

# Multi-level and Precise Evaluation of Slope Stability in Large Open-pit Mines: a Case Study at Dexing Copper Mine, China

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## Research Article

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1 **Multi-level and precise evaluation of slope stability in large**  
2 **open-pit mines: a case study at Dexing Copper Mine, China**

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41 **Abstract:** As the mining industry developed significantly in the last decades and the depth of  
42 open-pit mining has increased, the stability of large open-pit slopes has become a major  
43 problem directly related to the safety production and development of a mine. However, the  
44 overall slope is indeed safer than the local slope based on the existing stability estimation  
45 methods. So the existing methods of directly analyzing the stability of the overall slope will  
46 produce some errors in the calculation results of the safety factor, and cannot  
47 comprehensively reflect the stability of the mine slope. Based on these problems, this paper  
48 adopted the idea of gradual analysis and the precise determination of discontinuity properties  
49 to perform a limit equilibrium analysis for the evaluation of mine slope stability. A case study  
50 slope, referred to as Yangtaowu Slope in Dexing Copper Mine, was selected for the  
51 demonstration of the accuracy of this approach. The results indicate that the adopted method  
52 can accurately reflect the actual stability of the slope, especially when the mine slope is near  
53 the critical state of stability.

54 **Keywords:** mine slope; shear strength; graded analysis; stability assessment

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## 57 1. Introduction

58 The calculation and determination of slope safety factor is a significant component for  
59 slope stability analysis. With the use of computer technology, numerical simulation has  
60 become the predominant approach to calculate the factor of safety of slopes. New methods for  
61 calculating the safety factor have been proposed, from qualitative analysis to  
62 semi-quantitative analysis, to quantitative analysis, from the limit equilibrium method (Cheng  
63 et al., 2007; Lam and Fredlund, 1993; Wei and Cheng, 2010; Wei et al., 2009) to strength  
64 reduction method (Lin et al., 2013; Shen and Karakus, 2014), to gravity increase method (Li  
65 et al., 2009). Recently, slope stability analysis using a double reduction method was proposed  
66 (Bai et al., 2014; Chen et al., 2020; Deng et al., 2017). Irrespectively of what method is  
67 proposed, the purpose is to determine the slope stability accurately (Johari et al., 2013; Tang  
68 et al., 2016). Yin et al. (2020) have proposed a precise evaluation method for the stability  
69 analysis of multi-scale slopes by establishing numerical models of slopes with various scales  
70 as well as different grid shapes and sizes to conduct stability analysis.

71 However, one common assumption made in most of the existing slope stability analysis  
72 methods is that the physical model adopted for calculation has a regular geometry with  
73 materials characterized by isotropic behavior. To a certain extent, this assumption weakens the  
74 difference between the calculation model and the strength parameters in the stability of the  
75 mine slope, which leads to inaccuracies. This assumption could lead to an unreliable  
76 geometrical model and potential slip surface, as well as inaccuracies in the determination of  
77 strength parameters, and ultimately, inaccuracies in computing the safety factor of a slope.  
78 Chen and Dubey (2003) pointed out that if the model corresponds closely to the process we  
79 can safely infer the process's behavior from the model's behavior. Shi et al. (2004)  
80 emphasized that only the accurate calculation could reflect the stability of mine slope  
81 correctly. Du et al. (2017) considered that the spatial locations and scales of rock  
82 discontinuities have various influences on different types of mine slopes due to the controlling  
83 effect of rock mass structure and proposed a graded analysis method for the stability  
84 assessment of open-pit mine slopes.

85 The same authors noted that the spatial locations of rock discontinuities should match the  
86 corresponding part on the slope and the rock joint scale should match the slope scale, which  
87 could provide the necessary conditions for accurately evaluating the slope stability of large  
88 open-pit mines. To improve the accuracy of stability calculation via controlling the geometric  
89 error and calculation error, Du (2018) proposed the equal accuracy assessment method for  
90 slope stability of large open-pit mines. To evaluate accurately the slope stability, this paper  
91 adopted the idea of graded analysis and the precise evaluation technology of discontinuities  
92 for slope stability analysis.

93 A typical case of a slope in the Dexing Copper Mine, called Yangtaowu slope, was  
94 selected for verification of the accuracy of the proposed method. Initially, the global and local  
95 stability of the mine slope were analyzed by graded analysis, and the failure mode of the mine  
96 slope, as well as the potentially unstable slopes, was determined. Also, the potential slip  
97 surface and its potential slip direction were determined, and the accurate calculation model of  
98 the slope was established. Later the precise strength parameters of the potential sliding surface  
99 in the slip direction were obtained using the precise evaluation technique of the rock mass.  
100 Finally, the slope safety factor was calculated by the Morgenstern-Price method, and the  
101 results were compared with the existing method under the same conditions. The accuracy of  
102 the adopted method was proved, providing an important reference for the accurate decision,  
103 design and exact construction of the unstable slope.

## 104 **2. Methodology**

### 105 *2.1. Graded analysis for slope stability assessment*

106 The Technical Code (GB 51016-2014 2014) points out that the calculation method and  
107 parameter determination of slope stability should be based on the analysis of slope failure  
108 mode. The failure mode is determined according to the geological interface, the type of  
109 geological structure and its spatial combination. Only through the research based on the  
110 characteristics of rock mass discontinuity and the graded study of slope structure can the key  
111 discontinuity and its combination, which control slope stability, be found out systematically  
112 and a reasonable geo-mechanical model can be provided for slope stability analysis. Therefore,

113 in the evaluation of slope stability for large open-pit mines, the influence of different positions  
114 and different sizes of structures on slope stability should be taken into consideration. The  
115 graded stability analysis of mine slope must be carried out to determine the global stability  
116 and local stability.

117 The spatial locations of rock joints should match the corresponding part on the slope, and  
118 the rock joint scale should match the slope scale. According to the matching performance on  
119 the relative spatial locations and sizes, the stability of the overall slope, composite-bench  
120 slopes and all single bench slopes were systematically analyzed to find out the key joints and  
121 their combinations. Based on systematic, detailed field investigation and accurate description,  
122 the graded analysis of large open-pit mine slope stability is a process of analyzing slope  
123 stability by stratification and determination of the failure model. The stabilities of the entire  
124 slope, the combined bench slopes and the single bench slopes can be analyzed from global  
125 and local aspects. The graded analysis includes mainly three levels of analysis:

126 1. Level I: The stability analysis of the overall slope.

127 Based on the slope grade and scale, determined by the global mine slope design, the  
128 discontinuities developed in the rock mass of the slope are classified. Bedding, foliation,  
129 schistosity planes and fault structures whose scale is greater than or equal to  $m$  multiples of  
130 the overall slope size are regarded as penetrating discontinuities ( $m$  is the coefficient of  
131 penetration rate and values range from 0.85 to 0.95). The remaining fault structures are  
132 regarded as non-penetrating discontinuities and joints are regarded as discontinuities with  
133 small scale. According to the dipping direction and dipping angle of the global mine slope, as  
134 well as that of the penetrating discontinuities, the stereonet projection is used to analyze the  
135 global stability and determine the global failure mode of the mine slope. Similarly, the local  
136 stability and the local failure mode of mine slope should also be analyzed based on the  
137 stereonet of the non-penetrating discontinuities and the small-scale discontinuities.

138 2. Level II and III of graded analysis are the combined bench slope stability analysis and  
139 the bench slope stability analysis, respectively.

140 The analysis method is the same as that of level I, but the analyzing objects are changed from  
141 the overall slope to the combined bench slope and the bench slope.

142 Graded analysis can not only qualitatively analyze the potential failure mode of slope, it

143 also determines the sampling object for precise value of shear strength of discontinuities and  
144 provides an objective and accurate geometric model for precise stability evaluation of large  
145 open-pit mine slope.

## 146 *2.2. Accurate assessment of geometry and shear strength of structure planes*

147 The assessment of discontinuity properties is of great importance on controlling the  
148 structural stability of slopes. The determination of the shear strength parameters of rock mass  
149 discontinuity has a significant impact on the slope stability calculations.

150 The shear strength of the sliding surface of mine slope is suggested to be carried out  
151 according to the following steps:

152 (1) Identify the study object, which means finding the potential sliding surface based on  
153 the mine slope stability graded analysis.

154 (2) Slip direction analysis. Due to the existence of anisotropy (Xie et al., 2020; Du and  
155 Tang, 1993), only the shear parameters along the slip direction of rock mass discontinuity  
156 reflect the shear strength of the slope.

157 (3) Discontinuity measurement. With the assistance of measuring instruments, the joint  
158 compressive strength (JCS) of the joint walls is measured in situ and the roughness is  
159 measured in a certain orientation.

160 (4) JRC statistical analysis. The roughness coefficient of the surface contour curve of the  
161 discontinuity is calculated and statistically analyzed (Yong et al., 2017).

162 (5) The analysis of the scale effect of JRC. The relationship between JRC and joint size  
163 was obtained, and the value for engineering practice can be determined (Barton and Bandis,  
164 1980; Yong et al., 2018a).

165 (6) Rebound data analysis of discontinuity. The joint compressive strength (JCS) of the  
166 discontinuity can be determined according to the relationship between the rebound value and  
167 the strength of the joint compressive strength. Based on the quantitative relationship of the  
168 rebound value and the basic friction angle, it is easy to determine the basic friction angle and  
169 the residual friction angle of the discontinuity.

170 *2.3. Presence of faults*

171 For those slopes that their stability is controlled by fault structures, it is not reasonable to  
172 use the same approach for slope stability as in the case that the slope stability is controlled by  
173 the quality of rock mass. To evaluate the stability of slopes with intersecting faults, it is  
174 necessary to give priority to the influence of controlling faults. Thus, an accurate slope  
175 stability model must be established based on the location, geometry and trace length of fault  
176 structures (here discontinuities). The stability analysis is controlled by the structural features,  
177 such as fault planes, and thus the stability is calculated using non-circular failure surfaces.

178 **3. Case study: Yangtaowu Slope at Dexing Copper Mine, China**

179 *3.1. General*

180 Dexing Copper Mine is located in Dexing City, Jiangxi Province, China, with a total area  
181 of 37 square kilometers. Its design boundary is 2300×2400 m<sup>2</sup>, as shown in Fig. 1. The total  
182 surface area of the mine is 100 km<sup>2</sup>, with 1.63 billion tons of proven copper ore reserves and  
183 1.32 billion tons of present copper ore reserves, as well as 5 million tons of copper metals.  
184 Dexing Copper Mine, the main mine of Jiangxi Copper Industry Group Company, is the  
185 largest opencast copper mine in China and the largest opencast copper mine in Asia, which is  
186 also one of several super-large porphyry copper mines in the world.

187 Following continuous mining, the mining area has been divided into the mine slopes of  
188 Yangtaowu, Shuilongshan, Shijinyan, Niuqian and Xiyuanling. These slopes were designed  
189 with the height ranging between 200 m and 700 m in height. According to the experience of  
190 open-pit mine, the mine slope stability decreases while the potential threat increases when the  
191 vertical height of the slope is over 200 m. For such a large-scale open-pit mine, the precise  
192 study of slope stability is an important and arduous work, which is of great significance to  
193 ensure the safety of mine production, to design the optimal slope angle, and to improve  
194 economic benefits.

195 3.2. *General geologic conditions of the Yangtaowu Slope*

196 Yangtaowu Slope in Dexing Copper Mine was selected as a case study in this paper. The  
197 slope, striking near east-west and dipping to north, has a height of 290 m, a width of 210 m, a  
198 slope direction of  $10^\circ$  and a slope angle of  $30^\circ$ , with heterogeneous metamorphic rocks  
199 exposed. Due to the influence of gravity, faults, joints and other factors such as blasting  
200 vibration and groundwater, local instability has already occurred in some sections of the slope  
201 during the process of mining.

202 According to the parameters variation of slope engineering, Yangtaowu Slope can be  
203 divided into three main parts from bottom to top (as shown in Fig. 2): The -10 m combined  
204 bench slope (composed of three slopes of A(-10T), B (-10T) and C (-10T), which are located  
205 in the east, the middle and the west of the -10 m combined bench slope, respectively); The 50  
206 m combined bench slope (composed of two slopes of A (50T) and B (50T), which are located  
207 in the east and west of the 50 m combined bench slope, respectively); The 110 m composite  
208 bench slope. The field investigation found that 6 faults exist in the slope. The joints were  
209 extremely developed, resulting in the fractured rock mass. Additionally, the characteristics of  
210 fault and joints were listed in Table 1.

211 3.3. *Graded analysis on slope stability*

212 3.3.1 Level I of graded analysis to Yangtaowu Slope: The overall slope stability analysis

213 (1) Global stability analysis

214 There develops a penetrating surface extending along foliation face and cleavage face  
215 within the overall slope. As shown in Fig. 3, it is found that the slope direction is  $10^\circ$  and the  
216 slope angle is  $30^\circ$ . The occurrence of fault F7 is  $346^\circ \angle 43^\circ$ , with an inclination crossing angle  
217 of  $24^\circ$  between F7 and the overall slope. The strike of F7 is consistent with the overall slope,  
218 but the dip angle of F7 is greater than that of the overall slope. As a result, the overall slope is  
219 basically stable.

220 (2) Local stability analysis

221 The mine slope investigation focused on the stabilities of -10 m combined bench slopes,

222 thus no systematically all-around investigation of the overall slope was carried out for  
223 analyzing the local stability of the overall slope in this study.

224 3.3.2 Level II of graded analysis to Yangtaowu Slope: The combined bench slope stability  
225 analysis

226 The -10 m combined bench slope is located between -10 m platform and 50 m platform  
227 within Yangtaowu overall slope. The 20 m platform, formed by the planned mining, divides  
228 the combined bench slope into two sections. The slope angle of the upper bench slope (i.e. UP)  
229 is  $52^{\circ}\sim 59^{\circ}$ . The slope angle of the lower step slope (i.e. DOWN) is  $51^{\circ}\sim 53^{\circ}$ . The direction  
230 of A (-10T), B(-10T) and C(-10T) combined bench slope are  $6^{\circ}$ ,  $350^{\circ}$  and  $16^{\circ}$ , respectively.  
231 There are four faults (fault F1, fault F2, fault F3, fault F4) and three groups of joints (joint J1,  
232 joint J2, joint J3), within the -10 m combined bench slope. The pump station for water  
233 drainage at the pit bottom has been set up on the bottom of the -10 m platform. Furthermore,  
234 only B(-10T) combined bench slope (i.e. B (-10T) in brief) was selected to be an example to  
235 conduct stability analysis via precise evaluation in this paper. The location of B (-10T) is  
236 shown in [Fig. 4](#).

237 (1) Global stability analysis

238 As the penetrating discontinuity of the slope, faults control the global stability of the B  
239 (-10T). It can be seen from [Fig. 5](#) that the slope direction of the B (-10T) is  $350^{\circ}$  and the slope  
240 angle is  $47^{\circ}$ . The occurrence of fault F2 is  $345^{\circ}\angle 45^{\circ}$ , with an inclination crossing angle of  $5^{\circ}$   
241 between F2 and B (-10T). The occurrence of fault F3 is  $347^{\circ}\angle 42^{\circ}$ , with an inclination  
242 crossing angle of  $3^{\circ}$  between F3 and B (-10T). The strikes of F2 and F3 are both consistent  
243 with the B (-10T), but the dip angles of F2 and F3 are smaller than that of the B (-10T).  
244 Therefore, it is likely for the B (-10T) to have single plane slip failure occurred along F2 or  
245 F3. Besides, there is also a group of penetrating joint J1 inclining to the inside of the B (-10T),  
246 with the occurrence of  $103^{\circ}\angle 86^{\circ}$  and an inclination crossing angle of  $113^{\circ}$  between J1 and B  
247 (-10T). Although the B (-10T) is stable under the influence of the penetrating joint J1, it  
248 provides the cutting boundary for slope, increasing the possibility of single plane slip failure.  
249 From the above, it is highly possible for the B(-10T) to take J1 as the cutting boundary and  
250 have the single plane slip failure occurred along F2 or F3.

251 (2) Local stability analysis

252 Joint, though with small scale, share the control of the local stability of the combined  
253 bench slope with the penetrating discontinuity such as faults.

254 As shown in Fig. 6, the local stability of B (-10T) is controlled by fault F2, F3,  
255 penetrating joint J1 and non-penetrating joint J2. The slope direction is  $350^\circ$  and the slope  
256 angle is  $47^\circ$ . The B (-10T) has faults F2 and F3 developed. And the occurrence of fault F2 is  
257  $345^\circ \angle 45^\circ$ , with an inclination crossing angle of  $5^\circ$  between F2 and B (-10T). The occurrence  
258 of fault F3 is  $347^\circ \angle 42^\circ$ , with an inclination crossing angle of  $3^\circ$  between F3 and B (-10T).  
259 The strikes of F2 and F3 are both consistent with the B (-10T), but the dip angles of F2 and  
260 F3 are smaller than that of the B (-10T). As a result, it is possible for the B (-10T) to have  
261 single plane slip failure occurred along F2 or F3. There is also a group of penetrating joint J1  
262 inclining to the inside of the B (-10T), with occurrence of  $103^\circ \angle 86^\circ$  and an inclination  
263 crossing angle of  $113^\circ$  between J1 and B (-10T), and a group of non-penetrating joints J2,  
264 with occurrence of  $281^\circ \angle 69^\circ$  and an inclination crossing angle of  $69^\circ$  between J2 and B  
265 (-10T). It is easy to conclude it is impossible for the local slip failure to occur along J2 for  
266 joint angle of J2 ( $69^\circ$ ) is greater than the slope angle ( $47^\circ$ ).

267 Although the B (-10T) is locally stable under the influence of the non-penetrating joint  
268 J2, it provides the cutting boundary for slope, increasing the possibility of single plane slip  
269 failure along fault F2 and F3. From the above, it is highly possible for the B(-10T) to take J2  
270 as the cutting boundary and have the single plane slip failure occurred along F2 or F3. And  
271 the local stability is similar to the global stability of B(-10T).

### 272 3.3.3 Level III of graded analysis to Yangtaowu Slope: The bench slope stability analysis

273 The combined bench slopes B(-10T) was selected to conduct the bench slope stability  
274 analysis. The upper and lower bench slopes of the B (-10T) were named as B (-10T)-U, B  
275 (-10T)-D for convenience.

276 (1) Global stability analysis

277 Foliation has developed within the B(-10T)-U and B(-10T)-D, in which there are two  
278 penetrating faults (i.e. F2 and F3) developing and extending along the foliation. F2 and F3  
279 have a similar occurrence with the foliation. From the analysis of stereographic projection

280 (Fig. 7), it is similar to the failure mode of B (-10T), B (-10T) -U and B (-10T) -D are both  
281 likely to be cut off by joint J1 and have the single plane slip failure occurred along fault F2 or  
282 F3.

283 (2) Local stability analysis

284 Fig. 8 shows the local stability of B(-10T)-U and B(-10T)-D is controlled by fault F2,  
285 fault F3, penetrating joint J1 and non-penetrating joint J2. And the local stability of bench  
286 slope is similar to that of global stability, that is, B (-10T) -U and B (-10T) -D are both likely  
287 to be cut off by joint J2 and have the single plane slip failure occurred along fault F2 or F3.

## 288 **4 Stability assessment of Yangtaowu Slope**

### 289 *4.1. Precise evaluation of geometry and shear strength of structure planes*

290 Taking the B(-10T) slope as an example, the shear strength parameters were determined.  
291 The results of graded analysis illustrate that it is of great possibility for the B(-10T) to have  
292 single plane slip failure occurred along fault F2 and F3. The fault F2 and F3 are basically the  
293 same in lithology and occurrence, with close spatial position and alike rough and undulating  
294 characteristics, and they have similar physical and mechanical properties. Therefore, fault F2  
295 was select to investigate the precise value of the shear strength of discontinuity.

#### 296 (1) Precise sampling of discontinuity for JRC measurement

297 In the field, the measuring lines were uniformly arranged with 10cm as the interval along  
298 the inclination direction on the surfaces of fault F2, and 35 surface contour curves of 40 cm in  
299 length were plotted using the profilometer (as shown in Fig. 9a) (Yong et al., 2018b). The  
300 recorded joint profiles are tabulated in Table 2. A large-scale engineering scanner was used to  
301 scan the drawings of the contour curves of the discontinuity and convert them into picture  
302 format texts.

303 Based on the morphological filtering denoising and image normalization method (Yong  
304 et al., 2017), MATLAB was used to extract the scanning drawing of the contour curves of the  
305 discontinuity according to the gray value. Using the relationship between the actual length of  
306 the discontinuity and the size of the digitized matrix of the graph, the coordinate data of each

307 profile curve at 0.5 mm spacing were automatically read and stored.

308 Then the roughness coefficients of the surface contour curves were calculated and  
309 statistically analyzed by adopting the sampling length of  $L_1 = 10$  cm,  $L_2 = 20$  cm,  $L_3 = 30$  cm,  
310  $L_4 = 40$  cm for each contour curve, respectively. The calculation results are tabulated in [Table](#)  
311 [3](#).

312 According to the JRC measurement results, the average values of JRC with sampling  
313 length of 10 cm, 20 cm, 30 cm, 40 cm were obtained, denoting as  $JRC_1$ ,  $JRC_2$ ,  $JRC_3$ ,  $JRC_4$ ,  
314 respectively. The result is shown in [Table 4](#).

315 (2) Determination of fractal dimension of JRC considering size effect

316 Du et al. (2015) proposed the JRC fractal Model considering scale effect as follows :

$$JRC_n = JRC_1 \left( \frac{L_n}{L_1} \right)^{-D} \quad (1)$$

317 Then, the fractal dimension of JRC can be expressed as follows:

$$D = \frac{\lg(JRC_1 / JRC_n)}{\lg(L_n / L_1)} \quad (2)$$

318 Where  $n=2, 3, 4$ .

319 Considering scale effect, the fractal dimensions of the roughness coefficient (i.e.  $D_1$ ,  $D_2$ ,  
320  $D_3$ ,  $D_4$ ) were obtained via [Eq. \(2\)](#). Then, the predicted fractal dimension  $D_n^*$  of JRC, was  
321 calculated by [Eq. \(3\)](#) considering the weight value of  $D_2$ ,  $D_3$  and  $D_4$  in size.

$$D_n^* = \frac{L_2-L_1}{L_2-L_1+L_3-L_1+L_4-L_1} D_2 + \frac{L_3-L_1}{L_2-L_1+L_3-L_1+L_4-L_1} D_3 + \frac{L_4-L_1}{L_2-L_1+L_3-L_1+L_4-L_1} D_4 \quad (3)$$

322 The predicted value was considered approximately equal to the actual value, then  $D_n^*$   
323 could be represented by  $D_n$ . The calculated  $D_n$  of calculation results of fault F2 is 0.214.

324 (3) Determination of effective sampling length of the discontinuities

325 In previous studies, the scale effect of joint roughness was found by many researchers.  
326 JRC generally decreases with the increase of discontinuity size, and it remains stable when  
327 the sample size exceeds a certain length (Fardin et al., 2001). The size is called the stable  
328 threshold length of JRC, which is labeled as  $L_n^*$ . Defining  $f$  as the coefficient of JRC size  
329 effect when sampling length is  $L_n$  (Du et al., 2015). The relation curves between  $f$  and  $L_n$  of  
330 fault F2 are plotted in [Fig. 10](#).

$$f = \frac{(0.1L_n)^{-D_n^*} - (0.1L_n - 1)^{-D_n^*}}{2^{-D_n^*} - 1} \quad (4)$$

331 In Fig. 10, it indicates that when  $f = 0.05$ , the  $L_n$  equals to 170 cm. Thus, the effective  
332 sampling length of fault F2 was 170 cm.

333 (4) Determination of the predicted value of joint roughness coefficient of actual discontinuity

334 According to the actual value of  $D_n$  and the sampling length  $L_n=170$  cm of fault F2, the  
335 JRC<sub>n</sub> of discontinuity with actual dimension were obtained based on Eq.1. Therefore, the  
336 JRC<sub>n</sub> of potential slip plane corresponding to F2 was 5.883.

337 (5) Determination of the JCS of the discontinuity

338 On the potential slip surface (fault F2), at least 16 points were measured by the Schmitt  
339 rebound apparatus (as shown in Fig. 9b). The maximum 3 values and the minimum 3 values  
340 were eliminated, and the arithmetic mean of the residual rebound value was calculated. Note  
341 that, the measuring points should avoid voids, peeling and edge when measuring. In Table 5,  
342 the rebound values of fault F2 are mainly distributed in a range of 40 MPa to 48 MPa. The  
343 calculated JCS value of fault F2 based on the average rebound values was 41.7 MPa.  
344 Considering a series of severe typhoon rainstorms, the rock mass in the mine slope was wet  
345 and saturated. Therefore, the measured rock mechanical parameters reflected the basic  
346 mechanical properties of rock under a saturated state.

347 According to the relation between the rebound value and the joint compressive strength  
348 proposed by Deere and Miller (1966), the joint compressive strength value of fault F2 was  
349 81.99 MPa when its weight was adopted as 25 kN/m<sup>3</sup>. Under the saturated condition, JCS<sub>n</sub> of  
350 fault F2 and F4 were calculated to be 33.05 MPa based on Eq. 5.

$$JCS_n = JCS_1 \left( \frac{L_n}{L_1} \right)^{-1.5D} \quad (5)$$

351 (6) Determination of residual friction angle of discontinuity

352 Based on the linear relationship between rebound value and the basic friction angle of  
353 the discontinuity (Chen et al., 2005), the basic friction angles of potential slip plane obtained  
354 as 26.54° for fault F2.

$$\phi_b = 0.414N + 9.273 \quad (6)$$

355 *4.2. Slope stability precise evaluation*

356 Here, the global stabilities of combined bench slope B (-10T) were calculated as an  
357 example to show the slope stability precise evaluation.

358 Based on the detailed investigation of B(-10T) and the result of the total station  
359 orientation survey, the geometric model of B(-10T) was obtained, which is shown in [Fig. 11a](#).  
360 The generalization calculation model is shown in [Fig. 11b](#). The calculation parameters of  
361 B(-10T) are shown in [Table 11](#). The stability of B(-10T) was analyzed by the existing  
362 generalized stability evaluation method and the precise evaluation method, and the safety  
363 factor of B(-10T) was calculated under the influence of the most unfavorable factors of  
364 saturation, fissure water, gravity and blasting vibration. According to the actual situation of  
365 Dexing Copper Mine and the reference of the experience of similar mines in China and  
366 elsewhere, the equivalent vibration acceleration coefficient of 0.0392 was adopted. The  
367 calculated safety factors are shown in [Table 7](#).

368 The calculated results illustrate that the safety factors of B(-10T) are both less than 1.05  
369 under the most unfavorable condition, for both the precise or the traditional generalized  
370 evaluation method. The evaluation results are consistent with each other. The slope B(-10T) is  
371 unstable and will fail under the most unfavorable working conditions.

372 In fact, on November 6, 2014, the slope of B(-10T) had the first larger-scale slide  
373 occurred (i.e. B1, in brief), the sliding area was up to 1451 m<sup>2</sup> and the volume of the sliding  
374 body was 3550 m<sup>3</sup>. The precise evaluation result is consistent with the actual slope stability,  
375 which also proves the validity and correctness of the precise evaluation method.

376 After the first evaluation, the slope surface of B (-10T) had changed and the potential  
377 slip surface had turned from fault F2 to F3. In order to ensure the safety of life and property, it  
378 is necessary to evaluate the stability of B(-10T) again. The calculating geometry model was  
379 obtained as shown in [Fig. 12a](#). The generalization calculation model is shown in [Fig. 12b](#). The  
380 stability of B(-10T) was also analyzed under the most unfavorable conditions by the  
381 traditional generalized evaluation method and the precise evaluation method. The calculated  
382 safety factors are shown in [Table 7](#).

383 The results of the second stability calculation show that the calculated safety factor of the  
384 precise evaluation method is 1.061, greater than 1.05. The stability is weak, but the slope will  
385 not fail without any other influences. While the calculated safety factor of the traditional  
386 generalized evaluation method is 0.904 and the failure must occur. The evaluation results of  
387 two methods deviate from each other. Actually, the slope of B(-10T) was stable at that time,  
388 which reflected the accuracy of precise evaluation method again.

389 However, the precise evaluation result is quite close to 1.05, which means B(-10T) is  
390 vulnerable to other influences, resulting in the slope failure. To eliminate the threat of  
391 potential sliders on the safety of construction personnel and equipment at fixed pumping  
392 stations, on March 15, 2016, slope workers planned to cut the lower part of the B(-10T) by  
393 blasting. The third stability evaluation was carried out. The geometric model, calculation  
394 parameters and calculation method adopted were same as the second evaluation. The  
395 calculation models are shown in [Fig.13](#), and the third evaluation results were shown in [Table](#)  
396 [7](#).

397 [Table 7](#) indicates that experiencing blasting cutting, the safety factor of B(-10T),  
398 calculated by the precise evaluation method, reduces to 1.026. It indicates that the slope failed  
399 after the excavation. In fact, the second large-scale slide of B(-10T) occurred after unloading  
400 (i.e. B2, in brief), the sliding area was up to 1035 m<sup>2</sup>, and the slip body was 3200 m<sup>3</sup>. The  
401 comprehensive comparison of stability calculation results of B(-10T) before and after  
402 excavation (i.e. the second and the third evaluation results) with the actual stability was made,  
403 and it can be deduced that, compared to the existing evaluation method, the precise evaluation  
404 method is more accurate in calculating the safety factor, and it is able to reflect slope stability  
405 more truthfully.

406 The locations of these slopes are as shown in [Fig. 14](#), and different colors correspond to  
407 different slopes. The dark yellow and red arrows represent the first and second landslides (B1  
408 and B2), respectively. The bright yellow rectangle is the location of residual slope B. The  
409 cyan rectangle was unloaded on the slope foot. It can be seen from [Fig. 19](#) that the residual  
410 slope B is located between B1 and B2, and the slope surface is just the sliding surface of B2.  
411 Besides, big security risks may exist due to the intersection of fault F2 and F3. In order to

412 ensure the safe operation of the fixed pumping station below, it is necessary to obtain the  
413 accurate stability of the residual slope B.

414 According to the field investigations, it is very likely that the residual slope B will be cut  
415 off by J1 as the cutting boundary, and have the single plane slip failure occurred along the  
416 fault F2. The calculation model of the residual slope B is shown in Fig. 15. The results of the  
417 stability calculation are shown in Table 7.

418 As Table 7 illustrates that the safety factor of the residual slope B is greater than 1.05  
419 even under the most unfavorable working conditions, with weak stability. But it will not fail if  
420 only influenced by the current impact factors. Under the same conditions, the calculated result  
421 of the existing generalized method is 0.967, less than 1.05, which indicates that the residual  
422 slope B has already failed. In fact, the residual slope B is still in a stable state at present and  
423 there is no incident of landslide, which is consistent with the precise evaluation result and  
424 contrary to the generalization evaluation results. The comparison between the prediction and  
425 the actual situation further shows the correctness and superiority of the precise evaluation  
426 method in calculating the safety factor of slope.

## 427 **5 Conclusions**

428 Accurate, reasonable geometric models and precise, credible strength parameters are the  
429 basis of slope stability evaluation. An ideal condition is to have available a complete  
430 understanding of the characteristics of all the elements of the slope. However, due to the  
431 uncertainty of a rock mass, and the limitation of surveying technology, as well as the  
432 subjective experience, the stability analysis of a slope in large open-pit mines is often carried  
433 out by a general approach using numerical simulation methods. The slope surface and  
434 potential slip plane are considered linear, and the rock strength parameters are determined by  
435 rock strength reduction, which may have a significant difference compared to the actual  
436 parameters, leading to significant errors in the process of modeling and the slope stability  
437 results.

438 (1) Based on the engineering characteristics, Yangtaowu slope was analysed at three  
439 levels: the overall slope, the combined bench slope and the bench slope. According to the

440 graded analysis results, the overall slope proved globally stable. The global and local  
441 stabilities of combined bench slope B(-10T) are both weak. The bench slopes B (-10T)-U and  
442 B (-10T)-D are still stable.

443 (2) Taking B (-10T) as an example, accurate strength parameters of slope discontinuities  
444 were obtained based on the accurate assessment of shear strength of structure planes. The  
445 discontinuity geometry of B(-10T) was recorded by joint roughness profilometer. Based on  
446 morphological filtering and denoising, using image normalization and global search, the  
447 roughness coefficient of the discontinuity surface contour curve was calculated and  
448 statistically analyzed. According to the relationship between JRC with sample size, the  
449 stability threshold of JRC was determined. Then the joint compressive strength and residual  
450 friction angle were obtained, and the discontinuity roughness coefficient and the joint  
451 compressive strength were calculated when the discontinuity size reaches the threshold.

452 (3) Based on the stability graded analysis of rock slope and accurate assessment of  
453 geometry and shear strength of structure planes, the stability precise evaluation based on  
454 Morgenstern-Price method was conducted in slope B(-10T). The comparison of the calculated  
455 results with slope history and the current state now indicated that the precise evaluation  
456 method could evaluate the stability of mine slope more correctly and accurately.

457

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461

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# Figures

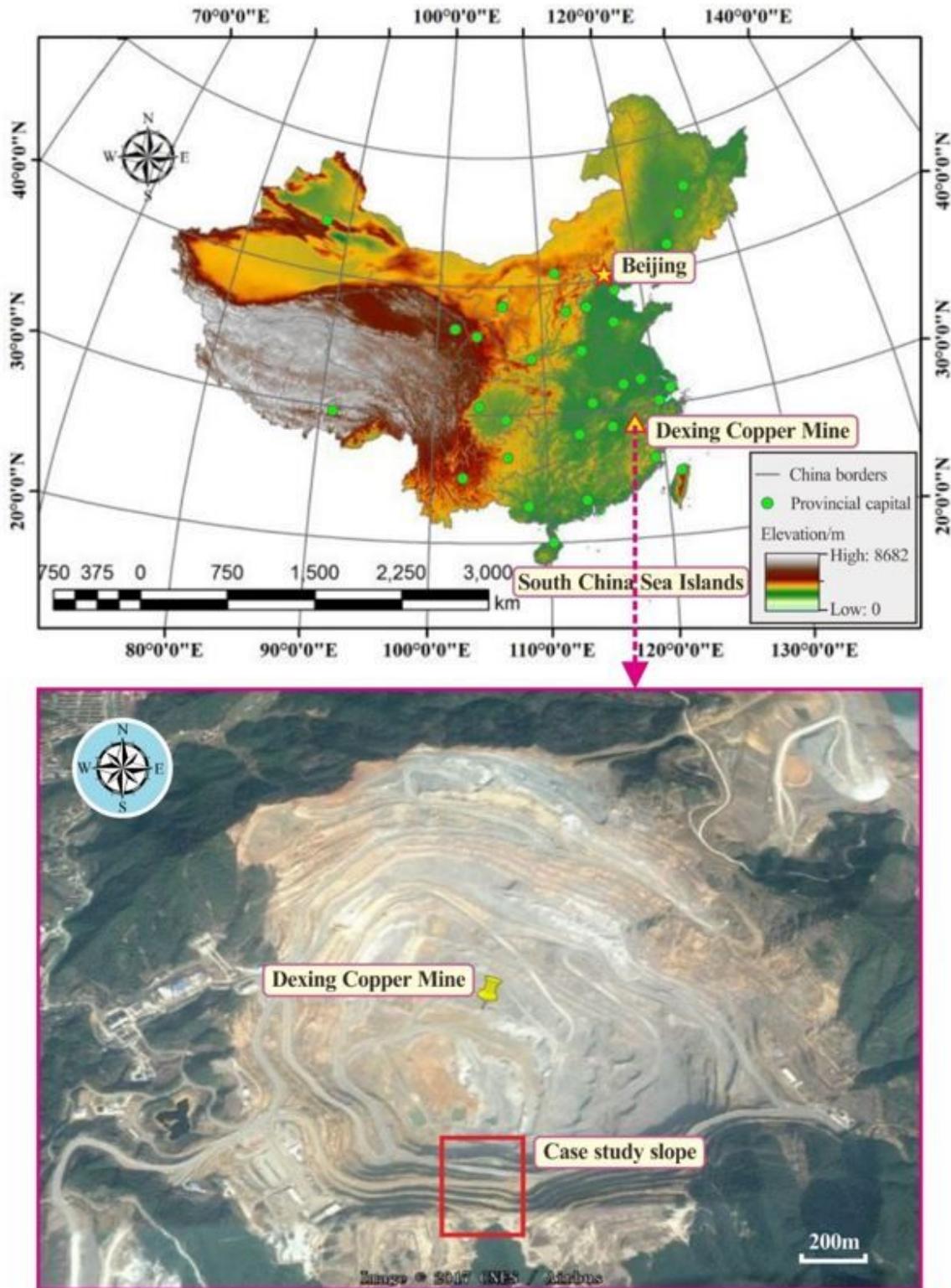


Figure 1

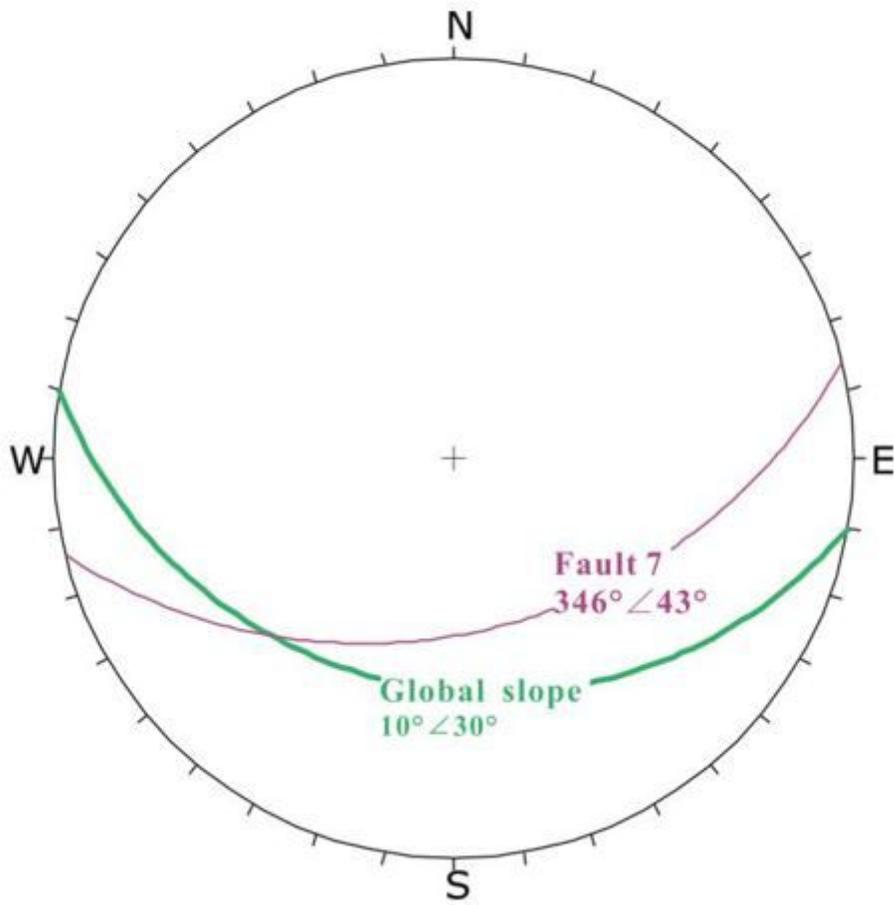
Location map of study area indicating case study slope location Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its

authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 2**

The photo of Yangtaowu slope indicating the overall slope and combined bench slopes



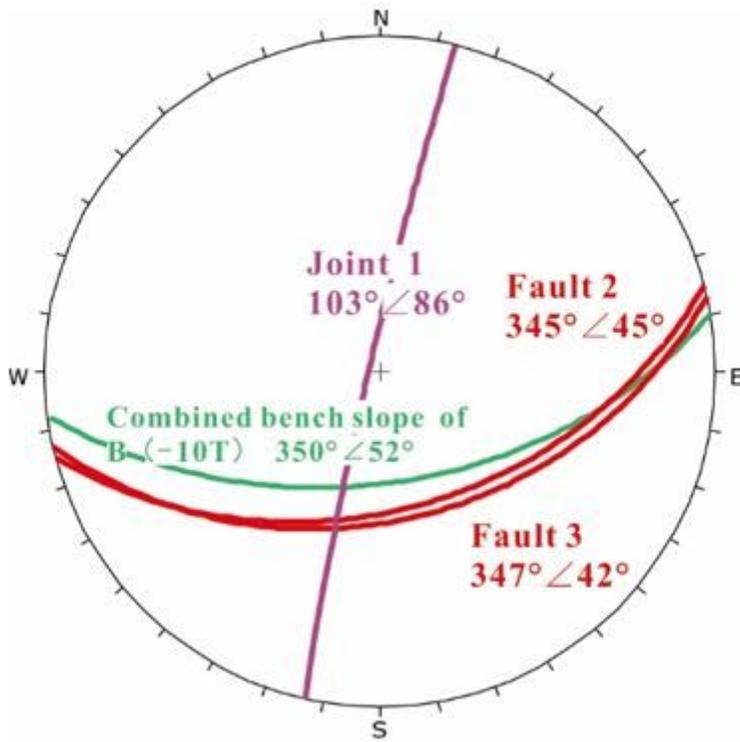
**Figure 3**

Stereonet of the Yangtaowu overall slope (global)



**Figure 4**

View of combined bench slope B (-10T) and the locations of F2 and F3



**Figure 5**

Stereonet of combined bench slope B(-10T) for global stability analysis

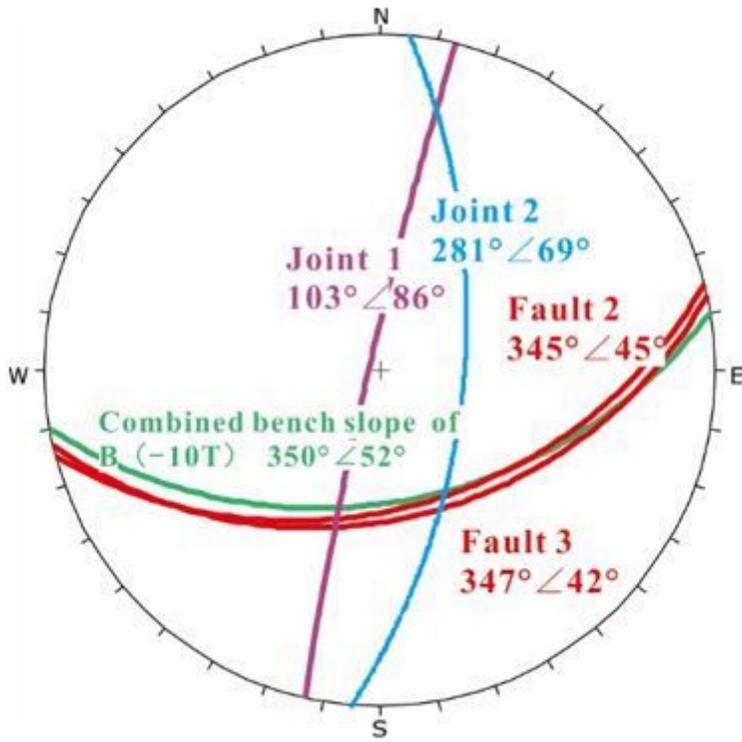
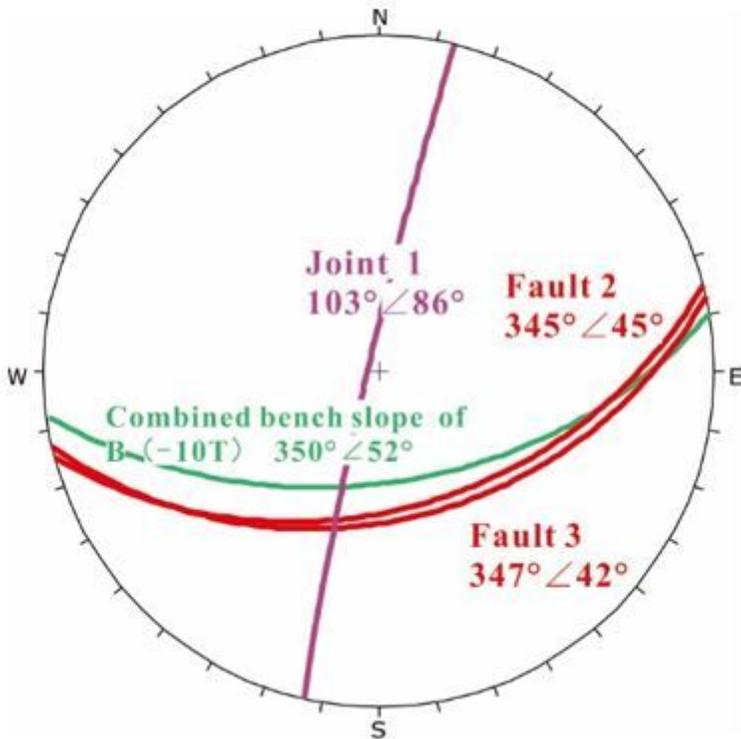


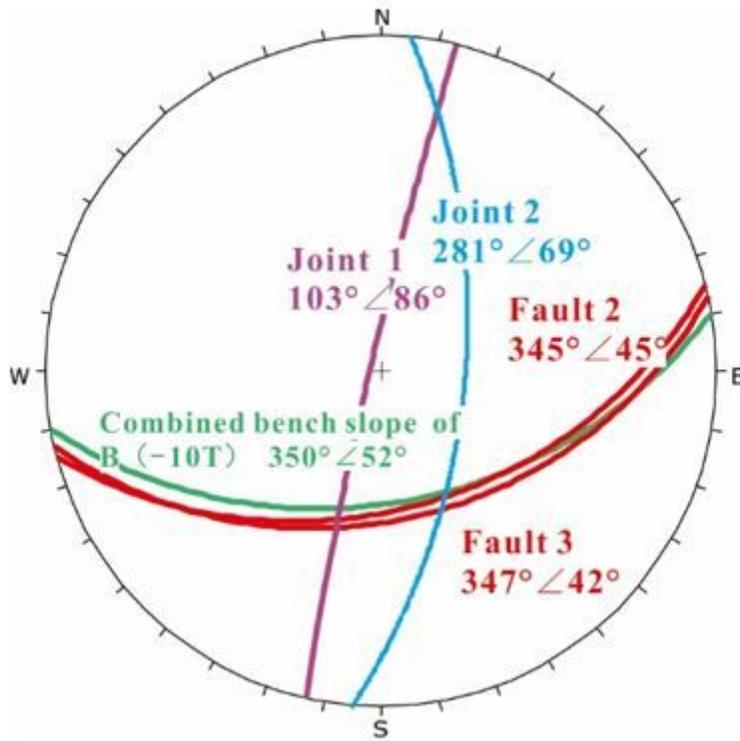
Figure 6

Stereonet of combined bench slope B(-10T) for local stability analysis



**Figure 7**

Stereonet of bench slopes B(-10T)-U and B(-10T)-D for global stability analysis



**Figure 8**

Stereonet of bench slopes B(-10T)-U and B(-10T)-D for local stability analysis



(a)



(b)

**Figure 9**

Measurement of sliding surface (a) rough joint profiles (b) rebound value

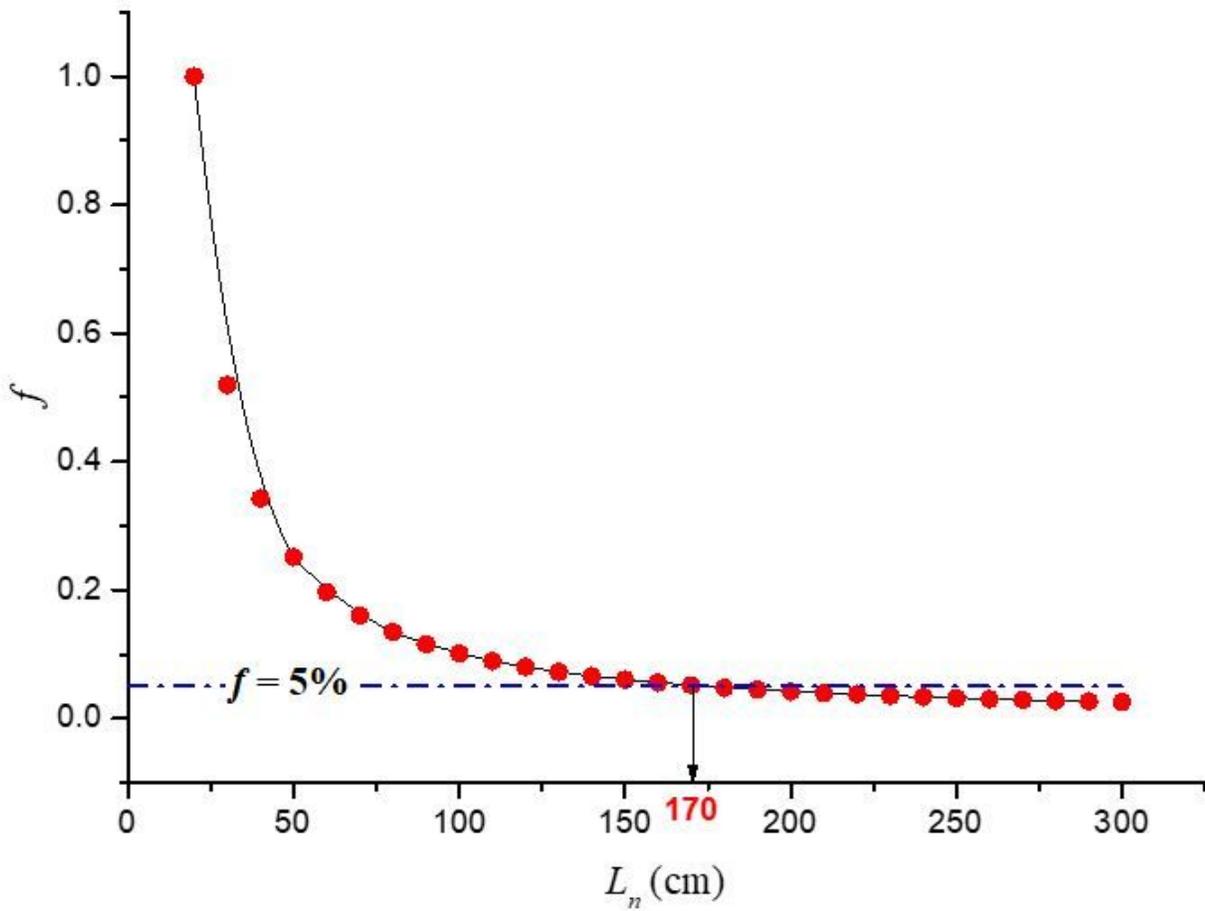


Figure 10

Ln-f relation curve of fault F2

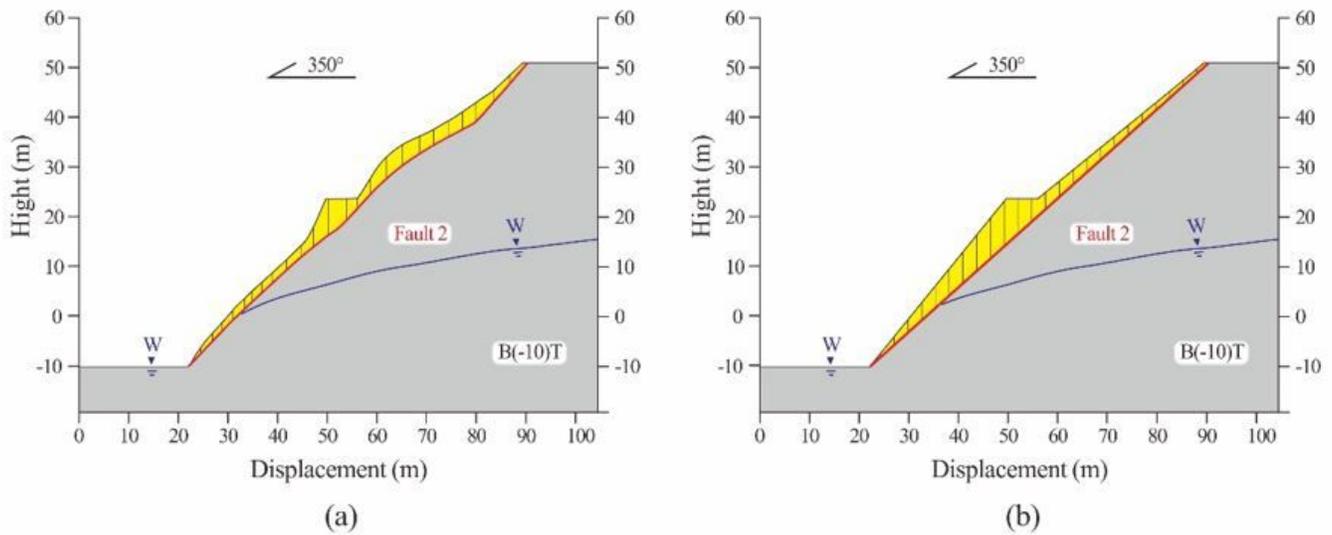


Figure 11

B (-10T) calculation models for the first evaluation (a) Precise calculation model (b) Generalization calculation model

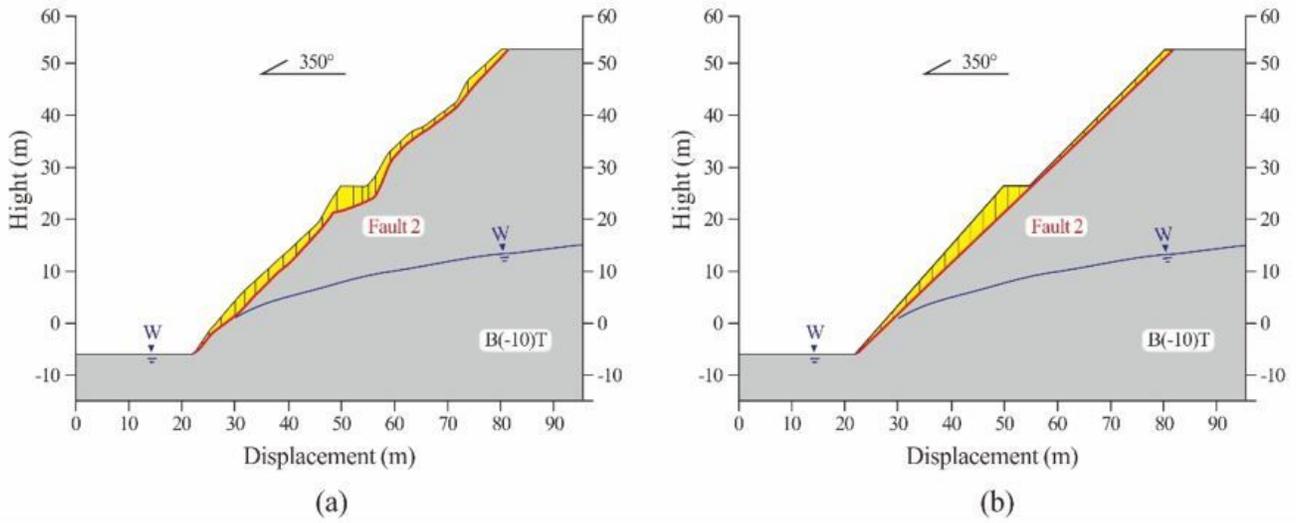


Figure 12

B (-10T) calculation models for the second evaluation (a) Precise calculation model (b) Generalization calculation model

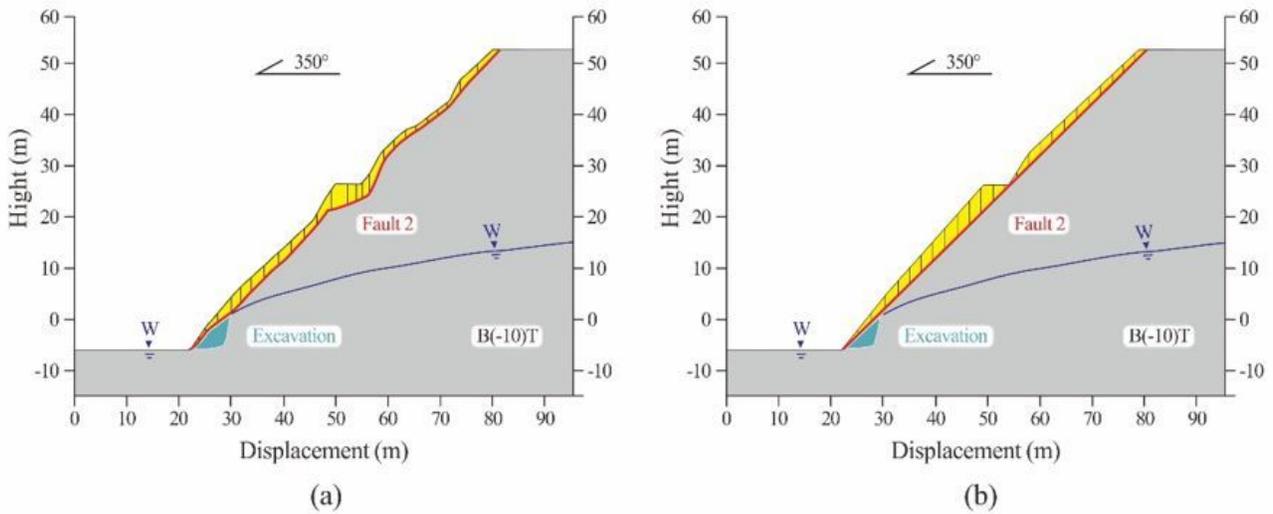


Figure 13

B (-10T) calculation models for the third evaluation (a) Precise calculation model (b) Generalization calculation model

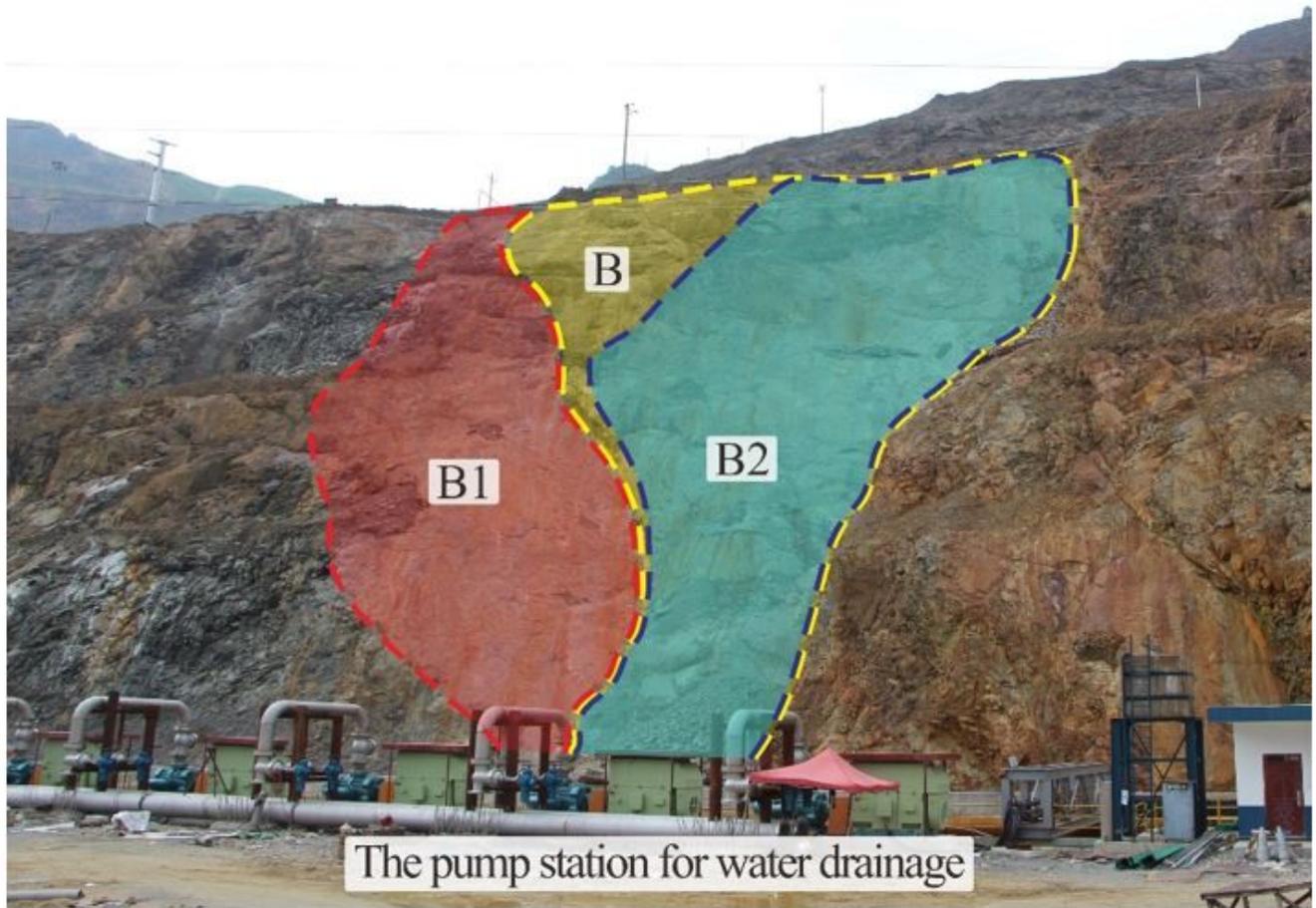


Figure 14

Locations of slopes B1, B2 and B

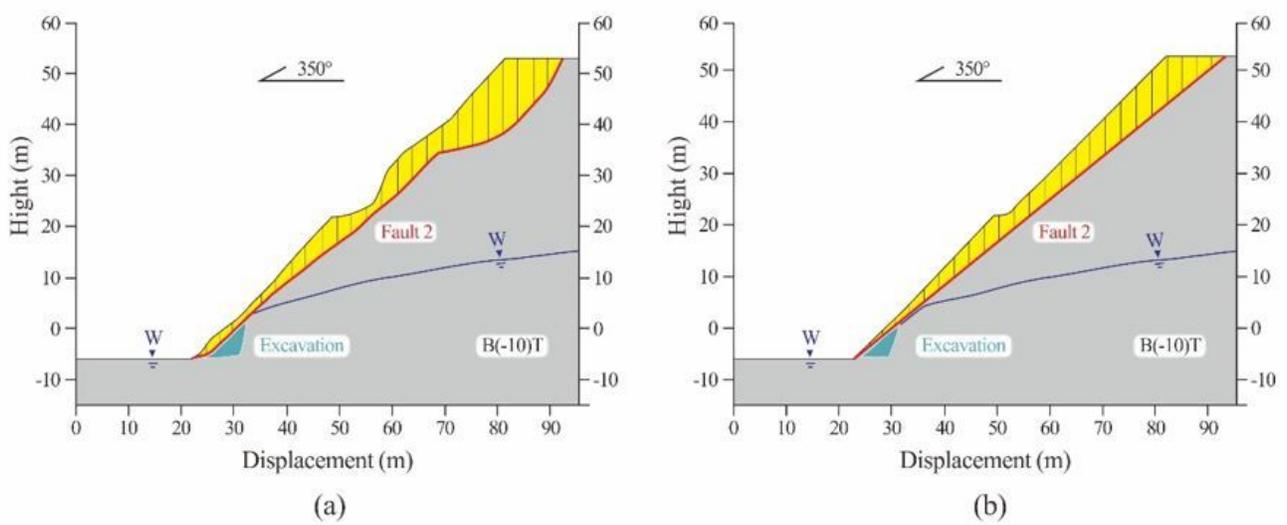


Figure 15

B (-10T) calculation models for the fourth evaluation (a) Precise calculation model (b) Generalization calculation model