R2, R6 and R8 also showed maximum methane yield

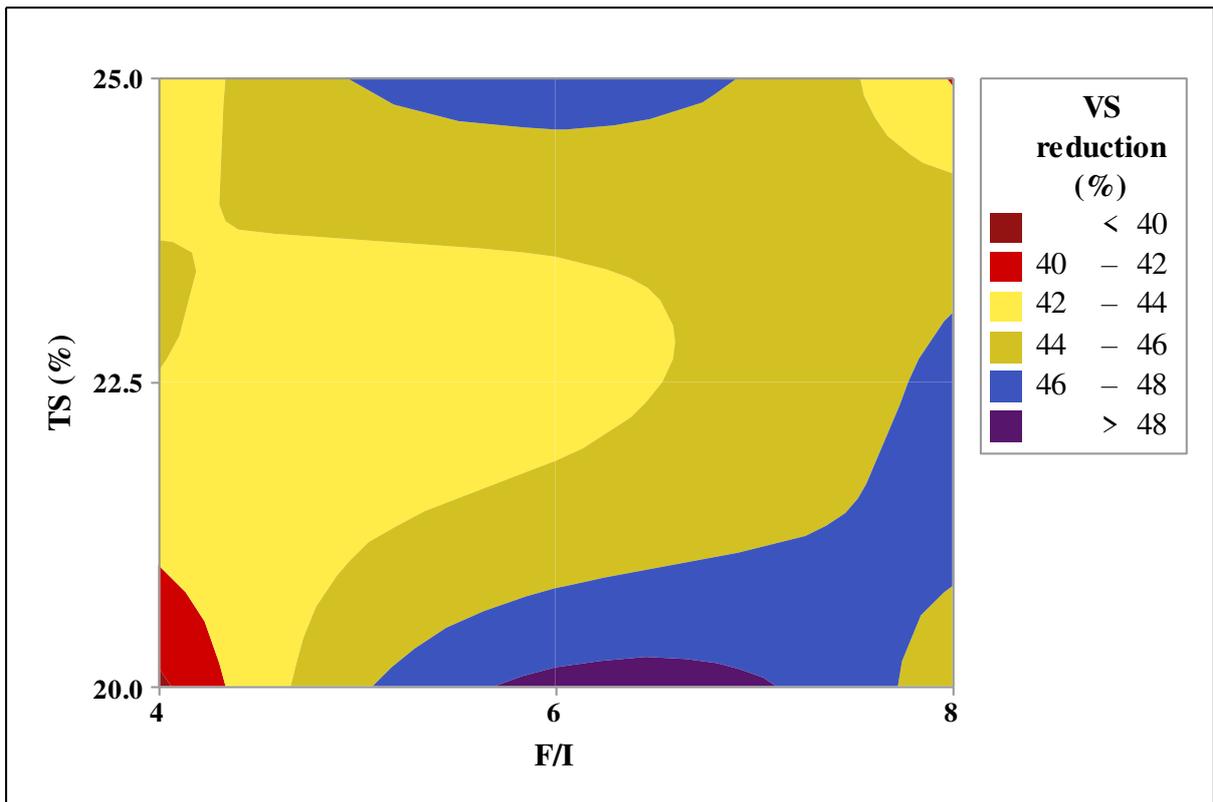
200 in the set of 20, 25.5 and 25% TS respectively. Alike results were observed by Liew et al. [11]
 201 in which simultaneous NaOH treatment of corn stover in SSAD not only increased the
 202 digestibility but also helped to maintain the buffering capacity of the reactor against excess
 203 acid accumulation.

204 *Analysis of Taguchi based GRA*

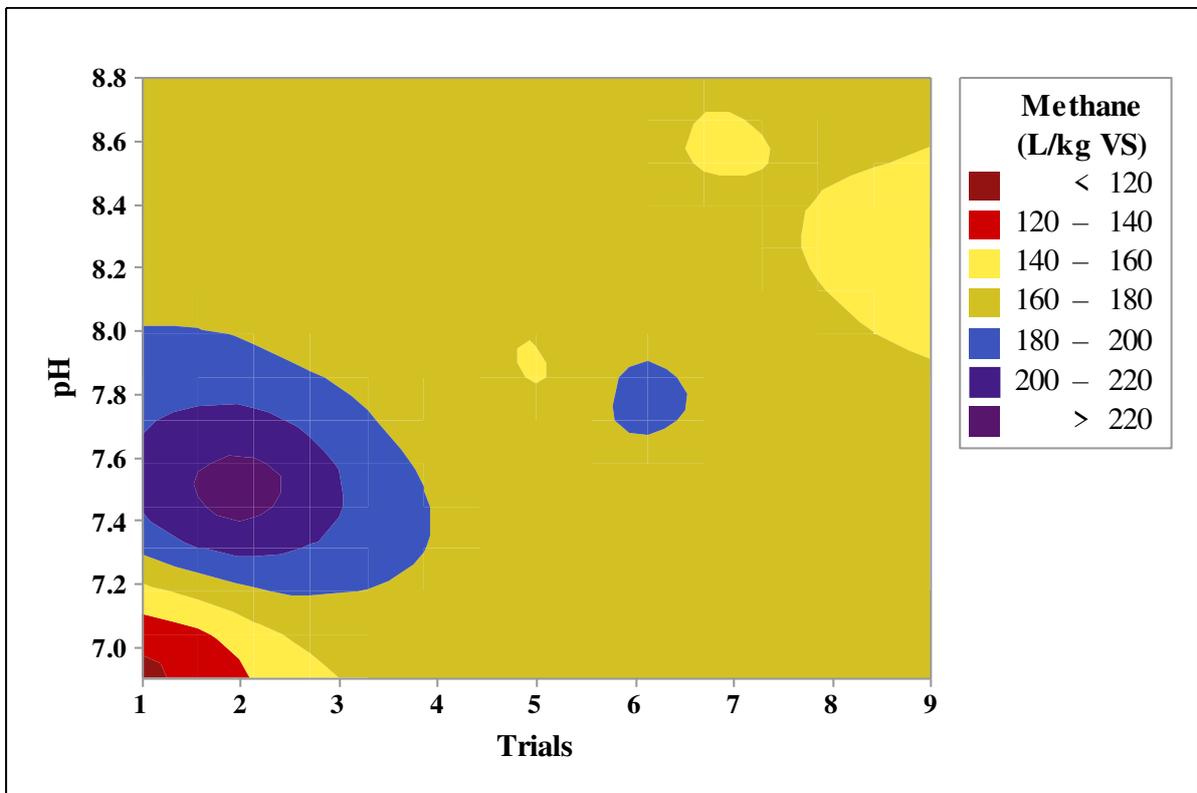
205 Table 1, 2 and 3 shows the values of normalizing sequence, deviation sequence and grey
 206 relation coefficient for GRA respectively. All the output response such as cumulative methane
 207 yield, VS reduction, pH and TVFA/alkalinity was normalized first. The normalization of
 208 cumulative methane yield and VS reduction is performed as “larger-the-better” using Eq. 5.
 209 For pH and TVFA/Alkalinity ratio, “nominal-the-better” was applied using Eq. 7 as pH and
 210 TVFA/alkalinity ratio should be in a specific range. The target value in Eq. 7 was given as 7.4
 211 for pH and 0.5 for TVFA/alkalinity ratio [8, 21].



212
 213 **Figure 1:** Cumulative methane yield of the trials based on Taguchi’s DoE
 214

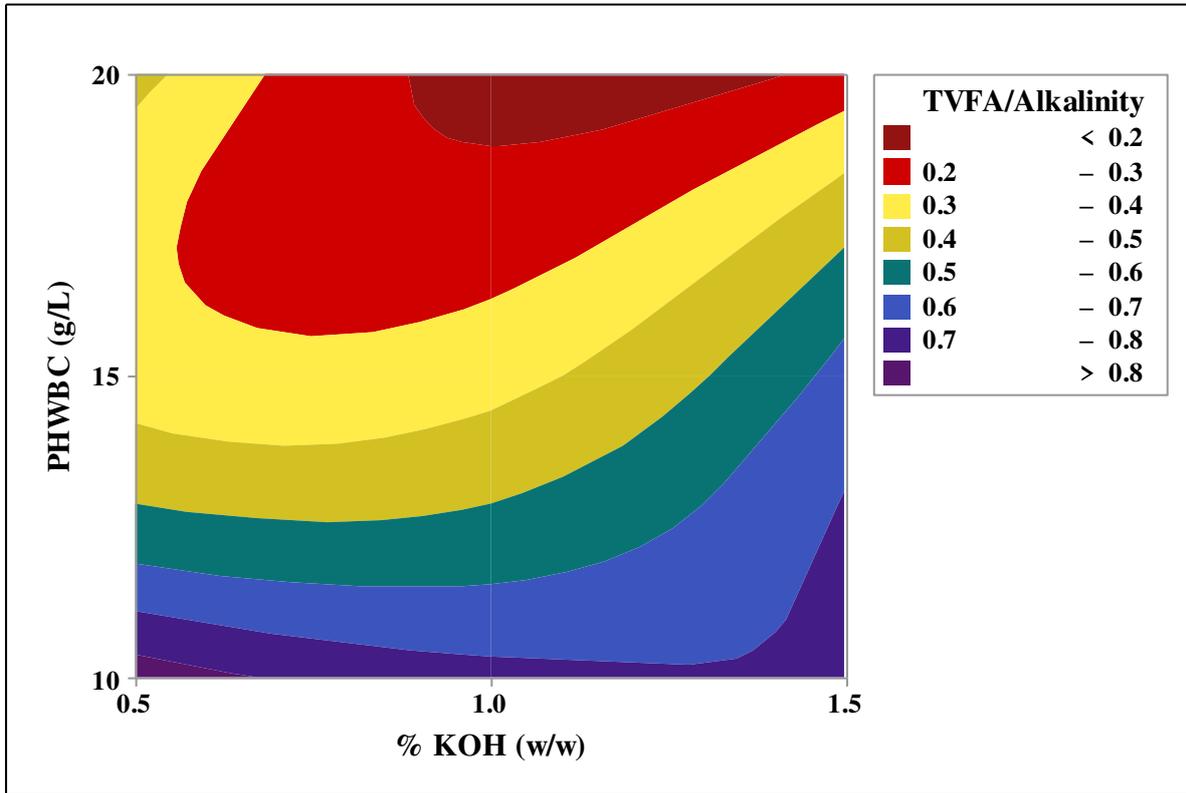


(a)



(b)

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219

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(c)

221 **Figure 2:** Surface plot showing (a) relationship among TS, F/I and VS reduction (b)
 222 relationship between pH and cumulative methane yield (c) effect of KOH and PHWBC
 223 concentration on TVFA/alkalinity ratio

224 As per the normalized sequence, grey relation coefficient was determined for every individual
 225 output using Eq. 8 in which deviation sequence was calculated using Eq. 9. The grey relation
 226 grade (GRG) was then determined with the help of grey relation coefficient using Eq. 12 (Table
 227 4). The obtained weighted GRG for all the trials were used for obtaining the optimum condition
 228 of the input variables using Taguchi's DoE analysis in Minitab software version 2015. The
 229 larger-the-better condition was applied and obtained S/N ratio of input factors. The main effect
 230 plot for weighted GRG is shown in Figure 3. It is clear from Figure 4 that 20% TS and F/I of
 231 4 is optimum condition along with 0.5% KOH (w/w) and 10 g/L of PHWBC for enhancing
 232 hydrolysis and syntropy simultaneously in SSAD. Based on the obtained optimum condition,
 233 a confirmation test was run and discussed in further section.

234 **Table 1:** Normalization sequence of responses of trials for GRA

Trial No.	Normalizing sequence			
	Methane	VS reduction	pH	TVFAs/ Alkalinity
R1	1.000	1.000	0.643	0.000
R2	0.000	0.000	0.929	0.625
R3	0.587	0.428	0.143	0.251
R4	0.549	0.510	0.500	0.066
R5	0.634	0.556	0.643	0.401
R6	0.387	0.228	0.714	0.607
R7	0.630	0.674	0.143	0.631
R8	0.482	0.144	0.000	0.827
R9	0.726	0.749	0.357	0.354

235

236 **Table 2:** Deviation sequence of the output factors

Trial No.	Deviation sequence			
	Methane	VS reduction	pH	TVFAs/ Alkalinity
R1	0.000	0.000	0.286	0.827
R2	1.000	1.000	0.000	0.202
R3	0.413	0.572	0.786	0.577
R4	0.451	0.490	0.429	0.761
R5	0.366	0.444	0.286	0.426
R6	0.613	0.772	0.214	0.220
R7	0.370	0.326	0.786	0.196
R8	0.518	0.856	0.929	0.000
R9	0.274	0.251	0.571	0.473

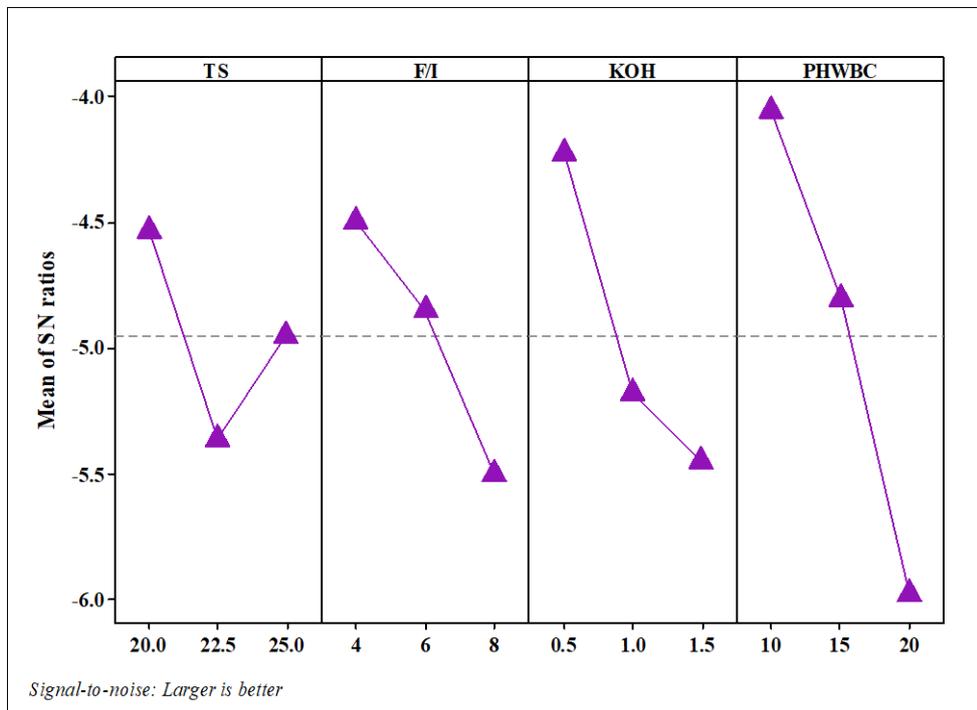
237 **Table 3:** Grey relation coefficient of responses for GRA

Trial No.	Grey relation coefficient			
	Methane	VS reduction	pH	TVFAs/ Alkalinity
R1	1.000	1.000	0.636	0.377
R2	0.333	0.333	1.000	0.712
R3	0.547	0.467	0.389	0.464
R4	0.526	0.505	0.538	0.397
R5	0.577	0.530	0.636	0.540
R6	0.449	0.393	0.700	0.694
R7	0.575	0.605	0.389	0.718
R8	0.491	0.369	0.350	1.000
R9	0.646	0.665	0.467	0.514

238 **Table 4:** Grey relation grade and signal to noise ratio for response of trials

Trial no.	Output response				GRG	S/N
	Methane	VS reduction	pH	TVFAs/ Alkalinity		
R1	118.39	39.54	6.90	0.86	0.753	-2.46089
R2	226.23	48.55	7.50	0.37	0.595	-4.51524
R3	162.97	44.69	8.60	0.23	0.467	-6.61666
R4	167.06	43.96	8.10	0.17	0.491	-6.17173
R5	157.90	43.54	7.90	0.71	0.571	-4.87151
R6	184.55	46.49	7.80	0.36	0.559	-5.05067
R7	158.24	42.48	8.60	0.63	0.572	-4.85361
R8	174.24	47.25	8.80	0.44	0.553	-5.15311

239



240

241 **Figure 3:** Main effect plot of each parameter on grey relation grade

242 *Analysis of variance*

243 ANOVA was performed to select the most prominent input parameters having severe impact
 244 on the process output(s) (Table 5). The most influential factor as per ANOVA analysis was
 245 PHWBC having 48.32% contribution on the output parameter. Identical observations were
 246 made by Lu et al. [22] in which it was stated that use of powdered biochar doubled the microbial
 247 growth and relieved propionic acid accumulation apart from increasing the methane yield. The
 248 second most influential factor was KOH having 21.13% contribution. In similar study,
 249 simultaneous treatment with NaOH helped in increasing methane yield in SSAD of fallen
 250 leaves [11]. The third most influential factor was F/I ratio with 15.35% contribution on the
 251 output parameter. It was reported earlier that 2 and 4 F/I optimum in the case of SSAD at
 252 mesophilic and thermophilic respectively [22]. The ANOVA results showed that simultaneous

253 alkaline treatment to enhance syntropy and hydrolysis worked well as their contribution ranked
 254 first and second.

255 **Table 5:** ANOVA for grey relation grade of factors selected for SSAD

Factors	DF	SS	MS	F-Value	P-Value	% Contribution
TS	1	0.002295	0.011482	1.66	0.267	4.46%
F/I	1	0.007896	0.002295	5.71	0.075	15.35%
KOH	1	0.010872	0.007896	7.86	0.049	21.13%
PHWBC	1	0.024865	0.010872	17.98	0.013	48.32%
Error	4	0.00553	0.024865			10.75%
Total	8	0.051458				100.00%

256 *Analysis of confirmation test*

257 Hydrolysis is a rate limiting step and the rate constant can be determined by first order kinetic
 258 model [23]. Table 5 shows the result of first order kinetics for the control and optimized
 259 conditions. The hydrolysis rate constant (k) was observed as 0.0595 and 0.0521 d⁻¹ for control
 260 and optimized condition respectively. Also, the R² was 0.9953 and 0.9987 for control and
 261 optimized condition respectively. The results showed that the hydrolysis was improved in the
 262 case of optimized condition. Mirmohamadsadeghi et al. [24] also observed that in SSAD of
 263 rice straw; rate constant was 0.088 d⁻¹ for methane yield after treatment with organic solvent.
 264 Similar results were also observed by Bolado-Rodríguez et al. [25] for cumulative methane
 265 yield from pretreated (thermal autoclave pretreatment) WS. The first order rate constant for
 266 untreated and pretreated WS was 0.100 and 0.055 d⁻¹. In another study, Ferreira et al. [26]
 267 reported that hydrolysis was a rate limiting step by modelling methane yield using first order
 268 kinetic model. It was observed that hydrolysis rate constant for methane produced from steam

269 exploded WS was 0.085 d⁻¹. Table 6 is showing the comparison of present study to previously
 270 reported studies for hydrolysis rate constant based on first order kinetic modelling.

271 **Table 6:** Comparison of hydrolysis rate constant (*k*) and cumulative methane yield obtained
 272 from first order kinetics with previous study

Feedstock	Treatment type	AD type	<i>k</i> (d ⁻¹)	R ²	References
WS	Steam explosion	LAD	0.085	0.9890	Ferreira et al., (2013)
RS	Organosolv	SSAD	0.088	0.9180	Mirmohamadsadeghi et al., (2014)
WS	Autoclave	LAD	0.055	0.9976	Bolado-Rodríguez et al., (2016)
WS	Thermal+alkali	LAD	0.056	-	Moset et al., (2018)
PMS	Alkali (KOH)	SSAD	0.052	0.9987	Present study

273 WS – Wheat straw; RS – Rice straw; PMS – Pearl millet straw

274 The increment in hydrolysis rate of PMS also manifested in cumulative methane yield
 275 improvement. Figure 4(a) depicts the graph of first order model; predicted and experimental
 276 methane yield for control and optimized conditions. The experimental cumulative methane
 277 yield was 108 and 195 L/kg VS while the predicted was 100 and 170 L/kg VS for control and
 278 optimized condition respectively. This shows an improvement of 1.8-fold in the experimental
 279 cumulative methane yield. Moset et al. [27] observed an increment of 33% in cumulative
 280 methane yield after targeting hydrolysis using thermal and alkali treatment of WS. Similarly,
 281 Mirmohamadsadeghi et al. [24] reported an increment of 1.3-fold in methane yield as compared
 282 to control from organic solvent treated rice straw in SSAD.

283 Moreover, application of biochar has also been reported for enhancing methane yield and
 284 maintaining syntropy for various feedstocks [13]. Figure 4(b) shows the digester characteristics
 285 of control and optimized condition. The pH of control and optimized condition was 6.91 and

286 7.49 respectively. The TVFA and alkalinity for control was observed as 2.09 g/L as HAc and
287 2.74 g/L as CaCO₃ respectively. For optimized condition, it was 1.62 g/L as HAc and 4.22 g/L
288 as CaCO₃ respectively. These results showed that TVFA accumulation got decreased by 29%
289 while alkalinity increased by 54% apart from increment in pH. Similar results were observed
290 by Lu et al. [28] by employing pine biochar in AD of oil and revealed that pH dropped up to
291 5.95 while it was 6.49 and 6.29 for powdered and granular biochar added to the reactor
292 respectively. Lu et al. [28] also reported that and microbial population got doubled which
293 helped in increasing the methane yield. In another study, Sun et al. [29] observed that in control,
294 without cow manure biochar, pH dropped up to 6.82 while reactor having 10 g/L of biochar
295 recorded pH as 7.13 thermophilic condition. Sun et al. [29] also, contemplated that presence of
296 biochar could mitigate adverse effect of TVFA accumulation and maintain buffering capacity
297 of the digester. The reactor fed with 10g/L of cow manure biochar showed TVFA as 1.2g/L
298 and alkalinity as 1.9 g/L as CaCO₃. However, control showed 1.8 g/L of TVFA and 0.8 g/L of
299 alkalinity as CaCO₃. Table 7 shows the comparison of present study with previously reported
300 studies.

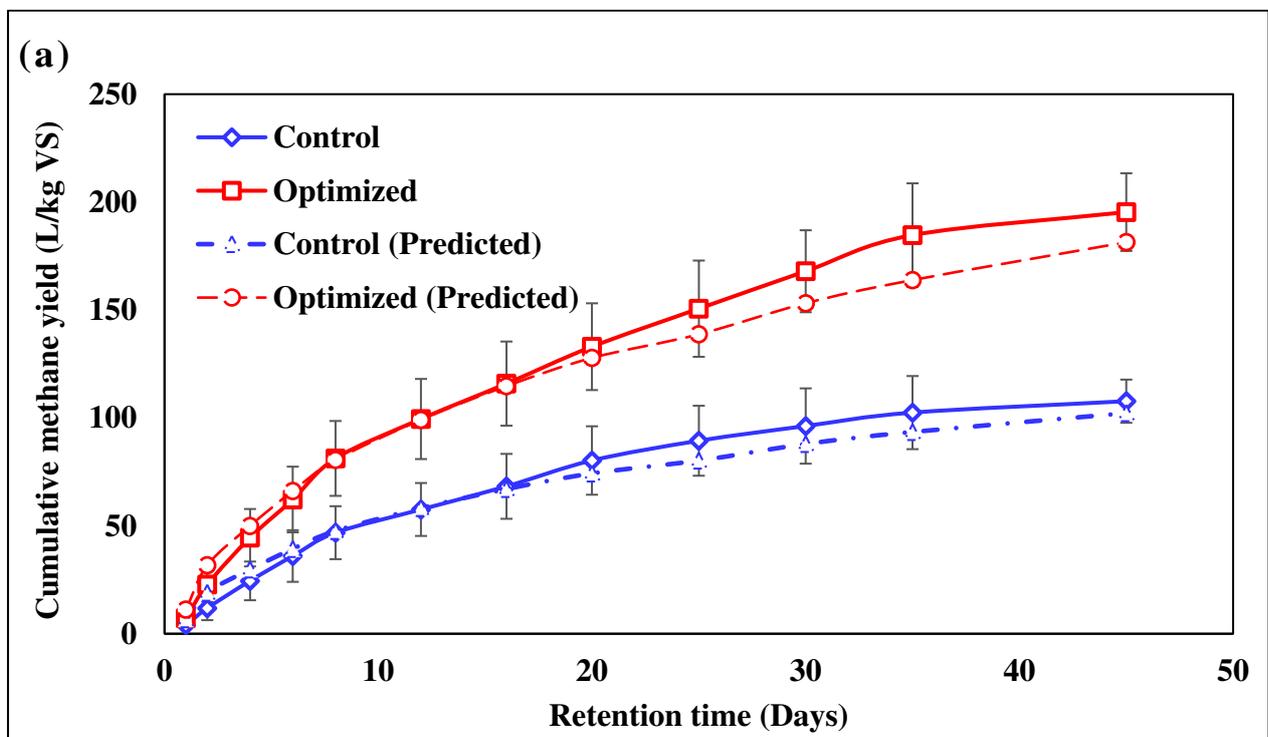
301 *Techno-economic assessment*

302 *Assessment of economic indicators*

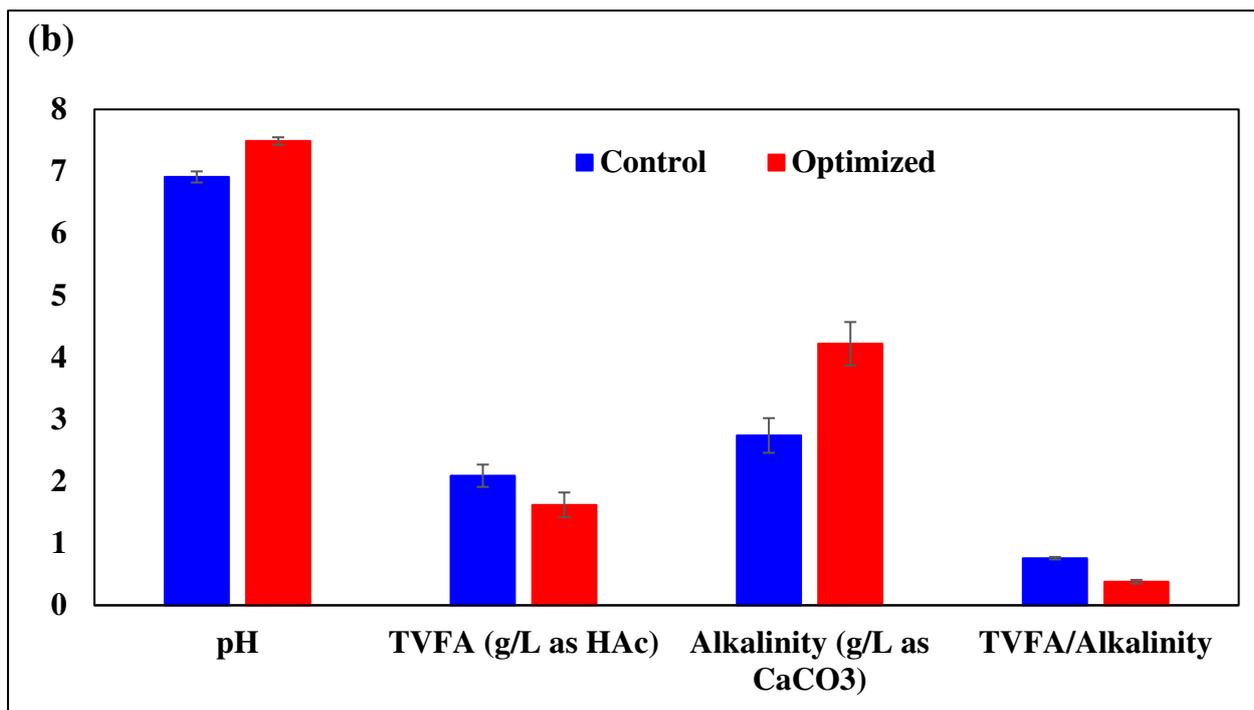
303 Table 8 is showing results of techno-economic analysis. The CAPEX for the full scale SSAD
304 plant included installation of 300 m³ SSAD plant and equipment and machinery cost. OPEX
305 for the full scale plant includes cost of feedstock, labour cost, O&M cost and transportation
306 cost of straw from farm field to SSAD plant. The revenue model includes revenue generation
307 from electricity to the grid and selling of solid digestate as soil conditioner. The LCOE
308 calculated was 0.128 and 0.1123 US\$/kWh_e for untreated and simultaneously treated SSAD
309 reactor. Thus, for both scenarios, the selling price of electricity for revenue generation was
310 increased by 15% to earn profit and selected as 0.147 and 0.13 US\$/kWh_e for untreated and

311 simultaneously treated scenario respectively. The selling price of solid digestate as soil
 312 conditioner was assumed as US\$ 0.035/kg of digestate for both scenarios. However, the selling
 313 price of solid digestate may be increased for digestate of simultaneously treated reactor as it
 314 would have biochar and it was reported as effective soil conditioner [30]. This will also ensure
 315 the viability of the project as lower unit price of electricity will attract the consumer to choose
 316 renewable sources over conventional power plant.

317 The most impactful economic indicator for a project is NPV and effect of different discount
 318 rate on NPV for SSAD plant is shown in figure 5. The increase in the discount rate from 5 to
 319 10% have shown decrease in the value of NPV. At 5%, NPV was US\$ 20563 and US\$ 51,733
 320 for untreated and simultaneously treated SSAD reactor for 25 years of plant life. NPV at 5%
 321 was 2 and 20 times more as compared to the NPV at 7.5% for simultaneously treated and
 322 untreated full scale reactor respectively. At 10%, NPV for simultaneously treated SSAD reactor
 323 fell to US\$ 7333 while for untreated it was negative. This clearly shows the importance of the
 324 discount rate on whole project life.



325



326

327 Figure 4: (a) Confirmation test showing experimental and predicted cumulative methane yield

328 and (b) digester characteristics of control and optimized conditions

329 **Table 7:** Comparison of cumulative methane yield observed with previous studies

Feedstock	AD type	Targeted process	Methane yield	TVFA/Alkalinity	Increment	References
WS	LAD	Hydrolysis	273 L/kg VS	-	1.20-fold	Ferreira et al., (2013)
RS	SSAD	Hydrolysis	153 L/kg VS	-	1.34-fold	Mirmohamadsa deghi et al., (2014)
WS	LAD	Hydrolysis	265 L/kg VS	-	1.32-fold	Bolado-Rodríguez et al., (2016)
WS	LAD	Hydrolysis	305 L/kg VS	-	1.33-fold	Moset et al., (2018)

BL	SSAD	Syntropy	401 L/kg	0.46	1.47-fold	Sun et al., (2019)
			VS			
Oil	LAD	Syntropy	854 L/kg	-	1.35-fold	Lu et al., (2019)
			VS			
PMS	SSAD	Hydrolysis	195 L/kg	0.36	1.8-fold	Present study
		+Syntropy	VS			

330 WS: Wheat straw; RS: Rice straw; PMS: Pearl millet straw; BL: Beer lees

331 A lower IRR and higher PBT will make decision makers, like investors and government
332 authorities, repulsive to the project. A higher IRR shows an attractive project proposal. At 7.5%
333 of the discount rate, the IRR was 7.6 and 11.2% for untreated and simultaneously treated
334 reactors. This shows that despite the additional operational cost of simultaneously treatment
335 (US\$ 3650/year for PHWBC and KOH), IRR of simultaneously treated reactor was 3.6%
336 higher to that of untreated approach. The PBT for simultaneously treated reactor was 8.2 years

337 **Table 8:** Techno-economic assessment of SSAD plant

Particulars	Untreated	Simultaneously treated
Capital cost (\$/25year)	73000	73000
<i>Raw material cost (\$/year)</i>		
PMS	0	0
Inoculum	0	0
Additives (PHWBC + KOH)	0	3650
<i>Operational cost (\$/year)</i>		
Maintenance and electricity charges	3650	3650
Labour	2190	2190
Transportation	157.5	157.5
<i>Revenue generated (\$/year)</i>		

Electricity	10439.1	16782.7
Digestate	2205	1715
<i>Techno-economic indicators</i>		
LCOE (US\$/kWh _e)	0.128	0.112
NPV (\$)	1088	25652
IRR (%)	7.65	11.29
PBT (Years)	10.9	8.2
DPBT @ 5% (Years)	16.36	10.89
DPBT @ 7.5% (Years)	24.08	13..33
DPBT @ 10% (Years)	Investment can never be paid back at this rate	18.28

338

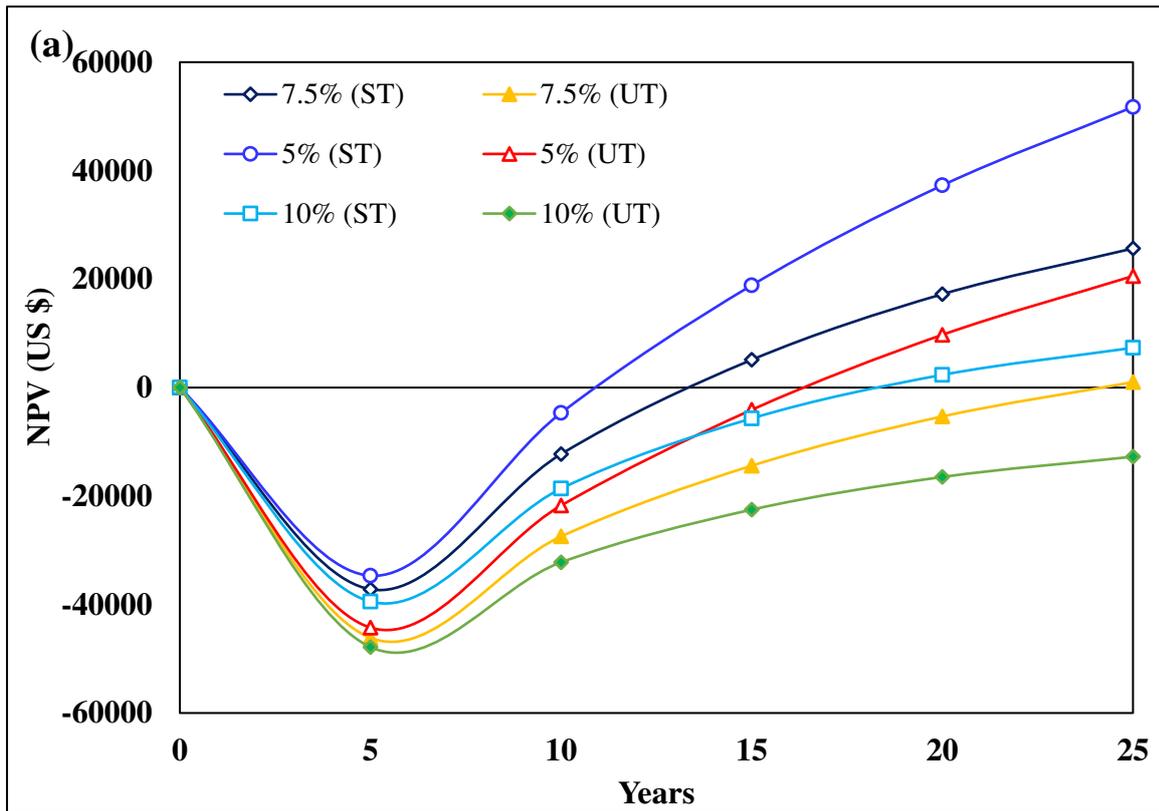
339 while for untreated condition, it was 11.9 years. This is because the revenue generated by
340 electricity and digestate selling was US\$ 146539 more as compared to untreated condition after
341 25 years of project duration. Similar results were also observed by Li et al. [37] in which SSAD
342 at 20% TS showed payback period of 10.9 years with 11.7% of IRR. However, the payback
343 time may be reduced further if anaerobic codigestion is adopted with PMS to increase the daily
344 methane yield, subsequently increasing electricity production.

345 *Sensitivity analysis*

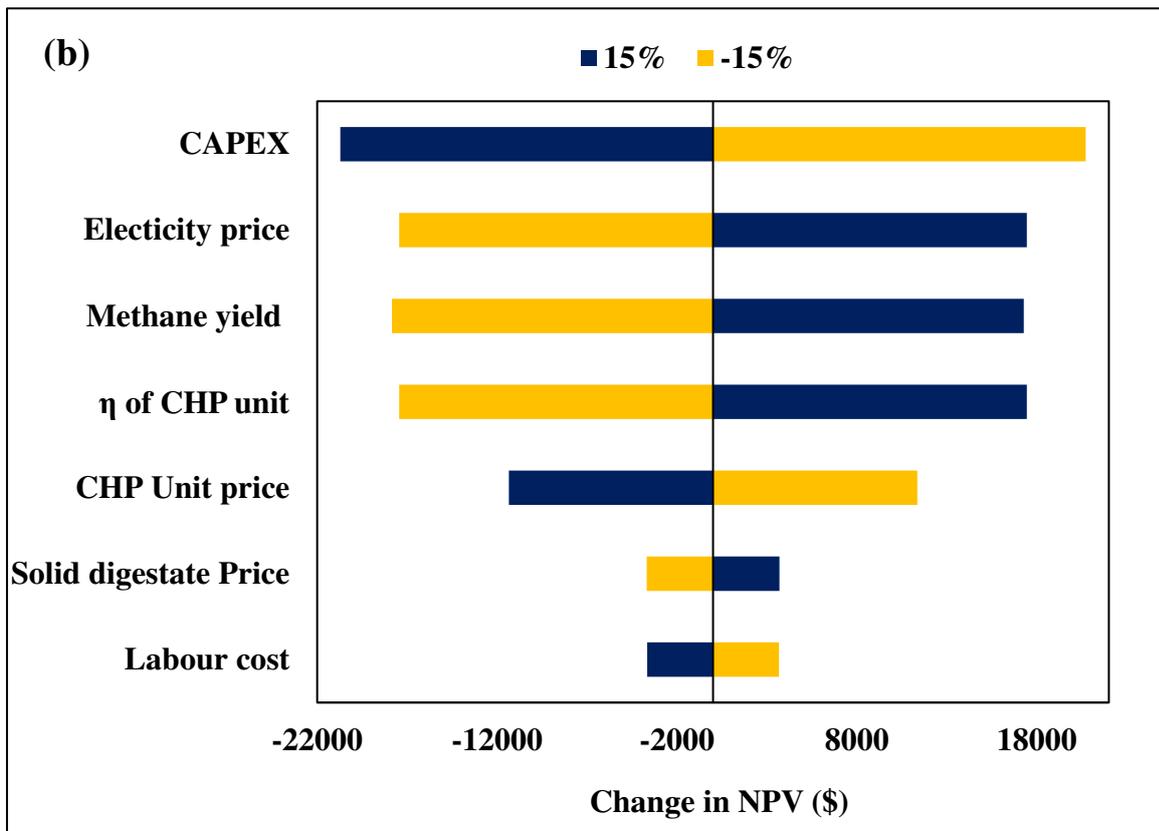
346 Figure 5 shows the tornado graph of the sensitivity analysis for both untreated and
347 simultaneously treated scenarios. For untreated scenario, CAPEX is affecting the NPV most
348 followed by electricity selling price, methane yield and efficiency of CHP unit. The change in
349 NPV observed due to $\pm 15\%$ change in CAPEX was US\$ 20714. This shows that if CAPEX is
350 increased by 15%, the NPV would be negative (Figure 5). The NPV change in the case of

351 CAPEX was more than 18% when electricity price and efficiency of CHP unit was increased
352 by 15%. Floating of methane yield by $\pm 15\%$ showed a change of \geq US\$ 17000 in NPV. These
353 results showed that CAPEX, methane yield, efficiency of CHP unit and electricity price are
354 crucial for this scenario of power generation. All the factors have shown a negative NPV when
355 the values were decreased by 15%. This makes the SSAD plant non-viable economically in the
356 case of no treatment provided for methane increment.

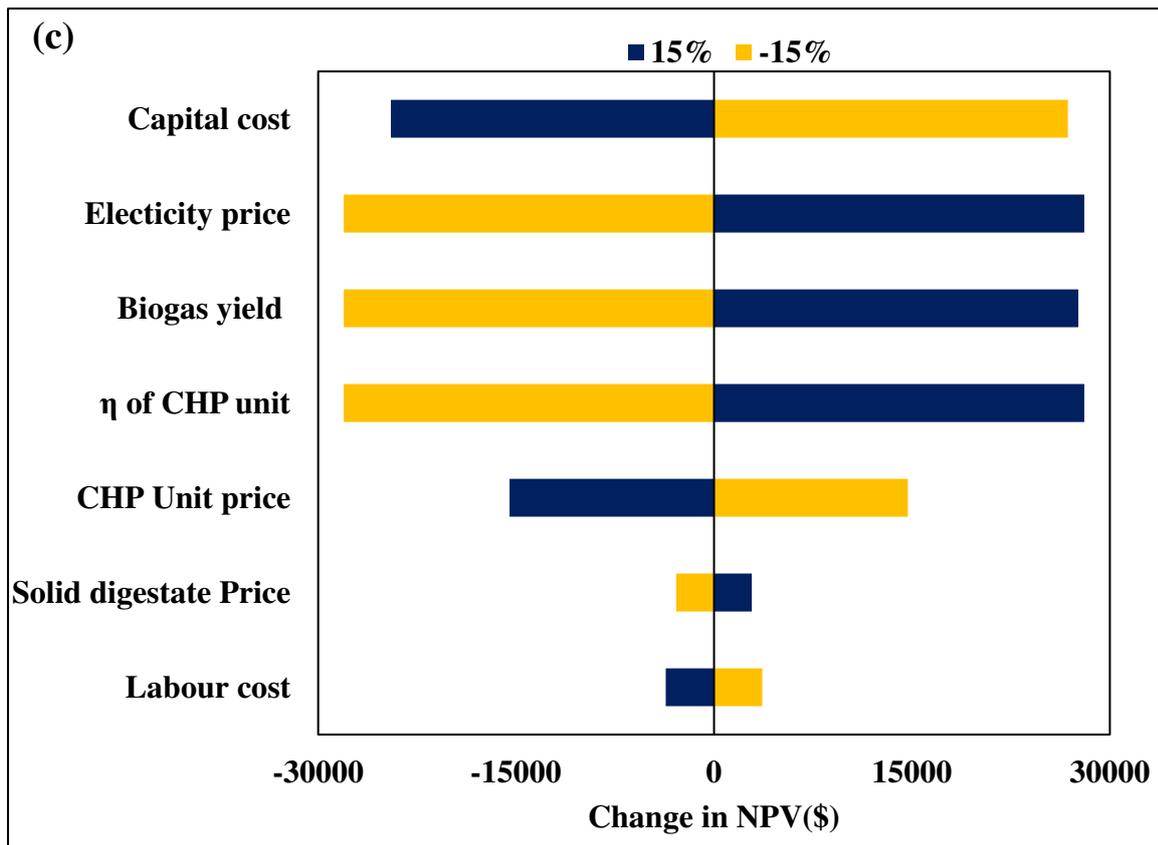
357 For second scenario, i.e. simultaneously treated reactor, electricity selling price and efficiency
358 of CHP unit showed a change of US\$ 28061 on NPV when floated by $\pm 15\%$. However, this
359 change was only 1% more when methane yield was floated by same percentage. This clearly
360 shows that if methane yield is increased per unit mass, the NPV will also increase in the case
361 of electricity price as methane yield governs the electricity generation. Also, compared to
362 untreated scenario, the change in NPV due to $\pm 15\%$ change in methane yield was more than
363 59% in scenario of simultaneous treatment. This clearly shows that simultaneous increment of
364 hydrolysis and syntropy will help to achieve monetary benefits despite the fact that OPEX was
365 US\$ 3650/year more in the case of simultaneous treatment ($C_{Additives}$).



366



367



368

369 Figure 5: (a) NPV at different discount rate. (ST – simultaneously treated reactor; UT –
 370 Untreated reactor); Sensitivity analysis of (b) untreated and (c) simultaneously treated SSAD
 371 plant

372 Conclusions

373 In this study, hydrolysis and syntrophic activity enhanced simultaneously in SSAD process.
 374 The increment in the hydrolysis rate and syntrophic activity helped to achieve 1.8-fold higher
 375 methane yield as compared to control. While simultaneous mixing of alkali (KOH on w/w
 376 basis) helped to improve the hydrolysis rate, mixing of PHWBC (w/w basis) enabled the
 377 syntropy in the SSAD of PMS. The TVFA/alkalinity ratio was 0.36 for optimized condition
 378 while for control it was 0.76. However, further research is needed for concrete proof of
 379 improved hydrolysis and syntropy during simultaneous treatment like estimation of lignin
 380 solubilization and microbial community analysis for evaluating the population of hydrolytic
 381 and archaeal community as well as to decipher the deeper biotechnology involved. Techno-

382 economic assessment showed that addition of PHWBC and alkali helped to achieve shorter
383 payback years and higher return rate making a biogas project profitable and paving road for
384 sustainable bioeconomy for reduced GHG emissions. Though, anaerobic codigestion may be
385 adopted to increase the methane yield and energy generation as sensitivity analysis showed that
386 NPV is vulnerable to change in CAPEX and methane yield.

387 **Materials and methods**

388 *Selection of lignocellulosic biomass and inoculum*

389 For present study, locally available lignocellulosic biomass; PMS was selected. North-western
390 part of India is rich in PMS and annual production was reported to be 21 million tonnes [2].
391 Despite the abundant availability, PMS has been least explored for biomethane production.
392 Yadav et al. [32] reported that fungal treatment helped to improve biomethane by 51% over
393 untreated PMS in LAD. In another study by Paritosh et al. [33]; SSAD of PMS was attempted
394 at mesophilic and thermophilic conditions. Results favoured the thermophilic temperature and
395 25% TS for highest methane yield. The scarcity of literature on PMS compels for its selection
396 in SSAD process and its proper utilization for future sustainable bioeconomy and lower GHG
397 emissions. PMS was collected from nearby village (Burthal) located in Jaipur (26.79° N,
398 75.88° E), India during October 2019. The collected PMS was stored in the zip lock bags till
399 further use.

400 The inoculum as effluent was collected from nearby (Durgapura, Jaipur; India 26.8°N, 75.7°E)
401 active biogas plant operating at mesophilic temperature using cow dung. Pre incubation of
402 inoculum was performed (52°C, 14 days) in incubator prior to its proper use; for taking down
403 the residual methane potential and to activate. Thermophilic condition has been chosen to work
404 with over mesophilic contionpes as the former leads to higher yields due to increased rate of
405 hydrolysis in SSAD system [5].

406 *Selection of carbon based conducting material and alkali*

407 Biochar, a CBCM, is made by pyrolysiing the biomass, under minimum amount of O₂ [34].
408 Biochar is with unique properties viz. high surface area, increased porosity and cation
409 exchange capability. Of course these and other characteristics of biochar also depend on type
410 and origin of biomass. The above characteristics make biochar very impactful for adsorbing
411 the inhibitors such as ammonia, pesticides, heavy metals along with DIET. Since biochar have
412 higher surface area it helps in increased bacterial growth in AD [13]. For present study,
413 PHWBC was locally procured (Greenfield eco solution, India). KOH was selected as alkali for
414 simultaneous alkaline treatment of PMS in SSAD experiment as per previous study by the
415 group [15]. The characteristics of PMS, inoculum and PHWBC are depicted (Table 9).

416 *Taguchi design of experiment and solid state anaerobic digestion*

417 To apply Taguchi's DoE in present study for experimental array of orthogonally arranged
418 inputs, four parameters (TS in %, F/I, KOH as g/100g PMS and PHWBC as g/L inoculum)
419 were selected. The input control parameters were varied through three levels (Table 10)
420 minimum number of experiments were calculated. As per Taguchi's DOE, the minimum
421 number of experiments were 9 (L₉) for given number of input parameters and levels (Eq. 1).
422 The inner orthogonal array formulated for selected parameters were shown in table 11.

$$423 \text{ Minimum number of experiments to be performed} = [(L - 1)] * P] + 1 \quad (1)$$

424 Where, $L = \text{Number of levels}$; $P = \text{Number of parameters}$

425 Taguchi's DoE encompasses three different categories for performance analysis of selected
426 input parameters. These three categories are "Larger-the-better", Nominal-the-better" and
427 "Smaller-the-better" and expressed as "Signal to Noise (S/N) ratio". This S/N is calculated
428 using Eq. 2, 3 and 4.

429

430

431

432 **Table 9:** Properties of feed materials for SSAD

Characteristics	Pearl millet	Inoculum	Pyrolysis Hardwood
	straw		biochar
Total solid (%)	93.6 ± 2.7	8.9 ± 0.3	94.1 ± 0.3
Volatile solid (% TS)	92.3 ± 1.9	65.7 ± 0.5	95.3 ± 0.3
pH	/	6.9 ± 0.3	7.9 ± 0.3
Carbon (%)	41.8±2.1	35.3±0.1	ND
Hydrogen (%)	7.9±1.3	4.1±0.9	ND
Nitrogen (%)	0.9±0.3	1.5±0.2	ND
C/N	46.4±1.3	23.5±0.1	ND
Hot water extractives (%)	14.5±1.8	/	/
Cellulose (%)	38.2±2.3	/	/
Hemicellulose (%)	29.6±1.9	/	/
Lignin (%)	17.5±0.8	/	/
Calorific value (Kcal/kg)	3888.6±27	/	7850±48
Electrical conductivity (dS/m)	/	/	1.4±1
Cation exchange capacity (c mol/kg)	/	/	17±1
Phosphorus (%)	/	/	0.2±0.01
Potassium (%)	/	/	2.5±0.2
Calcium (%)	/	/	1.2±.1
Magnesium (%)	/	/	0.05±.003

433

434

435 **Table 10:** Parameters and their levels selected for Taguchi DoE

S. No.	Parameters	Level 1	Level 2	Level 3
1	Total solid	20	22.5	25
2	F/I	4	6	8
3	KOH (w/w)	0.5	1.0	1.5
4	PHWBC (g/L)	10	15	20

436 **Table 11:** L₉ orthogonal array of input control parameters

Trial no.	Input Parameter conditions			
	Total solid (%)	F/I	KOH (w/w)	PHWBC (g/L)
R1	20	4	0.5	10
R2	20	6	1.0	15
R3	20	8	1.5	20
R4	22.5	4	1.0	20
R5	22.5	6	1.5	10
R6	22.5	8	0.5	15
R7	25	4	1.5	15
R8	25	6	0.5	20
R9	25	8	1.0	10

437

438 For “Larger-the-better”

439
$$S/N = -10 \log \left(\frac{1}{n} \sum_{i=1}^n \frac{1}{y_i^2} \right) \quad (2)$$

440 For “Nominal-the-better”

441
$$S/N = -10 \log \left(\frac{\bar{y}}{s_y^2} \right) \quad (3)$$

442 For “Smaller-the-better”

443
$$S/N = -10 \log \left(\frac{1}{n} \sum_{i=1}^n y_i^2 \right) \quad (4)$$

444 The batch SSAD was carried out in a glass bottle of volume 600 mL. A total of 9 set were
 445 prepared. The PHWBC and KOH were pre-dissolved into inoculum and mixed with straw
 446 manually as per table 11. These premixes were put into the glass batch bioreactor bottles
 447 (triplicates), closed with rubber septum and sealed. Flushed with nitrogen was done for the
 448 anaerobic condition to all the above mentioned bioreactors and then incubated (SANCO, India)
 449 at 52 ± 2°C for 60 days. To keep up the proper and uniform mixing the bottles were mixed
 450 thoroughly up and down manually at least three times a day.

451 *Grey relation analysis*

452 GRA was developed to measure complicated relationship between input variables both
 453 qualitatively and quantitatively [35]. The GRA technique categorizes dynamic characteristics
 454 and relative influence of input factor. GRA requires data pre-processing based on the selected
 455 criteria. In pre-processing, data are normalized based on “larger-the-better”, “smaller-the-
 456 better” or “nominal-the-better” conditions using Eq. 5, 6 and 7.

457 For “larger-the-better”

458
$$X_i^*(k) = \frac{X_i^0(k) - \min X_i^0(k)}{\max X_i^0(k) - \min X_i^0(k)} \quad (5)$$

459 For “smaller-the-better”

460
$$X_i^*(k) = \frac{\max X_i^0(k) - X_i^0(k)}{\max X_i^0(k) - \min X_i^0(k)} \quad (6)$$

461 For “nominal-the better”

462
$$X_i^*(k) = 1 - \frac{|X_i^0(k) - X^0|}{\max X_i^0(k) - X^0} \quad (7)$$

463 Where, $X_i^*(k)$ = Normalized value; $\max X_i^0(k)$ = maximum value of $X_i^0(k)$; $\min X_i^0(k)$ =
 464 minimum value of $X_i^0(k)$ and X^0 = target value.; i = number of experiments performed; k =

465 number of responses obtained. After the normalized value is obtained, determination of grey
 466 relation coefficient is next step using Eq. 8.

$$467 \quad \zeta_i(k) = \frac{\Delta_{min} + \xi \Delta_{max}}{\Delta_{0i}(k) + \xi \Delta_{max}} \quad (8)$$

468 Where, $\zeta_i(k)$ = Grey relation coefficient, $\Delta_{0i}(k)$ = deviation sequence and given by Eq. 9.

$$469 \quad \Delta_{0i}(k) = ||X_0^*(k) - X_i^*(k)|| \quad (9)$$

$$470 \quad \Delta_{max} = \text{Max}_{\forall j \in i} \text{Max}_{\forall k} ||X_0^*(k) - X_j^*(k)|| \quad (10)$$

$$471 \quad \Delta_{min} = \text{Min}_{\forall j \in i} \text{Min}_{\forall k} ||X_0^*(k) - X_j^*(k)|| \quad (11)$$

472 A distinguish factor ξ is used for calculating grey relation coefficient which is expressed as $\xi \in$
 473 $[0,1]$ and generally is equal to 0.5. After calculating grey relation coefficient, grey relation grade
 474 (GRG) is determined using Eq. 12.

$$475 \quad \gamma = \sum_{k=1}^n \zeta_i(k) \quad (12)$$

476 *Analytical methods and post treatment analysis*

477 Biogas volume calculation and compositional analysis was performed as per previously
 478 reported study [33]. For compositional analysis, biogas was fed to inlet of gas chromatograph
 479 (TRACE 1300, Thermo Fisher Scientific, India) equipped with thermal conductivity detector
 480 and Helium as carrier gas. Temperature of detector and injector was 150°C and oven was at
 481 50°C throughout the run. The TS, VS and ash content were determined for raw materials and
 482 inoculum as per the standard methods [36]. Ultimate analysis (C, H and N) was performed
 483 using Elemental Analyzer (FLASH 2000; Thermo Scientific, USA). pH, total volatile fatty
 484 acids (TVFA) and total alkalinity were monitored. For the same, sampling was done by
 485 blending 5 g of sample in 50 ml of deionised water, it was further centrifuged (10,000 rpm, 15
 486 min) at room temperature (CIS 24 plus, REMI, India) [37]. Titration was performed to quantify
 487 the TVFA and total alkalinity as per reported methods [11].

488 *Confirmation test*

489 After performing the Taguchi based DoE and GRA analysis, a confirmation experiment was
490 also performed for 45 days. 2 sets of experiments were performed in which one set was having
491 only premixed PMS and inoculum as control while other set was having KOH and PHWBC
492 added to inoculum and mixed with the PMS. The amount of KOH and PHWBC added to
493 inoculum was the best condition obtained from Taguchi based GRA analysis (0.5 g KOH on
494 w/w and 10 g/L PHWBC). To check whether hydrolysis got improved by alkali addition, first
495 order kinetic model was used to determine the hydrolysis constant using Eq. 13.

496
$$Y_t = Y_{max} * [1 - \exp(-kt)] \quad (13)$$

497 Where, Y_t = cumulative methane yield (L/kg VS) at time t (d); Y_{max} = maximum cumulative
498 methane production and k = hydrolysis constant (d^{-1}). Further, to check if the syntropy
499 established, TVFA/alkalinity ratio was also determined using method described in section 2.5.

500 *Statistical analysis*

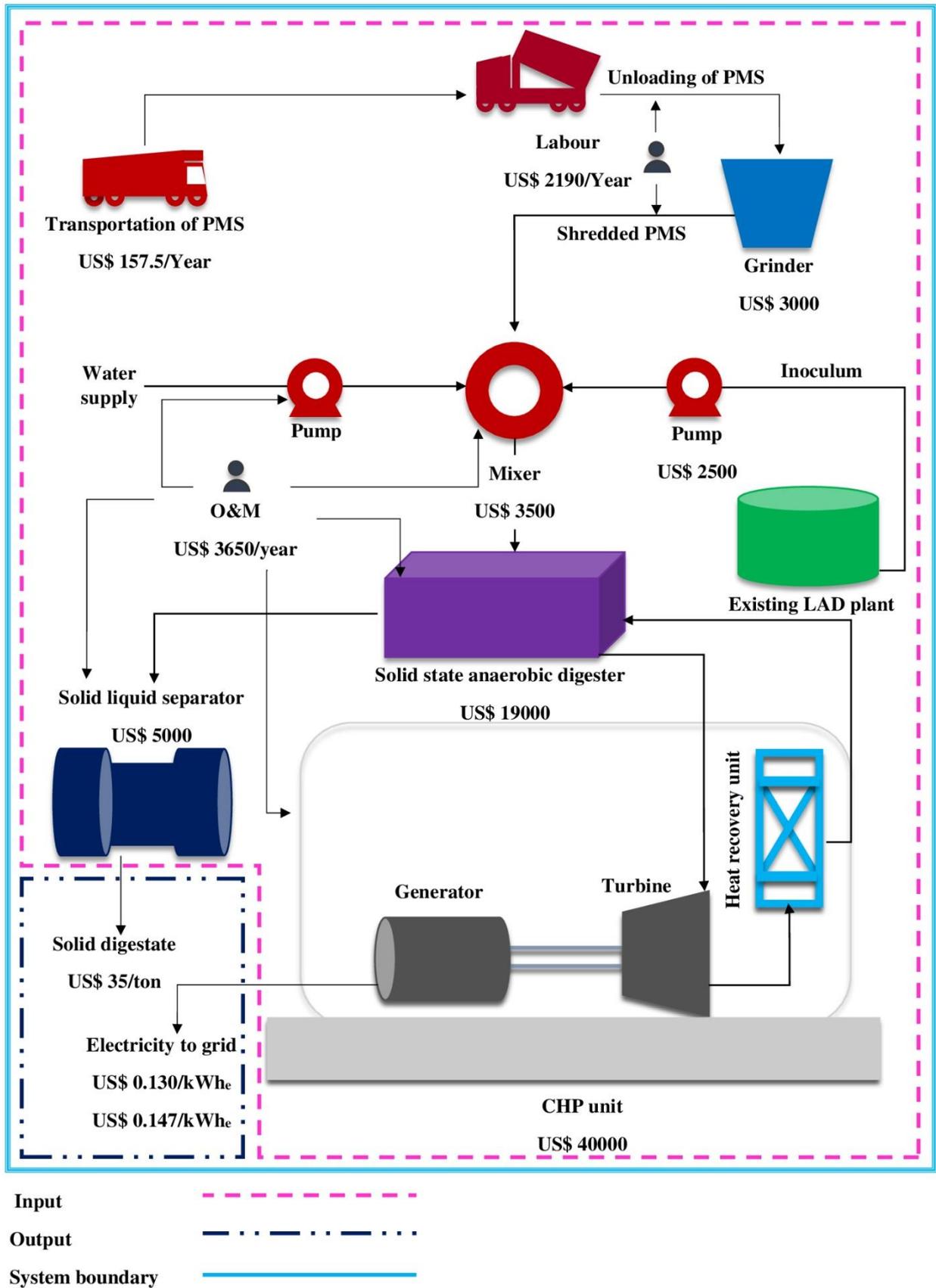
501 Analysis of variance (ANOVA) is statistical tool by which relative influence of selected input
502 factors may be determined on the output response. ANOVA helps to identify the most dominant
503 factor among selected factors on output parameters [38]. It also helps in categorizing the
504 contribution of each factors selected on the multiple output. By knowing the dominant factor,
505 one can control its variation in input condition for overall control on output variations [39].

506 *Techno-economic assessment*

507 *Inventory and system assessment*

508 Results of confirmation test was used for techno-economic analysis of a full scale SSAD plant.
509 A garage type reactor was assumed for techno-economic analysis in batch SSAD condition. The
510 dimension of the reactor was assumed as 10m x 6m x 5m with wall thickness as 300 mm [15,
511 40]. The digester was assumed to be used for treating 28 ton of PMS per run and the batch
512 digestion time was adopted to be 45 days. The location of SSAD plant is considered same as

513 mentioned in previously reported study by Paritosh et al. [15] which is near existing full-scale
514 LAD plant running on cow dung. Thus in this case, cost of inoculum transportation is
515 considered as US\$0/ton. The distance of the pearl millet farmland is assumed to be 25 km away
516 from the SSAD plant. The PMS was transported using trucks running on diesel as fuel and
517 diesel consumption was taken as 0.06 L/ton of PMS for transporting up to 1 km [41]. The
518 average price of the diesel utilized was assumed as US\$ 1 for 1 L [32]. Grinder and mixer were
519 taken into consideration for grinding of the PMS and mixing of PMS with water, inoculum,
520 KOH and PHWBC prior to feeding it into SSAD digester. A CHP unit with genset and heat
521 recovery unit was employed for energy conversion from biogas generated. The electrical and
522 heat efficiency of CHP was assumed to be 40 and 30% respectively [42]. The electricity
523 produced from CHP is assumed to be fed into the national grid while the heat produced from
524 CHP unit is used for digester operation. After the SSAD plant operation is completed, the
525 digestate produced was separated into solid and liquid digestate using solid liquid separator
526 and solid content is assumed as 30% [15]. The entire plant life for running is assumed for 25
527 years. The discount rate is assumed as 7.5% throughout the plant life. The operating hours are
528 assumed as 24 hr/day x 325 days while 24 hr/day x 40 days for operational expenditure (OPEX)
529 or contingency work (if required). The OPEX is assumed as 5% of the capital expenditure
530 (CAPEX) on yearly basis and includes general maintenance work and electricity cost to run
531 mixer, grinder and pump once in 45 days as SSAD plant is batch system and daily grinding,
532 cutting, mixing and pumping is not required. Labour charges assumed as 3% of CAPEX
533 annually. Civil and material work, insulation, electrical wiring and installation charges of
534 SSAD plant was taken as US\$ 63/m³ of reactor [43]. Land rent is assumed under government
535 subsidy and no other subsidies were considered. The system boundary for techno-economic
536 assessment is shown in figure 6. All the assumptions made for techno-economic analysis is
537 mentioned in table 12.



538

539 **Figure 6:** System boundary for techno-economic assessment

540 *Techno-economic assessment and economic indicators*

541 The techno-economic feasibility of the SSAD plant is calculated by evaluating levelized cost
542 of energy (LCOE), net present value (NPV), internal rate of return (IRR), payback time (PBT)
543 and discounted payback time (DPBT) in this study. LCOE represents the unit cost of energy
544 generation (US\$/kWh_e) incorporating CAPEX and OPEX over the plant life. If the selling price
545 of electricity is less than LCOE, the project will not be profitable. To incur profit, the selling
546 price of electricity should be greater than the LCOE. The LCOE is calculated using Eq. 14 as
547 suggested by Oreggioni et al. [44].

$$548 \quad LCOE = \frac{\sum_{T=0}^N \frac{(CAPEX_T + OPEX_T)}{(1+r)^N}}{\sum_{T=0}^N \frac{Energy\ output\ (kWh_e)_T}{(1+r)^N}} \quad (14)$$

549 Where, *LCOE* is the levelized cost of energy, *CAPEX_T*, *OPEX_T* are capital and operational
550 expenditure in a year *T*, *r* is the discount rate and *N* is the total plant life.

551 LCOE calculated (as above) was taken as reference for setting the selling price of
552 electricity/kWh. After calculating LCOE and revenue by selling electricity, NPV was
553 calculated to estimate the time value of money invested in form of CAPEX and OPEX. If the
554 NPV is greater than 0, it shows positive impact of the project in monetary terms. NPV presents
555 a clear picture of cost benefit and project effectiveness by considering value of money in total
556 project life time frame [45]. For the calculation of NPV, Eq. 15 is used as proposed by
557 Budzianowski and Budzianowska [46].

$$558 \quad NPV = -CAPEX + \sum_{T=0}^n \frac{-OPEX_T + R_T}{(1+r)^T} \quad (15)$$

559 Where, *n* is the total plant life i.e. 25 years; *r* is the discount rate which is assumed as 7.5% for
560 the project; *CAPEX* is the capital expenditure required for initial investment for equipment
561 purchase and other facilities, *OPEX_T* is operation expenditure in a year and *R_T* is the revenue

562 in a particular year T . Eq. 16 is used for calculating revenue (R_T) and operation expenditure
 563 ($OPEX_T$) for a year T .

$$564 \quad -OPEX_T + R_T = -(C_F + C_{Trans} + C_{OPEX} + C_{Labour} + C_{Additives}) + (R_E + R_D) \quad (16)$$

565 Where R_E and R_D are revenue generated from electricity and digestate selling.
 566 $C_F, C_{Trans}, C_{OPEX}, C_{Labour}$ and $C_{Additives}$ are feedstock, diesel for transportation, operation
 567 and maintenance, Labour and additives cost per year respectively. Cost of additives (PHWBC
 568 and KOH) is assumed as 5% of CAPEX. After this, IRR, PBT and DPBT were also calculated
 569 using Eq. 17, 18 and 19 respectively [47]. IRR is an important economic indicator for project
 570 viability and that discount rate at which NPV becomes zero. Whereas, PBT and DPBT
 571 represents the time in which the initial capital cost matches the total cash inflow. PBT
 572 represents payback period without discount rate while DPBT considers it.

$$573 \quad 0 = -CAPEX + \sum_{T=0}^n \frac{-OPEX_T + R_T}{(1 + IRR)^T} \quad (16)$$

$$574 \quad PBT = \frac{-OPEX_T + R_T}{CAPEX} \quad (17)$$

$$575 \quad -OPEX_T + R_T = CAPEX \left[\frac{(r(1+r)^{DPBT})}{((1+r)^{DPBT} - 1)} \right] \quad (18)$$

576 Apart from the discount rate of 7.5% which was used for whole techno-economic analysis,
 577 NPV and DPBT at 5 and 10% of the discount rate were also calculated to know the effect of
 578 variable discount rate on it. Variation of discount rate gives a clear picture of whole project
 579 life regarding investment.

580 *Sensitivity analysis*

581 Energy production and economic performance of entire plant life is sensitive to the variability
 582 of input and operational cost [48]. Variation in capital cost, maintenance and labour charges,
 583 feedstock cost, efficiency of CHP unit over 25 years, biogas productivity of the SSAD reactor
 584 and other related factors may have substantial impact on NPV. For this, sensitivity analysis

585 was conducted for both untreated and simultaneously treated scenario. In a techno-economic
 586 study, the sensitivity analysis showed the viability of the assumption made on the NPV if cost
 587 of the input parameters fluctuates [47]. The values of all the variables were drifted with $\pm 15\%$
 588 to know whether these fluctuations will have any impact on the NPV of the project over 25
 589 years.

590

591 **Abbreviations and nomenclature**

R_D	Revenue generated through digestate
R_E	Revenue generated through electricity
R_T	Revenue in a year T
$C_{Additives}$	Cost of additives
C_F	Cost of feedstock
C_{Labour}	Labour cost
C_{OPEX}	Operational cost
C_{Trans}	Cost of feedstock transportation
AD	Anaerobic digestion
ANN	Artificial neural network
ANOVA	Analysis of variance
CAPEX	Capital expenditure
CBCM	Carbon based conducting materials
CHP	Combined heat and power

DIET	Direct interspecies electron transfer
DoE	Design of experiment
DPBT	Discounted payback time
GRA	Grey relation analysis
GRG	Grey relation grade
IJET	Indirect interspecies electron transfer
IRR	Internal rate of return
LAD	Liquid anaerobic digestion
LCOE	Levelized cost of energy
NPV	Net present value
O&M	Operation and maintenance
OPEX	Operational expenditure
PBT	Payback time
PHWBC	Pyrolysis hardwood biochar
PMS	Pearl millet straw
RSM	Response surface method

S/N	Signal to noise
SSAD	Solid state anaerobic digestion
TS	Total solid
TVFAs	Total volatile fatty acids
VS	Volatile solid

592

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596 **Authors' contributions**

597 Kunwar Paritosh: Idea conceptualization, Design of experiment Methodology, Investigation,
598 Techno-economic analysis, Writing - Original Draft. Sanjay Mathur: Formal analysis,
599 Guidance in Taguchi' design of experiment. Nidhi Pareek: Analysis, Review and Editing,
600 Vivekanand Vivekanand: Supervision, Design concept, Resources, Project administration.
601 All authors read and approved the final version of manuscript.

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606 **Availability of data and materials**

607 All the data generated and analysed are included in manuscript.

608 **Ethics approval and consent to participate**

609 Not applicable—no human subjects involved in study.

610 **Consent for publication**

611 Not applicable.

612 **Competing interests**

613 The authors declare no competing interests.

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763 **Table 12:** Assumptions made for the techno-economic assessment of full scale SSAD plant

Particulars	Value
<i>Plant configuration for SSAD</i>	
<input type="checkbox"/> Project life	25 years
<input type="checkbox"/> Operating days	315 days
<input type="checkbox"/> Dimension of AD reactor ^a	10 m x 6 m x 5 m
<input type="checkbox"/> Total and working volume of AD reactor ^a	300 and 240 m ³
<input type="checkbox"/> Temperature ^b	Thermophilic (52°C)
<input type="checkbox"/> Digestion time ^b	45 days per run
<input type="checkbox"/> Total run	7800 hours
<input type="checkbox"/> Operation and maintenance	960 hours
<i>Quantity of materials per batch run</i>	
<input type="checkbox"/> PMS	28 tonnes
<input type="checkbox"/> Inoculum	40 tonnes
<input type="checkbox"/> Water	60 tonnes
<input type="checkbox"/> KOH	150 kg
<input type="checkbox"/> PHWBC	434 kg
<i>Machinery and digester as capital cost and</i>	
<input type="checkbox"/> Grinder ^c	US\$ 3000
<input type="checkbox"/> Solid liquid separator ^c	US\$ 5000
<input type="checkbox"/> SSAD digester with insulation ^d	US\$ 19000
<input type="checkbox"/> CHP unit (80 kW, genset, heat recovery unit) ^e	US\$ 40000
<input type="checkbox"/> Mixer ^f	US\$ 3500
<input type="checkbox"/> Pump and accessories ^c	US\$ 2500
<i>Utilities and Other cost</i>	

<input type="checkbox"/> Cost of PMS ^g	\$ 0/tonne
<input type="checkbox"/> Inoculum ^h	\$ 0/tonne
<input type="checkbox"/> Additives (PHWBC + KOH)	5% of capital cost/year
<input type="checkbox"/> Diesel ⁱ	0.06 L/ton/km
<input type="checkbox"/> Diesel for transportation ^j	\$ 1/litre
<input type="checkbox"/> O & M	5% of capital cost/year
<input type="checkbox"/> Labour	3% of capital cost/year

Revenue generation (US\$)

<input type="checkbox"/> Electricity ^k	0.13 and 0.147/kWhe
<input type="checkbox"/> Solid digestate as soil conditioner ^l	35/tonne

764 ^a[40]; ^b[15]; ^c[31]; ^d[40]; ^eIndian market price @US\$ 500/kW; ^fMarket price; ^gBiowaste; ^hPlant

765 is located near LAD plant; ⁱ[11]; ^j[32]; ^kCalculated based on LCOE; ^lPrevaling market price in

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Figures

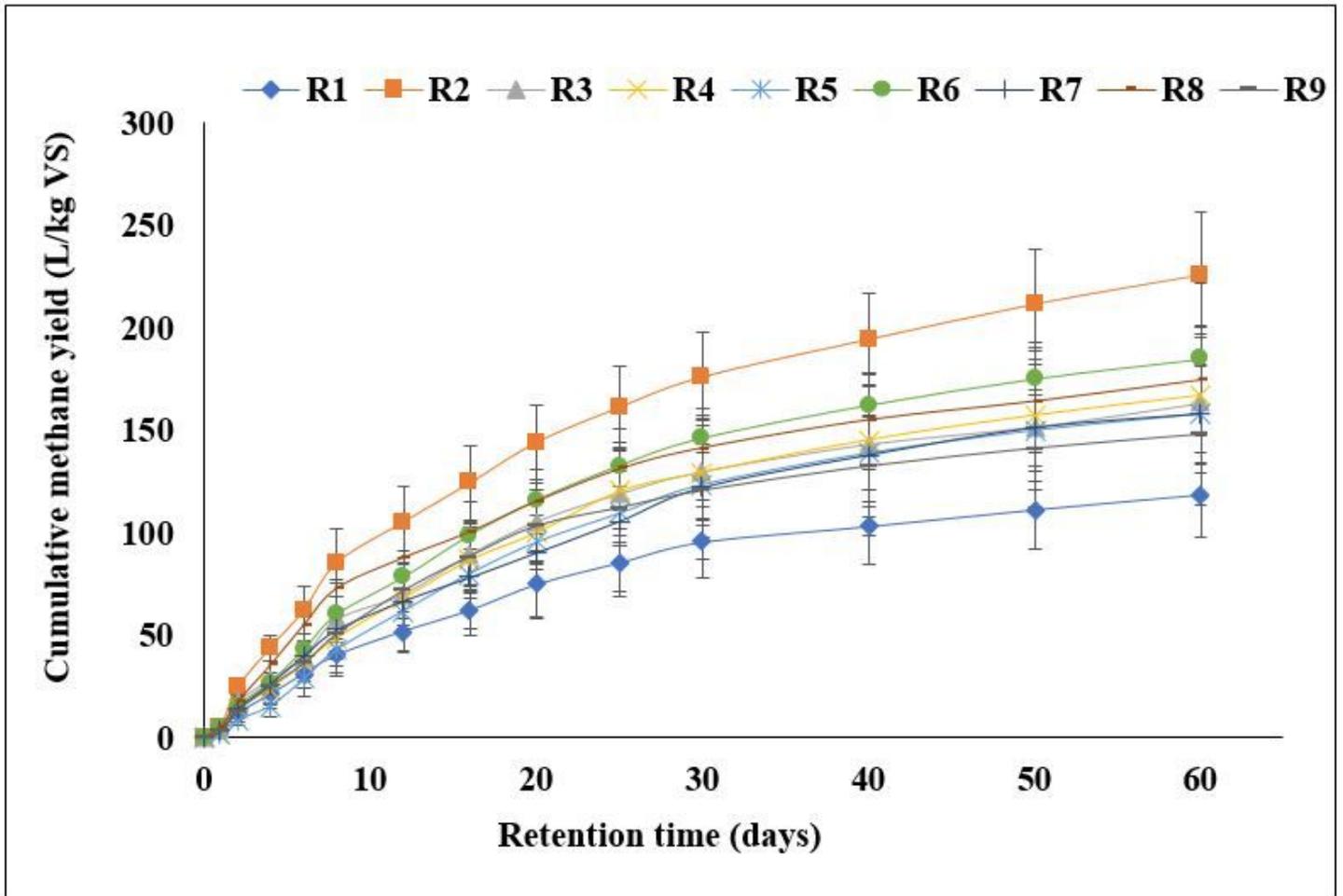
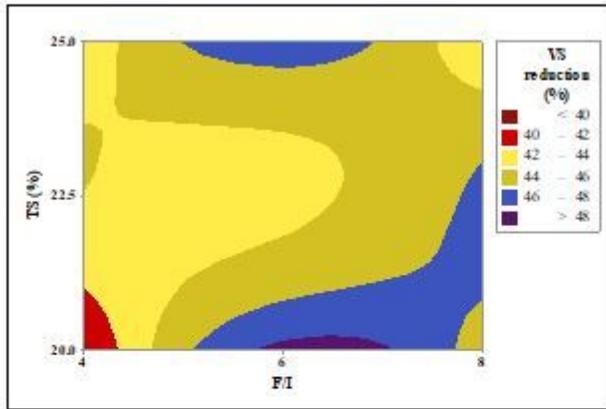
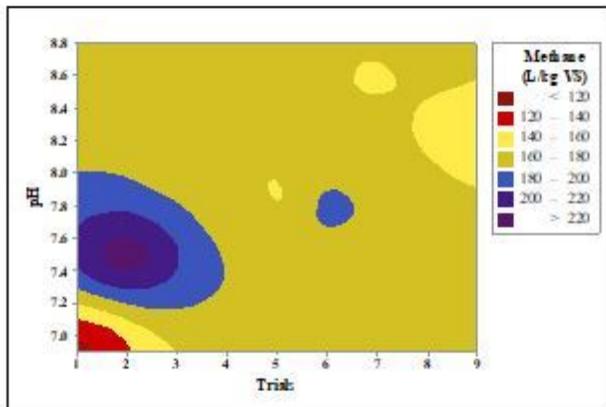


Figure 1

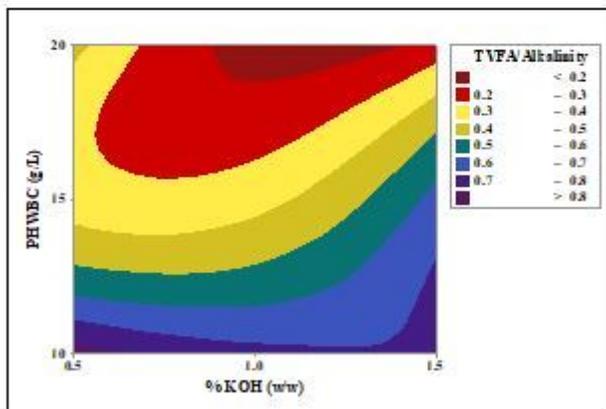
Cumulative methane yield of the trials based on Taguchi's DoE



(a)



(b)



(c)

Figure 2

Surface plot showing (a) relationship among TS, F/I and VS reduction (b) relationship between pH and cumulative methane yield (c) effect of KOH and PHWBC concentration on TVFA/alkalinity ratio

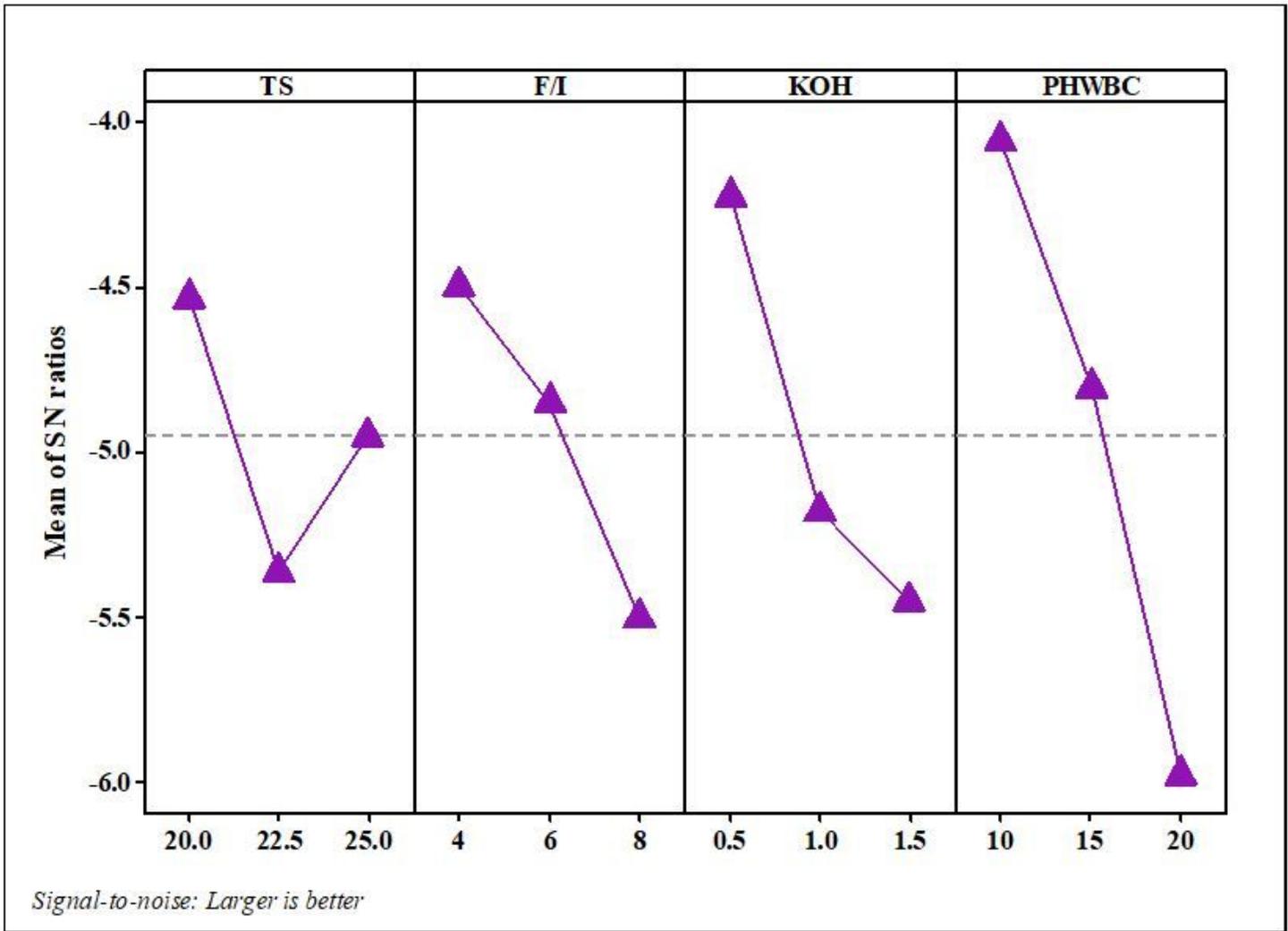


Figure 3

Main effect plot of each parameter on grey relation grade

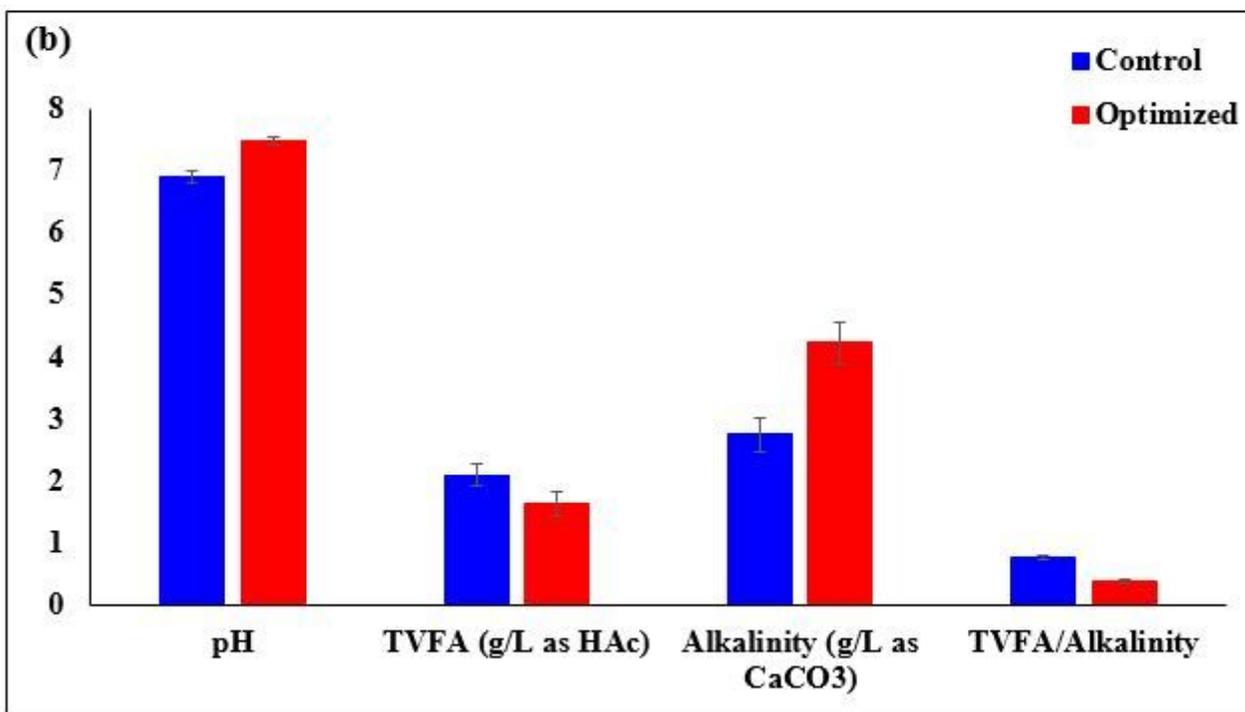
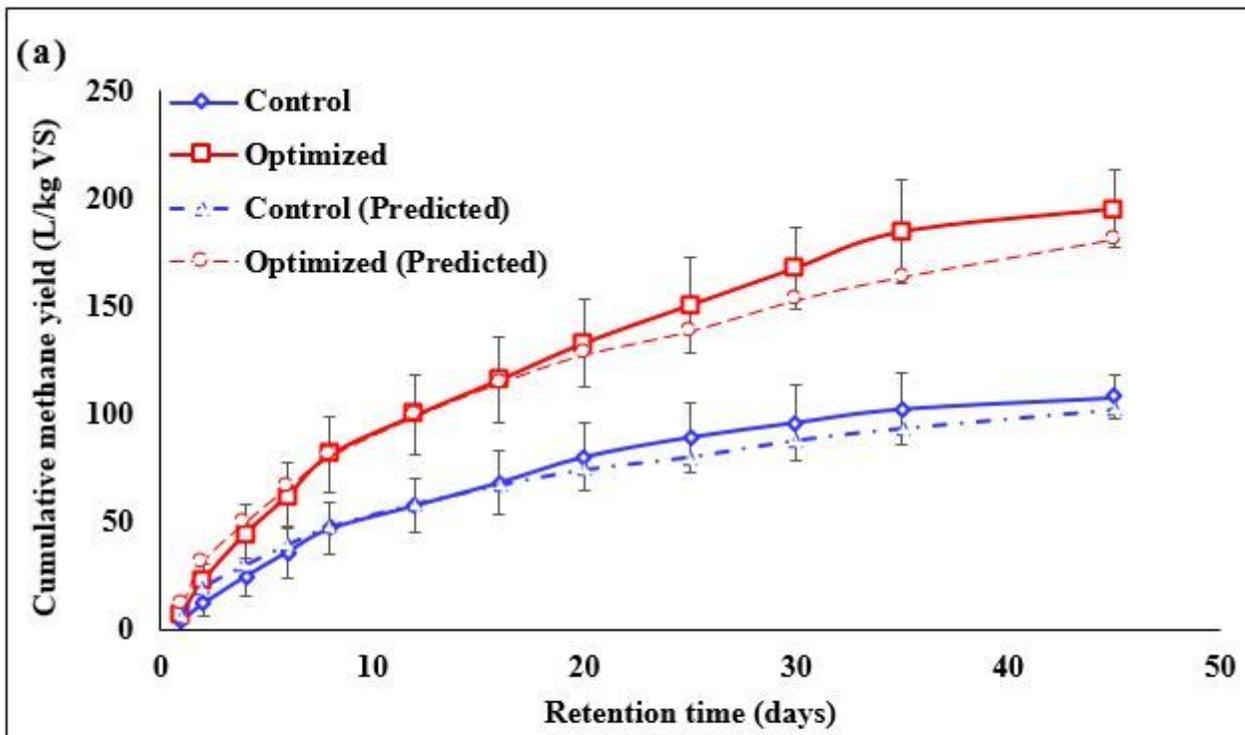


Figure 4

(a) Confirmation test showing experimental and predicted cumulative methane yield and (b) digester characteristics of control and optimized condition

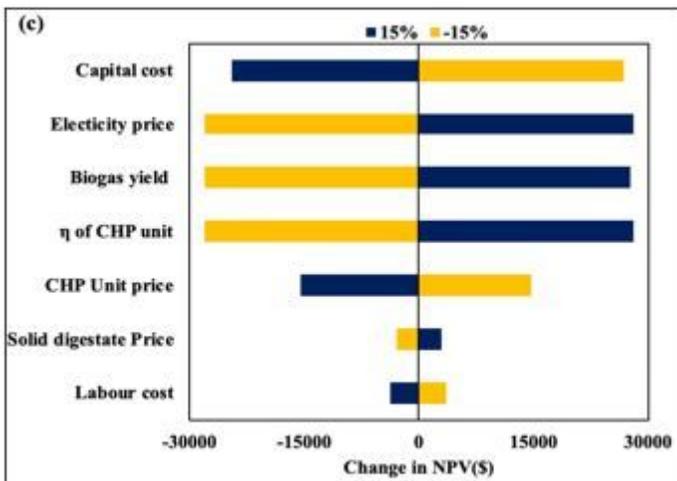
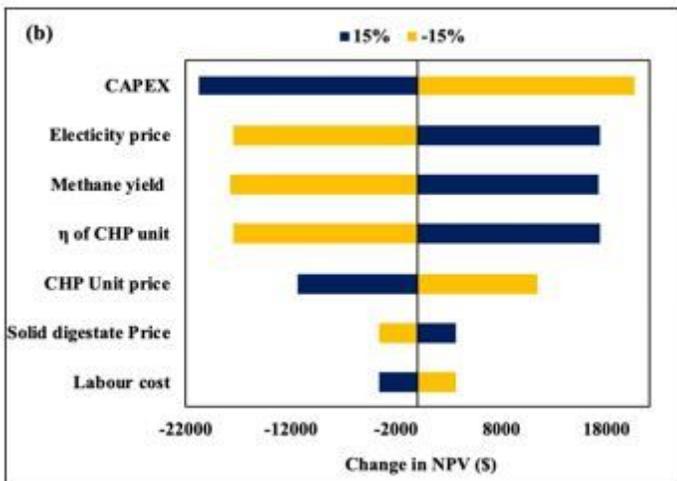
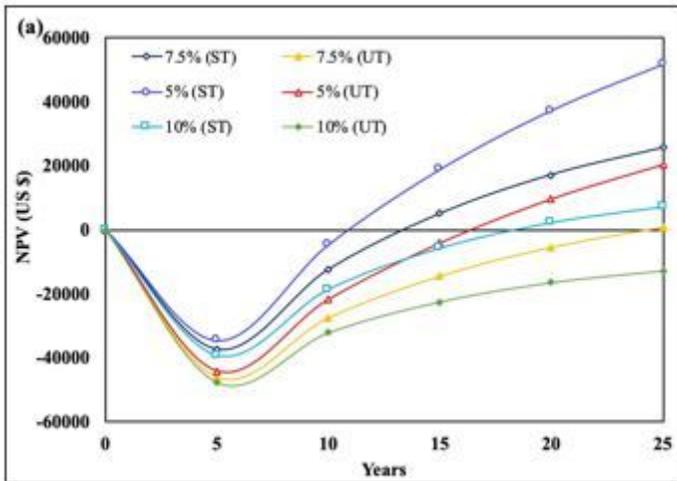


Figure 5

(a) NPV at different discount rate. (ST – simultaneously treated reactor; UT – Untreated reactor); Sensitivity analysis of (b) untreated and (c) simultaneously treated SSAD plant

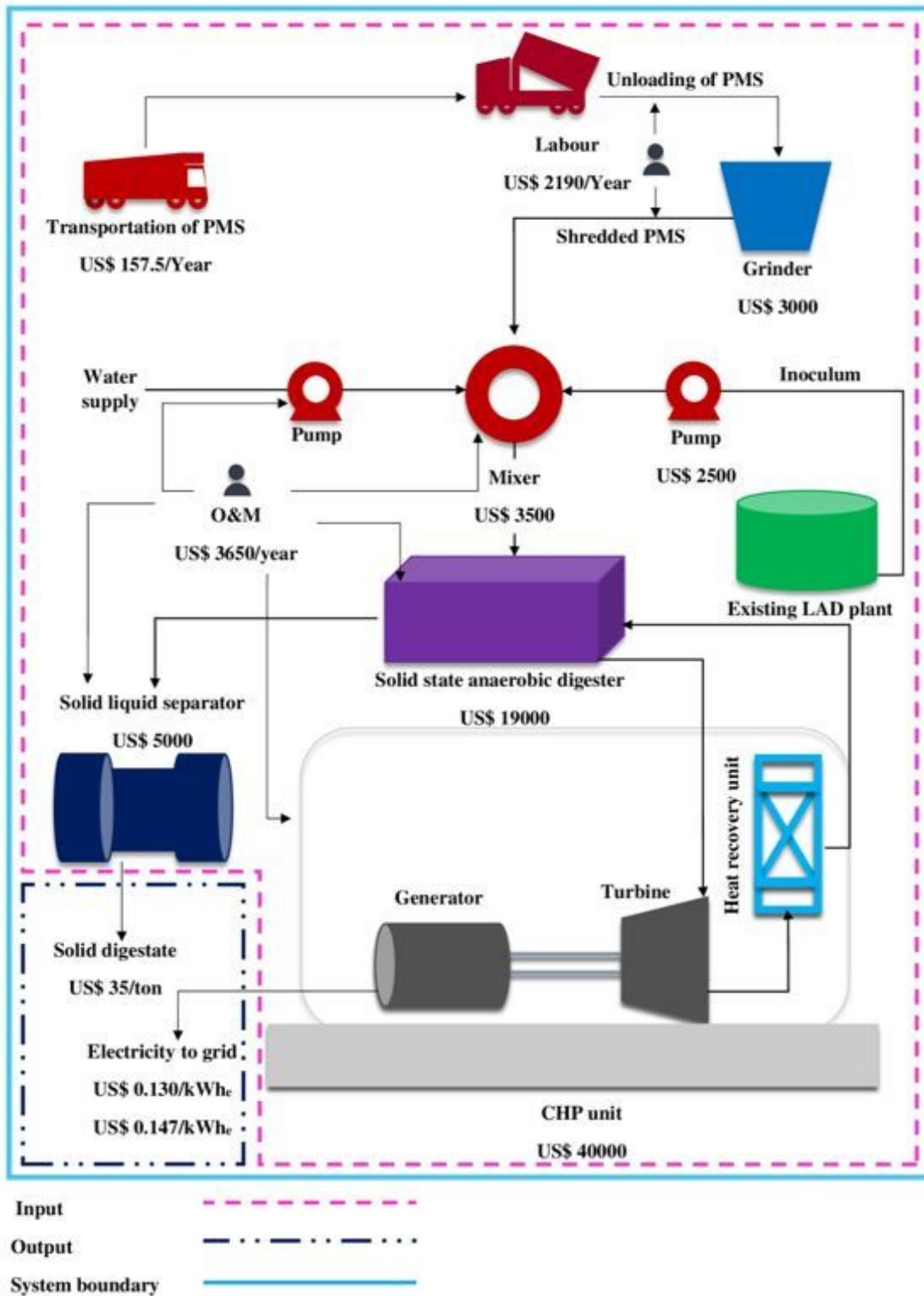


Figure 6

System boundary for techno-economic assessment

Supplementary Files

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- [Table.docx](#)