

1 **Ensemble Flash Flood Predictions Using a High-Resolution**
2 **Nationwide Distributed Rainfall-Runoff Model: Case Study**
3 **of the Heavy Rain Event of July 2018 and Typhoon Hagibis**
4 **in 2019**

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27

28 **Abstract**

29 The heavy rain event of July 2018 and Typhoon Hagibis in October 2019 caused severe flash

30 flood disasters in numerous parts of western and eastern Japan. Flash floods need to be

31 predicted over a wide range with long forecasting lead time for effective evacuation. The

32 predictability of flash floods caused by the two extreme events are investigated by using a

33 high-resolution (~150 m) nationwide distributed rainfall-runoff model forced by ensemble

34 precipitation forecasts with 39-h lead time. Results of the deterministic simulation at

35 nowcasting mode with radar and gauge composite rainfall could reasonably simulate the

36 storm runoff hydrographs at many dam reservoirs over western Japan for the case of heavy

37 rainfall in 2018 (F18) with the default parameter setting. For the case of Typhoon Hagibis in

38 2019 (T19), a similar performance was obtained by incorporating unsaturated flow effect in
39 the model applied to Kanto region. The performance of the ensemble forecast was evaluated
40 based on the bias scores and the relative operating characteristic curves, which suggested the
41 higher predictability in peak runoff for T19. For the F18, the uncertainty arises due to the
42 difficulty in accurately forecasting the storm positions by the frontal zone; as a result, the
43 actual distribution of the peak runoff could not be well forecasted. Overall, this study showed
44 that the predictability of flash floods was different between the two extreme events. The
45 ensemble spreads contain quantitative information of predictive uncertainty, which can be
46 utilized for the decision making of emergency responses against flash floods.

47

48 **Keywords**

49 Ensemble forecasting, Flash floods, Rainfall-Runoff-Inundation model, Typhoon Hagibis,
50 Uncertainty, Flood forecasting, Quantitative precipitation forecasting, Saturated subsurface
51 flow, Distributed hydrological model, Meso-scale Ensemble Prediction System (MEPS)

52

53 **Introduction**

54 In the current decade, worldwide occurrences of floods and extreme rainfall become four
55 times that in the 1980s (EASAC 2018; UNESCO, UN-Water 2020). In Japan, flood disasters

56 occur every year, causing devastating damages (Udmale et al. 2020). In the last two years in
57 particular, the *Heavy Rain Event of July 2018* (hereafter F18) caused by an intensified Baiu
58 frontal zone killed or missed 245 people in the western part of Japan (Cabinet Office 2018),
59 and *Typhoon Hagibis* in October 2019 (hereafter T19) killed or missed 114 people in the
60 eastern part of Japan (Cabinet Office 2019). The two extreme events caused flood damages in
61 315 rivers including 37 levee breaching sections by F18 (MLIT 2018) and 325 rivers
62 including 142 levee breaching sections by T19 (MLIT 2019), mostly along small-to-medium
63 sized rivers. In countries like Japan, which have a steep topography and upland catchments
64 receiving heavy torrential rain, flash floods occur frequently. Flash floods are characterized by
65 a rapid increase in flood peaks after rainfall onset (Collier 2007; Georgakakos 1986), whose
66 intervals are shorter than 12 to 24 hours (Georgakakos 1986; Raynaud et al. 2015). During
67 such flash floods, safe evacuation is difficult to be performed because of the short flood
68 concentration time and road submersions (Terti et al. 2019; Vincendon et al. 2016). Thus,
69 site-specific flash flood mapping and forecasting are required for safe evacuations (Collier
70 2007; Gourley et al. 2017; Hapuarachchi et al. 2011).

71 Early warnings for flash flood cannot focus only on well gauged main rivers. Many
72 small-to-medium sized rivers are typically poorly gauged and get damaged more frequently.
73 Therefore, selecting a single river basin for flash flood prediction is not practical, and instead

74 a large-scale distributed approach forecasting all river sections including ungauged rivers is
75 required (Grimaldi et al. 2013; Javelle et al. 2014; Reed et al. 2007). With the recent
76 advancements in quantitative precipitation estimate (QPE) and quantitative precipitation
77 forecast (QPF) models, large-scale flood forecasting systems have been developed (Emerton
78 et al. 2016). Such systems have been operated at the continental to nationwide scales; for
79 example, the European Flood Awareness System (EFAS) (Bartholmes et al. 2009; Thielen et
80 al. 2009) in Europe, the Community Hydrologic Prediction System (CHPS) in USA
81 (Demargne et al. 2014), the Hydrological Forecasting System (HyFS) in Australia
82 (Hapuarachchi et al. 2017), the Grid-to-grid Model (G2G) in England and Wales (Anderson et
83 al. 2019; Price et al. 2012) and Scotland (Cranston et al. 2012), and AIGA in France (Javelle
84 et al. 2016). For site-specific flood predictions at a fine scale, for example, EC-JRC provides a
85 rainfall-driven flash flood indicator within the EFAS framework called the European
86 Precipitation Index based on Climatology (EPIC) (Alfieri and Thielen 2015) and a
87 runoff-driven indicator called the European Runoff Index based on Climatology (ERID)
88 (Raynaud et al. 2015). The NWS has adopted the Flash Flood Guidance (FFG), which is
89 based on the estimated amount of rainfall causing floods at a specific site by running a
90 hydrologic model in the backward mode (Clark et al. 2014; Georgakakos 2006).

91 Recent advances in high-performance computing and geographic information systems

92 have motivated the application of large-scale distributed hydrologic models to predict flash
93 floods at any river sections including ungauged sites. Gourley et al. (2017) reported the latest
94 research project called the Flooded Locations and Simulated Hydrographs (FLASH) across
95 the Conterminous United States. One of their targets was to apply a distributed hydrologic
96 model with 1-km resolution to estimate flood peak discharge forced by the latest QPE. Such
97 model applications are important from the viewpoint of hydrological science because
98 physically sound parsimonious distributed modes are necessary for the purpose as well as
99 finding the dominant runoff processes (Antonetti et al. 2019), regionalizing parameters
100 (Vergara et al. 2016) and assessing the impact of dataset resolution (Lovat et al. 2019).

101 As noted by many previous studies, the largest uncertainty is associated with the
102 precipitation forecast (Hapuarachchi et al. 2011). In particular, even with the state-of-art
103 NWP, accurately predicting severe storms with sufficient prediction lead time is challenging.
104 Instead of deterministic forecasting, probabilistic forecasting with EPS has advanced in the
105 last decade (Cloke and Pappenberger 2009; Wu et al. 2020). When the possibility of a severe
106 storm becomes high because a typhoon is approaching or because a frontal line is stagnant, if
107 we can predict the occurrence probability of flash floods leading to local damage, we could
108 prepare for the extreme weather as a society (Terti et al. 2019). For ensemble flood
109 predictions, global scale EPSs such as European Centre for Medium-Range Weather Forecasts

110 – Ensemble of forecast (ECMWF-ENS) have been widely used (Alfieri et al. 2014).
111 Meanwhile, for ensemble flash flood predictions, some case studies have demonstrate the
112 importance of meso-scale ensemble forecasting (Alfieri et al. 2012; Hsiao et al. 2013; Roux et
113 al. 2020; Ushiyama et al. 2014) and their combinations by the Meteorological Ensemble
114 Forecast Processor (MEFP) approach adopted by Hydrologic Ensemble Forecast Service
115 (HEFS) (Brown et al. 2014a; Brown et al. 2014b).

116 In Japan, the Japan Meteorological Agency (JMA) recently started operation of the
117 Meso-scale Ensemble Prediction System (MEPS) with a 39-h lead time with 21 ensemble
118 members based on a meso-scale numerical weather prediction model with a 5-km resolution.
119 The test operation began just before July 2018, and official operation started since June 2019.
120 Since heavy storm is spatiotemporally concentrated in mountainous areas and the flood
121 concentration time is much shorter in Japan, we believe it is important to use fine spatial
122 resolution forecasting. For hydrological modeling, we used the Rainfall-Runoff-Inundation
123 (RRI) model (Sayama et al. 2012; Sayama et al. 2015a; Sayama et al. 2015b) applied recently
124 to all over Japan by dividing the whole of Japan into 14 regions with a 5 sec (about 150 m)
125 spatial resolution. We suppose that the impact of complex rainfall-runoff phenomena become
126 comparatively less important during such extreme flood events; then the simple model
127 structure and the default RRI model parameter setting, which considers only saturated

128 subsurface flow and overland flow, may be able to reproduce the flash floods over a wide
129 range. Because the two extreme flood events described above affected large areas over Japan,
130 and because the latest ensemble forecasting product is now available, it is important to
131 investigate the performance of the newly developed flash flood forecasting model by taking
132 the two extreme events as a case study.

133 The objective of this study is to evaluate the predictability of flash floods caused by the
134 two extreme events. In particular, the specific questions addressed in this study are described
135 below.

136 1) Can the default RRI model, representing lateral saturated subsurface flow and surface
137 flow, forced by radar and gauge composite rainfall product reproduce the observed storm
138 runoff hydrographs at many upstream dam reservoirs over wide ranges?

139 2) Do the spatial distributions of peak runoff (i.e., peak discharge normalized by the
140 upstream contributing area) correspond to the actual damage by the flash floods?

141 3) How well can we forecast the spatial distributions of peak runoff with a 39-h lead
142 time based on ensemble precipitation forecasting?

143

144 **Methods**

145 **Nationwide Application of the RRI Model over Japan**

146 In this study, the RRI model was applied to the whole of Japan with a spatial resolution of
147 approximately 150 m (5 sec). The RRI model is a two-dimensional model, which can simulate
148 both rainfall-runoff and flood inundation simultaneously (see the details in the supplement).
149 For model application, we used the Japan Flow Direction Map (J-FlwDir) developed by
150 Yamazaki *et al.* (2018). The dataset is based on a digital elevation model and water map
151 provided by the Geospatial Information Authority of Japan and prepared with a 30 m (1 sec)
152 spatial resolution. The dataset contains elevation, flow direction and upstream contributing
153 area, similar to HydroSHEDs available worldwide based on satellite-based topographic data.
154 To upscale the flow direction of J-FlwDir, we used an upscaling algorithm developed by
155 Masutani *et al.* (2006), which maintains the location of the main stream regardless of the
156 upscaling. The RRI assumes that the river starts when the upstream contributing area becomes
157 greater than 50 grid-cells (i.e., 1.1 km²). Hence, all river channels including small tributaries
158 are explicitly modelled by the RRI. The cross sections of the rivers are assumed to be
159 rectangular, whose widths and depths were estimated using the following formulae.

$$160 \quad W = C_W A^{S_W} \quad (1)$$

$$161 \quad D = C_D A^{S_D} \quad (2)$$

162 where A is the upstream contributing area [km^2] and the values of C_W , S_W , C_D and S_D were
163 estimated from our previous model application in Japan: $C_W = 4.73$, $S_W = 0.4$, $C_D = 1.57$, and
164 $S_D = 0.3$ (Sayama et al. 2017). The depth parameters were intentionally set to be larger than
165 many actual cases, so that the model had enough river cross section capacity. With this setting,
166 the RRI model does not calculate the overtopping inundation effect and focuses primarily on
167 the rainfall-runoff processes (i.e., river discharge). In terms of the model parameter settings,
168 we attempted to prepare a parsimonious model to simulate extreme events. As described in the
169 supplement, by taking $d_m = 0$ in Equation (S1), the number of parameters becomes only four.
170 The default uncalibrated parameters are shown in Table 1. The model parameters were set to
171 avoid strong non-linearity between storage and discharge relationship to ensure the model
172 represented quick runoff responses to storm events. With the settings (i.e., $d_m = 0$), the model
173 may overestimate the discharge if catchments store large amount of rainfall in soil layers.

174

175 **Flood Events in July 2018 and October 2019**

176 This study focuses on two extreme flood events that occurred in July 2018 (F18) and
177 October 2019 (T19). F18 was caused by long-lasting Baiu frontal rain covering most of the
178 western part of Japan. T19 was due to the Typhoon Hagibis which mostly damaged the
179 eastern part of Japan. Detailed information regarding the meteorological conditions and

180 analysis can be obtained from recent studies (Enomoto 2019; Kotsuki et al. 2019; Takemi and
181 Unuma 2020; Tsuguti et al. 2018). The periods of the two simulations were 0:00 July 5, 2018
182 to 0:00 July 9, 2018 (JST) for F18 and 9:00 October 11, 2019 to 9:00 October 14, 2019 (JST)
183 for T19. The input rainfall data are JMA's radar and gauged composite products, whose spatial
184 and temporal resolutions are 1 km and 30 min, respectively.

185 In the ensemble flood forecasting experiments, we used the MEPS dataset provided by
186 JMA. The forecasting lead time of this product was 39 h with a spatial resolution of
187 approximately 5 km. The JMA non-hydrostatic model (NHM) was used for forecasting with
188 21 members by perturbing initial conditions. Although the forecasting is updated every 6 h,
189 we decided to focus only on a single initial time for each event to cover the whole event. The
190 period of the MEPS forecasting rainfall is 21:00 July 5, 2018 to 12:00 July 7, 2018 (JST) for
191 F18, and 9:00 October 12, 2019 to 0:00 October 13, 2019 (JST) for T19.

192 After the model was run with the simulation and forecasting modes, model performance
193 was evaluated by comparing the observed and simulated discharge at dam reservoirs. Note
194 that in this nationwide RRI model application, so far any dam reservoir operation model has
195 not been incorporated. Furthermore, to visualize the spatial distribution of flood discharge, we
196 compute peak discharge at all river grid-cells and normalized it by the upstream contributing
197 area (peak runoff). The evaluations were conducted focusing on all the river grid-cells over

198 the target areas. The simulation of F18 was conducted in Kansai, Chugoku, Shikoku and
 199 Kyushu regions. The total area of these regions is 125,864 km², which is composed of
 200 6,364,492 grid-cells including 674,291 river grid-cells. The simulation of T19 was conducted
 201 in Kanto, Tohoku and part of Hokuriku regions. The total area of these regions is 121,129 km²,
 202 which is composed of 6,435,707 grid-cells including 751,102 river grid-cells.

203

204 **Verification Metrics of Simulated Hydrographs**

205 The performance of the hydrologic model was evaluated by the following three measures: the
 206 Kling-Gupta efficiency (*KGE*) (Gupta et al. 2009), the Nash-Sutcliffe efficiency (*NSE*) and
 207 the relative peak error (*PE*) defined as (3), (5) and (8).

208

$$209 \quad KGE = 1 - \sqrt{(r - 1)^2 + (\beta - 1)^2 + (\alpha - 1)^2} \quad (3)$$

$$210 \quad r = \frac{\sum_{t=1}^T (Q_o^t - \bar{Q}_o)(Q_s^t - \bar{Q}_s)}{\sqrt{(\sum_{t=1}^T (Q_o^t - \bar{Q}_o)^2)(\sum_{t=1}^T (Q_s^t - \bar{Q}_s)^2)}} \quad (4)$$

$$211 \quad \beta = \frac{\bar{Q}_s}{\bar{Q}_o} \quad (5)$$

$$212 \quad \alpha = \frac{\sqrt{\frac{1}{T} \sum_{t=1}^T (Q_s^t - \bar{Q}_s)^2}}{\sqrt{\frac{1}{T} \sum_{t=1}^T (Q_o^t - \bar{Q}_o)^2}} \quad (6)$$

$$213 \quad NSE = 1 - \frac{\sum_{t=1}^T (Q_s^t - Q_o^t)^2}{\sum_{t=1}^T (Q_o^t - \bar{Q}_o)^2} \quad (7)$$

$$214 \quad PE = \frac{Q_{p,s}}{Q_{p,o}} \quad (8)$$

215 where Q_o^t is the observed discharge at time t ; Q_s^t is the simulated discharge at time t ; \bar{Q}_o is

216 the mean observed discharge in an event; $\overline{Q_s}$ is the mean simulated discharge in an event; β
 217 is a measure of bias; α is a measure of the variability error; r is the correlation coefficient
 218 between Q_o^t and Q_s^t ; $Q_{p,s}$ is the simulated peak discharge; and $Q_{p,o}$ is the observed peak
 219 discharge. *NSE* has been widely used for hydrologic modeling, while *KGE* is being
 220 increasingly used as an alternative. *KGE* was developed to decompose the normalized mean
 221 squared error represented by the *NSE* and addressed a shortcoming of the *NSE*, which is
 222 maximized when $\alpha = r$ (Gupta et al. 2009).

223

224 **Verification Metrics of Ensemble Forecasting**

225 To evaluate ensemble forecasting, this study uses the following the bias score (*BI*) defined as
 226 (9) and the relative operating characteristic (ROC) curve.

$$227 \quad BI = \frac{\sum_{k=1}^N Q_{p,f}(k)}{\sum_{k=1}^N Q_{p,s}(k)} \quad (9)$$

228 where $Q_{p,f}(k)$ is the ensemble mean of the forecasted peak discharge at each river grid cell
 229 k ; and N is the number of river grid cells. The *BI* score is evaluated depending on the ranges
 230 of $Q_{p,s}(k)$, which is the simulated peak discharge by the nowcasting mode used as a
 231 reference.

232 The ROC curve is obtained by plotting the false alarm rate $F(p_r)$ on the x-axis and the hit
 233 rate $H(p_r)$ on the y-axis. For ensemble forecasting, a continuous variable, in this case, the peak

234 runoff at each river grid-cell, must be converted to binary data based on a certain threshold
235 (e.g., peak runoff exceeding 20 mm/h (1) or not (0)) (Toth et al. 2003). Note that both the
236 false alarm rate and hit rate depend on the decision threshold probability p_t within the range of
237 ensemble members. $F(p_t)$ and $H(p_t)$ decrease from 1 to 0 as the decision threshold p_t increases
238 from 0 to 1. The ROC curve is close to the perfect forecast $H(p_t) = 1$ and $F(p_t) = 0$ for better
239 performance.

240

241 **Results**

242 **Simulation Results with Radar Rainfall**

243 We run the RRI model using the observed radar rainfall data during the F18 event with the
244 default parameter setting (hereafter F18-UC). Figure 1 compares the observed and simulated
245 hydrographs at ten dam reservoirs. The results suggest that the uncalibrated RRI model
246 simulates the flood inflows generally well for the F18 event regardless of the geographic
247 locations. Figure 2, Table 2 and Table 3 summarize the quantitative evaluations of the
248 simulations. The average and standard deviations of KGE and NSE for F18-UC are $0.78 \pm$
249 0.11 and 0.75 ± 0.10 , respectively. The boxplot of Figure 2 further suggests that among the
250 three components of KGE, the correlation coefficients are approximately one ($r = 0.94 \pm 0.04$),
251 suggesting that the shapes of the hydrographs are well represented. In contrast, the standard

252 deviations of \bar{Q} and \bar{V} are relatively high ($\bar{Q}/Q_{obs} = 1.02 \pm 0.17$, $\bar{V}/V_{obs} = 1.00 \pm 0.16$). Since the
253 averages of \bar{Q} and \bar{V} are close to one, there is no consistent overestimation or underestimation.
254 In terms of simulated peak discharge, the result of $PE = 0.87 \pm 0.15$ indicates 87 % of
255 underestimations of the peak discharge by the simulation.

256 The same experiment was conducted for T19 in Kanto, Tohoku and Hokuriku regions. The
257 uncalibrated model (T19-UC) could not represent the observed hydrographs in this case.
258 Figure S1 shows that at the eight catchments, the simulation generally overestimated the
259 observed hydrographs. The values of \bar{Q} , \bar{V} and PE were higher than one ($\bar{Q}/Q_{obs} = 1.45 \pm 0.29$,
260 $\bar{V}/V_{obs} = 1.36 \pm 0.22$, $PE = 1.31 \pm 0.29$) indicating hydrograph variance, total volumes and peak
261 discharges were all overestimated by 30 to 40 percent. The shapes of the hydrographs
262 represent the observed patterns, which are quantified as $r = 0.96 \pm 0.02$. As a result, both KGE
263 and NSE are much lower (0.42 ± 0.36 and 0.50 ± 0.46) for T19-UC compared with those for
264 F18-UC even for the same model settings. For the case of T19 in the Kanto region, we
265 changed the model parameter setting by introducing unsaturated flow component (see Table
266 1). By introducing the unsaturated flow component, the model performance improved (Figure
267 3) with $KGE = 0.76 \pm 0.16$ and $NSE = 0.86 \pm 0.10$, and the performance was almost
268 equivalent to that for the F18-UC case, as shown in Figure 2.

269

270 **Ensemble Forecasting Results at Dam Reservoirs**

271 Hydrographs in Figure 1 and Figure 3 show forecasted dam inflows by the RRI model with
272 MEPS rainfall. The two sets of graphs indicate higher convergence among the 21 ensemble
273 members for T19 compared to F18. For the F18 case, different members showed different
274 hydrograph patterns with larger spreads in the forecasted peak runoff. On the other hand, for
275 the T19 case, the flood peaks were well estimated about half day before the peak arrivals.

276 To analyze the characteristics of the forecasted peak runoff, Figure 4 and Figure 5 show
277 plots of the descending orders of the forecasted peak runoff by 21 ensemble members and the
278 simulated peak runoff estimated with the observed radar rainfall. The figures can show how
279 the peak runoff is spread (a steeper line shows higher variation compared to a flatter line
280 which represents a smaller variation among the members). Furthermore, if the simulated peak
281 runoff points are within the range and are positioned close to the center, the median of
282 ensemble forecast is suggested to cover what actually happened. The results support the above
283 described point between F18 and T19; the former case has higher ensemble variations than
284 the latter case.

285

286 **Evaluations Over the Regions Including Tributaries**

287 Figure 6 and Figure 7 compare the forecasted and simulated peak runoff over the entire

288 simulation domains. Figure 7 shows that the simulated peak runoff for T19 can generally
289 capture the areas of flash flood tributaries such as the tributaries in Tochigi prefecture with
290 white cross marks representing levee breaching points along the tributaries. Furthermore, it
291 shows the higher peak runoff in west Kanto including the upstream of the Arakawa river.
292 Figure 7 shows the forecasted result based on MEPS rainfall at 9:00 on October 12. The
293 spatial variability such as high peak runoff in Tochigi prefecture and the upstream of the
294 Arakawa river is well represented.

295 The performance of the F18 shown in Figure 6 is not as clear as that of T19. First, the
296 simulated peak runoff and actual flood damages did not agree very well. According to our
297 simulation, the rivers in eastern Kochi and northern Kyushu islands show comparatively higher
298 peak runoff. However, flash flood damages were concentrated mostly in the Chugoku region
299 and western Shikoku island during F18.

300 To quantify the patterns, we used the *BI* and the ROC curve. The evaluations were
301 conducted focusing on all river grid-cells over the target areas. In case of the *BI* score shown
302 in Figure 8, the x-axis shows the peak runoff based on the simulation mode and the y-axis
303 depicts the corresponding biases (forecasted / simulated peak discharges). The shades show
304 the ranges of standard deviations of the mean biases for each region. For F18, the computed
305 biases were 0.5-0.7 at the high peak runoff ranges (10-25 mm/h), suggesting underestimation

306 by the ensemble forecasting. Smaller biases (i.e., *BI* closer to 1.0) were confirmed for T19.
307 Especially for the case of Kanto, for example, the biases were nearly one for almost the entire
308 range, which indicates the high predictability of peak runoff. The ROC curves in Figure 9
309 show also higher accuracy in T19. Moreover, the ROC curves from the three regions for T19
310 almost overlap each other. All the above evaluations are normally performed not for a single
311 event but should be performed with many flood events or for long-terms. The present
312 evaluation does not indicate the overall model performance, but the figures are used to
313 quantify the results of the ensemble forecasting of the case studies.

314

315 **Discussions**

316 **Can the Default RRI Model Reproduce the Observed Storm Events?**

317 For a regional flash flood prediction system, since it is impossible to calibrate hydrologic
318 model parameters at individual river basins, limited sets of parameters should be applied over
319 a wide range and to produce reliable results (Collier 2007). The simulation results for F18
320 showed that the default parameter representing lateral subsurface flow and surface flow
321 perform fairly well to reproduce the observed hydrographs in many dam reservoirs. In case of
322 T19, the same model with the same default parameter overestimated the flood peaks and the
323 performance of T19-UC was unacceptable. Introducing the unsaturated soil layer into the

324 model was necessary to reproduce the patterns observed hydrographs of the Kanto region.

325 Here, we discuss the possible reason of the difference is related to the geologic settings in
326 different regions. Large parts of the Chugoku and Shikoku regions affected by F18 belong to
327 Granite rocks, Mesozoic or Paleozoic formations. The volcanic landscapes formed in
328 Paleogene and Cretaceous are mostly distributed in central and western Japan. In contrast,
329 mountainous area in northeastern Japan, including Kanto and Tohoku regions affected by T19,
330 is dominated mostly by the volcanic rocks formed in the Quaternary and Neogene. The
331 geologically younger catchments typically exhibit stable groundwater while the geologically
332 older catchments show more flashy runoff patterns (Yoshida and Troch 2016), (Shimizu 1980;
333 Mushiake et al. 1981). These previous studies follow data driven approach focusing more on
334 flow duration curves and baseflow. This study indicated the effect of geology on storm runoff,
335 previously evaluated at small catchments in comparative studies (e.g., Onda et al. 2001; Onda
336 et al. 2006) or modeling studies focusing on a selected river basin (Sayama et al. 2017)

337

338 **Do the Spatial Distributions of Peak Runoff Correspond to Flash Flood**

339 **Damages?**

340 The simulated peak runoff distribution in Figure 7 can help to visualize severely flood areas.

341 The results of T19 showed that Tochigi prefecture and the upper Arakawa river basin reached

342 about 30 mm/h in the peak runoff. Furthermore, it shows that the peak runoff exceeded 40
343 mm/h in Marumori-township in Miyagi Prefecture in Tohoku region, where severe damage
344 was reported due to flash floods with many slope failures and debris flow.

345 To quantify the relationship between the peak runoff and actual flood damages, we
346 collected location information of levee breaching points in small-to-medium sized rivers
347 mainly in Tochigi prefecture by T19. Figure 10 plots the relationship between upstream
348 contributing areas and the corresponding peak runoff simulated by the nowcasting mode at the
349 levee breaching points. From this plot, we can roughly estimate that the levee breaches occur
350 when the peak runoff exceeds approximately 30 mm/h for the tributaries with upstream areas
351 contributing less than 300 km². The figure also indicates that the threshold becomes smaller
352 for larger catchments. This pattern is common; the peak runoff tends to be smaller due to the
353 normalization by the upstream contributing area (Amponsah et al. 2018). Meanwhile, the
354 bank-full discharge (i.e., the threshold) also varies depending on climatic and other
355 geographic conditions as well as the status of river management works such as constructions
356 of flood defiance structures. In fact, for the case of T18, the spatial pattern of high peak runoff
357 did not represent the actual distribution of the flash flood damage over western Japan (Figure
358 6). To advance the system, evaluating the peak runoff relative to the actual local bank-full
359 capacity or converting the peak runoff to stream water levels are necessary. The other

360 approach is to evaluate the frequency of the peak runoff at each location compared with the
361 climatology as presented by previous studies (Alfieri et al. 2012; Yoshimura et al. 2008).

362

363 **How Well Can We Forecast the Peak Runoff Distributions?**

364 Operational flood forecasting in Japan typically focuses on stream water levels at important
365 cross sections, where the water levels are monitored. The lead time of flood forecasting is
366 normally 3 to 6 h. Recently, the JMA released a new type of flood forecasting information
367 based on hydrologic simulation with a spatial resolution of 1 km covering all over Japan. The
368 predicted streamflow is converted to a flood risk index and its lead time is set to be 6 h.

369 Although our approach is similar to the JMA's new product, the demonstrated flash flood
370 predictions for the two extreme cases with total of 21 ensemble members with 39-h lead time
371 could provide different type of information that could be useful for the better preparedness.

372 The estimated uncertainty bands by the two events were contrasting: smaller spreads for T19
373 and larger spreads for F18. The high predictability of the T19 event in particular is discussed
374 from meteorological viewpoint. Takemi and Unuma (2020) reported that the moist absolutely
375 unstable status under very humid conditions and a sufficient precipitable water were
376 responsible for the heavy rainfall. For the F18 case, Kotsuki et al. (2019) indicated high
377 predictability of intense rainfall at the synoptic-scale with long lead-time (3 days) using their

378 data assimilation system. However, forecasting accurate locations of the rain band causing
379 heavy rainfall still has a high uncertainty (Matsunobu and Matsueda 2019). For this particular
380 case, in addition to focus on the ensemble mean, we should pay attention to the worse cases
381 (e.g., the runoff map of the fifth order). Further investigations are required on the prediction
382 accuracy and how to utilize such ensemble flood forecasting information.

383

384 **Conclusions**

385 This study examined the predictability of flash floods on a nationwide scale in Japan using the
386 new operational meso scale ensemble precipitation forecast and a high-resolution distributed
387 rainfall-runoff model. Two extreme events were selected as a case study, since both of them
388 caused levee breaching and overtopping in various regions because of different rainfall
389 mechanisms; i.e., frontal rain in 2018 and the typhoon in 2019. Based on the numerical
390 experiment at the nowcasting and forecasting modes, we obtained the following conclusions.

391 The nationwide model could reasonably reproduce extreme floods at many dam
392 reservoirs over the wide range in the nowcasting mode without individual parameter tuning.
393 However, in certain areas, such as the Kanto region, remarkable basin storage effects were
394 observed even under extreme events. The model and parameters had to be tuned to reflect
395 these effects in these areas. In terms of the forecasting with lead time, the predictability was

396 different between the two event cases. In case of the Typhoon Hagibis in October 2019, the
397 prediction accuracy was high, and the spatial distribution of peak runoff estimated by the
398 ensemble mean corresponded well with the results of the nowcasting mode. For the frontal
399 heavy rain in July 2018, the detailed locations of high peak runoff could not be forecasted by
400 the ensemble mean. Instead, the spread of 21 ensemble members showed the flash flood
401 potential areas and their possible magnitudes. The large spread quantifies the higher
402 uncertainty in the predictions.

403 The model presented in this study has not been operated on a real-time basis. The
404 real-time system and its continuous verification should be performed in future studies. If such
405 a system can be developed, stochastic, high-resolution, long-lead time flash flood predictions
406 can be realized. Such a system can be useful for pre-imaging the situations of flash flood
407 disasters, especially before a typhoon strikes or when a frontal rain stagnates, to realize early
408 and safe evacuation and other preparations.

409

410

411 **Abbreviations**

412 BI: Bias score; BoM: Bureau of Meteorology; CHPS: Community Hydrologic Prediction
413 System; CORNUS: the Conterminous United States; ECMWF-ENS: European Centre for

414 Medium-Range Weather Forecasts – Ensemble of forecast; EC-JRC: Joint Research Center of
415 the European Commission; EFAS: European Flood Awareness System; EPIC: European
416 Precipitation Index based on Climatology; EPS: Ensemble Prediction System; ERID:
417 European Runoff Index based on Climatology; FFC: Flood Forecasting Centre; FFG: Flash
418 Flood Guidance; FLASH: Flooded Locations and Simulated Hydrographs; G2G: Grid-to-grid
419 Model; HEFS: Hydrologic Ensemble Forecast Service; HydroSHEDs: Hydrological data and
420 maps based on SHuttle Elevation Derivatives at multiple Scales; J-FlwDir: Japan Flow
421 Direction Map; KGE: Kling-Gupta Efficiency; MEFP: Meteorological Ensemble Forecast
422 Processor; MEPS: Meso-scale Ensemble Prediction System; NHM: Non-hydrostatic Model;
423 NSE: Nash-Sutcliffe efficiency; NWP: Numerical Weather Prediction; NWS: National
424 Weather Service; QPE: Quantitative Precipitation Estimates; QPF: Quantitative Precipitation
425 Forecast; PE: relative Peak Error; ROC: Relative Operating Characteristic; RRI:
426 Rainfall-Runoff-Inundation

427

428 **Declarations**

429 **Availability of data and material**

430 The simulation datasets created during the current study are available from the corresponding
431 author on reasonable request.

432

433 **Competing interests**

434 The authors declare that they have no competing interest.

435

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441

442 **Authors' contributions**

443 TS proposed the topic, conceived and designed the study. MY and YS collaborated the data

444 analysis and field work. DY carried out the hydro-geographic data used in this study. All

445 authors read and approved the final manuscript.

446

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452

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650

651 **Figure legends**

652 Figure 1. Observed and simulated hydrographs at dam reservoirs for F18. The “Radar” shows
653 the simulated hydrographs, and “MEPS” shows the forecasted results initialized at 21:00 on
654 July 5, 2018, by 21 ensemble members. Names in the parentheses show the regions to which
655 each dam belongs.

656

657 Figure 2. The box plot of verification metrics of simulated hydrographs evaluated at dam
658 reservoirs. The verification metrics include the correlation coefficient (r), the measure of the
659 variability error ($\overline{\Delta}$) and bias ($\overline{\Delta}$), the Kling-Gupta efficiency (KGE), the Nash-Sutcliffe
660 efficiency (NSE) and the relative peak error (PE). “UC” denotes the uncalibrated cases for

661 F18 and T19, and “C” stands for the calibrated case only in the Kanto region for T19.

662

663 Figure 3. Observed and simulated hydrographs at dam reservoirs for T19 (calibrated case).

664 The “Radar” shows the simulated hydrographs, and “MEPS” shows the forecasted results

665 initialized at 9:00 on October 11, 2019 by 21 ensemble members. These dams are positioned

666 in the Kanto region.

667

668 Figure 4. Peak runoff by ensemble forecasting (lines) and the simulation (dots) at all the dam

669 reservoirs for F18. The x-axis shows the 21 ensemble members in descending order of

670 forecasted peak runoff shown on the y-axis.

671

672 Figure 5. The same plot as shown in Figure 4 but for T19.

673

674 Figure 6. The spatial distributions of the simulated and forecasted (ensemble mean) peak

675 runoff for F18. (a) The simulated result is shown only along the main rivers. (b) The

676 simulated result for all rivers. (c) The forecasted result for all rivers.

677

678 Figure 7. The same graph as Figure 6 but for T19. The figures also show the major levee

679 breaching points (red marks) and levee breaching points including small tributaries in Tochigi
680 prefecture (white marks).

681

682 Figure 8. The mean and standard deviation of bias in the forecasted peak runoff compared to
683 the simulated one for (a) F18 and (b) T19. The bias ratios are computed depending on the
684 peak runoff shown in x-axis. The dotted lines without the shading show the relative frequency
685 of the simulated peak runoff.

686

687 Figure 9. ROC curves for (a) F18 and (b) T19. Ensemble forecasting is evaluated at all river
688 grid-cells in each region performance. A line closer to the top left corner shows better
689 performance of ensemble forecasting.

690

691 Figure 10. Simulated peak runoff at levee breaching points in small to medium sized rivers in
692 Tochigi prefecture (and Saitama prefecture for the Oppe river). The red points are rivers that
693 originated from mountains, while the blue points are rivers that originated from plains, whose
694 bank-full discharges are typically smaller.

695

696 Figure S1. Observed and simulated/hindcasted hydrographs at dam reservoirs for T19

697 (uncalibrated case). The “Radar” shows the simulated hydrographs, and “MEPS” denotes the

698 hindcasted results at 9:00 on October 11, 2019.

699

700