

1 **REVISED VERSION**

2 **Radiofrequency ablation combined with conductive fluid-based**  
3 **dopants (saline normal and colloidal gold):** Computer modeling  
4 and ex vivo experiments

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14

## 15 **Abstract**

16 **Background:** The volume of the coagulation zones created during radiofrequency ablation  
17 (RFA) is limited by the appearance of roll-off. Doping the tissue with conductive fluids, e.g.  
18 gold nanoparticles (AuNPs) could enlarge these zones by delaying roll-off. Our goal was to  
19 characterize the electrical conductivity of a substrate doped with AuNPs in a computer  
20 modeling study and ex vivo experiments to investigate their effect on coagulation zone  
21 volumes.

22 **Methods:** The electrical conductivity of substrates doped with normal saline or AuNPs was  
23 assessed experimentally on agar phantoms. The computer models, built and solved on  
24 COMSOL Multiphysics, consisted of a cylindrical domain mimicking liver tissue and a  
25 spherical domain mimicking a doped zone with 2, 3 and 4 cm diameters. Ex vivo experiments  
26 were conducted on bovine liver fragments under three different conditions: 1) non-doped  
27 tissue (ND Group), 2 mL of 0.9% NaCl (NaCl Group), and 2 mL of AuNPs 0.1 wt% (AuNPs  
28 Group).

29 **Results:** The theoretical analysis showed that adding normal saline or colloidal gold in  
30 concentrations lower than 10% only modifies the electrical conductivity of the doped  
31 substrate with practically no change in the thermal characteristics. The computer results  
32 showed a relationship between doped zone size and electrode length regarding the created  
33 coagulation zone. There was good agreement between the ex vivo and computational results  
34 in terms of transverse diameter of the coagulation zone.

35 **Conclusions:** Both the computer and ex vivo experiments showed that doping with AuNPs  
36 can enlarge the coagulation zone, especially the transverse diameter and hence enhance  
37 sphericity.

38  
39 **Keywords:** Gold nanoparticles, nanofluid, saline solution, radiofrequency ablation

## 40 41 **Background**

42 Radiofrequency (RF) ablation (RFA) is a minimally invasive procedure used to thermally  
43 destroy tumors [1]. During the procedure a needle-like ablation electrode is inserted into the

44 tumor and electrical current (~500 kHz) is conducted between this electrode and a large-  
45 surface dispersive electrode placed on the patient's skin [2]. The electrical power is converted  
46 into heat by the Joule effect and causes cell death by coagulative necrosis when tissue  
47 temperature exceeds 50 °C for several minutes [3]. The thermally damaged tissue is known  
48 as the *coagulation zone* [4]. The therapeutic goal is to achieve a coagulation zone that covers  
49 the entire tumor. Unfortunately, the coagulation zone size is strongly limited by the  
50 appearance of a phenomenon called *roll-off*, which consists of the cessation of RF power due  
51 to a sudden increase in electrical impedance when the active electrode is completely  
52 surrounded by desiccated tissue (i.e. at ~100 °C) [5].

53 Larger coagulation zones could possibly be achieved by delaying *roll-off* as long as  
54 possible. Past studies have suggested injecting conductive fluids (such as saline solutions)  
55 into the target site before and/or during RFA [6–8]. The idea behind this ‘fluid-modulated  
56 RFA’ is to increase the electrical conductivity of the fluid-doped tissue and hence increase  
57 the deposited RF power in the target site. In theory, there is a direct relationship between  
58 saline concentration in the doped sample and its electrical conductivity  $\sigma$  [9]. In practical  
59 terms, the higher  $\sigma$  can delay the appearance of the first roll-off [10], which is crucial, since  
60 the coagulation zone volume is not greatly affected by power reapplications after this event  
61 [11] and increasing the saline infusion volume does not always lead to larger coagulation  
62 zones [12].

63 Since better results can be obtained by increasing dopant conductivity [8], nanofluid (NF),  
64 i.e. a fluid based on metallic nanoparticles (NPs) appears to be a suitable option. Most of the  
65 studies that combined NPs and RFA were not in the context of invasive RFA (where an  
66 electrode is inserted into the tumor), but rather in non invasive RF-induced localized

67 hyperthermia, in which the tumor is doped with NPs and RF fields are externally generated  
68 [13,14]. In fact, very few studies that combine NPs with invasive RFA have been published  
69 [15–18]. Merkle *et al* [15] studied the effect of doping the medium with superparamagnetic  
70 iron oxide prior to RFA in agar phantoms and *in vivo* liver models and found no significant  
71 differences in terms of coagulation zone size in the *in vivo* model, possibly because the NF  
72 containing iron oxide was administered intravenously, which did not ensure effective doping  
73 of the target area. In contrast, Pedro *et al* [16] did find larger coagulation zones when RFA  
74 was combined with intravenous administration of colloidal gold NF in an *in vivo* model (VX2  
75 tumor in kidney). Wu *et al* [17] studied the effect of injecting carbon-coated iron NF during  
76 RFA in an *ex vivo* model and found that this resulted in larger coagulation zones than those  
77 obtained by injecting saline only. In this case the NF was injected directly through the  
78 electrode to ensure the doping of the target area. Likewise, Jelbuldina *et al* [18] studied the  
79 effect of injecting NF based on ferromagnetic particles prior to RFA in an *ex vivo* liver model  
80 and reported significantly larger coagulation zone sizes.

81       Although these experimental results seem promising so far, there is still little information  
82 on the effect of NF injection prior to RFA on the size of the coagulation zone and its  
83 comparative advantage over saline injection. To fill this gap, we conducted a study with two  
84 objectives: 1) to characterize the changes in electrical conductivity of a substrate doped with  
85 either 0.9% NaCl (normal saline) or 0.01 wt% colloidal gold (colloidal suspension of gold  
86 NPs –AuNPs– in deionized water), and 2) to carry out a computer modeling study and *ex*  
87 *vivo* experiments on the effect of both dopants on the coagulation zone volumes created  
88 during RFA. While the numerical model provided information on the effect of RFA on  
89 different dopant concentrations and doped zone size, the *ex vivo* experiments were performed

90 with a reduced set of parameters to verify the conclusions of the simulations. The results of  
91 this investigation revealed the advantages of using fluid-based dopants to produce larger  
92 tissue coagulation zones by delaying the first roll-off and suggest the appropriate tumor size  
93 and applied voltage conditions under which these effects can be produced.

94

## 95 **Results**

### 96 *Electrical characterization of doped phantoms*

97 Table 1 shows the results of the impedance and electrical conductivities measured in the agar  
98 phantom samples. As expected, the single agar samples had the lowest conductivity, which  
99 increased after doping the sphere with NaCl or AuNPs solution. The highest conductivity  
100 was obtained for 1.5 wt% of NaCl solution (0.145 S/m), followed by 1 wt% of AuNPs  
101 solution (0.138 S/m) and 1.0 wt% of NaCl solution (0.113 S/m). The Analysis of variance  
102 confirmed that significant differences in the  $Z$  values between the groups. The increase in  
103 electrical conductivity of the substrate agar gel can be seen in the results in Table 1. Bennett  
104 [9] experimentally found the following frequency-independent (up to 100 kHz) linear  
105 relation between NaCl concentration and electrical conductivity of agar phantoms doped with  
106 NaCl:

$$107 \quad \sigma \text{ (S/m)} = 215 \cdot \frac{\text{(grams of NaCl)}}{\text{(solution volume, mL)}} + 0.0529 \quad (1)$$

108 The residual value we found without NaCl (0.067 S/m) is more or less in agreement with  
109 the offset reported by Bennett [9] in Eq. (1) and is possibly associated with the insoluble  
110 component of the agar phantoms at room temperature since deionized water has extremely  
111 low electrical conductivity,  $< 0.2$  mS/m. When Eq. (1) was used to estimate the electrical  
112 conductivities in our cases of NaCl doping, we obtained smaller values than those found

113 experimentally (e.g. 0.09 S/m instead of 0.113 S/m for NaCl 1 wt%; and 0.1 S/m instead of  
114 0.145 S/m for NaCl 1.5 wt%). This disagreement could be due to the accumulated errors in  
115 the experimental measurement of  $Z$  and the subsequent estimation of  $\sigma$  by computer  
116 modeling. We thus proposed a similar equation to Eq. (1) that relates the electrical  
117 conductivity of a substrate doped with 0.01 % AuNPs with its concentration in the substrate.  
118 Based on the experimental data obtained (1 wt%, 0.138 S/m) this would be:

$$119 \quad \sigma \text{ (S/m)} = 0.071 \cdot (\text{wt}\%) + \sigma_s \quad (2)$$

120 where  $\sigma_s$  is the electrical conductivity of non-doped substrate. It should be emphasized that  
121 this expression is only approximate, since it is based on a single concentration value.  
122 Theoretical estimates of the electrical conductivity of AuNPs colloidal solutions or substrates  
123 doped with this solution are difficult to calculate, since they depend on many factors,  
124 including the measurement frequency or NP size [19]. In this respect, expression (2) would  
125 be limited to a frequency of 500 kHz and NPs size of 10 nm.

126

### 127 *Computational results*

128 As the results showed that the doped zone has a crucial effect on the temperature distributions  
129 and the size of the coagulation zone, we give the results for each doped zone size separately.  
130 Table 2 shows the results for 50, 70 and 90 V RFA until roll-off for the 2-cm doped zone,  
131 and Figure 1 gives the related temperature distributions. As expected, initial impedance  
132 declined slightly as dopant concentration increased, with generally lower values in the case  
133 of AuNPs. Time to roll-off (time to reach 100  $\Omega$ ,  $t_{100-\Omega}$ ) showed relatively similar values at  
134 50 V (450–479 s), with the highest value for AuNPs at 10%. The delay in roll-off implied a  
135 longer ablation time and hence a greater amount of delivered energy. In terms of coagulation

136 zone size, transverse coagulation diameter values increased with dopant concentration, from  
137 2.6 cm for ND to 4.4 cm for AuNPs at 10% and in this case the coagulation volume tended  
138 to be more spherical (see Fig. 1). When applied voltage was increased to 70 and 90 V, roll-  
139 off occurred earlier and coagulation zones were smaller, especially due to the reduced  
140 transverse diameter, since the axial diameter was almost unaffected by the applied voltage.

141 Table 3 shows the results of the 3-cm doped zone for 50, 70 and 90 V RFA up to roll-off,  
142 with the associated temperature distributions in Figure 2. Initial impedance declined as  
143 dopant concentration increased and reached lower values than the 2-cm doped zone, with  
144 shorter roll-off times. Table 4 shows the results of the 4-cm doped zone at 50, 70 and 90 V  
145 RFA up to roll-off, with the associated temperature distributions in Figure 3. Initial  
146 impedance was even lower than in the 3-cm doped zone and declined as dopant concentration  
147 increased. Times to roll-off were even shorter than in the 3-cm doped zone and the axial and  
148 transverse coagulation diameters were smaller, especially for 50 V. Overall, we observed that  
149 the coagulation zone diameter decreased as the diameter of the doping zone increased.

150

#### 151 *Ex-vivo results*

152 Table 5 gives the results of the ex vivo experiments and Figure 4 shows examples of the  
153 coagulations for each doping condition group up to roll off, which occurred earlier for the  
154 ND ( $281 \pm 31$  s) than for AuNPs Group ( $432 \pm 36$  s). There was a direct relationship between  
155 time to roll-off ( $t_{100-\Omega}$ ) and coagulation zone size, with the smallest diameters in the ND  
156 Group ( $2.4 \pm 0.2$  cm) and the largest in the AuNPs Group ( $3.7 \pm 0.3$  cm). There were no  
157 significant differences in the initial impedance of the groups:  $70.7 \pm 6.3 \Omega$ ,  $73.2 \pm 4.0 \Omega$  and  
158  $74.4 \pm 2.4 \Omega$ , for ND, NaCl and AuNPs Groups, respectively, which could be due to the low

159 precision of the RF generator ( $\pm 10\%$ ). When the coagulation zone reached the outer tissue  
160 surface, the transverse diameter was assessed by the radius of the deepest zone (i.e. not in  
161 contact with the surface).

162 We also conducted computer simulations mimicking the applied voltage used during the  
163 ex vivo experiments (57 V). When a 2-cm doped zone was assumed, the transverse diameter  
164 of the coagulation zone was 2.2 cm for ND, while it ranged from 2.4 to 2.9 cm for NaCl, and  
165 from 2.6 to 3.8 cm for AuNPs (for concentrations of both dopants varying from 1 to 10%).  
166 These values were very similar to those obtained in the experiments. In contrast, the times to  
167 roll-off predicted by the computer model (222–289 s) were in general shorter than those  
168 measured in the ex vivo experiments (281–432 s). When larger doped zones were considered  
169 (3- and 4-cm diameters) the model predicted smaller transverse diameters than those obtained  
170 in the ex vivo experiments.

171

## 172 **Discussion**

173 The first step was to assess how the properties of the doped substrate changed with dopant  
174 type and concentration. Two fluid-based dopants were considered, normal saline and  
175 colloidal gold. While saline infusion has been clinically used at different concentrations to  
176 dope RFA target tissue [12,20], colloidal gold is little used in clinical practice [21]. The use  
177 of a fluid-based dopant before and during RFA is associated with the following two  
178 phenomena: 1) higher substrate electrical conductivity, especially if hypertonic saline ( $> 3\%$ )  
179 is used instead of normal saline (0.9%); and 2) roll-off is delayed due to rehydration of the  
180 desiccated tissue (only with continuous infusion or periodic administration of bolus during  
181 RFA [20]). Only the first of these was considered in the present study, since we assumed that

182 doping was by infusion before RFA (i.e. by pre-injection), in which case the dopant is only  
183 expected to alter the substrate properties.

184 Although some authors [22] have suggested that NPs could significantly raise the substrate  
185 thermal conductivity by up to ~23%, this would only be true at very high concentrations (e.g.  
186 4% volume fraction [22]). In fact, our theoretical estimates (see Table 6) showed that the  
187 volume occupied by the NPs is much smaller, e.g.  $5 \times 10^{-5}$  % when 10% colloidal gold (0.01  
188 wt%) is infused into the substrate. Our estimates (see Section 2.2) therefore showed that only  
189 the electrical conductivity is substantially modified by the effect of saline and colloidal gold-  
190 based dopants, at least at the concentrations considered here (less than 10 wt%). This is in  
191 agreement with previous estimates of the properties of saline-mixed tissue, when electrical  
192 conductivity was seen to change drastically with the saline:tissue mixing ratio while the  
193 thermal conductivity hardly changed [23].

194 Although the electrical properties of colloidal gold have previously been evaluated [24],  
195 no study has so far evaluated the electrical conductivity of AuNPs-substrate mixtures. Our  
196 data, shown in Table 6, provide guiding values on how the electrical conductivity of a  
197 substrate doped with colloidal gold could change at different weight concentrations between  
198 1 and 10%. It is reasonable to assume that a higher concentration of doping agent in the  
199 substrate (> 10%) and other more conductive types of dopants (e.g. hypertonic saline or >0.01  
200 wt% colloidal gold) would provide higher electrical conductivity values.

201 Once it was found that the addition of nanofluid (normal saline or colloidal gold 0.01  
202 wt%) to a substrate at concentrations between 1 and 10% only modified its electrical  
203 conductivity, we explored how this could alter the electrical and thermal performance of a  
204 spherical doped zone during RFA. For this, we built a numerical model based on a doped

205 zone surrounded by non-doped tissue, i.e. similar to a two-compartment model, as proposed  
206 in [25,26]. These models have already shown that the presence of a tumor with higher  
207 electrical conductivity than the surrounding tissue provides very different temperature  
208 distributions than models based on homogeneous tissue (one-compartment models) [25,26].  
209 They also suggested that the maximum voltage applied before roll-off is different for  
210 different sized tumors and reduces as tumor diameter increases [26]. None of the previous  
211 models considered different tissue doping conditions or assessed the effect of doped zone  
212 size in relation to electrode length.

213 Our computational results showed that in the non doped (ND) tissue model the  
214 relationship between doped zone diameter and electrode length determines RFA electrical  
215 and thermal performance. As the doped zone size exceeds the electrode length (i.e. the  
216 electrode is completely inside the doped zone, as in the 4-cm case), the temperature  
217 distribution is more similar to the homogeneous tissue RFA case, i.e. electrical power  
218 deposition (or current density) and heating mainly occur at the edges of the electrode (see  
219 Fig. 3). This is known as the *edge effect* and greatly limits the growth of the transverse  
220 diameter and sphericity of the coagulation zone.

221 On the other hand, we also found that if the electrode length exceeds the doped zone  
222 diameter and the edges of the electrode are outside the doped zone (as in the 2-cm case), the  
223 *edge effect* is in some way compensated for by the high electrical conductivity of the doped  
224 zone, which means that the current density is higher in the central zone than at the edges,  
225 where independent heating zones can be seen in the first few seconds (see Fig. 1). This  
226 behavior was amplified by the presence of a highly conductive dopant, insofar as the current  
227 density was greater than in the non-doped tissue case. As a result, the computational results  
228 shown in Fig. 1–3 suggest that the following procedure would be an effective way of ablating

229 a spherical tumor: 1) electrode longer than the tumor diameter (e.g. 1 cm longer), 2) tumor  
230 in the central area of the electrode, i.e. distal and proximal edges surrounded by healthy  
231 tissue, and 3) tumor doped with a sufficiently high concentration of conductive agents (for  
232 example, 10% AuNPs 0.01 wt%). This would create relatively spherical coagulation zones  
233 capable of destroying the tumor plus a safety margin (see Fig 1). From an oncological point  
234 of view, we recognize that traversing the tumor with the sharp tip of the electrode and  
235 exceeding its limits would imply a clear risk of needle track seeding prior to RFA (i.e. tumor  
236 cell spread [27]). However, it is also true that the tissue adjacent to the tip will most certainly  
237 be ablated, greatly reducing this risk.

238 Our results also suggest that when RFA is performed on highly conductive substrates (note  
239 that this may be valid both for tumors doped with a conductive substance and for non doped  
240 tumors with much higher conductivity than the surrounding tissue), larger coagulation zone  
241 volumes can be created at low voltages (50 V). However, moderate and high voltages (70  
242 and 90 V) can quickly heat the tissue but involve the risk of early roll-off, which notably  
243 limits the growth of the coagulation zone transverse diameter (see Fig. 1–3). This fast RFA  
244 heating on a highly conductive substrate was demonstrated by Ji *et al* [28] in an ex vivo study  
245 in which the evolution of tissue temperature at 1 and 2 cm from the electrode was recorded.  
246 Both our computer and experimental results (see Table 1 and Fig. 4) suggest that when the  
247 electrical conductivity of the dopant is raised, the transverse diameter is larger than the axial  
248 diameter, so that the coagulation zone is more spherical, which is in agreement with Ji *et al*'s  
249 results [28]. However, their experimental setup and ours share the uncertainty about the exact  
250 distribution of the dopant around the electrode. For this reason, our computer results suggest  
251 that in both experimental models the dopant was possibly concentrated in the central

252 electrode zone, thus achieving preferential heating in that zone, plus a more spherical  
253 coagulation zone.

254 Our conclusion on the recommended use of low voltage instead of high voltage goes in  
255 the opposite direction to the impedance-controlled pulsed protocol, which employs high  
256 voltage pulses and is broadly used in clinical practice. This protocol was demonstrated to be  
257 better than a low-voltage continuous protocol in a classical work by Goldberg *et al* [29], later  
258 improved on by Solazzo *et al* [30]. However, these studies used homogenous tissue, i.e. a  
259 non-doped target. Other experimental studies on RFA combined with saline infusion could  
260 deliver RF power at a high voltage with few roll-offs [31]. In fact, our clinical experience of  
261 ablating tumors smaller than 2 cm with a 3-cm electrode with hypertonic saline infusion only  
262 in the central electrode zone showed that it was possible to deliver power without roll-offs  
263 when an extra bolus is infused after 4 min [20]. The discrepancy of these results with those  
264 from our model is that the dopant fluid possibly provides an extra hydration effect which  
265 could be the partial cause of the roll-off delay, as we modeled in [32]. Our computer results  
266 call attention to the need to explore optimized protocols for the case of substrates doped with  
267 highly conductive fluids and suggest that in these cases the relationship between electrode  
268 length and tumor size could condition the result in terms of coagulation zone volume.

269 Our computer and *ex vivo* results showed good agreement in terms of coagulation zone  
270 transverse diameter when we assumed a 2-cm diameter doped zone, which suggests that  
271 during *ex vivo* experiments the dopant possibly extended in an area of that size. The times  
272 until roll-off predicted by the computer model were shorter than those observed during the  
273 *ex vivo* experiments. This could be due to the fact that the computer model did not include  
274 the possible rehydration effect of the doping fluid, which has been shown to slightly delay  
275 the appearance of the roll-off [32].

276 Finally, although there are still no data available on the advantages of nanofluids over  
277 saline to dope targets, it should not be forgotten that there are serious risks associated with  
278 infusing large amounts of fluid in RFA [33], and it seems reasonable to suggest that higher  
279 electrical conductivity should be achieved with the smallest possible amount of fluid.

280

### 281 *Limitations*

282 Some limitations should be pointed out. First, our theoretical estimations showed that the  
283 presence of either NaCl or AuNPs at the concentrations considered only affected the  
284 electrical characteristics of the substrate. This might not be valid with fluids at higher  
285 concentrations (i.e. >0.9% in case of NaCl, and >0.01 wt% in case of colloidal gold) or when  
286 the substrate is doped with higher concentrations of dopant fluid (i.e. >10%). It is also  
287 important to point out that the theoretical estimates of density, volumetric heat specific and  
288 thermal conductivity of the doped substrate were based on expressions that have been  
289 proposed to study tissues with variable water content [34]. Second, our modeling study  
290 assumed that the dopant was spherically distributed around the electrode. This should be seen  
291 as a first approximation to the real situation, which could be different in the case of a  
292 heterogeneous tissue in which blood vessels could preferentially evacuate the dopant agent  
293 [35]. And third, our model considered that electrical conductivity of doped substrates  
294 dropped 2 orders of magnitude once temperature reached 100 °C. However, there are no  
295 experimental data available on electrical conduction through desiccated tissues previously  
296 doped with AuNPs, i.e. we do not yet know if the ‘dry residue’ formed by the NPs themselves  
297 can conduct the RF current in any way.

298

## 299 **Conclusions**

300 The theoretical analysis showed that the addition of normal saline or colloidal gold (0.01  
301 wt%) at concentrations lower than 10% only modify the electrical conductivity of the doped  
302 substrate and have very little effect on the thermal characteristics. The computer results  
303 showed a relationship between doped zone size and electrode length regarding the created  
304 coagulation zone, and that highly conductive doped substrates possibly require low voltages  
305 to obtain large spherical coagulation zones. Both computer and ex vivo experiments showed  
306 that doping with AuNPs can enlarge the coagulation zone, especially the transverse diameter,  
307 hence achieving more spherical coagulation zones. These findings indicate that fluid-based  
308 dopants produce larger coagulation zones by delaying the first roll-off, which suggests that  
309 combining RFA with tumor doping could improve current ablation techniques by achieving  
310 complete coagulation of the tumor zone with a single application, i.e. without overlap due to  
311 repositioning of the electrodes.

312

## 313 **Methods**

### 314 *Electrical characterization of the doped substrates*

315 In order to quantify changes in electrical conductivity of a substrate doped with a small  
316 amount of normal saline or AuNPs colloidal solution we built tissue-mimicking phantoms  
317 based on agar gel (constituted by deionized water and 2 gr/mL agar-agar powder). The  
318 phantoms had two compartments: a sphere mimicking a 4-cm doped zone, and a cylinder  
319 enclosing the sphere and mimicking non-doped tissue (see Figure 5a). The sphere was located  
320 in the center of the cylinder.

321 While the cylinder was always made of agar gel, the spherical compartment was doped  
322 with one of two solutions: either 0.9% NaCl (Pisa Pharmaceuticals, Guadalajara, Mexico) or  
323 0.01 wt% colloidal AuNPs solution (10 nm average diameter) provided by the Physics  
324 Institute of UASLP (San Luis Potosí, Mexico). We assumed that these two dopant solutions  
325 did not occupy the entire doped area, but only a small percentage. In order to simulate this  
326 diffusion of the dopant in the medium, we considered two values for saline (1 and 1.5% wt)  
327 and one for colloidal gold (1% wt). For this we built four different spherical pieces to model  
328 four different conditions of the doped zone: 1) identical to the rest of the phantom (i.e. non  
329 doped tissue, using a 33.5 cm<sup>3</sup> agar gel volume); 2) spherical zone doped with 1 wt% of NaCl  
330 (using a mixture with 0.83 g of agar powder and 0.3 cm<sup>3</sup> of 0.9% NaCl); 3) spherical zone  
331 doped with 1.5 wt% of NaCl (using a mixture with 0.8 g of agar powder and 0.5 cm<sup>3</sup> of 0.9%  
332 NaCl); and 4) spherical zone doped with 1 wt% of AuNPs solution (using a mixture with  
333 0.83 g of agar powder and 0.01 wt% AuNPs solution). For the doped sphere, the liquid  
334 components (deionized water ~80 °C and saline solution or AuNPs as appropriate) were  
335 mixed in a glass container together with the agar powder (2 g/mL). To ensure the  
336 homogeneity of the sample, the mixture was kept on a magnetic stirrer at constant  
337 temperature for 1 hour. It was then poured into 4 cm diameter spherical containers and kept  
338 at a temperature of 10 °C for 12 hours to ensure solidification.

339 The cylindrical compartment was made in two stages. Agar powder (2 g/mL) was first  
340 mixed in deionized water at 80 °C, maintaining a constant temperature and stirring for 1 hour.  
341 Subsequently, it was poured into a cylindrical container with an internal diameter of 10 cm  
342 and a height of 15 cm up to a third of its volume, allowing it to solidify at room temperature.  
343 Prior to complete solidification, the doped sphere was placed in the center of the cylinder and  
344 covered with the agar powder and deionized water mixture up to 11 cm in height. Once

345 solidified, the entire phantom was kept in refrigeration at 10 °C at least 12 hours before  
346 experiments.

347 To estimate the electrical conductivity ( $\sigma$ ) of the doped zone, we measured the impedance  
348  $Z$  between a 3-cm active electrode model Cool-Tip (Medtronic, Minneapolis, MN, USA)  
349 inserted in the center of the spherical piece and a 2-mm thick aluminum foil entirely  
350 surrounding the phantom and acting as a dispersive electrode (Figure 5b).  $Z$  measurements  
351 were conducted by applying a sine voltage of 2 V amplitude and 500 kHz frequency. Voltage  
352 and current were measured by a digital oscilloscope TDS 3034B and a current probe mode  
353 A622, both from Tektronix (Beaverton, OR, USA). As expected, at RF frequencies the  
354 phantoms behaved electrically as pure resistors (no phase shift between current and voltage  
355 was observed), so that  $Z$  was inversely related to  $\sigma$ . Once  $Z$  measurements were obtained, we  
356 built a theoretical model and conducted computer simulations by changing  $\sigma$  values until we  
357 obtained the same  $Z$  values (i.e. a trial and error approach was used to estimate  $\sigma$ ). The  
358 geometry, size and electrical boundary conditions of the theoretical model exactly mimicked  
359 the experimental conditions. Figure 6a shows the boundary conditions used. One-way  
360 analysis of variance was performed by the Fisher test ( $P = 0.05$ ) to compare the  $Z$  values  
361 obtained with the four groups.

362

### 363 *Computer modeling of RFA in a doped zone*

364 Computer modeling was used to study the effects of doping a tissue zone with NaCl and  
365 AuNPs on RFA electrical and thermal performance. Computer models were built and solved  
366 by Finite Element Method using COMSOL Multiphysics software (Burlington, MA, USA).  
367 The problem represented a 2D axis symmetric model (see Figure 6b) and consisted of a

368 cylindrical domain mimicking non doped liver tissue and a spherical domain mimicking a  
 369 doped zone with variable diameter (2, 3 and 4 cm). This sensitivity analysis was motivated  
 370 by the fact that the spatial distribution of the doping agent around the electrode was not really  
 371 known. It also included an RF applicator identical to the Cool-Tip applicator used in the agar  
 372 and ex vivo experiments. The dispersive electrode was modeled as an electrical boundary  
 373 condition  $V = 0$  on all the outer boundaries. The properties of the materials are shown in  
 374 Table 7 [20]. The model was based on a coupled electric-thermal in which the governing  
 375 equation for thermal problem was:

$$376 \quad \frac{\partial(\rho h)}{\partial t} = \nabla \cdot (k \nabla T) + q + Q_p \quad (3)$$

377 where  $\rho$  ( $\text{kg/m}^3$ ) is tissue density,  $h$  ( $\text{J/kg} \cdot \text{K}$ ) enthalpy,  $k$  ( $\text{W/m} \cdot \text{K}$ ) thermal conductivity,  $T$   
 378 ( $^{\circ}\text{C}$ ) temperature,  $t$  (s) time,  $q$  the heat source and  $Q_p$  heat loss by blood perfusion (which is  
 379 ignored since we are modeling ex vivo conditions). For biological tissues enthalpy is related  
 380 to tissue temperature by the following expression [36]:

$$381 \quad \frac{\partial(\rho h)}{\partial t} = \frac{\partial T}{\partial t} \cdot \begin{cases} \rho_l c_l & 0 < T \leq 99^{\circ} \text{C} \\ h_{fg} C & 99 < T \leq 100^{\circ} \text{C} \\ \rho_g c_g & T > 100^{\circ} \text{C} \end{cases} \quad (4)$$

382 where  $\rho_i$  and  $c_i$  are density and specific heat of tissue respectively at temperatures below 100  
 383  $^{\circ}\text{C}$  ( $i=l$ ) and at temperatures above 100  $^{\circ}\text{C}$  ( $i=g$ ),  $h_{fg}$  is the product of water latent heat of  
 384 vaporization and water density at 100  $^{\circ}\text{C}$ , and  $C$  is tissue water content inside the liver (68%)  
 385 [37]. Equation (3) was applied to each region of the model by substitution of the appropriate  
 386 properties. To calculate  $Q_{RF}$  we solved the electrical problem, which for RFA can be  
 387 calculated by:

$$Q_{RF} = \mathbf{J} \cdot \mathbf{E} = \sigma \cdot |\mathbf{E}|^2 = \sigma \cdot |(-\nabla V)|^2 \quad (5)$$

388 where  $J$  is current density (A/m<sup>2</sup>),  $E$  electrical field (V/m),  $\sigma$  electrical conductivity (S/m)  
 389 and  $V$  voltage (V). We used quasi-static approximation to solve the electromagnetic problem,  
 390 where conduction currents were assumed to dominate compared to displacement currents.  
 391 The electric voltage was computed by solving the equation [25]

$$\nabla \cdot (\sigma \nabla V) = 0 \quad (6)$$

392 We assumed that the temperature dependence of electrical conductivity for both doped  
 393 zone and for non doped tissue was determined by:

$$\sigma(T) = \sigma_0 e^{0.15(T-T_b)} \quad (7)$$

394 where sub index  $\sigma$ , indicates properties measured at  $T_b$  (37 °C). Damage to tissue is the effect  
 395 of exposing it to a high temperature for a prolonged time. A traditional way to predict the  
 396 probability of irreversible thermal damage is the Arrhenius reaction rate model:

$$\Omega(t) = A \int_0^t \exp [-E_a/RT(\tau)] d\tau \quad (8)$$

397 where  $\Omega(t)$  is the degree of tissue death,  $A$  is the frequency factor ( $7.39 \cdot 10^{39} \text{ s}^{-1}$ ),  $E_a$  is the  
 398 activation energy for the irreversible damage reaction ( $2.557 \cdot 10^5 \text{ J/mol}$ ), and  $R$  is the  
 399 universal gas constant ( $8.314 \text{ J/mol}\cdot\text{K}$ ). The kinetic parameters ( $A$  and  $E_a$ ) that accounts for  
 400 the morphological changes in tissue related to the thermal degradation of proteins were taken  
 401 from [25]. A value  $\Omega = 4.6$  (which corresponds to 99% of cell death probability) was used to  
 402 compute the coagulation zone boundary.

403 To determine how dopant solution within the tissue modifies the temperature distributions  
 404 in the tissue during RFA, NaCl and AuNPs were assumed to change the properties of the  
 405 tissue they came into contact with. The doped substrate was always assumed to coincide with  
 406 the volume of a spherical zone around the electrode. To estimate the electrical conductivities  
 407 for tissue doped with NaCl or AuNPs we used Equations (1) and (2), which were obtained

408 from the results of the experiments on the agar model (see Results section), and where  $\sigma_s$   
 409 (electrical conductivity of the non-doped substrate) was that of the non doped tissue (0.2 S/m  
 410 in Table 7). Electrical impedance was assumed to increase by +1.5%/°C until 100 °C. To  
 411 model the tissue desiccation associated with the vaporization, when temperature reached 100  
 412 °C we assumed that electrical conductivity dropped 2 orders of magnitude.

413 The other properties (density, volumetric heat specific and thermal conductivity) were  
 414 estimated theoretically using the expression proposed in [34] for tissue characteristics  
 415 according to the water content. Firstly, density of the doped tissue ( $\rho_{DT}$ ) can be determined  
 416 as:

$$\rho_{DT} = (1 - \Phi_D)\rho + \Phi_D\rho_D \quad (9)$$

417 where  $\rho$  is the density of the non-doped tissue (see Table 7),  $\rho_D$  the density of dopant (NaCl  
 418 solution or solid AuNPs (19,300 kg/m<sup>3</sup>)) and  $\Phi_D$  denotes the volume fraction of dopant  
 419 within the doped tissue. In the case of AuNPs, due to the extremely low value of  $\Phi_D$  (e.g.  
 420  $\sim 50 \cdot 10^{-9}$  in the case of 0.01 wt% of AuNPs occupying 1% by weight of doped tissue),  $\rho_{DT} \approx$   
 421  $\rho$ , i.e. doped tissue density is hardly affected by the addition of the NPs. Likewise, in the case  
 422 of NaCl solution, due to the similarity between its density ( $\sim 1000$  kg/m<sup>3</sup>) and that of the  
 423 tissue (1080 kg/m<sup>3</sup>),  $\rho_{DT} \approx \rho$ , i.e. the doped tissue density is little affected by the NaCl  
 424 solution.

425 Volumetric specific heat of doped tissue  $(\rho c)_{DT}$  can be similarly determined by:

$$(\rho c)_{DT} = (1 - \Phi_D)(\rho c) + \Phi_D(\rho c)_D \quad (10)$$

426 where  $\rho c$  is the volumetric specific heat of the non doped tissue, and  $c\rho_D$  the volumetric  
 427 specific heat of dopant. In the case of AuNPS, although the solid NPs have a much lower  
 428 value (129 J/K·m<sup>3</sup>) than tissue (3455 J/kg·K), the extremely low value of  $\Phi_D$  implies that the

429 volumetric specific heat of the doped tissue is not greatly affected by the AuNPs. Likewise,  
430 due to the similarity between the volumetric specific heat of the NaCl solution (~4090 J/kg·K  
431 [38]) and the tissue, the NaCl solution has little effect on the volumetric specific heat of the  
432 doped tissue.

433 To determine the thermal conductivity in the doped tissue we used the Maxwell-Eucken  
434 model [39] applied to suspension of particles:

$$k_{DT} = k \cdot \frac{k_D + 2k - 2\phi_D(k - k_D)}{k_D + 2k + \phi_D(k - k_D)} \quad (11)$$

435 where  $k_{DT}$  is a mixture of two phases, a continuous phase (the suspending liquid, non-doped  
436 tissue in our case) of conductivity  $k$  is the thermal conductivity of the non-doped tissue, and  
437 a disperse phase of dopant (NaCl solution or gold spherical NPs of conductivity  $k_D = 317$   
438 W/m·K), and the volume fraction  $\phi_D$  of dispersal phase [40]. Once more, the extremely low  
439 value of  $\phi_D$  means that the thermal conductivity of the doped tissue is not much affected by  
440 the AuNPs, while the similarity between the thermal conductivity of the NaCl solution (~0.63  
441 W/m·K [38]) and the tissue (0.502 W/m·K) showed that that thermal conductivity of the  
442 doped tissue was not affected by the NaCl solution.

443 We assessed the effect doping the tissue with different concentrations  $C$  of 0.01% AuNPs  
444 colloidal solution, ranging from 0% (non-doped tissue) to 10%. Each concentration value  
445 was a value of a volume fraction of solid AuNPs in the doped tissue ( $\phi_D$ ). Table 6  
446 summarizes the estimated characteristics of the doped tissue for different 0.9% NaCl solution  
447 and Au colloidal (0.01 wt%) concentration values distributed in the tissue (from 0% to 10%).  
448 Note that only the electrical characteristics were significantly modified by the dopants while  
449 the thermal properties remain unchanged.

450 To study the effects of the dopants on the coagulation zone created during RFA, we  
451 simulated three values of applied voltage: 50, 70 and 90 V. While the first (low voltage) is  
452 expected to avoid roll-offs for at least 10 min, the third (high voltage) is the standard value  
453 used in clinical practice for pulsed protocols [41]. All three values were expected to provide  
454 a preliminary insight into the effect of the dopant in terms of delaying roll off, which was  
455 assumed to occur when impedance reached  $100 \Omega$  (initial impedance was always lower than  
456 this value). After computing the coagulation zone boundary by the  $\Omega = 4.6$  isoline, we  
457 computed the axial (A) and transverse (B) diameters (see Fig. 6b). Coagulation zone  
458 sphericity was assessed as A/B (values close to 1 are associated with spherical coagulation  
459 zones, while values greater than 1 are associated with ellipsoids).

460

#### 461 *Ex vivo experimental setup*

462 The experimental setup was based on an ex vivo model at room temperature ( $20^\circ\text{C}$ ), which  
463 consisted of samples ( $8 \times 10 \times 5 \text{ cm} \pm 2 \text{ cm}$ ) of bovine liver acquired locally. The samples  
464 were placed on a metal plate which acted as a dispersive electrode. An active electrode model  
465 Cool-tip (Medtronic, Minneapolis, MN, USA) with 1.5 mm outside diameter and 3 cm long  
466 active tip was horizontally inserted  $\sim 1$  cm into each sample (see Figure 7). The electrode was  
467 internally cooled with circulating water (at  $8 \pm 2^\circ\text{C}$ ) using a Masterflex L/S peristaltic pump  
468 (Cole-Parmer, Vernon Hills, IL, USA) at a rate of 40 mL/min. The pump was started at least  
469 2 min before RFA to ensure effective cooling. Ablations were conducted by an RFG 3E-RF  
470 generator (Radionics, Burlington, MA, USA). Since the simulation results presented before  
471 suggested that highly conductive doped substrates possibly require moderate voltages, the

472 RF generator set to ~57 V constant voltage was applied until roll-off (a variation of  $\pm 2$  V  
473 occurred between applications, due to the imprecision of the generator itself).

474 Three RFA protocols were tested: 1) non doped tissue (ND Group), 2) previous infusion  
475 of 2 mL of 0.9% NaCl (NaCl Group), and 3) previous infusion of 2 mL of AuNPs 0.1 wt%  
476 (AuNPs Group). Note that a colloid with a higher concentration of AuNPs was used in the  
477 ex vivo experiments in case of higher differences than those suggested by the computer  
478 results. Each protocol was run on  $n = 4$  samples. The infusion took around 1 min and was  
479 distributed at three points spaced 1 cm apart (~20 s each) located along the electrode length  
480 and at the same depth as the electrode. This was done to achieve a more or less homogeneous  
481 distribution of the dopant around the electrode (see Figure 7) and was similar to the method  
482 used in [28]. RFA started immediately after infusion.

483 After completing each RFA, the ablation site in the tissue was identified by carefully  
484 cutting along the active electrode's insertion path. The coagulation zone was defined *di visu*  
485 as the discolored white zone and images were taken with a digital camera. The axial and  
486 transverse diameters were measured by ImageJ software (National Institutes of Health,  
487 USA).

488

## 489 **Declarations**

490

### 491 ***Ethics approval and consent to participate***

492 This article does not contain any studies with human participants or animals performed by  
493 any of the authors.

494

495 ***Consent for publication***

496 Consent for publication was obtained for every individual person's data included in the study.

497

498 ***Availability of data and materials***

499 All the data generated or analyzed during this study are included in this published article.

500

501 ***Competing interests***

502 The authors declare that they have no competing interests.

503

504 ***Funding***

505 This work was supported by the National Council of Science and Technology (CONACYT,

506 México) through a scholarship grant to Dora Luz Castro-López, CVU Registration N°

507 446604; and by the Spanish *Ministerio de Ciencia, Innovación y Universidades* under the

508 “*Plan Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la*

509 *Sociedad*”, Grant N° “RTI2018-094357-B-C21”.

510

511 ***Authors' contributions***

512 EB contributed with the planning of experiments and numerical models performed in this

513 work. RR-M supervised the experimental work on the electrical properties of doped tissues

514 and *ex-vivo* experiments, and also contributed to building numerical models. DLC-L is the

515 graduate student who built and performed the numerical simulations and experiments, and

516 also helped to analyze the results obtained. All contributed equally to this work. All the

517 authors have read and approved the final manuscript.

518

519 ***Acknowledgements***

520 The authors wish to express their gratitude to the Polymer laboratory, under José Elías Pérez  
521 López PhD of the Physics Institute (UASLP), and CEECM LAB under Víctor Manuel  
522 Cárdenas Galindo PhD of the Engineering School (UASLP) for the material and equipment  
523 provided to carry out this research.

524

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527

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540 SN; International Working Group on Image-guided Tumor Ablation; Interventional Oncology Sans  
541 Frontières Expert Panel; Technology Assessment Committee of the Society of Interventional  
542 Radiology,; Standard of Practice Committee of the Cardiovascular and Interventional Radiological  
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## Captions for tables, figures and additional files

### Tables

648 **Table 1.** Impedance measured from phantoms samples ( $n = 10$ ) and  $\sigma$  values (estimated from  
649 computer simulations) of the agar-gel cylinder and of sphere.

651 **Table 2.** Results of the RFA for different values of applied voltage on a 2-cm spherical zone  
652 in case of non doped tissue (ND) and two types of dopants: saline solution (0.9% NaCl) and  
653 AuNPs (0.01 wt%).

655 **Table 3.** Results of the RFA for different values of applied voltage on a 3-cm spherical zone  
656 in case of non doped tissue (ND) and two types of dopants: saline solution (0.9% NaCl) and  
657 AuNPs (0.01 wt%).

658

659 **Table 4.** Results of the RFA for different values of applied voltage on a 4-cm spherical zone  
660 in case of non doped tissue (ND) and two types of dopants: saline solution (0.9% NaCl) and  
661 AuNPs (0.01 wt%).

662

663 **Table 5.** Results of the ex vivo experiments for the three considered groups (n=4, mean  $\pm$   
664 standard deviation).

665

666 **Table 6.** Estimation of the characteristics of the tissue doped with different concentration (C,  
667 volume fraction) of a solution of 0.01% (wt) AuNPs and a solution 0.9% NaCl

668

669 **Table 7.** Characteristics of the materials used in the computational model [20].

670

671

## Figures

672 **Figure 1** Temperature distributions computed at roll-off time for different values of  
673 applied voltage on a 2-cm spherical zone doped with NaCl and AuNPs solutions  
674 at 10%. ND: non doped tissue case (scale in °C).

675

676 **Figure 2** Temperature distributions computed at roll-off time for different values of  
677 applied voltage on a 3-cm spherical zone doped with NaCl and AuNPs solutions  
678 at 10%. ND: non doped tissue case (scale in °C).

679

680 **Figure 3** Temperature distributions computed at roll-off time for different values of  
681 applied voltage on a 4-cm spherical zone doped with NaCl and AuNPs solutions  
682 at 10%. ND: non doped tissue case (scale in °C).

683

684 **Figure 4** Examples of coagulations created with RFA on non doped (ND) liver samples  
685 and on samples doped with 2 mL of 0.9% NaCl (NaCl) and 2 mL of 0.1 wt%  
686 AuNPs. Constant voltage of ~57 V was applied until roll-off (scale in mm,  
687 measurements in cm).

688

689 **Figure 5** **A:** Agar phantoms were based on a sphere of radius  $r_t = 2$  cm (dotted line) located  
690 at the center of a cylinder of diameter  $d = 10$  cm and height  $H = 11$  cm. Note that  
691 a circular fragment of the cylinder has been removed to facilitate the observation  
692 of the central sphere. **B:** Experimental setup used to electrically characterize each  
693 phantom, including an RF applicator (active electrode) inserted into the center of  
694 the sphere doped with NaCl or AuNPs. The entire phantom was surrounded by a  
695 2 mm thick aluminum foil that acted as a dispersive electrode.

696

697 **Figure 6** **A:** Geometry and boundary conditions of the theoretical model used to estimate  
698 the values of electrical conductivity associated with the doped zone in the agar  
699 phantoms. Dimensions:  $r_0 = 50$  mm,  $z_0 = 110$  mm,  $r_i = 0.75$  mm,  $z_1 = 40$  mm,  $z_2$

700 = 70 mm.  $V_i = 2$  V. **B:** 2D axisymmetric model used to study the temperature  
701 distributions during RF ablation of a doped zone with different dopants. It  
702 consisted of a cylinder of non doped liver tissue (radius  $r_0 = 10$  cm and height  
703  $z_0 = 16$  cm) surrounding a spherical doped zone of variable radius (dashed red  
704 line,  $r_t = 2, 3$  and  $4$  cm). The active electrode ( $r_e = 0.75$  mm) is inserted into the  
705 center of the doped zone. Solid blue line represents the contour of the coagulation  
706 zone, and A and B are the axial and transverse diameters, respectively.

707

708 **Figure 7** Cross-section view of tissue sample used in the ex vivo experiments. The ablation  
709 electrode was inserted 1 cm below the tissue surface. A, B, and C indicate the  
710 dopant infusion points (saline solution or Au colloidal). Solid blue line would  
711 represent the contour of the coagulation zone, while dashed red line would  
712 represent the contour of the doped zone.

713