

Stress Hormone Response to Instrumented Lumbar Spine Fusion Surgery

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1 **Stress hormone response to instrumented lumbar spine fusion surgery**

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19

20 **Abstract**

21 The purpose of the present study was to investigate stress, anabolic and catabolic hormonal
22 levels and their association with interleukin 6 (IL-6) cytokine in patients undergoing lumbar
23 spine fusion (LSF) surgery. Blood samples were collected preoperatively, and at 1, 3, 42 and 90
24 days postoperatively (POD) from 49 LSF patients with a mean (SD) age of 62 (11) years.
25 Serum concentrations of adrenocorticotrophic hormone (ACTH), cortisol, growth hormone
26 (GH), insulin-like growth factor 1 (IGF-1), testosterone, sex hormone-binding globulin
27 (SHBG) and IL-6 were analyzed. In women, cortisol concentration rose above baseline values
28 despite a fall in ACTH levels. GH showed a decrease on PODs 1 and 3 whereas IGF-1 levels
29 remained stable. In males, SHBG increased, and both testosterone and free testosterone showed
30 a decrease during PODs 1-3. The other hormone concentrations had returned to normal by
31 PODs 42 or 90, except for IGF-1, which remained above the baseline value on PODs 42 and
32 90. IL-6 correlated significantly with cortisol ($p < 0.001$) level on POD 1. The results suggest
33 that hypercortisolism after operative stress is caused by cytokine-induced non-ACTH-driven
34 cortisol production or reduced cortisol breakdown suppressing the production of ACTH via
35 feedback inhibition. Furthermore, GH levels decrease rapidly.

36

37 **Introduction**

38 The stress hormone cortisol is an essential component of the reaction to stress induced by
39 surgical trauma. High cortisol levels during acute stress contribute to the supply of extra energy
40 to vital organs by acutely shifting carbohydrate, fat, and protein metabolism and by delaying
41 anabolism. Moreover, cortisol affects the hemodynamic system by initiating intravascular fluid
42 retention and enhancing inotropic and vasopressor responses to catecholamines and angiotensin
43 II. In addition, the anti-inflammatory effects of cortisol prevent over-activation of the
44 inflammatory cascade. During acute stress, plasma cortisol concentrations are substantially
45 elevated, a phenomenon that has traditionally been explained by the elevation of cortisol
46 production in the adrenal cortex driven by adrenocorticotrophic hormone (ACTH) secreted from
47 the pituitary gland. However, evidence has accumulated showing that ACTH is elevated only
48 transiently after acute stress (trauma, sepsis or critical illness) whereas cortisol concentrations
49 remain high.^{1,2}

50 Low plasma ACTH in the presence of high plasma cortisol concentrations has been
51 interpreted as non-ACTH-driven cortisol production, a process in which cytokines could play a
52 role.³ Alternatively, this phenomenon could be explained by reduced cortisol breakdown
53 suppressing the production of ACTH via feedback inhibition. A study by Boonen et al. showed
54 that cortisol production during a critical illness was only slightly higher than in healthy
55 subjects.² Cortisol breakdown was substantially reduced, probably explaining the increased
56 levels of plasma cortisol despite no increase in its production. Both ACTH and cortisol have
57 returned to their normal levels as early as 4-6 days after surgery in patients who have
58 undergone hepatectomy.⁴ To our knowledge, no previous studies have investigated cortisol,
59 ACTH and cytokine responses after acute surgical stress.

60 The main insulin counter-regulatory hormones are growth hormone, glucagon,
61 adrenalin, noradrenalin and cortisol. Stress-induced endocrine responses ensure the adequate

62 availability of glucose by activating gluconeogenesis, decreasing the insulin sensitivity of
63 muscle cells and increasing the insulin sensitivity of organs predominantly relying on glucose,
64 such as the brain and blood cells.⁵

65 Insulin-like growth factor 1 (IGF-1) is an endocrine mediator of growth hormone (GH)-
66 induced metabolic and anabolic actions, and it has paracrine and autocrine functions.

67 Circulating IGF-1 level is mainly controlled by pituitary GH secretion. Age, gender, smoking
68 status and dietary intake have an effect on IGF-1 levels by affecting GH secretion and GH
69 sensitivity. Cytokines and genetic factors may also influence circulating levels of IGF-1. In
70 general, acute critical illness is characterized by an increase in circulating GH levels and a
71 decrease in IGF-1 levels, indicating relative GH resistance. At least partially, this GH resistance
72 is thought to be responsible for the negative nitrogen balance observed in a critical illness.⁶

73 Lumbar spine fusion (LFS) is performed by using an open midline approach in which
74 the paraspinal muscles are detached from the spinous processes and retracted. Thereafter, the
75 vertebrae are fixed together with transpedicular screws and rods and, if needed, with posterior
76 lumbar interbody fusion is added. Perioperative tissue trauma undoubtedly causes an acute
77 stress reaction that activates hormonal secretion. Acute stress affects several hormones;
78 however, the mechanisms underlying these changes, and precisely when the changes occur,
79 remain unknown. It is possible that an association exists between cytokine reaction and
80 hormonal levels induced by surgical stress. Changes in serum hormonal levels caused by acute
81 stress can impact on patient recovery and could explain the differences observed between
82 individuals in their rates of recovery and complications. Moreover, the rise in cytokine levels in
83 response to acute surgical trauma⁷ could also impact hormonal levels after surgery.⁸⁻¹⁰

84 This study investigated changes in serum cortisol, ACTH, GH and IGF-1 concentrations
85 during the acute postoperative trauma and tissue repair process induced by instrumented LFS. In

86 addition, changes in serum testosterone and sex hormone-binding globulin (SHBG) were
87 investigated. A further aim was to assess the associations between interleukin (IL-6) cytokine
88 and hormonal levels.

89 **Materials and methods**

90 *Ethical considerations and patient selection*

91 The ethics committee of Tampere University Hospital approved the protocol. All methods
92 were performed in accordance with the relevant guidelines and regulations including the
93 Declaration of Helsinki. Patients aged at least 18 years who provided a signed consent and for
94 whom successful blood samples had been taken at the different follow-up time points were
95 included. Patients diagnosed with inflammatory diseases or who had severe cardiovascular,
96 psychiatric, social or general health disorders were excluded from the study. Patients who
97 have hyper- or hypocortisolism, hypo- or hypergonadism, GH deficiency or pituitary
98 gigantism would be excluded.

99 *Patients*

100 Altogether 52 consecutive patients with isthmic or degenerative spondylolisthesis or spinal
101 stenosis who underwent an elective instrumented LFS in Tampere University Hospital were
102 recruited for the study. The main indication for surgery was lower limb radicular pain. All
103 operations were performed under general anesthesia in the operating theater. Glucocorticoid
104 or immunomodulatory medication was not administered during the follow-up.

105 *Questionnaires*

106 Participants were asked to complete patient-reported outcome instruments and questionnaires
107 on sociodemographic variables, duration of preoperative symptoms, and back and radicular
108 pain at the time of admission. Information on patients' height, weight, medical conditions,

109 current medication, tobacco use, and received treatments was also elicited by questionnaire.
110 Disability was captured by the Oswestry disability index (ODI).^{11,12}

111 *Blood samples*

112 Patient blood samples were obtained pre- and postoperatively between April 2010 and
113 November 2011 in Tampere University Hospital, Finland. All samples were collected in the
114 morning before breakfast (before 7.30 a.m.) on the day of surgery, at 1 and 3 days
115 postoperatively in the hospital ward, and at 6 weeks and 3 months postoperatively in a clinical
116 follow-up at the hospital outpatient clinic. Blood was drawn from antebrachial venae into
117 serum vacuum tubes. Centrifuged serum samples were stored at -80C° until assayed with an
118 Immulite1000 analyser. Cortisol, ACTH, IGF-1, GH, testosterone, and SHBG levels were
119 analyzed at each needed time point. Interleukin 6 (IL-6) levels were also analyzed at each time
120 point. Free testosterone was calculated with Anderson's equation: serum free testosterone
121 (pmol/l) = serum testosterone (nmol/l) x (2.28-1.38 x log (SHBG (nmol/l)/10)) x 10.

122

123 *Statistics*

124 Data are presented as means with standard deviations (*SD*), medians with interquartile ranges
125 (IQR), 95% confidence intervals (95% CI) or as counts with percentages. Repeated measures
126 for continuous outcomes (hormones) were analyzed using a generalized estimating equations
127 (GEE) model with an unstructured correlation structure. The Friedman Test or Wilcoxon
128 signed-rank test with post hoc Bonferroni correction was used for analyzing significant
129 differences in hormone levels between each time point or group. Statistical significance was
130 calculated using a permutation test or Fisher's exact test, or Sidak-adjusted probabilities for
131 baseline differences and IL-6 levels, respectively. Spearman's correlation was used to obtain

132 information on the associations of hormonal level with age, gender and time from surgery.
133 Correlations between hormonal levels and cytokine IL-6 were also calculated. Analyses were
134 performed using STATA 14.1 or SPSS 24.0.

135
136 **Results**

137 Blood samples were collected successfully from 49 of the 52 patients: 38 with degenerative
138 spondylolisthesis and/or spinal stenosis and 11 with isthmic spondylolisthesis. Patients' mean
139 age was 62.2 years (range, 49-93). Thirteen patients were male and 36 female. Fusion length
140 was one or two levels in 35 patients and more than two levels in 14 patients. In addition,
141 posterior lumbar interbody fusion was used in 12 patients. No postoperative infections were
142 observed in the study group. Further sociodemographic and clinical details are described in
143 Table 1. No significant gender differences were found in baseline characteristics.

144 *Hormone levels*

145 Serum cortisol concentrations had risen above the baseline level on POD 3 in women (Figure
146 1) but had returned to their baseline values at 6 weeks after surgery. The total variance was
147 statistically significant ($p = 0.04$). However, a significant decrease in ACTH was noted already
148 on PODs 1 ($p < 0.001$) and 3 ($p = 0.0001$) but not on PODs 42 and 90.

149 In both sexes, GH decreased during the first three PODs but had returned to its baseline value
150 by POD 42. IGF-1 decreased slightly during the first 3 PODs. IGF-1 had risen significantly
151 above the preoperative level at 6 weeks and 3 months after surgery.

152 In men, serum SHBG concentration increased during the first 3 PODs ($p < 0.001$).

153 Furthermore, serum testosterone showed a decrease on PODs 1 and POD 3 but had returned to
154 normal by POD 42 ($p < 0.001$). SHBG had decreased to its baseline level by 6 weeks after

155 surgery. Free testosterone calculated by the Anderson's equation decreased significantly
156 during the PODs 1-3 but had returned to normal by 6 weeks after surgery ($p < 0.001$) (Figure 2).

157

158 *Correlation between IL-6 and hormones*

159 IL-6 was significantly elevated on PODs 1 and 3 (Figure 3) and showed a significant
160 correlation with cortisol ($p < 0.001$) levels on POD 1 (Table 2). No other statistically
161 significant associations were found between IL-6 and hormonal changes on PODs 1 and 3.

162

163 **Discussion**

164 The present study showed marked changes in serum hormone concentrations after
165 instrumented lumbar spine fusion. On POD 3, cortisol concentration had risen above, whereas
166 ACTH fallen below, their baseline levels. The results do not support the theory that ACTH
167 induces an increase in the secretion of cortisol in acute trauma. On the contrary, this result
168 suggests that hypercortisolism is caused by cytokine-induced non-ACTH driven cortisol
169 production or reduced cortisol breakdown, suppressing the production of ACTH via feedback
170 inhibition. These results are in line with those of Boone et al.² in critically ill patients. Both
171 cortisol and ACTH had returned to their normal levels by 6 weeks after surgery. To the best
172 of the authors' knowledge, post-surgical cortisol and ACTH levels have not previously been
173 monitored for as long a period as in the present study.

174 GH and IGF-I have complex anabolic effects and are important regulators of muscle
175 remodeling.¹³ Cortisol and anti-diuretic hormone levels have been shown to increase during
176 Lumbar spine surgery and remain elevated at one-hour post-surgery.¹⁴ In the present study,
177 with the exception of IGF-1, which continued to show an increase at 3 months after surgery,

178 GH had returned to the preoperative level by 6 weeks after surgery. The increase in IGF-1 at 6
179 weeks and at 3 months could indicate a late anabolic effect after surgical trauma. Further
180 studies would be needed to unravel the effect of IGF-1 on anabolic metabolism. IGF-1 levels
181 are associated with age and gender, although to a lesser degree after age 40. In the present
182 study, no gender differences were observed in the baseline levels of IGF-1. The authors used
183 IGF-1, as all the patients were at an age where the IGF-1 reference values do not change
184 drastically by gender.

185 The results of the present study suggest that acute surgical stress has a dramatic impact
186 on testosterone levels. Testosterone and SHBG levels should not be measured immediately
187 after an operation, as the surgery can distort the levels of testosterone and SHBG. Free
188 testosterone levels had returned to their preoperative level by 6 weeks after surgery. Hohman
189 et al. investigated the effects of Nandrolone decanoate on recovery and muscle strength after
190 total knee arthroplasty.¹⁵ Their randomized controlled study found that the use of anabolic
191 steroids led to faster recovery and better muscle strength compared to controls.¹⁵ The stress-
192 related decrease in free testosterone is of physiologic origin. The decrease helps to transform
193 muscles from the anabolic to catabolic state, thereby directing energy metabolism to the
194 maintenance of critical functions.

195 Interleukin-6 (IL-6) is a proinflammatory cytokine that is activated by acute surgical
196 trauma. IL-6 could be associated with acute stress hormones.⁸⁻¹⁰ To the best of the authors'
197 knowledge, no previous studies have assessed the relationship between acute phase hormones
198 and IL-6 levels. The results indicate that there might be a postoperative association between
199 IL-6 and cortisol. No association with the other hormones studied, such as GH and
200 testosterone, was found. Further studies with larger cohorts are needed to corroborate this
201 finding.

202 The prospective setting of this study enabled hormone measurements at distinct
203 time points. The blood samples were obtained in real clinical settings both for ethical reasons
204 and to ensure the minimum inconvenience to patients. The length of follow-up and intervals
205 between measurements can be considered adequate and compare favorably with those
206 reported in previous published studies.^{9-10,16} The study contained more women than men
207 simply because the prospective unselective sample contained more women. A weakness of the
208 study was that the comparison of testosterone levels in older patients is difficult, as
209 testosterone levels are notably higher in men than in women. Furthermore, the study did not
210 test luteinizing hormone levels. In the same way as the present analysis provided information
211 on the function of the hypothalamus-pituitary-adrenal axis in the context of stress, the testing
212 of luteinizing hormone might have yielded information on the mechanism governing changes
213 in testosterone and SHBG. Future studies should use samples containing equal numbers of
214 men and women and also stratified by age.

215

216 **Conclusions**

217 The results showed that ACTH, cortisol, IL-6, testosterone GH, and SHGB levels respond
218 rapidly to tissue trauma. Surgical stress after instrumented lumbar spine fusion induces tissue
219 trauma that elevates IL-6. Postoperative high cortisol was not associated with the elevation in
220 the level of ACTH. The late elevation of IGF-1 seems to be associated with late tissue repair
221 process. Further studies could investigate whether inter-individual differences exist in
222 hormonal responses to surgical stress and whether surgical stress affects surgical outcomes or
223 complications.

224 Conflicts of Interests: None to declare.

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228 Contributions

229 AH, KH, MN designed the study

230 JR (and HK) conducted the statistical analysis

231 JR and SM prepared the manuscript draft

232 All authors critically reviewed the final manuscript draft

233

234 Additional information

235 The authors report no conflicts of interest

236

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241

242

243 Figure Legends

244 Figure 1. Change in hormonal levels at postoperative time points in men and women.

245 Preoperative value has been set to zero (preoperative values are presented in Table 1).

246 Whiskers show 95% CIs.

247 Figure 2. Change in free testosterone calculated using the Anderson's equation.

248 Figure 3. Change in IL-6 values at postoperative time points. Preoperative IL-6 value has been

249 set to zero. Whiskers show 95% CIs. A statistically significant difference ($p < 0.001$) was found

250 at all time points.

Figures

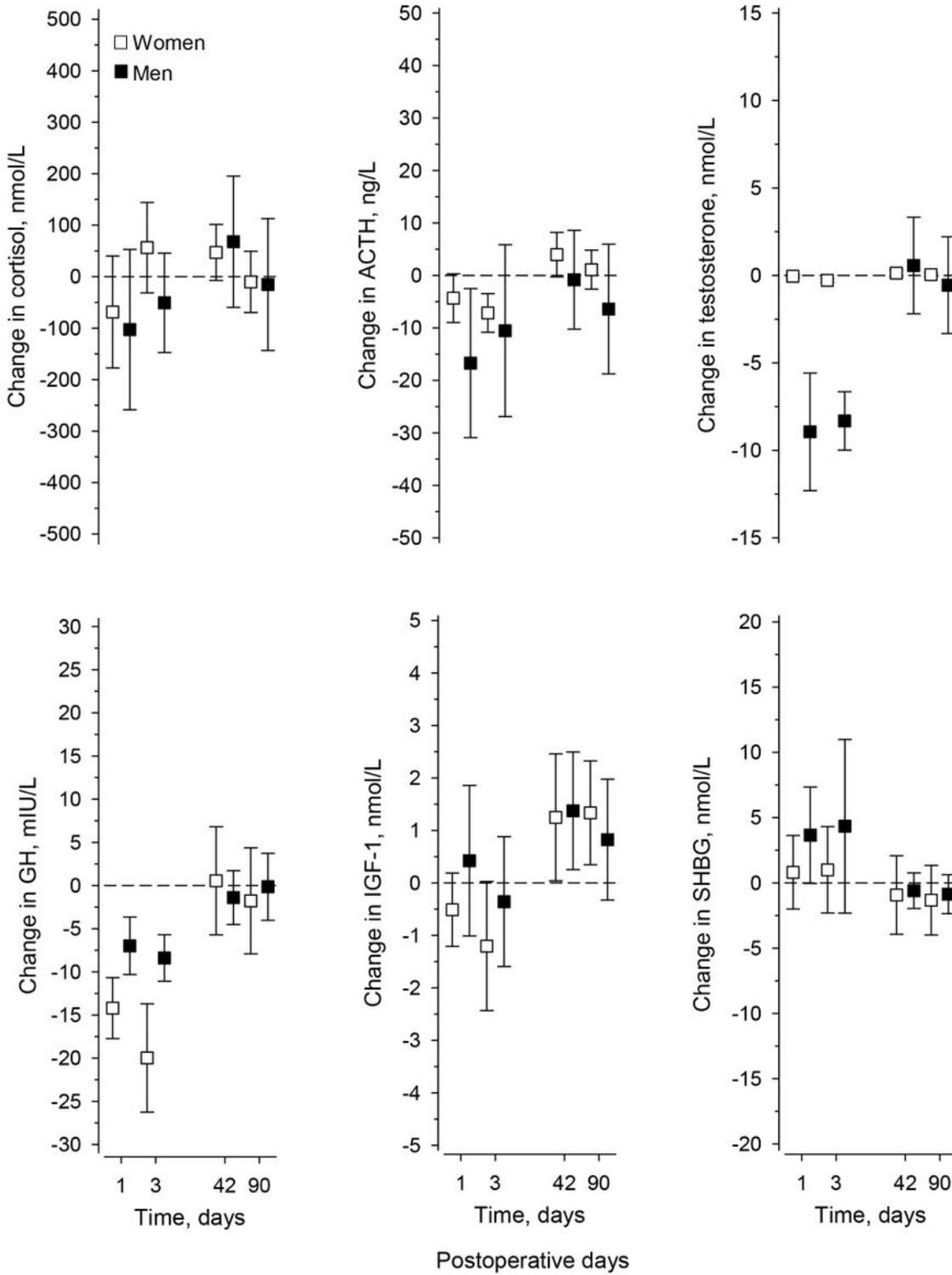


Figure 1

Change in hormonal levels at postoperative time points in men and women. Preoperative value has been set to zero (preoperative values are presented in Table 1). Whiskers show 95% CIs.

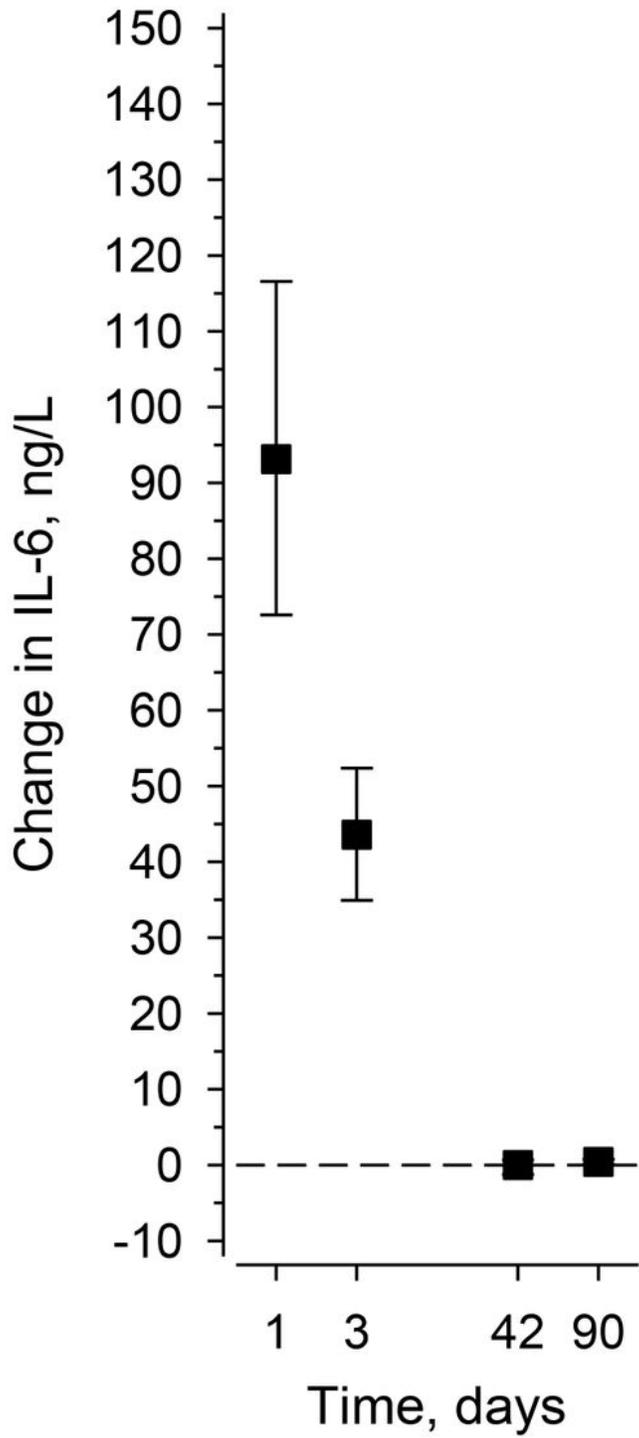


Figure 2

Change in free testosterone calculated using the Anderson's equation.

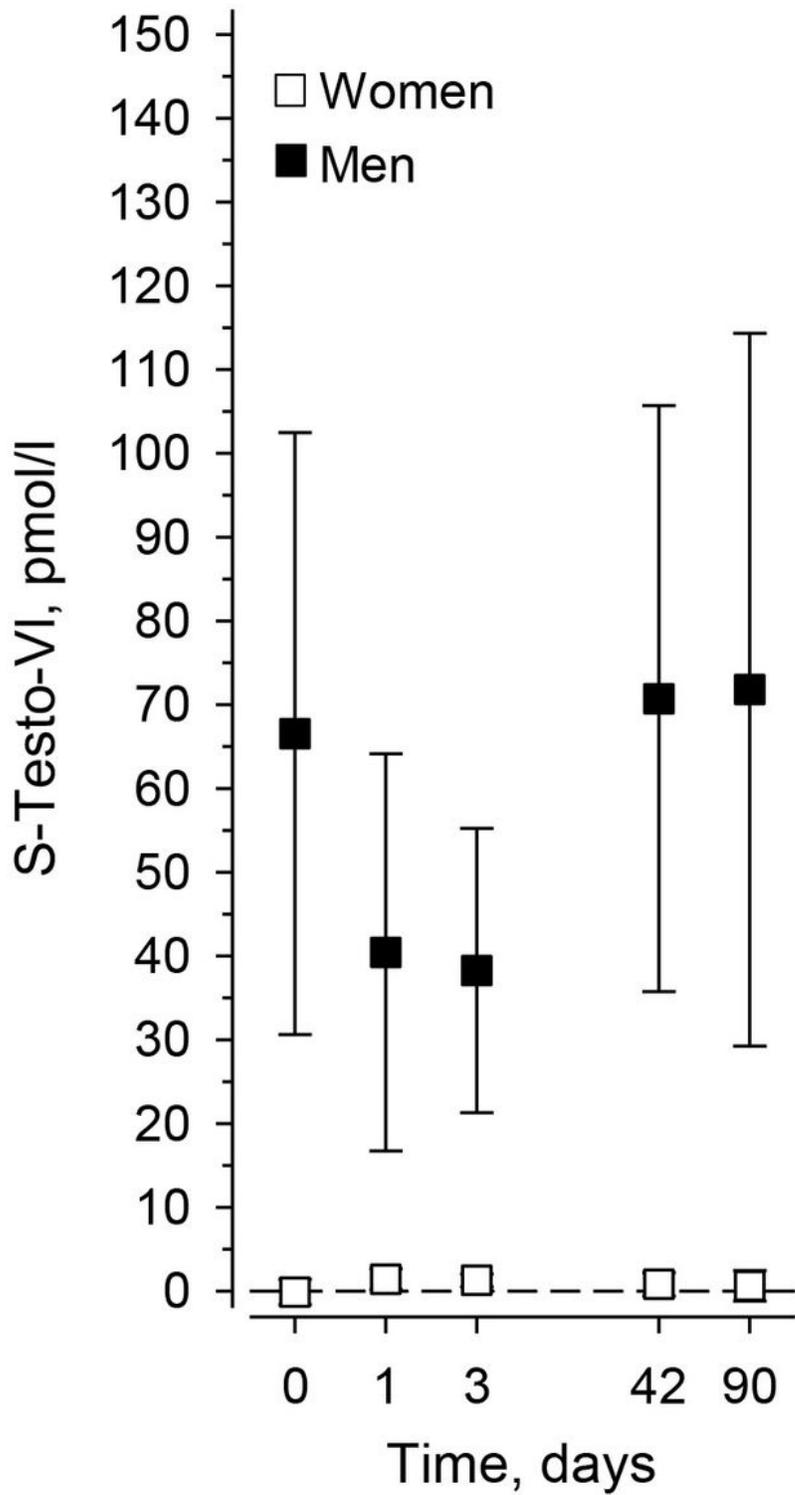


Figure 3

Change in IL-6 values at postoperative time points. Preoperative IL-6 value has been set to zero. Whiskers show 95% CIs. A statistically significant difference ($p < 0.001$) was found at all time points.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Table1.pdf](#)