Systematic Literature Review of Real-World Evidence of Ceftriaxone/Tazobactam for the Treatment of Respiratory Infections

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Abstract

Background: Gram-negative nosocomial pneumonia (NP), including hospital-acquired bacterial pneumonia (HABP), ventilated HABP (vHABP), and ventilator-associated bacterial pneumonia (VABP), is a significant cause of morbidity and mortality. Common pathogens, including Enterobacterales and *Pseudomonas aeruginosa* are highly prevalent in healthcare settings and have few effective treatment options due to high rates of antibacterial resistance. Resistant pathogens are associated with significantly worse outcomes and higher costs, relative to patients with susceptible infections. Ceftolozane/tazobactam (C/T) has established efficacy in clinical trials of patients with NP. This review aimed to collate data on C/T use for HABP/vHABP/VABP infections in real-world clinical practice.

Methods: This systematic literature review searched online biomedical databases for real-world studies of C/T for gram-negative respiratory tract infections (RTIs) up to June 2020. Relevant study, patient, and treatment characteristics, microbiology, and efficacy outcomes were captured.

Results: Thirty-three studies comprising 658 patients were identified. Pneumonia was the most common infection C/T was used to treat (85%), with a smaller number of unspecified RTIs (9%) and tracheobronchitis (5%) reported. Data on severity of illness and comorbidity were inconsistently reported. The majority of patients had respiratory infections caused by *P. aeruginosa* (92.8%), of which 88.1% were multidrug-resistant (including extensively drug-resistant or pandrug-resistant). Examination of these studies demonstrated an increase in the percentage of patients receiving the recommended dose of C/T for respiratory infections (3 g q8h or renal impairment-adjusted) over time (36.8% of patients in 2017 to 71.5% in 2020). Clinical success rates ranged from 51.4–100%, with 10 studies (55.6% of studies reporting clinical success) reporting clinical success rates of >70%; microbiological success rates ranged from 57.0–100.0%, with three studies (60.0% of studies reporting microbiological success) reporting microbiological success rates of >70%. Thirty-day mortality ranged from 0.0–33.0%, with nine studies (90% of studies reporting mortality) reporting 30-day mortality of <30%.

Conclusions: The studies identified in this review demonstrate that C/T shows similar outcomes as those seen in clinical trials, despite the higher frequency of multidrug-resistant pathogens, and comorbidities/conditions that may have been excluded from the trials.

Introduction

Gram-negative nosocomial pneumonia (NP) is a significant cause of morbidity and mortality. NP includes hospital-acquired bacterial pneumonia (HABP), when the infection occurs after 48 hours in hospital, or ventilator-associated bacterial pneumonia (VABP), when the infection develops following 48 hours of ventilation.¹ Ventilated HABP (vHABP) occurs when patients with HABP require ventilation due to declining health.² HABP represents the most common cause of death in critically ill patients and VABP is the most frequently reported healthcare-acquired infection in intensive care units (ICUs).³ Patients with vHABP tend to suffer higher mortality than patients with VABP, whereas patients with VABP tend to suffer higher mortality than patients with HABP.⁴

Gram-negative HABP/VABP/vHABP are commonly caused by *Enterobacterales* and *Pseudomonas aeruginosa*.⁵ There are limited treatment options because of the growing rates of resistance of these pathogens to available therapy. Multidrug-resistant (MDR) pathogens are resistant to antibacterial agents in three or more classes and are prevalent in the United States (US) and Europe.^{6,7} MDR *P. aeruginosa* is associated with higher mortality, longer length of stay, excess costs, higher readmission rates, and >\$10,000 excess net loss per case for the hospital relative to those with non-MDR *P. aeruginosa* infections.⁸ Resistant pathogens also increase the likelihood of initial inappropriate antibacterial therapy (IIAT). This is when the initial treatment is ineffective, which results in diminished clinical outcomes and increased health care costs.^{9,10}

The burden is such that the World Health Organization has named these common pathogens of NP a critical priority for the development of new antibacterials.¹¹ Ceftolozane/tazobactam (C/T) is a β -lactam/ β -lactamase inhibitor antibacterial agent,

consisting of a fixed (2:1) combination of an antipseudomonal cephalosporin, ceftolozane, and a well-established β -lactamase inhibitor, tazobactam.¹² C/T is approved in the US and Europe for clinical use in adults with HABP/VABP. The approval of C/T for HABP/VABP was supported a multinational, randomized, double-blind, active comparator-controlled trial: ASEPCT-NP.¹³ Since launch in 2014, real-world evidence (RWE) for the use of C/T in clinical practice has been accumulating. The purpose of this systematic literature review (SLR) was to identify and collate published RWE to better understand the outcomes in patients with RTIs treated with C/T.

Methodology

3.1 Literature search

The full methodology is described in Puzniak *et al.* 2021.¹⁴ Briefly, a search of the literature for C/T RWE published between 1st January 2009 and 3rd June 2020, was conducted in the following biomedical and economic databases via the OVID® platform: Embase®, MEDLINE®, PsycInfo, Econlit, and EBM Reviews (ACP Journal Club, Cochrane Database of Systematic Reviews, Cochrane Methodology Register, Database of Abstracts of Reviews of Effects, Health Technology Assessment, NHS Economic Evaluation Database, Cochrane Clinical Answers).¹⁴ Table 1 describes the search strategy. A further search of conference proceedings (Infectious Disease Week [IDWeek] and European Congress of Clinical Microbiology & Infectious Diseases [ECCMID]) from 2018, 2019, and 2020, was also conducted.¹⁴

Table 1
OVID search strategy

#	Search terms
1	Ceftolozane/ OR Ceftolozane plus tazobactam/
2	((Ceftolozane adj1 tazobactam) OR ZERBAXA OR MK-7625A).ti,ab.
3	1 OR 2
4	(exp animals/ OR nonhuman/) NOT exp human/
5	exp controlled clinical trial/
6	4 OR 5
7	3 NOT 6
OVID subtotal (deduplicated and limits* applied)	
TOTAL (EndNote deduplication)	
*English and 2014–current.	

3.2 Study selection

Two reviewers screened all records on the basis of title and abstract, with inclusions then screened on the basis of the full-text. Predetermined inclusion and exclusion criteria were used to assess the eligibility of identified abstracts and full-texts for inclusion. PICOS eligibility criteria included observational and non-controlled studies reporting on the use of C/T to treat adult patients (≥ 18 years of age) with gram-negative infections in real-world clinical practice. This review includes data identified on the use of C/T for RTIs. Only studies in English were included. Studies were excluded if they did not meet the PICOS criteria, such as randomized controlled trials or other randomized or controlled experimental studies. A complete description of the PICOS criteria is provided in Table S1.

3.3 Data extraction and analysis

For studies that included patients with RTIs, and presented outcomes of interest in these patients, relevant study, patient, and treatment characteristics, microbiology, and efficacy outcomes were extracted into a data extraction form by one reviewer and checked by a senior reviewer. Efficacy outcomes included clinical cure (typically defined as the resolution of signs or symptoms of RTI following therapy and survival), microbiological cure (typically defined as large reduction or eradication in the number of pathogens following therapy), and mortality (e.g. all-cause, inpatient, infection-related).

Results

4.1 SLR results

As reported in Puzniak *et al.* 2021, a total of 1,222 records were identified from the database searches, with 23 records identified from the gray literature which included a search of key conferences to identify any evidence not reported in the published literature.¹⁴ Then, 874 non-duplicate records were screening by title and abstract; 730 records were excluded according to the PICOS criteria and 144 were included for full-text review.¹⁴ Eighty-three studies were determined to be eligible for data extraction and qualitative synthesis; of these, 33 included patients with RTIs and presented outcomes of interest for these patients. The results of the SLR and study selection processes are presented in Fig. 1.

*'Other' includes duplicate records identified at the full-text stage and records that were identified as either conference proceedings or pre-publication manuscripts in the initial or November 2019 search and then identified again as full-text publications in either the November 2019 or June 2020 search.

4.2 Study characteristics

Of the 33 studies included in the SLR that included data on patients with RTIs (and presented outcomes for these patients), 28 were published as peer-reviewed publications,¹⁵⁻⁴² and five were available as conference proceedings (either as abstracts or posters).⁴³⁻⁴⁷ Including studies that included patients from multiple different countries, the most common study locations were the US (23 studies),^{15,21,24-33,35-37,39-41,43-47} Spain (5),^{20,22,23,36,42} and Italy (3).^{15,18,19} There was a mix of study designs included: of nine non-comparative studies, eight were retrospective,^{18,19,23,24,31,36,43,46} and one was prospective.²² There were nine case series identified,^{16,20,25,27,28,30,42,44,47} and one comparative cohort study.⁴⁵ A total of 14 single patient case reports were identified.^{15,17,21,26,29,32-35,37-41} Table 2 summarizes the characteristics of included studies.

Table 2
Summary of respiratory studies.

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
2020 studies							
Peer-reviewed literature							
Bassetti <i>et al.</i> 2020¹⁹ Retrospective, multicenter Italy	153 (47)	ESBL-producing Enterobacterales infections, including NP (46) and CABP (1). Of patients with NP, 32 had HABP and 14 had VABP.	-	> Dose C/T: 38 patients (of all infection types included in the study – including NP and non-NP infections) received 3 g q8h ^a	NP: 78.3 (36/46) CABP: 100 (1/1)	-	-
Jones <i>et al.</i> 2020³⁰ Retrospective single center, case series US	7 (3)	PsA (2 non-MDR; 1 MDR) pneumonia.	-	> Dose C/T: 2 patients received 4.5 g qd continuous infusion (CI), 1 patient received 9 g qd CI > Duration: 1 patient who received 9 g qd: 6 days, 2 patients who received 4.5 g qd: 14–17 days	100 (3/3)	-	-
Jorgensen <i>et al.</i> 2020³¹ Retrospective, multicenter US	259 (163) MDR PsA: 226 (149)	Patients had MDR gram-negative infections, 163 of which had RTIs, of which 96 were VABP. Patients with MDR PsA infections (N = 226) were used as the primary analysis set. Of these 226, 149 had infections from a respiratory source, of which 89 were VABP.	-	> Dose C/T: - Overall RTI population: 116/163 patients received 3 g q8h (71.2%). 48 patients received a creatinine clearance adjusted dose: 19 (39.6%) received an adjusted dose based on 3 g q8h. - MDR PsA RTI population: 105/149 patients received 3 g q8h (70.5%). > Duration: med. (IQR): 10 (6–15) days	-	-	30-day: MDR PsA Resp. 24.2 (NR)

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Mahmoud <i>et al.</i> 2020³⁵ Case report US	1 (1)	MDR PsA RTI (1).	> ICU N = 1	> Dose C/T: 3 g q8h initially, then 9 g qd CI > Empiric/confirmed C/T: Confirmed > Duration: 7 days	- 	100 (1/1)	-
Romano <i>et al.</i> 2020³⁷ Case report US	1 (1)	MDR PsA pulmonary exacerbation of cystic fibrosis (1).	-	> Dose C/T: 3 g q8h > Empiric/confirmed C/T: Confirmed > Duration: 14 days	100 (1/1)	-	Unclear timeframe: 0 (0/1)
2019 studies							
Peer-reviewed literature							
Arena <i>et al.</i> 2019¹⁷ Case report Italy	1 (1)	CR PsA pulmonary infection in a lung transplant recipient (1).	> IMC N = 1	> Dose C/T: 3 g q8h > Empiric/confirmed C/T: Confirmed > Duration: 15 days	100 (1/1)	100 (1/1)	Unclear timeframe: 0 (0/1)
Bassetti <i>et al.</i> 2019¹⁸ Retrospective, multicenter Italy	101 (33)	PsA infections, including NP (32) and CABP (1).	-	> Dose C/T: 21 patients with NP received 3 g q8h (65.6%), 11 received 1.5 g q8h (34.6%). 20 patients of all infection types received a creatinine clearance adjusted dose (the number of patients with NP who received this is NR).	NP: 75.0 (24/32)	-	-
Davis <i>et al.</i> 2019²¹ Case report US	1 (1)	MDR PsA and ESBL-producing <i>E. coli</i> pulmonary exacerbation of cystic fibrosis (1).	> ICU N = 1	> Dose C/T: 6 g CI qd > Empiric/confirmed C/T: Confirmed	100 (1/1)	-	Unclear timeframe: 0 (0/1)

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Gonzales Zamora <i>et al.</i> 2019²⁶ Case report US	1 (1)	MDR PsA and <i>Curvularia</i> spp. (a species of fungus) pneumonia and bacteremia, then <i>Curvularia</i> spp. brain abscess (1).	> IMC N = 1	> Duration: 14 days	-	-	Unclear timeframe: 100 ^b (1/1)
Maddocks <i>et al.</i> 2019³⁴ Case report Australia	1 (1)	PsA VABP (1).	> ICU N = 1	> Dose C/T: 1.5 g q8h, creatinine clearance adjusted > Empiric/confirmed C/T: Confirmed, as desensitization therapy > Duration: 42 days	100 ^c (1/1)	100 ^c (1/1)	30-day: 0 ^c (0/1)
Rodriguez-Nunez <i>et al.</i> 2019³⁶ Retrospective, multicenter International	90 (90)	Drug-resistant PsA RTIs (76.7% XDR; 23.3% MDR), including pneumonia (63) and purulent tracheobronchitis (27).	> CCI med.=5	> Dose C/T: 1.5 g q8h or creatinine clearance adjusted; 40%, 3 g q8h or double creatinine clearance 60% > Duration: med. (IQR): 14 (10–16) days	Overall: 56.7 (51/90)	-	30-day: Overall: 27.8 (25/90) 3 g q8h: 24.1 (13/54) 1.5 g q8h: 33.3 (12/36)
Conference proceedings							
Hart <i>et al.</i> 2019⁴³ Retrospective, multicenter US	70 (39)	MDR PsA infections, including pneumonia (39), in IMC patients.	> IMC N = 39	-	61.5 (24/39)	-	30-day: 20.5 (8/39)
Mills <i>et al.</i> 2019⁴⁵ Retrospective, multicenter cohort US	62 (62)	MDR PsA pneumonia (62).	> ICU N = 49 > IMC N = 13	> Duration mean: 16.1 days	72.6 (45/62)	-	30-day: 29.0 (18/62)

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Sheffield <i>et al.</i> 2019⁴⁷ Retrospective, case series US	4 (1)	PsA or ESBL-producing <i>E. coli</i> infections, including RTI (1).	> -	-	-	-	Unclear timeframe: 0 (0/1)
2018 studies							
Peer-reviewed literature							
Alessa <i>et al.</i> 2018¹⁵ Case report US	1 (1)	MDR PsA NP in a patient receiving hemodialysis (1).	> -	> Dose C/T: 1.5 g loading dose then 0.3 g q8h (patient had ESRD) > Empiric/confirmed C/T: Confirmed > Duration: 13 days	100 (1/1)	100 (1/1)	Unclear timeframe: 0 (0/1)
Diaz-Cañestro <i>et al.</i> 2018²² Prospective, single center Spain	58 (35)	PsA infections, including RTIs (35).	> -	> Dose C/T: - Of 25 patients with RTIs without renal insufficiency, 3 received 1.5 g q8h, and 22 received 3 g q8h. - Of 9 patients with RTIs on CRRT, 7 received 1.5 g q8h and 2 received 0.75 g q8h - 1 patient with an RTI and moderate renal insufficiency (creatinine clearance 30–50 mL/min) received 1.5 g	54.5 (18/33)	-	-
Escolà-Vergé <i>et al.</i> 2018²³ Retrospective, single center Spain	38 (14)	XDR PsA infections, including RTIs (14).	> -	> Dose C/T: 9 patients received 3 g q8h (or creatinine clearance adjusted equivalent), 5 received 1.5 g q8h (or creatinine clearance adjusted equivalent)	78.6 (11/14)	-	-

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Gallagher <i>et al.</i> 2018²⁴ Retrospective, multicenter US	205 (121)	MDR PsA infections, including pneumonia (121), of which 58 patients had VABP and 63 patients had non- VABP.	> -	> Dose C/T: 97 patients (of all infection types included in the study) received 3 g q8h ^a	Overall: 66.1 (80/121) VABP: 50.0 (29/58) Non- VABP: 81.0 (51/63)	Overall: 57.0 (69/121) VABP: 53.4 (31/58) Non- VABP: 60.3 (38/63)	30-day or inpatient: Overall: 25.6 (31/121) VABP: 37.9 (22/58) Non-VABP: 14.2 (9/63)
Hakki <i>et al.</i> 2018²⁸ Retrospective, single center, case series US	6 (3)	MDR PsA infections, including pneumonia (3) in patients with hematological malignancy or hematopoietic stem cell transplant.	> IMC N = 3	> Dose C/T: All patients received 3 g q8h > Duration med. (range): 31 (14– 103) days	66.7 (2/3)	-	30-day: 0 (0/3)
Lewis <i>et al.</i> 2018³³ Case report US	1 (1)	MDR PsA HCAP complicated by lung abscess (1).	> -	> Dose C/T: 1.5 g q8h > Empiric/confirmed C/T: Empiric > Duration: 12 days	0 (0/1)	0 (0/1)	Unclear timeframe: 100 (1/1)
Stewart <i>et al.</i> 2018³⁹ Case report Australia	1 (1)	MDR PsA pulmonary infection in kidney transplant (1).	> ICU N = 1 > IMC N = 1	> Dose C/T: 4.5 g qd continuous infusion > Empiric/confirmed C/T: Confirmed > Duration: 42 days	100 (1/1)	-	30-day: 0 (0/1)
Stokem <i>et al.</i> 2018⁴⁰ Case report US	1 (1)	MDR PsA pulmonary exacerbation of cystic fibrosis (1).	> IMC N = 1	> Dose C/T: 3 g q12h > Duration: 14 days	100 (1/1)	-	30-day: 0 (0/1)

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Xipell <i>et al.</i> 2018 ⁴² Retrospective, single center, case series Spain	23 (8)	MDR PsA infections, including pneumonia (4) and tracheobronchitis (4).	> IMC N = 2	> Dose C/T: 3 patients received 3 g q8h (with 2 then receiving 1.5 g q8h), 5 patients received 1.5 g q8h > Empiric C/T: 12.5% > Confirmed C/T: 87.5% > Duration median (range): 7.5 (3– 15) days	Overall: 87.5 (7/8) 3 g q8h: 100.0 (3/3) 1.5 g q8h: 80.0 (4/5)	Overall: 60.0 (3/5) 3 g q8h: 100.0 (1/1) 1.5 g q8h: 50.0 (2/4)	30-day: Overall: 12.5 ^d (1/8) 3 g q8h: 0 (0/3) 1.5 g q8h: 20.0 (1/5)
2017 studies							
Peer-reviewed literature							
Álvarez Lerma <i>et al.</i> 2017 ¹⁶ Retrospective, single center, case series Spain	2 (2)	PDR PsA ventilation- associated RTIs (2).	> ICU N = 2 > APACHE II mean = 25.5	> Dose C/T: 1 patient received 1.5 g q8h then 0.75 g q8h, 1 patient received 0.75 g q8h (both patients had renal impairment, but the degree of this was NR) > Empiric C/T: 0% > Confirmed C/T: 100% > Duration: mean = 15.5 days	100 (2/2)	100 (2/2)	30-day: 0 ^e (0/2)
Castón <i>et al.</i> 2017 ²⁰ Retrospective, multicenter, case series Spain	12 (6)	MDR PsA infections, including RTIs (6), patients either had severe sepsis or septic shock.	> IMC N = 2	> Dose C/T: 3 patients received 3 g q8h, 3 received 1.5 g q8h (it was unclear whether patients had renal impairment) > Empiric C/T: 0% > Confirmed C/T: 100% > Duration med. (range): 12 (3–21) days	Overall: 66.7 (4/6) 3 g q8h: 66.7 (2/3) 1.5 g q8h: 66.7 (2/3)	Overall: 60.0 (3/5) 3 g q8h: 100.0 (3/3) 1.5 g q8h: 0 (0/2)	30-day: Overall: 33.3 (2/6) 3 g q8h: 33.3 (1/3) 1.5 g q8h: 33.3 (1/3)

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Haidar <i>et al.</i> 2017 ²⁷ Retrospective, single center, case series US	21 (18)	MDR PsA infections, including pneumonia (16) and purulent tracheobronchitis (2).	> IMC N = 8	> Dose C/T: 5 patients received 3 g q8h (or creatinine clearance adjusted), 9 received 1.5 g q8h (or creatinine clearance adjusted), 2 on CRRT received 1.5 g q8h and 2 on iHD received 0.15 g q8h > Duration med. (range): 14 (3–52) days	Overall: 66.7 (12/18) 3 g q8h: 80.0 (4/5) 1.5 g q8h: 66.7 (6/9)	-	30-day: Overall:^f 11.1 (2/18) 3 g q8h: 0 (0/5) 1.5 g q8h: 11.1 (1/9)
Hernández- Tejedor <i>et al.</i> 2017 ²⁹ Case report US	1 (1)	MDR PsA ventilator- associated tracheobronchitis (1).	> ICU N = 1 > IMC N = 1	> Dose C/T: 1.5 g q8h > Empiric/confirmed C/T: Confirmed > Duration: 10 days	100 (1/1)	100 (1/1)	Unclear timeframe: 0 (0/1)
2016 studies							
Peer-reviewed literature							
Kuti <i>et al.</i> 2016 ³² Case report US	1 (1)	MDR PsA VABP (1).	> ICU N = 1	> Dose C/T: 3 g q8h > Empiric/confirmed C/T: Confirmed > Duration: 10 days	100 (1/1)	100 (1/1)	Unclear timeframe: 0 (0/1)
Vickery <i>et al.</i> 2016 ⁴¹ Case report US	1 (1)	MDR PsA pulmonary exacerbation of cystic fibrosis (1).	-	> Dose C/T: 3 g q8h > Empiric/confirmed C/T: Confirmed > Duration: 12 days	100 (1/1)	-	30-day: 0 (0/1)
Conference proceedings							

Citation, study design, location	N C/T (Resp.)	Respiratory patient/infection description (N)	Respiratory patient disease severity	Respiratory C/T treatment	Respiratory outcome, % (n/N)		
					Clinical	Micro.	Mortality
Iovleva et al. 2016 ⁴⁴ Retrospective, single center, case series US	2 (2)	Imipenem-resistant PsA HCAP (2).	> APACHE II mean = 13 > CCI mean = 2	-	100 (2/2)	100 (2/2)	Unclear timeframe: 0 (0/3)
Nathan et al. 2016 ⁴⁶ Retrospective, multicenter US	28 (8)	Gram-negative infections, including pneumonia (5), bronchiectasis (2), chronic pansinusitis (1).	> ICU N = 0	> Duration: med. (range) = 12 (4–40) days	100 (8/8)	-	-
2015 studies							
Peer-reviewed literature							
Gelfand et al. 2015 ²⁵ Retrospective, single center, case series US	3 (3)	MDR PsA pneumonia (3).	> IMC N = 2	> Dose C/T: All patients received 3 g q8h > Duration mean (range): 12.7 (10–14) days	100 (3/3)	100 (3/3)	Unclear timeframe: 0 (0/3)
Soliman et al. 2015 ³⁸ Case report UK	1 (1)	PDR PsA exacerbation of chronic pulmonary infection (bronchiectasis) (1).	-	> Dose C/T: 3 g q8h > Empiric/confirmed C/T: Confirmed > Duration: 14 days	100 (1/1)	100 (1/1)	30-day: 0 (0/1)

Table 2. Summary of respiratory studies.

^aIt is unclear what proportion of patients with RTIs received 3 g q8h doses.

^bThe patient died of multiorgan failure, it is unclear from the publication whether this was due to the PsA infection or the *Curvularia* spp. brain abscess.

^cPatient was started on C/T 5 days after starting bacteriophage therapy. The publication notes that ‘at this time the patient had made “remarkable progress over the last week” (after starting bacteriophage therapy).

^d2 patients were reported as cured that died of underlying diseases 25 and 33 days, respectively, after cure.

^e1 patient had favorable clinical and microbiological cure after 14 days of C/T, then died of refractory heart failure 3 weeks after discharge from the ICU.

^f1 death was attributable to infection.

APACHE: Acute Physiology and Chronic Health Evaluation; CABP: Community-acquired bacterial pneumonia; CCI: Charlson Comorbidity index; CI: Continuous infusion; CR: Carbapenem-resistant; CRRT: Continuous renal replacement therapy; C/T: Ceftolozane/tazobactam; ESBL: Extended-spectrum β -lactamase; HABP: Hospital-acquired bacterial pneumonia; HCAP: Healthcare-associated pneumonia; ICU: Intensive care unit; iHD: Intermittent hemodialysis; IMC: Immunocompromised; IQR: Interquartile range; MDR: Multidrug-resistant; NP: Nosocomial pneumonia; NR: Not reported; PDR: Pandrug-resistant; PsA: *Pseudomonas aeruginosa*; RTI: Respiratory tract infection; UK: United Kingdom; US: United States; VABP: Ventilator-associated bacterial pneumonia; XDR: Extensively-drug-resistant.

4.3 Patient characteristics

Identified studies included a total of 658 patients with RTIs treated with C/T. Considering only studies with more than one patient (N = 18), the median number of patients included was 16 (range 2^{16,44}–149³¹). Of these studies, five included only patients with RTIs,^{16,25,36,44, 45} and 14 included patients of multiple infections types and reported data for the respiratory subset.^{18–20,22–24,27,28,30,31,42,43,46,47}

Pneumonia was the most common infection C/T was used for (85% of patients; N = 557; Fig. 2). Unspecified pneumonia – when the location (hospital/nosocomial or community) or ventilation status were not specified – comprised the majority of reported pneumonias and 49% (N = 322) of all RTIs reported. VABP (27% of all patients; N = 177) was more commonly reported than NP (1%; N = 4) or HABP (8%; N = 52) – though it is possible that a larger proportion of unspecified pneumonias were NP or HABP.

Of non-pneumonia infections, unspecified RTIs were most common (9%; N = 59). Tracheobronchitis was reported in 5% (N = 34) of patients. Pulmonary exacerbation of cystic fibrosis (CF), bronchiectasis, pansinusitis, and CABP were reported in < 1% of patients (Fig. 2).

CABP: Community-acquired bacterial pneumonia; CF: Cystic fibrosis; HABP: Hospital-acquired bacterial pneumonia; NP: Nosocomial pneumonia; RTI: Respiratory tract infection; VABP: Ventilator-associated bacterial pneumonia.

The severity of patient illness was inconsistently reported, with the majority of multi-patient, multi-infection studies not reporting the severity of illness specific to patients with RTIs. However, of those studies that reported severity of illness, patients were often classified as seriously ill with multiple comorbidities. Eight studies reported that 57 patients with RTIs were admitted to the ICU.^{16,21,29,32,34,35,39,45} The majority of these studies were either single patient case reports (6 studies),^{21,29,32,34,35,39} or a case series comprising two patients.¹⁶ One larger study – conducted in patients with RTIs only – reported that 49 patients (of 62 recruited; 79.0%) were admitted to the ICU.⁴⁵

This literature review additionally captured two commonly used measures of severity of illness – Acute Physiology and Chronic Health Evaluation (APACHE) II and Charlson Comorbidity index (CCI). Two case series' (N = 4 patients) reported mean APACHE II scores of 25.5¹⁶ and 13.⁴⁴ In comparison, patients enrolled in the ASPECT-NP clinical trial, which assessed the efficacy and safety of C/T versus meropenem in 726 patients with gram-negative NP, had a similar mean APACHE II score of 17.¹³ Two studies reported CCI scores^{36,44} – including the largest study identified that only reported solely on RTIs (N = 90 patients).³⁶ The median CCI score in this study was 5, which is indicative of severe comorbidity.³⁶ The other study (N = 2 patients) reported a mean CCI score of 2, indicating less severe comorbidity.⁴⁴

Twelve studies reported 74 patients that were immunocompromised.^{17,20, 25–29,39,40,42,43,45} Of these studies, two included only immunocompromised patients.^{28,43} As with ICU patients, this is likely an underestimation as most multi-infection studies did not provide a breakdown by infection type. This review considered immunocompromised patients as either those author-defined as immunocompromised, or as those with a history of organ transplant, disease suppressing immunity (e.g. HIV/AIDS, lymphoma, leukemia), receipt of chemotherapy, or immunosuppressive treatment (e.g. corticosteroids).

Thirty-two studies, comprising 650 patients (98.8% of patients), reported a causative pathogen.^{15–18,20–45,47} The most prevalent causative pathogen reported was *P. aeruginosa* (92.8%; N = 603 patients; 31 studies), of which 11.9% (N = 72) were caused by non-resistant *P. aeruginosa*, or the resistance level was not specified, 0.5% (N = 3) were caused by carbapenem-resistant (CR) *P. aeruginosa*, 73.3% (N = 442) were caused by MDR *P. aeruginosa*, 13.8% (N = 83) were caused by extensively-drug-resistant (XDR) *P. aeruginosa*, and 0.5% (N = 3) were caused by pandrug-resistant (PDR) *P. aeruginosa*. In the other study that reported a causative pathogen, all patients (N = 47) had an extended-spectrum β -lactamase (ESBL)-positive Enterobacterales infection.¹⁹

4.4 Treatment characteristics

According to the C/T label, the Food and Drug Administration (FDA) recommends that the dosage of C/T for HABP/VABP is 3 g q8h for patients with creatinine clearance of > 50 mL/min. For patients with renal impairment, the recommended dosage is adjusted to account for decreased kidney function: creatinine clearance of 30–50 mL/min = C/T 1.5 g q8h; 15–29 mL/min = 750 mg q8h; hemodialysis = 2.25 g loading dose followed by 450 mg q8h. To assess whether C/T was prescribed according to recommended doses, Fig. 3 shows the usage of the 3 g q8h (or adjusted for creatinine clearance) dose according to the year of publication. Nineteen studies reported dosing information (including information about renal impairment, where appropriate).^{15,17,21–23,25,27–29,31,32,34–38,40–42} Studies were not included in Fig. 3 if renal impairment status of patients was unclear. Case reports were recorded as 100% when dosed according to FDA recommendations, or 0% when not, hence the number of data points at either extreme. The data suggest that dosing of C/T for RTIs is improving. Discounting 2015 and 2016, where few data were available, the proportion of patients dosed according to FDA recommendations has gradually increased from 36.8% in 2017 to 71.5% in 2020 in the published literature (Fig. 3).

Note

Each grey point represents a distinct study. The blue line represents the yearly average. The orange line represents the year in which the FDA approved the 3 g q8h dosing for HABP/VABP.

*FDA dosing for RTIs: 3 g q8h (or creatinine clearance adjusted)

FDA: Food and Drug Administration; HABP: Hospital-acquired bacterial pneumonia; RTI: Respiratory tract infection; VABP: Ventilator-associated bacterial pneumonia.

The duration of C/T was often significantly different to the label recommended duration of 8–14 days. Duration of therapy ranged from 7–42 days, irrespective of dose. In larger studies (> 30 patients), median duration ranged from 10–16.1 days, consistent with the indicated duration. Excluding single-patient case reports, 3 studies (67 patients) reported an average duration of C/T exceeding the label maximum dose of 14 days,^{16,28,45} with one study (3 patients) reporting an average duration of > 28 days.²⁸ In this study, patients were immunocompromised, each of whom had hematologic malignancies or were hematopoietic-cell transplant recipients, and were infected by MDR *P. aeruginosa*.²⁸ Moreover, three single-patient case reports reported C/T durations exceeding the maximum label dose,^{17,34,39} with two studies reporting a 42-day duration.^{34,39} In these two studies, C/T was used for a recurrent CR *P. aeruginosa* infection in a patient admitted to the ICU,³⁹ and as desensitization therapy for a patient with VABP.³⁴

4.5 Outcomes

4.5.1 Overall outcomes

Every included study reported outcomes for respiratory patients: 29 reported clinical outcomes,^{15–25,27–30,32–34,36–46} 13 reported microbiological outcomes,^{15–17, 24,25,29, 32–35,38,42, 44} and 26 reported mortality outcomes.^{15–17,20,21,24–29,31–34,36–45,47}

Excluding single patient case reports, 17 studies (including 494 patients) reported clinical success rates of 51.4²²–100.0%,^{16,25,30,44,46} with 10 studies (55.6%) reporting success rates of > 70%.^{16,18,19,23,25,30,42,44–46} In larger studies (> 30 patients; seven studies),^{18,19,22,24,36,43,45} clinical success rates ranged from 51.4²²–78.3%.¹⁹ Microbiological success rates were reported by five multi-patient studies (including 136 patients),^{16,24,25,42,44} and ranged from 57.0²⁴–100.0%,^{16,25,44} with three studies (60.0%) reporting success rates of > 70%.^{16,25,44} In a larger study (> 30 patients; one study), microbiological success was 57.0%.²⁴ Thirty-day mortality rates were reported by 10 multi-patient studies (including 498 patients),^{16,20,24,27,28,31,36,42,43,45} and ranged from 0.0^{16,28}–33.0%.²⁰ In larger studies (> 30 patients; five studies),^{24,31,36,43,45} 30-day mortality ranged from 20.5⁴³–29.0%.⁴⁵ Two further multi-patient studies (including five patients) both reported 0.0% mortality rates, though did not specify a timeframe.^{25,44}

Outcomes were consistent in studies including one patient (14 case reports and one case series with a single respiratory patient).^{15,17,21,26,29,32–35,37–41,47} Clinical success was reported in 11 of 12 studies (91.7%),^{15,17,21,29,32–34,37–41} microbiological success was reported in 7 of 8 studies (87.5%),^{15,17,29,32–35,38} 30-day mortality in 0 of 5 studies (0.0%),^{37–41} and unspecified-timeframe mortality in 2 of 9 studies (22.2%).^{15,17,21,26,29,32–34,47}

4.5.2 Outcomes by infection type

The nature of the studies captured – primarily reporting on patients of multiple infection types (with the respiratory subset not analyzed separately), or using a non-analytical, descriptive design – meant that analyses of factors associated with outcomes were uncommon. Only one study – Rodriguez-Nunez *et al.*, conducted solely in patients with either pneumonia or tracheobronchitis – performed an analysis of factors associated with 30-day mortality.³⁶ In a univariate analysis, Rodriguez-Nunez *et al.* found a non-significant trend suggesting that pneumonia (64.6% of survivors vs. 84.0% of non-survivors, $p = 0.072$, OR = 2.9 [95% CI: 0.9–9.4]) and use of a ventilator (30.8% of survivors vs. 52.0% of non-survivors, $p = 0.061$, OR = 2.4 [95% CI: 0.9–6.3]) were associated with 30-day mortality.³⁶

One further study split outcomes by pneumonia type (VABP or non-VABP).²⁴ In this study, patients with VABP had numerically lower clinical success (50.0% vs. 81.0%), microbiological success (53.4% vs. 60.3%), and higher 30-day or inpatient mortality (37.9% vs. 14.2%). However, no analysis was conducted to assess significance.²⁴

Excluding single patient studies, studies including only patients with unspecified pneumonia (five studies; 110 patients)^{25,28,30,43,45} reported clinical success rates ranging from 61.5⁴³–100%^{25,30} (five studies) and 30-day mortality ranging from 0.0²⁸–29.0%⁴⁵ (three studies). Studies including only patients with NP or HABP (two studies; 35 patients) reported clinical success rates ranging from 75¹⁸–100%⁴⁴ (two studies) and unspecified-timeframe mortality of 0% (one study).^{18,44} One study reported solely on patients with VABP (two patients), reporting clinical success of 100% and 30-day mortality of 0%.¹⁶

4.5.3 Outcomes by treatment characteristics

In a univariate analysis, Rodriguez-Nunez *et al.* found that there was no association between a 3 g q8h dose (or creatinine clearance adjusted equivalent) and mortality (63.1% of survivors received 3 g q8h dose vs. 52.0% of non-survivors, $p = 0.349$, OR = 0.6 [95% CI: 0.3–1.6]).³⁶ However, there was an association between pathogen susceptibility to C/T (as measured by minimum inhibitory concentration [MIC]), dosing, and 30-day mortality. Thirty-day mortality was significantly lower in patients who received a 3 g q8h dose *and* were infected by *P. aeruginosa* with an MIC ≤ 2 mg/L (vs. patients without these characteristics; 47.7% of survivors vs. 24.0% of non-survivors, $p = 0.041$, OR = 0.3 [95% CI: 0.1–0.9]).³⁶

Aside from Rodriguez-Nunez *et al.*, outcomes were reported by dosing in three studies (including 32 patients).^{20,27,42} In each study, patients treated with a 3 g q8h dose (or creatinine clearance adjusted equivalent) had numerically similar or better outcomes than patients treated with a 1.5 g q8h dose. In Haidar *et al.*, 18 patients (16 pneumonia, two tracheobronchitis) were treated with C/T.²⁷ Five patients received 3 g q8h (or creatinine clearance adjusted equivalent) and nine received 1.5 g

q8h (or creatinine clearance adjusted equivalent) and were therefore underdosed according to FDA recommendations. Patients treated with 3 g q8h had numerically higher clinical success rates (80.0% vs. 66.7%), and lower 30-day mortality (0% vs. 11.1%).²⁷ In Xipell *et al.*, eight patients (four pneumonia, four tracheobronchitis), each with normal renal function, were treated with C/T.⁴² Three of these patients received 3 g q8h; five received 1.5 g q8h. Patients treated with 3 g q8h had numerically higher clinical success rates (100.0% vs. 80.0%), higher microbiological success rates (100.0% vs. 50.0%), and lower 30-day mortality (0% vs. 20.0%).⁴² In Castón *et al.*, six patients with unspecified RTIs, with unknown renal function, were treated with C/T.²⁰ Three patients received 3 g q8h; three received 1.5 g q8h. Patients treated with 3 g q8h had numerically similar clinical success rates (66.7% vs. 66.7%), higher microbiological success rates (100.0% vs. 0.0%), and similar 30-day mortality (33.3% vs. 33.3%).²⁰

4.5.4 Outcomes by pathogen and resistance

In univariate analysis, Rodriguez-Nunez *et al.* found no association between infection with XDR *P. aeruginosa* and 30-day mortality (73.8% of survivors had an XDR *P. aeruginosa* infection vs. 84.0% of non-survivors, $p = 0.308$, OR = 1.9 [95% CI: 0.6–6.2]).³⁶ In five studies (including 72 patients)^{18,22,30,34,47} that included patients with non-resistant *P. aeruginosa*, or the resistance was not specified, clinical success ranged from 51.4²²–100.0%^{30,34} (four studies), and mortality (unspecified timeframe) was 0.0% in two case reports.^{34,47} In larger studies (> 30 patients; two studies), clinical success ranged from 51.4²²–75.0%.¹⁸

Excluding single-patient studies, in 9 studies^{20,24,25,27,28,31,42,43,45} (including 409 patients) that included patients with MDR *P. aeruginosa* infections, clinical success ranged from 61.5⁴³–100.0%,²⁵ microbiological success ranged from 57.0²⁴–100.0%,²⁵ and 30-day mortality ranged from 0.0²⁸–33.3%.²⁰ In larger studies (> 30 patients; four studies),^{24,31,43,45} clinical success ranged from 61.5⁴³–72.6%,⁴⁵ one study reported microbiological success of 57.0%,²⁴ and 30-day mortality ranged from 20.5⁴³–29.0%.⁴⁵

One study (14 patients) was identified that reported results for patients with XDR *P. aeruginosa* infections.²³ This study reported a clinical success rates of 79.0%. Furthermore, two studies (three patients) reported data on PDR *P. aeruginosa*.^{16,38} Both studies reported 100% clinical and microbiological success, and 0% 30-day mortality.

Aside from *P. aeruginosa*, one study was identified that solely included patients with ESBL-producing Enterobacterales infections.¹⁹ This study found outcomes comparable with patients with *P. aeruginosa* infections – reporting a clinical success rate of 78.3% (36/46 patients).¹⁹

4.5.5 Comparative study outcomes

The literature search identified one study that compared a cohort of patients treated with C/T for RTIs (N = 62) with a cohort treated with mixed standard of care (SoC) antibacterials (N = 53).⁴⁵ All patients had pneumonia with a MDR *P. aeruginosa* culture. This study found no difference in clinical cure rates (C/T: 72.6% vs. SoC: 67.9%, $p = 0.683$) or 30-day mortality rates (C/T: 29.0% vs. SoC: 26.4%, $p = 0.840$) between the study groups. However, patients treated with C/T had more comorbid conditions than patients treated with SoC antibacterials and were significantly more likely to be admitted to the ICU at diagnosis, both of which may indicate more severe disease.⁴⁵

Discussion And Conclusion

This SLR showed that a body of RWE on the use of C/T for RTIs exists; however, reporting differences between studies often obscured the overall results. Despite the heterogeneity in the patient population, critical nature of infections, resistance profile of pathogens, and the large proportion of potentially underdosed patients, outcomes were generally high and comparable with the ASPECT-NP clinical trial. In larger studies (> 30 patients), clinical success rates ranged from 51.4–78.3% (eight studies), microbiological success was 57.0% (one study), and 30-day mortality ranged from 20.5–29.0% (five studies). These

findings are comparable to those found in ASPECT-NP¹³ – clinical cure = 54.4%, microbiological eradication = 73.1%, and 28-day mortality = 24.0%.

The recommended dose of C/T for HABP/VABP is 3 g q8h for patients with creatinine clearance of > 50 mL/min.¹² This dosing regimen, as used in ASPECT-NP,¹³ is based on optimized pharmacokinetic and pharmacodynamic properties and ensures adequate penetration and target attainment in the lungs. However, the treatment characteristics of the studies identified in this SLR suggest that patients with RTIs were often underdosed. There was a trend that suggested this is improving with a greater proportion of patients receiving the 3 g q8h dose (or creatinine clearance adjusted equivalent) in recent years. The evaluation of appropriate dosing parameters will be vital in truly assessing outcomes of C/T for RTI, as this evidence suggests improved outcomes among those receiving a 3g q8h dosing regimen.^{20,27,36,42}

The majority of patients in this SLR had RTIs caused by *P. aeruginosa*, a difficult-to-treat pathogen for which there are limited treatment options.⁶ In this study, most patients treated with C/T had at least a MDR pathogen with a high frequency of XDR (13.8% of patients) and CR (0.5%). This highlights a need for new and novel antibiotics in our gram-negative armamentarium to combat these pathogens. Further, these data suggest comparable treatment efficacy to the clinical trial, ASPECT-NP, which had extremely limited pathogen resistance – providing additional evidence for these pathogens that are seen in clinical practice. Further, one study in this SLR included ESBL-producing Enterobacterales infections and showed a 79% clinical success rate for C/T treated patients. The incidence of severe infections caused by ESBL-producing Enterobacterales is a rising concern worldwide owing to the successful dissemination of these species in both community and healthcare settings. Serious infections caused by these strains are usually treated with carbapenems; however this may potentially select for CR pathogens. The successful treatment effect of C/T was similar to that seen in ASPECT-NP and is in need of further evaluation.

Specific measures of severity of illness, such as CCI or APACHE scores were seldom reported across studies. In those that did report these measures, patients were typically seriously ill, had severe comorbidities, and had ICU stays. Furthermore, mechanically ventilated patients, such as those with VABP or vHABP, would likely have been receiving intensive care. This means the number of ICU patients may be underestimated. This patient profile was consistent with expectations for patients with vHABP/VABP, and mirrors the population enrolled in ASPECT-NP.¹³ Further, these RWE studies also included patients that were excluded from the clinical trials and yet the results still yielded comparable results to the trials.

Though this SLR is a comprehensive summary of the real-world use of C/T, the conclusions of this SLR are limited by the inconsistent reporting that is common within RWE. The majority of studies included patients with multiple different infections, and only reported limited data on the subset of patients with RTIs. This meant that patient characteristics, treatment characteristics and outcomes were often missing. As described in detail in Puzniak *et al.* 2021, this SLR is subject to a number of limitations.¹⁴ Briefly, variability in reported outcomes imposes challenges in attributing outcomes to the exposure studied. Moreover, the inclusion of non-peer-reviewed conference proceedings may have affected evidence included within this review. Some studies included portions of data that may have been reported in part by other studies. Since it was difficult to discern which patients were affected, this potential double counting was not adjusted. Many studies had small sample sizes and did not include comparison groups for statistical inference purposes. The vast majority of studies were of a retrospective design which are prone to selection bias. Finally, publication bias may have arisen due to potential non-publication of negative results. Although both IDWeek and ECCMID were searched, this review did not include a comprehensive search of all relevant microbiology conferences or search for studies that were not captured in biomedical databases. Though these are pragmatic limitations associated with all literature reviews, there remains a possibility that the studies included in this review overestimate the treatment effect.⁴⁸

In conclusion, this SLR identified and summarized the published RWE on the use of C/T for RTIs. Despite the numerous inconsistencies in the reporting of data, these studies demonstrate the effectiveness of C/T in clinical practice. Further

studies are required that evaluate C/T solely in patients with RTIs to allow for a better understanding of outcomes specific to RTIs and stratified by key parameters, such as dose and resistance patterns.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Availability of data and materials

All data analyzed during this study are included in this published article.

Competing interests

LP and RD are employees of Merck & Co., Inc., who may own stock and/or hold stock options in the Company. TP, HC, and AE are employees of Adelphi Values PROVE, which received funding for this research.

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Authors' contributions

LP and RD conceived and designed the research, contributed to the interpretation of results, and critically revised the manuscript for important intellectual content. TP, HC, and AE conducted the literature review, analyzed the data, interpreted the results, and drafted the manuscript. All authors have approved the manuscript to be submitted for publication.

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Figures

Figure 1

PRISMA flow diagram for study selection

Figure 2

Types of RTIs treated with C/T in the RWE

Figure 3

FDA dosing* by year of publication

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