

# First referral to an Integrated Onco-Palliative Care program: a retrospective analysis of its timing

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## Research article

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# Abstract

**Background** Palliative care (PC) referral is recommended early in the course of advanced cancer. This study aims to describe, in an integrated onco-palliative care program (IOPC), patient's profile when first referred to this program, timing of this referral and its impact on end-of-life care. **Patients and Methods** The IOPC combined the weekly onco-palliative meeting (OPM) dedicated to patients for whom goals and organization of care need to be discussed, and/or the clinical evaluation by the PC team. We analyzed all patients first referred at OPM in 2011-2013. We defined the index of precocity (IP), as the ratio of the time from first referral to death by the time from diagnosis of incurability to death, ranging from 0 (late referral) to 1 (early referral). **Results** Of the 416 patients included, 57% presented with lung, urothelial cancers, or sarcoma. At first referral to IOPC, 76% were receiving antitumoral treatment, 63% were outpatients, 56% had a PS  $\leq 2$  and 46% had a serum albumin level  $>35\text{g/l}$ . The median [1st-3rd quartile] IP was 0.39 [0.16-0.72], ranging between 0.53 [0.20-0.79] (earliest, for lung cancer) to 0.16 [0.07-0.56] (latest, for prostate cancer). Among 367 decedents, 42 (13%) received antitumoral treatment within 14 days before death, and 157 (43%) died in PC units. **Conclusions** The IOPC is an effective organization to enable early integration of PC and decrease aggressiveness of care near the end-of life. The IP is a useful tool to model the trajectory of care while taking into account each cancer types and therapeutic advances.

## Background

The integration in oncology of palliative care, for patients with advanced cancers, hinge on hospital-based palliative care consultation teams (PCT).

Despite being recommended early in the course of advanced cancer <sup>(1),(2)</sup> palliative care is often proposed late and after the stop of antitumoral treatments <sup>(3),(4),(5)</sup> with low impact on patient's quality of life <sup>(6)</sup>. The reasons for this delay are now well known <sup>(3),(7),(8),(9)</sup>. Patients and family-related barriers for early referral often include negative image of palliative care and unwillingness to end anti-cancer treatments. Barriers related to medical staff often include belated discontinuation of anti-cancer treatment, insufficient awareness of palliative care, inaccurate prognosis assessment and inadequate communication skills to discuss bad prognosis. The organization of care also participate in this delay, since the intervention of PCT is usually based on the presence of uncontrolled psycho-physical symptoms or specific situations raising ethical questions, such as death requests.

Other modalities of PCT intervention have been developed in the last decade to improve integration of palliative care. Hui et al, established a consensual set of precisely defined referral criteria, distinguishing time-based criteria and need-based criteria <sup>(10)</sup>. Numerous studies evaluated the feasibility and efficacy of integrating palliative care at a specific time in the disease evolution. The systematic integration of palliative care in oncology at the diagnosis of advanced non-small cell cancer patients has been shown to be feasible without worsening patients' anxiety or depression <sup>(11)</sup>. In following studies, early and systematic palliative care intervention increased the quality of care for patients with advanced cancer, by

improving quality of life, psychophysical symptom management, and decreases aggressive care at the end-of-life as well as health costs<sup>(12),(13),(14),(15)</sup>. Maltoni *et al*<sup>(16)</sup> showed that systematic early palliative care intervention had a significant impact on some indicators of end-of-life aggressiveness of care compared with an intervention after spontaneous request from the patient and/or the family. Based on this literature, the American Society of Clinical Oncology now recommends that all patients with advanced cancer “receive dedicated palliative care services, early in the course of disease, concurrent with active treatment”<sup>(17)</sup>.

However, with the increasing therapeutic progresses made in oncology<sup>(18)</sup>, the duration of advanced phase of oncologic diseases increases. Palliative care resources being limited, the question of the timing to refer patients to PCT seems to be central and should be defined.

In our institution, the PCT has developed since 2005 a specific organization with the oncology ward. This integrated oncology and palliative care (IOPC) program can be described by some indicators of integration close to those collated by Hui *et al*<sup>(19)</sup>, *i.e.* communication, cooperation and coordination between PCT and oncology services, specified timing of PCT involvement, referral criteria for PCT. Our program also includes a shared-decision making process, which can be retained as an additional indicator<sup>(20)</sup>. Indeed, as Maltoni pointed it<sup>(16)</sup>, the active participation of PCT in the shared decision making process is essential to have an impact on indicators of aggressiveness of care in end-of-life. In a previous study, we found that the IOPC decreased the odds of receiving chemotherapy in the last 14 days of life and dying in an acute care setting<sup>(21)</sup>. The annual follow-up of these indicators shows that this impact is persistent over time<sup>(22)</sup>. The objectives of our study were to describe the patients’ profiles at the time of the first referral to IOPC program; the timing of this referral regarding the course of the disease; and the consequences of this program on patients’ trajectory of care.

## Methods

inpatients unit and an 11-beds outpatient clinics. Medical staff is made up of three attending physicians and three fellow physicians, all advising two residents for inpatients and three residents for the outpatient clinic ambulatory patients.

The PCT consists in 2.5 full time equivalent physicians, all being palliative care specialists, 2.5 full time equivalent nurses and one secretary assistant.

Social workers and psychologists collaborate with both teams.

### Organization of integrated palliative care in the oncology ward

The IOPC program has been developed as a specific organization involving the PCT and the oncology staff.

This organization relies on weekly multidisciplinary onco-palliative meetings (OPM), which are attended by both the PCT and the oncology staff, *i.e.* physicians, head nurses, social workers and psychologists. Physicians of the PCT are in charge of moderating, keeping record of each meeting and reporting any decision and its rationale in the patient's health record. These meetings are dedicated to patients in situation of incurability, and for whom it is necessary to discuss goals and organization of care to anticipate the trajectory of care, as estimated by their referent oncologist. Discussions take into account expected benefit of treatment on survival and quality of life, proportionality of care, and patient's preferences. Decisions may be to pursue or change antitumoral therapies, associated or not with the introduction of the PCT, or to provide palliative care only. These decisions are then submitted and discussed with the patient. Later on, patients are followed-up by both the referent oncologist and the PCT, if deemed appropriate, in consultations, outpatient clinics, or inpatient acute care setting. For all patients discussed, goals and organization of care can be updated at following OPM, up to patient's death. A part of OPM is also dedicated to deceased patients to review the trajectory of care, and the aggressiveness of care near the end-of-life.

Along with this organization, inpatients or outpatients can be referred to the PCT in an on-demand way, before being discussed at the OPM, if they are presenting with urgent needs (psycho-physical symptoms...).

The first referral to IOPC is defined either as the first report at OPM or as the first referral to the PCT.

### **Study population and data collection**

This study included the historical cohort of patients first reported at OPM between January 1<sup>st</sup>, 2011 and December 31, 2013. All included patients were then followed-up until death or until December 31, 2016.

We collected data from patients' files concerning : 1) social and clinical characteristics of patients: age and gender, primary cancer site, dates of initial diagnosis and incurability, indicators of social vulnerability (precarious living conditions, living alone, in charge of some relative, spouse diagnosed with serious disease, incapability to express wills from somatic causes), other health risks (active addictions, co-morbidities); 2) the context of the first referral to the IOPC program, regarding the course of disease and project of care: dates of first discussion at the OPM, first referral to the PCT and death, oncologic prognosis factors measured within seven days of first referral to the IOPC program (ECOG <sup>(23)</sup> performance status, serum albumin level, serum C-reactive protein (CRP) level, serum lymphocyte count and serum Lactate Dehydrogenase (LDH) level), elements relative to the course of the disease (at diagnosis, tumour stability or positive response to last treatment, tumour progression), to the project of care (oncologic treatment to come, on course, definitely discontinued or not considered as future option) and to the setting of care (inpatient care, outpatient/ambulatory care); 3) indicators of end-of-life care for decedents: the number of new lines of antitumoral treatment received and length of survival after the first referral to the IOPC program, the place of death, whether the patient had been admitted to a palliative care

unit three days or less before death, and whether the patient had received antitumoral treatment 14 days before death.

## **Statistical analysis**

Data were analyzed by description of frequencies (percentage), means ( $\pm$ standard deviations) or medians (interquartile range) as relevant according to the normality of variable distribution and excluding patients with missing data.

In order to investigate the timing of the first referral to the IOPC program, taking into account the pace of progress of the disease, we defined the Index of Precocity, computed for decedents only, as the ratio of the length of survival after first referral to the IOPC program by the length of survival after diagnosis of incurability. Its values lie therefore between 0 (referral to the IOPC program occurs late, close before death) and 1 (referral to the IOPC program occurs early after the diagnosis of incurability).

## **Results**

### **Patients' social and clinical characteristics**

From January 1<sup>st</sup>, 2011 to December 31, 2013, 445 patients were reported for the first time at OPM. Among them, 416 patients were included for analysis (figure 1). Patient's characteristics at the time of the first referral to the IOPC program are summarized in table 1.

Patients were mostly men ( $n = 249$ ; 59.9%) with a mean age of 62 years. They presented with some kind of complexity since 63.5% ( $n = 265$ ) of patients had at least one factor of social vulnerability, 28.1% ( $n = 115$ ) had an active addiction and 64.2% ( $n=265$ ) had at least one serious medical comorbidity.

The main types of cancer were lung cancer (23.8%); bone or soft tissue sarcoma (19%); and urothelial and bladder cancers (13.7%). At the time of the initial diagnosis, 55.5% ( $n = 231$ ) of patients were considered to have an incurable disease (locally advanced or metastatic).

### **Timing of the first referral to the IOPC program regarding the course of the disease**

The characteristics relative to the context of patients' care are reported in table 2. At the time of the referral to the IOPC program, 65.2% ( $n = 270/414$ ) of patients had a progressive disease, whereas 26.6% ( $n = 110/414$ ) were at diagnosis and 8.2% ( $n = 34/414$ ) were stable or responded to their last oncologic treatment. Seventy six percent of patients ( $n = 314/413$ ) were receiving antitumoral treatment or were about to. The majority of patients received ambulatory care ( $n = 256/406$ ; 63.1%). When they could be found in health records, collected prognosis factors showed that 44.3% of patients had a performance status  $PS \geq 3$  ( $n=176/397$ ), serum albumin levels were  $< 35$  g/l for 54.2% of patients (194/358). A large majority of patients had a  $CRP > 5$  g/l (87.3%;  $n = 308/353$ ). The overall median [1<sup>st</sup> - 3rd quartile] index of precocity was 0.39 [0.16 - 0.72]. The medians of each disease-specific index of precocity are represented in figure 2. They range from 0.53 [0.20 - 0.79] (the earliest, for lung cancer) to 0.16 [0.07 -

0.56] (the latest, for prostate cancer). The median index of precocity was of 0.51 [0.20 - 0.76] for bone or soft tissue sarcoma, and of 0.40 [0.14 - 0.72] for urothelial and bladder cancers.

Figure 3 represents the median time from incurability to 1<sup>st</sup> referral to the IOPC program stacked with the median length of survival after 1<sup>st</sup> referral to the IOPC program, allowing to get an overall picture of the timing of referral to the IOPC program, by cancer site.

### **Trajectories and aggressiveness of care near the end-of-life**

Indicators of end-of-life care are reported in table 3 for the 367 patients who died by the end of follow up. Among them, 44.4% (n = 162/365) received one or more new lines of antitumoral treatment after the first referral to the IOPC program. 12.7% (n = 42/332) received antitumoral treatment during their last 14 days of life. Half of the patients died at home or in a palliative care unit (50.7%; n = 186), whereas 37.1% (n = 136) of patients died in an acute care service and 4.6% (n = 17) died in the emergency department or in intensive care units.

## **Discussion**

In this study, we analyzed the profiles of 416 cancer patients at the moment of the first referral to the IOPC program (including either the first report to OPM, or the first referral to the PCT). Most of the patients received ambulatory care, their cancers were considered to be in progression and a large majority was still receiving antitumoral treatment. Individual prognosis factors collected showed advanced stage or aggressive disease. Half of the patients were referred to the IOPC program within 3.7 months before death (median survival after first referral to the IOPC program). Disease-specific index of precocity showed a great variability of the timing of referral according to cancer localisation, which reflects different natural histories of diseases.

Indicators of trajectory and aggressiveness of care at the end-of-life have been collected to describe patients' trajectory of care after referral to the IOPC program. They showed limited use of aggressive care resources near the end-of-life and a relatively high rate of death in palliative care units, which is close to the standards proposed by Earle *et al*<sup>(24)</sup>. Our results on the location of death are to be interpreted in the French healthcare system in which home care is underdeveloped, as shown by the national mortality data (18.9% of cancer death occurring at home)<sup>(25)</sup>. This proportion is even lower in our population, as it has been described in similar population from university hospitals<sup>(5)</sup>.

The main issue of palliative care integration in oncology is the question of the timing of this integration. In this study, this timing has to be interpreted in the context of our hospital where the population is not representative of the general oncologic patient population. Bone or soft tissue sarcoma are over-represented (our hospital being a centre of reference for those tumours), whereas breast cancers are under-represented (low number of patients followed for breast cancer and mostly after request for second opinions). Moreover, our population is composed of critically ill patients with half of the patients being

diagnosed at an advanced stage of their disease. Our practice of referral to the IOPC program differs from the early palliative care model evaluated by Temel *et al*<sup>(12)</sup>, where all patients are referred to the PCT within 8 weeks of the diagnosis of metastatic lung cancer, have a PS  $\leq$  2 and receive antitumoral treatment. Despite this difference of model, the median time between first referral to the IOPC program and death was 3.7 months, which is earlier than the late referral resulting from usual practices<sup>(5),(26),(27)</sup>.

It is often considered that the diagnosis of metastatic or relapsed cancer is an appropriate timing for early integration. However, the natural histories are different from one tumour type to the other, leading to propose disease-specific timing of palliative care integration<sup>(28)</sup>. For example, in the case of metastatic breast or prostate cancer, the rather chronic course of the disease brings to question the diagnosis of metastasis as the right moment for integration. In the study of Zimmermann *et al*<sup>(13)</sup>, comparing systematic consultation and follow-up by PCT versus standard care, eligible patients were defined as having a stage IV cancer except for breast and prostate cancer for which refractory to hormonal therapy was an additional criterion. Moreover, the constant therapeutic advances should also be taken into account in the evolution of the disease. With the development of targeted therapies and immunotherapy<sup>(18),(29),(30),(31)</sup>, this question will probably raise for other tumour localisations such as lung cancer or melanoma.

To model these evolutions, we propose the index of precocity which describes the moment of palliative care integration relatively to the course of the disease. As an example, in our study, integration was early in the course of the disease for patients with lung cancer, as recommended since 2012<sup>(2)</sup> [Smith JCO 2012], or sarcoma, which can be explain in our experience by the high burden of physical or psychosocial symptoms occurring early in the trajectory. The index of precocity was the lowest for prostate cancer (0.16 [0.07-0.56]), as expected by the long efficacy of hormonotherapy in metastatic phase.

## Conclusions

The model of palliative care integration in oncology should remain close to the experimental early palliative care model which has been proved to increase the quality of life of patients. However, the optimized use of palliative care resources is essential to make them accessible to all patients who will benefit from them. Patients should be referred to palliative care at the right time for the right reasons. In the early phase of long lasting incurable disease, patients with no uncontrolled symptoms and no psychosocial needs have no *a priori* reason to benefit from palliative care. In the model we described, the shared-discussion process that took place in OPM worked as a screening tool to identify patients who will benefit from a palliative care program. In the screening process are taking into account time-based and needs-based criteria. To evaluate its feasibility and adaptability in other setting, this model should be experimented in other French hospitals. In a perspective of practice analyses in multicentre setting, the index of precocity will be an interesting tool to describe actual integration of palliative care, adjusting for the duration of incurability, and to highlight any inter-centre differences in the implementation of the same model.

# Abbreviations

PC: Palliative care (PC)

IOPC program : Integrated Onco-Palliative Care program

OPM: onco-palliative meeting

IP: index of precocity

PCT: palliative care consultation teams

# Declarations

## Ethics Approval and Consent to Participate

The study analyses clinical records of a monocentre retrospective series of human decedents to describe practice of care, without interaction with human subjects, for the purpose of describing medical practice and organization of care. It is thereby not considered by French law as a research “involving human subjects” requiring ethics approval and consent to participate, as clearly stated by the Article 2, II- 3<sup>rd</sup> § of the French Décret n° 2017-884 du 9 mai 2017 “amending certain regulatory provisions relating to research involving the human person” (<https://www.legifrance.gouv.fr/affichTexte.do?cidTexte=JORFTEXT000034634217&categorieLien=id>)

## Consent for publication

No specific data was collected for the study, except data routinely collected and recorded in electronic patient files. Between 2011 and 2013 (period of the retrospective monocentre study), patients’ verbal consent to record clinical data in hospital information system was systematically obtained from inpatients and patients visiting outpatient clinic, along with its use for public interest research purpose.

## Availability of data and material

Dataset cannot be made available as open access to research data was not part of information delivered to patient who visited outpatient clinic before they give verbal consent for use of their data.

## Competing interests

The authors have no conflict of interest to declare.

## Funding

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## Author’s contributions

I. Colombet made substantial contributions to the conception AND design of the work AND the acquisition, analysis AND interpretation of data AND drafted the work and substantively revised it.

C. Barth made substantial contributions to interpretation of data AND drafted the work AND substantively revised it.

V Montheil made substantial contributions to the conception AND design of the work AND AND the acquisition of data.

O Huillard, P Boudou-Rouquette, C Tlemsani, J Alexandre and F Goldwasser, made substantial contributions to the conception AND design of the work AND interpretation of data.

P. Vinant made substantial contributions to the conception AND design of the work AND interpretation of data AND drafted the work and substantively revised it.

AND ALL authors have read and approved the final version of the manuscript.

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## Tables

**Table 1: Social and clinical characteristics of patients (*n* = 416 patients)**

<i>(*total number of subjects specified in the case of missing data)</i>	<b>N</b>	<b>(%)</b>
<b>Age at first OPM (years), mean (SD)</b>	62.0	(15.2)
<b>Male</b>	249	(59.9)
<b>Psychosocial and health factors of vulnerability</b>		
Precarious living conditions, ( <i>*n = 410</i> )	57	(13.9)
Living alone ( <i>*n = 408</i> )	118	(28.9)
In charge of some relative ( <i>*n = 410</i> )	97	(23.7)
Spouse diagnosed with serious disease ( <i>*n = 408</i> )	12	(2.9)
Incapability to express wills ( <i>*n = 415</i> )	13	(3.1)
At least one of above psychosocial factors	265	(63.5)
Active addictions (tobacco, alcohol, other drug) ( <i>*n = 410</i> )	115	(28.1)
Number of serious co-morbidities ( <i>*n = 413</i> )		
0	148	(35.8)
1	180	(43.6)
2 or more	85	(20.6)
<b>Primary cancer site (<i>n = 416</i>)</b>		
Lung	99	(23.8)
Sarcoma (bone or soft tissue)	79	(19)

Urothelial and bladder	57	(13.7)
Pancreas	23	(5.5)
Liver or biliary tract	23	(5.5)
Gastrointestinal	23	(5.5)
Kidney	21	(5.0)
Prostate	20	(4.8)
Breast	19	(4.6)
Endometrium and cervix	13	(3.1)
Ovarian	11	(2.6)
Other (endocrine, dermatologic, unknown primary)	28	(6.7)

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**Disease deemed incurable (\*n = 415)**

at the time of initial diagnosis	231	(55.5)
≤ 6 month after initial diagnosis	45	(10.8)
> 6 month after initial diagnosis	132	(31.7)
Deemed curable	7	(1.7)

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OPM: onco-palliative meetings

**Table 2: Context of the first referral to the integrated oncology and palliative care program (IOPC), relatively to the course of disease and project of care**

	N	%
<i>(*total number of subjects specified in the case of missing data)</i>	<i>(or median)</i>	<i>(or Q1-Q3)</i>
<b>Stage of disease (*n = 414)</b>		
At diagnosis	110	26,6
Stability of tumour or positive response to last treatment	34	8,2
Tumour progression	270	65,2
<b>Antitumoral treatment use at the moment of 1<sup>st</sup> OPM (*n = 413)</b>		
Treatment on course	222	53,8
Treatment to come or 1 <sup>st</sup> line not yet evaluated	92	22,3
No treatment considered	29	7,0
Treatment definitely discontinued	70	16,9
<b>Setting of care at the moment of 1<sup>st</sup> OPM (*n = 406)</b>		
Inpatient care	150	36,9
Ambulatory / Outpatient clinic	256	63,1
<b>Individual prognostic factors</b>		
Performance status (PS) (*n = 397)		
≤ 2	221	55,7
≥ 3	176	44,3
Serum albumin level, g/L (*n = 358) median (Q1-Q3)		
≥ 35	164	45,8
28-34	125	34,9
< 28	69	19,3
Serum C-reactive protein level, g/L (*n = 353) median (Q1-Q3)		
> 5	308	87,3
≤ 5	45	12,7
Serum Lymphocytes level, /mm <sup>3</sup> (*n = 372) median (Q1-Q3)		
≥ 1500	111	29,8
700-1500	194	52,2
<700	67	18,0
Serum Lactate Dehydrogenase level, UI/L (*n = 156) median (Q1-Q3)		
≥ 400	93	59,6
< 400	63	40,4

**Table 3: Trajectories and indicators of end-of-life aggressiveness of care ( $n = 367$  decedents)**

	N	%
<i>(*total number of subjects specified in the case of missing data)</i>	<i>(or median)</i>	<i>(or Q1-Q3)</i>
<b>Length of survival after diagnosis of incurability (months), (<math>*n = 361</math>)</b>	11,1	(5,2 - 22,2)
<b>Length of survival after first IOPC (months), (<math>*n = 362</math>)</b>	3.7	(1,4 - 7,5)
<b>Index of Precocity of IOPC (<math>*n = 349</math>)</b>	0,39	(0,16 - 0,72)
<b>Number of new lines of antitumoral treatment after 1<sup>st</sup> IOPC</b>		
<i>(<math>*n = 365</math>)</i>		
0	203	55,6%
1	98	26,8%
$\geq 2$	64	17,5%
<b>Antitumoral treatment in the last 14 days of life (<math>*n = 332</math>)</b>	42	12,7%
<b>Location of death (<math>*n = 367</math>)</b>		
Acute care hospital	136	37,1%
Emergency or Intensive care unit	17	4,6%
Rehabilitation unit	10	2,7%
Palliative care units	157	42,8%
Home	29	7,9%
Unknown	18	4,9%
<b>Admission to palliative care units within 3 days of death (<math>*n=141</math>)</b>	18	12,8%

IOPC: integrated oncology and palliative care program

## Figures

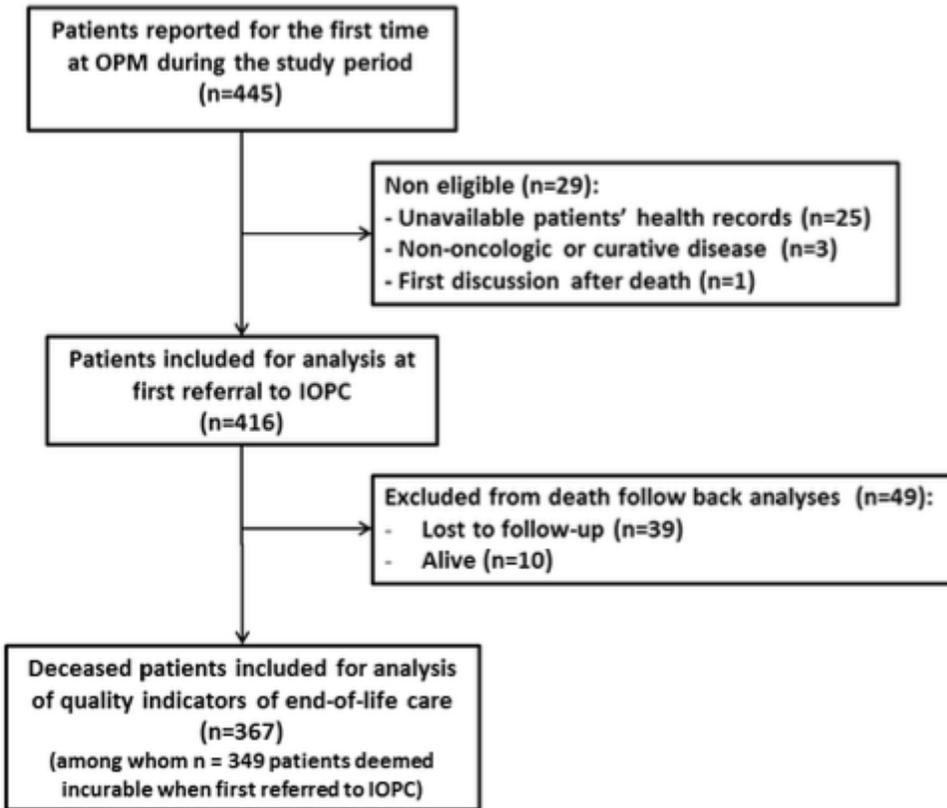
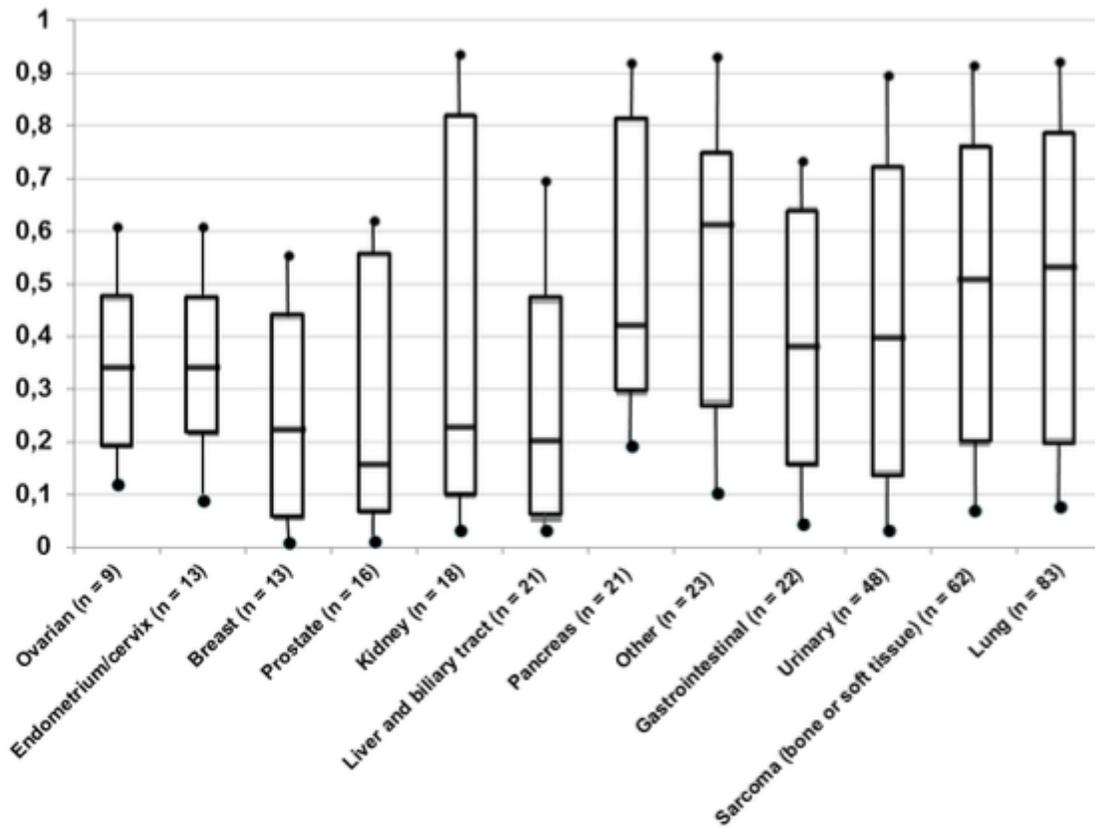


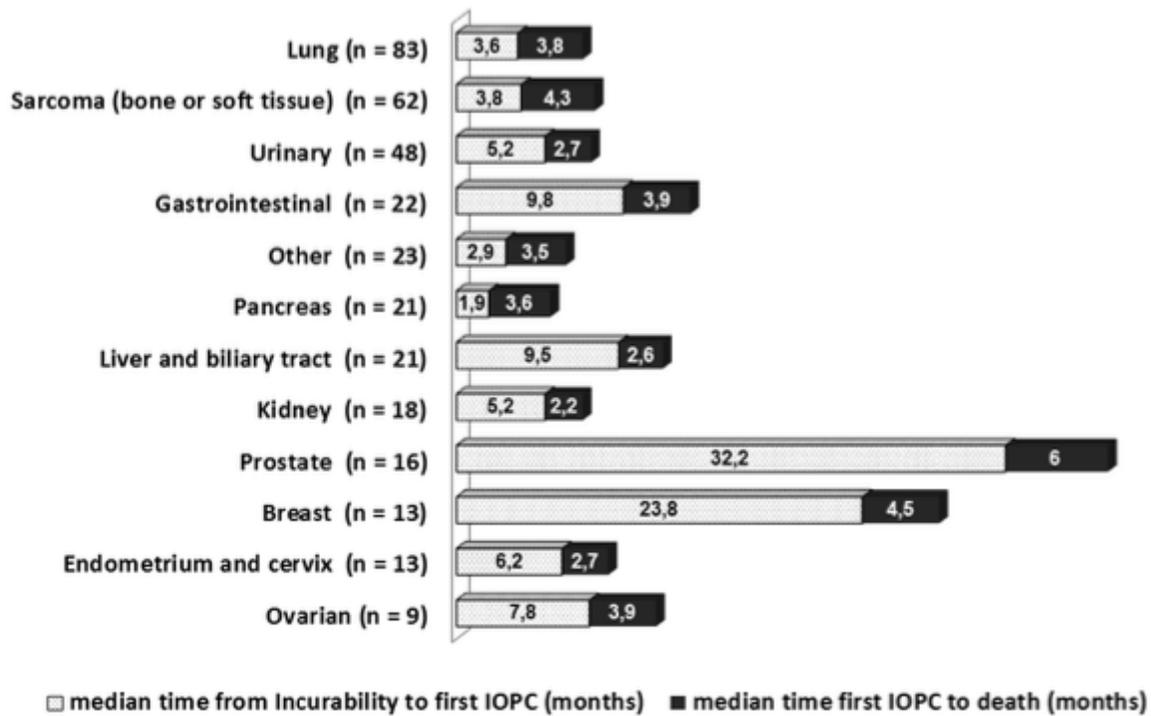
Figure 1

Flow chart. OPM: onco-palliative meetings, IOPC: integrated oncology and palliative care program



**Figure 2**

Index of Precocity of first referral to the integrated oncology and palliative care program (IOPC), according to cancer type (0 = late to 1= early referral)



**Figure 3**

Timing of first referral to the integrated oncology and palliative care program (IOPC), according to incurability and death