

# Impact of Early Chimerism Status on Clinical Outcome in Children with Acute Lymphoblastic Leukaemia after Haematopoietic Stem Cell Transplantation

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## Research article

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# Abstract

**Background:** The significance of very early chimerism assessment before day +28, which is considered the moment of engraftment, is still unclear. In this retrospective study, we evaluated the clinical impact of very early chimerism on the clinical outcome after allogeneic haematopoietic stem cell transplantation (allo-HSCT) in children with acute lymphoblastic leukaemia (ALL). **Methods:** The study group included 38 boys and 18 girls. Very early chimerism was evaluated on days +7, +14, +21 and +28 after the transplant. Short tandem repeat polymerase chain reaction (STR PCR) was used to analyse chimerism. **Results:** Overall survival (OS) and event-free survival (EFS) were 84% and 80%, respectively. The OS in the group of 24 patients with complete donor chimerism on day +14 was 83%, and it did not differ statistically compared to the 32 patients with mixed chimerism on day +14 (OS was 84%). In our cohort of patients, the matched unrelated donor, male of donor, number of transplanted cells above  $4.47 \times 10^6$  kg and no serotherapy with ATG were statistically related to a higher level of donor chimerism. The immunophenotypes of disease, age of patient at time HSCT, recipient sex, stem cell source (peripheral blood/bone marrow) and conditioning regimen had no impact on early chimerism. Acute graft versus host disease grades II-IV was diagnosed in 23 patients who presented with donor chimerism levels above 60% on day 7. **Conclusion:** The data presented in this study provide valuable insight into the analysis of very early chimerism in children with ALL treated with HSCT.

## Background

Current chemotherapy regimens for acute lymphoblastic leukaemia (ALL) result in a remission in the majority of children with the disease. Despite remarkable improvement in the treatment of this malignancy, 20% of children still relapse, and their outcome remains poor [1]. Allogeneic haematopoietic stem cell transplantation (allo-HSCT) for these children has become a well-established treatment to control the disease [2]. The curative effect of allogeneic HSCT for acute leukaemia is attributed to the graft versus leukaemia effect produced by allogeneic immune cells, as well as intensive conditioning chemotherapy with or without radiotherapy [3].

It is well known that chimerism monitoring is an important diagnostic tool for assessing the risk of relapse after allo-HSCT in patients with malignant diseases, especially in those, who lack specific markers for tracking residual disease [4]. However, the significance of very early chimerism assessment before day +28, which is considered the moment of the engraftment, is still unclear. The studies show that early analysis of T- and NK-cell chimerism can therefore be instrumental in risk assessment and therapeutic management of imminent graft rejection [5].

Investigations on the new methods for routine chimerism monitoring are very promising; however, the current gold standard method of monitoring chimerism is short tandem repeat polymerase chain reaction (STR PCR), which not only determines the type of chimeras, but also determines the percentage of both donor and recipient cells [6, 7, 8]. The persistence or reappearance of recipient cells after allo-HSCT can indicate the presence of malignant cells or the recurrence of the recipient's haematopoietic cells or a combination of both [9].

The aim of this study was to analyse the dynamics of early chimerism after allogeneic HSCT in children with ALL and its role in the assessment of survival and event-free survival. Furthermore, this study analyses the evolution of chimerism over time and evaluates the impact of transplant variables on chimerism.

## Methods

### Patients

The research encompassed biological material (peripheral blood) derived from 56 consecutive children diagnosed with acute lymphoblastic leukaemia who had undergone allogeneic haematopoietic stem cell transplantation at the Department of Paediatric Haematology, Oncology and Transplantology of Medical University in Lublin between 2002 and 2018. The patients' characteristics are summarized in Table 1.

All patients were conditioned according to the European Bone Marrow Transplantation (EBMT) guidelines [10]. Conditioning was myeloablative (MAC), and standard regimens were based on fractionated total body irradiation (FTBI) or busulfan. In reduced toxicity conditioning (RTC), treosulfan was used instead of busulfan. Cyclosporine was used as a graft versus host disease (GvHD) prophylaxis. Matched unrelated transplant recipients received anti-thymocyte globulin (ATG) to prevent GvHD. Mismatched related transplant recipients received ex-vivo T-cell depleted grafts. Engraftment was diagnosed when an absolute neutrophil count (ANC) of 500 or more was observed for 2 days.

### Chimerism Analysis

Very early chimerism was evaluated from peripheral blood (PB) on days +7, +14, +21 and +28. Next, samples were collected, and chimerism was monitored according to the EBMT guidelines as a part of the routine follow-up post allo-HSCT [9, 10]. Depending on clinical indications, chimerism was monitored irrespective of the scheduled time points. A previously described STR PCR method that has been standardized in our laboratory based on Eurochimerism recommendations was used for chimerism assessment [11, 12]. The sensitivity of our method for detecting recipient cells was 1%, but patients with verified 1% autologous cells in 2 consecutive samples were considered mixed chimeras. Early mixed chimerism was determined as the presence of 1% or more recipient cells in peripheral blood.

The research material consisted of genomic DNA isolated from mononuclear peripheral blood cells. Peripheral blood was collected into anticoagulant (EDTA)-containing tubes. The isolation of the mononuclear cell (MNC) fraction was performed using Ficoll-Paque PLUS aqueous solution of 1.077 + 0.001 g/ml density (Amersham Biosciences, Inc., Piscataway, NJ, USA). DNA isolation was performed with the QIAamp DNA Blood Mini Kit (Qiagen, Hilden, Germany). After extraction, DNA was eluted from the column with 60-70 ml of elution buffer. The quantity of DNA was determined with a Nanophotometer (Thermo Fisher Scientific, Waltham, MA, USA). According to the manufacturer's recommendations, 1-2,5 ng of matrix DNA was used with the commercial AmpFISTR NGM Plus Kit (Thermo Fisher Scientific, Waltham, MA, USA). PCR was performed according to the manufacturer's instructions, which were as follows: 95°C for 11 min, followed by 28 cycles at 94°C for 20 sec, 59°C for 3 min, with an additional elongation step for 10 min at 60°C (ProFlex™ PCR System, Thermo Fisher Scientific, Waltham, MA, USA) and a final hold at 4°C. The following loci were

amplified: D10S1248, vWA, D16S539, D2S1338 labelled with 5-FAM, Amelogenina X/Y, D8S1179, D21S11, D18S51 labelled with VIC, D22S1045, D19S433, TH01, FGA labelled with NED and D2S441, D3S1358, D1S1656 and D12S391 labelled with PET. Separation and detection of the PCR products were performed on a Genetic Analyzer 3500 (Thermo Fisher Scientific, Waltham, MA, USA). Gene Mapper® ID-X software (Thermo Fisher Scientific, Waltham, MA, USA) was used to automatically determine the size of the amplified fragments.

Statistical analysis was performed using SPSS IBM Statistics (Version 24) and XLSTAT 2019 1.3. Non-parametric tests (Pearson's Chi-square, chi-square test with simulating p values – test insensitive to small numbers, Kruskal-Wallis) were used for group comparison. OS and EFS were estimated using Kaplan-Meier method and Log-rank tests. Cumulative incidence of relapse was performed using STATA. Statistical significance was considered  $< 0.05$ .

The study was approved by the Ethics Committee of the Medical University of Lublin.

## Results

The median follow-up was 4.58 years (1.00 - 15.79 years).

The 5-year overall survival (OS) and event-free survival (EFS) for the whole group of patients were 84% and 80%, respectively. Further comparisons were performed in groups of children with CC and MC assessed on days +14, +21, +28. On day +7 all, but one patient presented MC, therefore statistical analyses were not performed for this time point. No statistical differences were found in OS and EFS in analysed time points. The results are presented on Figure 1 and 2.

Analysis of early chimerism showed that the median donor chimerism level was 60% on day +7, 90% on day +14, 96% on day +21, and 98% on day +28. The kinetics of early chimerism in the studied group of patients is presented in Figure 3.

We analysed factors, that may have influenced the status of early chimerism (Table 2). In our cohort of patients, the matched unrelated donor, male donor, number of transplanted CD34+ cells above  $4.47 \times 10^6$  kg and no ATG serotherapy were statistically related to a higher level of donor chimerism. The immunophenotype of the disease, patient's age at HSCT, recipient's sex, stem cell source (peripheral blood/bone marrow) and conditioning regimen had no impact on early chimerism.

Acute graft versus host disease (aGvHD) grades II-IV was diagnosed in 23 patients. For statistical analyses of the effect of donor chimerism levels on aGvHD incidence, all patients were divided into two groups based on donor chimerism levels above and below 80%. These values were determined on the basis of the fact that in the whole group of patients, the median percentage of donor chimerism before +28 days reached 80%. No statistically significant effect of the level of donor chimerism above 80% achieved by patients before day +28 on the incidence of aGvHD was found ( $p = 0.22$  on day 7;  $p = 0.69$  on day 14;  $p = 0.93$  on day 21;  $p = 0.75$  on day 28). It was found that in all patients who developed aGvHD, the level of donor chimerism on day +7 was above 60%.

The level of donor chimerism above 80% had no effect on chronic graft versus host disease (cGvHD) ( $p = 0.05$  on day 7;  $p = 0.93$  on day 14;  $p = 0.85$  on day 21;  $p = 0.27$  on day 28).

In two patients, increasing recipient chimerism was found on day +21, and for that reason, the cyclosporine was discontinued. These children have achieved complete donor chimerism on days +40 and +90, respectively. At the end of the observation period, both were alive and in complete remission 2.5 and 3.5 years, respectively, after HSCT with complete donor chimerism.

Forty-eight patients (86%) were alive and in complete remission (in forty-five patients, complete donor chimerism was found, while recipient haematopoiesis was detected in three patients). Eight patients (14%) died. Relapse occurred in five of them (9%) after day +28, between 3 months and 4.5 years post-HSCT. All relapsed patients presented with increasing recipient chimerism (IMC) on days +91, +93, +331, +444, and +1285, respectively. The relapse was diagnosed between 7 and 10 days after IMC was diagnosed. Three of the relapsed patients achieved complete donor chimerism early on day +14. No difference in cumulative incidence (CI) of relapse was observed in patients with donor chimerism lower and higher than 60% on day +7: CI (95%) 0.114 (0.031-0.43) and 0.139 (0.05-0.406) respectively ( $p = 0.56$ ); as well as in CI of relapse in patients with MC and CC on day +14, respectively: CI (95%) 0.063 (0.015-0.28) and 0.161 (0.061-0.461), respectively ( $p = 0.35$ ). Three patients (5%) died due to transplant-related complications.

## Discussion

The literature data suggest that chimerism analyses are routinely performed for the surveillance of engraftment. In recent years, these studies have become the basis for therapeutic intervention [13, 14]. The polymerase chain reaction of short tandem repeat (PCR-STR) method used in our study is a reliable tool, standardized in the chimerism laboratory and well described in the literature as the routine method for the assessment of post-transplant chimerism [12, 15]. The previous literature data suggested that serial mixed chimerism analysis in patients with acute leukaemia at the short time intervals by PCR provides a reliable and rapid screening method for the early detection of relapse [16]. Based on its limited sensitivity to detect a minor cell population of approximately 1%, monitoring of chimerism in the whole blood is not suitable to serve as the minimal residual disease (MRD) marker. For the assessment of MRD, other techniques should be used [17].

Relapse after transplantation is a major cause of treatment failure in paediatric patients with ALL. Barrios M et al. [18] presented results that indicate that sequential determination of chimerism allows the prediction of relapse and death after HSCT for acute leukaemia. Patients with increasing mixed chimerism (IMC) showed a significantly higher ( $p < 0.001$ ) rate of relapse (93.1%) and death (89.7%) in comparison to both those with complete chimerism (CC) (29.9% relapse, 44.1% dead) or decreasing MC (11.1% relapse, 44.4% dead). Relapse was found in 39.8% of analysed patients. The correlation between donor chimerism status and disease relapse after allo-HSCT was investigated by Jiang Y et al [19]. A total of 21.6% of patients had recurrent disease. In the analysed group, relapse was observed in 9% of patients who showed increasing recipient chimerism, although on day +14, they were complete chimeras. Five-year OS and 5-year event-free survival (EFS) were  $62.07 \pm 4.37\%$  and  $56.17 \pm 4.38\%$ , respectively, for the overall cohort of patients with ALL in the Pachon C et al. study [20]. In our study, OS (83%) and EFS (84%) were higher than those described in

the literature [20]. Three of our patients are alive with stable mixed chimerism (10% donor, 35% donor and 90% donor, respectively), which is in line with the observation of Levrat E. et al. with very long-term stability of MC in patients with haematologic malignancies [21].

Lassaletta A et al. [22] analysed chimerism by the day 30 after peripheral blood progenitor cell transplantation. A total of 27/39 patients showed CC by day 30 after HSCT, but the median time to achieve CC was 15 days (range 8-750). In 15.4% of patients, CC was never reached. On the day +7, a median of 61% of our patients presented very early complete donor chimerism, whereas on the day +14, a median of 90% patients had complete donor chimerism. Finally, 48/56 (86%) of our patients achieved complete donor chimerism.

Comparable to other studies, in our cohort no correlation between early donor chimerism and the source of stem cell transplantation was found, but the number of transplanted CD34+ cells had a significant impact on patients' chimerism status [22, 23]. We observed that matched unrelated and male donors were connected with high-level donor chimerism on days +7 and +14, similar to other reports [24].

A relatively low-dose ATG is effective in acute GvHD prophylaxis, leading to promising survival rates in matched transplants [25]. Our results indicate that ATG is also effective but connected to mixed chimerism in the very early period after HSCT. In patients who received ATG as GvHD prophylaxis, engraftment with complete donor chimerism was observed later than that in patients without ATG (day +21 vs +14, respectively).

The conditioning regimen (myeloablative) did not affect early chimerism status, which is compatible with other reports [26, 28].

Some studies report that the status of CC by day +30 was significantly related to the development of chronic GvHD. Patients who presented CC by day +30 had 80.8±8%, probability of developing chronic GvHD, whereas the probability of patients with MC by day +30 was 48±17% ( $p = 0.04$ ) [22]. Mossalam G et al. [26] observed that low donor chimerism in patients was connected with a reduced risk of chronic GvHD. Jaksch M et al. [27] found a significantly higher risk ( $p = 0.005$ ) for developing aGvHD grades II-IV in patients with completed donor CD4+ T-cell chimerism on day 7 after SCT together with patients who increased 50% or more in donor CD4+ T cells between days 7 and 10 after SCT. We did not observe a correlation between early donor chimerism and aGvHD or cGvHD, perhaps due to GvHD prophylaxis. The patients who developed cGvHD, presented donor chimerism above 80% on day +7 ( $p = 0.05$ ). To confirm this finding, the studies should be continued on a larger group of patients.

Horn B et al. [29] describe the long-term follow-up of children with acute leukemia with early mixed chimerism-based post-transplant immunotherapy. Children receiving post-transplant immunotherapy achieved similar outcomes to patients achieving full donor chimerism spontaneously. Rettinger E et al. added that the immunotherapy in the patients with mixed chimerism improves survival in childhood ALL and does not increase the risk of acute GvHD [30]. In our cohort, a successful therapeutic intervention was undertaken twice on the basis of chimerism measurements in the early post-transplant period (day +21).

## Conclusion

The data presented in this study provide a valuable input for the analysis of the significance of the very early assessment of chimerism in children with ALL treated with HSCT. Our findings suggest that early monitoring of chimerism after HSCT may be a helpful tool in predicting transplant rejection and using successful therapeutic intervention. This study has its limitations, such as no evaluation of the chimerism in lymphocyte subpopulations. Prospective observational studies and multicentre retrospective studies on larger groups of patients, including those diagnosed with different malignancies, would allow comparison of the results obtained for different groups of patients.

## Abbreviations

Allo-HSCT: Allogeneic Haematopoietic Stem Cell Transplantation; ALL: Acute Lymphoblastic Leukaemia; STR PCR: Short Tandem Repeats Polymerase Chain Reaction; OS: Overall Survival; EFS: Event-Free Survival; ATG: Anti-thymocyte Globulin ; RTC: Reduced Toxicity Conditioning; GvHD: Graft versus Host Disease; ANC: absolute neutrophil count; PB: peripheral blood; EBMT: European Bone Marrow Transplantation; MNC: mononuclear cells; aGvHD: acute Graft versus Host Disease; cGvHD: chronic Graft versus Host Disease; MRD: minimal residual disease; IMC: increasing mixed chimerism; CC: complete chimerism;

## Declarations

### Ethics approval

The study was approved by the Ethics Committee of the Medical University of Lublin.

### Consent for publication

All of participants have written consent to participate and publish the data.

### Availability of data and materials

Data and material are available upon request.

### Competing interests

The authors declare that they have no Competing interests.

### Funding

No funding

### Authors' contribution

ML, AZP and KD are responsible to the conception and design of the study. AZP, JZ, AM and DG shared patients' clinical data and peripheral blood samples. ML and AM conducted laboratory work. ML, AZP and

KD responsible for analysis and interpretation data. ML, KD and JRK prepared final manuscript for publication. This manuscript was reviewed and approved by all authors.

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## Tables

Table 1. Characteristics of patients and transplantation data.

	Patients n=56 (100%)
Immunophenotype	
B ALL	31 (55%)
T ALL	25 (45%)
1 CR	26 (46%)
>2 CR	30 (54%)
Median age at transplant (range) years	9,04 (1,72-17)
Patient gender	
Male	38 (68%)
Female	18 (32%)
Donor type	
Matched related	25 (45%)
Matched unrelated	28 (50%)
Mismatched related	3 (5%)
Donor gender	
Male	31 (55%)
Female	25 (45%)
Stem cell source	
Bone marrow	47 (84%)
Peripheral blood	9 (16%)
Conditioning regimen	
Radiation-base	41 (73%)
Busulfan-based	2 (3%)
Reduced toxicity	13 (24%)
Serotherapy (ATG)	
YES	28 (50%)
NO	28 (50%)
Number of CD34+ cells (median 4,47) range (2 - 13,3 x 10 <sup>6</sup> /kg)	
< 4,47 x 10 <sup>6</sup>	35 (62%)
> 4,47 x 10 <sup>6</sup>	21 (38%)
aGvHD	23 (41%)
cGvHD	4 (7%)

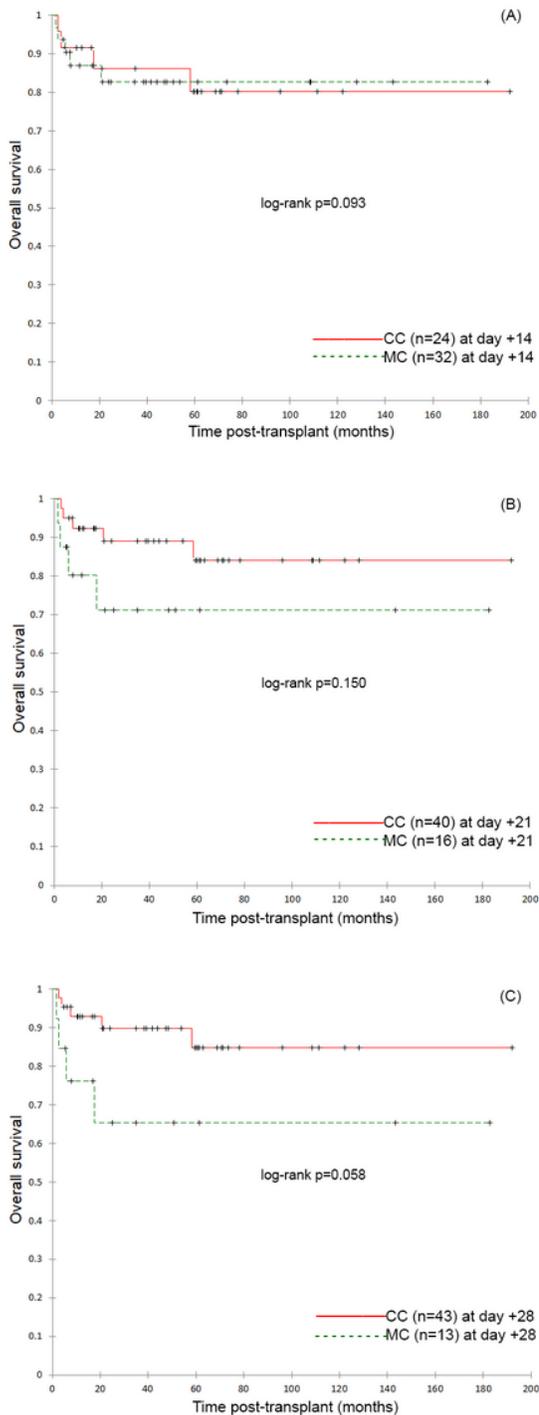
B ALL - B-cell acute lymphoblastic leukaemia; T ALL - T-cell acute lymphoblastic leukaemia; CR - complete remission; ATG - antithymocyte globulin; aGvHD - acute Graft Versus Host Disease; cGvHD - chronic Graft Versus Host Disease

**Table 2. Characteristics of factors influencing on the early chimerism status.**

Transplant variables	Donor chimerism level (median %)							
	+7 day	p value	+ 14 day	p value	+ 21 day	p value	+ 28 day	p value
Underlying diseases								
B ALL n= 31	58	p=0.9	100	p=0.3	100	p=0.79	100	p=0.58
T ALL n= 25	63		95		100			
Age of patient								
< median (8.67 years) n=28	58	p=0.98	95	p=0.66	100	p=0.73	100	p=0.86
> median (8.67 years) n=28	65		99		100			
Patient gender								
Male n=38	61	p=0.99	94	p=0.15	100	p=0.68	100	p=0.7
Female n=18	62		100		100			
Donor type								
Matched related n=25	49	p=0.06	90	<b>p=0.02</b>	100	<b>p=0.01</b>	100	<b>p=0.02</b>
Matched unrelated n=28	68		100		100			
Mismatched related n=3	80		100		100			
Donor gender								
Male n=31	77	<b>p=0.003</b>	100	<b>p=0.041</b>	100	p=0.56	100	p=0.80
Female n=25	46		89		100			
Stem cell source								
Bone marrow n=47	60	p=0.64	96	p=0.20	100	p=0.32	100	p=0.19
Peripheral blood n=9	63		100		100			
Conditioning regimen								
Radiation-based n=41	60	p=0.33	96	p=0.40	100	p=0.40	100	p=0.43
Busulfan-based n=2	74		80		85			
Reduced toxicity n=13	67		100		100			
Serotherapy (ATG)								
YES n=28	49	<b>p=0.05</b>	94	p=0.11	100	<b>p=0.016</b>	100	<b>p=0.023</b>
NO n=28	68		100		100			
Number of CD34+ cells (median 4,47)								
< 4,47 x 10 <sup>6</sup> n=35	58	p=0.41	94	<b>p=0.036</b>	100	p=0.08	100	p=0.19
> 4,47 x 10 <sup>6</sup> n=21	67		100		100			
Patients								
without event n=48	62	p=0.98	68	p=0.48	100	p=0.88	100	p=0.56
with relapse n=5	64		97		100			
with TRM no relapse n=3	65		81		100			

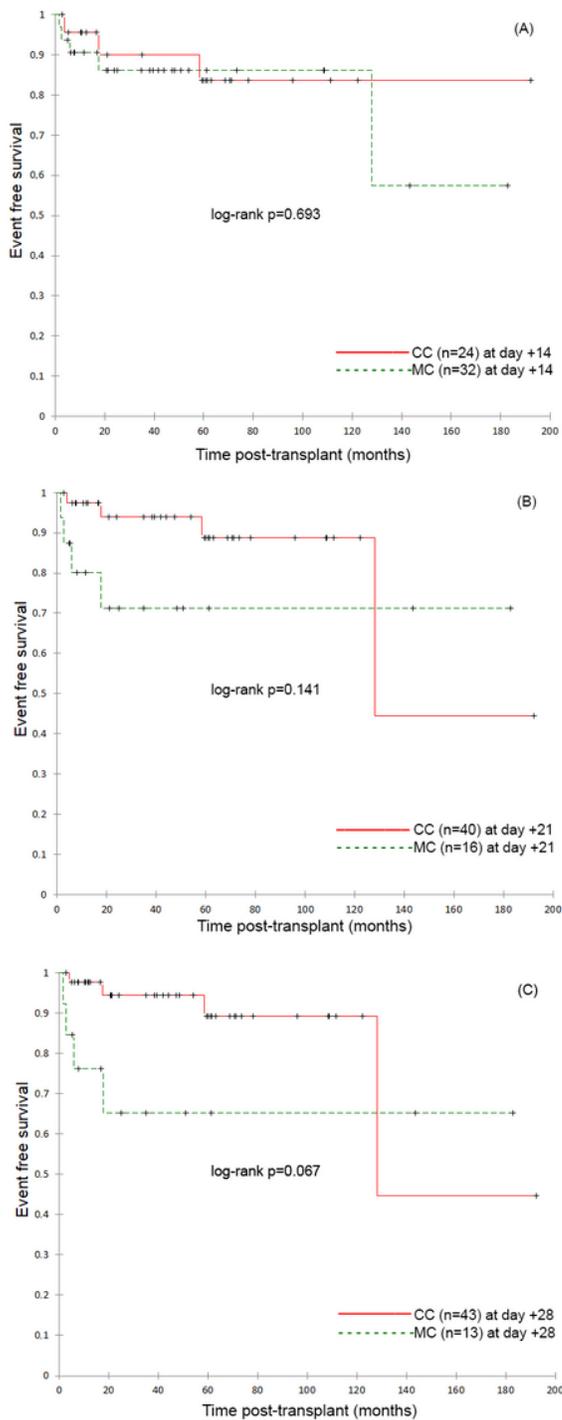
B ALL - B-cell acute lymphoblastic leukaemia; T ALL - T-cell acute lymphoblastic leukaemia; ATG - anti-thymocyte globulin; TRM- transplant related mortality

## Figures



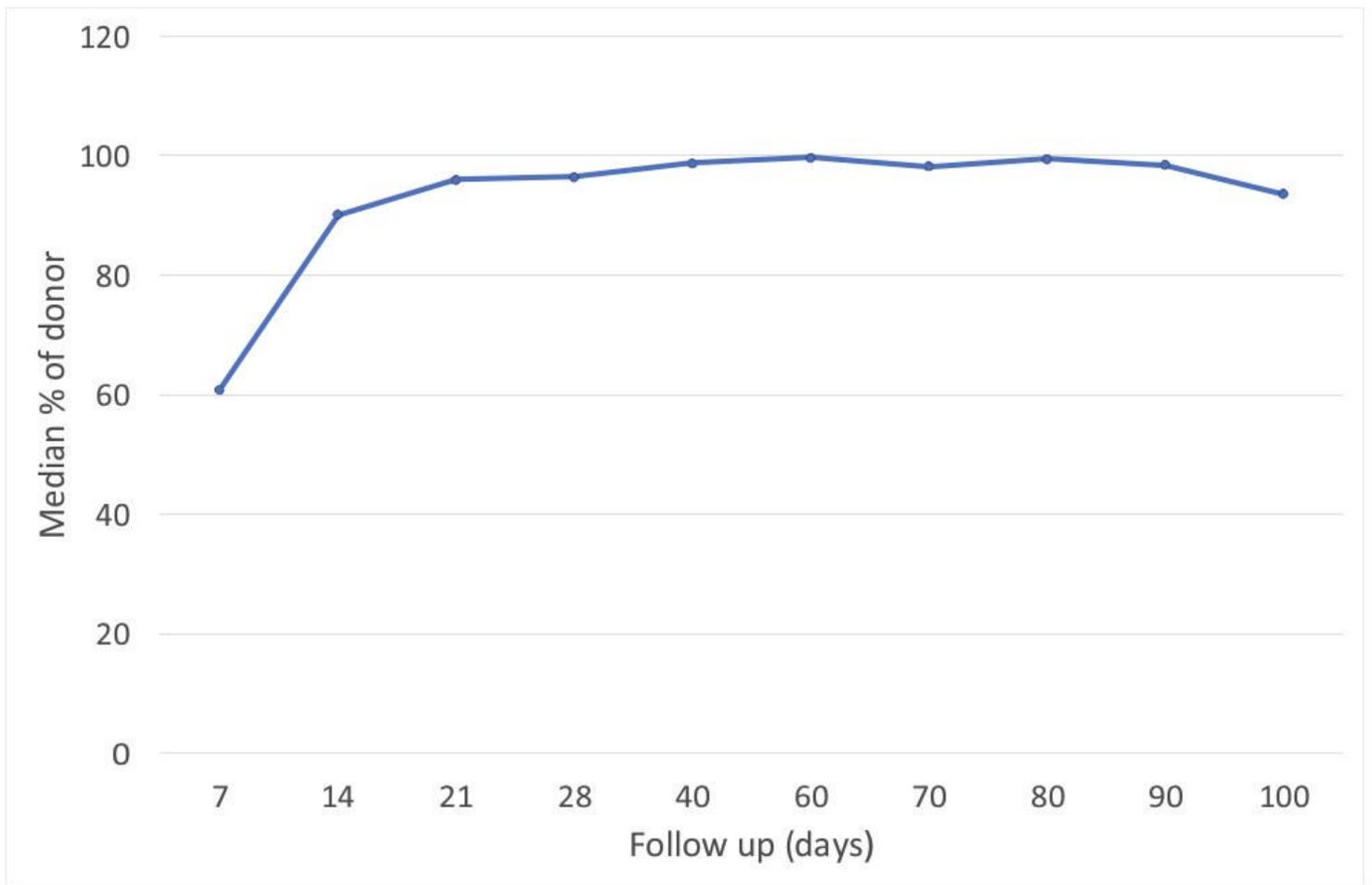
**Figure 1**

Overall survive (OS) of study patients. A. Kaplan-Meier survival plots for OS of study patients with complete donor chimerism (n=24) in day 14 and patients with mixed chimerism (n=32) in day 14. Study cohort: n=56, p=0.093 B. Kaplan-Meier survival plots for OS of study patients with complete donor chimerism (n=40) in day 21 and patients with mixed chimerism (n=16) in day 21. Study cohort: n=56, p=0.150 C. Kaplan-Meier survival plots for OS of study patients with complete donor chimerism (n=43) in day 28 and patients with mixed chimerism (n=13) in day 28. Study cohort: n=56, p=0.058



**Figure 2**

The even free survive (EFS) of study patients. A. Kaplan-Meier survival plots for EFS of study patients with complete donor chimerism (n=24) in day 14 and patients with mixed chimerism (n=32) in day 14. Study cohort: n=56, p=0.693. B. Kaplan-Meier survival plots for EFS of study patients with complete donor chimerism (n=40) in day 21 and patients with mixed chimerism (n=16) in day 21. Study cohort: n=56, p=0.141. C. Kaplan-Meier survival plots for EFS of study patients with complete donor chimerism (n=43) in day 28 and patients with mixed chimerism (n=13) in day 28. Study cohort: n=56, p=0.067.



**Figure 3**

The graph shows the kinetics of chimerism in all analysed patients. The results presented on the curve are median (expressed as a percentage) values of donor cells in each time point on the schedule of monitoring chimerism.