

PARTNERSHIP AMONG HOSPITALS TO REDUCE HEALTHCARE ASSOCIATED  
INFECTIONS: A QUASI-EXPERIMENTAL STUDY IN BRAZILIAN ICUS

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## **Abstract**

**Background:** Healthcare-associated infections (HAIs) are relevant in developing countries where frequencies can be at least 3 times higher than in developed countries. The purpose of this research was to describe the intervention implemented in ICUs to reduce HAIs through collaborative project and analyze the variation over 18 months in the incidence density (ID) of the three main HAIs: ventilator associated pneumonia (VAP), central line-associated bloodstream infections (CLABSIs) and catheter-related urinary tract infections (CAUTIs) and also the length of stay and mortality in these ICUs.

**Methods:** A quasi-experimental study in 5 public adult clinical-surgical ICUs, to reduce HAIs, through interventions using the BTS-IHI “Improvement Model”, during 18 months. In the project, promoted by the Ministry of Health, Brazilian philanthropic hospitals of excellence (HE) regularly trained and monitored public hospitals in diagnostics, data collection and in developing cycles to improve quality and to prevent HAIs (bundles). In the analysis regarding the length of stay, mortality, the IDs of VAP, CLABSIs and CAUTIs over time, a GEE (Generalized Estimating Equation) model was applied for continuous variables, using the constant correlation (exchangeable) between assessments over time. The model estimated the average difference ( $\beta$  coefficient of the model) of the measures analyzed during two periods: a period in the year 2017 (prior to implementing the project) and in the years 2018 and 2019 (during the project).

**Result:** A mean monthly reduction of 0.427 in VAP ID ( $p = 0.002$ ) with 33.8% decrease at the end of the period and 0.351 in CAUTI ID ( $p = 0.009$ ) with 45% final decrease. The mean monthly reduction of 0.252 for CLABSIs was not significant ( $p = 0.068$ ).

**Conclusions:** Given the success in reducing VAP and CAUTIs in a few months of interventions, the achievement of the collaborative project is evident. This partnership

among public hospitals/HE may be applied to other ICUs including countries with fewer resources.

**Trial registration:**

This research was promoted and authorized by Brazilian Ministry of Health, carried out through the Institutional Development Program of the Integrated Health System PROADI-SUS<sup>12</sup> and approved by the Ethics Committee of the Hospital das Clínicas - UFPE, under No. 3,307,293.

**Key words:** Healthcare-associated infections; Breakthrough Series; collaborative; intensive care units; prevention bundles

1 **Background:**

2 Healthcare-associated infections (HAIs) are relevant to global public health, especially in  
3 developing countries where the frequency may be at least 3 times higher than in  
4 developed countries.<sup>1</sup> In Brazil, the incidence density (ID) of HAIs related to devices in  
5 the year 2016 indicated ventilator associated pneumonia (VAP) of 13.6/1000, central line-  
6 associated bloodstream infections (CLABSIs) of 4.6/1000 and catheter-related urinary  
7 tract infections (CAUTIs) of 5.1/1000,<sup>2</sup> while the 2016 European annual report registered  
8 IDs for VAP of 3.9/1000, CLABSIs of 1.7/1000 and CAUTIs of 2.1/1000<sup>3</sup>. Although  
9 these are frequent adverse events, with high morbidity and mortality rates and high costs,  
10 HAIs are recognized as being preventable in up to 70% of cases.<sup>4</sup>

11 Outstanding amongst the strategies for healthcare quality improvement, including  
12 the reduction of HAIs, is the Breakthrough Series Collaborative method - BTS, by the  
13 Institute for Healthcare Improvement - IHI.<sup>5</sup> Since 1996, this has been implemented in a  
14 number of health systems, initially and chiefly in developed economies in North America,  
15 Europe and Australia,<sup>6</sup> and even in low-to-middle income countries, such as those in Latin  
16 America and Africa.<sup>7</sup>

17 In 2018, Susan Wells et al published the results of a thorough systematic review,  
18 studying collaborative methods published between 1995 and 2014 and concluded that,  
19 despite methodological limitations and little description regarding aspects of  
20 implementation, they were nonetheless effective in improving processes and results. In  
21 83% of hospital studies, there was an improvement in at least one of the investigated  
22 indicators and, when a more conservative criterion was used, this effectiveness was 73%.<sup>8</sup>

23 In Brazil, where there are interstate and regional socioeconomic differences,  
24 quality improvement programs (QIPs) using the BTS collaborative method are still rare,

25 although they were employed in 2015/16 in the southeast of the country for good  
26 childbirth<sup>9</sup> and in ICUs in Central, Southeast and South Brazil in combating HAIs,<sup>10</sup> with  
27 successful results. However, no publications on QIPs were observed in the current  
28 literature in ICUs in the Northeastern region of the country, which has more limited  
29 resources.<sup>11</sup>

30 To reduce the incidence of HAIs, the Brazilian Ministry of Health promoted the  
31 collaborative project “The large-scale improvement of patient safety in Brazil”, through  
32 the Institutional Development Program of the Integrated Health System (PROADI-SUS),  
33 with BTS large-scale improvement methodology. In this, Brazilian philanthropic  
34 hospitals of excellence (HE) applied their technical capacity and knowledge to promote  
35 healthcare improvement in public hospitals across the country (the Integrated Health  
36 System, known in Brazil as SUS).<sup>12</sup>

37 The project took place in 120 adult Brazilian intensive care units (ICUs), five of  
38 which were in the Metropolitan Region of Recife, in the northeast of the country, which  
39 a population of around 4 million. The purpose of this research was to describe how the  
40 PROADI-SUS project was implemented in these five ICUs, and to assess the 30%  
41 reduction, over 18 months, in the incidence density (ID) of the three main HAIs: VAP,  
42 CLABSIs and CAUTIs,<sup>13</sup> as well as the length of stay and mortality in these ICUs. It  
43 should be noted that the majority of existing collaborative projects focus on indicators for  
44 only one or two HAIs.<sup>8</sup>

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51 **Methods**

52 **Study location**

53 This study was conducted in 48 adult ICU beds in five public tertiary hospitals  
54 in Recife, in the Northeastern region of Brazil, from January/2018 to June/2019. These  
55 were clinical-surgical ICUs with an admission rate of around 1800 patients per year.

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57 **Study setting and design**

58 In this quasi-experimental time-series study, interventions were carried and data  
59 was collected on a monthly basis for 18 months, including all patients admitted to the  
60 ICUs. The methodology was the BTS<sup>5</sup> using the “Improvement model”.

61 Hospital teams were trained in diagnostics, data collection and in developing  
62 cycles to improve quality and to prevent HAIs (VAP, CLABSIs and CAUTIs). These  
63 face-to-face and online training sessions took place during periodic sessions for sharing  
64 questions, experiences and results. The hospitals received educational visits every 4  
65 months together with online consultations with facilitators on the improvement model,  
66 patient safety, intensive care and infectious diseases.

67 The methodology included following instructional diagrams demonstrating the  
68 preventive measures for HAIs, implemented through PDSA (Plan-Do-Study-Act) rapid  
69 cycle testing.<sup>5</sup> Improvement tests were first performed with a small group of patients and  
70 healthcare professionals, thereby enabling small-scale testing to result in learning and  
71 adaptations. Once the process was considered suitable for the local reality and the tests  
72 had achieved success, it was progressively implemented throughout the rest of the unit.  
73 The implemented improvements were monitored by indicators and the institutions  
74 received technical visits from the HE.

75 After each learning session with specialists in quality improvement and HAIs,  
76 with the presence of four representatives from each of the hospitals (local management

77 team), periods of action were initiated, during which the teams returned to their  
78 organizations and tested the changes in their contexts.

79 Result indicators were monitored monthly: incidence densities (ID) of the HAIs,  
80 length of stay and mortality in ICUs and process indicators: the rate at which devices were  
81 used and adherence to the preventive measures (bundles).

82 The local teams were instructed to carry out systematic educational observations  
83 on the diagnoses and adherence to the bundles, with at least 20 monthly observations per  
84 indicator, in order to plan new PDSAs. The established bundles were: 1- VAP: oral  
85 hygiene, raised headboard (30 -45°), reduced sedation, verifying the possibility of  
86 extubation, maintaining the cuff pressure of the tracheal cannula (25-30cm of H<sub>2</sub>O or 20-  
87 22 mmHg) and adequate maintenance of the mechanical ventilation system. 2- CLABSIs:  
88 on insertion of the central venous catheter (CVC) – check indications, precautions for  
89 maximum barrier, skin antisepsis with chlorhexidine, optimal selection of insertion site,  
90 adequate dressing after insertion; maintenance of CVC - indication of permanence,  
91 aseptic technique in handling, maintenance of the infusion system, correct dressing  
92 technique. 3- CAUTIs: when inserting the urinary catheter (UC) – check indication,  
93 aseptic technique; maintenance of the UC - permanence of the closed system, correct  
94 technique during drainage manipulation, hygiene of the urethral meatus, check the need  
95 to maintain the UC.

96 The local teams monitored and shared the active PDSAs with the ICU team, on  
97 a weekly basis - through rounds -, and the indicators, on a monthly basis. The monthly  
98 data on the frequency of HAIs and adherence to bundles were recorded on a digital  
99 platform to be analyzed in order to direct the necessary actions to improve the team's  
100 performance.

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103 **Definitions**

104 Surveillance of the HAIs was conducted by professionals trained in infection  
105 control, using the definitions of the US Centers for Disease Control and Prevention -  
106 CDC<sup>14</sup> and their incidence was expressed as cases per 1,000 devices-day, obtained by the  
107 ratio of the monthly number of cases of infection by the number of patients using the  
108 device-day related to this infection.

109 The utilization rate of the devices was the percentage calculated by adding the number of  
110 patients using the device-day divided by the sum of the total number of patient-days in  
111 the same period.

112 The percentage of adherence to bundles was assessed by dividing the number  
113 of patients observed with 100% adherence to all items in the bundle by the number of  
114 patients observed with the device.

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116 **Microbiological methods**

117 All isolates were identified by manual or automated methods and confirmed  
118 with the Vitek 2 system (bioMerieux Vitek, Inc., Hazelwood, MO).

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121 **Ethical aspects**

122 This research was promoted and authorized by Brazilian Ministry of Health,  
123 carried out through the Institutional Development Program of the Integrated Health  
124 System PROADI-SUS<sup>12</sup> and approved by the Ethics Committee of the Hospital das  
125 Clínicas - UFPE, under No. 3,307,293.

126 **Statistical analysis**

127 In the presentation of hospital characteristics, absolute and percentage  
128 frequency measurements were performed for categorical variables, and the mean and  
129 standard deviation were calculated, as well as the medians and interquartile ranges for

130 quantitative variables. The hypothesis of normality for incidence densities (ID) was tested  
131 by the Shapiro-Wilks test, and the hypothesis of normality was accepted.

132 In the analysis regarding the length of stay, mortality, the IDs of VAP, CLABSIs  
133 and CAUTIs over time, a GEE (Generalized Estimating Equation) model was applied for  
134 continuous variables, using the constant correlation (exchangeable) between assessments  
135 over time. The model estimated the average difference ( $\beta$  coefficient of the model) of the  
136 measures analyzed during two periods: a period in the year 2017 (prior to implementing  
137 the project) and in the years 2018 and 2019 (during the project).

138 The Spearman's correlation coefficient was estimated in the assessment of  
139 process indicators as explanatory variables of the behavior of the result indicators. The  
140 percentage of variation in the intervention period was based on the difference between  
141 the result indicator in January 2018 and June 2019. All tests of statistical significance  
142 were bilateral, with a significance level of 0.05 ( $p < 0.05$ ). All data analyzes were  
143 performed using STATA 14.

## 144 **Results**

### 145 **Characteristics of the hospitals in the study**

146 Five ICUs were selected for the study, totaling 48 beds in the 5 hospitals included in the  
147 study. Three were general ICUs, one was a cardiac ICU and the other was a neurological ICU.  
148 Table 1 presents the characteristics of the studied hospitals, in which the mean number of patients-  
149 day admitted to the analyzed ICUs varied between 179 and 298 patients each month and was  
150 higher in hospitals H1, H2 and H3 when compared to hospitals H4 and H5, which presented a  
151 lower mean patient-day rate. The mean number of hospitalizations per month ranged from 23 to  
152 31 patients. In the half-yearly assessment, there was an increase in the implementation of PDSAs  
153 in most hospitals, with hospitals H1, H2 and H5 presenting a higher percentage of total  
154 implementation when compared to the others (Table 1).

155 **Table 1. Characteristics of the five hospitals assessed in the study from January 2018 to June**  
 156 **2019**

Characteristics	H1	H2	H3	H4	H5
<b>Total number of beds</b>	234	444	170	415	413
<b>Number of beds in ICU</b>	30	31	30	17	12
<b>Number of beds studied</b>	10	10	10	10	8
<b>Type of ICU studied</b>	Cardiology	General	Neurology	General	General
<b>Patient-day ICU admissions per month<sup>ab</sup></b>	291 ± 22 (216 – 306)	298 ± 11 (270 – 310)	297 ± 19 (210 – 310)	191 ± 32 (101 – 246)	179 ± 22 (116 – 219)
<b>Monthly number of ICU admissions<sup>a</sup></b>	31 ± 8 (12 – 45)	23±7 (12 – 45)	30±8 (15 – 51)	25±5 (13 – 33)	24±6 (8 – 33)
<b>PDSAs implemented/carried out (% implemented)</b>					
1 <sup>o</sup> semester	21/36 (58.3%)	9/11 (81.8%)	3/49 (6.1%)	4/30 (13.3%)	19/30 (63.3%)
2 <sup>o</sup> semester	13/18 (72.2%)	2/4 (50.0%)	2/5 (40.0%)	11/25 (44.0%)	14/16 (87.5%)
3 <sup>o</sup> semester	8/9 (88.9%)	2/3 (66.7%)	12/13 (92.3%)	2/3 (66.7%)	4/5 (80.0%)
<b>Total<sup>d</sup></b>	<b>42/63 (66.7%)</b>	<b>13/18 (72.2%)</b>	<b>17/67 (25.4%)</b>	<b>17/58 (29.3%)</b>	<b>37/51 (72.5%)</b>
<b>Number of monthly meetings held during the period<sup>c</sup></b>	4 (3 – 4)	1 (0.25 – 2.75)	2 (1 – 3)	1 (1 – 3)	3 (3 – 3.75)
<b>Percentage of patients with daily defined objectives in ICU<sup>c</sup></b>	100 (100 – 100)	Not assessed	31.1 (13.6 – 66.9)	53.8 (50.8 – 56.9)	100 (100 – 100)
Assessment period of the defined objectives	Jan/19 to June/19	-	Jan/19 to June/19	Nov/17 to July/18	Jan/18 to June/19
<b>Percentage of patients who received daily multidisciplinary visits in ICU</b>	100 (100 – 100)	19.9 (19.1 – 22.1)	23.1 (13.5 – 26.9)	61.5 (49.7 – 64.0)	100 (100 – 100)
Assessment period of the multidisciplinary visits	Jan/19 to June/19	Feb/19 to June/19	Jun/18 to June/19	Nov/18 to June/19	Jan/18 to June/19

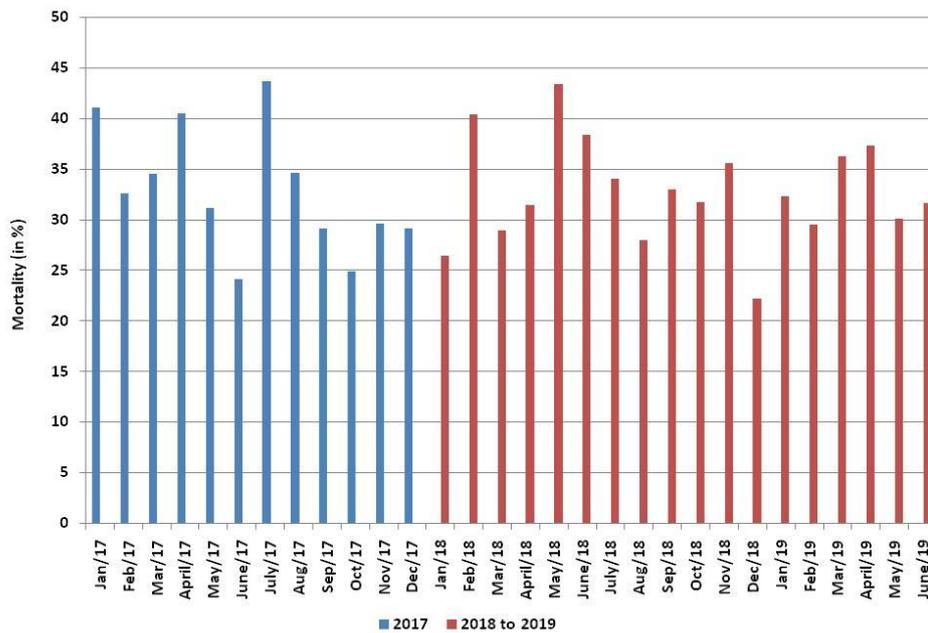
157 <sup>a</sup> Mean ±SD (min – max) in the studied ICUs <sup>b</sup> ANOVA: p < 0,001 – significant statistical difference: H4 & H5 ≠ H1, H2 &  
 158 H3 <sup>c</sup> Median (P25 – P75) <sup>d</sup> There was a significant statistical difference of the H3 & H4 hospitals when compared to  
 159 the others (p<0.05).  
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## 161 **Result indicators**

162 With regard to the group of the 5 ICUs studied, over the 18-month period, there  
 163 was no variation in relation to mortality (2017:  $\beta = -0.889$  ( $p = 0.089$ ) and 2018/2019:  $\beta$   
 164  $= -0.113$  ( $p = 0.646$ )) (Graph 1). The mean monthly time of stay decreased in 2017 ( $\beta = -$   
 165  $0.292$  ( $p = 0.033$ )) and 2018 ( $\beta = -0.276$  ( $p = 0.047$ )) although in the 6 months of 2019  
 166 there was an increase, with no statistical significance ( $\beta = 1.399$  ( $p = 0.183$ )). During the

167 intervention, there was a mean monthly reduction of 0.427 in the VAP ID ( $p = 0.002$ )  
 168 with a 33.8% decrease at the end of the period, and 0.351 in the CAUTI ID ( $p = 0.009$ ),  
 169 which corresponded to a 45% decrease at the end. There was a mean monthly reduction  
 170 of the CLABSI ID of 0.252, which was not significant ( $p = 0.068$ ) (Table 2).

171 **Graph 1 Mortality in the ICUs of the five hospitals in the study assessed between**  
 172 **January 2017 and June 2019**



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Before intervention 2017:  $\beta = -0.889$  ( $p = 0.089$ ) During intervention 2018 to 2019:  $\beta = -0.113$  ( $p = 0.646$ ).

200 **Table 2. Incidence densities of HAIs in the ICUs analyzed in the periods with no intervention**  
 201 **(2017) and during the intervention (2018 and 2019)**  
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Result indicators	Before intervention 2017	During intervention 2018 - 2019	p-value	Variation %
<b>Incidence density of VAP</b>				
<b>Variation over time</b>				
<b>Coefficient <math>\beta</math> (p-value)</b>	-0.040 (0.934)	-0.427 (0.002)	-	↓33.8%
<b>Assessment by hospital<sup>b</sup></b>				
H1	-3.784 (0.349)	-0.630 (0.069)	0.123	
H2	-2.143 (0.151)	-0.825 (0.015)	0.019	
H3	0.004 (0.988)	-0.302 (0.287)	0.568	
H4	-0.679 (0.135)	-0.040 (0.862)	0.323	
H5	1.167 (0.070)	-0.337 (0.302)	0.114	
<b>Incidence density of CAUTI</b>				
<b>Variation over time</b>				
<b>Coefficient <math>\beta</math> (p-value)</b>	0.300 (0.292)	-0.351 (0.009)	-	↓45.0%
<b>Assessment by hospital<sup>b</sup></b>				
H1	-1.131 (0.530)	-0.720 (0.012)	0.035	
H2	0.097 (0.688)	-0.859 (<0.001)	<0.001	
H3	0.624 (0.252)	-0.479 (0.036)	0.057	
H4	0.191 (0.256)	0.264 (0.377)	0.355	
H5	-0.282 (0.490)	-0.111 (0.304)	0.439	
<b>Incidence density of CLABSI <sup>a</sup></b>				
<b>Variation over time<sup>b</sup></b>				
<b>Coefficient <math>\beta</math> (p-value)</b>	0.251 (0.333)	-0.252 (0.068)	-	NS
<b>Assessment by hospital<sup>b</sup></b>				
H1	2.611 (0.016)	0.059 (0.841)	0.055	
H2	0.296 (0.275)	-0.202 (0.152)	0.198	
H3	-0.171 (0.745)	0.021 (0.905)	0.942	
H4	0.118 (0.699)	-0.748 (0.022)	0.067	
H5	-0.181 (0.386)	-0.408 (0.308)	0.408	

203 <sup>a</sup> Before the intervention the date for the period between July and December 2017 (6 months)

204 <sup>b</sup> Linear regression model for each period

205 NS – No significant variation

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211 **Process indicators**

212 **VAP**

213 The drop in the rate of monthly percentage utilization of mechanical ventilation  
214 in the 5 ICUs from  $61.2 \pm 5.5$  to  $54.5 \pm 5.1$  ( $p = 0.002$ ) demonstrated a correlation with  
215 around 50% ( $r = 0.485$ ,  $p = 0.007$ ) in the final drop of the VAP ID of 33.8%. A low  
216 adherence to the preventive measures was recorded (median 48%) and no correlation with  
217 the ID ( $0.079$ ,  $p = 0.487$ ). There was no correlation between the number of monthly  
218 meetings held and the VAP ID. (Table 3). Description by hospital regarding the utilization  
219 rate of mechanical ventilation and adherence to the single prevention package is presented  
220 in Supplementary Table 1.

221 **CAUTI**

222 There was a reduction in the monthly percentage utilization rate of UCs in the 5  
223 ICUs from  $60.6 \pm 7.9$  to  $43.4 \pm 6.1$  ( $p = <0.001$ ) demonstrating a correlation of 37% ( $r =$   
224  $0.374$ ,  $p = 0.042$ ) with the final reduction in the ID of 45%. Preventive measures for UC  
225 insertion and maintenance demonstrated a median adherence of 79% and 71%,  
226 respectively, and there was no correlation with the CAUTI ID. There was no correlation  
227 between the number of monthly meetings held and the CAUTI ID. (Table 3). Description  
228 by hospital of the UC utilization rate and the respective adherence to the preventive  
229 insertion and maintenance measures is presented in Supplementary Table 2.

230

231 **CLABSI**

232 The monthly percentage utilization rate of the central venous catheter decreased  
233 from  $81.7 \pm 3.1$  to  $77.2 \pm 2.9$  ( $p = <0.001$ ) but there was no correlation with a reduction  
234 in the CLABSI ID. The median adherence to the preventive measures for CVC insertion  
235 of 63% correlated with 53% ( $r = -0.535$ ,  $p = 0.041$ ) of reduction in the ID (not significant).

236 The median adherence to the maintenance measures was 53% and there was no  
 237 correlation with the ID of the infection. There was no correlation between the number of  
 238 monthly meetings held and the CLABSI ID. (Table 3). Description by hospital of the  
 239 CVC utilization rate and respective adherence to preventive insertion and maintenance  
 240 measures is presented in Supplementary Table 1.

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242 **Table 3. Analysis of the process indicators in relation to the IDs of the HAIs**

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Process indicators	Before intervention 2017	During intervention 2018 - 2019	p-value	Correlation coefficient (p-value)
<b>Mechanical ventilation utilization rate</b>				
Mean ±SD (in days)	61.2±5.5	54.5±5.1	0.002	0.485 (0.007) <sup>c</sup>
<b>Adherence to preventive measures of VAP (in %)</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )	-	48 (12 – 84)	-	0.079 (0.487)
<b>Number of monthly meetings</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )	-	2.7 (1.8 – 3.2)	-	0.049 (0.847)
<b>UC utilization rate</b>				
Mean ±SD (in days)	60.6 ± 7.9	43.4 ± 6.1	<0.001	0.374 (0.042)
<b>Adherence to preventive measures for INSERTION of UC (in %)</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )		79 (50 – 100)	-	-0.169 (0.138) <sup>d</sup>
<b>Adherence to preventive measures for MAINTENANCE of UC (in %)</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )	-	71 (60 – 77)	-	0.289 (0.342)
<b>Number of monthly meetings</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )	-	2.7 (1.8 – 3.2)	-	0.195 (0.437)
<b>CVC utilization rate</b>				
Mean ±SD (in days)	81.7 ± 3.1	77.2 ± 2.9	<0.001	-0.199 (0.291) <sup>b</sup>
<b>Adherence to preventive measures for INSERTION of CVC (in %)</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )	-	63 (53 – 73)	-	-0.535 (0.041) <sup>b</sup>
<b>Adherence to preventive measures for MAINTENANCE of CVC (in %)</b>				

Median (P <sub>25</sub> – P <sub>75</sub> )	-	53 (47 – 58)	-	0.005 (0.986)
<b>Number of monthly meetings</b>				
Median (P <sub>25</sub> – P <sub>75</sub> )	-	2.7 (1.8 – 3.2)	-	0.288 (0.264)

<sup>a</sup> Data collection on adherence to the preventive measures took place from July/2018; <sup>b</sup> Correlation with CLABSI ID; <sup>c</sup> Correlation with VAP ID; <sup>d</sup> Correlation with CAUTI ID

## Discussion

Our study has demonstrated that the “Improvement Model” method is effective in implementing projects for healthcare improvement in public hospitals aided by philanthropic hospitals of excellence (HE)<sup>12</sup>. After just a few months of preventive interventions in ICUs, a significant result was obtained in reducing VAP and CAUTIs. Internal infection control programs were already in place in ICUs, however, the incidence of HAIs remained high. Thus, this project, promoted by the Brazilian Ministry of Health, based on the improvement model of the BTS-IHI<sup>5</sup>, provided the ICUs with an opportunity to gradually implement or ratify the preventive measures of the bundles, using a new methodology whereby participation by the areas in question (ICUs) gained prominence and empowerment over the infection prevention process.<sup>13</sup>

The main result indicators of this quasi-experimental study, in relation to the group of 5 ICUs studied, were an average monthly decrease of 0.427 (p = 0.002) in the VAP ID and 0.351 (p = 0.009) in the CAUTI DI over a period of 18 months. The final reduction during this period of the VAP ID by 34% and the CAUTI ID by 45%, is in accordance with the prevention percentages obtained in the revised multifaceted interventions, from 2005-2016, by Schreiber et al, also including high-income countries. The authors considered that the potential for reducing HAI by around 30 to 50%, through evidence-based strategies, demonstrates that the current recommendations have not been sufficiently implemented.<sup>15</sup> The mean monthly reduction of CLABSI ID by 0.218 (p = 0.07) was not significant, although it demonstrated a downward trend and reflects the

269 need for more follow-up time for this measure. It is probable that the moderate adherence  
270 to the preventive measures for CLABSIs (63% and 53%), even resulting in a reduction in  
271 the monthly percentage of the CVC utilization rate from  $81.7 \pm 3.1$  to  $77.2 \pm 2.9$  ( $p = <$   
272  $0.001$ ) was insufficient to reduce the incidence of CLABSIs. Our result coincides with  
273 the findings of a large study on implementing the CLABSIs bundle in ICUs in the USA,  
274 which demonstrated that CLABSIs are only reduced when adherence to the bundle is at  
275 least 95% .<sup>16</sup>

276         The length of stay and mortality throughout the 18 months did not significantly  
277 decrease. In part, this may signify that patient death with HAIs is not always only  
278 attributed to this adverse event<sup>17</sup> and, since there are multiple causes, the role of infection  
279 is not always clear.<sup>4</sup> However, since it is indisputable that HAIs increase mortality and  
280 hospital stay<sup>18</sup> we believe that the reductions obtained in the ID were not sufficient to  
281 alter the length of stay and mortality in the ICUs.

282         With regard to the process indicators in the prevention of VAP, a decrease was  
283 observed in the rate of the monthly percentage utilization of mechanical ventilation in the  
284 5 ICUs, from  $61.2 \pm 5.5$  to  $54.5 \pm 5.1$  ( $p = 0.002$ ) which correlates with around 50% (0.485,  
285  $p = 0.007$ ) of the reduction, at the end of the period, in the VAP ID. The importance of  
286 the utilization rate and its reduction explain the significant reduction in VAP ID even with  
287 insufficient adherence to the bundle.<sup>19</sup> However, it is important to emphasize that the  
288 median of 38% adherence to the preventive measures of VAP is not realistic, since there  
289 occurred a divergence in the understanding of the cuff pressure measurement  
290 methodology, thereby negatively interfering with the assessment of the adherence to the  
291 bundle. In summary, the 35% reduction in the VAP ID was associated with a drop in the  
292 utilization of mechanical ventilation ( $r=0.485$ ,  $p=0.007$ ), and a possible contribution from  
293 other preventive measures although registered at low levels.

294 In processes involving the prevention of CAUTI, there was also a significant  
295 decrease in the monthly percentage utilization of UCs in the 5 ICUs, from  $60.6 \pm 7.9$  to  
296  $43.4 \pm 6.1$  ( $p = <0.001$ ) representing a 37% correlation with a reduction in the CAUTI  
297 ID at the end of the period ( $0.374$ ,  $p = 0.042$ ). Adherence to the preventive measures for  
298 insertion and maintenance of the UC presented a median of 79% and 71%, respectively,  
299 and there was no correlation with the CAUTI ID. As Titsworth demonstrated, there is a  
300 linear relationship between the UC utilization rate and the CAUTI ID that explains the  
301 45% reduction in the ID.<sup>20</sup>

302 Unlike most intervention projects that aim to reduce just one or two HAIs,<sup>8,15</sup> our  
303 study has demonstrated that it is possible to confront 3 HAIs while at the same time  
304 expanding interventions. This aspect is corroborated by the work of Miller et al.<sup>21</sup> when  
305 relevant reductions were obtained in ICUs of the 3 HAIs over an intervention period of 2  
306 years and in the same follow-up period. This seeks to improve care and the overall safety  
307 culture in the work unit, since it is known that there are many other infections that have  
308 been generated by health care, little studied in projects<sup>15</sup>, which indirectly may be avoided  
309 by improving the patient care.

310 The data on infections were collected by the hospitals themselves by trained  
311 professionals, using the criteria of the CDC.<sup>14</sup> The major flaws in executing the project  
312 were those related to registering and adherence to bundles, which did not occur in the  
313 expected frequencies (Table 3), and which differs from the improvement results of the  
314 VAP and CAUTI IDs. Thus, in contrast to the improvement in results, we also observed  
315 that some items in the bundles were not executed, there was an insufficient number of  
316 audits and that executing professionals failed to complete the medical records.  
317 Additionally, the hospitals participating in this research considered that the methodology  
318 for measuring the cuff pressure of the orotracheal tube produced measurement

319 inconsistencies, which should have been standard, thereby temporarily affecting the  
320 faithful measurement of adherence. All these facts explain the difficulty in assessing the  
321 true adherence to bundle items. Certainly, the gaps in execution are related to the results,  
322 although the exact preventive contribution of each item in the bundles is unknown.<sup>15</sup> It is  
323 our conclusion that with a lower utilization of devices, even with limited adherence to the  
324 bundles, there was a significant reduction in infections.

325         Despite the difficulties in achieving the adherences, we believe that this study may  
326 be applied to other ICUs as a successful experience within a brief period of time. There  
327 are a number of limitations to this study for being quasi-experimental,<sup>22</sup> rather than  
328 randomized, however, when planning the study, it was considered unethical to maintain  
329 a control group without receiving preventive measures.

### 330           **Conclusion**

331         Given the success in reducing VAP and CAUTI, there is no doubt regarding the  
332 success of the collaborative project, using improvement cycles. The remaining challenges  
333 are to guarantee a 95% adherence to the CLABSI prevention bundles, as well as the  
334 continued encouragement and involvement of the teams in the processes for consolidating  
335 the results. This partnership among public hospitals/HE may be applied to other ICUs  
336 including countries with fewer resources.

## List of abbreviations

<b>BTS</b>	Breakthrough Series Collaborative method
<b>CAUTIs</b>	Catheter-related urinary tract infections
<b>CDC</b>	US Centers for Disease Control and Prevention
<b>CLABSI</b> s	Central line-associated bloodstream infections
<b>CVC</b>	Central venous catheter
<b>GEE</b>	Generalized Estimating Equation
<b>HAI</b> s	Healthcare-associated infections
<b>HE</b>	Brazilian philanthropic hospitals of excellence
<b>ICU</b>	Intensive care units
<b>ID</b>	Incidence density
<b>IHI</b>	Institute of Healthcare Improvement
<b>PDSA</b>	Plan-Do-Study-Act rapid cycle testing
<b>PROADI-SUS</b>	Institutional Development Program of the Integrated Health System
<b>QIP</b> s	Quality improvement programs
<b>SUS</b>	Integrated Health System in Brazil
<b>UC</b>	Urinary catheter
<b>UFPE</b>	Federal University of Pernambuco
<b>VAP</b>	Ventilator associated pneumonia

## **Declarations**

### **Ethics approval and consent to participate**

This research was promoted and authorized by the Ministry of Health, carried out through the Institutional Development Program of the Integrated Health System PROADI-SUS<sup>12</sup> and approved by the Ethics Committee of the Hospital das Clínicas - UFPE, under No. 3,307,293.

### **Consent for publication**

Not applicable

### **Availability of data and materials**

The data that support the findings of this study are available from Brazilian Ministry of Health and Institutional Development Program of the Integrated Health System - PROADI-SUS but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are however available from the authors upon reasonable request and with permission of Brazilian Ministry of Health and Institutional Development Program of the Integrated Health System - PROADI-SUS.

### **Competing interests**

The authors declare that they have no competing interests.

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### **Authors' contributions**

LWM, CLV and HRL designed the study, supervised the data collection, analysis and interpretation. MVA, BRS, MGC, MFS and MCA participated in the interpretation of results and assisted in manuscript write-up. All authors read and approved the final manuscript.

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