

Spotting Areas Critical to Storm Waves and Surge Impacts on Coasts with Data Scarcity: A Case Study in Santa Catarina, Brazil

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1 **Spotting areas critical to storm waves and surge impacts on coasts with data**
2 **scarcity: a case study in Santa Catarina, Brazil**

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12
13 **ABSTRACT**

14 The impacts of severe storms on the coastal zone, combined with rapid population growth
15 in this area, has made coastal risk management an urgent need. However, integrated risk
16 assessment can be a challenging task for many locations worldwide, as it normally
17 requires the use of a large amount of data. The Coastal Risk Assessment Framework phase
18 one (CRAF1), is a recently proposed analytical scheme based on empirical models and
19 spatial analysis that combines different indicators to identify storm-induced hotspots. The
20 methodology, however, requires accurate data at the regional scale and was conceived
21 and validated for the European region. In this study, we show that this approach can be
22 applied, with some simplifications, on data-poor areas, allowing the identification of
23 hotspots considering one or multiple hazards. Here, the coastal risk was assessed for
24 erosion and coastal flooding events with return periods of 10 and 50 years on the Santa
25 Catarina Central Coast. The study area is characterized by the occurrence of storm-
26 induced impacts that historically cause disruption and damage to local communities.
27 Although the components of risk have been assessed using various methods along this
28 sector, to date, no integrated risk analysis has been presented in probabilistic terms.
29 Predicted scenarios for the Santa Catarina Central Coast suggest that extreme episodes
30 may cause several impacts, exposing urban settlements as well local road systems,
31 especially in the municipalities of Tijucas and Florianópolis. The results show that the
32 CRAF1 is an appropriate approach for a first-level risk analysis, even when implemented
33 with poor data resolution, as it effectively points to some of the most vulnerable stretches
34 detected in the study area.

35 **KEYWORDS**
36 CRAF, flooding, erosion, extreme events, coastal risk

37 **1. INTRODUCTION**

38 Storm-induced waves and surges can be considered among the most important drivers of
39 coastal flooding and erosion, often interrelated impacts that affect most locations
40 worldwide (Kron, 2013; Von Storch, 2014). During extreme conditions, these hydro-
41 meteorological events can produce significant changes in the coastal zone in a very short
42 period (Morton et al., 1995), leading to economic losses and, eventually, risk to human
43 life.

44 Furthermore, future projections show that storm-induced impacts will substantially
45 increase over the years on some coasts of the world, due to climate changes and rising sea
46 levels (Vousdoukas et al., 2018; Kirezci et al., 2020).

47 In this scenario, an assessment of current and future risk is required to support coastal
48 management and policy implementation. Risk assessment is particularly important for
49 developing countries, in which reduced resilience means the population is exposed to
50 more severe consequences of flood and erosion than those living in developed regions
51 (Church et al., 2008; Hanson et al., 2011; Neumann et al., 2015; UNISDR, 2018).
52 Estimating risk in these areas can be challenging though, as data are often unavailable or
53 present poor resolution.

54 The southern region of Brazil is historically affected by storm-induced waves and surges,
55 often associated with the passage of cold fronts and extra tropical cyclones (Parise et al.,
56 2009). On the coast of Santa Catarina state, episodes of flooding and erosion linked to
57 these events are recurrent and have caused serious damage to the local community
58 (Rudorff et al., 2014).

59 Considering the high level of exposure of the population and urban assets along the Santa
60 Catarina Central Coast, as demonstrated by several previous studies in the area on the
61 local scale (Mazzer et al., 2008; Rudorff and Bonetti, 2010; Muler and Bonetti, 2014;
62 Klein et al., 2016a; Mussi et al., 2018; Santos and Bonetti, 2018; Silveira and Bonetti,
63 2019; Lima and Bonetti, 2020) and regional scales (CEPAL, 2012; Serafim and Bonetti,
64 2017; Bonetti et al., 2018; Serafim et al., 2019), this research aimed to assess the most
65 critical sectors, considering the different levels of risk to which the coastline is submitted.

66 This is a novel approach, since most of the existing research is focused on vulnerability
67 and does not take into account the probability of the impact of coastal hazards in different
68 time-frames. The study also prioritizes the identification of hotspots in the management
69 unit proposed in the Brazilian Coastal Management Program sectorization, which
70 facilitates the applicability of results by decision-makers.

71 Moreover, the applied methodology (the Coastal Risk Assessment Framework phase one;
72 CRAF1) was originally designed for the European context, and despite its implementation
73 in different coastal settings (cf. Armaroli and Duo, 2018; Aucelli et al., 2018; Christie et
74 al., 2018; De Angeli et al., 2018; Jiménez et al., 2018; Plomaritis et al., 2018), this
75 framework has never been tested in a condition of data scarcity. In this paper, the CRAF
76 framework was applied in an area with incipient spatial data infrastructure and low-
77 resolution spatial information, which is also the case in several nations around the world.
78 For this, our paper proposes an adaptation in the use of the originally recommended risk
79 descriptors as the main strategy to overcome the aforementioned data limitation.

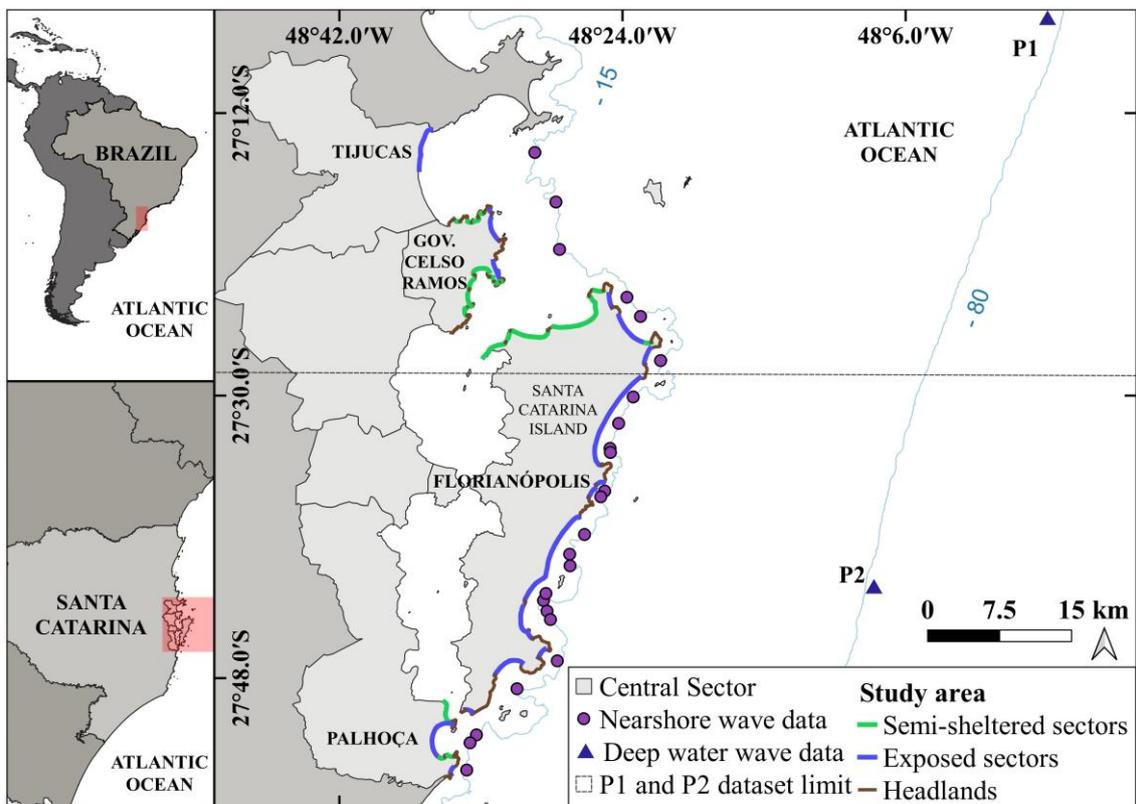
80 Here, the tool was applied with simplifications to the area of interest, and the critical
81 sectors were identified through a combination of empirical methods and spatial analysis,
82 highlighting, in a comparative way, priority areas for management actions and providing
83 a valuable information basis for further detailing.

84 Considering that vulnerability-related terminology varies widely among researchers,
85 which reflects the lack of consensual definitions for such terms (Bonetti and Woodroffe,
86 2017), it is worth clarifying that, in this study, risk is defined as the product of the
87 probability occurrence of a hazard and its consequences (UNISDR, 2009). Susceptibility
88 expresses the natural potential level of losses associated with the characteristics of the
89 hazard, and vulnerability is defined as the propensity of a receptor (human assets;
90 ecosystems) to suffer damage (Viavattene et al., 2015). In addition, the term exposure is
91 applied to express the direct and indirect losses that receptors may have in contact with
92 the hazard.

93 This article is structured as follows: section 2 describes the study area and the available
94 data; section 3 presents the first phase of the CRAF1 framework and the simplifications
95 adopted to allow the implementation of the method to the study site; section 4 shows the
96 results; section 5 discusses the hotspots identified and finally, section 6 summarizes the
97 main conclusions of the work.

2. SANTA CATARINA CENTRAL COAST AND AVAILABLE DATA

98
99 The area of interest of this work is located in South Brazil and comprises the beaches of
100 the Santa Catarina Central Coast (SC-CC), according to the sectorization proposed by the
101 Brazilian Coastal Management Program (Santa Catarina, 2006) (Fig. 1). Waves and storm
102 surges affect the beaches located inside the sheltered coastline between Santa Catarina
103 Island and the mainland differently (Mussi et al., 2018; Silveira and Bonetti, 2019), and
104 hence this sector of the coast was not considered in the analysis. The southern sector of
105 Tijucas municipality coastline has not been analysed either, since it is a tide-dominated
106 beach with an upper shoreface basically composed of mud flats, which induce a particular
107 hydrodynamical behaviour to this sector (Klein et al., 2016b).



108
109 Fig. 1 Location of the Santa Catarina Central Coast with sectors classified according to
110 their degree of exposure to the main wave direction. The points used for wave data
111 extraction are also represented.
112

113 The area covers more than 100 km of coastline and includes the state capital,
114 Florianópolis, and the municipalities of Palhoça, Governador Celso Ramos and Tijucas
115 (Fig. 1). This is the most densely populated coastal region of the Santa Catarina state and
116 is where important economic activities related to tourism, fishing, aquaculture and diverse
117 industries stand out (Santa Catarina, 2010).

118 The Santa Catarina coast is exposed to waves from four main directions: low-energy
119 conditions usually coming from the northeast quadrant, and high-energy waves arriving
120 from east, south and southeast, with significant heights up to 6 m and a recorded
121 maximum of 13 m individual height (Araújo et al., 2003; Melo Filho et al., 2006).

122 This coastal zone has a microtidal regime, with spring tides ranging from 1.05 m in the
123 north to 0.46 in the south (Klein et al., 2016b), whereas the meteorological component of
124 the water level (storm surge) can be as high as 1m (Truccolo et al., 2006).

125 The central coast of Santa Catarina state presents a high economic value, offering
126 important goods and services (Scherer and Asmus, 2016). Nonetheless, this area is
127 particularly prone to storm induced impacts, which cause serious property damage and
128 demand a large amount of financial investment by the government, as highlighted by
129 several studies (Simó and Horn Filho, 2004; Horn Filho, 2006; Rudorff et al., 2014; Klein
130 et al., 2016b).

131 **2.1.Data**

132 The topography and bathymetry were characterized using the Digital Terrain Model
133 available from the state's 'Secretaria de Estado do Desenvolvimento Econômico
134 Sustentável (SDS)', with a 1 m horizontal resolution and 2.5 m altimetric accuracy (Souza
135 et al., 2017) and from nautical charts produced by the Brazilian Navy's 'Diretoria de
136 Hidrografia e Navegação' (DHN). Possible discrepancies regarding the different datums
137 used for topography and bathymetry charting were minimized as proposed by Klein et al.
138 (2016a). Beach morphology and sediment grain sizes along the coast were acquired in the
139 field in the scope of the project RIMPEEX-Sul '(Rede Integrada de Monitoramento e
140 Previsão de Eventos Extremos na Região Sul'; Bonetti et al., 2018).

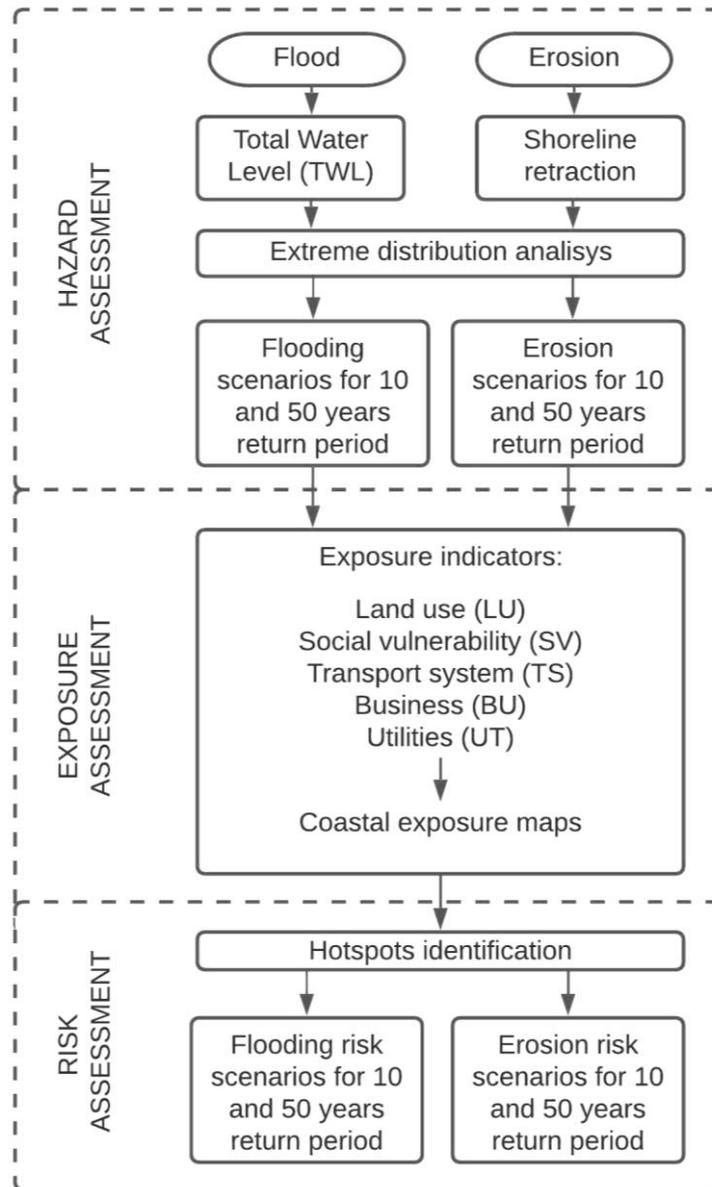
141 Wave and water level data were obtained from the Regional Ocean Waves (ROW) and
142 Global Ocean Surge and Tide (GOST) databases, a reanalysis dataset specifically
143 validated for Santa Catarina coast (Rodríguez and Lasa, 2016) that include a 31-year
144 period (1979–2010) with hourly temporal resolution.

145 Regarding the analysis of coastal exposure, the land-use data for the study area was
146 provided by Mussi (2017); the socio-economic information was obtained from the IBGE
147 (2011) census (see details in Supplementary Material, Annex A); the transport system
148 was characterized with information supplied by DEINFRA (2018) and OpenStreetMap

149 platform (OSMF, 2018); the business information was obtained from SEAP (2008) and
150 finally, the utility information was extracted from CNES (2018) and SED-SC (2018).

151 3. METHODOLOGICAL FRAMEWORK

152 The tool CRAF1 was applied to identify critical points in terms of coastal flooding and
153 erosion risk. The flowchart in Fig. 2 summarizes the adopted methodological approach.



154
155 Fig. 2 Methodological flowchart of the risk assessment performed in this research.
156

157 The methodology consists of a screening process that allows the identification of hotspots
158 on a large spatial scale by assessing the potential impacts for every coastal sector of
159 approximately 1 km along the shore length. The approach combines different hazard

160 effects (i_h) and exposure (I_{exp}) indicators into a single value, the Coastal Index (CI), which
161 is estimated for each sector (equation 1) (Viavattene et al., 2018):

$$162 \quad CI = \sqrt{i_h * i_{exp}}. \quad (1)$$

163 Here, two types of hazard effects are considered: flood and erosion. It was not possible
164 to apply the recommended level of analytic detail, especially in the hazard assessment
165 model, due to the non-existence of a DTM and a bathymetric chart with a fine grid and
166 high resolution to obtain the morphological parameters at the land-ocean interface.
167 Moreover, despite having a long time series of wave and water level data, several
168 hydrodynamic parameters required for the application of the chosen empirical models had
169 to be simplified, as the lack of regular bathymetry data makes it difficult to take into
170 account the wave transformation and attenuation process in shallow waters. A
171 comparative review showing the main challenges faced when applying the tool in the
172 study area in its simplified form is presented in the supplementary material, Annex B.

173 The magnitude and extent of the different hazard effects and exposure indicators were
174 computed separately following some assumptions and found alternatives, as described in
175 the next sections.

176 **3.1. Coastal hazard assessment**

177 To estimate i_h , the magnitude of the hazard effect must be computed for a certain return
178 period by using empirical models and converted to a hazard scale from 0 to 5 (none, very
179 low, low, medium, high, and very high). To this end, the study area was divided into 83
180 representative sectors, each one covering up to a 2.5-km length of sandy beaches.

181 As the impact driver is strongly dependent on storm wave direction and shoreline
182 orientation (Masselink et al., 2016), the sectors were classified according to the degree of
183 exposure to the main wave directions that reach Santa Catarina Island: South, Southeast
184 and East. When possible, the classification presented here was based on previous studies
185 (Muler & Bonetti, 2014; Klein et al., 2016a; Klein et al. 2016b). Otherwise, the simple
186 relation between the shoreline orientation and the main wave direction was taken into
187 account for the categorization. Hereafter, ‘exposed sectors’ refer to the sectors exposed
188 to waves, where there is a high angle of incidence between the main wave direction and
189 the coastline, and ‘semi-sheltered’ sectors refer to those sectors where waves have small
190 or no effect on flooding/erosion (see Fig. 1).

3.1.1. Flood

The magnitude of flooding was estimated through the extreme distribution of the total water level, the storm surge, and the wave runup. The storm-induced run-up was computed by applying the formula proposed by Holman (1986), which considers the significant wave height, the wave length and the beach face slope. The semi-sheltered sectors were assumed to be influenced little or very little by wave action. Therefore, in this case, the wave run-up was not taken into account. Moreover, the Total Water Level (TWL) dataset was obtained by using deep water wave data (Fig. 1).

TWL time series were fitted to the G.E.V. (Generalized Extreme Value) distribution using annual maxima values. The analysis was carried out in IH-AMEVA (IH-Cantabria, 2013), and the extreme water levels associated to return periods of 10 (T10) and 50 (T50) years were used to characterize different scenarios.

The area potentially flooded by those extreme events was delineated by using the bathtub approach, which consists in assuming that all areas connected to the sea with an elevation below the TWL will be flooded (Viavattene et al., 2018). In the geographic information system (GIS) environment, the outlined surface was computed for each sector, considering the beach topography and the corresponding water level for the selected return periods. Finally, a simple rectangle generated from the maximum flood potential in each sector was used to illustrate the potential ‘hazard extent’ (according to the terminology adopted by the RISC-KIT assessment framework; Viavattene et al., 2015).

3.1.2. Erosion

Erosion was assessed in the exposed areas using the model of Kriebel and Dean (1993). This model proposes an adaptation of the Bruun rule (1954) to estimate the changes in the beach profile due to storm waves, and the respective coastline retreat/advance. The maximum potential retreat (R_{∞}) is expressed by Bruun (1954) as (Equations 3 and 4):

$$R_{\infty} = \frac{S X_b}{B + hb - S/2}, \quad (3)$$

$$X_b = \left(\frac{hb}{A}\right)^{3/2}, \quad (4)$$

where S is the water level variation, hb is the wave-breaking depth, B is the frontal dune height, X_b is the distance from the wave-breaking depth and A is the parameter related to the sediment size that characterizes the profile slope.

221 According to Kriebel and Dean (1993), as the beach profile changes obtained from
 222 Brunn's model represent a slow response to the water level variation, a proportional and
 223 rapid retreat due to storms must be determined taking into account the characteristic time
 224 scale of the exponential response (T_s) and the storm duration (TD). T_s was computed with
 225 equation 5, whereas TD was assumed to be the typical storm duration in the study area, a
 226 value obtained from the literature (see Table 1).

227

$$228 \quad T_s = \frac{320xH_b^{\frac{3}{2}}}{A^3g^{1/2}\left(1+\frac{h_b}{B}+\frac{mx_b}{h_b}\right)}, \quad (5)$$

229 Where H_b is the wave-breaking height; g is the gravitational acceleration; and m is the
 230 beach profile slope.

231 Finally, the proportional retreat (R) over time (t) was calculated from the maximum
 232 potential retreat (R_∞) as a function of β (the ratio between the erosion time scale and the
 233 storm duration) (Equations 6, 7 and 8):

$$234 \quad \frac{R(t)}{R_\infty} = \frac{1}{2} \left\{ 1 - \frac{\beta^2}{1+\beta^2} \exp\left(\frac{-2\sigma t}{\beta}\right) - \frac{1}{1+\beta^2} [\cos(2\sigma t) + \beta \sin(2\sigma t)] \right\}, \quad (6)$$

235

$$236 \quad \beta = 2\pi \frac{T_s}{T_D}, \quad (7)$$

$$237 \quad \sigma = \frac{\pi}{T_D}. \quad (8)$$

238 Data used to compute the shoreline retreat are shown in table 1. The biggest challenge to
 239 applying the chosen empirical model was the scarcity of detailed topography and
 240 bathymetry data on a regional scale, which allows the extraction of parameters related to
 241 the morphodynamics of sandy beaches, such as the depth of closure and the beach profile
 242 slope.

243 Therefore, these parameters were estimated empirically according to the following
 244 simplifications: first, the depth of closure was computed using the formula proposed by
 245 Hallermeier (1978). For this purpose, nearshore wave data were extracted from the GOST
 246 database at a depth of 15 m, positioned in front of the exposed sectors (see Fig. 1).
 247 Afterwards, the cross-shore distance from the shoreline was obtained through Dean's
 248 equilibrium profile equation (1977). The parameter that defines the profile slope (A)
 249 required in this stage was estimated according to the empirical approach proposed by

250 Dean (1987), considering $k = 0.51$. Finally, the beach profile slope was computed using
 251 trigonometric relations between the deep of closure and its respective distance from the
 252 coast.

253 The breaking-wave height (Hb) and break-wave depth (hb) time series were also obtained
 254 empirically by employing the formula proposed by Komar and Gaughan (1972) and
 255 Weggel (1972), respectively. Furthermore, in specific situations of erosion hazard in the
 256 absence of dunes, the dune height (0 m in this case) was set to 0.2 m, to allow a hazard
 257 assessment.

258 The computed time series of shoreline retreat were fitted to a G.E.V. function, and the
 259 values associated with the selected return periods were obtained. The hazard extent was
 260 outlined by a 50 m buffer zone from the maximum shoreline retreat in each scenario. The
 261 buffer value, first proposed by Mazzer et al. (2008), was chosen on the basis of the
 262 minimum-security distance considered by the ORLA project (MMA, 2004) for
 263 management purposes along the entire Brazilian coast.

264 Table 1. Data used to compute the storm-induced shoreline retreat.

| Data | Source | Range of values for the exposed sectors |
|---|--|---|
| Frontal dune height (B) | RIMPEEX-Sul Project (Bonetti et al., 2018) | 0.2–4.0 (m) |
| and sediment sizes (D_{50}) | | 0.17–1.36 (mm) |
| Beach-profile slope (m) | trigonometric relations | 0.01–0.06 (rad) |
| Parameter governing the profile steepness (A) | empirical approach (Dean, 1987) | 0.09–0.23 |
| Breaking-wave height (Hb) | empirical approaches: Komar and Gaughan (1973) and Weggel (1972) | 6.4–7.2 (m) |
| Break-wave depth (hb) | | 6.1 – 8.4 (m) |
| Water-level variation (S) | TWL computed for each scenario | 1.7 – 6.6 (m) |
| Storm duration (TD) | Piçarras Project (Dalinghaus et al., 2015) | 192 (hours) |

265
 266 3.1.3 Hazard-impact indicator (i_h)

267 The hazard-impact indicator was attributed individually for each hazard and each sector
 268 along the coast. To obtain the flood-impact indicator, the maximum extent of the flooding
 269 scenario was subtracted from the corresponding beach width. The resulting extent was

270 then scored as shown in table 2. Negative values indicate areas where the extent of
 271 flooding is restricted to the beach; therefore, the hazard index is null. Positive values
 272 indicate areas where the TWL exceeds the backshore, and the hazard-impact indicator
 273 increases progressively with extent of enlargement.

274 Table 2. Classification of the hazard-impact indicators (i_h) according to the intervals of
 275 flooding/erosion extent

| Extent of flooding T10 and T50 (m) | Extent of erosion T10 (m) | Extent of erosion T50 (m) | Hazard-impact indicator |
|------------------------------------|---------------------------|---------------------------|-------------------------|
| -20–0.0 | 0.0–0.2 | 0.0–19.2 | 0 |
| 0.0–100 | 0.2–0.4 | 19.2–41.5 | 1 |
| 100–200 | 0.4–0.7 | 41.5–54.8 | 2 |
| 200–300 | 0.7–1.4 | 54.8–70.6 | 3 |
| 300–400 | 1.4–3.4 | 70.6–126.3 | 4 |
| >400 | >3.4 | >126.3 | 5 |

276

277 The erosion-impact indicator was attributed by ranking the shoreline retreat values (see
 278 table 2). This time, scores were assigned differently for T10 and T50 due to discrepancies
 279 between the ranges of values. The Natural Breaks segmentation method (Jenks and
 280 Caspall, 1971) was used to obtain the categorization in each scenario.

281 3.2. Coastal exposure assessment

282 The exposure analysis consisted of determining a General Exposure Indicator (I_{exp}),
 283 which is composed of different types of receptors: Land Use (LU), Social Vulnerability
 284 (SV), Transport System (TS), Business (BU) and Utilities (UT). The I_{exp} is estimated by
 285 (equation 9):

286
$$I_{exp} = (i_1 * i_2 * \dots * i_n)^{1/n}, \quad (9)$$

287 Where n is the number of the considered types of receptors.

288 The exposure assessment was carried out individually for each hazard impact and
 289 scenario. The five exposure categories were evaluated according to specific methods as
 290 described in this section, then ranked from 1 to 5 (None or Very Low, Low, Medium,
 291 High and Very High) before the overall integration. In the same way, the I_{exp} was scored

292 into five categories and then reclassified from 1 to 5. The obtained values are registered
 293 in Annex C (Supplementary material).

294 3.2.1 Land Use (iexp_LU)

295 This indicator measures the relative exposure of different land uses along the coast,
 296 considering the area and the importance of the land use class for human activities. Based
 297 on the scale developed by Perini et al. (2016), each class received a representative value
 298 from 1 to 4. Therefore, areas with a high degree of human activity, such as urban
 299 settlements and croplands, were considered critical and received higher exposure values
 300 (4 and 3), while areas with little or no human activity such as sandy beaches, dunes,
 301 forests and mangroves received lower exposure values (2 and 1). Details are presented in
 302 in Supplementary Material, Annex D.

303 In each sector, the land use indicator was estimated according to (equation 10):

$$304 \quad I_{\text{exp_LU}} = \sum_i^n \frac{V * A_i}{A_t}, \quad (10)$$

305 Where V is the value assigned to the class, A_i , the area occupied by the class and A_t , the
 306 total area of the sector.

307 3.2.2 Social Vulnerability (iexp_SV)

308 The Social Vulnerability exposure indicator (iexp_SV) measures the relative exposure of
 309 different communities along the coast, considering their socio-economic characteristics
 310 according to the most common indicators used in the literature (Lima and Bonetti, 2020).
 311 The iexp_SV was computed on the basis of a Social Vulnerability Index (SVI) built for
 312 the Santa Catarina Central Sector. To build the SVI, six components were considered, as
 313 presented in table 3.

314 Table 3. Components used to construct the SVI

| Categories | Components |
|-----------------------|--|
| Financial deprivation | Percentage of households living in poverty (A_{sv}) |
| | Per capita income (B_{sv}) |
| Education | Percentage of literate household heads (C_{sv}) |
| Household structure | Number of residents per household (D_{sv}) |

| | |
|--------|---|
| Gender | Percentage of households headed by young women (E_{sv}) |
| Age | Vulnerable age group (F_{sv}) |

315

316 In order to enable the integration of components, the values obtained were standardized,
 317 and the SVI was determined following the approach proposed by Tapsell et al. (2002).
 318 The original equation was adapted to summarize the four chosen categories (see table 3):
 319 financial deprivation, education, household structure, gender and age (equation 11).

$$320 \quad SVI = 0.5(A_{sv} + B_{sv}) + C_{sv} + D_{sv} + E_{sv} + F_{sv} \quad (11)$$

321 Finally, to compute the $iexp_{SV}$, the procedure described in item 3.2.1 (Equation 10)
 322 was performed.

323 3.2.3 Transport system ($iexp_{TS}$), Business ($iexp_{BU}$) and Utilities ($iexp_{UT}$)

324 These indicators are considered to better represent the exposure of structures, which can
 325 lead to systemic impacts or to a higher order of losses. Each one was represented by points
 326 in a GIS environment and quantified at the sectorial level with the Spatial Join resource.
 327 They were subsequently computed in terms of density, dividing the number of points by
 328 the total area of the sector.

329 The transport system indicator was estimated as the density of roads and local road
 330 networks in each sector. The business indicator was determined accounting for the
 331 number of establishments linked to commercial, industrial and agricultural activities in
 332 each sector, and finally, the utility indicator was defined by the number of health (hospital
 333 and clinics) and education units in the area of the hazard impact extent. Other utilities
 334 suggested by CRAF1 methodology (e.g. drinking water intake and electrical transmission
 335 substations) were not observed within the extent of the hazard areas.

336 3.3 Identification of hotspot areas

337 Hotspots were identified through application of the Coastal Index, which was computed
 338 for each hazard impact and each associated return period. The relation between the hazard
 339 impact indicator (i_h) and the general exposure indicator (I_{exp}) was established following
 340 equation 1. A sector was considered critical when CI was higher than 3.2, as this value is
 341 obtained exclusively by the combination of medium to very high indicators (Viavattene
 342 et al., 2018). The CI values were, accordingly, classified into 5 categories (None or Very

343 Low, Low, Medium, High and Very High) to allow a qualitative representation of the
 344 hotspots along the area.

345 **4. RESULTS**

346 The results of the risk analysis are presented in the next sections. Flood and erosion risk
 347 assessment are addressed separately, followed by an analysis of the interaction between
 348 the two.

349 **4.1 Storm-induced flood risk assessment**

350 Table 4 shows the TWL for the 10- and 50-year return periods (T10 and T50,
 351 respectively) of the exposed sectors in the different municipalities of the study area. In
 352 exposed sectors, TWL varied from 2.3 to 6.6 m for T10 and from 2.5 to 7.2 m for T50. In
 353 semi-sheltered sectors, the TWL varied from 1.2 to 1.3 m, considering both scenarios.
 354 The highest levels occurred in areas reached by higher wave energy and steeper beach
 355 slopes in Tijucas and Florianópolis (Table 4). It must be highlighted that Tijucas is, in
 356 fact, particularly susceptible to the occurrence of extensive flood episodes (Santos and
 357 Bonetti, 2018), since a relatively well-developed low-lying chenier coastal plain is
 358 established on its hinterland (FitzGerald et al., 2007).

359 Table 4. Computed values of TWL for exposed sectors in the different municipalities of
 360 Santa Catarina Central Coast

| Municipalities with exposed sectors | TWL T10 | | | TWL T50 | | |
|-------------------------------------|------------|------------|------------|------------|------------|------------|
| | max | min | mean | max | min | mean |
| Tijucas | 6.6 | 4.9 | 5.7 | 7.2 | 5.4 | 6.3 |
| Gov. Celso Ramos | 4.4 | 2.6 | 3.4 | 4.7 | 2.8 | 3.7 |
| Florianópolis | 6.4 | 2.3 | 3.9 | 7.0 | 2.5 | 4.3 |
| Palhoça | 4.4 | 2.3 | 3.4 | 4.8 | 2.5 | 3.7 |
| Whole Central Coast | 6.6 | 2.3 | 3.9 | 7.2 | 2.5 | 4.2 |

361

362 The flood hazard indicator in the T10 and T50 return periods is illustrated in Fig. 3A and
 363 Fig. 4A, respectively. The results show that, considering the longer return period, the
 364 hazard level in approximately 55% of the sectors lies within classes 1 and 2. Still, the
 365 classes of high and very high susceptibility (4 and 5) were representative, corresponding
 366 32.5% of the sectors for T50. In an analysis of the differences between the T10 and T50
 367 scenarios, an increase in hotspots was observed in the most populated city, Florianópolis.

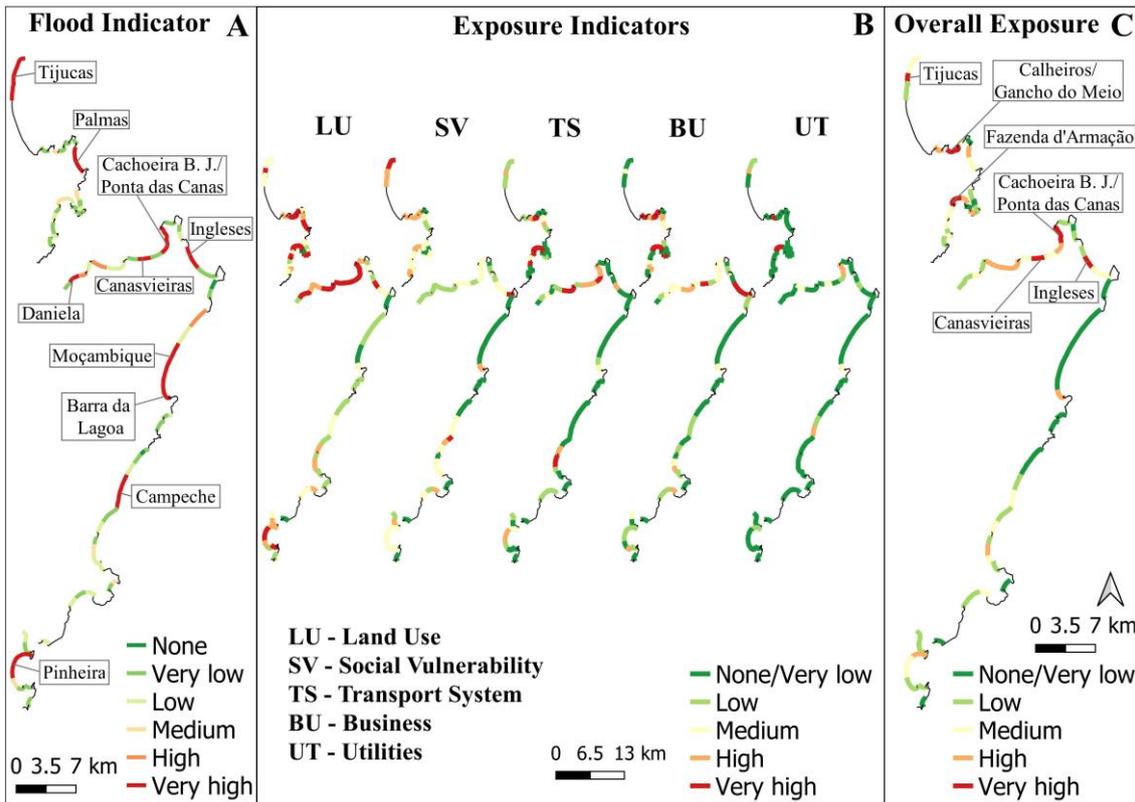
368 The main flood-prone sectors, considering both scenarios, comprised the following
369 beaches (Fig. 3A and 4A): Tijucas, Palmas, Daniela, Canasvieiras, Cachoeira do Bom
370 Jesus, Ponta das Canas, Ingleses, Moçambique-Barra da Lagoa, Campeche, Armação and
371 Pinheira. Higher values of i_h were concentrated in Tijucas and Florianópolis
372 municipalities: both sites included up to 78% of the most hazardous sectors (levels 4 and
373 5) in T50. Also, in this case, Gov. Celso Ramos was the municipality with lower i_h values
374 (more than 73% of its total sectors belonged to the very low and low-level classes, 1 and
375 2).

376 The flood-related exposure indices are shown in Fig. 3B and 4B. The study area exhibits
377 large variability related to the degree of occupation (i_{exp_LU}), with the very-high
378 exposure class (5) predominating, followed by the low and high categories (2 and 4).
379 Notably, most sectors that present very high levels of LU exposure are concentrated in
380 the Gov. Celso Ramos municipality and the north of Santa Catarina Island. High values
381 are mainly related to the presence of urban settlements close or very close to the shore.

382 The Social Vulnerability Indicator (i_{exp_VS}) presented higher exposure rates in the
383 northern sectors of Tijucas, and east of Santa Catarina Island. The very-high exposure
384 class included the municipality of Tijucas and points located on Florianópolis beaches.
385 Notably, categories that contributed the most to the very high values were ‘per capita
386 income’, ‘vulnerable age group’ and ‘number of residents per household’. The high-
387 exposure class (4) predominated in Tijucas and Governador Celso Ramos municipalities.
388 In the T50 scenario, low to intermediate values characterized most of the stretches,
389 predominating the medium class (3) for approximately 35% of the sectors, followed by
390 the class of low social vulnerability (2), which represented 30%.

391 Considering the transport system (Fig. 3B and 4B), the results showed that most of the
392 sectors presented very low exposure of their transport network: considering the longer
393 return period, only 13.2% were marked by very high exposure and were mainly
394 concentrated in the Florianópolis and Gov. Celso Ramos municipalities, which pointed
395 to a higher density of TS close to the shore in these locations. The predominance of very
396 low exposure in the area can be explained by the absence of infrastructure near the
397 shoreline. Still, it was possible to identify those areas where the transport system could
398 be affected.

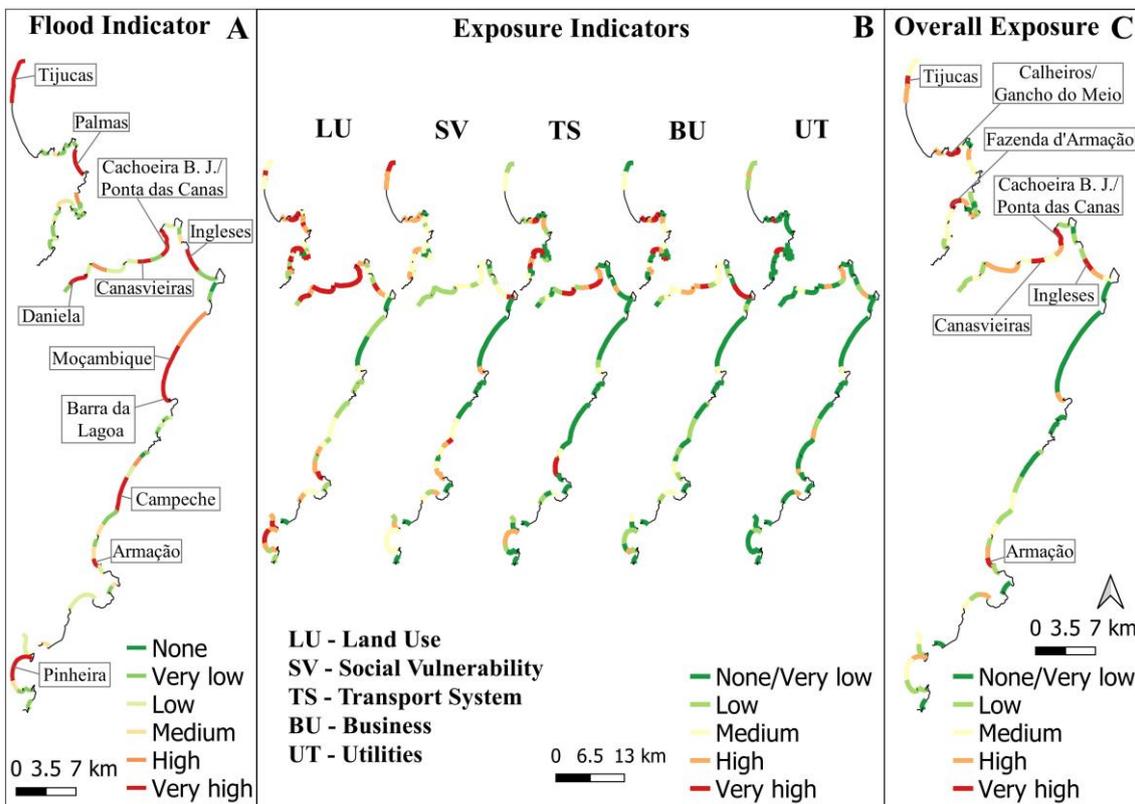
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400

401

Fig. 3 (A) Flood impact and (B; C) exposure indicators for T10



402

403

Fig. 4 (A) Flood impact and (B; C) exposure indicators for T50

404

405 The Business indicator was mostly represented by units linked to commerce, followed by
406 entities related to the industry. Considering the T50 scenario, 10.8% of the sectors were
407 characterized by very high exposure (class 5), mostly in the municipality of Gov. Celso
408 Ramos, and the northern portion of Santa Catarina Island. Low and very low exposures
409 were dominant when considering both return periods, accounting for up to 60% of the
410 sectors.

411 The utility indicator was restricted in the study area: 80% of the sectors presented a very
412 low exposure class, considering the maximum hazard extent. This was also mainly due
413 to the absence of large infrastructure networks close to the shore. The very high exposure
414 class was concentrated exclusively in the municipality of Gov. Celso Ramos. High classes
415 (4) also appeared in the municipalities of Tijucas and Florianópolis. There was a
416 significant number of educational units close to the shore, which were mainly represented
417 by municipal elementary schools. Health units were rarer within the considered area and
418 were mostly represented by small medical centres.

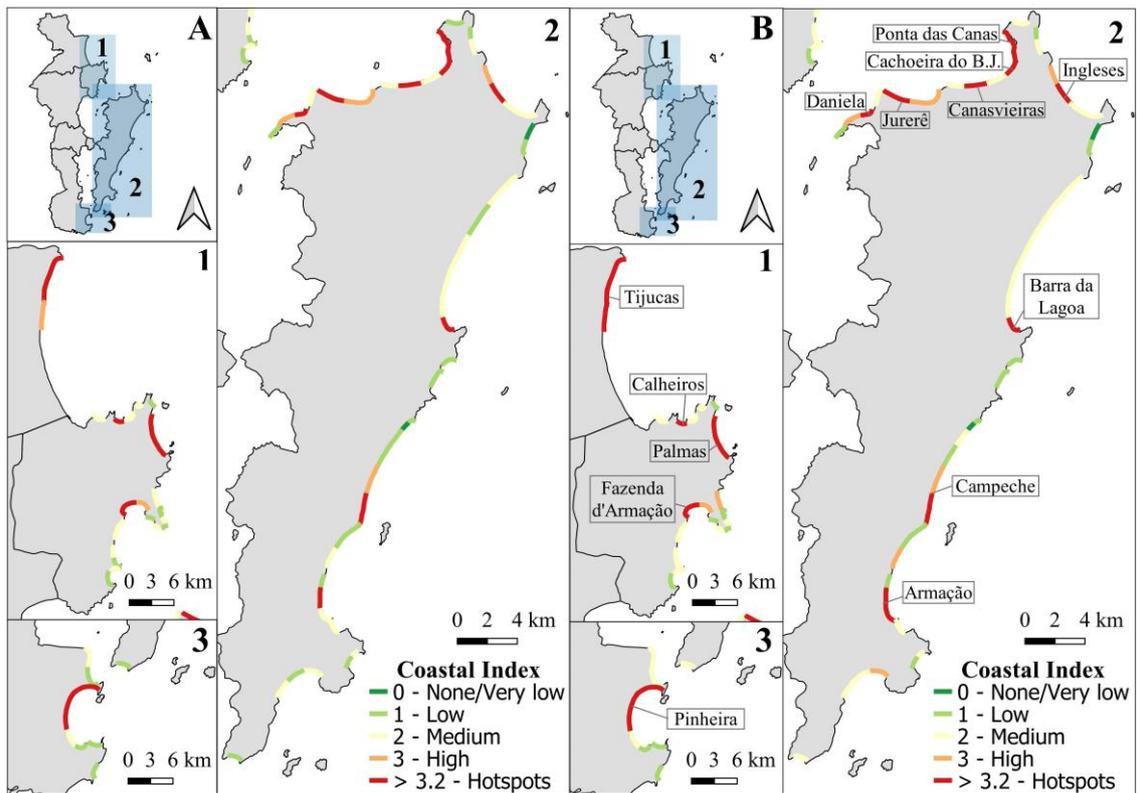
419 The overall exposure index (I_{exp}) is presented in Figs. 3C and 4C. Categories of Low and
420 Very Low exposure were predominant in the study area, covering up to 57% of the
421 sectors, highlighting that many of the exposure indicators used, especially those that were
422 linked to urban infrastructure exposure, are not highly represented close to the shore.

423 Nonetheless, eight sectors were considered extremely critical in the higher return period,
424 with high and very high classes of coastal exposure representing 20.4% of the total sectors
425 for T10 and 25.3% for T50. The extremely critical sectors included the following beaches
426 (Figs. 3C and 4C): Tijucas, Calheiros, Gancho do Meio, Fazenda d'Armação,
427 Canasvieiras, Ponta das Canas, Ingleses and Armação.

428 The municipalities of Tijucas and Governador Celso Ramos were predominantly
429 characterized by the medium exposure class; however, they had the highest percentages
430 of classes 4 and 5, when compared with other locations. The municipality of Florianópolis
431 presented a very heterogeneous distribution of exposure values, with the predominance
432 of very low and low exposure in both scenarios. Still, 22.4% of its sectors are represented
433 by classes 4 (high) and 5 (very high) in the T50 return period, which are concentrated
434 mainly on semi-sheltered locations at the north end of the Island. In the municipality of

435 Palhoça, the low exposure class predominates, with only 12.5% of the sectors classified
436 as high exposure for both scenarios.

437 The results of the flood risk analysis are represented by the Coastal Index shown in Fig.
438 5. The computed indices varied from 0 to 5, and sectors with CI greater than 3.2 were
439 considered critical.



440

441 Fig. 5 Flood Coastal Index in T10 (A) and T50 (B) scenarios

442

443 The analysis identified 18 critical segments for T10 and 20 for T50, and the study area
444 presented a CI average of up to 2.4. The segments considered to be at very high risk of
445 flooding included the beaches of Tijucas, Palmas, Calheiros, Fazenda d'Armação,
446 Daniela, Jurerê Internacional, Canasvieiras, Cachoeira do Bom Jesus, Ponta das Canas,
447 Ingleses, Barra da Lagoa, Campeche, Armação and Pinheira (northern region) (Fig. 5).

448 It was observed that the critical sectors from exposed and semi-sheltered stretches
449 presented different characteristics: the exposed ones were characterized by dune heights
450 ranging from 0 to 2 m, mostly with TWL values above the average (4.2 m) and short to
451 medium beach width (22 m average), including consolidated and slightly urbanized
452 shores. The semi-sheltered sectors presented lower TWL (usually lower than 1.3 m);

453 however, their backshore characteristics complicated the dissipation of storms. These
 454 sectors presented higher exposure rates combined with a short beach width (13 m average)
 455 and the absence of natural protection (dune height ranging from 0 to 1 m). Moreover, the
 456 semi-sheltered sectors characterized as critical included urbanized fringes.

457 The very-high-risk class represented 24% of the total sectors analysed in the longer return
 458 period scenario. The municipality of Florianópolis showed the highest flooding risk for
 459 both return periods, comprising up to 50% of the critical sectors. The municipality of
 460 Tijucas also stood out for the concentration of extreme values with a CI average of up to
 461 4.4 and the totality of its sectors classified as very high-risk in T50 (see Fig. 5B). Low to
 462 medium risk classes predominated in GCR and Palhoça.

463 In addition, there were no significant changes in critical sectors between T10 and T50:
 464 the hotspots increased only on the Tijucas and Armação beaches. However, a
 465 considerable number of segments showed an increase in the flood risk level to a high
 466 flooding risk in the municipalities of Florianópolis and Governador Celso Ramos in the
 467 longer return period scenario.

468 **4.2 Storm-induced erosion risk assessment**

469 Values of shoreline retreat varied from 0.12 to 4.87 m in the T10 scenario and from 12.76
 470 to 206.8 m in the T50 scenario. The highest scores were found in the Florianópolis and
 471 Tijucas municipalities, which also had the largest retraction average in the studied area
 472 (Table 5).

473 Table 5. Computed values of shoreline retreat in the different municipalities of Santa
 474 Catarina Central Coast

| Municipalities with exposed sectors | Rt T10 | | | Rt T50 | | |
|--|---------------|------------|------------|---------------|-------------|-------------|
| | max | min | mean | max | min | mean |
| Tijucas | 4.8 | 1.2 | 3.0 | 181.2 | 91.0 | 121.6 |
| Gov. Celso Ramos | 0.7 | 0.2 | 0.4 | 67.5 | 26.3 | 45.2 |
| Florianópolis | 3.4 | 0.1 | 1.0 | 206.8 | 12.7 | 72.5 |
| Palhoça | 0.9 | 0.1 | 0.4 | 68.6 | 14.3 | 38.7 |
| Whole Central Coast | 4.8 | 0.1 | 1.0 | 206.8 | 12.7 | 69.3 |

475

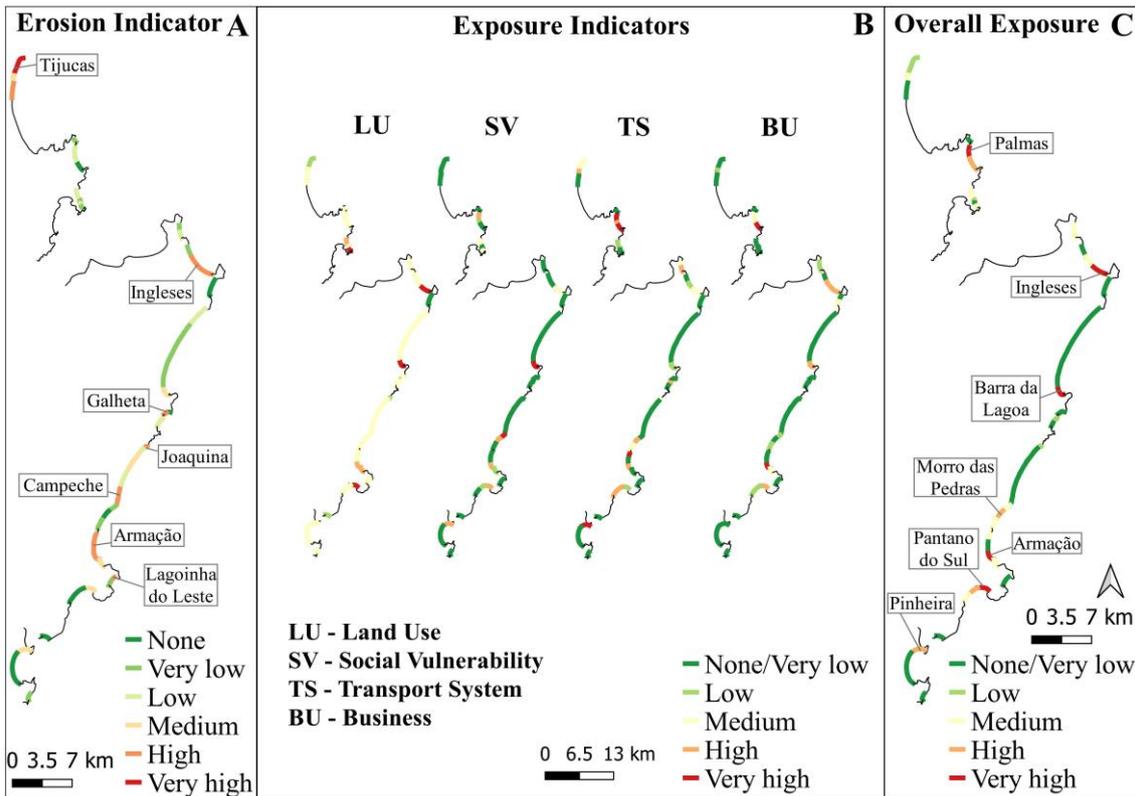
476 The erosion indicator for the T10 and T50 return periods is illustrated in Fig. 6A and
477 7A. Under the T50 scenario, approximately 58% of the sectors presented null to low
478 hazards. Classes 4 and 5 represented 21.5% of the analysed sectors for T10 and 29.4%
479 for T50.

480 The highlighted erosion-prone sectors comprised the following beaches: Tijucas,
481 Ingleses, Barra da Lagoa, Galheta, Joaquina, Campeche, Armação, Matadeiro and
482 Lagoinha do Leste (see Figs. 6A and 7A). The critical stretches were concentrated in the
483 municipalities of Tijucas and Florianópolis, indicating that these locations were more
484 susceptible to erosion. The least susceptible district was Palhoça, in which greater than
485 75% of sectors had null and very low classes in both scenarios. Moreover, urban or
486 slightly urbanized coastal segments represented approximately 66% of the highest scoring
487 sectors in T50. Most of them were characterized by the absence of frontal dunes and
488 steeper beach face slopes.

489 Exposures to erosion impact are shown in Fig. 6B and 7B. The land use indicator was
490 mostly characterized by the medium class, which represented 43.1% of the analysed
491 sectors in the T50 scenario. The very high category represented up to 19.6% of the
492 analysed stretches and was concentrated in the municipalities of Governador Celso
493 Ramos and Florianópolis. Notably, the average beach width of these sectors was 16.5 m,
494 with a predominantly absent dune class. High exposure values may be related to the
495 proximity of man-made infrastructure to the shore.

496 The very-low-exposure class was predominant when assessing the Social Vulnerability
497 Indicator in both scenarios. The second most frequent class observed was the high-
498 exposure class, which represented 23.5% of the sectors in T50. The very-high-exposure
499 class was observed exclusively in the municipality of Tijucas and Florianópolis. Very
500 high exposure rates were specifically related to the 'per capita income' and the 'number
501 of residents per household'.

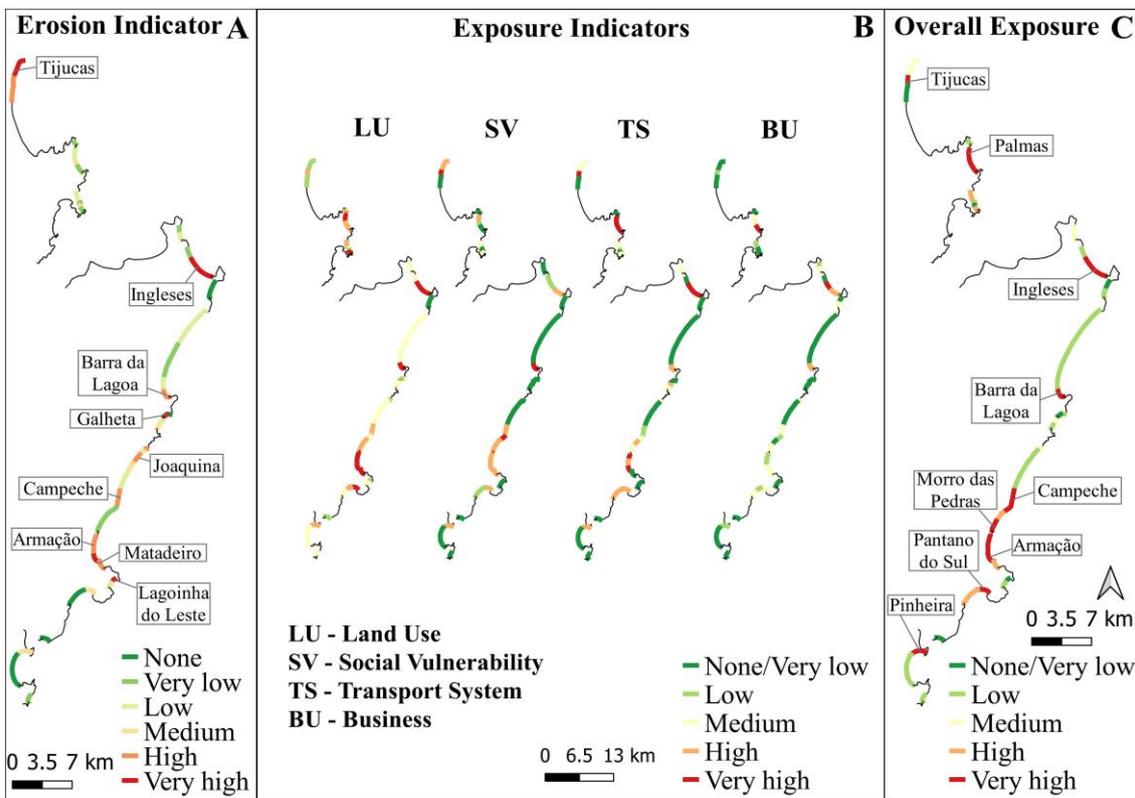
502 Infrastructure exposures (Transport System and Business) were the least representative
503 in the erosion impact extent. The transport network indicator is characterized by low
504 exposure in 47% of the sectors in the T50 scenario. The stretches that are represented by
505 very high exposure, are distributed along Florianópolis, Tijucas and Gov. Celso Ramos
506 municipalities.



507

508

Fig. 6 (A) Erosion impact and (B; C) exposure indicators for T10



509

510

Fig. 7 (A) Erosion impact and (B; C) exposure indicators for T50

511 The Business indicator was also mostly represented by very low exposure in both return
512 periods, T10 and T50. Up to 7.8% of the sectors are classified with high and very high
513 i_{exp_BU} , which points to beaches in the municipalities of Florianópolis and Governador
514 Celso Ramos.

515 The overall exposure index (I_{exp}) is shown in Figs. 6C and 7C. Among the exposed
516 sectors, the very low and low-exposure classes predominated in T10 and T50,
517 respectively. The high- and very-high-exposure classes represented 19.5% of the total
518 sectors for T10 and 41.1% for T50. Florianópolis presented the highest exposure indices
519 to storm-induced erosion, comprising up to 66.6% of the sectors of high and very high
520 classes. This municipality was followed by Governador Celso Ramos, which was
521 characterized by beaches with reduced backshore at and a representative part of its
522 structures very close to the coastline. The following sectors were considered extremely
523 critical in exposures terms: Tijucas, Palmas, Ingleses, Barra da Lagoa, Campeche, Morro
524 das Pedras, Armação, Pântano do Sul and Pinheira (Ponta do Papagaio) (see Figs. 6C and
525 7C).

526 The results of erosion risk analysis are represented by Fig. 8 for both scenarios. In the
527 study area, sectors with medium risk (category 3) for return periods T10 and T50
528 predominated, with a CI average of up to 2.3. The very high class represents
529 approximately 27% of the exposed segments in the T50 scenario. Moreover, among
530 sectors, only eight sectors show null erosion risk and are concentrated in the
531 municipalities of Florianópolis and Palhoça (see Fig. 8B).

532 The analysis identified seven critical sectors for the T10 and 14 for the T50,
533 corresponding, respectively to 13.7% and 27.4% of the stretches at very high erosion risk
534 in the SC-CC. The hotspots were specifically located on the following beaches (Fig. 8B):
535 Tijucas, Palmas, Ingleses, Barra da Lagoa, Joaquina, Campeche, Armação, Matadeiro,
536 Pantano do Sul and Pinheira (Ponta do Papagaio). There was a large increase of critical
537 sectors for T50 and the highlighted changes were mainly represented in the municipalities
538 of Tijucas and Florianópolis. The high CI rates were driven by high values of exposure
539 indicators, such as land use and transport systems, combined with medium to high values
540 of hazard indicators. Morphologically, the critical sectors are mostly characterized by the
541 absence of frontal dunes (78%) and a short beach width (average: 20 m). As expected,
542 most of them are localized on Santa Catarina Island (71% in the higher return period
543 scenario), which present geographically higher exposure to hydro-meteorological events

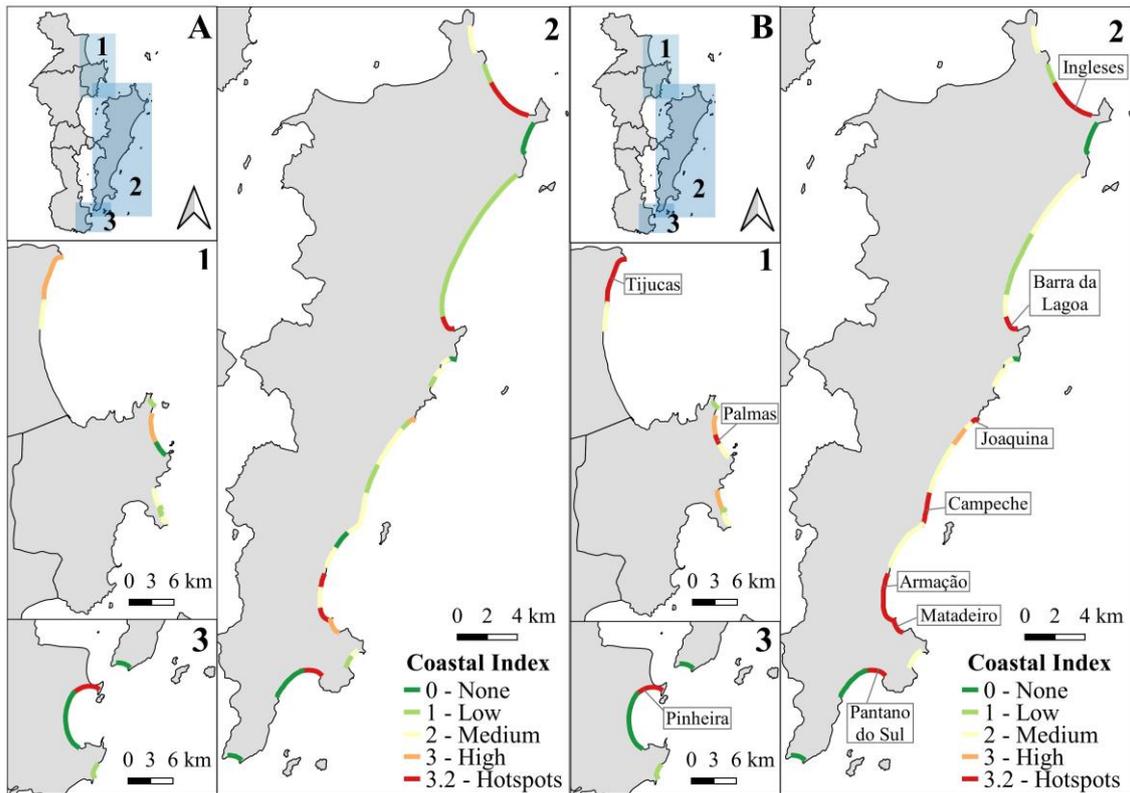


Fig. 8 Erosion Coastal Index in T10 (A) and T50 (B) scenarios

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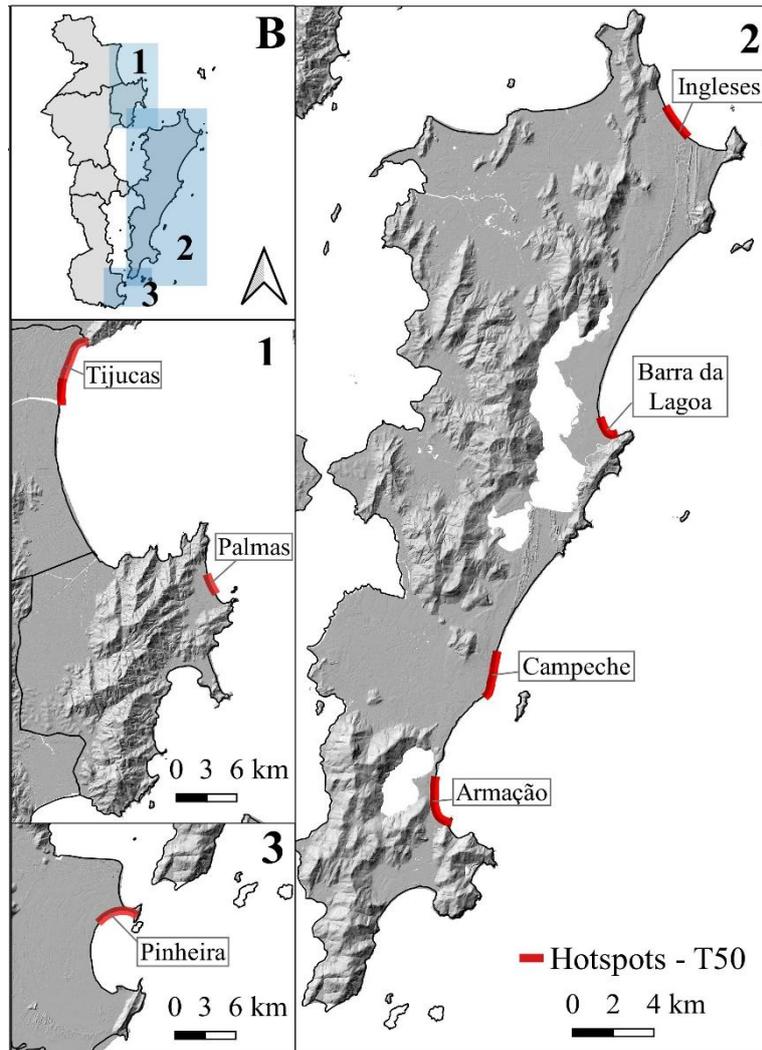
and an important urban development near the coast.

548 The results indicate that Florianópolis is the most susceptible and vulnerable municipality
549 to the hazard of erosion in the study area. Considering the longer return period, middle
550 and very high risk predominates (72%) in this city. Tijucas presents the second highest
551 risk of erosion. With a CI average of 3.4, the risk varies from medium to very high. In the
552 municipality of Gov. Celso Ramos, the low and medium classes predominate, while
553 Palhoça is mainly represented by the null to low-risk categories.

554
555

4.3 Critical areas for erosion and flooding hazards

556 In the area, the hotspots that included simultaneously erosion and flooding hazards in the
557 longer return period scenario were Tijucas, Palmas, Ingleses, Barra da Lagoa, Campeche,
558 Armação and Pinheira (see Fig. 9). The highest CI values were found in the municipalities
559 of Florianópolis and Tijucas, suggesting that they are the most susceptible and vulnerable
560 sectors to storm-induced impacts.



561

562 Fig. 1 Hotspots that may be submitted simultaneously to very high erosion and flooding
 563 hazard impacts in the longer return period scenario (50 years).

564

565 **5. DISCUSSION**

566 Integration of existing data, empirical models and spatial analysis allowed us to perform
 567 a flood and erosion risk assessment, which provided additional information concerning
 568 the area of interest. The chosen approach proved to be efficiently adaptable to data-poor
 569 areas, especially the hazard assessment module of the framework.

570 The high flood CI rates essentially reflected the rank of the flood impact indicators and
 571 the spatial distribution of specific exposure descriptors, such as land use and transport
 572 systems.

573 The higher values of the flood impact indicators can be justified by the interaction
 574 between the morphological and hydrodynamic characteristics of each sector: in general,

575 these segments are exposed to a higher incidence of waves, with poorly developed or non-
576 existent dunes and TWL values above the average calculated for the whole area (4.2 m).
577 Thus, the distribution of critical sectors is mainly controlled by their geographic position,
578 geological heritage and/or by changes linked to anthropic interference that usually is
579 related to the removal of natural barriers (e.g. primary foredune), favouring hinterland
580 exposure.

581 On the other hand, the degrees of exposure reflect the distinct patterns of urbanization
582 and socio-economic activity in the different municipalities. Governador Celso Ramos and
583 Florianópolis show a higher density of urban industries and infrastructure networks close
584 to the shore, which is why a greater number of elements are exposed to the scenario
585 proposed here. In the case of Tijucas, the most exposed sectors are essentially linked to
586 the social vulnerability index, since it has a low-income population settled near the
587 coastline, which is an exception in the area.

588 Some identified hotspots, such as the beaches of Barra da Lagoa and Armação in the
589 municipality of Florianópolis (see Fig. 5B), have been highlighted previously to be under
590 flooding and erosion threat (Bonetti et al., 2013; Klein et al., 2016b). Other examples are
591 the beaches of Canasvieiras, Ponta das Canas, Ingleses and Campeche, which were among
592 the most affected areas during the storms observed from 1991 to 2001 (Simó and Horn
593 Filho, 2004). A study carried out on a smaller scale by Klein et al. (2016a) also points to
594 the Barra da Lagoa and Ingleses beaches under a flood regime and to Ponta das Canas,
595 Canasvieiras, Jurerê and Daniela under an overwash regime for T50 (according to the
596 scale of flooding regime proposed by Sallenger, 2000).

597 Considering the analysis of the distinct probabilistic distribution, there were no major
598 changes between scenarios. As the hazard extent was probably limited by the
599 morphological characteristics in the study area, the exposures presented a slight increase
600 in the higher values as well.

601 The superior CI rates presented in the erosion risk assessment were mostly driven by high
602 values of exposure indicators combined with medium to high hazard categories. The
603 exposure assessment reflected essentially the land use and the transport system
604 descriptors, as did the flood exposure analysis. Concerning the erosion assessment, it was
605 observed that very high retraction rates were related to very low dune height classes and

606 short beach widths. The variables used to characterize beach morphology had the greatest
607 influence on the results, showing the importance of using higher resolution topographic
608 and bathymetric data to apply this approach. However, it can be noticed that the results
609 of the hazard assessment followed the general pattern of the study area, with a retraction
610 average for the whole area of 69.3 m for the T50 return period (Table 5). A similar value
611 was found by Mazzer and Dillenburg (2009), which presented an average retreat of up to
612 70 m for some sectors in the southeast of Santa Catarina Island in a period of 64 years.

613 The higher risk values highlight some of the exposed beaches that have historically
614 greater erosion problems: some critical sectors in Florianópolis, such as Ingleses, Barra
615 da Lagoa, Joaquina, Campeche, Armação, Matadeiro and Pantano do Sul (see Fig. 8B),
616 are well known areas where erosive process linked to different causes have already been
617 described (Abreu de Castilhos et al., 1995; Castilhos and Gré, 1997; Torronteguy, 2002;
618 Simó and Horn Filho, 2004; Faraco et al., 2006; Mazzer et al., 2008; Oliveira et al., 2008;
619 Mazzer and Dillenburg, 2009; Rudorff and Bonetti, 2010; Bonetti et al., 2013; Klein et
620 al., 2016b; Dalbosco et al., 2019; Leal et al., 2020)

621 Bonetti et al. (2018) assessed the susceptibility of sandy beaches to erosion for the entire
622 Santa Catarina coast. The authors primarily considered environmental indicators in the
623 analysis; thus, some results are similar to the observed pattern presented here for the
624 erosion hazard assessment. The study pointed to the dominance of low- to medium-
625 susceptibility values in the south sector of the state, whereas an alternated distribution of
626 susceptibility classes, tending to higher values, prevails in the Santa Catarina Island
627 (Florianópolis). However, differences can be seen especially in the exposed beaches of
628 Gov. Celso Ramos and Tijucas municipalities, and they can be explained by the influence
629 of the hydro-meteorological components on the hazard assessment. Along the Santa
630 Catarina Coast, the inclusion of wave data has already been pointed out for having a large
631 impact on the final result of susceptibility/vulnerability assessment (Serafim et al., 2019).
632 Nevertheless, the primary control of the geological setting, beach orientation and
633 proximity of man-made infrastructure over the vulnerability of the Santa Catarina coast,
634 as previously proposed by Bonetti et al. (2018), was confirmed in our study.

635 The analysis of the T10 and T50 scenarios shows that the level of erosion risk tends to
636 increase in the study area when considering a higher return period and suggests that the
637 Santa Catarina Coast will be largely affected by coastal retreat. Furthermore, considering

638 both hazards, the scenario tends to worsen due to the interactive relationship between the
639 two process and the human activities on the coastal plain (Pollard et al., 2018).

640 In summary, the regional pattern identified for flooding and erosion risk is corroborated
641 by the historical analysis based on the state's Civil Defence disaster databank, presented
642 by Rudorff et al. (2014): Florianópolis is the most affected municipality in Santa Catarina
643 State, and the other municipalities in the central sector, excepted Tijucas, have no record
644 of emergency situations linked to storm induced-waves and surges. The authors attributed
645 this fact to the presence of the island, which acts as a natural barrier to large wave systems,
646 partially protecting the adjacent coast.

647 Tijucas is historically characterized by a low frequency of damages related to storm
648 surges. Its coastline is located at the inner portion of a sheltered bay where wave energy
649 is attenuated by the process of refraction and diffraction due to its morphological
650 configuration and muddy inner shelf substrate. However, high susceptibility levels to
651 extreme events, particularly flood, have been reported on a local scale (Santos and
652 Bonetti, 2018). These events are concentrated in a sector where a long-term retreat of the
653 coastline was detected by these authors based on the analysis of historical images and can
654 be explained by the presence of low-lying areas and their greater exposure to the east
655 waves.

656 The results are also partially corroborated, in a comparative way, with the analysis
657 developed on regional scale by Serafim et al. (2019). The study highlights most of the
658 sectors presented here as at high risk for both hazards (Fig. 9) (assigns high and very high
659 scores to Palmas, Barra da Lagoa, Armação, Campeche and Pinheira beaches) and points
660 out that most of the critical stretches are related to the low adaptive capacity found in
661 areas with high occupational density. Similarly, here the critical sectors are driven by the
662 high exposure indices (in turn related to high occupational density) but also by the
663 morphological configuration, which controls the segment susceptibility to the main wave
664 direction (as also suggested by Bonetti et al., 2018 and Mussi et al., 2018, using different
665 scales).

666 The role of the morphological configuration was also discussed by Muler and Bonetti
667 (2014), who presented a vulnerability analysis for Santa Catarina Island based on different
668 wave directions. The study showed that, although south and southeast waves present the

669 greatest heights, they are associated with low exposure of buildings because most of the
670 populated sectors are located on semi-sheltered portions of the Island.

671 The results also highlight the key role that dunes may play in coastal protection. Dune
672 absence or fragmentation has been related to the very high impacts of flood and erosion.
673 In the study area, human occupation takes place over the Holocene coastal plain,
674 represented by unconsolidated sandy sediments that offer even less protection to storm
675 wave action. Anthropogenic activities in these areas contribute to the intensification of erosive
676 processes because of the imbalance in the sediment budget of the coast, which sometimes
677 lead to the decrease of the beach extent and presence of natural barriers, consequently
678 making the hinterland more vulnerable to the flood events. For example, in Ingleses beach
679 there is a natural input of sand from two dune fields that bring sediments from Santinho
680 and Moçambique beaches (through sand overpassing). In the last decades, urban
681 development in this sector was established over the dunes, interrupting sand transport and
682 leading to a local deficit of sediments (Vieira da Silva et al., 2016).

683 It is important to notice that, although the erosion assessment was carried out only for the
684 exposed sectors, many semi-sheltered beaches are characterized by low-land areas and
685 presented an extremely low level of protection in face of a small TWL increase. Studies
686 carried out in the Florianópolis region show that even considering only the sea level rise,
687 the city has little or no protection from its effects (Montanari et al., 2020). Furthermore,
688 for sheltered and semi-sheltered sectors, the regional pattern of beach responses to
689 extreme events can be disrupted on a local scale due to the connectivity between beach
690 systems via physical processes, like sediment redistribution or/and headland bypassing
691 (Burvingt et al., 2017).

692 Even though the need to apply some alternatives for the treatment of the predicted
693 variables in CRAF1, the tool proved to be flexible enough to be used in conditions of
694 greater data scarcity. It has already been pointed out that, specifically for hazard
695 assessment, the type of data required make it difficult to evaluate some coastal stretches
696 at regional levels (Narra et al., 2019); however, in this study, we show that the tool can
697 be implemented with simplifications by using some alternatives. Here, several parameters
698 were simplified due to the low resolution of the input data; nevertheless, the general
699 pattern was respected, corroborating the well-known areas and providing important
700 information, especially in qualitative terms, for the Santa Catarina Central Coast.

6. CONCLUSIONS

This study applied the CRAF1 framework in a data-scarcity condition, focusing on the Santa Catarina Central Coast, to identify storm-induced hotspots of flood and erosion. The approach proved to be efficient and adaptable to sites where high-resolution data are usually unavailable. Despite the need to adopt some assumptions and simplifications, the method generated useful results for the identification of critical risk areas.

The flood and erosion hazards were estimated according to TWL and coastline retraction for T10 and T50. In the longer return period scenario, TWL pointed to an average of 4.2 m in the study area, making several sectors susceptible to damage, especially those that suffer with great wave energy action and present high values of beach face slope, such as Tijucas and Florianópolis. In addition, the storm-induced retreat indicated expressive shoreline displacement for several sectors, highlighting some of the exposed beaches that have historically greater erosion problems, such as Ingleses and Armação on Santa Catarina Island.

The hazard indicators stressed some of the well-known areas prone to the impacts of flooding and erosion. The municipalities that concentrate the most hazardous classes are Tijucas and Florianópolis. The highest scores are related to the presence of low-land areas combined with insignificant values of frontal dune heights, which make the environment more susceptible even in semi-sheltered sectors.

The exposure analysis was carried out taking into account the presence of different receptors within the delineated impact extent. The general exposure indicator showed that categories of low and very low exposure are predominant. Those of very high exposure are the least frequent and characterize the municipalities of Tijucas and Governador Celso Ramos for the impact of flooding and Florianópolis and Governador Celso Ramos for the impact of erosion. Three sectors comprising the beaches of Tijucas, Ingleses and Armação showed the highest exposure rates for both hazards. Moreover, the upper index values allowed us to determine how the exposure of a particular receptor influenced the general exposure indicator: the variables with the greatest influence on exposure levels were land use and transport system categories. Utilities was the less expressive descriptor in the area.

The integration of indicators through risk maps allowed the identification of 18 critical segments for T10 and 20 for T50 concerning flood risk. Likewise, in respect to erosion

733 risk, where the analysed area corresponds to the exposed sectors to the main wave
734 directions, seven critical stretches were identified for T10 and 14 for T50. In both cases,
735 the sectors under very high risk to storm-induced impacts include the municipalities of
736 Florianópolis and Tijucas, which correspond to the areas with the highest number of
737 registered warning recurrences due to storm events. Among the exposed sectors, nine
738 simultaneously presented the risk of erosion and flooding in the longer return period
739 scenario. This result was related to the anthropic occupation of lowland areas, which are
740 naturally more susceptible to wave impacts.

741 The risk analysis in probabilistic terms allowed the identification of the main hazard in
742 the study area, showing that the storm-induced erosion process tended to be more severe
743 along the years when compared with the flooding process. However, often these hazards
744 are strongly related, and when considering a large return period, a major impact can reach
745 a greater number of stretches, as the hinterland becomes more susceptible.

746 Some simplifications were necessary when applying the methodology, for example, to
747 obtain geomorphological and hydraulic parameters, as well for the data used in the
748 exposure analysis. The risk assessment also took into account the maximum hazard extent
749 in each sector and did not consider important parameters related to overwashing
750 processes, obstacles, soil infiltration and the presence of river basins, which may
751 influence the regional vulnerability pattern.

752 Nevertheless, it was possible to identify the most critical areas, which coincide with those
753 where damage was registered during extreme events and also with some hotspots
754 highlighted in previous works. Although many previous studies have been developed in
755 the area, future sea-level rise scenarios were not considered in those analyses, a factor
756 that can be of great importance for management purposes. In this way, the results obtained
757 here can be used as the basis for future research by indicating the areas that deserve more
758 attention and more detailed analysis in the perspective of potential risk.

759 This study proposed some alternatives that allow the implementation of the CRAF1 tool
760 conditions of data scarcity. With this, it is expected to inspire similar analyses in countries
761 that do not have a structured spatial data infrastructure, expanding the scope of the
762 original methodology applied in Europe.

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1050 **SUPPLEMENTARY MATERIAL**

1051 **Annex A. Socio-economic information obtained from the IBGE census (2011)**

| Components | Variables extracted from the IBGE dataset | Variable interaction |
|------------|--|------------------------------|
| A (%) | V1. Permanent private households earning up to ½ minimum wage per capita per month V2. Number of permanent private households | $\frac{V1 * 100}{V2}$ |
| B | V3. Total monthly income of permanent private households V4. Residents in permanent private households | $\frac{V3}{V4}$ |
| C (%) | V5. Literate household heads V6. Total number of household heads | $\frac{V5 * 100}{V6}$ |
| D | V4 | V4 |
| E (%) | V7. Female household heads aged 29 and younger | $\frac{V7 * 100}{V6}$ |
| F (%) | V8. Population aged 12 and younger V9. Population aged 65 and older | $\frac{(V8 + V9) * 100}{V4}$ |

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1053 **Annex B. Level of analytical detail recommended and the simplifications realized**
1054 **for the CRAF1 implementation in the study area**

| Characteristics | Level of analytical detail | |
|----------------------------------|--|---|
| | Recommended | Applied |
| Hazard assessment scale | Uniform sectors of 1 km length | Sector of up to 2.5 km length according to the available data. |
| Morphological characterization | A DTM with a fine grid and high resolution to obtain the morphological parameters and the topography to be used in the flood assessment. | A DTM with vertical resolution of 2.5 m and several limitations between the land-water surfaces. |
| Beach profiles | Cross-shore profiles including the submerged part as an extension of the ones obtained from the emerged beach DTM. | Field data obtained in specific locations along the emerged area and empirical relationships to obtain parameters linked to the submerged part. |
| Hazard model (inundation extent) | Bathtub model and overwash extent model in the case of low-lying areas. | Only the bathtub extent model |
| Wave and water level data | Long time series (recorded or hindcast) of wave and water level data. | A reanalysis database to provide wave and water level information in deep and intermediate waters. |

| | | |
|---------------------|--|---|
| | | Empirical relationships to obtain wave parameters in shallow waters. |
| Exposure Indicators | Different sources types, but normally well actualized obtained at coarse CORINE-type scale | Different sources of information with heterogeneous scale and resolution degrees. |

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Annex C. Values obtained from the exposure analysis

| Exposure indicators Exposure Values | Flood extent | | | | | Erosion extent | | | | |
|--|-----------------------|----------|---------------|-----------|----------------|-----------------------|----------|---------------|-----------|----------------|
| | 1 Null or Very Low | 2 Low | 3 Moderate | 4 High | 5 Very High | 1 Null or Very Low | 2 Low | 3 Moderate | 4 High | 5 Very High |
| Land Use | 1.0-1.4 | 1.4-2.1 | 2.1-2.6 | 2.6-3.2 | 3.2-4.0 | 0.0-1.0 | 1.0-1.8 | 1.8-2.0 | 2.0-2.3 | 2.3-2.9 |
| Social Vulnerability | 0.0 | 0.0-1.2 | 1.2-1.6 | 1.6-2.2 | 2.2-2.7 | 0.0 | 0.0-1.2 | 1.2-1.4 | 1.4-1.9 | 1.9-2.4 |
| Transport System | 0.0-64 | 64-152 | 152-255 | 255-367 | >367 | 0.0-9.4 | 9.4-24 | 24-77 | 77-142 | 142-240 |
| Business | 0.0-18 | 18-38 | 38-84 | 84-193 | >193 | 0.0-26 | 26-115 | 115-219 | 219-340 | >340 |
| Utilities | 0.0-0.3 | 0.3-1.0 | 1.0-1.9 | 1.9-4.1 | 4.1-9.5 | - | | | | |
| Overall Exposure | 1.0-1.3 | 1.3-2.1 | 2.1-2.7 | 2.7-3.4 | 3.4-4.7 | 0.0-1.3 | 1.3-1.8 | 1.8-2.3 | 2.3-2.9 | 2.9-4.2 |

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1058 **Annex D.** Land-use classification according the scale developed by Perini et al. (2016)

| Land use classes (Mussi, 2017) | Assigned values |
|---|-----------------|
| Dense ombrophilous forest Vegetated dunes Mangroves Continental surface waters Lagoons Reforestation area Early-stage undergrowth Areas without vegetation | 1 |
| Free dunes Sandy beaches | 2 |
| Croplands | 3 |
| Urban settlements | 4 |

LIST OF ABBREVIATIONS

| Abbreviation | Full meaning |
|---------------------|---|
| BU | Business |
| CI | Coastal Index |
| CNES | National register of health establishments |
| CRAF1 | Coastal Risk Assessment Framework phase one |
| DEINFRA | Infrastructure Department of Santa Catarina' State |
| DHN | Directorate of Hydrography and Navigation |
| G.E.V. | Generalized Extreme Value |
| GIS | Geographic Information System |
| GOST | Global Ocean Surge and Tide database |
| IBGE | Brazilian Institute of Geography and Statistics |
| I_{exp} | Exposure indicator |
| i_h | Hazard indicator |
| IH-AMEVA | Mathematical and Statistical Analysis of Environmental Variables |
| LU | Land Use |
| RIMPEEX-Sul | Integrated Network for Monitoring and Forecasting Extreme Events in the Southern Region |
| ROW | Regional Ocean Waves database |
| SC-CC | Santa Catarina Central Coast |
| SDS | State Secretary of Sustainable Economic Development |
| SEAP | Special Secretariat for Aquaculture and Fisheries |
| SED-SC | Secretary of Education of Santa Catarina's State |
| SV | Social Vulnerability |
| SVI | Social Vulnerability Index |
| T | Return Period |
| TS | Transport System |
| TWL | Total Water Level |
| UT | Utilities |

LIST OF SYMBOLS

| SYMBOL | MEANING |
|---------------|---|
| A | Parameter governing the profile steepness |
| A_i | Area occupied by the land use class |
| A_t | Total area of the sector |
| B | Frontal dune height |

| | |
|--------------|---|
| D_{50} | Sediment sizes |
| g | Gravitational acceleration |
| H_b | Breaking wave height |
| h_b | Break wave depth |
| k | Dean's constant |
| m | Beach profile slope |
| R_{∞} | Maximum potential retreat |
| R_t | Potential retreat |
| S | Water level variation |
| TD | Storm duration |
| T_s | Time scale of exponential response |
| V | Value assigned to the land use class |
| X_b | Distance from the coast to the wave breaking depth |
| β | Ratio between the erosion time scale and the storm duration |

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Figures

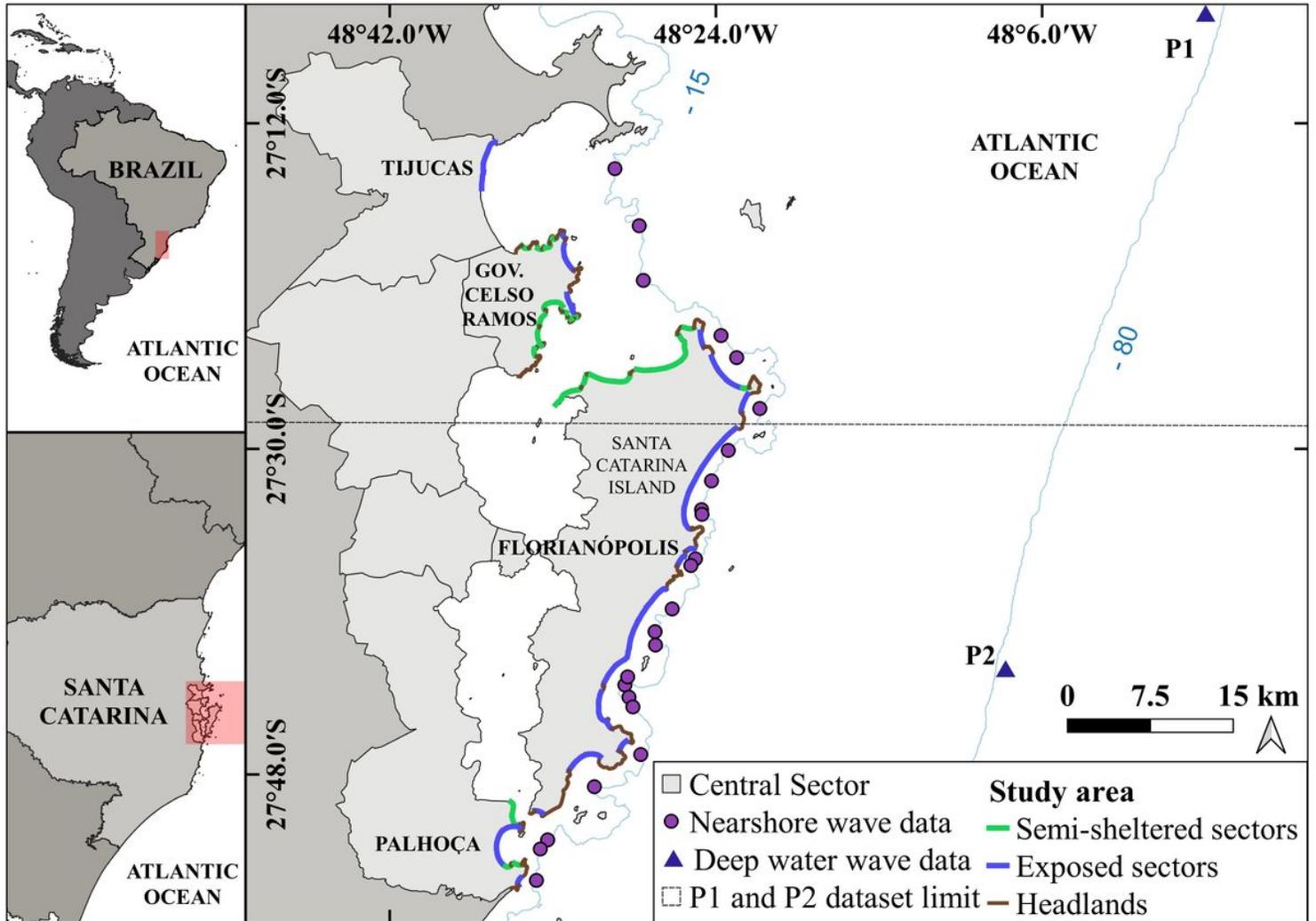


Figure 1

Location of the Santa Catarina Central Coast with sectors classified according to their degree of exposure to the main wave direction. The points used for wave data extraction are also represented. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

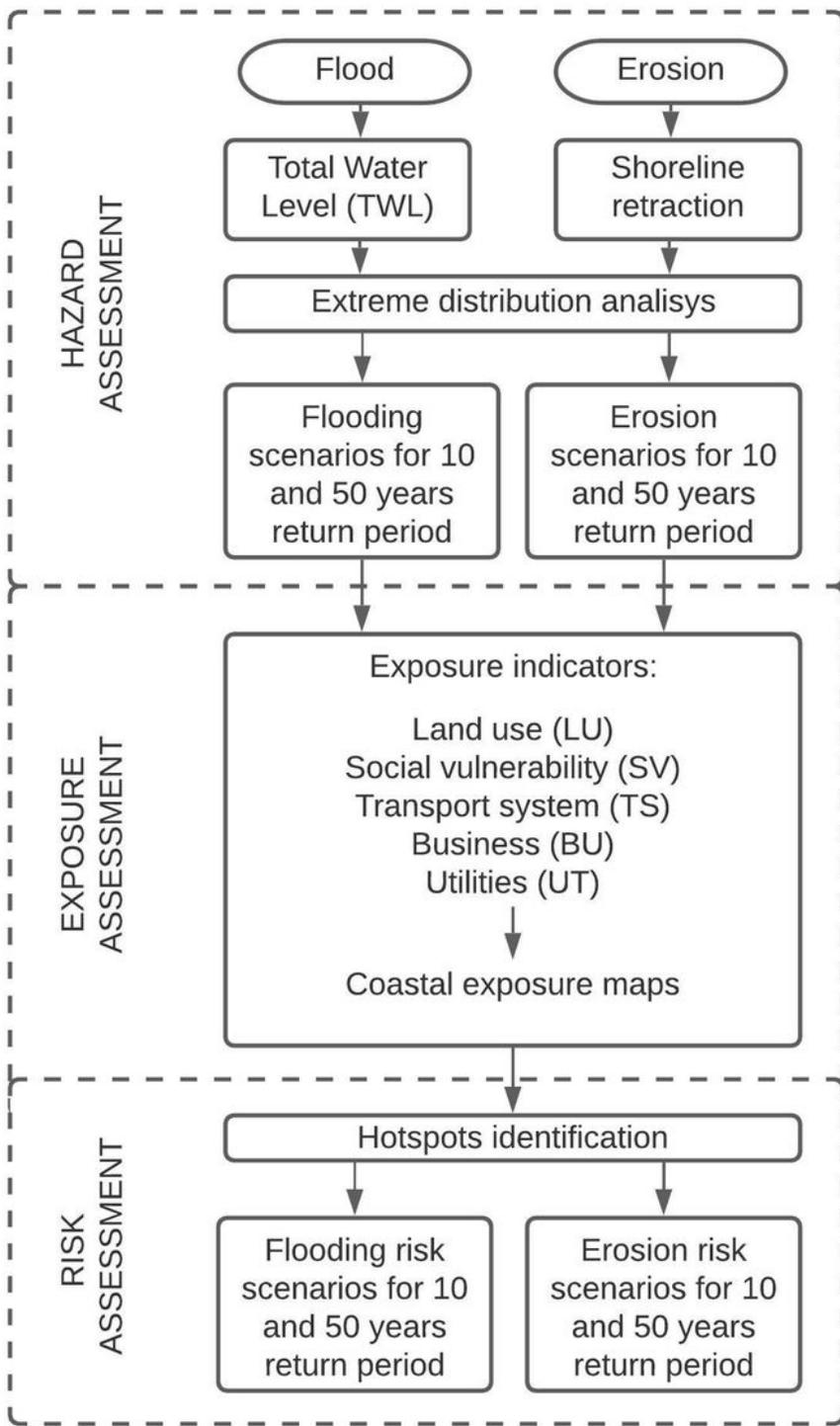


Figure 2

Methodological flowchart of the risk assessment performed in this research.

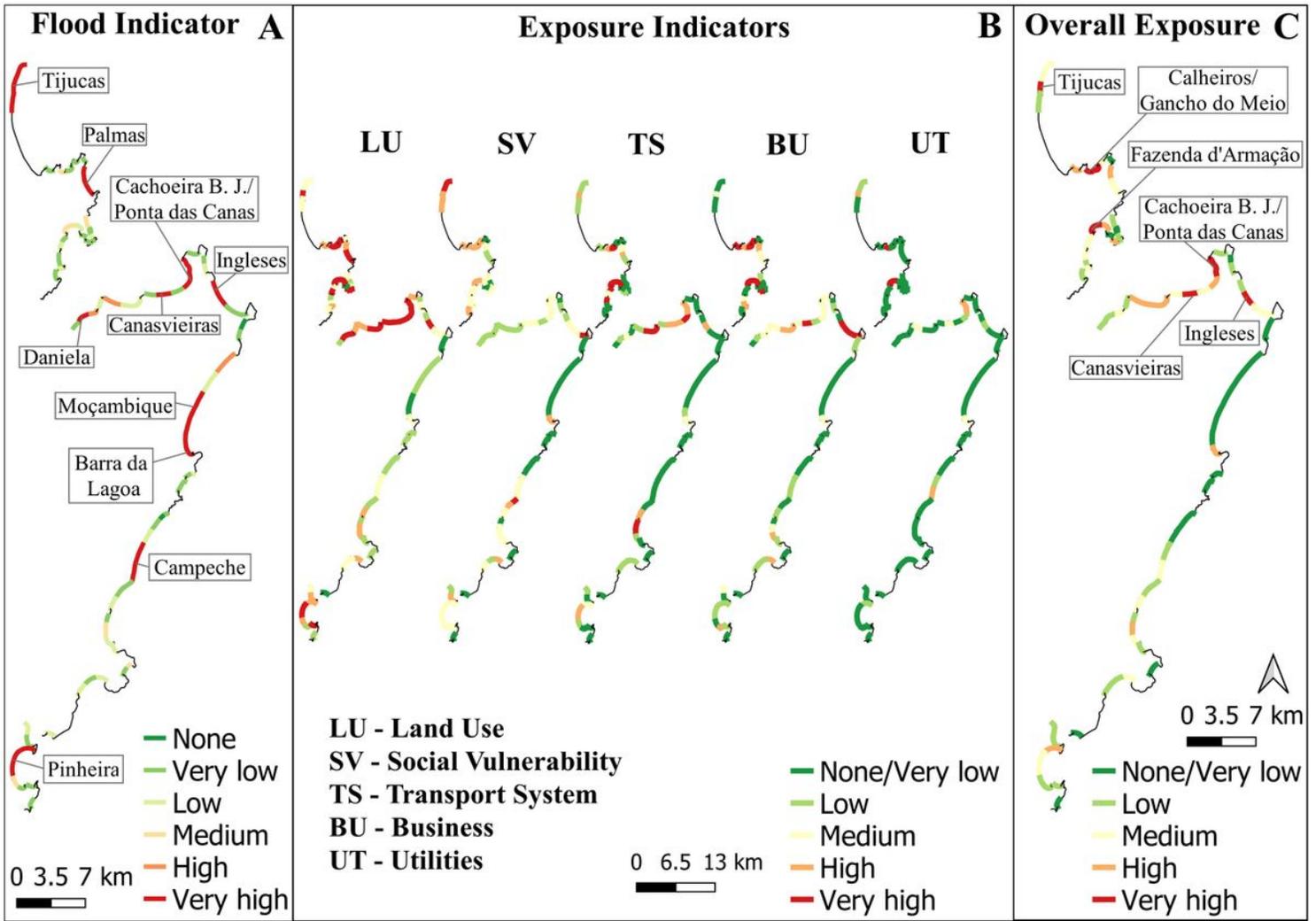


Figure 3

(A) Flood impact and (B; C) exposure indicators for T10 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

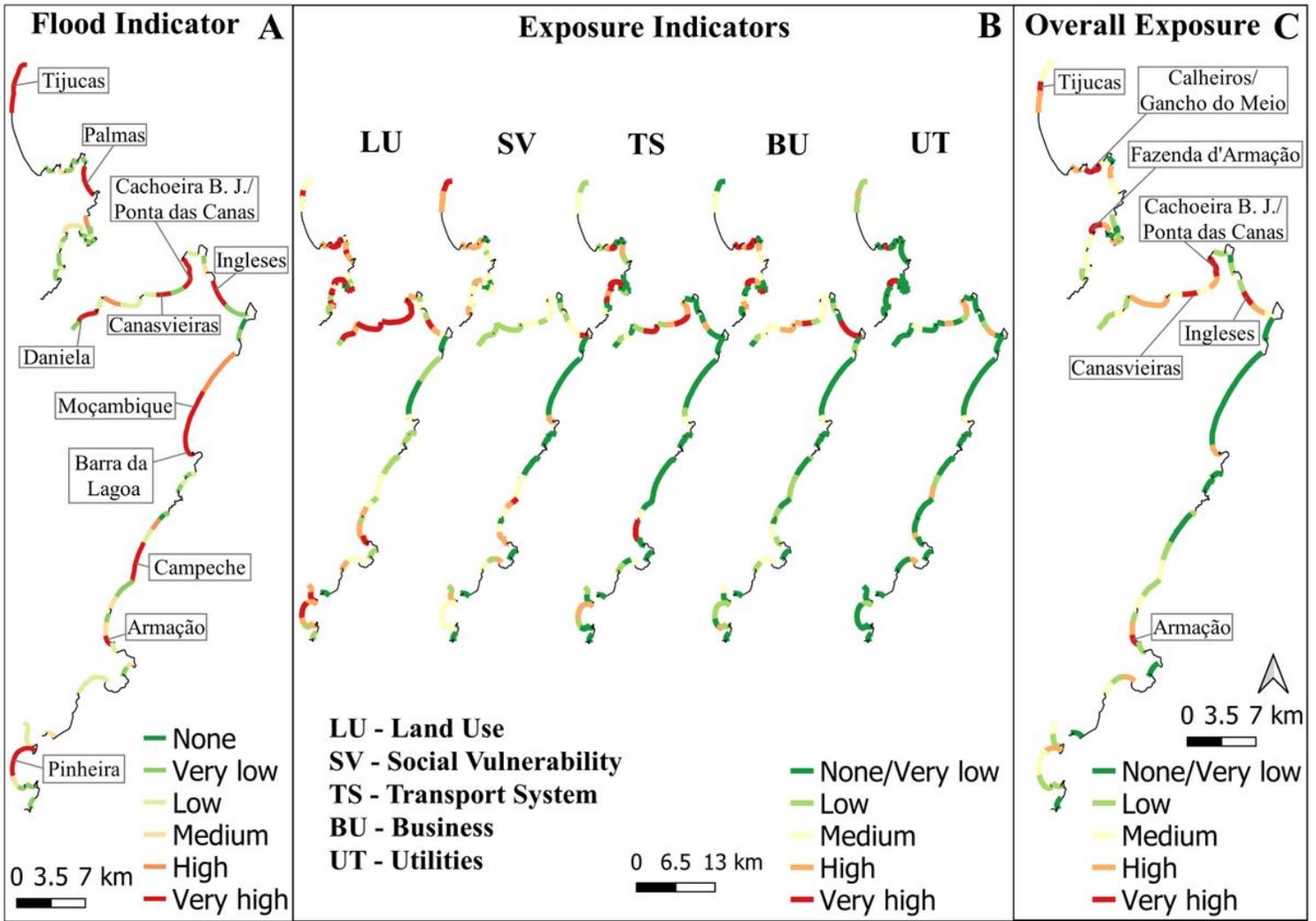


Figure 4

(A) Flood impact and (B; C) exposure indicators for T50 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

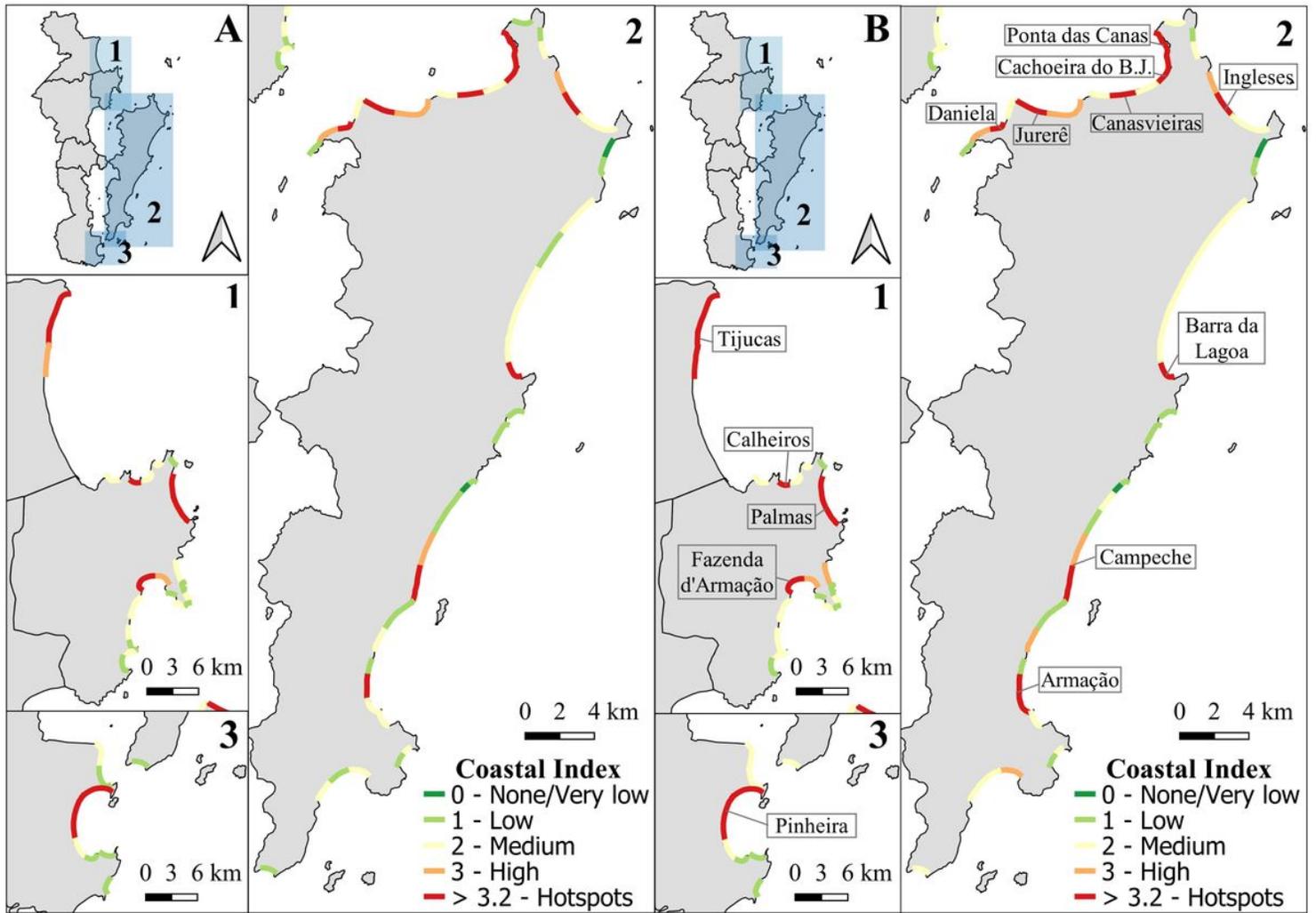


Figure 5

Flood Coastal Index in T10 (A) and T50 (B) scenarios Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

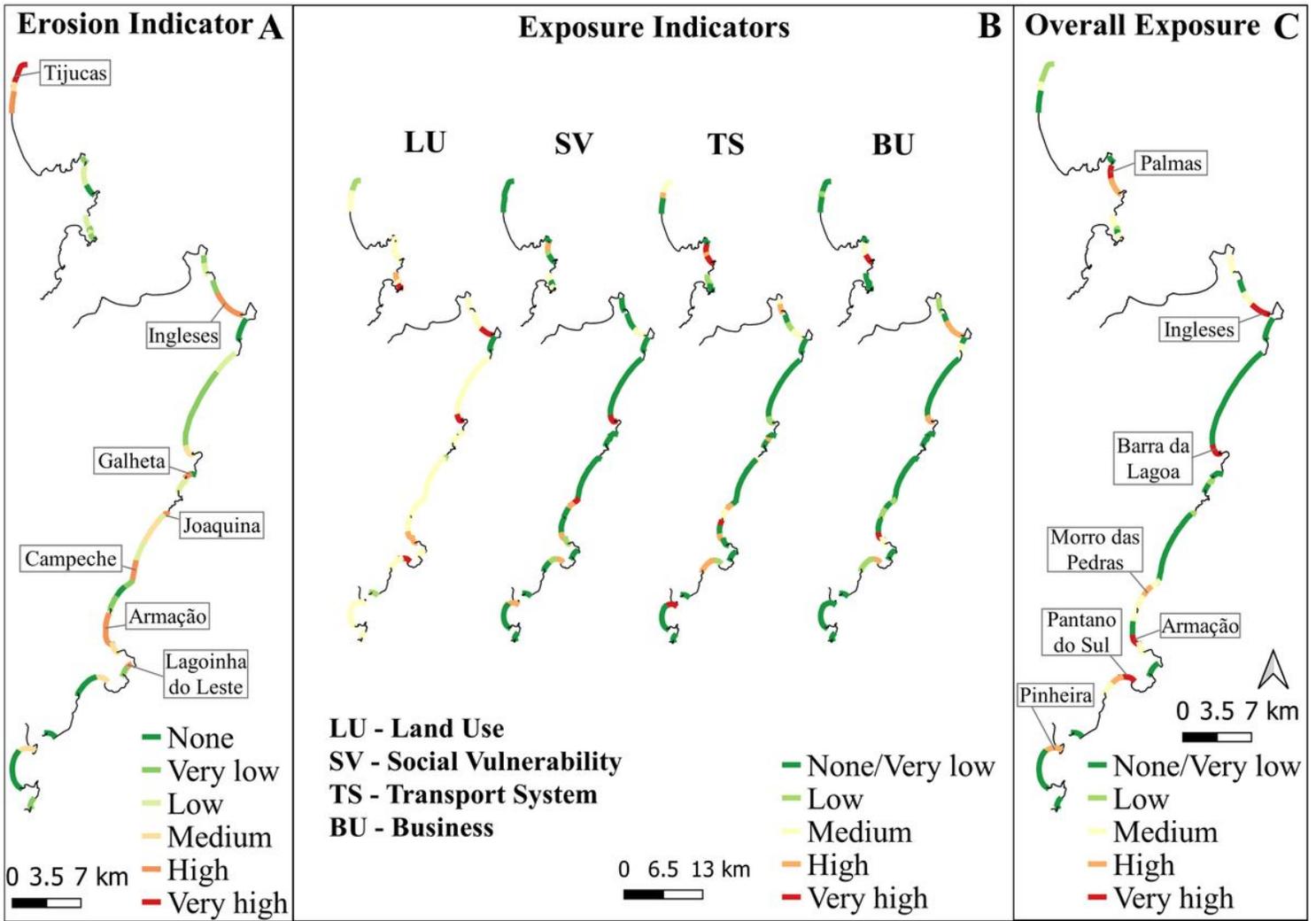


Figure 6

(A) Erosion impact and (B; C) exposure indicators for T10 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

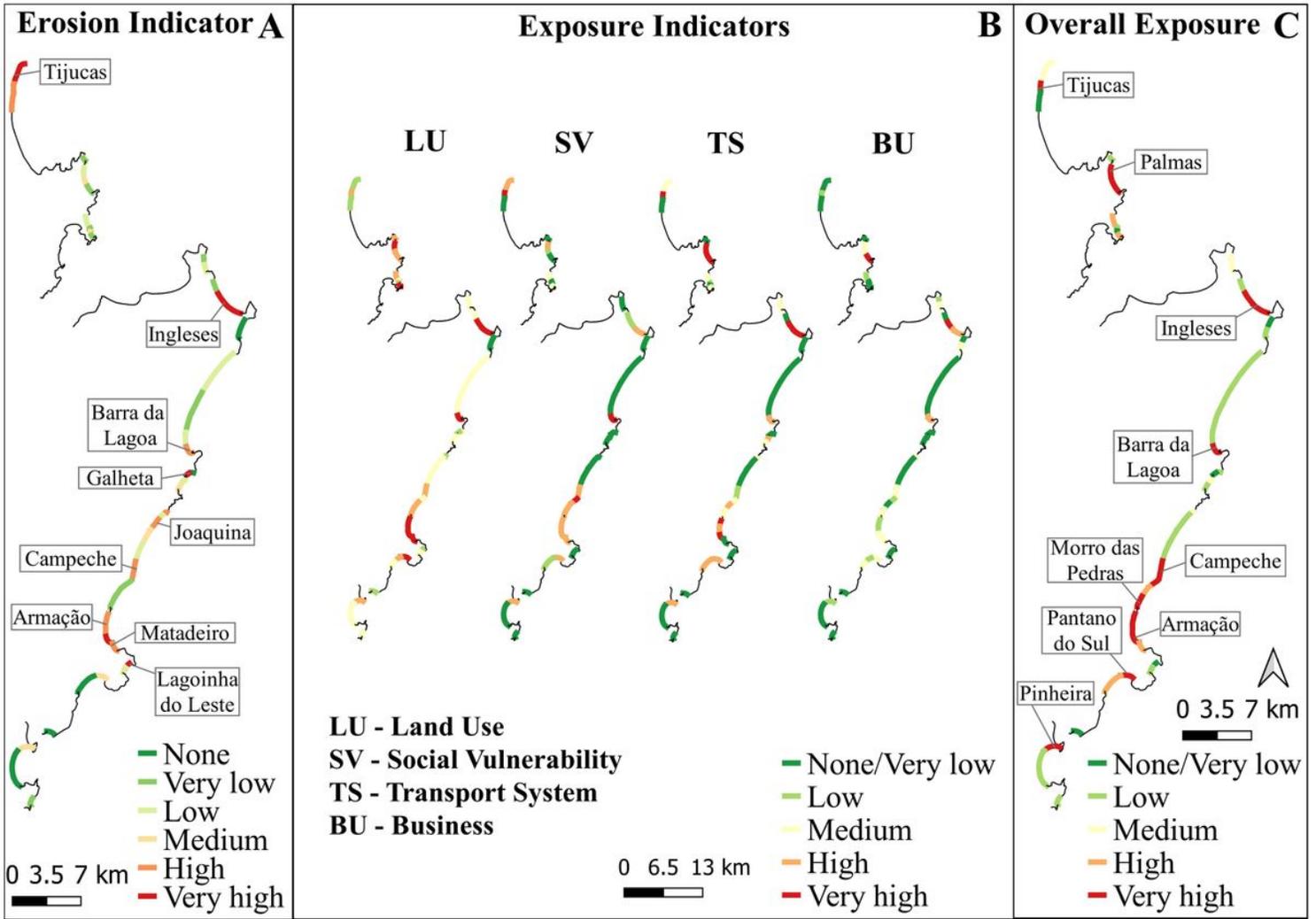


Figure 7

(A) Erosion impact and (B; C) exposure indicators for T50 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

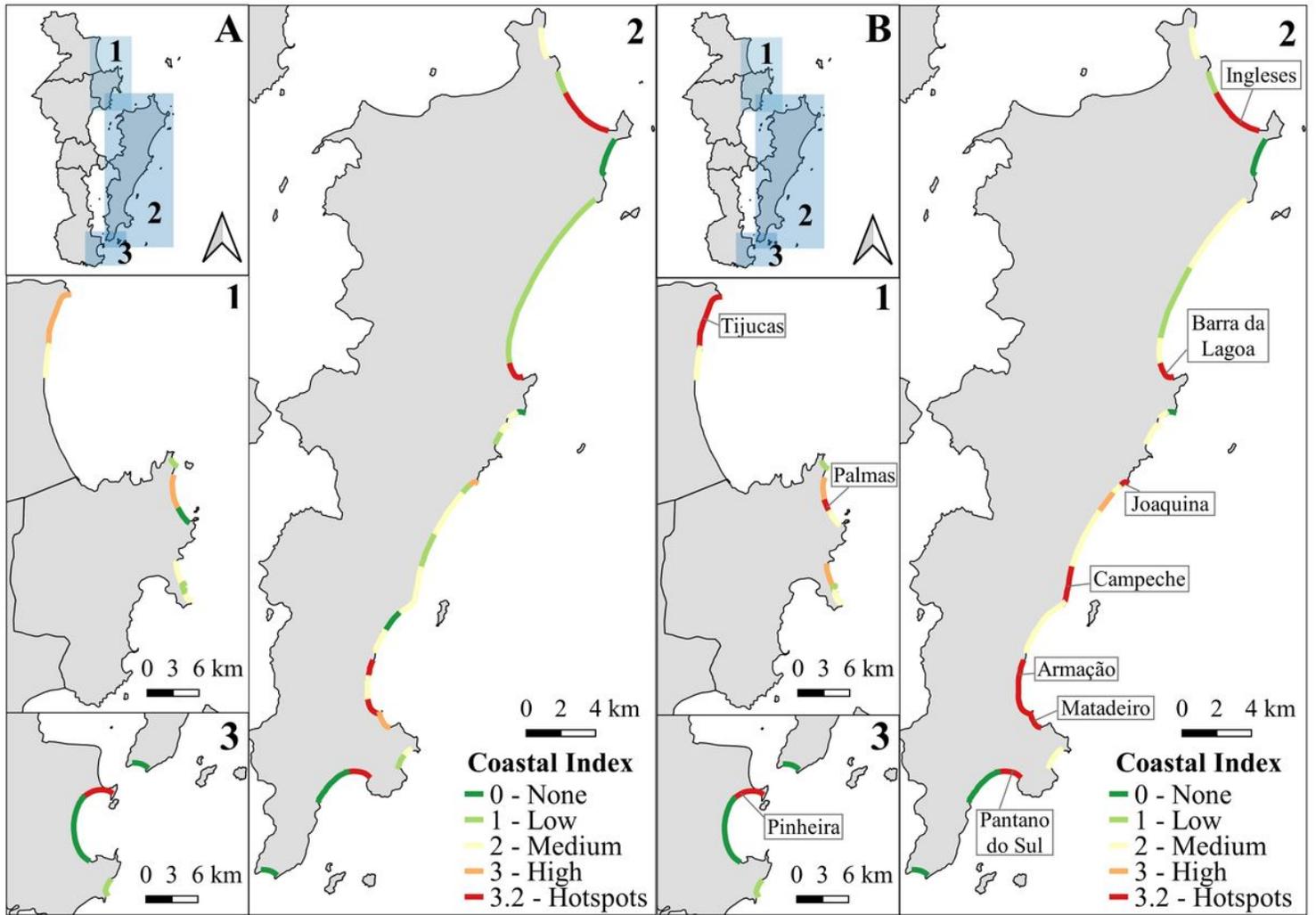


Figure 8

Erosion Coastal Index in T10 (A) and T50 (B) scenarios Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

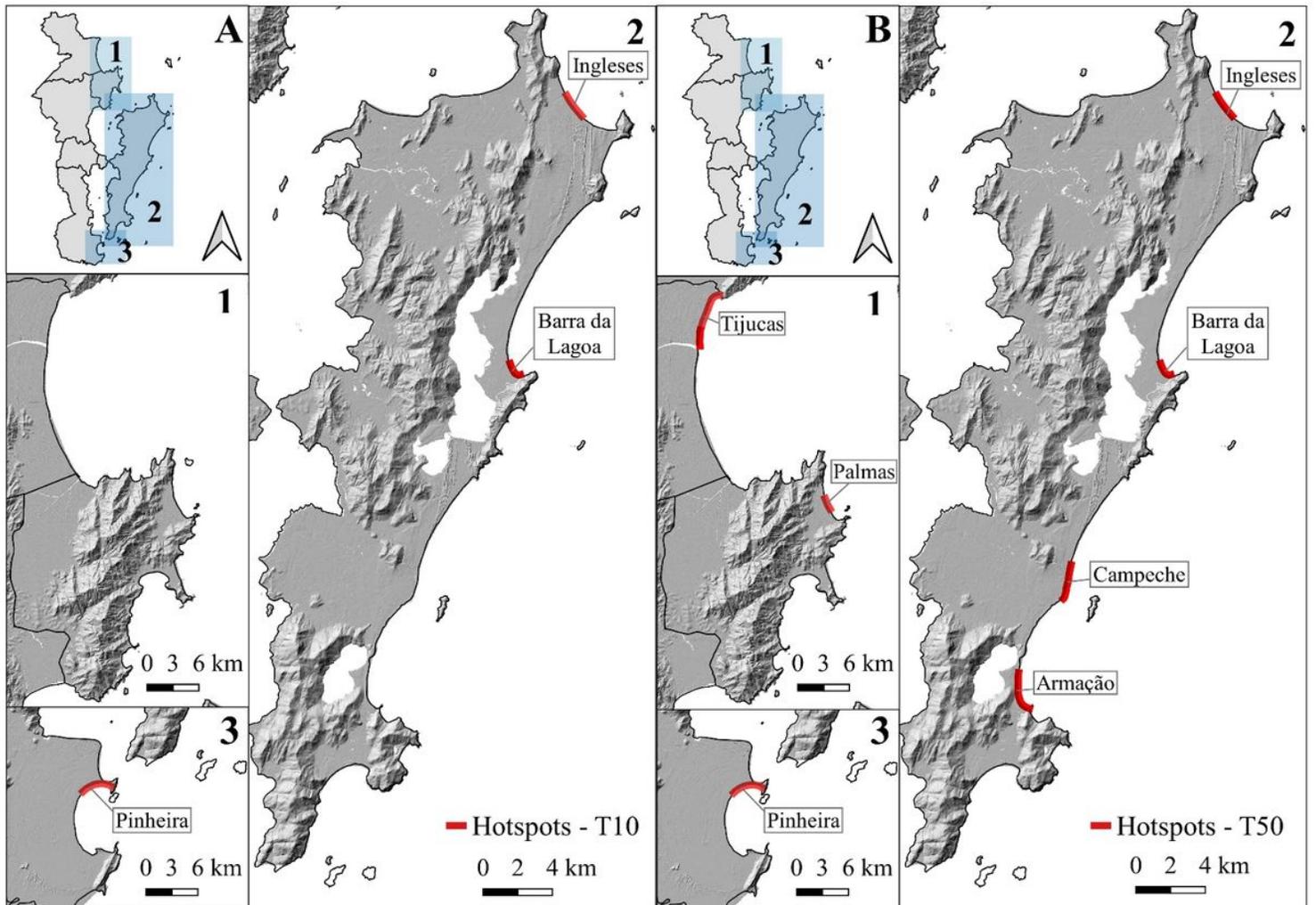


Figure 9

Hotspots that may be submitted simultaneously to very high erosion and flooding hazard impacts in the longer return period scenario (50 years). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.