

# RISM-Assisted Analysis of the Role of Alkali Metal Hydroxides in the Solvation of Cellulose in Alkali/urea Aqueous Solutions

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## Research Article

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1 **RISM-assisted analysis of the role of alkali**  
2 **metal hydroxides in the solvation of cellulose**  
3 **in alkali/urea aqueous solutions**

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17

18 The three-dimensional reference interaction site model theory with the Kovalenko-Hirata closure  
19 (3D-RISM-KH) combined with the Kirkwood-Buff integral (KBI) was used to clarify the role of  
20 alkali metal hydroxides (MOHs) in cellulose solvation in alkali/urea aqueous solutions. The KBI  
21 and excess number of MOHs showed that their access and affinity to cellulose were both in the  
22 order LiOH > NaOH > KOH. The cavity and interaction volumes for cellulose indicate that the  
23 alkali metal ions closer in proximity to cellulose induce thermal fluctuations of cellulose molecules  
24 more easily and cause more electrostatic interactions and hydrogen bonding with cellulose. These  
25 calculation results support the hypothesis of cellulose charging up, which claims that cellulose-ion  
26 interactions cause cellulose solvation. The energy and chemical potential of cellulose solvation  
27 confirmed the affinity and interaction of MOHs with cellulose. Solvent reorganization, whose  
28 energy primarily determined the solvation energy, was found to be facilitated by the more  
29 proximal MOH when in the presence of urea. 3D-RISM-KH combined with KBI produced  
30 quantitative information regarding the distribution and attractive interaction of MOHs (especially  
31 LiOH) with cellulose, which clarified their role in cellulose solvation in alkali/urea aqueous  
32 solutions.

33

34 *Keywords: Cellulose, reference interaction site model (RISM), Kirkwood-Buff*  
35 *integral (KBI), alkali metal hydroxide, urea*



## 37 Introduction

38 Recently, aqueous solutions of urea and various kinds of alkali metal hydroxides  
39 (e.g., LiOH, NaOH, and KOH) have shown different solvation capabilities toward  
40 cellulose molecules of varying molecular weights (Cai and Zhang 2005; Wang et  
41 al. 2017). Three cellulose molecules with viscosity-average molecular weights of  
42  $4.5 \times 10^4$ ,  $11.5 \times 10^4$  and  $37.2 \times 10^4$  were found to be soluble in a 4.2 % (w/w)  
43 LiOH/12 % (w/w) urea solution precooled to  $-10^\circ\text{C}$  (Cai and Zhang 2005). A 7 %  
44 (w/w) NaOH/12 % (w/w) urea solution could dissolve the first two cellulose  
45 molecules, while a 9.8 % (w/w) KOH/12 % (w/w) urea solution did not dissolve  
46 any of the tested cellulose molecules. Using differential scanning calorimetry  
47 analysis, Wang et al. (Wang et al. 2017) estimated cellulose solvation to be  
48 feasible at approximately  $-5^\circ\text{C}$  in LiOH/urea solutions but at  $-20^\circ\text{C}$  in NaOH/urea  
49 solutions. By measuring pulsed field-gradient spin-echo NMR intensities, they  
50 calculated that the ratios of  $\text{Li}^+$  and  $\text{Na}^+$  bound to cellulose were 14.3 % and 4.8  
51 %, respectively. These experimental results indicate that these ions' interaction  
52 with cellulose and contribution to cellulose solvation are in the order  $\text{Li}^+ > \text{Na}^+ >$   
53  $\text{K}^+$ . The results of a molecular dynamics (MD) simulation suggest that the  
54 interaction between cellulose and alkali metal hydroxides such as LiOH and  
55 NaOH is primarily an electrostatic interaction. In their calculation,  $\text{Li}^+$  ions were  
56 closer in proximity to cellulose than  $\text{Na}^+$  ions, and the average distance of  $\text{Li}^+$   
57 from the oxygens of cellulose was smaller than that of  $\text{Na}^+$ . The probability of  
58 finding  $\text{Li}^+$  ions within the same distance of cellulose was higher than that of  
59 finding  $\text{Na}^+$  ions (Wang et al. 2017). Xiong et al. (Xiong et al. 2013) investigated  
60 the dissolution of cellobiose, a unit of cellulose, in alkali solutions to clarify the  
61 role of alkali metal ions in cellulose solvation. In the  $^{13}\text{C}$ -NMR spectroscopy of  
62 cellobiose, a larger downfield chemical shift and shorter  $^{13}\text{C}$  relaxation time were  
63 detected in the order  $\text{LiOH} > \text{NaOH} > \text{KOH}$ . This means that the interaction  
64 between cellobiose and alkali metal ions is on the same order, which is consistent  
65 with the contribution of alkali metal ions to cellulose solvation (Xiong et al.  
66 2013).

67 In our previous work (Huh et al. 2020), the three-dimensional reference  
68 interaction site model theory with the Kovalenko-Hirata closure (3D-RISM-KH)  
69 was applied to calculate the solvation energy of cellulose in NaOH/urea aqueous  
70 solution at 261 K and to determine the contribution of each solvent species (i.e.,

71 Na<sup>+</sup>, OH<sup>-</sup>, urea, and water) to cellulose solvation. Water molecules were found to  
72 be located nearer to cellulose in NaOH/urea aqueous solutions than in NaOH  
73 solutions without urea. As a result, the inclusion of urea caused a reduction in the  
74 solvent reorganization energy of cellulose from 163 to -1,198 kcal/mole and a  
75 decrease in the interaction energy between cellulose and the solvent by more than  
76 100 kcal/mole.

77 In this work, a system for cellulose solvation was extended to aqueous solutions  
78 of urea and various alkali metal hydroxides, such as LiOH, NaOH, and KOH. The  
79 objective of this work is to determine the role of alkali metal hydroxides in  
80 cellulose solvation (i.e., their affinity to cellulose) by calculating and comparing  
81 the distribution of the solvent species and the partial molar volume and solvation  
82 energy of cellulose. For this purpose, 3D-RISM-KH (Gusarov et al. 2012;  
83 Kovalenko 2013, 2017; Kovalenko and Gusarov 2018) combined with the  
84 Kirkwood-Buff integral (KBI) (Giambaşu et al. 2015; Giambaşu et al. 2014;  
85 Krüger et al. 2013) was used in this study.

86

## 87 **Methods**

88 The KBI,  $G_\gamma$  is defined as the spatial integral over the pair distribution function  
89 ( $g_\gamma^{uv}(r)$ ):

$$90 \quad G_\gamma = \int_V [g_\gamma^{uv}(r) - 1] dr \quad (1)$$

91 where superscripts u and v denote the solute and solvent, respectively; V is the  
92 system volume over which the integration is carried out; and r is the distance  
93 between the solute and the solvent species.  $g_\gamma^{uv}(r)$  is the ratio of the probability  
94 of finding the solvent species  $\gamma$  at r, which is determined from the 3D-RISM  
95 calculations, to the probability of finding  $\gamma$  in the bulk solvent phase. The unit of  
96  $G_\gamma$  is the volume per single solute molecule ( $\text{\AA}^3/\text{molecule}$ ).  $G_\gamma$  indicates the  
97 accessibility of  $\gamma$  to the solute, which is correlated with the affinity of  $\gamma$  to the  
98 solute (Nicol et al. 2017; Wernersson et al. 2015). The KBI has been considered  
99 appropriate for describing all types of intermolecular interactions necessary for  
100 determining the thermodynamic properties of a solution. For example, it has been  
101 used to calculate the excess number of  $\gamma$  around the single solute molecule,  
102  $N_\gamma^{excess}$  as follows (Shimizu 2004; Shimizu et al. 2013):

103 
$$N_{\gamma}^{excess} = \rho_{\gamma}(G_{\gamma} + V_C) \quad (2)$$

104 where  $\rho_{\gamma}$  is the number density of  $\gamma$  and  $V_C$  is the excluded volume of the  
 105 solute. The sum of  $G_{\gamma}$  and  $V_C$  corresponds to the real volume in which  $\gamma$  can  
 106 exist near the solute. The excess numbers of various solvent species allow us to  
 107 compare their interaction with the solute.

108 The partial molar volume of the solute,  $\bar{V}$ , is also affected by its interaction with  
 109 the solvent species and is defined as follows (Chalikian and Breslauer 1996; Imai  
 110 2007a; Imai et al. 2007b; Patel et al. 2011):

111 
$$\bar{V} = V_C + V_I + V_{id} \quad (3)$$

112 where  $V_I$  and  $V_{id}$  denote the interaction volume and the ideal fluctuation  
 113 volume, respectively.  $V_{id}$  is caused by the translational degrees of freedom of the  
 114 solvent (Imai 2007a).  $V_C$ , which is also called the cavity volume, consists of the  
 115 region occupied by the solute ( $V_M$ , the geometric volume) and an empty border  
 116 region between the solute and the unaltered, uniform pure solvent ( $V_T$ , the thermal  
 117 volume) (Lee 1983; Patel et al. 2011).  $V_T$  results from thermally induced  
 118 molecular fluctuations between the solute and solvent species (Chalikian and  
 119 Breslauer 1996; Imai 2007a) and may simply be considered the void volume due  
 120 to their imperfect packing (Imai 2007a).  $V_I$  represents the change in the solvent  
 121 volume due to the intermolecular electrostatic interaction and hydrogen bonding  
 122 between cellulose and solvent species (Chalikian and Breslauer 1996). In this  
 123 study, the more nonpolar and polar interaction of cellulose with the solvent will  
 124 cause smaller  $V_T$  (i.e.,  $V_C$ ) and  $V_I$ , respectively, which will lead to a smaller  $\bar{V}$ .  
 125 The solvation energy ( $\Delta E$ ) and solvation entropy ( $T\Delta S$ ), which are needed for  
 126 calculating the excess chemical potential ( $\Delta\mu$ ), are defined as the sum of solute-  
 127 solvent interaction (uv) and solvent-solvent reorganization (R) terms:

128 
$$\Delta E = E^{uv} + \Delta E^R \quad (4)$$

129 
$$T\Delta S = T\Delta S^{uv} + T\Delta S^R = T\Delta S^{uv} + \Delta E^R \quad (5)$$

130 As  $\Delta E^R$  and  $T\Delta S^R$  result from the change in the solvent-solvent interaction  
 131 upon insertion of the solute molecule (Ben-Naim 1978; Gallicchio et al. 2000;  
 132 Lazaridis 2000; Misin 2017), they have the same values. Then

133 
$$\Delta\mu = \Delta E - T\Delta S = E^{uv} - T\Delta S^{uv} \quad (6)$$

134 3D-RISM is known to strongly overestimate the excess chemical potential (Misin  
 135 2017; Sergiievskiy et al. 2014). Sergiievskiy et al. (Sergiievskiy et al. 2014)  
 136 showed that this is primarily caused by a high solvent pressure, which leads to

137 overestimation of the solute insertion work. Misin (Misin 2017) argued that water  
 138 molecules near a large solute, such as cellulose, undergo substantial  
 139 reorganization. The density of the water molecules immediately next to the solute  
 140 is lower than that of bulk water molecules, which is caused by the lack of  
 141 attractive interaction with the hydrophobic moiety of the solute. Instead, they are  
 142 strongly drawn in by the bulk water, forming a strong tetrahedral network. These  
 143 solvent reorganizations create large cavities near the hydrophobic moiety of the  
 144 solute. Such a dewetting transition cannot be considered by conventional 3D-  
 145 RISM and requires pressure correction of 3D-RISM (Misin 2017).  $\Delta\mu_{PC+}$ , or  $\Delta\mu$   
 146 corrected by including the advanced pressure correction (PC+) and the partial  
 147 molar volume of cellulose, is expressed as follows:

$$148 \quad \Delta\mu_{PC+} = \Delta\mu + (P_{id} - P)\bar{V} \quad (7)$$

149 where  $P_{id}$  is an ideal gas pressure of the solvent given by  $P_{id} = \rho_{Tot}k_B T$  and  $P$   
 150 is given by  $P = \frac{1}{2}k_B T \left( \frac{1}{k_B T \chi_T} + N_{site}\rho_{Tot} \right)$ .  $\rho_{Tot}$  and  $N_{site}$  are the number  
 151 densities of all solvent species and the number of sites (atoms) in the solvent,  
 152 respectively (Misin et al. 2016; Sergiievskiy et al. 2014).

153 The 3D-RISM-KH calculation and MD simulation were performed with the  
 154 AMBER16 package (Case et al. 2010). As a model cellulose molecule, the  
 155 molecule with the I $\beta$  structure and degree of polymerization of 8 was selected in  
 156 this study. The following eight solvents were tested for cellulose solvation at 261  
 157 K: LiOH/urea aqueous solution (abbreviated as solvent LU), NaOH/urea (solvent  
 158 NU), KOH/urea (solvent KU), pure water (solvent WO), urea aqueous solution  
 159 (solvent UO), LiOH solution (solvent LO), NaOH solution (solvent NO), and  
 160 KOH solution (solvent KO). The latter 5 solvents were used for comparison with  
 161 the first 3 solvents (LU, NU, and KU). For meaningful comparison of the  
 162 cellulose solvation thermodynamics and solvent affinities toward cellulose, the  
 163 number ratio of water, MOH, and urea was maintained at 27.75:1:1. The 3D-  
 164 RISM-KH calculations were performed in a 32 x 40 x 72 Å<sup>3</sup> box with a spacing of  
 165 0.5 Å. TIP3P water was used as the solvent water. The detailed calculation  
 166 method is described in Supplementary Information. The initial density and  
 167 pressure values of each solvent are shown in Table S1 (see Supplementary  
 168 Information). The force-field parameters and partial charge were assigned using  
 169 the General Amber Force Field and AM1-BCC charge model, respectively  
 170 (Jakalian et al. 2000; Jakalian et al. 2002). The structure of the model cellulose

171 molecule was created using a cellulose-builder (Gomes and Skaf 2012). The  
172 geometric volume ( $V_M$ ) of cellulose was calculated using Protein Volume 1.3  
173 (Chen and Makhatadze 2015).

174

## 175 **Results and discussion**

### 176 **Affinity of $\text{Li}^+$ , $\text{Na}^+$ , and $\text{K}^+$ toward cellulose**

177 Fig. 1 shows an example of the pair distribution functions used in Eq. (1). KBI  
178 ( $G_\gamma$ ) values were calculated for all solvent species in the 8 different solvents and  
179 are shown in Fig. 2. The negative  $G_\gamma$  values arise due to the excluded volume  
180 ( $V_C$ ) of cellulose (Sergiievskiy et al. 2014), and solvent species with a more  
181 negative  $G_\gamma$  have a lower probability of existing near cellulose and are less  
182 accessible to cellulose. The  $G_\gamma$  was found to be in the order urea  $\gg$  LiOH  $>$   
183 Water  $>$  NaOH  $>$  KOH.  $G_{urea}$  seems to be constant regardless of the other  
184 solvent species, such as MOHs, which corresponds well with the positioning of  
185 urea above and below the pyranose rings of cellulose and its interaction with  
186 cellulose independent of MOH (Huh et al. 2020; Wernersson et al. 2015; Xiong et  
187 al. 2013).  $G_{MOH}$  was found to be in the order LiOH  $>$  NaOH  $>$  KOH regardless  
188 of whether urea was present in the solvent.  $G_{LiOH}$  was higher than  $G_{water}$  in  
189 solvents LO and LU, while  $G_{NaOH}$  and  $G_{KOH}$  were lower than  $G_{water}$ .  $\text{Li}^+$ ,  $\text{Na}^+$ ,  
190 and  $\text{K}^+$  have the same charge but different sizes, which were in the order  $\text{Li}^+ <$   
191  $\text{Na}^+ <$   $\text{K}^+$  (see Table S2 in Supplementary Information). Hence,  $\text{Li}^+$  is most easily  
192 accessible to cellulose, which enables the strongest electrostatic interaction and  
193 corresponds well with the experimental finding that cellulose is most favorably  
194 soluble in solvent LU. Due to these differences in the electrostatic interaction of  
195 MOHs with cellulose,  $G_{water}$  in the solvent containing LiOH was the smallest,  
196 while that in the solvent containing KOH was the largest regardless of the  
197 presence of urea (see Fig. 1).

198 Table 1 summarizes the  $N_\gamma^{excess}$  of each solvent species in the 4 different  
199 solvents. The  $N_\gamma^{excess}$  values for cellulose in solvents WO, LO, NO, and KO are  
200 shown in Table S4. As expected from  $G_{MOH}$ , the smaller alkali metal ion yielded  
201 the larger  $N_{MOH}^{excess}$  (i.e.,  $N_{LiOH}^{excess} > N_{NaOH}^{excess} > N_{KOH}^{excess}$ ). The greater  
202 concentration close to cellulose implies a greater interaction between the  
203 corresponding metal ion and cellulose and a higher probability of making

204 cellulose into a polyelectrolyte in the order  $\text{Li}^+ > \text{Na}^+ > \text{K}^+$ . Hence, the  $N_{\text{MOH}}^{\text{excess}}$   
 205 data support the hypothesis of cellulose charging up (Nicol et al. 2017), which  
 206 claims that cellulose-ion interactions can make cellulose into a polyelectrolyte and  
 207 cause its solvation (Bialik et al. 2016).  
 208 As urea is positioned above and below the pyranose rings of cellulose, the region  
 209 near cellulose that is available for water and MOHs decreases upon the addition of  
 210 urea into the solvent. Hence, the difference between  $N_{\text{MOH}}^{\text{excess}}$  and  $N_{\text{water}}^{\text{excess}}$  is  
 211 smaller when urea is present in the solvent. Due to the interaction with cellulose  
 212 and the size of ion hydrates (see Table S2 in Supplementary Information), which  
 213 is in the order  $\text{Li}^+ > \text{Na}^+ > \text{K}^+$ ,  $N_{\text{water}}^{\text{excess}}$  was dependent on the kind of MOH:  
 214  $N_{\text{water}}^{\text{excess}}$  in solvent with LiOH  $<$   $N_{\text{water}}^{\text{excess}}$  in solvent with NaOH  $<$   $N_{\text{water}}^{\text{excess}}$  in  
 215 solvent with KOH (see Table 1 and Fig. 3). Based on the  $G_\gamma$  and  $N_\gamma^{\text{excess}}$ ,  
 216 positioning of the solvent species around the single cellulose molecule is  
 217 schematically depicted in Fig. 3. Note that MOHs and urea are capable of  
 218 hydrophilic and hydrophobic interactions with cellulose, respectively (Huh et al.  
 219 2020).

220

### 221 **Partial molar volume of cellulose dependent on alkali metal hydroxides**

222 Cavity volume ( $V_C$ ) and its component for each solvent species  $\gamma$  ( $V_{C,\gamma}$ ) was  
 223 calculated for cellulose in solvents LU, NU, and KU and is shown in Tables 2 and  
 224 3, respectively. The geometric volume ( $V_M$ ), which is equal to the sum of the van  
 225 der Waals volume ( $V_W$ ) and void volume ( $V_V$ ), was calculated to be  $1173.3 \text{ \AA}^3$ ,  
 226 while  $V_W$  and  $V_V$  were  $1061.7 \text{ \AA}^3$  and  $111.6 \text{ \AA}^3$ , respectively. Since  $V_M$  is  
 227 constant regardless of the solvent, the difference in  $V_C$  for different solvents  
 228 originates from the thermal volume ( $V_T$ ). To further study the dependence of  $V_C$   
 229 on the kind of MOH,  $V_C$  was divided into three components:  $V_{C,\text{water}}$ ,  $V_{C,\text{MOH}}$ ,  
 230 and  $V_{C,\text{urea}}$ . It was found in a previous study (Huh et al. 2020) that urea was  
 231 predominantly positioned close to the hydrophobic part of cellulose (i.e., above  
 232 and below the pyranose rings of cellulose), while MOH was positioned close to  
 233 the hydrophilic part of cellulose (i.e., along the edges of cellulose). Hence,  
 234  $V_{C,\text{urea}}$  was not affected by MOHs (see Table 3).

235 The radial distribution functions of  $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$  shown in Fig. 1 indicate the  
 236 denser and more proximal distribution of  $\text{Li}^+$  to cellulose than  $\text{Na}^+$  and  $\text{K}^+$ . Hence,  
 237  $G_{\text{MOH}}$  and  $N_{\text{MOH}}^{\text{excess}}$  were in the order  $\text{LiOH} > \text{NaOH} > \text{KOH}$ . MOHs smaller and

238 nearer to cellulose are apt to more easily induce thermal fluctuation of cellulose  
 239 molecules and to result in the further reduction of  $V_C$ , which leads to  $V_{C,MOH}$  in  
 240 the following order:  $V_{C,LiOH} < V_{C,NaOH} < V_{C,KOH}$ . The magnitudes of  $G_{water}$   
 241 and  $N_{water}^{excess}$  are in the order solvent LU < solvent NU < solvent KU; therefore,  
 242 we see the opposite order for  $V_{C,water}$ :  $V_{C,water}$  in solvent LU >  $V_{C,water}$  in  
 243 solvent NU >  $V_{C,water}$  in solvent KU. The  $V_C$  values for cellulose in solvents  
 244 LO, NO, and KO are shown in Table S5, while their water and MOH components  
 245 are shown in Table S6. For the same MOH,  $V_{C,water}$  is smaller in the presence of  
 246 urea, which is consistent with the results of a previous study which indicated that  
 247 water molecules were positioned closer in proximity to cellulose in the presence  
 248 of urea (Huh et al. 2020).

249 Interaction volume ( $V_I$ ) and its component for each solvent species  $\gamma$  ( $V_{I,\gamma}$ ) was  
 250 calculated for cellulose in solvents LU, NU, and KU and is shown in Tables 2 and  
 251 3, respectively.  $V_I$  was found to be in the order solvent LU (most negative) <  
 252 solvent NU < solvent KU (least negative); these results imply that the most  
 253 closely distributed LiOH (see Fig. 1) results in the most hydrophilic interaction  
 254 with cellulose. When separately calculating  $V_{I,water}$ ,  $V_{I,MOH}$ , and  $V_{I,urea}$ , the  
 255 difference in  $V_I$  between the three solvents could not be explained with  $V_{I,MOH}$ .  
 256 Even though  $G_{MOH}$  and  $N_{MOH}^{excess}$  were in the order LiOH > NaOH > KOH, the  
 257 most negative  $V_{I,MOH}$  caused by the most hydrophilic interaction between MOHs  
 258 and cellulose was found in solvent KU. The most negative  $V_{I,water}$  was found in  
 259 solvent LU despite  $G_{water}$  and  $N_{water}^{excess}$  being in the order KOH > NaOH >  
 260 LiOH. Therefore, MOHs might not be directly involved in the hydrophilic  
 261 interaction with cellulose. Instead, a portion of the water molecules were  
 262 positioned close to cellulose; for example, water molecules comprising ion  
 263 hydrates might predominantly participate in electrostatic interactions and  
 264 hydrogen bonding with cellulose, which explains the results for  $V_{I,water}$  and  
 265  $V_{I,MOH}$  in Table 3. The ideal fluctuation volume ( $V_{id}$ ) was negligibly small  
 266 compared to  $V_C$  and  $V_I$  (see Table 2), and its influence on  $\bar{V}$  did not need to be  
 267 considered. The  $V_I$  values for cellulose in solvents LO, NO, and KO are shown  
 268 in Table S5, while their water and MOH components are shown in Table S6.  
 269 Significant differences are not found in  $V_C$  and  $V_I$ , which implies that urea is  
 270 indispensable for facilitating MOH-thermally-induced molecular fluctuations of

271 cellulose as well as causing water molecules in ion hydrates to participate in  
272 electrostatic interaction and hydrogen bonding with cellulose.

273

### 274 **Thermodynamics of cellulose solvation depending on alkali metal hydroxides**

275 The solvation energy and entropy of cellulose in solvents LU, NU, and KU are  
276 shown in Table 4, while those in solvents LO, NO, and KO are shown in Table  
277 S7. The superscripts  $uv$  and  $R$  denote that the corresponding energy terms  
278 consider solute-solvent interaction and solvent reorganization (i.e., solvent-solvent  
279 interaction), respectively. The solvation energy accounted for the experimental  
280 result of cellulose solvation (i.e., cellulose is most easily dissolved in solvent LU  
281 and not soluble in solvent KU).

282 The  $E^{uv}$  (direct solvation energy) in solvent LU is  $-481.4$  kcal/mol and smaller  
283 than  $-470.9$  and  $-450.7$  kcal/mol in solvents NU and KU, respectively, which  
284 indicates that  $\text{Li}^+$  is positioned closest to cellulose and experiences the strongest  
285 interaction with cellulose. Note that the difference in  $E^{uv}$  was affected more  
286 dominantly by MOHs than by water (compare the numbers in square and round  
287 brackets on the column for  $E^{uv}$  in Table 4). The following  $\Delta E_{\text{MOH-WO}}^{uv}$  and  
288  $\Delta E_{\text{MOH-UO}}^{uv}$  were calculated to determine the effect of urea on the contribution of  
289 MOHs to  $E^{uv}$  (see Table 5):

$$290 \quad \Delta E_{\text{MOH-WO}}^{uv} = E^{uv} (\text{solvent LO, NO, KO}) - E^{uv} (\text{solvent WO})$$

291 (8)

$$292 \quad \Delta E_{\text{MOH-UO}}^{uv} = E^{uv} (\text{solvent LU, NU, KU}) - E^{uv} (\text{solvent UO}) \quad (9)$$

293 As shown in Table 5,  $\Delta E_{\text{MOH-UO}}^{uv}$  is much more negative than  $\Delta E_{\text{MOH-WO}}^{uv}$ , and  
294 their difference is largest when the MOH is LiOH. Urea is believed to strengthen  
295 the interaction between cellulose and MOHs, especially LiOH. The  $T\Delta S^{uv}$  in  
296 solvent LU is  $-518.7$  kcal/mol and higher (i.e., less negative) than those in the  
297 other solvents, which indicates that the access of LiOH to cellulose is less  
298 hindered than the access of NaOH and KOH to cellulose.

299 Table 4 separately shows the contributions of water and MOHs to  $\Delta E^R$  (see the  
300 numbers in round and square brackets on the column for  $\Delta E^R$ ). The  
301 reorganization of water and MOHs around cellulose is favored by type of MOH in  
302 the order  $\text{LiOH} > \text{NaOH} > \text{KOH}$ . The following  $\Delta\Delta E_{\text{MOH-WO}}^R$  and  $\Delta\Delta E_{\text{MOH-UO}}^R$   
303 were calculated to determine the effect of urea on the contribution of MOHs to  
304  $\Delta E^R$  (see Table 5):

305 
$$\Delta\Delta E_{MOH-WO}^R = \Delta E^R (\text{solvent } LO, NO, KO) - \Delta E^R (\text{solvent } WO) \quad (10)$$

306 
$$\Delta\Delta E_{MOH-UO}^R = \Delta E^R (\text{solvent } LU, NU, KU) - \Delta E^R (\text{solvent } UO) \quad (11)$$

307  $\Delta E^R$  in the solvent containing urea is much smaller than that in the solvent  
 308 without urea (compare Tables 4 and S6). Note that  $\Delta E^R$  decreased by  
 309 approximately 1300 kcal/mol in the presence of urea. As a result,  $\Delta\Delta E_{MOH-UO}^R$  is  
 310 much more negative than  $\Delta\Delta E_{MOH-WO}^R$ , and their difference is largest when MOH  
 311 is LiOH. Hence, it is believed that urea induces the reorganization of water and  
 312 MOHs, especially LiOH around cellulose, to favor solvent-solvent interactions.  
 313 The chemical potentials of cellulose solvation were corrected by including  
 314 advanced pressure correction (PC+) and are shown in Table 6 (see the column  
 315 marked with  $\Delta\mu_{PC+}$ . The  $\Delta\mu_{PC+}$  values are  $-193.8$ ,  $-188.6$ , and  $-178.4$  kcal/mol  
 316 in solvents LU, NU, and KU, respectively, which agrees with the experimental  
 317 result of cellulose solvation in these solvents.  $\Delta\mu_{PC+}$  in the solvent without urea  
 318 is shown in Table S8 and is higher (i.e., less negative) than that in the  
 319 corresponding solvent with urea (e.g.,  $-139.8$  and  $-193.8$  kcal/mol in solvents LO  
 320 and LU, respectively). Note that the difference in  $\Delta\mu_{PC+}$  between MOHs is not  
 321 significant in the solvents without urea. The calculation results of  $E^{uv}$ ,  $\Delta E^R$ , and  
 322  $\Delta\mu_{PC+}$  indicate that cellulose solvation in alkali/urea aqueous solutions was  
 323 feasible due to the presence of urea in the solvent, and the contribution of MOHs  
 324 was in the order LiOH > NaOH > KOH.

## 325 **Conclusions**

326 Alkali metal hydroxides (MOHs) in aqueous urea solutions were reported to  
 327 favor cellulose solvation in the order LiOH > NaOH > KOH, as determined from  
 328 the molecular weights of soluble cellulose and solvation temperatures. The three-  
 329 dimensional reference interaction site model theory with the Kovalenko-Hirata  
 330 closure (3D-RISM-KH) combined with the Kirkwood-Buff integral (KBI) was  
 331 used in this study to determine the role of MOHs in cellulose solvation. For this  
 332 purpose, the access and interaction of MOHs with cellulose was estimated by  
 333 calculating the KBI and excess number of solvent species around cellulose, the  
 334 partial molar volume of cellulose, and the thermodynamics of cellulose solvation.  
 335 The KBI and excess number of MOHs were in the order LiOH > NaOH > KOH,  
 336 which indicates the positioning of LiOH (i.e., Li<sup>+</sup>) closest to cellulose and implies  
 337 the strongest electrostatic interaction. The KBI of urea was much higher than that

338 of water, which agrees with the finding that urea prefers to be positioned near the  
339 hydrophobic surface of cellulose and is involved in hydrophobic interactions with  
340 cellulose independent of MOHs. The excess numbers of MOHs on the order of  
341  $N_{LiOH}^{excess} > N_{NaOH}^{excess} > N_{KOH}^{excess}$  supports the hypothesis of cellulose charging up,  
342 which claims that cellulose-ion interactions can turn cellulose into a  
343 polyelectrolyte and cause its solvation. The cavity and interaction volumes of  
344 cellulose, which are the dominant components of the partial molar volume of  
345 cellulose, were in the order of  $LiOH < NaOH < KOH$ , especially in the presence  
346 of urea. It is believed that the alkali metal ion nearer to cellulose induces thermal  
347 fluctuation of cellulose molecules more easily, and its hydrate causes more  
348 electrostatic interactions and hydrogen bonding with cellulose. The calculation  
349 results of  $E^{uv}$  and  $\Delta E^R$  indicate that urea strengthens not only the interaction  
350 between cellulose and MOHs but also the solvent-solvent interaction, especially  
351 LiOH. The chemical potential of cellulose solvation was corrected by including  
352 the advanced pressure correction and shows that the contribution of MOHs to  
353 cellulose solvation was in the order  $LiOH > NaOH > KOH$ , especially when urea  
354 was present in the solvent. 3D-RISM-KH combined with KBI produced  
355 quantitative information regarding the distribution and interaction of MOHs with  
356 cellulose, clarified the role of MOHs, especially LiOH, in cellulose solvation, and  
357 helped explain the preferential dissolution of cellulose in LiOH/urea aqueous  
358 solutions.

### 359 **Declarations**

360 Ethical approval

361 This article does not contain any studies with human participants or animals performed by  
362 any of the authors.

363

364 Conflict of interest

365 The authors declare that they have no known competing financial interests or personal  
366 relationships that could have appeared to influence the work reported in this paper.

367

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370

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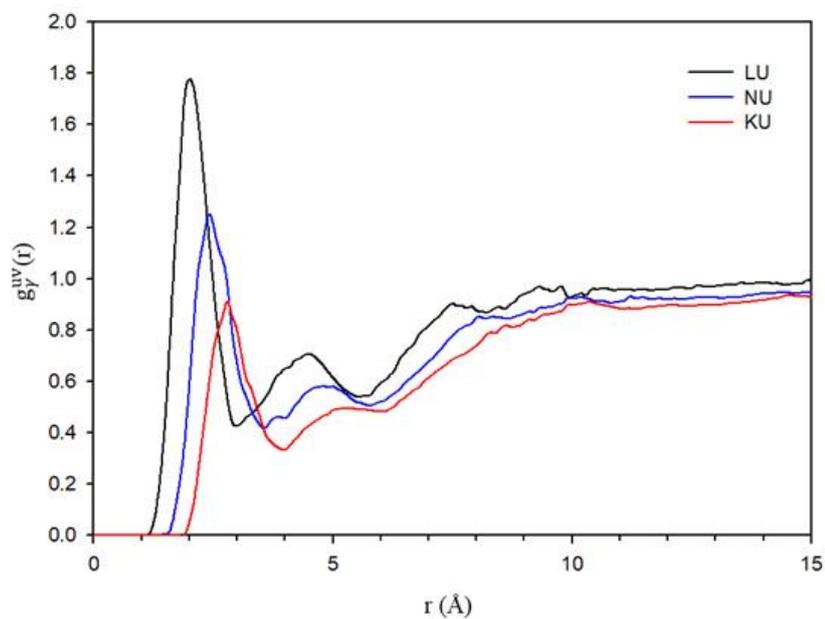
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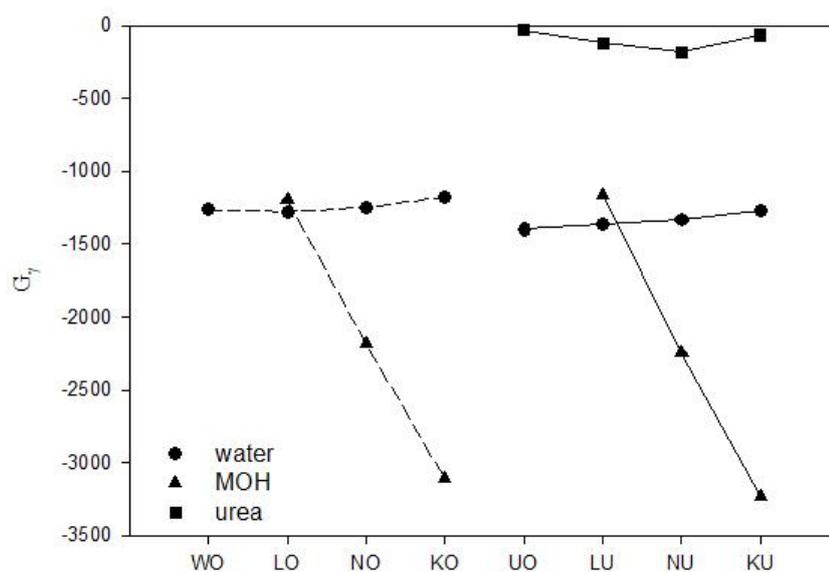
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439 **Figures**



440

441 **Fig. 1** Pair distribution function ( $g_{O_2}^{uv}(r)$ ) of  $\text{Li}^+$ ,  $\text{Na}^+$  and  $\text{K}^+$  to the O2 atoms of cellulose in  
442 solvents LU, NU, and KU. Refer to Fig. 2 of Huh et al. (Huh et al. 2020) for the O2 atoms of  
443 cellulose.



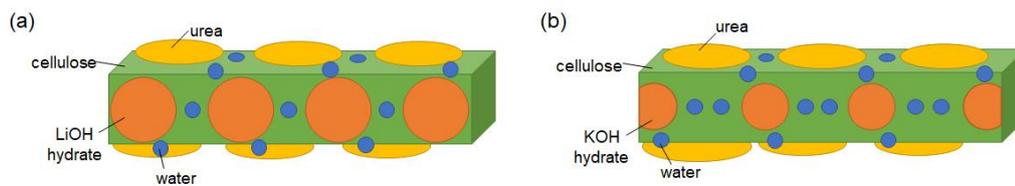
444

445 **Fig. 2** Kirkwood Buff Integral ( $G_\gamma$ ) for a solvent species  $\gamma$  in 8 different solvents: (●) for water;

446 (▲) for an alkali metal hydroxide (MOH); and (■) for urea. The unit of  $G_\gamma$  is  $\text{\AA}^3$  per cellulose

447 molecule.

448



449

450 **Fig. 3** Schematic depiction of solvent species around the single cellulose molecule in solvents LU  
 451 (A) and KU (B). Urea (*yellow circle*) and hydrated MOH (*orange colored circle*) are positioned near  
 452 the hydrophobic and hydrophilic parts of cellulose, respectively. Note the differences in the numbers  
 453 of MOH and water molecules (*blue circle*) between (A) and (B).

454 **Table**455 **Table 1.** Excess number of solvent species  $\gamma$  ( $N_{\gamma}^{excess}$ ) in various solvents.

solvent	Water	LiOH/NaOH/KOH	Urea
LU	-0.8	0.0	1.4
NU	0.4	-1.2	1.3
KU	2.4	-2.3	1.5
UO	-1.2		1.5

456

457 **Table 2.** Cavity volume ( $V_C$ ), interaction volume ( $V_I$ ), ideal fluctuation volume ( $V_{id}$ ), and partial  
 458 molar volume ( $\bar{V}$ ) of cellulose in solvents LU, NU, and KU <sup>a</sup>

solvent	$V_C$	$V_I$	$V_{id}$	$\bar{V}$	$V_T$ <sup>b</sup>
LU	1335.0	-57.5	1.5	1278.8	161.7
NU	1339.4	-57.4	1.4	1283.4	166.1
KU	1347.1	-50.5	1.3	1297.7	173.8
UO <sup>c</sup>	1360.5	-48.7	1.8	1313.6	187.2

459 <sup>a</sup>  $\bar{V} = V_C + V_I + V_{id}$ . The unit is  $\text{\AA}^3$  per cellulose molecule.

460 <sup>b</sup>  $V_T$  is the thermal volume and calculated from  $V_T = V_C - V_M$  where  $V_M = 1173.3 \text{ \AA}^3$ .

461 <sup>c</sup> Data in solvent UO are given for comparison.

462

463 **Table 3.**  $V_{C,\gamma}$  and  $V_{I,\gamma}$ , components of  $V_C$  and  $V_I$  for solvent species  $\gamma$  <sup>a</sup>

solvent	$V_{C,water}$	$V_{C,MOH}$	$V_{C,urea}$	$V_{I,water}$	$V_{I,MOH}$	$V_{I,urea}$
LU	1234.7	16.4	84.0	-50.9	-3.5	-3.4
NU	1210.1	45.0	84.3	-49.9	-4.0	-3.4
KU	1186.6	75.1	85.4	-43.4	-4.2	-3.0
UO <sup>b</sup>	1277.7		82.8	-45.9		-2.8

464 <sup>a</sup> The unit is  $\text{\AA}^3$  per cellulose molecule. Values of  $V_C$  and  $V_I$  are shown in Table 2.

465 <sup>b</sup> Data in solvent UO are given for comparison.

466

467 **Table 4.** Solvation energy and solvation entropy of cellulose in solvents LU, NU, and KU at 261  
 468 K <sup>a</sup>

solvent	$\Delta E$	$E^{uv}$	$\Delta E^R (\approx T\Delta S^R)$	$T\Delta S$	$T\Delta S^{uv}$
LU	-1672.4	-481.4 (-411.8) [-49.7]	-1191.0 (-1086.0) [-101.2]	-1709.8	-518.7 (-460.6) [-29.3]
NU	-1600.9	-470.9 (-415.0) [-36.1]	-1130.0 (-1067.3) [-61.7]	-1658.8	-528.8 (-471.2) [-27.9]
KU	-1537.9	-450.7 (-403.9) [-26.9]	-1087.2 (-1054.7) [-33.2]	-1631.5	-544.3 (-482.7) [-30.2]
UO <sup>b</sup>	-142.2	-306.6 (-287.4)	164.3 (159.7)	-231.9	-67.6 (-51.0)

469 <sup>a</sup>  $\Delta E = E^{uv} + \Delta E^R$  and  $T\Delta S = T\Delta S^{uv} + T\Delta S^R$ . The superscripts uv and R denote solute-solvent  
 470 interaction and solvent reorganization (solvent-solvent interaction), respectively. Numbers in  
 471 round and square brackets indicate the contributions of water and MOHs, respectively. The unit of  
 472 energy is kcal per mole of cellulose.

473 <sup>b</sup> Data in solvent UO are given for comparison.

474

475 **Table 5.** Effect of MOHs and urea on the direct solvation energy (uv) and the solvent  
 476 reorganization energy (R) <sup>a</sup>

MOH	$\Delta E_{MOH-WO}^{uv}$	$\Delta E_{MOH-UO}^{uv}$	$\Delta\Delta E_{MOH-WO}^R$	$\Delta\Delta E_{MOH-UO}^R$
LiOH	-17.2	-174.8	-3.8	-1355.3
NaOH	-4.9	-164.3	16.5	-1294.3
KOH	-3.7	-144.1	28.2	-1251.5

477 <sup>a</sup> These energies are calculated at 261 K and their unit is kcal per mole of cellulose. The definitions  
 478 of  $\Delta E_{MOH-WO}^{uv}$ ,  $\Delta E_{MOH-UO}^{uv}$ ,  $\Delta\Delta E_{MOH-WO}^R$ , and  $\Delta\Delta E_{MOH-UO}^R$  are shown in Eqs. (8)-(11).  
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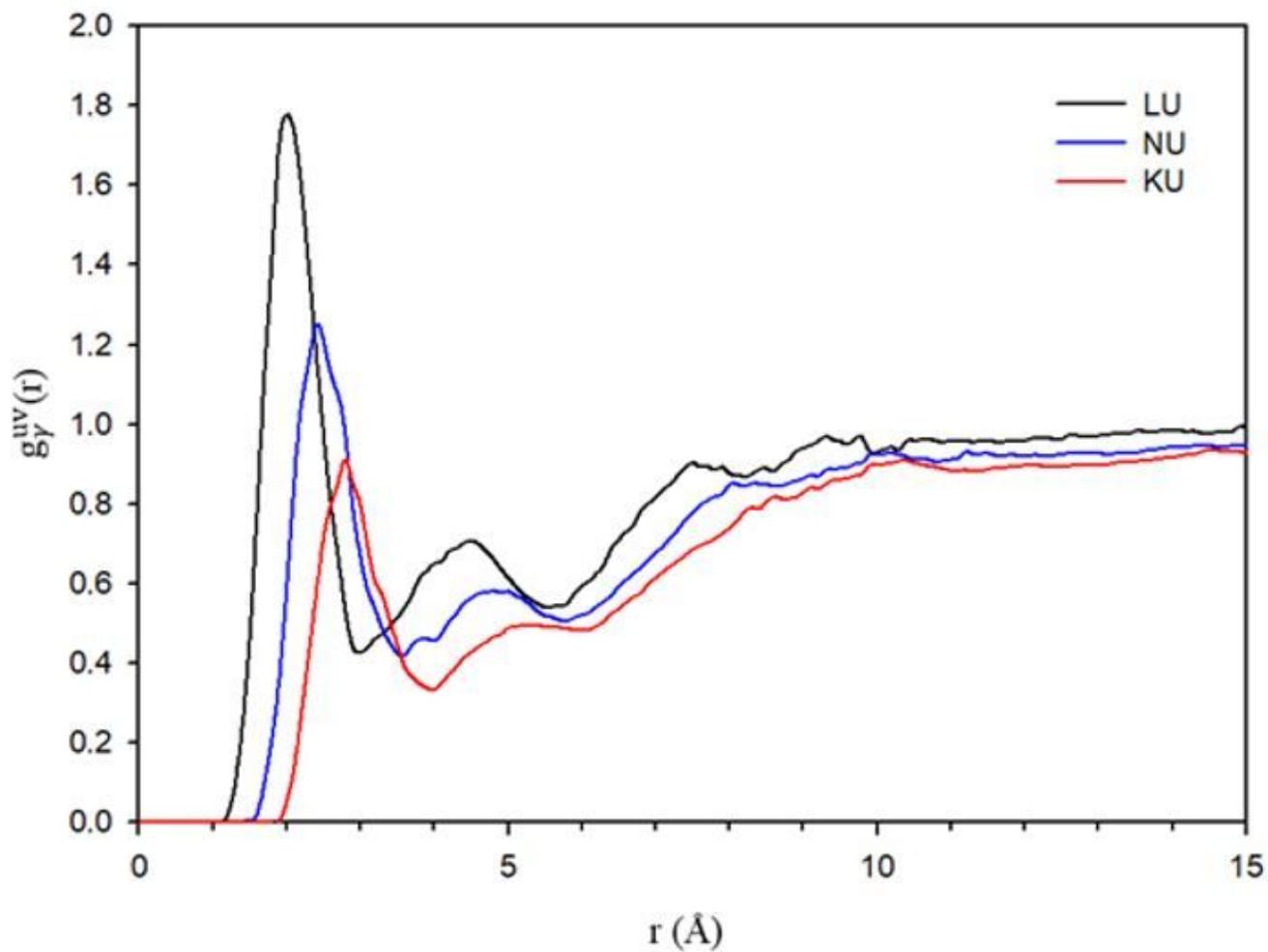
480 **Table 6.** Chemical potentials of cellulose solvation without ( $\Delta\mu$ ) and with ( $\Delta\mu_{PC+}$ ), the advanced  
481 pressure correction (PC+) in solvents LU, NU, and KU at 261 K <sup>a</sup>

MOH	$\Delta\mu$	$\Delta\mu_{PC+}$
LU	37.4	-193.8
NU	57.9	-188.6
KU	93.7	-178.4
UO <sup>b</sup>	89.7	-107.9

482 <sup>a</sup> The unit of energy is kcal per mole of cellulose.

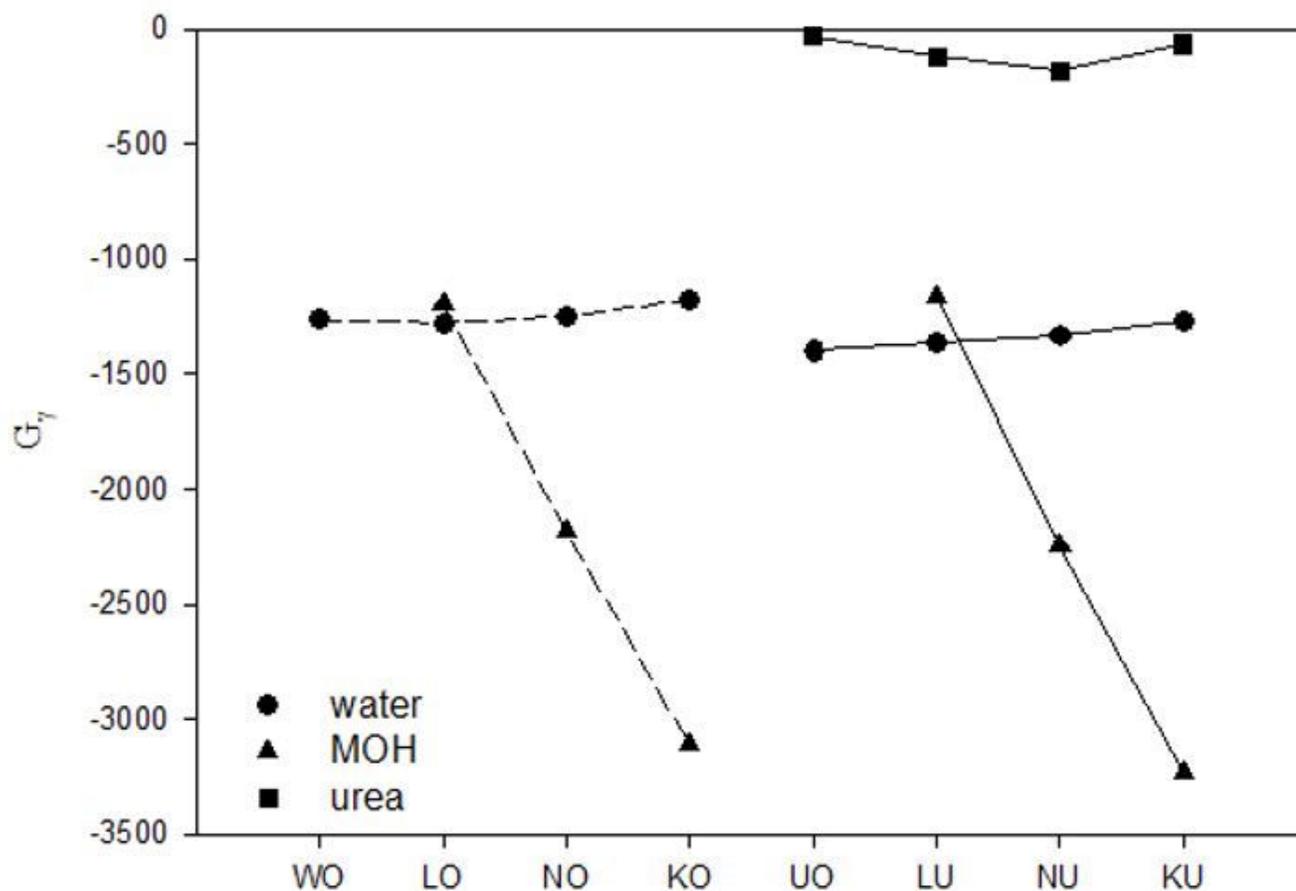
483 <sup>b</sup> Data in solvent UO are given for comparison.

## Figures



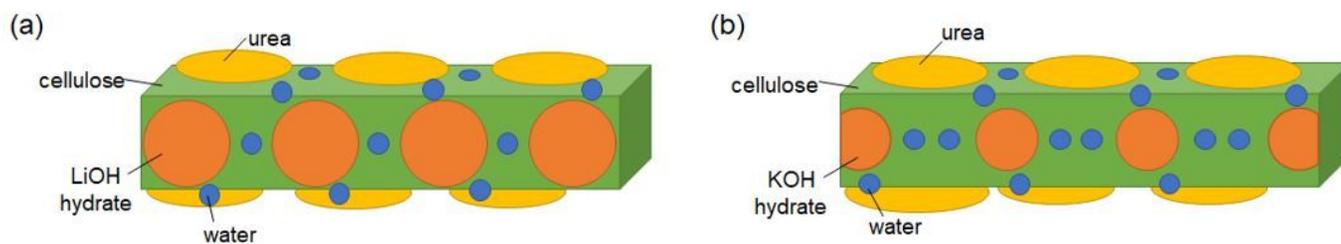
**Figure 1**

Pair distribution function ( $g_{uv}(r)$ ) of Li<sup>+</sup>, Na<sup>+</sup> and K<sup>+</sup> to the O2 atoms of cellulose in solvents LU, NU, and KU. Refer to Fig. 2 of Huh et al. (Huh et al. 2020) for the O2 atoms of cellulose.



**Figure 2**

Kirkwood Buff Integral ( $G_\gamma$ ) for a solvent species  $\gamma$  in 8 different solvents: (○) for water; (△) for an alkali metal hydroxide (MOH); and (□) for urea. The unit of  $G_\gamma$  is  $\text{\AA}^3$  per cellulose molecule.



**Figure 3**

Schematic depiction of solvent species around the single cellulose molecule in solvents LU (A) and KU (B). Urea (yellow circle) and hydrated MOH (orange colored circle) are positioned near the hydrophobic

and hydrophilic parts of cellulose, respectively. Note the differences in the numbers of MOH and water molecules (blue circle) between (A) and (B).

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