

Acoustic emission response characteristics of anthracitic coal under uniaxial compression

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32 **Key words:** Anthracite coal; deformation failure; uniaxial compression; acoustic
33 emission; space-time evolution

34 **1 Introduction**

35 With the coal resources gradually entering the deep mining, under the complex
36 environment of “three high and one disturbance”, the coal and rock are more likely to
37 break and lose stability, leading to more dynamic disasters such as rockburst and coal
38 and gas outburst (Cheng et al. 2017; Fan et al. 2019, 2020; Yuan, 2015, 2016; Xie et
39 al. 2017; Liu et al. 2020; Zhao et al. 2018). Moreover, in recent years, the coal-gas
40 compound dynamic disaster, which shows both the characteristics of coal gas outburst
41 and rockburst, has attracted more and more attention. And it has become increasingly
42 serious, which has become a major disaster affecting China's energy security (Du and
43 Wang 2019; Wang and Du, 2020; Pan 2016). Coal medium is a complex
44 heterogeneous material, and there are a lot of microstructures such as cracks and pores
45 inside the coal (Liu and Zhu, 2016; Liu et al. 2020; Liu et al. 2018). When these
46 microstructures are stressed, the deformation, stress concentration and failure will
47 occur, and the stored strain energy will be released in the form of elastic waves, which
48 is the AE phenomenon of the coal rock under stress (Carpinteri et al. 2013a, 2013b;
49 Yuan and Shi 2018). The AE signal during the failure of the coal reflects the
50 microscopic destruction of coal and is closely related to the evolution of coal damage.
51 Through in-depth analysis of the AE signals during the coal damage, the deformation
52 and fracture process of the internal microstructures of coal can be inversed, which is
53 helpful to reveal the evolution law of coal damage and provide references for fully
54 understanding of the mechanism, forecasting and early warning of coal gas outburst,
55 rockburst and coal-gas compound dynamic disaster.

56 Many scholars at home and abroad have done a lot of basic research work on the
57 characteristics of AE in the process of coal damage. Shkuratnik et al. (2004) studied
58 the AE characteristics of coal rock under uniaxial loading through experiments. By
59 locating the acoustic emission source of coal samples under uniaxial compressive

60 stress, [Masahiro et al. \(1988\)](#) pointed out that the AE sources are distributed as a strip
61 along the crack. [Vishal et al. \(2015\)](#) studied the mechanical properties of coal based
62 on AE method and investigated the influence of CO₂ and moisture treatment on the
63 strength of coal. [Kong et al. \(2015; 2016; 2017\)](#) introduced the AE critical slowing
64 down theory of coal. They presented that the AE numbers and energy will increase
65 with the increase of gas pressure, while the correlation dimension of AE parameters
66 show the tendency of decrement. [Du et al. \(2018\)](#) systematically researched the
67 acoustic emission characteristics of coal-rock combined bodies. [Jiang et al. \(2017\)](#)
68 studied the AE characteristics and energy dissipation of coal under tiered cyclic
69 loading. They indicated that the change of AE of coal under tiered cyclic loading
70 could essentially present the result of coal damage. [Song et al. \(2020\)](#) studied the
71 loading direction dependent anisotropy of coal under uniaxial compression based on
72 AE technology. They also investigated the relationship between cumulative absolute
73 AE energy and anisotropic angle. From the previous research results, the research
74 mainly focused on the evolution characteristics of AE parameters and identification of
75 precursor information of conventional coal rock damage. In fact, the spatial location
76 of AE is the most intuitive reflection of the evolution process of material damage, and
77 there are relatively few studies on the space-time evolution law of AE. At present, a
78 lot of early warning research on AE to coal and rock dynamic disaster is based on coal
79 gas outburst and rockburst, few researches about AE focus on the early warning of
80 coal-gas compound dynamic disaster. Moreover, the AE theory of coal rock is not
81 complete. A large number of systematic experimental studies of AE are still needed to
82 reveal the relationship between AE signals and coal rock damage, and to analyze the
83 space-time evolution characteristics of AE during coal rock damage, which will
84 provide theoretical guidance for monitoring and early warning of coal rock instability
85 and destruction by acoustic emission.

86 Based on the analysis above, in this work, taking anthracite coal as the research
87 object, the basic mechanical parameters of the raw coal samples were obtained

88 through ultrasonic testing experiments and uniaxial compression experiments.
89 Meanwhile, the AE response characteristics of raw coal samples in uniaxial
90 compression process were obtained by AE experiment, which provides theoretical
91 guidance for AE monitoring and early warning of failure of coal and rock dynamic
92 disasters.

93 **2 Coal Samples and experimental system**

94 **2.1 Collection and preparation of coal samples**

95 The anthracite coal samples used in the experiments were collected from the
96 3313 working face of 3# coal panel in Tang'an coal mine, Jincheng, Shanxi Province.
97 After the coal is collected, it was wrapped immediately with fresh-keeping film to
98 prevent the weathering of the coal. During the transportation of the coal, several
99 layers of foam film were wrapped to prevent the colliding of the coal blocks and
100 destroy the original joints and cracks to create new fissures. The selected large raw
101 coal was first cut into a 102 mm×51 mm×51 mm cuboid using a rock cutting machine,
102 and then the six sides of the coal samples were polished by a double-end grinder.
103 Through polishing, the unevenness of the six sides of the coal samples is less than
104 0.01 mm. Finally, the standard coal samples used for uniaxial compression and AE
105 test were obtained, as shown in Figure 1.



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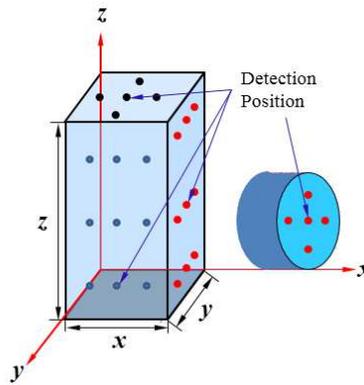
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Fig. 1 Schematic diagram of coal samples

108 **2.2 Ultrasound test**

109 Ultrasonic test was performed on all standard cube samples, and several samples
110 with the closest wave velocity were selected for mechanical experiments and AE

111 experiments to ensure that the conclusions drawn in this work are reasonable and
 112 reliable. The ZBL-U520 non-metallic ultrasonic detector were used for ultrasonic test,
 113 and its accuracy of sound time measurement is $\pm 0.05 \mu\text{s}$. Its range of sound time
 114 measurement is 0~600000 μs . The amplifier bandwidth is 10 KHz~250 KHz and the
 115 receiving sensitivity is less than 30 μs . First, the vernier caliper was used to measure
 116 the length, width and height of coal sample, and electronic scale was used to measure
 117 the mass of the sample. Then, the ZBL-U520 non-metallic ultrasonic detector was
 118 used to measure the wave velocity of ultrasonic through coal sample, and the average
 119 value was measured three times at each position. The detection position is shown in
 120 Fig. 2. Finally, the basic size, mass, volume, density and ultrasonic wave velocity of
 121 the coal samples can be obtained, as shown in Table 1.



122
 123 Fig. 2 Ultrasonic inspection position distribution of coal sample

124 Table 1 Preliminary parameters of coal samples

Number	Hight (z/mm)	Length (x/mm)	Wide (y/mm)	Quality (g)	Volume (cm^3)	Density (g/cm^3)	Wave velocity (km/s)			Mean	Variance
							z	x	y		
0	100.20	50.40	50.40	380.60	254.52	1.50	1.74	1.91	1.74	1.80	0.0096
1	100.00	50.00	50.00	441.80	250.00	1.77	2.54	3.25	2.68	2.82	0.1443
2	100.00	50.00	50.00	379.30	250.00	1.52	1.48	1.69	1.71	1.63	0.0166
3	100.00	50.00	50.00	444.70	250.00	1.78	2.54	2.81	2.65	2.67	0.0188
4	98.24	50.00	50.00	361.50	245.60	1.47	1.79	1.89	1.89	1.86	0.0034
5	98.30	50.02	50.06	354.40	246.14	1.44	1.97	1.73	1.80	1.83	0.0152
6	98.44	50.02	50.06	345.90	246.49	1.40	2.22	1.94	2.18	2.11	0.0229
7	72.40	45.90	45.90	228.20	152.53	1.50	1.60	1.63	1.78	1.67	0.0096
Mean						1.55	1.98	2.11	2.05	2.05	0.0039
Variance						0.02	0.17	0.35	0.16	0.23	0.0118

125 It can be seen from Table 1 that the density of the eight raw coal samples is 1.44
126 to 1.78 g/cm³, with an average of 1.55 g/cm³. The density of the sample varies greatly,
127 and the variance of the density of the sample is 0.02. The main reason for the density
128 difference of the raw coal samples is that in addition to the coal matrix, the raw coal
129 sample also contains minerals such as quartz, calcite, and ankerite in different
130 proportions. The ultrasonic wave velocity of the eight raw coal samples is 1.48~3.25
131 km/s, with an average of 2.05 km/s. The ultrasonic wave velocity changes greatly in
132 the X direction, with a great variance of 0.35. The fluctuations in the Y and Z
133 directions are relatively close, and the variances are 0.16 and 0.17 respectively,
134 indicating that the distribution of joint fissures and minerals in the raw coal samples
135 has obvious directionality. When the density of the raw coal sample is less than the
136 average density of 1.55 g/cm³, there is an approximately negative correlation between
137 the density and the wave velocity. As the density of raw coal sample increases, the
138 content of quartz and other minerals gradually increases. Quartz and other minerals
139 are mainly dispersed in raw coal samples, resulting in more interlayer between coal
140 and quartz, thus reducing the propagation speed of ultrasonic wave in raw coal
141 samples. When the density is higher than the average density of 1.55 g/cm³, there is
142 an approximately positive correlation between the density and the wave velocity. As
143 the density of raw coal sample continues to increase, the content of minerals such as
144 quartz further increases. A relatively concentrated distribution area is formed in the
145 raw coal sample to reduce the number of interlayer, thereby increasing the ultrasonic
146 propagation speed in the raw coal sample, as shown Figure 3.

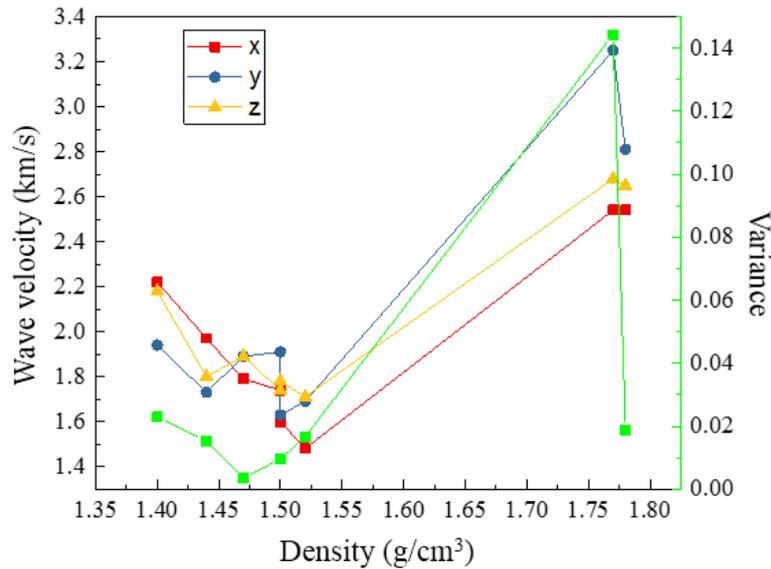


Fig. 3 Density and ultrasonic wave velocity of coal

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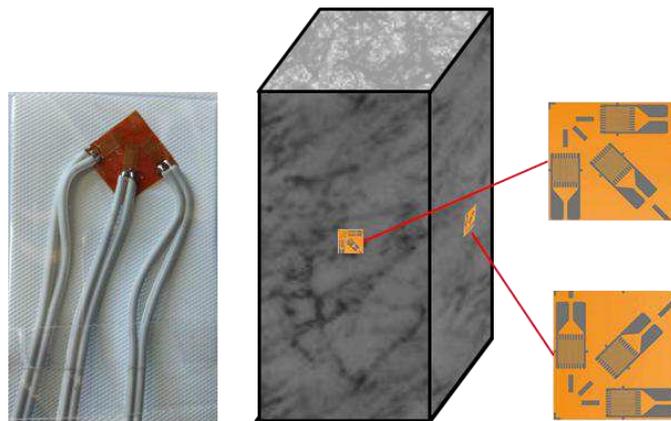
149 For the same raw coal sample, there are still some differences in the wave
 150 velocity in the three directions, which also shows that there is a certain
 151 non-uniformity and anisotropy in the raw coal sample. The variance range of the
 152 ultrasonic wave velocity in the three directions is 0.0096~0.1443. Compared with
 153 different raw coal samples, the difference of ultrasonic wave velocity is small.
 154 Therefore, according to the result of ultrasonic wave velocity testing, we select five
 155 raw coal samples whose wave velocities are relatively close, such as No. 0, 2, 4, 5, 6
 156 for mechanical experiments and AE experiments.

157 2.3 Mechanical experiment and AE experiment system

158 The loading system used in the mechanical experiment is the WDW-100E
 159 microcomputer-controlled electronic multifunctional testing machine of the Beijing
 160 Computing Center. The system can perform uniaxial compression, brazilian splitting,
 161 drawing, bending, shearing and other experiments of coal rock. The maximum load is
 162 100 kN, and the error is less than $\pm 0.5\%$, which meets the basic requirements of the
 163 uniaxial compression test of the raw coal sample. The AE system is the PCI-2 system
 164 of the American Physical Acoustics Corporation (PAC). The system has a built-in
 165 18-bit A/D converter, which is more suitable for low amplitude and low threshold (17
 166 dB) settings. It has 4 high-pass and 6 low-pass filters. The frequency range of the

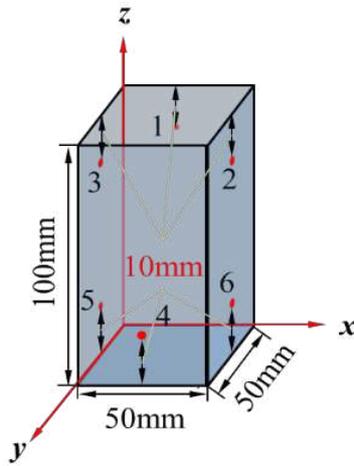
167 system is 1 kHz ~ 3 MHz, and the waveform sampling rate is as high as 10 m/s. There
168 are eight optional parameter channels on PCI-2. Each channel has 16-bit A/D
169 converter, and the speed is 10000/s. The system can realize parallel operation of
170 multiple FPGA. In addition, the system can also be used as a digital signal processing
171 card and provide Labview/C++ driver development program.

172 First, the BX120-3CA strain gauge was attached to the middle of the two
173 adjacent sides of the raw coal sample. The grid size of the strain gauge is 3×2 mm,
174 the resistance is $119.8_{\pm 0.3} \Omega$, and the sensitivity coefficient is $2.08_{\pm 1} \%$. Then, a
175 multimeter was used to detect the resistance of the strain gauge. If the resistance range
176 is between 119.5~120.1, the strain gauge is intact, otherwise the strain gauge should
177 be replaced. The patching method of BX120-3CA strain gauge is shown in Figure 4.



178
179 Fig. 4 BX120-3CA strain gauge and its patching method

180 After completing the above basic preparations, the noise was tested in the
181 experimental environment. After testing, the threshold of the AE experimental system
182 is fixed at 45 dB. The resonance frequency is 100 KHz~400 KHz. The sampling rate
183 is 1 MSPS, and six sensors were used to collect and localize AE signals. Among them,
184 the No. 1, 2 and 3 sensors are located at 10 mm from the upper edge of the raw coal
185 sample, and the No. 4, 5 and 6 sensors are located at 10 mm from the lower edge of
186 sample, as shown in Figure 5. The six AE sensor channel parameters and
187 three-dimensional positioning settings are shown in Table 2.



188

189

Fig. 5 AE sensor spatial distribution

190

Table 2 AE channel and positioning settings

Number	PDT/ μ s	HDT/ μ s	HLT/ μ s	Definition value/mm	Locking value/mm	Positioning length value/mm
1~4	50	150	200	78.0000	156.0000	7.8000
5~6	200	800	1000			

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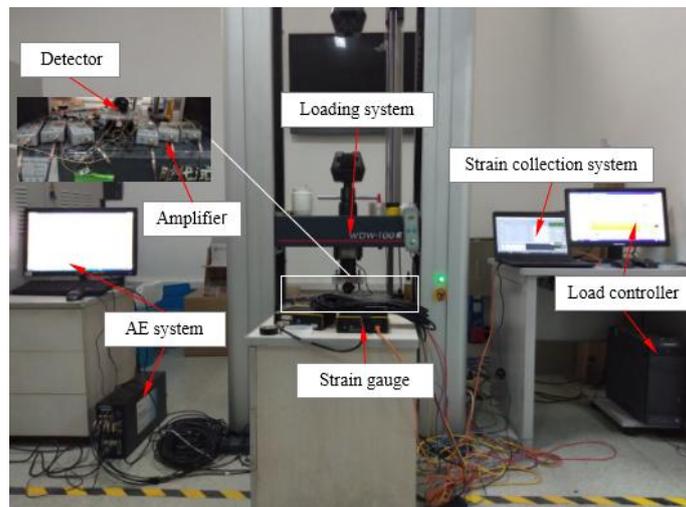
In order to make the probes of the six AE sensors fully contact with the raw coal sample, petroleum jelly was used as the coupling agent to fix the sensor on the surface of the raw coal sample to weaken the attenuation of the AE signal. Meanwhile, the water-proof tape was used for auxiliary fixing. The uniaxial compression loading system for raw coal sample and AE test system are shown in Figure 6.

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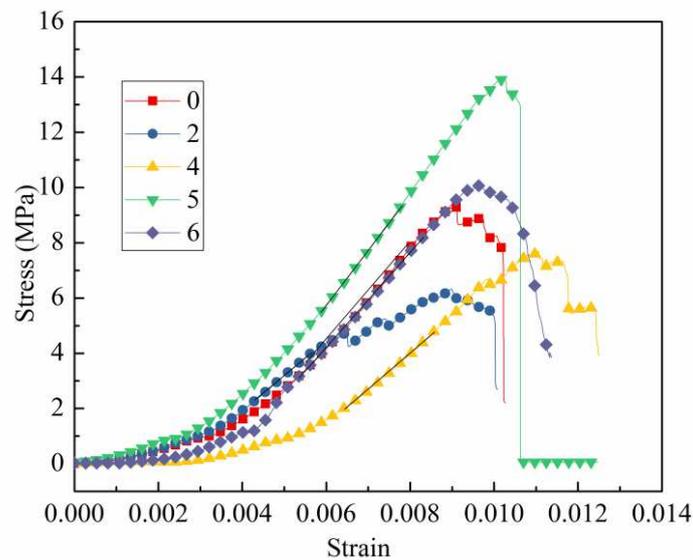
Fig.6 WDW-100E universal testing machine and AE system

198 3 Experimental results

199 3.1 Uniaxial compression test

200 The WDW-100E universal testing machine was used to carry out uniaxial
201 compression test, and the basic mechanical parameters of raw coal samples were
202 obtained, such as the whole stress-strain curve, compressive strength and Poisson's
203 ratio. In the process of uniaxial compression, the loading speed is 0.2 mm/min, and
204 the stress-strain value of coal sample is continuously recorded until the coal is
205 damaged. Meanwhile, the stress of raw coal sample is obtained by the pressure sensor
206 of universal testing machine, and the strain is obtained by the strain gauge connected
207 with the strain gauge of raw coal sample.

208 Uniaxial compression tests were carried out on samples of No. 0, 2, 4, 5 and 6,
209 and the stress-strain curve of raw coal samples was obtained, as shown in Fig. 7. The
210 results show that the uniaxial compressive strength of five samples are 9.31 MPa, 6.32
211 MPa, 7.70 MPa, 13.92 MPa and 10.06 MPa respectively. The linear elastic modulus
212 of five samples are 1.79 GPa, 1.29 GPa, 1.30 GPa, 2.00 GPa and 1.69 GPa
213 respectively. The Poisson's ratio of five samples are 0.34, 0.31, 0.33, 0.30 and 0.35,
214 respectively.



215

216 Fig. 7 Stress-strain relationship of coal samples under uniaxial compression

217 The bulk modulus and shear modulus of the coal can be expressed as,

218

$$\left. \begin{aligned} G &= \frac{E}{2(1+\mu)} \\ K &= \frac{E}{3(1-2\mu)} \end{aligned} \right\} \quad (1)$$

219 where G is the shear modulus and K is the bulk modulus. E is the young's modulus
220 and μ is the Poisson's ratio.

221 According to equation (1), the relationship between bulk modulus, shear
222 modulus and Poisson's ratio can be obtained as,

$$\mu = \frac{3K - 2G}{6K + 2G} \quad (2)$$

224 The Poisson's ratio distribution of coal is mainly in the range of 0-0.5. By further
225 sorting out equation (2), the following formula can be obtained,

$$\eta = \frac{3(1-2\mu)}{2(1+\mu)} \quad (3)$$

227 where, η is the ratio of shear modulus G to bulk modulus K ($\eta=g/k$). μ is Poisson's
228 ratio. Given that the Poisson's ratio range is 0-0.5, the value range of η is 0-1.5.

229 The Poisson's ratio of coal material is not only related to G and K , but also to
230 internal friction angle. Sun (1988) deduced shear failure strength criterion of
231 continuous medium rock mass based on Mohr-Coulomb strength criterion,

$$\mu = \frac{1}{2}(1 - \sin \varphi) \quad (4)$$

233 In addition, Zhang (2011) study the Poisson's ratio and internal friction angle
234 in-depth, and presented that there is $\mu \leq \tan \varphi(1 - \sin \varphi)$ in brittle failure of rock.
235 Therefore, it can be seen that the different of μ and φ directly affect the failure type of
236 materials.

237 According to equations (1)-(4), the basic relationship between Poisson's ratio and
238 internal friction angle can be obtained, as shown in Fig. 8.

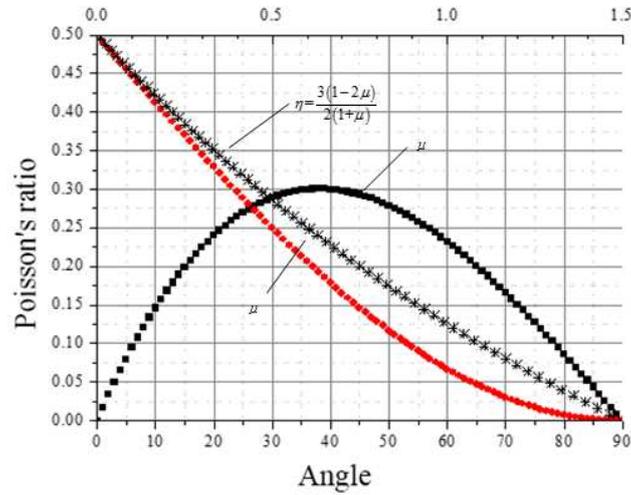
239 If the yield process of the material satisfies the Mohr-Coulomb criterion, the
240 relationship between the uniaxial compressive strength and the cohesion and friction
241 angle of the material is

242

$$\sigma_u = \frac{2c \cos \varphi}{1 - \sin \varphi} \quad (5)$$

243

where σ_u is the uniaxial compressive strength, MPa. c is the cohesion, MPa.



244

Fig. 8 Relationship between Poisson's ratio and friction angle

245

246

Finally, the basic mechanical parameters of five coal samples can be calculated

247

by uniaxial compression test, as shown in Table 3.

248

Table 3 Mechanical parameters obtained from the experiment

Number	Compressive strength /MPa	Linear elastic modulus /GPa	Poisson's ratio	Internal friction angle/ $^{\circ}$	Bulk modulus /GPa	Shear modulus /GPa	Cohesion /MPa
0	9.31	1.79	0.34	18.65	1.86	0.67	3.42
2	6.32	1.29	0.31	21.80	1.16	0.49	2.27
4	7.70	1.30	0.33	20.12	1.26	0.49	2.61
5	13.92	2.00	0.30	23.66	1.66	0.77	4.86
6	10.06	1.69	0.35	17.39	1.88	0.63	3.29
Average	9.46	1.61	0.33	20.32	1.57	0.61	3.29

249

Note: Since Poisson's ratio of raw coal samples is greater than or equal to 0.3, the calculation of friction angle is

250

based on formula (4).

251

It shows that although the selected raw coal samples are taken from the same

252

block coal and the wave velocity is relatively consistent, the mechanical properties are

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still different, which further proves the heterogeneity of coal. The enlightenment to us

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is that in order to reduce the experimental error and wrong conclusion, it is necessary

255

to select more coal samples for repeated experiments on the basis of economy and

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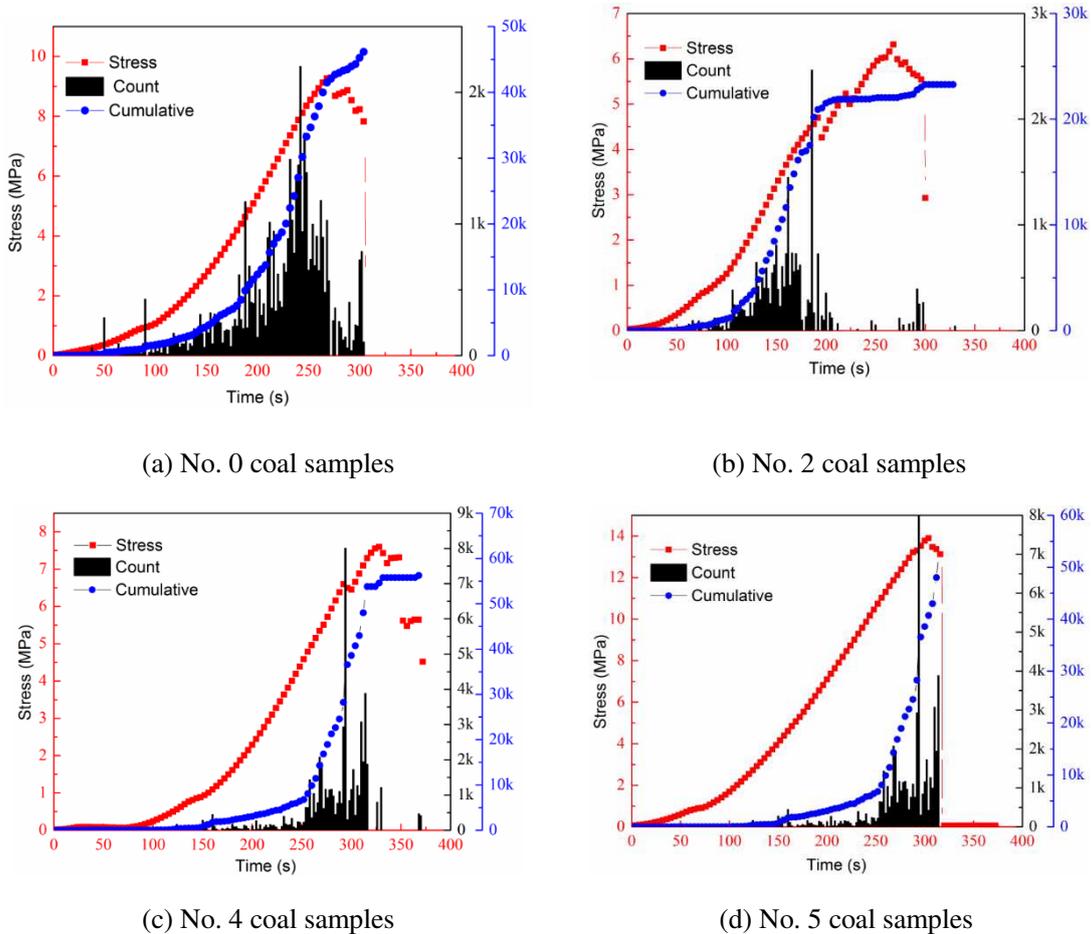
convenience.

257 3.2 AE response characteristics

258 The AE test and uniaxial compression test are carried out at the same time. Due
259 to the failure of the AE instrument, we have not collected all the AE signals in the
260 process of uniaxial compression test. Only the AE response signals of No. 0, 2, 4 and
261 5 raw coal samples were collected. Therefore, the AE response characteristics of No.
262 0, 2, 4 and 5 raw coal samples were only analyzed in this paper.

263 3.2.1 Ring count analysis of AE signal

264 The ring count of AE signal refers to the number of times that the AE signal
265 exceeds the set threshold, which can roughly reflect the intensity and frequency of the
266 signal. The relationship between AE ring count, axial stress and time is shown in Fig.
267 9.



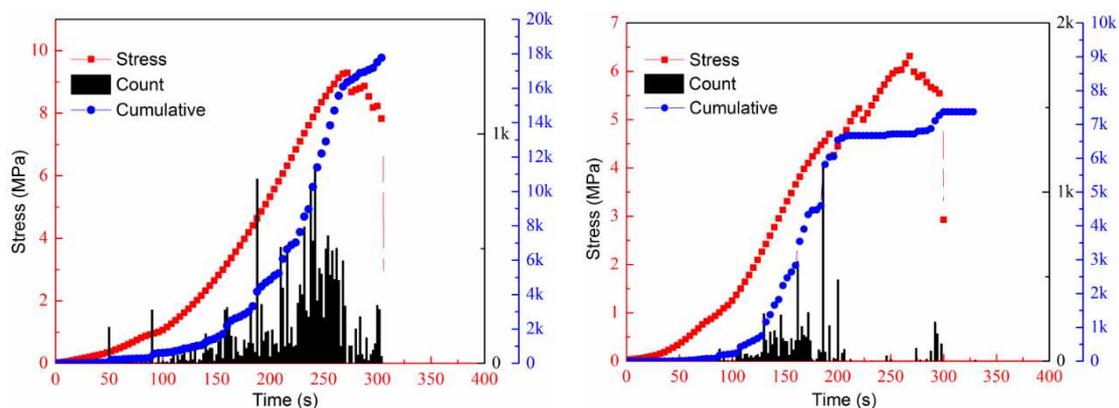
268 Fig. 9 Relationship between AE ring count, stress and time

269 It can be seen from Fig. 9 that the distribution of ring count with time in uniaxial

270 compression process is approximately normal distribution. The AE ring count is
 271 mainly concentrated in the linear elastic stage and plastic deformation stage of raw
 272 coal sample, and the maximum value of ring count appears in the plastic deformation
 273 stage of raw coal sample. The change of cumulative ring count value is in line with
 274 the change law of axial stress of raw coal sample during uniaxial compression.
 275 According to the complete stress-strain zoning of coal, the stress-strain relationship is
 276 related to the compaction, formation, development and expansion of internal cracks,
 277 and the corresponding pulse elastic wave is formed during the compaction, formation,
 278 development and expansion of cracks. By analyzing the change characteristics of ring
 279 count, the formation, development and expansion characteristics of internal cracks in
 280 raw coal samples can be obtained.

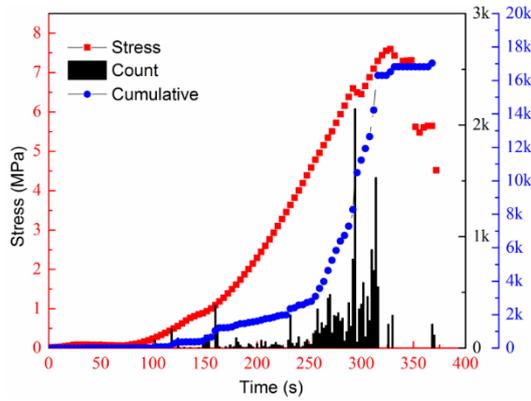
281 3.2.2 Energy characteristic analysis of AE signal

282 The energy of AE signal is the reflection of relative energy or relative intensity in
 283 AE events, and is less affected by threshold, operating frequency and propagation
 284 characteristics. The relationship between AE energy count and axial stress and time of
 285 raw coal sample during uniaxial compression is shown in Fig. 10.

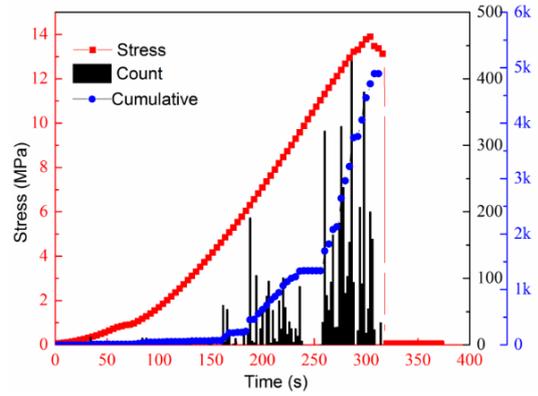


(a) No. 0 coal samples

(b) No. 2 coal samples



(c) No. 4 coal samples



(d) No. 5 coal samples

Fig. 10 Relationship between AE energy, stress and time

286

287 It can be seen from Fig. 10 that the distribution of energy count with time during
 288 uniaxial compression is approximate to normal distribution. The AE energy count
 289 mainly concentrates in the linear elastic stage and plastic deformation stage of raw
 290 coal sample, and the maximum value of ring count appears in the plastic deformation
 291 stage of raw coal sample. The energy count and its cumulative value are close to the
 292 axial stress change in the uniaxial compression process. Therefore, the energy and
 293 ring count both can reflect the formation, development and expansion of the cracks in
 294 the raw coal sample during uniaxial compression.

295 3.3.3 Event analysis of AE signal

296 The AE events refer to the local changes in the interior of materials, which can
 297 reflect the total amount and frequency of AE. They are usually composed of one or
 298 more impact events, which are mainly used to evaluate the activity and concentration
 299 of AE sources. The relationship between AE events and axial stress and time of raw
 300 coal sample during uniaxial compression is shown in Fig. 11.

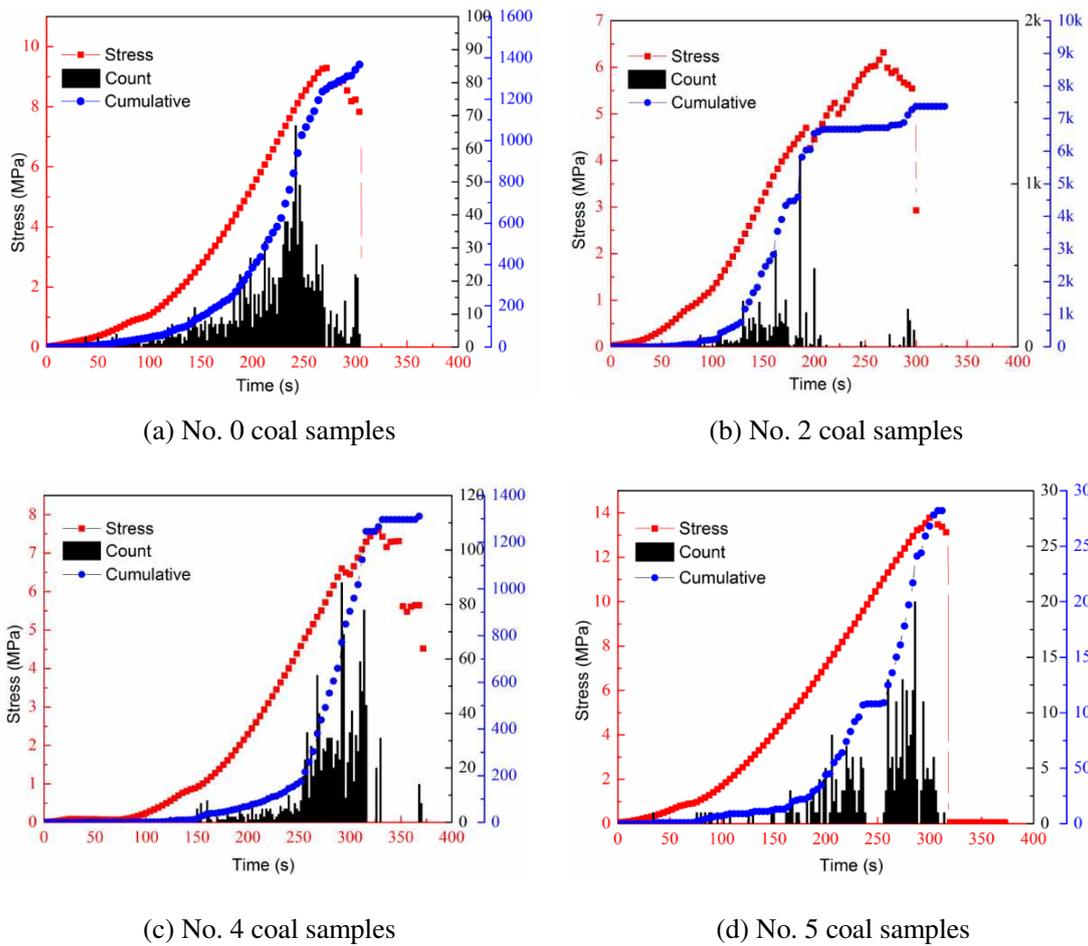


Fig. 11 Relationship between AE event, stress and time

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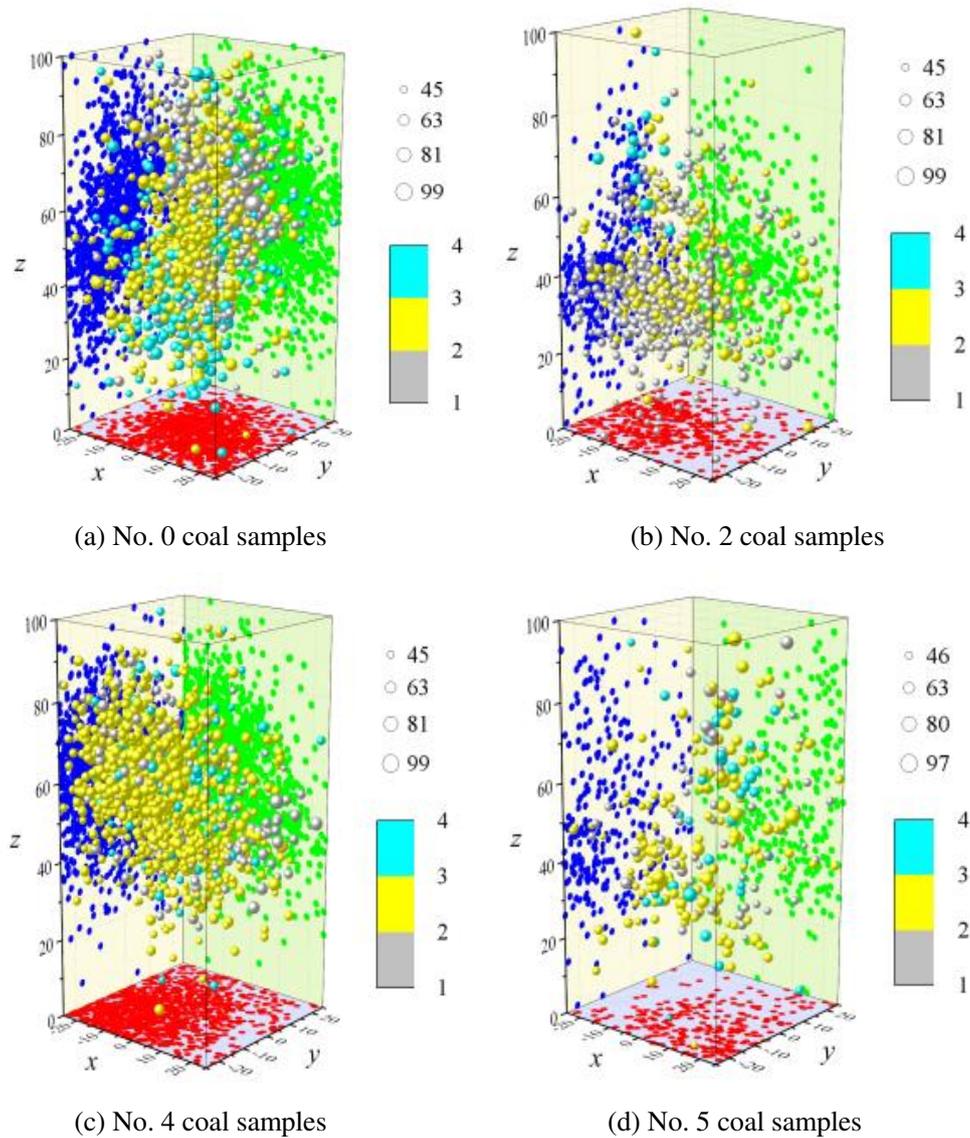
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313

It can be seen from Fig. 11 that the distribution of AE events with time during uniaxial compression is still approximate to normal distribution. The AE events are mainly concentrated in the linear elastic stage and plastic deformation stage of raw coal samples, and the maximum value of AE events occurs in the plastic deformation stage of raw coal samples. The change of cumulative AE events is also close to the stress variation law of raw coal samples during uniaxial compression. The AE events and their cumulative value are still close to the ring count and their cumulative value. Compared with the ring count and energy count, AE events can better reflect the formation, development and expansion of cracks in the raw coal sample during uniaxial compression.

In order to more intuitively show the evolution law of AE events with time and space under uniaxial loading of raw coal samples and taking the axial stress of raw

314 coal samples in the loading process of 60%, 95%, 100% of the maximum compressive
 315 strength as nodes, the stress state of raw coal samples is divided into four stages. They
 316 are elastic stage (0 ~ 60%), yield stage (60% ~ 95%), plastic stage (95% ~ 100%) and
 317 post peak stage respectively. The AE events and their amplitude characteristics in
 318 different stages are statistically analyzed, as shown in Fig. 12.



319 Fig. 12 AE source three-dimensional positioning

320 The numbers 1, 2, 3 and 4 on the color bars in Fig. 12 represent the elastic stage
 321 (0 ~ 60%), yield stage (60%~ 95%), plastic stage (95% ~ 100%) and post peak stage,
 322 respectively. Four spheres with different diameters represent the energy amplitudes of
 323 different AE events. According to the final statistics, the number of AE events in the

324 elastic stage of the four raw coal samples are 401, 324, 120 and 64, and the average
325 energy amplitudes are 69.28, 63.58, 64.82 and 66.52 respectively. The AE events in
326 the yield stage are 705, 166, 1046 and 177, and the average energy amplitudes are
327 54.43, 63.84, 64.45 and 66.51 respectively. The AE events in the plastic stage are 136,
328 1, 63 and 31, with an average of 69.28, 63.58, 64.82 and 66.52 respectively. The
329 energy amplitudes were 69.54, 86, 64.21 and 69.94, respectively. The number of AE
330 events in post peak stage was 125, 16, 39 and 11, and the average energy amplitude
331 was 67.68, 72.13, 64.64 and 73.10, respectively. It can be seen from Fig. 12 that the
332 AE events are mainly concentrated in the elastic stage and yield stage. At this stage,
333 the original fracture experienced the process of compaction and then opening.
334 However, in the plastic stage, the number of AE events is small, while the energy
335 amplitude is large. This is due to the formation of new fractures and the increase of
336 AE event energy amplitude. It is concluded that the AE events have a good
337 positioning function, which can accurately locate the specific location of AE events,
338 and better reflect the evolution law of internal cracks in raw coal samples during
339 uniaxial compression.

340 **4 Discussion**

341 The existing research results show that the occurrence of coal gas outburst and
342 rockburst has a high degree of suddenness (Fan et al. 2020; Konicek et al. 2013; Lama
343 and Bodziony 1998; María et al. 2007). Therefore, it not the fact that the coal gas
344 outburst and rockburst could not be predicted and prevented. In fact, most of the coal
345 gas outbursts and rockburst accidents present certain precursory characteristics before
346 they occur, such as the sound of coal cannon, spalling, coal body displacement.
347 Predecessors have done a lot of research in this field. Similarly, the occurrence of
348 coal-gas compound dynamic disaster is also induced by the instability of coal and
349 rock. As for coal-gas compound dynamic disaster, before the failure of coal and rock,
350 it will still release and produce a large number of AE signals, which has important
351 practical significance for the study of AE evolution law in the process of coal and

352 rock damage. In this work, we obtained the AE response characteristics of anthracitic
353 coal under uniaxial compression and focused on the similarities and differences of the
354 ring count, energy count and AE events with time in uniaxial compression process.
355 The distribution of all these three AE parameters with time during uniaxial
356 compression is approximate to normal distribution and they all could slightly reflect
357 the evolution process of cracks in coal rock fracture process. However, through the
358 location study of AE events, it is found that AE events have a good positioning
359 function, which can accurately locate the specific location of AE events, and better
360 reflect the evolution law of internal cracks in raw coal samples during uniaxial
361 compression. Therefore, in the engineering practice of the prevention of coal-gas
362 compound dynamic disaster, the AE events can be used as one of the main AE
363 characteristic parameters. The results of spatial location of AE events in front of the
364 working face could be used to predict the fracture and instability of coal and rock,
365 which is also conducive to the prediction of coal-gas compound dynamic disaster and
366 improve the accuracy of its prediction. The preliminary study of this work is supposed
367 to suggest researcher to study in-depth the mechanism of coal-gas compound dynamic
368 disaster using AE technology.

369 The research in this work also shows that although the selected raw coal samples
370 are taken from the same block coal, the mechanical properties are still different. As a
371 result, the AE signal may jump and have large dispersion. The enlightenment to us is
372 that the prediction of coal-gas compound dynamic disaster by using AE characteristics
373 of coal should also be based on long-term monitoring. In this work, the characteristics
374 of acoustic emission of coal and rock in the process of coal-gas compound dynamic
375 disaster are only carried out in the laboratory scale, and the field scale test has not
376 been designed. In the future, more in-depth research on the mechanism of coal-gas
377 compound dynamic disaster based on AE technology is needed. Moreover, the
378 continuous scale field monitoring of AE signal in the process of coal-gas compound
379 dynamic disaster is the focus of our future research.

380 **5 Conclusion**

381 (1) For the same raw coal sample, there are some differences in the wave
382 velocities in three directions, which indicates that the raw coal sample has certain
383 non-uniformity and anisotropy.

384 (2) The distribution of ring count, energy count and AE events with time in
385 uniaxial compression process is approximate to normal distribution, and these three
386 parameters are close to the variation law of axial stress of coal during uniaxial
387 compression. Through the change characteristics of these three parameters, the
388 formation, development and expansion characteristics of internal cracks in raw coal
389 samples can be obtained.

390 (3) AE events are concentrated in the elastic stage and yield stage, and the energy
391 amplitude of AE events is higher in the plastic stage. Compared with ring count and
392 energy count, AE events have a good positioning function, which can better reflect the
393 evolution of internal cracks in raw coal samples during uniaxial compression.

394

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400

401 **Conflicts of Interest:** All the authors declare that they have no conflict of
402 interest.

403

404 **Contributions**

405 FD: Methodology; Writing-Original Draft. KW: Supervision; Writing-Review &
406 Editing. GZ: Writing-Review & Editing. GW: Data processing. QZ: Experiments. JM:
407 Experiments.

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Figures



Figure 1

Schematic diagram of coal samples

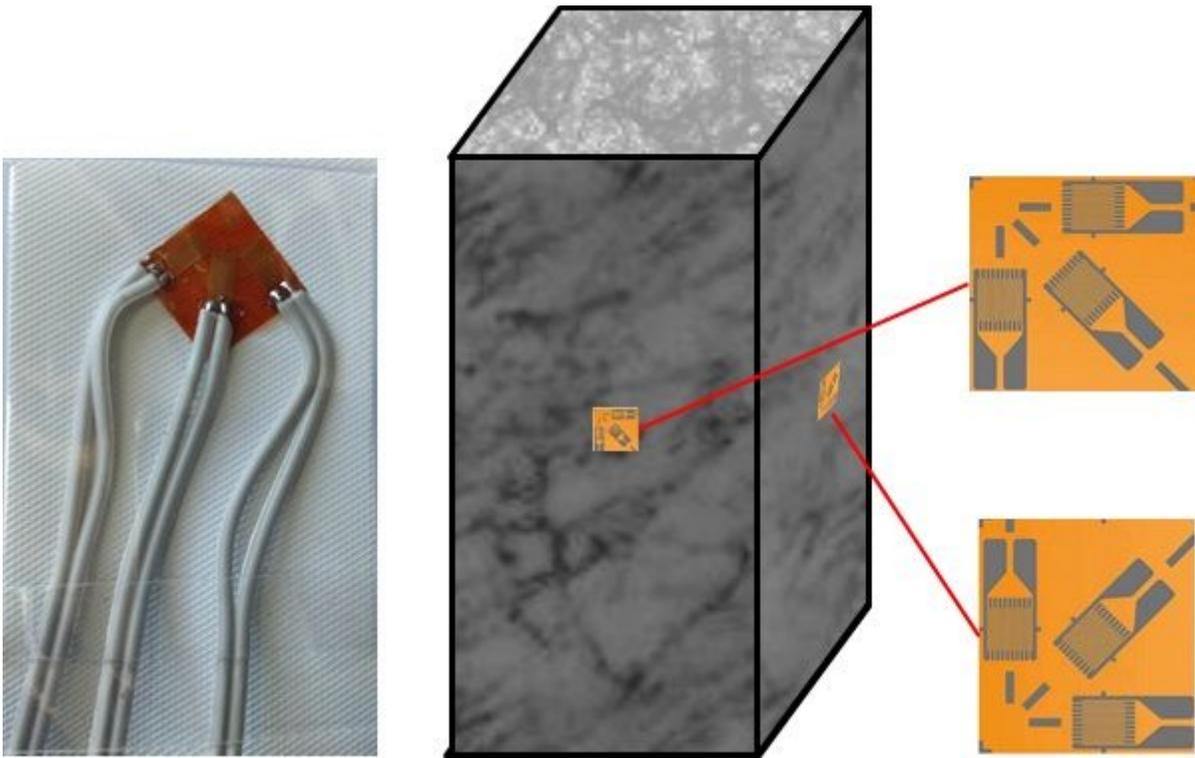


Figure 2

Ultrasonic inspection position distribution of coal sample Table 1 Preliminary parameters of coal samples

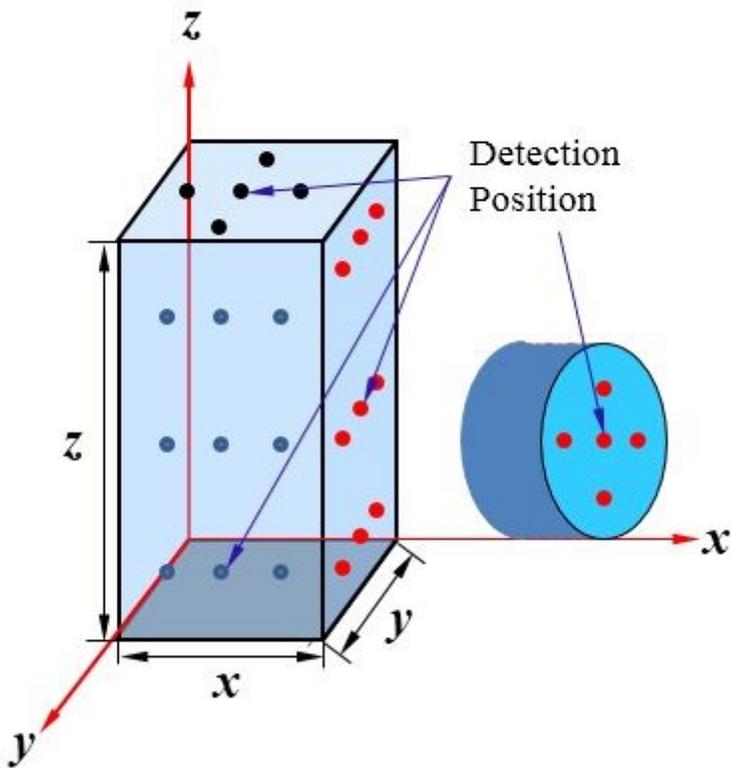


Figure 3

Density and ultrasonic wave velocity of coal

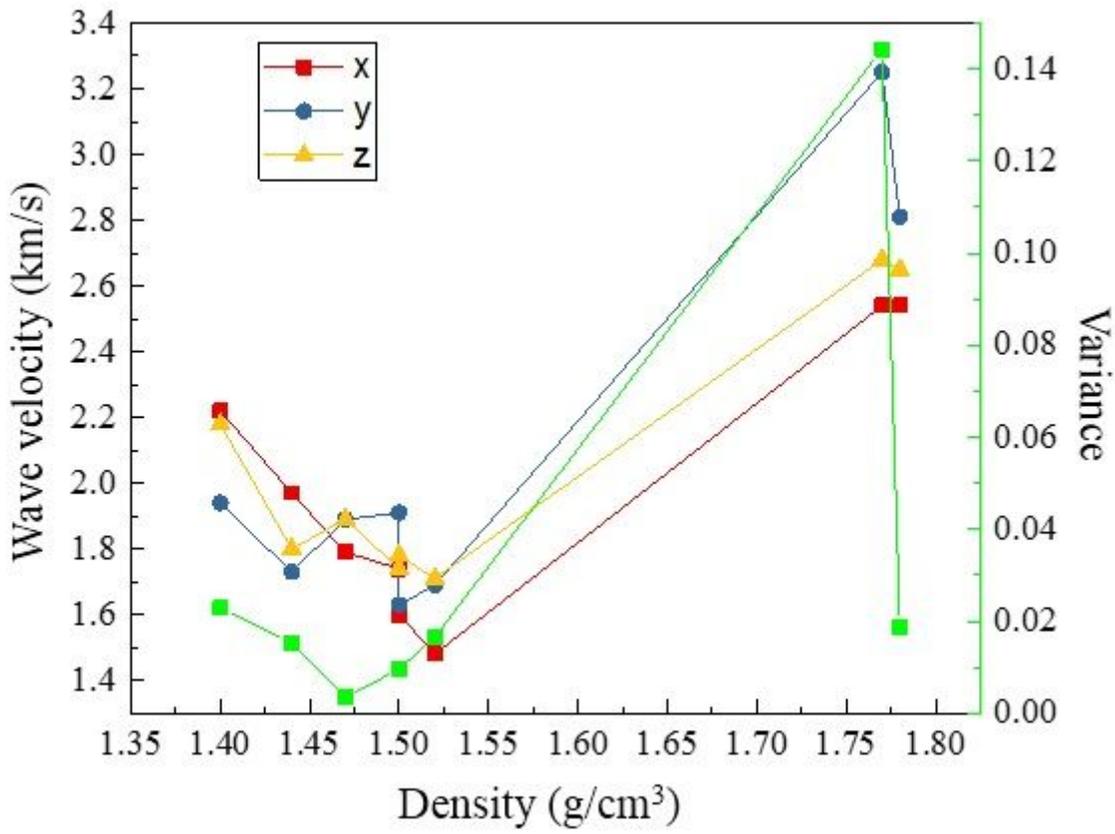


Figure 4

BX120-3CA strain gauge and its patching method

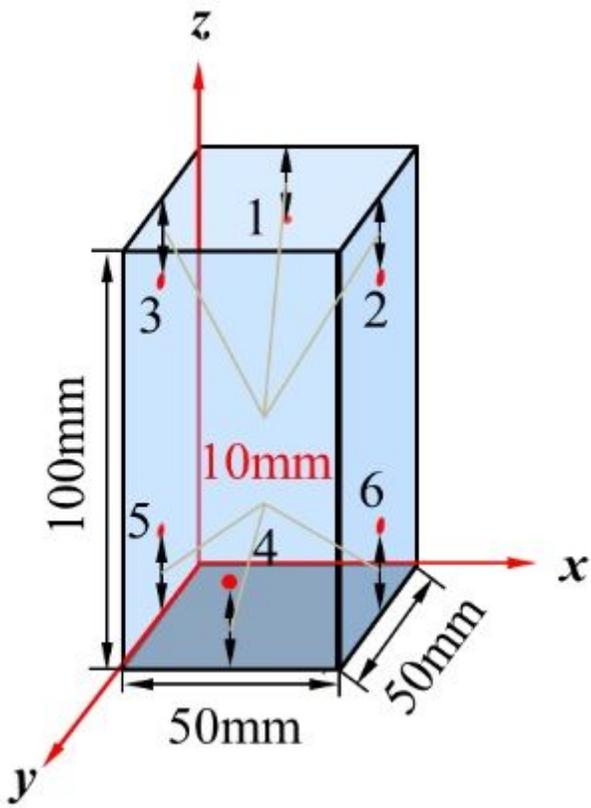


Figure 5

AE sensor spatial distribution

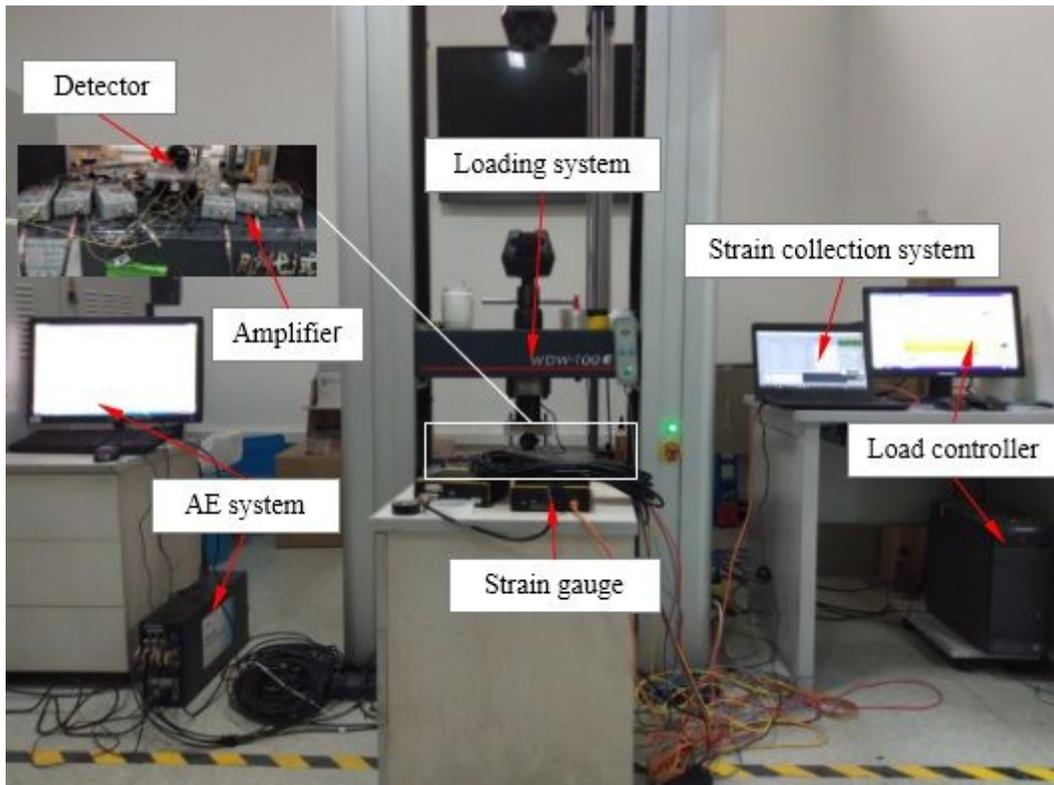


Figure 6

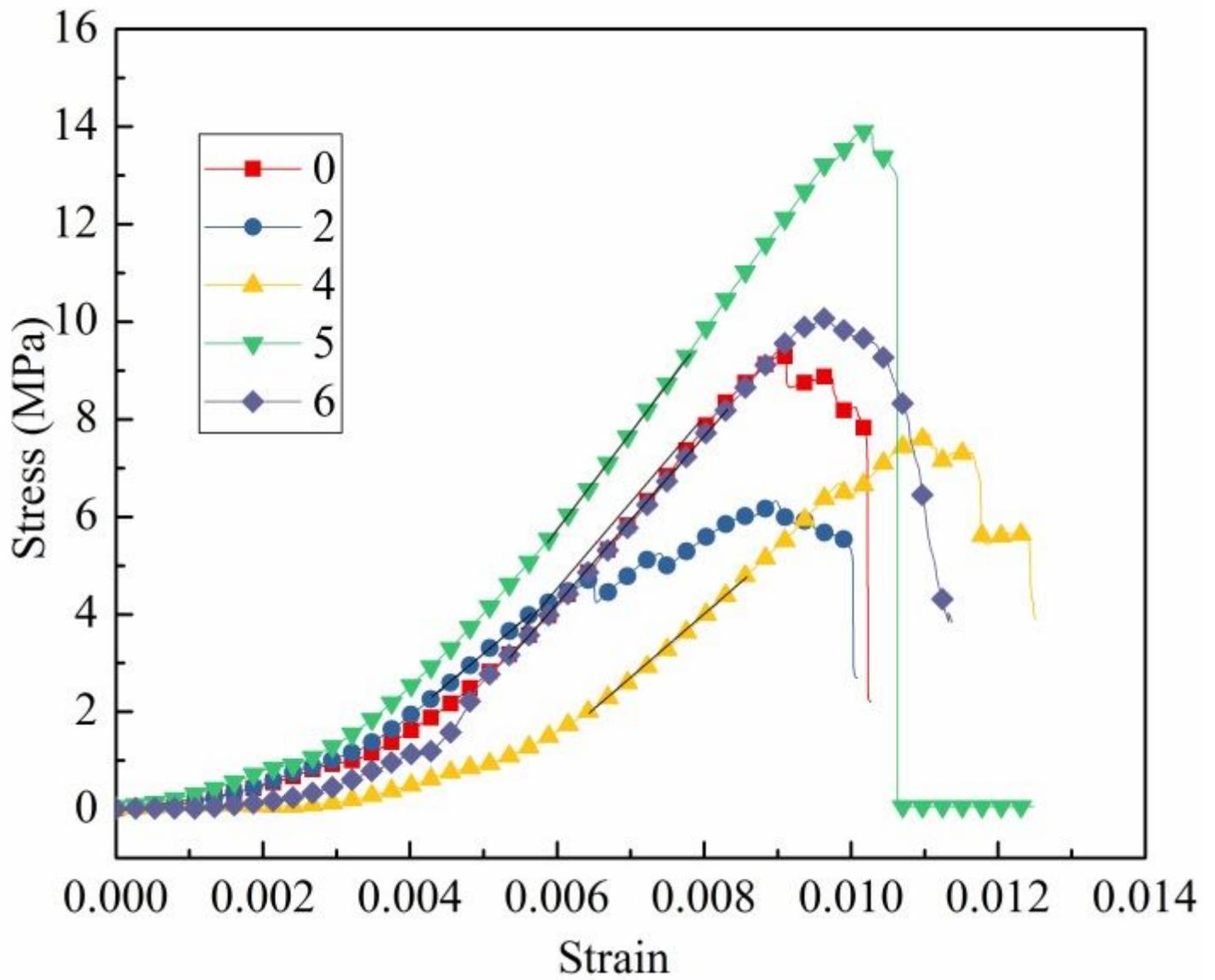


Figure 7

Stress-strain relationship of coal samples under uniaxial compression

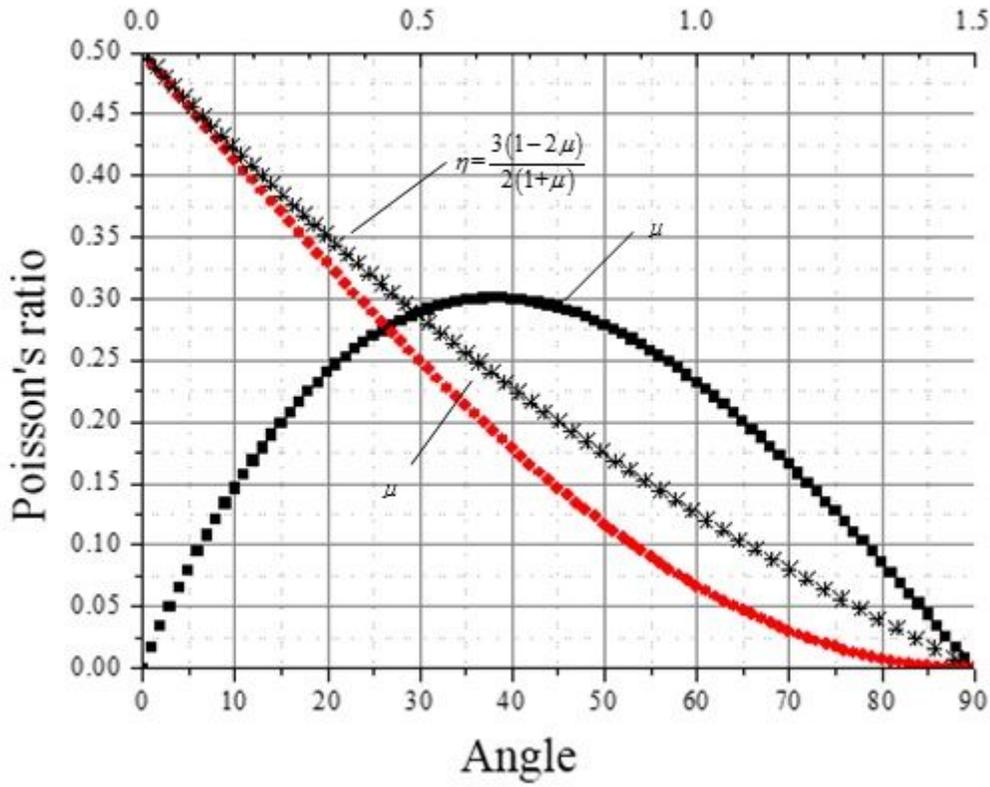
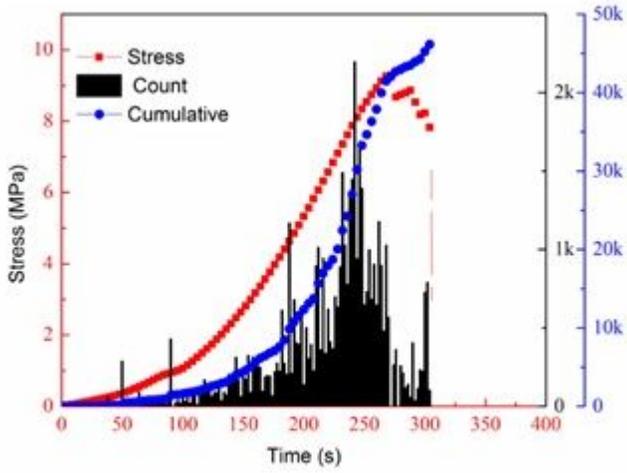
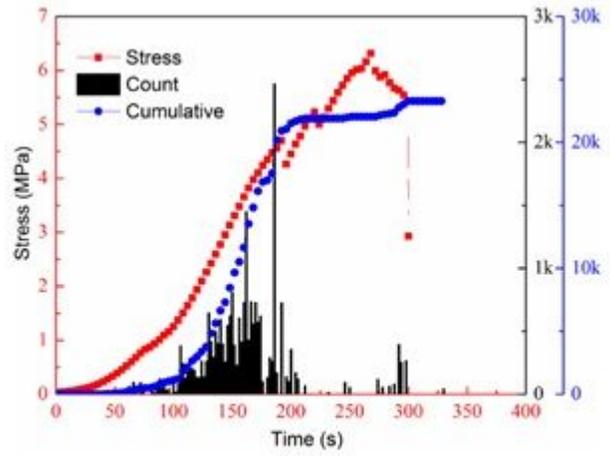


Figure 8

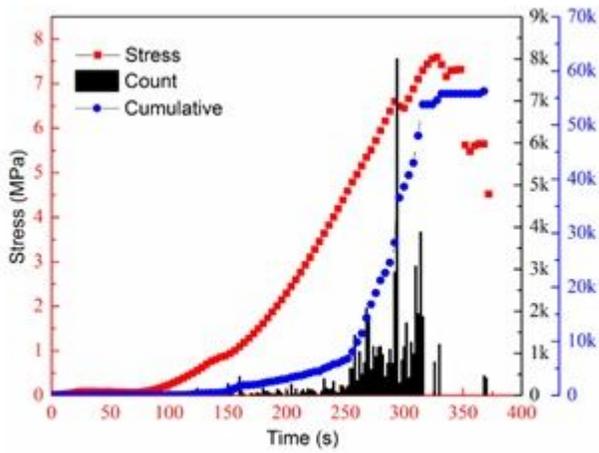
Relationship between Poisson's ratio and friction angle



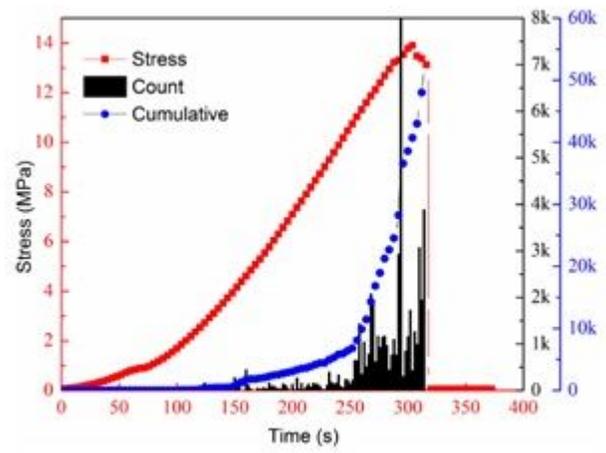
(a) No. 0 coal samples



(b) No. 2 coal samples



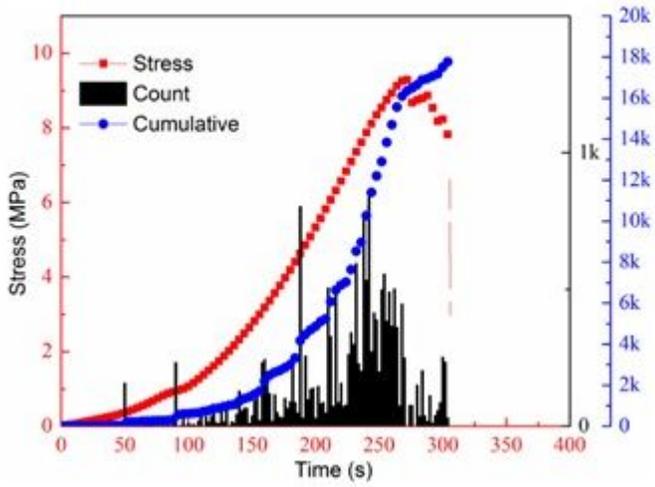
(c) No. 4 coal samples



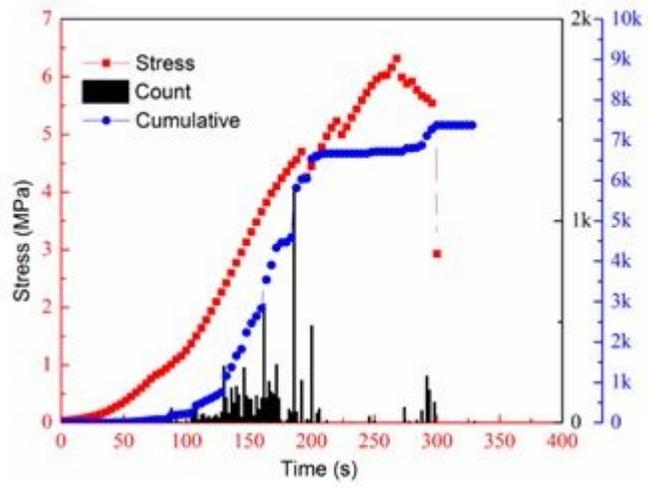
(d) No. 5 coal samples

Figure 9

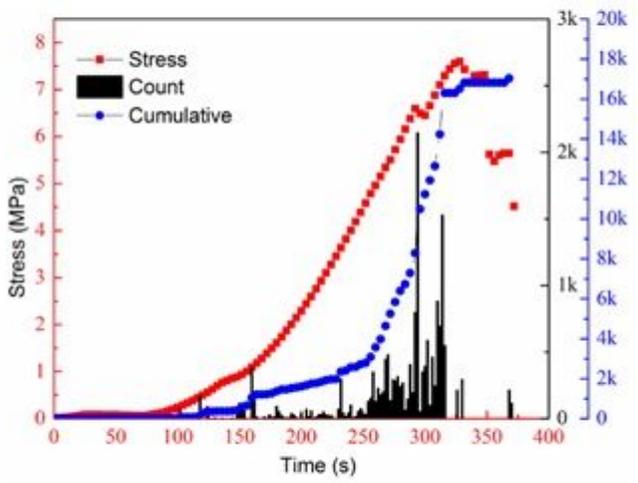
Relationship between AE ring count, stress and time



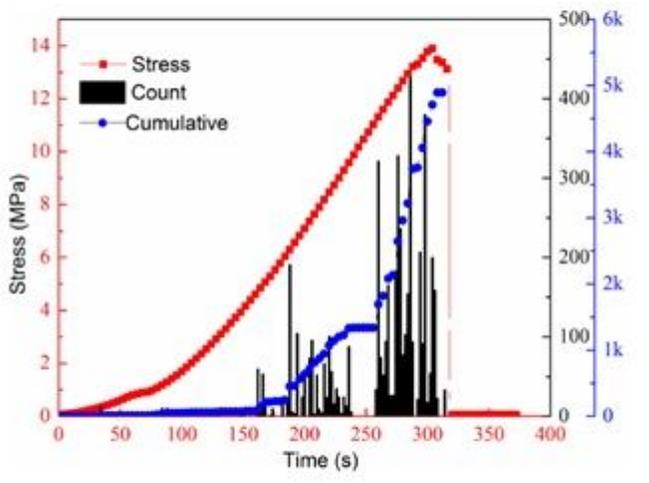
(a) No. 0 coal samples



(b) No. 2 coal samples



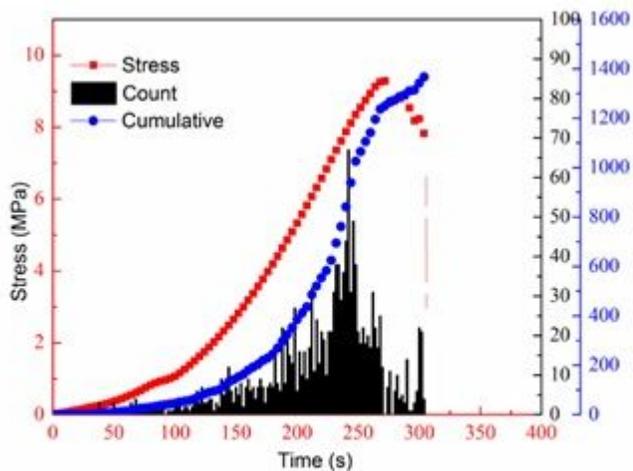
(c) No. 4 coal samples



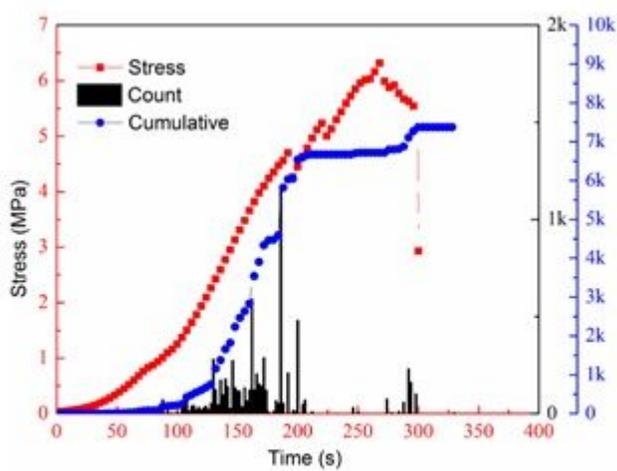
(d) No. 5 coal samples

Figure 10

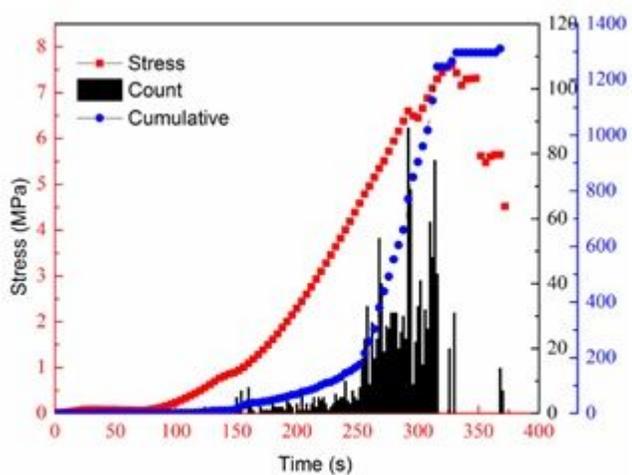
Relationship between AE energy, stress and time



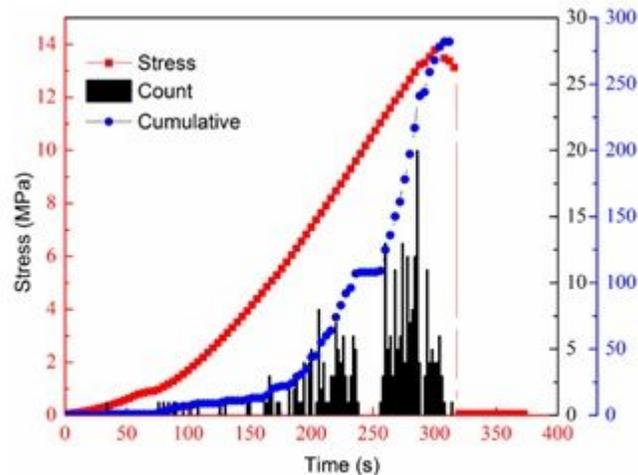
(a) No. 0 coal samples



(b) No. 2 coal samples



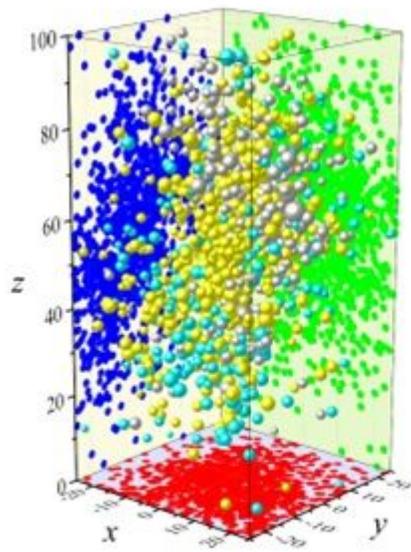
(c) No. 4 coal samples



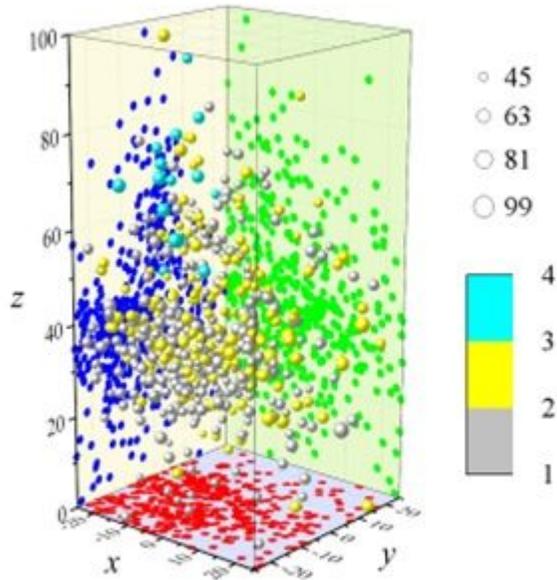
(d) No. 5 coal samples

Figure 11

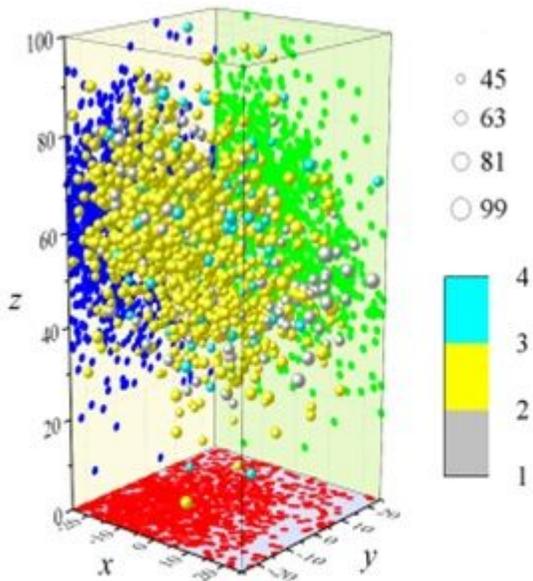
Relationship between AE event, stress and time



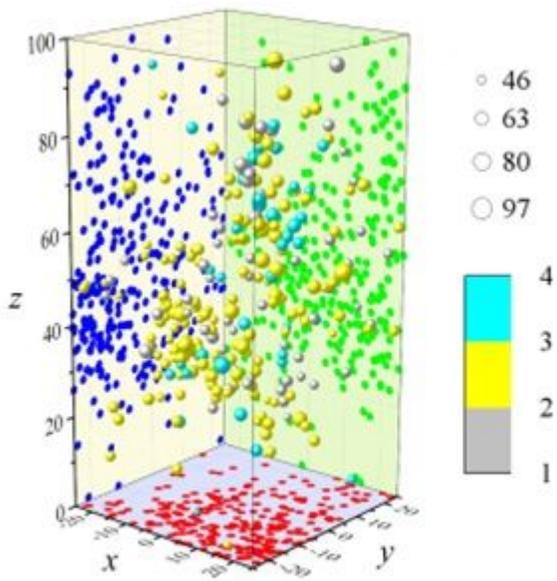
(a) No. 0 coal samples



(b) No. 2 coal samples



(c) No. 4 coal samples



(d) No. 5 coal samples

Figure 12

AE source three-dimensional positioning