

# Effects of Chinese herbal medicine Pugongying for reducing the application of antibiotics in breastfeeding women with acute mastitis: study protocol of a randomized, active-controlled, outcome assessor-blinded trial

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## Research Article

**Keywords:** Cefdinir, Herba Taraxaci, Mastitis, Pugongying granule, Traditional Chinese medicine

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# 1 Title

2 Effects of Chinese herbal medicine Pugongying for reducing the application of antibiotics in breastfeeding  
3 women with acute mastitis: study protocol of a randomized, active-controlled, outcome assessor-blinded trial.

## 4 Names protocol contributors

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## 6 Abstract

- 7 • **Background:** Acute mastitis influences the health condition and quality of life of the infants and mothers  
8 during the lactation. Pugongying (a kind of Chinese patent medicine, *Herba Taraxaci*) has shown  
9 benefits in lactating women with acute mastitis in clinical practice. However, there is no solid evidence to  
10 support its effectiveness and safety.
- 11 • **Methods:** A three-arm, multicenter, randomized, active-controlled, outcome assessor-blinded clinical  
12 trial will be undergoing in three hospitals in Beijing. 306 participants will be randomly assigned into three  
13 groups in 1:1:1 ratio with Pugongying alone, cefdinir alone, and combination of Pugongying and cefdinir  
14 for 3-day intervention drugs administration. And in combination of Pugongying and cefdinir group, the  
15 participants will be administrated with 2-day cefdinir and 3-day Pugongying. The primary outcomes are  
16 resolution of fever, visual analogue scale (VAS) scores of breast pain, and the size of the breast mass by  
17 palpation. The secondary outcomes are the patency of milk, Traditional Chinese Medicine (TCM)  
18 symptoms scores, white blood cell count, the percentage of neutrophil and C-reactive protein, relapse at  
19 3<sup>th</sup> day of follow up after completion of treatment, and safety assessment including routine blood, liver  
20 and renal function and electrocardiography. Besides, the incidence of surgery and the quantity of  
21 additional intervention drugs will also be evaluated.
- 22 • **Discussion:** The results of this trial are expected to confirm whether Chinese herbal medicine  
23 Pugongying could alleviate the symptoms and signs in lactating women with acute mastitis, and they  
24 could reduce application of cefdinir in clinical practice.
- 25 • **Trial registration:** ClinicalTrials.gov [Home - ClinicalTrials.gov], ID: NCT03756324. Registered on  
26 December 18th 2018.

27 <https://www.clinicaltrials.gov/ct2/show/NCT03756324?cond=Acute+mastitis&draw=2&rank=1>

## 28 **Keywords**

29 Cefdinir, *Herba Taraxaci*, Mastitis, Pugongying granule, Traditional Chinese medicine.

## 30 **Administrative information**

31 Note: the numbers in curly brackets in this protocol refer to SPIRIT checklist item numbers. The order of the  
32 items has been modified to group similar items (see [http://www.equator-network.org/reporting-  
33 guidelines/spirit-2013-statement-defining-standard-protocol-items-for-clinical-trials/](http://www.equator-network.org/reporting-guidelines/spirit-2013-statement-defining-standard-protocol-items-for-clinical-trials/)).

Title {1}	Effects of Chinese herbal medicine Pugongying for reducing the application of antibiotics in breastfeeding women with acute mastitis: study protocol of a randomized, active-controlled, outcome assessor-blinded trial
Trial registration {2a and 2b}.	ClinicalTrials.gov, ID: NCT03756324. Registered on December 18th 2018, <a href="https://www.clinicaltrials.gov/ct2/show/NCT03756324?cond=Acute+mastitis&amp;draw=2&amp;rank=1">https://www.clinicaltrials.gov/ct2/show/NCT03756324?cond=Acute+mastitis&amp;draw=2&amp;rank=1</a> Item 2b: Not applicable, as the study is not registered on World Health Organization Trial Registration Data Set.
Protocol version {3}	Version 1.0. Date: May, 2018.
Funding {4}	This trial is supported financially by the 2018 Capital's Funds for Health Improvement and Research (CFH 2018-7032).
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Name and contact information for the trial sponsor {5b}	Investigator initiated clinical trial: Xiao-hua Pei (Principal Investigator) <a href="mailto:pxh_127@163.com">pxh_127@163.com</a> .
Role of sponsor {5c}	This is an investigator initiated clinical trial. Therefore, the sponsor is not involved in study design, collection, analysis and interpretation of data, writing of the report and submission of the manuscript for publication.

34 **Introduction**

35 **Background and rationale {6a}**

36 Acute mastitis is a common problem in lactating women.[1] It is defined that part of one breast becomes red,  
37 painful, swollen and hard, sometimes with common symptoms of fever and malaise.[1] The prevalence rates  
38 of acute mastitis in breastfeeding women range from 2% to 33% according to previous mastitis prevalence  
39 data.[1] Acute mastitis may produce overwhelming acute symptoms that causes women to consider to stop

40 breastfeeding, or health-care workers will advise women who are administered antibiotics therapy to stop  
41 breastfeeding, both of which will result in breastfeeding failure and the infants lose their optimal nutrition.[1-2]  
42 What's more, acute mastitis also can bring severe complications such as breast abscess, and occasionally  
43 be fatal if inadequately treated.[1] These conditions can lead to a considerable burden of disease and involve  
44 substantial costs.[3] Previous studies have indicated that Gram-positive staphylococci is the main pathogenic  
45 bacteria in acute mastitis.[4-7] With the increasing application of metagenomic sequencing technology in milk  
46 microecology field, the research methods of acute mastitis pathogenic bacteria have gradually turned from  
47 the isolation and cultivation of pathogens to equilibrium between microorganism. And these studies suggest  
48 that two theories may explain the occurrence of acute mastitis. One is that *Staphylococcus* and  
49 *Corynebacterium* could not be inhibited by commensal bacteria, the other is lower microbial diversity in milk,  
50 with increased abundance of conditioned pathogens and depletion of commensal obligate anaerobes.[8-10]  
51 The pathogenesis of acute mastitis has not been thoroughly described, but most investigators prefer that  
52 dysbiosis of milk microbiome and/or bacterial infections contribute to the condition. Non-pharmacological  
53 measures that have shown promise include effective milk removal, rest, adequate fluids and nutrition, and  
54 cold packs application to the breast.[2] Pharmacological measures that have been recommended include  
55 analgesia (ibuprofen) and antibiotics.[2, 11] The role of probiotic in prevention and treatment is under  
56 determined.[2] The preferred antibiotics are usually penicillinase-resistant penicillins, such as dicloxacillin or  
57 flucloxacillin, or as recommended by local antibiotic sensitivities.[2] In China, cephalosporin is widely used in  
58 clinical practice. However, mistaking antibiotic can affect the physical function, even infants breastfeed in  
59 clinical observation.

60 Chinese herbal medicine is one of the most common traditional interventions in China.[12] Acute mastitis  
61 belongs to the syndrome of heat stagnation in both liver and stomach in Traditional Chinese Medicine (TCM)  
62 theory. The stomach receives food and drink, and the liver governs free flow of qi. The stagnation qi of  
63 stomach is due to dietary irregularities, and the stagnation of liver is due to the failure of liver to disperse qi  
64 resulted from emotional depression, which can both result in qi depression transforming into fire. In TCM  
65 rationale, breasts belong to stomach meridian and nipples belong to liver meridian.[13] Thus, lactating  
66 women with acute mastitis manifest part of usually one breast becoming red, painful, swollen and hard.  
67 Pugongying herbs (*Herba Taraxaci*) can alleviate the syndromes of acute mastitis. Pugongying Granule  
68 (Pugongying) is a kind of Chinese patent medicine and its indications include acute mastitis. It has been

69 approved by China Food and Drug Administration (CFDA) in 2015. The main ingredient of Pugongying  
70 Granule is Pugongying herbs. The action of Pugongying is to clear liver and stomach heat. Some  
71 pharmacological studies have showed that Pugongying has a broad spectrum of antimicrobial activity, and  
72 can balance microorganism.[14-17] At the same time, Pugongying can also promote the production of milk  
73 and maintain the patency of milk well. [18] The previous clinical trial (unpublished) that had been conducted  
74 in the Third affiliated hospital of Beijing University of Chinese Medicine, has demonstrated that Chinese  
75 herbal medicine can act better than cefdinir in the time to resolution of fever and visual analogue scale (VAS)  
76 scores of breast pain. Although Pugongying has demonstrated positive effects on acute mastitis in clinical  
77 practice, rigid validation using a randomized controlled trial remains the best way to examine the effects of  
78 Pugongying in lactating women with acute mastitis.

## 79 **Objectives {7}**

80 We hypothesize that Pugongying have positive effects on fever-resolution, less breast pain and mass-  
81 dissipating, and to some extent, it can reduce the application of cefdinir.

## 82 **Trial design {8}**

83 This trial is a Principle Investigator-initiated, three-arm, multicenter, randomized, active-controlled, outcome  
84 assessor-blinded, parallel assignment clinical trial in which 306 participants will be assigned to three groups  
85 in 1:1:1 ratio with Pugongying alone, cefdinir alone or combination of Pugongying and cefdinir. The  
86 investigators plan to allocate a 3-day treatment and 3-day follow-up to participants. Two visits will be  
87 scheduled for each participant: baseline, day-3. At the day-6, the investigators will follow the participants up  
88 by telephone or Wechat (a social media used in China).

## 89 **Methods: Participants, interventions and outcomes**

### 90 **Study setting {9}**

91 Participants will be recruited from clinics in three hospitals: Third Affiliated Hospital, Beijing University of  
92 Chinese Medicine, Beijing Hospital of Traditional Chinese Medicine, and Tongzhou Maternal & Child Health  
93 Hospital of Beijing, which are all located in Beijing, China.

### 94 **Eligibility criteria {10}**

- 95 Inclusion criteria
- 96 • Lactating women who have intention to breastfeed child;
  - 97 • Within 72 hours after the onset of symptoms and/or signs (body temperature  $\geq 37.2^{\circ}\text{C}$  with at least one
  - 98 symptoms, such as red, painful, swollen and hard of part of breast), and the ultrasound examination
  - 99 indicates there is no mammary abscess;
  - 100 • The body temperature is higher than  $37.2^{\circ}\text{C}$  but lower than  $41^{\circ}\text{C}$ ;
  - 101 • The VAS scores of breast pain (range from 0-10)  $\geq 4$ ;
  - 102 • Willing and able to comply with protocol requirements and provide informed consent.

103 Exclusion criteria

- 104 • Having other breast disease that hinders or prevents breastfeeding;
- 105 • Having taken therapeutic drugs for this episode of acute mastitis;
- 106 • Known allergic to penicillin and cephalosporin;
- 107 • Participants with mental disorders, seizure disorders, or cognitive dysfunction;
- 108 • Presence of any other pre-existing chronic infection requiring medical therapy;
- 109 • Having any history of chronic liver disease, or any active lung, heart or renal diseases requiring regular
- 110 medication.

111 The three hospitals are equipped with Breast-Disease Clinics and there are enough patients with acute  
112 mastitis to ensure the implementation of this trial.

113 **Who will take informed consent? {26a}**

114 The doctors who will obtain Informed Consent From (ICF) from potential trial participants are licensed and  
115 have obtained Good Clinical Practice (GCP) certificates in each hospital. All participants should give written  
116 ICF prior to participating the study. The doctors should inform the participants of the protocol, objective,  
117 rights and interests, possible risks of the study, study confidentiality and related compensation. And the

118 participants should be assured that they can quit the study any time.

119 **Additional consent provisions for collection and use of participant data and**  
120 **biological specimens {26b}**

121 The participants in Pugongying group and cefdinir group will sign another ICF which contains the item about  
122 milk samples collection for further genetic analysis. Three milk samples will be collected on the baseline day  
123 (day-0) and day-3. All samples will not be preserved.

124 **Interventions**

125 **Explanation for the choice of comparators {6b}**

126 The recommended pharmacological measure of acute mastitis is mainly antibiotics.[2, 12] The preferred  
127 antibiotics are usually penicillinase-resistant penicillins, such as dicloxacillin or flucloxacillin, or as  
128 recommended by local antibiotic sensitivities.[2] Cefdinir is the 3rd generation of cephalosporin. Due to its  
129 few adverse events, weak toxicity, broad anti-microbial spectrum and less prone to drug tolerance, it has  
130 been widely applied to mastitis treatment in China. Thus, this trial chooses it as active-controlled  
131 intervention.

132 **Intervention description {11a}**

133 In clinics, participants will be randomly assigned to the Chinese patent medicine group (CPM), combination  
134 of Chinese patent medicine and Antibiotics cefdinir capsule group (CPM & ACC), or Antibiotics cefdinir  
135 capsule group (ACC).

136 For participants in CPM group, Pugongying should be taken 15g three times a day for 3 days. For CPM &  
137 ACC participants, Pugongying should be taken 15g three times a day for 3 days and cefdinir should be taken  
138 0.1g three times a day in the first two days. For participants in ACC group, cefdinir should be taken 0.1g  
139 three times a day for 3 days.

140 Pugongying is named as Pugongying Granule in China and produced by Kunming Pharmaceutical Factory  
141 co. LTD. The form of the drug is granule, and the participants will take the drug after dissolved. Cefdinir is  
142 also named as cefdinir capsule and produced by Astellas Pharma Inc. The form of the drug is capsule, and  
143 participants will orally take the capsules. The investigators will follow them up for 3 days after 3-day drug

144 administration.

145 All participants will receive education, including dietary, emotional regulation and the knowledge of  
146 breastfeeding. The investigators will encourage participants to remove milk effectively.

### 147 **Criteria for discontinuing or modifying allocated interventions {11b}**

148 The participants with body temperature above 41°C in the 3-day treatment period will be recommended to  
149 withdraw from the trial and receive intravenous drip antibiotics therapy. If the participants have preference  
150 for particular intervention and would not like to continue receiving assigned intervention, they can withdraw  
151 from the trial at any time. In the above situations, the investigators will try their best to obtain the participants'  
152 data when they withdraw from the trial.

153 During 3-day treatment, if B-ultrasound hints that there is a mammary abscess, the doctor will discuss with  
154 the participants and additionally perform the surgery to prevent them from severe complications if necessary.

155 The participants in CPM group with body temperature above 39°C will be administered by twice dose of  
156 Pugongying.

### 157 **Strategies to improve adherence to interventions {11c}**

158 Before the beginning of enrollment, the doctors will inform them about high recurrence rate of acute mastitis  
159 and the necessity of 3-day drugs administration. And the doctors can assess the disease condition by related  
160 laboratory tests and examinations on day-3 to ensure the interests of all enrolled participants. Finally, the  
161 investigators will distribute 120% intervention drugs and require the participants to return the drugs at day-3.

### 162 **Relevant concomitant care permitted or prohibited during the trial {11d}**

163 Education, such as dietary, emotional regulation and the knowledge of breastfeeding, and effective milk  
164 removal are permitted. Other drugs for treating acute mastitis, such as ibuprofen and probiotic are prohibited.

### 165 **Provisions for post-trial care {30}**

166 Provisions for post-trial care are not applicable. Laboratory tests, examinations and treatments for  
167 concomitant diseases or complications are not provided freely by the sponsor, which will be communicated  
168 to the participants as they sign the informed consent.

169 **Outcomes {12}**

170 Primary outcome measures

171 Primary outcome measures are the common chief complaints which have strong relevance to acute mastitis.

172 • Resolution of fever: Body temperature will be measured by mercury thermometer and recorded on the  
173 prepared card by participants. The temperature reduces to 37.2°C or more below, assessing as the normal  
174 temperature. And the normal temperature lasts for at least 24 hours, considered as fever-resolution. To  
175 evaluate onset time and the temperature changing from baseline to the end of 3-day treatment, participants  
176 will be encouraged to measure body temperature every 4 hours for 3 days, specifically on 2:00 am, 6:00 am,  
177 10:00 am, 14:00 pm, 18:00 pm, 22:00 pm.

178 • VAS sores of breast pain: Breast pain will be self-reported by participants and recorded. The VAS is used  
179 to assess breast pain. The scale has been tested in the investigator's previous trial. 0 score indicates "no  
180 uncomfortable feeling". 1-3 indicates "mild uncomfortable feeling". 4-6 indicates "moderate uncomfortable  
181 feeling". 7-10 indicates "severe uncomfortable feeling". To evaluate the changing from baseline to the end of  
182 3-day treatment, participants will assess breast pain every 8 hours for 3 days, specifically measured on 6:00  
183 am, 14:00 pm, 22:00 pm.

184 • The size of the breast mass: The mass will be manually outlined by outcome assessors with a  
185 measurement film which have registered the patent (Patent No. ZL-2010-2-0172672.8). [19]To evaluate the  
186 changing from baseline to the end of 3-day treatment, the mass will be measured at baseline and at the end  
187 of the treatment, total 2 times.

188 Secondary outcome measures

189 • The patency of milk: The outcome will be measured by the outcome assessor. The 0-3 scores are used to  
190 describe the patency of milk from no stagnation to severe stagnation. 0 indicates that there is no stagnation  
191 with breast and milk spurts out by slightly pressure; 1 indicates mild stagnation and milk flows by more  
192 pressure; 2 indicates moderate stagnation and milk flows by much more pressure; 3 indicates there is severe  
193 stagnation and no milk flows. To evaluate the changing, the outcome will be evaluated at baseline and at the  
194 end of the treatment, total 2 times.

195 • TCM symptoms scores: The assessment criteria refer to Standard of diagnosis and treatment in TCM  
196 symptoms (2016 version, released by State Administration of Traditional Chinese Medicine of the People's  
197 Republic of China, <http://www.stctcm.com/STCM/LineAnnouncement/899.htm>). The criteria are specifically  
198 used to assess the holistic physical status of participants and will be measured by outcome assessors. To  
199 evaluate the changing, the outcome will be evaluated at baseline and at the end of the treatment, total 2  
200 times.

201 • White blood cell count: Measured by the routine blood test. To evaluate the changing, it will be tested at  
202 baseline and at the end of 3-day treatment, total 2 times.

203 • Percentage of neutrophil: Measured by the routine blood test. To evaluate the changing, it will be tested at  
204 baseline and at the end of 3-day treatment, total 2 times.

205 • C-reactive protein: Measured by the routine blood test. To evaluate the changing, it will be tested at  
206 baseline and at the end of 3-day treatment, total 2 times.

207 • The quantity of intervention drugs and the incidence of surgery: After 3-day drug administration, the  
208 participants will undergo the clinical evaluation at the 2nd visit and the doctor will recommend whether  
209 participants need further treatment. If B-ultrasound shows there is a mammary abscess, the doctor will  
210 perform surgery, or prescribe cefdinir or Pugongying based on liquefaction and size of the abscess. Then the  
211 investigators will record the quantity of drugs and the incidence of surgery.

212 • Relapse of acute mastitis: After 3-day treatment, the investigators will follow the participants up for another  
213 3 days to figure out the incidence of acute mastitis relapse. The investigators will call the participants to  
214 inquiry their conditions (body temperature and breast pain).

215 • Safety assessments: To assess the safety of the interventions, the investigators will perform the following  
216 tests on participants at baseline and the end of 3-day treatment: routine blood, liver and renal function and  
217 electrocardiography. All abnormal values are defined based on reference values.

## 218 **Participant timeline {13}**

219 Table 1 shows the participant timeline (Please see Table 1 at the end of the document).

## 220 **Sample size {14}**

221 We analyze all available data from the pilot study on breast-pain VAS scores in lactating women with acute  
 222 mastitis (unpublished) in Third Affiliated Hospital of Beijing University of Chinese Medicine, and the  
 223 suggestions from the practitioners to calculate sample size. In this trial, sample size formula in the 4<sup>th</sup> edition  
 224 clinical epidemiology is used as reference.[20] In terms of sample size, there is in excess of a 95% power  
 225 and a (2-side) 10% significance level in detecting treatment differences. The standard deviations are 0.81 in  
 226 CPM, 0.76 in CPM & ACC, 0.9 in ACC, respectively. The means are 3.55 in CPM, 3.10 in CPM & ACC, 3.34  
 227 in ACC, respectively.

$$n = \frac{\Psi^2 \left( \sum_{j=1}^k \sigma_j^2 / k \right)}{\sum_{j=1}^k (\bar{X}_j - \bar{X})^2 / (k - 1)}$$

228

229 As a result,  $\sum_{j=1}^k (\bar{X}_j - \bar{X})^2 = (3.55 - 3.33)^2 + (3.34 - 3.33)^2 + (3.10 - 3.33)^2 = 0.1014$

229

230  $\sum_{j=1}^k \sigma_j^2 = 0.81^2 + 0.90^2 + 0.76^2 = 2.0437$

230

231  $\Psi_{0.05, 0.10, 2} = 2.52$ . Bring the results into the formula above,  $n = \frac{2.52^2(2.0437/3)}{0.1014/2} \approx 85$ . Considering 20%  
 232 attrition rate, the sample size of each group is 102 and 306 patients will be recruited in total.

232

233 **Recruitment {15}**

234 The costs of intervention drugs (Pugongying and cefdinir), laboratory tests (routine blood, routine urine) and  
 235 examinations (breast B-ultrasound and electrocardiogram) will be provided by the sponsor.

236 **Assignment of interventions: allocation**

237 **Sequence generation {16a}**

238 The sequence of randomization (1:1:1) will be generated by JHX with a computer program (Excel).

239 **Concealment mechanism {16b}**

240 The randomized number and allocation details will be sealed in opaque envelopes.

## 241 **Implementation {16c}**

242 JHX will generate the allocation sequence. The doctors who in clinics will enroll participants. And the  
243 investigators (e.g. JHX and XYJ) will assign participants to interventions.

## 244 **Assignment of interventions: Blinding**

### 245 **Who will be blinded {17a}**

246 The trial is an outcome assessor-blinded, data collector-blinded and data analyst-blinded study. Treatment  
247 allocations will be concealed from the data analysts by group 1, 2 and 3. The data-collectors (e.g. CG) and  
248 outcome assessors (e.g. nurses) blinded about intervention allocations will record the data and evaluate the  
249 mass size, patency of milk, TCM symptoms and relapse. Participants preference can have minor influence  
250 on body temperature, white blood cell count, the percentage of neutrophil and C-reactive protein.

### 251 **Procedure for unblinding if needed {17b}**

252 Not applicable. In this trial, participants and doctors will not be blinded, therefore there is no unblinding  
253 procedure.

## 254 **Data collection and management**

### 255 **Plans for assessment and collection of outcomes {18a}**

256 The investigators (JHX, XYJ) have studied for the use of measurement films at The People's Hospital of  
257 Liaoning Province on 5th May 2019. XHP, YYF, XYJ and JHX have implemented the project 'The clinical  
258 effects of Shaoyao Gualou Gancao decoction combined with Xiaozhong Zhitong patches on the initial stage  
259 of acute mastitis: A randomized control trial (unpublished)' and have a good understanding of acute mastitis-  
260 related measurement methods and data collection. JHX and XYJ have trained the outcome assessors and  
261 data collectors in three hospitals and will not participate in these works. Laboratory tests will be performed in  
262 clinical laboratory of each hospital. All abnormal values are defined based on reference values.

263 **Plans to promote participant retention and complete follow-up {18b}**

264 During intervention period and follow-up period, the investigators will communicate with all enrolled  
265 participants by telephone or Wechat to obtain the information of the participants' conditions (e.g. body  
266 temperature, breast pain). If the participants discontinue or deviate from the study, the investigators will  
267 persuade them to receive laboratory tests and examinations to assess their disease conditions and protect  
268 their interests.

269 **Data management {19}**

270 All data will be input and checked by two statisticians using EpiData 3.1.

271 **Confidentiality {27}**

272 All participants' personal information will be confidential to the extent permitted by Chinese laws. The  
273 samples of enrolled participants will be identified by study numbers rather than their name. Unless the  
274 permission is obtained, information that identifies individuals will not be disclosed to anyone other than  
275 members of the study group. The investigators, the supervisor appointed by CFH, the ethics committee and  
276 CFDA are allowed to access participants' medical records related to the study to ensure the authenticity and  
277 accuracy of the data, but other individual information will not be shared. Case report forms (CRF) will be  
278 reserved in cabinet unless investigators allow to open. Electronic data will be input according to the study  
279 number and accessed under the permission of investigators. When the results of this study are published, no  
280 information about the participants will be disclosed.

281 **Plans for collection, laboratory evaluation and storage of biological specimens for**  
282 **genetic or molecular analysis in this trial/future use {33}**

283 Four blood, two urine and three milk samples will be collected on the baseline day (day-0) and day-3. Blood  
284 samples will be utilized in laboratory tests, including routine blood test, liver and kidney function test. Blood  
285 and urine samples will not be saved. All abnormal values will be evaluated based on reference values.

286 Milk samples will be collected by the investigator (XYJ) in sterile conditions. Then they will be storage in -80  
287 °C refrigerator and will be transported to Beijing Major Biomedical Technology Co., Ltd in drikold environment

288 for further genetic analysis. All samples will not be preserved.

## 289 **Statistical methods**

### 290 **Statistical methods for primary and secondary outcomes {20a}**

291 Statistical analysis will be conducted by Centre for Evidence-Based Chinese Medicine, Beijing University of  
292 Chinese Medicine. The statistician (not in authorship) will be blinded from the intervention allocations. SPSS  
293 25.0 statistical software packages will be used to analyze the data. Prior to all analyses, a detailed statistical  
294 analysis protocol is developed.

295 Continuous variables will be expressed as median and standard deviations. **Three groups will be compared**  
296 **using Analysis of Variance (ANOVA) or Kruskal Wallis test, as appropriate, based on the data distribution.**  
297 **Two groups will be compared using Least Significant Difference (LSD) if there is significant differences**  
298 **between three groups.** Dichotomous variables will be expressed as "yes" or "no". Groups will be compared  
299 using the chi-square or Fisher's exact test, as appropriate, based on the expected counts. Participants  
300 characteristics and past history will be reported and compared between groups. Descriptive statistics will be  
301 presented to describe the trial results. A two-sided  $P < 0.05$  will be considered statistically significant.

### 302 **Interim analyses {21b}**

303 Not applicable. The interim analyses are not planned to conduct.

### 304 **Methods for additional analyses (e.g. subgroup analyses) {20b}**

305 Not applicable. Additional analyses are not planned to conduct.

### 306 **Methods in analysis to handle protocol non-adherence and any statistical methods** 307 **to handle missing data {20c}**

308 The intention-to-treat (ITT) population is defined as the patients who are randomized and receive at least  
309 one treatment session. The per-protocol (PP) population is defined as the patients who complete the study  
310 and do not have major protocol violations. All analyses will be based on the ITT population and the PP  
311 population. The result of the ITT analysis will be compared with that of the PP analysis to check whether the  
312 results are consistent.[21]

313 **Plans to give access to the full protocol, participant level-data and statistical code**  
314 **{31c}**

315 The data can be available by the corresponding author with reasonable request.

316 **Oversight and monitoring**

317 **Composition of the coordinating centre and trial steering committee {5d}**

318 CFH will be responsible for quality control and the management will comply with the Administrative  
319 Measures of Capital's Funds for Health Improvement and Research published by Beijing Health Commission  
320 of the People's Republic (2017 version). The sponsor will commission the third party to monitor the trial  
321 avoiding interest conflicts.

322

323 **Composition of the data monitoring committee, its role and reporting structure {21a}**

324 Data monitoring committee is not applicable. The data will be monitored by the sponsor.

325 **Adverse event reporting and harms {22}**

326 Any serious adverse events will be reported to the principle investigator within 24 hours and recorded in CRF  
327 to analyze the relationship between events and intervention. The serious adverse events will be reported to  
328 2018 Capital's Funds for Health Improvement and Research following GCP guidelines.

329 **Frequency and plans for auditing trial conduct {23}**

330 The sponsor will randomly audit some clinical trials and commission the third party to conduct the audit  
331 procedures to avoid interest conflicts.

332 **Plans for communicating important protocol amendments to relevant parties (e.g.**  
333 **trial participants, ethical committees) {25}**

334 Important protocol amendments will communicate with enrolled trial participants, investigators, ethical  
335 committees of Beijing University of Chinese Medicine Third Affiliated Hospital and the sponsor. And then the

336 investigators will update the protocol on ClinicalTrials.gov.

### 337 **Dissemination plans {31a}**

338 We will put up a poster and Wechat post via public account to disseminate this trial.

### 339 **Discussion**

340 The results of this trial are expected to provide convincing evidence that Pugongying is effective and safety  
341 for alleviating manifestations of lactating women with acute mastitis, and they could reduce application of  
342 cefdinir in clinical practice.

343 As we know, milk is not sterile and has a wide range of microbiome which has important health  
344 implications.[22, 23] And the relationship of microbiome in milk is in a dynamic equilibrium. The application of  
345 antibiotics may induce dysbiosis of milk microbiome.[24] In TCM theory, “vital qi” is a collective designation  
346 for all normal function of the human body and the abilities to maintain health, including the abilities to self-  
347 regulation, adaptation to the environment, resistance against pathogens and self-recovery from illness, and “  
348 pathogenic qi” specifies various pathogenic factors. The occurrence of infectious diseases is the result of  
349 internal and external factors’ interactions. Therefore, strengthening body resistance to eliminate pathogenic  
350 factors is fundamental therapeutic principle. In microbiology researches, the bacterial communities  
351 maintaining the stability, quantities and orders of microbiome are considered as “vital qi”. If the balance is  
352 disturbed inducing the dysbiosis of milk, microbiological colonization resistance and immunity can decline  
353 generating “pathogenic qi”. Based on the hypothesis, the treatment of infectious diseases should focus on  
354 the biological antagonism of microbiome and then eliminate the pathogenic factors.[25] And the intervention,  
355 Pugongying can work both theoretically.

356 World health organization (WHO) provides a handbook for mothers, and ABM (The Academy of  
357 Breastfeeding Medicine Protocol Committee) also publishes the clinical protocol. The recommendations  
358 include pharmacological and non-pharmacological interventions, but they do not include TCM which  
359 effectively resolves the symptoms of acute mastitis in practice. Pugongying belongs to Chinese patent  
360 medicine. Chinese patent medicine has satisfactory characteristics, such as definite benefit response,

361 guaranteed safety assessment, and it is convenient to taking, carrying and storage. Proving the  
362 effectiveness of Pugongying will be helpful to the generalization of TCM. However, there is not enough  
363 evidence for Pugongying based on methodology rigorous clinical trial. In this trial, we conduct a multicenter,  
364 randomization, outcome assessor-blinded, parallel assignment clinical trial, with a large sample size to  
365 ensure power.

366 There are some limitations of this trial. First, the outcomes of this trial may not be sufficient to evaluate the  
367 effectiveness and safety of Pugongying from all angles in the treatment of acute mastitis. However, the core  
368 outcome set of mastitis is not available. We try to assess the manifestations of acute mastitis based on  
369 clinical experience. Second, we do not use double-blinded design and this may lead to performance bias.  
370 But the outcomes measures except the scores of breast pain, are either objective indicators or outcome  
371 assessor-evaluation outcomes. The preference of participants and investigators is minimal. Third, the title is  
372 “Effects of Chinese herbal medicine Pugongying for reducing the application of antibiotics in breastfeeding  
373 women with acute mastitis”, but the intervention of this trial is Pugongying which may be not enough to  
374 represent Chinese patent medicine. We hope that this trial can preliminarily confirm the effectiveness of  
375 Pugongying which is the most representative Chinese patent medicine for the treatment of acute mastitis,  
376 and it can be fundamental evidence for future research.

## 377 **Trial status**

378 Protocol version is version-1 in May 2018. The recruitment began on August 19th 2019 and will be  
379 completed approximately on December 31st 2020.

## 380 **Abbreviations**

381 ABM: The Academy of Breastfeeding Medicine Protocol Committee

382 ACC: Antibiotics Cefdinir Capsules group

383 ANOVA: Analysis of Variance

384 CFH: Capital's Funds for Health Improvement and Research

385 CFDA: China Food and Drug Administration

386 CPM: Chinese Patent Medicine group  
387 CPM & ACC: Combination of Chinese Patent Medicine and Antibiotics Cefdinir Capsules group  
388 GCP: Good Clinical Practice  
389 ICF: Informed Consent Form  
390 ITT: Intention-to-treat  
391 LSD: Least Significant Difference  
392 PP: Per-protocol  
393 TCM: Traditional Chinese Medicine  
394 VAS: Visual Analogue Scale  
395 WHO: World health organization

## 396 **Declarations**

- 397 • Acknowledgements
- 398 • Authors' contributions
- 399 • Funding
- 400 • Availability of data and material
- 401 • Ethics approval and consent to participate
- 402 • Consent for publication
- 403 • Competing interests
- 404 • Authors' information (optional)

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409 associates. Special thanks to all participants in this study.

#### 410 **Authors' contributions {31b}**

411 XHP as the Principle Investigator, conceived the study and led the proposal.

412 XYJ contributed to the study design, drafted the manuscript and participated in the study implementation.

413 CLL assisted in clinical trial registration and participated in the protocol development.

414 JPL was the lead methodologist of this trial.

415 JHX contributed to development of the proposal and participated in the manuscript.

416 YYF participated in the study design and study implementation.

417 CG assisted in the manuscript.

418 All authors discussed, read, revised the manuscript, and all approved the final manuscript.

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420 This trial is supported financially by the 2018 Capital's Funds for Health Improvement and Research (CFH  
421 2018-7032). The sponsor will be responsible for quality control and will not be involved in study design,  
422 collection, analysis and interpretation of data, writing of the report and submission of the article for  
423 publication. An additional file shows funding information in more details (see Additional file 1).

#### 424 **Availability of data and materials {29}**

425 After this study is completed, the final trial dataset and statistical codes will be available from the  
426 corresponding authors upon reasonable request, except for participants' personal information.

#### 427 **Ethics approval and consent to participate {24}**

428 Ethics approval of the study has been obtained from the Ethical Committee of Third Affiliated Hospital of  
429 Beijing University of Chinese Medicine (number BZYSY-SFKTPJ-1) and Beijing Hospital of Traditional  
430 Chinese Medicine (number 2019BL02-028-02) according to Chinese law. Written, informed consent to  
431 participate will be obtained from all participants. An additional file shows ethics approval in more details (see  
432 Additional file 2).



<i>Breast-pain VAS scores</i>		X	X	X	X	X
<i>The area of breast mass</i>		X			X	
<i>The patency of milk</i>		X			X	
<i>The scores of TCM symptoms</i>		X			X	
<i>White blood cell count</i>		X			X	
<i>The percentage of neutrophil</i>		X			X	
<i>C-reactive protein</i>		X			X	
<i>Relapse</i>						X
<i>Surgery incidence</i>						X
<i>Quantity of additional drugs</i>						X
<i>Safety assessment</i>	X				X	

440 Note: CPM- Chinese Patent Medicine group; CPM & ACC- combination of Chinese Patent Medicine and  
441 Antibiotics Cefdinir Capsules group; ACC- Antibiotics Cefdinir Capsules group.

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