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**Meteorology and Climatology of Historical Weekly Wind and Solar Power
Resource Droughts over western North America in ERA5**

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1 **Abstract**

2 Wind and solar electricity generation is projected to expand substantially over the
3 next several decades due both to rapid cost declines as well as regulation designed to
4 achieve climate targets. With increasing reliance on wind and solar generation, future
5 energy systems may be vulnerable to previously underappreciated synoptic-scale
6 variations characterized by low wind and/or surface solar radiation. Here we use
7 western North America as a case study region to investigate the historical
8 meteorology of weekly-scale “droughts” in potential wind power, potential solar
9 power and their compound occurrence. We also investigate the covariability between
10 wind and solar droughts with potential stresses on energy demand due to temperature
11 deviations away human comfort levels. We find that wind power drought weeks tend
12 to occur in late summer and are characterized by a mid-level atmospheric ridge
13 centered over British Columbia and high sea level pressure on the lee side of the
14 Rockies. Solar power drought weeks tend to occur near winter solstice when the
15 seasonal minimum in incoming solar radiation co-occurs with the tendency for mid-
16 level troughs and low pressure systems over the U.S. southwest. Compound wind and
17 solar power drought weeks consist of the aforementioned synoptic pattern
18 associated with wind droughts occurring near winter solstice when the solar
19 resource is at its seasonal minimum. We find that wind drought weeks are associated
20 with high solar power (and vice versa) both seasonally and in terms of synoptic
21 meteorology, which supports the notion that wind and solar power generation can
22 play complementary roles in a diversified energy portfolio at synoptic spatiotemporal
23 scales over Western North America.

24 **Background**

25 ***Energy System Transition.*** Global energy consumption by human activities relies
26 largely on fossil fuels that cause global warming and reduce air quality (Oppenheimer
27 and Petsonk, 2005). Thus, governments throughout the world have pursued
28 agreements to decarbonize their economies by implementing policies that encourage
29 an accelerated transition away from fossil fuels (UNFCCC, 2015).

30 Proposals to decarbonize economies often call for a higher share of total energy
31 consumption to originate from electricity and for that electricity to be generated by
32 non-greenhouse gas emitting technologies (Rogelj et al., 2018). These technologies
33 include wind, solar, nuclear, hydroelectric, and geothermal power, among others. In
34 2018, wind and solar power accounted for only ~3% of total global energy
35 consumption (BP Statistical Review of World Energy, 2020). However, over the
36 2010s, the cost of utility-scale wind and solar power declined by 70% and 90%
37 respectively (Lazard, 2020) and in an optimistic scenario, the United States Energy
38 Information Administration projects the cost of wind power to decline by a further
39 60% and the cost of solar power to decline by a further 75% by 2050 (US EIA, 2020).

40 These considerations suggest that the global energy infrastructure may be in the early
41 stages of a transition away from electricity generated from mostly fossil fuels and
42 towards electricity generation from near-zero greenhouse gas emissions energy
43 sources, with a substantial fraction generated from wind and solar power. Projections
44 and proposals vary widely, but some call for over 90% of United States electricity to
45 be generated via wind turbines and solar collectors by the 2030s to 2050s

46 Congressional Action Plan, 2020; Jacobson et al., 2017; Larson et al., 2020; Williams
47 et al., 2014). Regardless of their penetration levels by mid-century, wind and solar
48 power should continue to expand in the near-term because, at their current fractional
49 penetration levels, wind and solar power are cost-competitive or cheaper than fossil
50 fuels on a levelized cost of energy (LCOE) basis. However, the total system costs of
51 incorporating wind and solar power scale exponentially as a larger fraction is added
52 to the grid due to their variability (Sepulveda et al., 2018; Shaner et al., 2018).

53 ***Challenges associated with wind and solar variability.*** Electricity demanded by
54 society must be continuously matched by electricity supplied and thus the primary
55 drawback to wind and solar power is that the resource fueling this electricity is
56 intrinsically variable and does not vary in accordance with demand for energy
57 (Gowrisankaran et al., 2016; Trainer, 2012; Ueckerdt et al., 2013).

58 Energy storage is expected to be capable of redistributing solar power collected
59 during the day to the nighttime hours (Albertus et al., 2020), however, storage costs
60 would need to come down roughly two orders of magnitude in order to allow for near
61 100% renewable electricity systems to cope with longer term variability in the wind
62 and solar resource, while keeping system costs near their current levels (Sepulveda
63 et al., 2018; Tong et al., 2020).

64 Additionally, correlation within a resource across space or correlation between wind
65 and solar resources, represents a challenge to robust, continuous generation of
66 electricity because a reduction in one resource would imply a simultaneous reduction
67 in others (Shaner et al., 2018). Thus, the ability to transmit electricity over large

68 geographic areas is advantageous not only because it is most economically efficient
69 to site wind and solar farms at relatively remote locations where it is climatologically
70 windiest and sunniest, but also because pooling resources over large areas reduces
71 the correlation between and within resources, making the total energy supply less
72 variable (Jurasz et al., 2020; Jurasz et al., 2021; Rinaldi et al., 2021; Solomon et al.,
73 2020; Weschenfelder et al., 2020; Yan et al., 2020).

74 ***Purpose of Article.*** Even renewable energy systems that incorporate long-distance
75 transmission and sufficient energy storage to buffer the daily solar cycle will still be
76 vulnerable to synoptic-scale weather events (i.e. at the several thousand kilometer
77 spatial scale and several day timescale) characterized by particularly low wind
78 resources, low surface solar radiation and/or large heating or cooling demands. The
79 purpose of this article is to document the meteorology behind historical synoptic-
80 scale reductions in potential wind and solar power energy resources (i.e., “droughts”
81 (Rife, 2016)) as well as their associations with temperature deviations away from
82 human comfort levels that could stimulate electricity demand.

83 This study is particularly focused on the historical *meteorology and climatology* of
84 such events (as opposed to their impact on historical or hypothetical energy systems).
85 Thus, we investigate variables averaged over a large domain without regard to
86 particularities of the spatial distribution of wind farms, solar farms or population.
87 This allows us to study the physical relationships between the meteorological
88 variables as a foundation for future work that can address questions specific to
89 particular energy systems.

90 **Details of Analysis**

91 When designing the analysis, we were conscious that there is a trade-off between
92 practical usefulness and the illumination of fundamental physical understanding. In
93 this study we strongly emphasize the latter and we do not deal with any practical
94 sociological, technological, or political constraints. Thus, in this study, we take a plot
95 of land roughly the size of an existing electricity grid and document how potential
96 wind power, potential solar power, and temperature deviations away from 65
97 degrees (i.e., pseudo heating and cooling degree days experienced by land not people)
98 vary and covary at weekly timescales.

99 ***Spatiotemporal scale of analysis.*** In this work we study the weekly timescale as it is
100 relevant for the type of energy system that may exist within the next several decades
101 where there is sufficient energy storage and transmission to adequately buffer the
102 daily solar cycle but not necessarily longer-term variation in wind and solar energy
103 supply (Albertus et al., 2020). The weekly timescale also encapsulates the societal
104 cycle in electricity demand associated with the calendar week.

105 The spatial scale studied here was chosen to be roughly the size of existing large
106 power grids (several million square kilometers). We study an area that approximately
107 encompasses the existing Western Interconnection (WECC) power grid (Fig. 1). This
108 region of western North America (WNA) contains substantial annual mean wind and
109 solar power resources (Jacobson and Delucchi, 2011; MacDonald et al., 2016; Pryor
110 and Barthelmie, 2011). These resources, however, are subject to substantial seasonal

111 and synoptic variability (Millstein et al., 2019; Rinaldi et al., 2021; Wang et al., 2018;
112 Wang et al., 2020).

113 From a meteorological perspective, the weekly timescale and several million square
114 kilometer spatial scale is relevant because these are the scales for which Rossby
115 Waves often manifest at mid latitudes. Rossby Waves would typically traverse our
116 WNA domain in $\frac{1}{2}$ to $2\frac{1}{2}$ days so an individual trough or ridge would typically be a
117 transient phenomenon at the weekly timescale. Nevertheless, the coincidence of two
118 or three such waves of similar character within a week, or a slow-moving cut-off low
119 or blocking high, would cause persistent weather conditions over the domain at the
120 weekly timescale.

121 This is also a natural spatiotemporal scale to study as it has a long meteorological
122 history in the explanation of temperature and precipitation variability. Such
123 variability is often discussed in the context of preferred states of the atmosphere
124 quantified with teleconnection indices like the Pacific North American Pattern (PNA)
125 (Wallace and Gutzler, 1981), Arctic Oscillation (AO) (Thompson and Wallace, 1998),
126 and the North Atlantic Oscillation (NAO) (Hurrell, 1995), among others. For example,
127 the NOAA Synoptic Discussion typically averages variables over the one week
128 timescale in their retrospective reports on U.S. weather and often discusses these
129 events in the context of the above teleconnection indices (NOAA, 2021).

130 Finally, extreme weather at this spatiotemporal scale has had a demonstrable impact
131 on electricity grids historically. For example, the rotating electricity outages
132 implemented by the California Independent System Operator Corporation (CAISO) in

133 mid-August 2020 were associated with a thermal ridge over the western half of the
134 United States that corresponded to a strongly positive PNA pattern evident from
135 August 15-21 (NOAA, 2020). Another pertinent example was the extreme cold event
136 experienced in mid-February 2021 that led to widespread electricity outages in Texas
137 (IEA, 2021). That event was associated with a persistent trough over the central
138 United States, which corresponds to a strongly negative AO (NOAA, 2021).

139 The above two instances of electric grid failure were caused mostly by sharp
140 increases in electricity demand for cooling and heating, but both were exacerbated by
141 some reduction in renewable resources and they serve to highlight a spatiotemporal
142 scale at which electricity systems are sensitive. Here we focus on extreme reductions
143 in the wind and solar power resources at roughly the same spatiotemporal scales.

144 ***Wind and Solar droughts.***

145 Henceforth in this study, we refer to a “drought” as a week in which the wind power
146 resource or the solar power resource (or their combination), averaged over the entire
147 week and the entire domain (Fig. 1), is in the first percentile of all weeks considered
148 (from 1950-2020). A first percentile week corresponds to a return period of
149 approximately two years. The first percentile is defined with respect to the entire
150 record, not with respect to the mean for that calendar week of the year. We define
151 droughts this way because, from an energy system perspective, the absolute
152 magnitude of the energy resource is what is relevant for meeting electricity demand,
153 not the anomaly relative to the typical seasonal cycle. This is different than the
154 consideration for hydrological droughts in which human and natural systems are

155 thought to already be accustomed and/or adapted to the seasonal cycle and thus it is
156 deviations away from this seasonal cycle that are most important.

157 The spatial component of the definition of a drought is arbitrary but in this paper we
158 define droughts as lulls in the resource averaged over the entire domain which is
159 roughly the size of the Western Interconnection electricity grid where wind and solar
160 resources might be able to be pooled (Fig. 1).

161 For compound wind and solar droughts we find the first percentile weeks after both
162 resources have been normalized by their mean over the entire record and summed,

163
$$\text{"compound wind and solar droughts"} = 1^{st} \text{percentile} \left[\frac{\text{wind power}(t)}{\text{mean}(\text{wind power}(t))} + \frac{\text{solar power}(t)}{\text{mean}(\text{solar power}(t))} \right].$$

164 In order to illustrate the characteristic atmospheric circulation configuration
165 associated with wind droughts, solar droughts and compound wind and solar
166 droughts we show composites of many variables over all drought weeks in the dataset
167 (Figs 3, 4 and 5). The length of our dataset is 71 years (1950-2020 inclusive) which is
168 3,692 weeks. Thus, there are 37 weeks that make up the first percentile. To measure
169 coherence between drought events we stipple the locations where at least 75% (28
170 out of 37) of the drought weeks agree in the sign of their anomaly (with respect to
171 that calendar week of the year).

172 **Variables and Data.** All of our analysis is conducted using ERA5 reanalysis data from
173 1950-2020 (Hersbach et al., 2020). ERA5 assimilates a large amount of observations
174 into a dynamical weather model to produce a physically-consistent estimation of the
175 state of the ocean, atmosphere and land surface. We use $1^\circ \times 1^\circ$ output within the WNA

176 domain (Fig. 1), and $2.5^{\circ} \times 2.5^{\circ}$ for the Northern Hemisphere atmosphere outside of
177 the domain (Figs 4-5).

178 Since we are interested in the wind's capacity to be converted into electricity, we
179 convert hourly wind speed values at 100 meters altitude to hourly wind power at 100
180 meters via the power curve shown in Fig. S1 based on the wind turbine power curve
181 from a V90-2.0MW Vestas turbine. We then convert the power generated by each
182 turbine to power per unit area (i.e. W/m^2) by assuming a required land area per
183 turbine equal to four times the rotor diameter in one direction and seven times the
184 rotor diameter in the other direction (Lu and McElroy, 2017). Since the rotor
185 diameter of the 2 MW wind turbine is 90 m, we assume that each turbine takes up
186 about $\sim 0.23 \text{ km}^2$ of land area. The hourly wind power was then averaged to the
187 weekly scale prior to drought analysis.

188 For the solar resource, we used hourly downward incident surface solar radiation
189 which was also averaged to the weekly scale prior to drought analysis. We do not
190 convert these values into the effective extractible solar power because conversion
191 would require making assumptions about extraction technology and/or the tilt and
192 tracking capability of hypothetical solar arrays. While our use of the raw downward
193 incident surface solar radiation is reasonable for our analysis that focuses on
194 *normalized* variability of the resource (i.e. variability of the resource with respect to
195 its own mean), it does mean that the values in Fig. 1d-f are much larger than could be
196 practically extracted from solar farms in those locations

197 ERA5 atmospheric data has a resolution of 31km (T639). This resolution is not
198 sufficient to represent mesoscale and boundary layer features that will impact short-
199 timescale variability at the level of particular wind/solar farms. Nevertheless,
200 previous studies have indicated that ERA5 is sufficient to conduct renewable energy
201 analysis at the courser resolution that we consider here (Jurasz et al., 2021; Olauson,
202 2018; Ramirez and Schmidt, 2020). Additionally, we compare our ERA5-derived
203 variables over specific regions where wind and solar generation data was available
204 from the US Energy Information Administration to confirm that the variability of our
205 reanalysis-inferred solar and wind resources is a reasonable representation of the
206 variability of measured generation in those locations (Figs S2 and S3).

207 We also considered daily mean temperature $([T_{\min} + T_{\max}]/2)$ departures from 65°F
208 (18°C) where positive departures represent cooling degree days (CDDs) and negative
209 departures represent heating degree days (HDDs). We investigate these quantities
210 because they represent the geophysical variables most likely to affect demand-side
211 stress on the energy system (Mourshed, 2016; Petri and Caldeira, 2015). However,
212 because we want to focus on the physical relationships between wind, surface solar
213 radiation and temperature, regardless of the particularities of the energy
214 system/population distribution, we do not weigh these CDDs or HDDs by population.
215 Thus, in this paper we discuss CDDs and HDDs experienced by land, not people.

216 As mentioned above, all values were averaged to the weekly scale prior to subsequent
217 analysis. In order to simplify the analysis and deal with leap years, the first week of
218 each year was defined to begin on January 1st of that year and 52 weeks were included

219 in each year. This meant that the first 364 days of each year were included in our
220 analysis and the last one or two days of each year were not included.

221 **Results**

222 We first establish the contextual long-term climatology of wind power, solar power,
223 and heating/cooling degree days (experienced by land) prior to investigating their
224 most extreme weeks.

225 *Spatial long-term climatology.* Most of the wind power supply is located on the lee
226 side (i.e., to the east) of the Rocky Mountains in the U.S.'s "wind corridor" (Fig. 1a, 1b,
227 and 1c). There is little seasonal spatial shift in the location of the maximum wind
228 supply and instead the stationary pattern intensifies in winter and weakens in
229 summer (c.f. Fig. 1a and 1b).

230 The surface solar power resource is concentrated in the southwest corner of the
231 domain but is more homogeneous than the wind power resource because topography
232 exerts a smaller influence on cloud cover than it does on near surface atmospheric
233 flow. Near the summer solstice, the meridional gradient of top-of-atmosphere daily
234 mean incoming solar radiation is very small so most of the spatial variation in surface
235 solar radiation is due to cloud cover (Fig. 1e). Because of this, summer zonal gradients
236 in incident solar radiation can be as large as meridional gradients. Near the winter
237 solstice, on the contrary, the meridional gradient of incident daily mean incoming
238 solar radiation is dominant (Fig. 1d).

239 The seasonal cycle in HDDs and CDDs is as would be expected. Most of the domain
240 has a long-term daily mean temperature colder than 18°C so there are more HDDs

241 than CDDs (c.f. Fig 1l and Fig. 1i). Seasonally, this affect is most pronounced in the
242 winter when there is nearly zero land experiencing CDDs (Fig. 1g) but substantial
243 HDDs (over 30 HDDs per day over most of the higher latitudes and elevations; Fig. 1j).
244 Even in the summer there are only slightly more CDDs than HDDs when averaged
245 over the domain (c.f. Fig 1h and Fig. 1k). Despite this, because of the larger use of
246 electricity for cooling than for heating and because of the tendency for people to live
247 in wamer climates, electiricty demand over the Western Interconnection tedns to
248 peak in the late summer(Rinaldi et al., 2021). This pattern of a dual peak in demand
249 (one corresponding to the winter peak in HDDs and one corresponding to the summer
250 peak in CDDs is observed in actual load data for the United States.

251 ***Seasonal long-term climatology.*** The right side of figure 1 shows the mean and
252 standard deviation of the seasonal cycle for the solar power resource, the wind power
253 resource, HDDs and CDDs averaged over the entire WNA domain. All values are
254 expressed as a fraction of their long-term mean value.

255 The solar power resource runs from ~40% of its annual mean near the winter solstice
256 to ~160% of its annual mean near the summer solstice (Fig. 1m). Variability in solar
257 power is dominated by the seasonal cycle. This suggests that solar droughts (as
258 defined here using absolute minimum weeks over the entire period) will occur during
259 the weeks near the winter solstice (squares in Fig. 1m and 1n).

260 The seasonal cycle in wind power is slightly anticorrelated with the seasonal cycle in
261 solar power but its phase lags that of solar power by about five weeks (Fig. 1m). The
262 amplitude of the seasonal cycle in wind power is much less than the amplitude of the

263 seasonal cycle in solar power. Wind power supply is about 110% above its annual
264 mean for much of the cooler portion of the year and it dips to about 60% of its annual
265 mean in mid to late summer when the Northern Hemisphere meridional temperature
266 gradient, and thus upper-level geostrophic wind, is at an annual minimum. However,
267 wind power variability is dominated by synoptic weather conditions rather than the
268 seasonal cycle. This implies that a wind drought can plausibly occur at any time of the
269 year but that they are most-likely in the late summer in July and August (Fig. 1m).

270 Overall, weekly solar power has 167% of the variability of weekly wind power and
271 weekly solar variability is dominated by the seasonal cycle (99% of weekly solar
272 variability is linearly explained by the week-of-the-year) while wind power
273 variability is dictated more by atmospheric circulation variability (only 42% of
274 weekly wind variability is linearly explained by the week-of-the-year).

275 ***Weekly wind and solar values.*** Figure 2 shows the domain mean for each week in
276 the dataset plotted in a two-dimensional wind power and solar power space with
277 CDDs displayed as colors (Fig. S4 shows the corresponding figure with HDDs). Wind
278 droughts are shown as circles with black outlines, solar droughts as squares with
279 black outlines and compound wind and solar droughts as diamonds with black
280 outlines (same as in Fig. 1m and 1n).

281 Wind droughts tended to occur in astronomical summer (Fig. 1m and Quadrant II in
282 Fig. 2). During wind droughts, solar power averaged $\sim 139\%$ of its long-term mean
283 (large dark circle in figure 2), with a tendency towards increased CDDs and decreased
284 HDDs.

285 Solar droughts all occurred around the winter solstice (Fig. 1m and Quadrant III and
286 Quadrant IV in Fig. 2). During solar droughts, wind power had a mean of ~112% of
287 its long-term mean (large dark square in Fig. 2), with a tendency towards decreased
288 CDDs and increased HDDs.

289 Simultaneously considering the proxies for energy supply (Fig. 1m and axes of Fig. 2)
290 and energy demand (Fig. 1n and colors of Fig. 2 and Fig. S4), the least stress on the
291 energy system would be experienced in the astronomical spring when both wind and
292 solar power tend to be above their long-term mean and both HDDs and CDDs are near
293 their long-term mean. Conversely, the most stress on the energy system tends to
294 occur in the astronomical autumn (Quadrant III of Fig. 2), when there tends to be low
295 solar power and still the substantial possibility for low wind power. HDDs are also
296 high at this time (Fig. S4), potentially adding stress on the demand side of an
297 underlying energy system if that system uses electricity for heating.

298 There are 20 weeks in the 71 year time period where CDDs were above their long
299 term mean while both wind and solar resources were below their long term mean
300 (pink and red circles in Quadrant III of Fig. 2). None of these weeks reach the
301 wind/solar drought threshold so we will not focus on them here but they
302 nevertheless indicate potentially large stress on an underlying energy system and
303 may merit study in future research.

304 Compound wind and solar droughts all occur near the winter solstice (Fig. 1m and
305 Quadrant III in Fig. 2). This is because the dominance of the seasonal cycle in solar
306 power confines the compound wind and solar droughts to this time of the year.

307 During compound wind and solar droughts, solar power tends to be ~41% of its
308 annual mean and wind power tends to be ~70% of its annual mean (dark diamond in
309 Fig. 2), with a tendency towards decreased CDDs and increased HDDs (Fig. S4). Thus
310 heating demand is more of a concern than cooling demand with regard to electricity
311 demand increases exacerbating compound wind and solar droughts.

312 ***Synoptic meteorology of wind and solar droughts.*** Here we assess the first-order,
313 proximate, synoptic-dynamic mechanisms associated with wind and solar droughts.
314 From this perspective, our expectation is that surface high pressure systems will be
315 associated with subsidence, clear skies (enhanced surface solar radiation) and calm
316 conditions. On the other hand, surface low pressure systems will be associated with
317 ascent, clouds (reduced surface solar radiation) and enhanced wind.

318 We connect surface high and low pressure systems to dynamic “forcing” aloft by
319 invoking Quasi-Geostrophic (QG) Theory (Carlson, 1994; Charney, 1948). QG theory
320 states that under a number of assumptions that are plausible at synoptic
321 spatiotemporal scales, vertical motion in the atmosphere is related to non-
322 geostrophic circulations that restore thermal wind balance: Positive (negative)
323 differential vorticity advection by the geostrophic wind is associated with divergence
324 (convergence) aloft, ascent (subsidence) and low (high) surface pressure. Also,
325 relatively warm (cold) temperature advection by the geostrophic wind implies
326 upward (downward) vertical motion over the lower half of the atmosphere which is
327 associated with surface low (high) pressure. We use a standard meteorological
328 convention of investigating vorticity advection via the relative vorticity at 500mb

329 (Fig. 5d. 5e. and 5f.) and temperature advection at the 700mb level temperature (Fig.
330 5g. 5h. and 5i.).

331 **Wind droughts.** Figure 3 shows the average spatial anomalies of wind power, solar
332 power, and temperature variables during drought events. During a typical wind
333 drought, the entire WNA domain tends to experience reduced wind with respect to
334 the mean for that week of the year (spatial average of 43% of typical wind power, Fig.
335 3a). During a wind drought, solar power tends to be both above-average seasonally
336 (139% long-term mean) and slightly above average with respect to the mean for that
337 week of the year (102%, Fig. 3d). Thus, wind droughts tend to be accompanied by
338 slightly enhanced solar availability. However, wind droughts are consistently
339 associated with slightly less solar power than average over the climatologically
340 sunniest region (indicated by the stippling on the bottom half of the WNA domain in
341 Fig. 3d).

342 During wind droughts, it tends to be warmer than average to the north where
343 climatological temperatures are below 18°C and colder than average to the south
344 where climatological temperatures are above 18°C (Fig. 3g). This is indicative of a
345 simultaneous reduction in HDDs *and* CDDs potentially reducing stress on the demand
346 side of a hypothetical underlying energy system.

347 Wind droughts tend to be associated with a warm core (dynamic) high over British
348 Columbia flanked by cold core lows on either side (Fig. 4d and 5g). This is reminiscent
349 of a positive Pacific North American (PNA) Pattern that accentuates the typical

350 meridional deviations in the large-scale atmospheric flow, making it wavier than
351 normal and possibly generating more persistent conditions.

352 At mid-levels, we see convergence over most of the WNA domain (Fig. 5a) associated
353 with subsidence and high sea level pressure (Fig. 4g). One reason for this is likely the
354 negative vorticity advection on the downstream side of ridge (Fig. 5d) and the
355 negative vorticity advection associated with the left entrance region of a jet streak at
356 250mb (Fig. 4a). Additionally, cold air advection over some of the eastern side of the
357 domain also promotes subsidence (Fig. 5g). Some positive vorticity advection and
358 divergence is also observed near the southwest U.S. (Fig. 5a and 5d) which would
359 typically be associated with upward vertical motion and thus is consistent with the
360 region experiencing anomalously cloudy conditions (Fig. 3d).

361 Sea surface temperature anomalies show a pattern reminiscent of a western Pacific
362 El Niño occurring during an enhanced Aleutian low (Alexander et al., 2002) and a
363 positive phase of the Pacific Decadal Oscillation (PDO, (Brown et al., 2014; Brown et
364 al., 2017; Power et al., 1999)) (Fig. 4j). However, the lack of widespread stippling
365 indicates that this is not a necessary condition for a wind drought and that they can
366 easily occur under a diverse set of sea surface temperature patterns (Fig. S12).

367 ***Solar droughts.*** During a typical solar drought, almost the entire WNA domain
368 consistently experiences reduced solar power with respect to the week-of-the-year
369 (spatial average of 94% of typical values, Fig. 3e). Also, during a solar drought, there
370 tends to be more wind power than average overall (112% long-term mean, Fig. 3b)
371 and it tends to be windier than average with respect to the mean for that week of the

372 year (103%, Fig. 3b). This is further evidence of an inverse relationship between wind
373 and solar power. This anomalously high wind power is centered over the southwest
374 U.S. but a lack of stippling indicates that the spatial manifestation of this feature is not
375 consistent across solar drought events (see Fig. S6).

376 During a typical solar drought, the surface over most of the domain tends to be
377 warmer than average for that week of the year (Fig. 3h). Since the entire domain is
378 typically below 18°C during the winter (Fig. 1j), this reduces HDDs. Thus, the stress-
379 inducing impact of solar droughts on a hypothetical underlying energy system may
380 be partially mitigated by their co-occurrence with reduced HDDs.

381 Solar droughts tend to be associated with cold core (dynamic) lows occurring on the
382 west coast of the U.S. (Fig. 4e and 4h). This damps the typical meridional deviations
383 in the large-scale flow, making it more zonal than normal and possibly contributes to
384 the relative lack of persistence of solar droughts compared to wind droughts.

385 At mid-levels, we see divergence off the west coast of WNA (Fig. 5b), which in turn is
386 associated with ascent, low sea level pressure (Fig. 4h), high winds (Fig. 3b) and
387 cloudier than normal conditions (Fig. 3e). One reason for this is likely the positive
388 shear vorticity advection off the west coast of WNA (Fig. 5e) and the positive vorticity
389 advection associated with the left exit region of a jet streak on the northwest side of
390 the WNA domain at 250mb (Fig. 4b). Additionally, some slight warm air advection is
391 seen off the west coast of WNA which also is associated with ascent (Fig. 5h).

392 We see some evidence that solar droughts are associated with the positive state of
393 the North Atlantic Oscillation ((Deser et al., 2010; Hurrell, 2003) Fig. 4h and 4k), and

394 are slightly associated with the negative phase of Atlantic Multidecadal Variability
395 ((Schlesinger and Ramankutty, 1994) AMV, Fig. 4k) but with little consistency across
396 events (see the diversity of patterns in Fig. S13).

397 ***Compound wind and solar droughts.*** Compound wind and solar drought events tend
398 to be similar in character to wind drought events in terms of their surface
399 manifestation (c.f. the right and left columns of Fig. 3), and their circulations (c.f. the
400 right and left columns of Figs 3 and 4). There is relatively little atmospheric
401 circulation influence on surface solar radiation (relative to the influence of the
402 seasonal cycle) and thus it is the atmospheric influence on the wind that dictates the
403 occurrence of compound wind and solar droughts.

404 During the typical compound wind and solar drought, it tends to be colder than
405 average over most of the domain (Fig. 3i). This increases the HDDs since virtually the
406 entire domain is climatologically below 18°C in the winter (Fig. 1g and 1j). This means
407 that the stress of compound wind and solar droughts on a hypothetical underlying
408 energy system could be exacerbated by their co-occurrence with increased HDDs.

409 Compound wind and solar drought events tend to be characterized by warm air
410 advection (Fig. 5i), which would typically be associated with ascent, enhanced winds
411 and storms. However, the negative vorticity advection (Fig. 5f) appears to cancel this
412 effect, resulting in convergence aloft over the climatological windiest region (Fig. 5c).

413 **Summary and discussion**

414 The purpose of this article was to document the typical conditions and synoptic
415 meteorology associated with extreme reductions in wind and solar energy resource

416 availability at the weekly timescale over Western North America (i.e., wind and solar
417 “droughts”).

418 We found that on the spatiotemporal scale selected (averaged weekly and over all of
419 western North America), solar power varies 2/3^{rds} more (i.e., 167%) than wind power
420 and that solar variability is dominated by the seasonal cycle (99% of weekly-mean
421 solar variability is explained linearly by the week-of-the-year) while wind power
422 variability is dictated more by atmospheric circulation variability (only 42% of
423 weekly-mean wind variability is explained linearly by the week-of-the-year).

424 We found that wind droughts tend to occur in late summer when solar power is high,
425 cooling degree days experienced by land tend to be high and heating degree days
426 experienced by land tend to be low. Wind droughts are associated with surpluses in
427 solar resources both due to the seasonal cycle and the synoptic weather setup. This
428 supports the notion of added value for energy resource portfolios that include both
429 wind and solar energy (Rinaldi et al., 2021).

430 Wind drought events were associated with a thermal mid-level ridge (warm core
431 high) centered over British Columbia near the left entrance region of jet streak. This
432 pattern was also accompanied by mid-level convergence, synoptic scale subsidence
433 and surface high pressure due to negative vorticity advection and cold air advection
434 into the region. This was associated with clear skies and sunnier/warmer conditions
435 to the north. We found evidence that wind droughts were weakly associated with
436 Western Pacific El Niño events during positive PDO but that they appear to emerge

437 predominantly from internal atmospheric variability rather than requiring sea
438 surface temperature anomaly forcing.

439 We found that solar drought events were confined to near the winter solstice when
440 available wind power tends to be high, CDDs tend to be low and HDDs tend to be high.
441 Solar drought events were associated with a thermal mid-level trough (cold core low)
442 off of the west coast of North America near the left exit region of a jet streak. This
443 pattern was also accompanied by mid-level divergence and synoptic scale ascent due
444 to positive vorticity advection and warm air advection into the region. This was
445 associated with surface low pressure, cloudy, windy and warm conditions in the
446 southwest U.S. There is some evidence that this is associated with a positive North
447 Atlantic Oscillation-like signature, which suggests some potential predictability
448 (Smith et al., 2020).

449 We found that compound wind and solar droughts resulted from atmospheric
450 circulation patterns reminiscent of wind droughts but that they occurred in the
451 winter when there was little available solar power. Compound wind and solar
452 droughts occurred seasonally when HDDs were largest and the synoptic circulation
453 associated with the compound drought events exacerbates this to a small degree. This
454 means that the electrification of heating could potentially make these compound wind
455 and solar droughts high stress events on a hypothetical underlying energy system
456 (though this may be simultaneously mitigated by global warming).

457 The synoptic-dynamic meteorology of the wind and solar droughts studied here
458 conformed to the first-order expectations informed by Quasi-Geostrophic Theory.

459 This indicates that these droughts are manifestations of well-known weather
460 patterns and are not the result of some unexpected or exotic mechanism. This is
461 evidence that wind and solar droughts should be as forecastable as any synoptic
462 weather phenomena on daily to seasonal timescales and it suggests that they should
463 be represented reasonably well by coarser resolution climate models.

464 The dipole-like wave patterns in Fig. 4d, 4e and 4f suggest opposite anomalies on the
465 eastern and western sides of North America meaning that below normal wind power
466 (above normal solar power) on the western side of North America would be
467 compensated for by above normal wind power (below normal solar power) on the
468 eastern side of North America. This supports the notion that there is value in moving
469 towards a continental scale “supergrid” connected by high voltage transmission
470 (Larson and Swan, 2020). This may be the case more generally in the sense that
471 electric grids at midlatitudes could greatly benefit from being large enough to
472 encompass the full wavelength of typical Rossby Waves.

473 Looking forward, mean changes in the wind and solar resource are possible over
474 WNA that could shift the likelihood of wind and solar drought events. Long-term
475 mean incident surface solar radiation is expected to remain roughly steady (Feron et
476 al., 2021) or perhaps increase slightly (Gernaat et al., 2021) due to decreases in
477 relative humidity and clouds over land (Collins et al., 2013) while long-term mean
478 wind change is uncertain (Pryor and Barthelmie, 2011) with some studies suggesting
479 a slight increase (Gernaat et al., 2021) and others suggesting a slight decrease
480 (Karnauskas et al., 2018). We also expect progressively more cooling degree days
481 and less heating degree days (Li et al., 2012). However, these trends in the long-term-

482 mean would not necessarily translate into proportional changes in the statistics of
483 drought events which could be overwhelmed by the particulars of changes in
484 atmospheric circulation like the frequency of atmospheric blocking events (Woollings
485 et al., 2018). Nevertheless, we found very little evidence for robust changes in wind
486 and solar droughts historically (not shown).

487 Overall, this documentary study serves as a prototype for future work that can focus
488 on different locations, different spatiotemporal scales, changes over time, and/or can
489 add more energy system specificity. Ultimately we hope that this may help provide a
490 foundation for the scientific understanding of weather events that will be
491 consequential for renewable energy systems of the future.

492 **Declarations**

493 **Funding:** This work was supported by a gift to Carnegie Institution for Science from
494 Gates Ventures LLC and by proceeds of the Carnegie Institution endowment.

495 **Conflicts of interest/Competing interests:** None.

496 **Availability of data and material:** The ERA5 data used in this study can be
497 downloaded at

498 <https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset>.

499 **Code availability:** The code used for this analysis is archived at
500 https://github.com/ptbrown31/wind_solar_drought.

501

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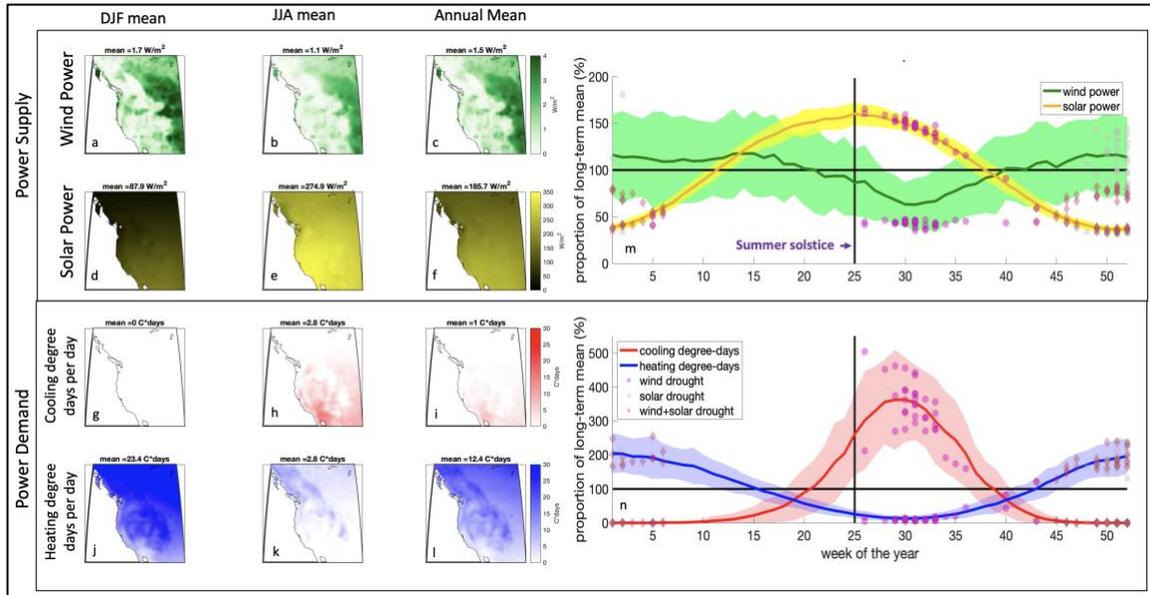
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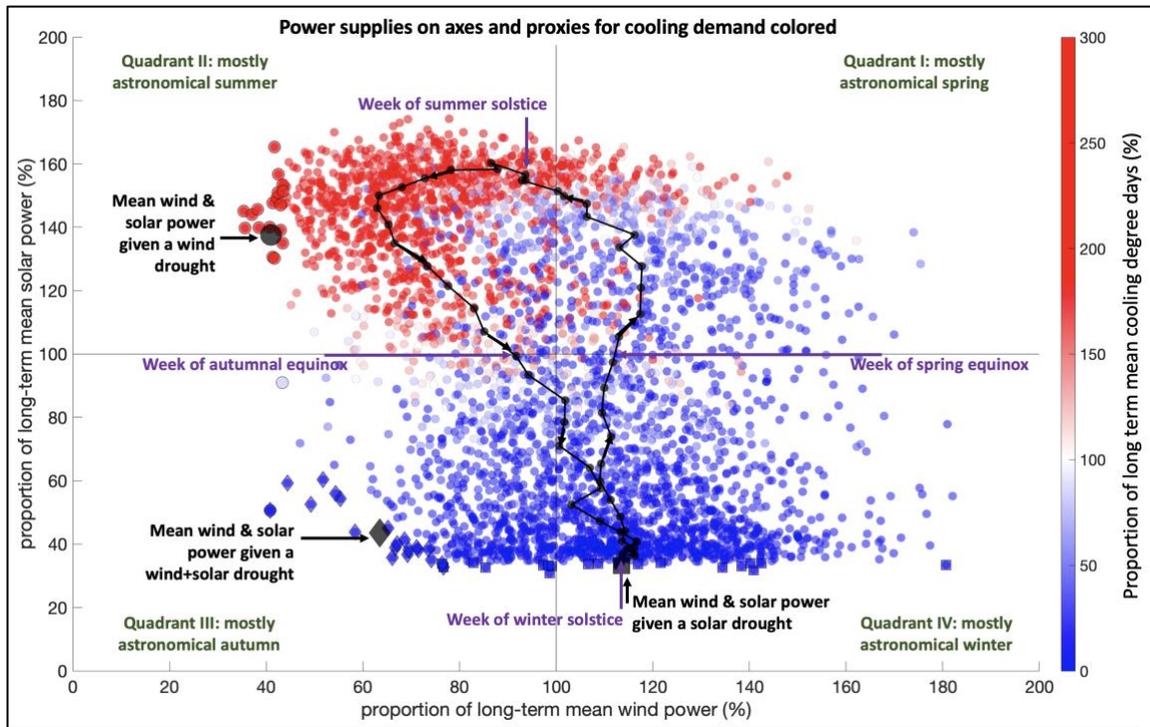
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Figures



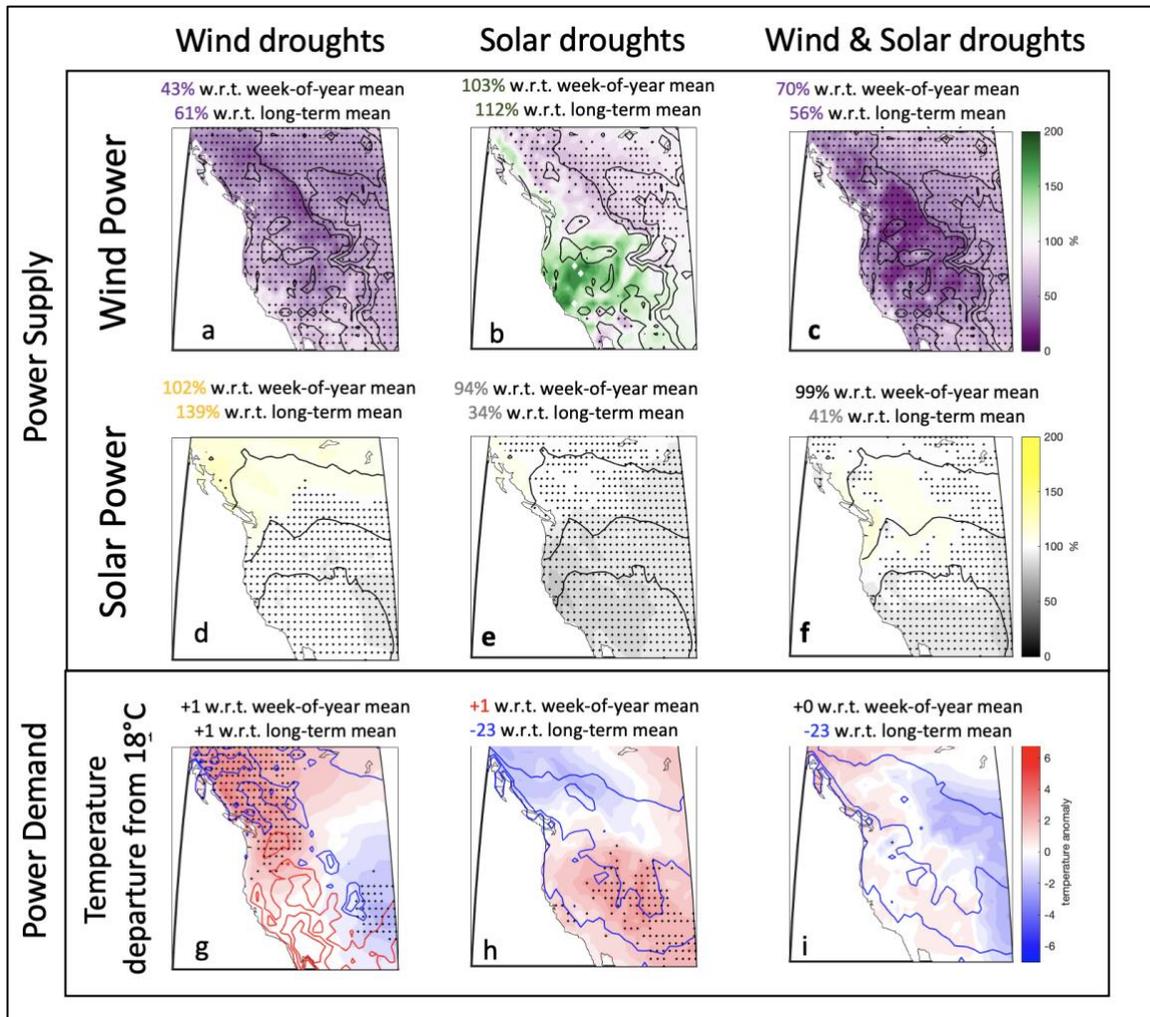
635

636 **Fig. 1.** Climatology of potential power supplied by wind and solar resources and
 637 potential power demanded via heating and cooling degree days. Left) spatial
 638 distribution of climatology for different portions of the year. The wind resource is
 639 converted to a realistic spatial density using a wind power curve and an assumption
 640 about turbine spacing while the solar resource is left as the raw incident solar
 641 radiation. This difference becomes inconsequential in subsequent analysis since both
 642 variable are normalized by their own mean. Right) temporal distribution of
 643 climatology with 1st percentile drought weeks highlighted.



644

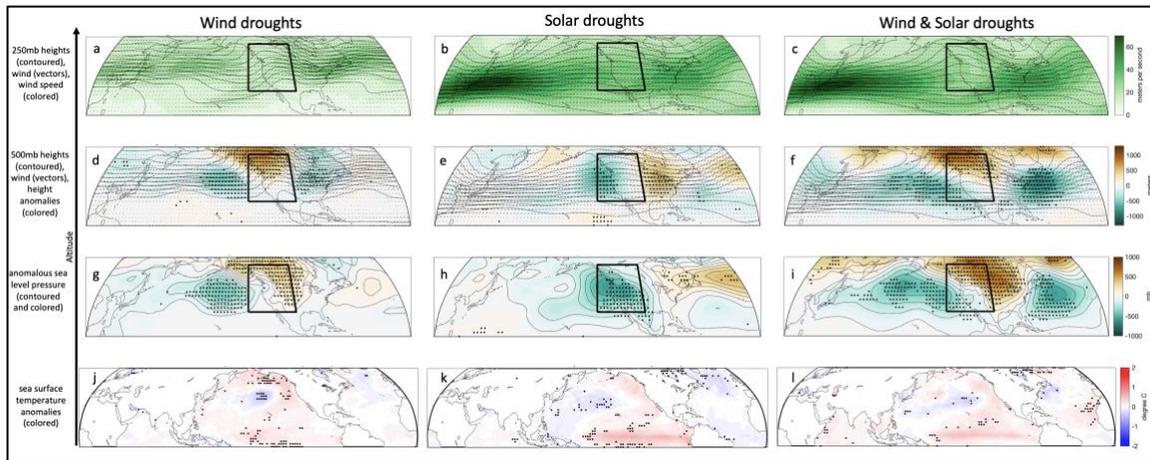
645 **Fig. 2.** All weekly values from 1950-2020 (average the western North America
 646 domain, Fig. 1) for power supplied by wind and solar resources (x and y axes
 647 respectively) and a proxy for power demanded via cooling degree days (color of dots).
 648 The mean seasonal cycle in wind and solar power is shown by the black loop (52 black
 649 dots for each week of the year). Drought weeks are indicated with black edge colors
 650 with wind droughts represented as circles, solar droughts represented as squares and
 651 compound wind and solar droughts represented as diamonds. An animation showing
 652 the degree to which there is persistence in time can be seen [here](#).



653

654 **Fig. 3.** Composites over the 37 weeks (out of 3692 weeks from 1950-2020) with the
 655 lowest domain-average wind power (a,d,g), solar power (b,e,h) and combined wind
 656 and solar power (c,f,i). The 37 most extreme weeks represent the ~1st percentile
 657 across the dataset or equivalently, they represent extremes with approximately 2-
 658 year return periods. The colored shading in each panel represents the mean anomaly
 659 (either normalized or absolute) with respect to the typical value for that week of the
 660 year and the stippling shows where at least 28 of the 37 weeks (>75%) showed
 661 anomalies of the same sign. The mean anomalies with respect to the long-term
 662 (annual) mean are displayed atop each panel. Climatological mean values from Fig. 1

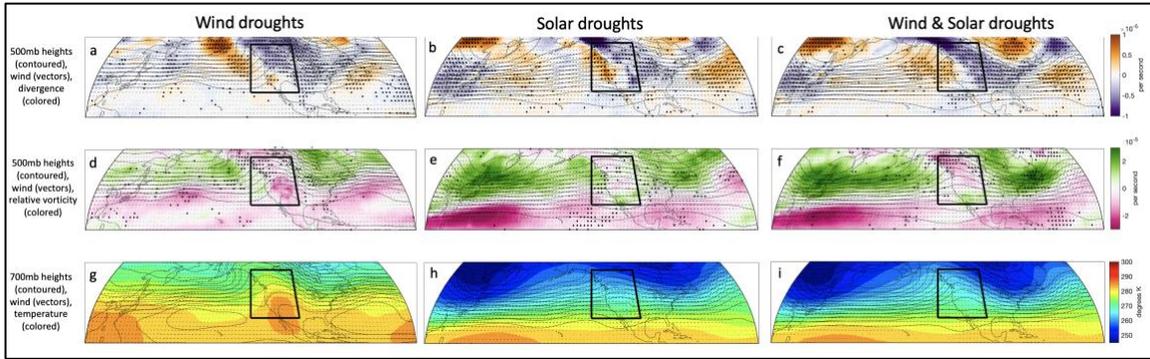
663 are contoured in panels a-f while the absolute deviation from 18°C (65°F) are
664 contoured in g-i with positive values contoured red and negative values contoured
665 blue. Figs S5-S8 show plots for each individual drought event.



666

667 **Fig. 4.** Composites over the 37 weeks (out of 3692 weeks from 1950-2020) with the
 668 lowest domain-average wind power (a,d,g,j), solar power (b,e,h,k) and combined
 669 wind and solar power (c,f,i,l). The 37 most extreme weeks represent the ~1st
 670 percentile across the dataset or equivalently, they represent extremes with
 671 approximately 2-year return periods. The variables displayed are described on the
 672 left. All anomalies are defined with respect to the typical value for that week of the
 673 year and the stippling shows where at least 28 of the 37 weeks (>75%) showed
 674 anomalies of the same sign. Figs S9-S11 show 500mb height plots for each individual
 675 drought event and Figs S12-S14 show sea surface temperature anomaly plots for each
 676 individual drought event.

677



678

679 **Fig. 5.** Composites over the 37 weeks (out of 3692 weeks from 1950-2020) with the
 680 lowest domain-average wind power (a,d,g), solar power (b,e,h) and combined wind
 681 and solar power (c,f,i). The 37 most extreme weeks represent the $\sim 1^{\text{st}}$ percentile
 682 across the dataset or equivalently, they represent extremes with approximately 2-
 683 year return periods. The variables displayed are described on the left. The stippling
 684 shows where at least 38 of the 37 weeks ($>75\%$) showed values of the same sign.

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Figures

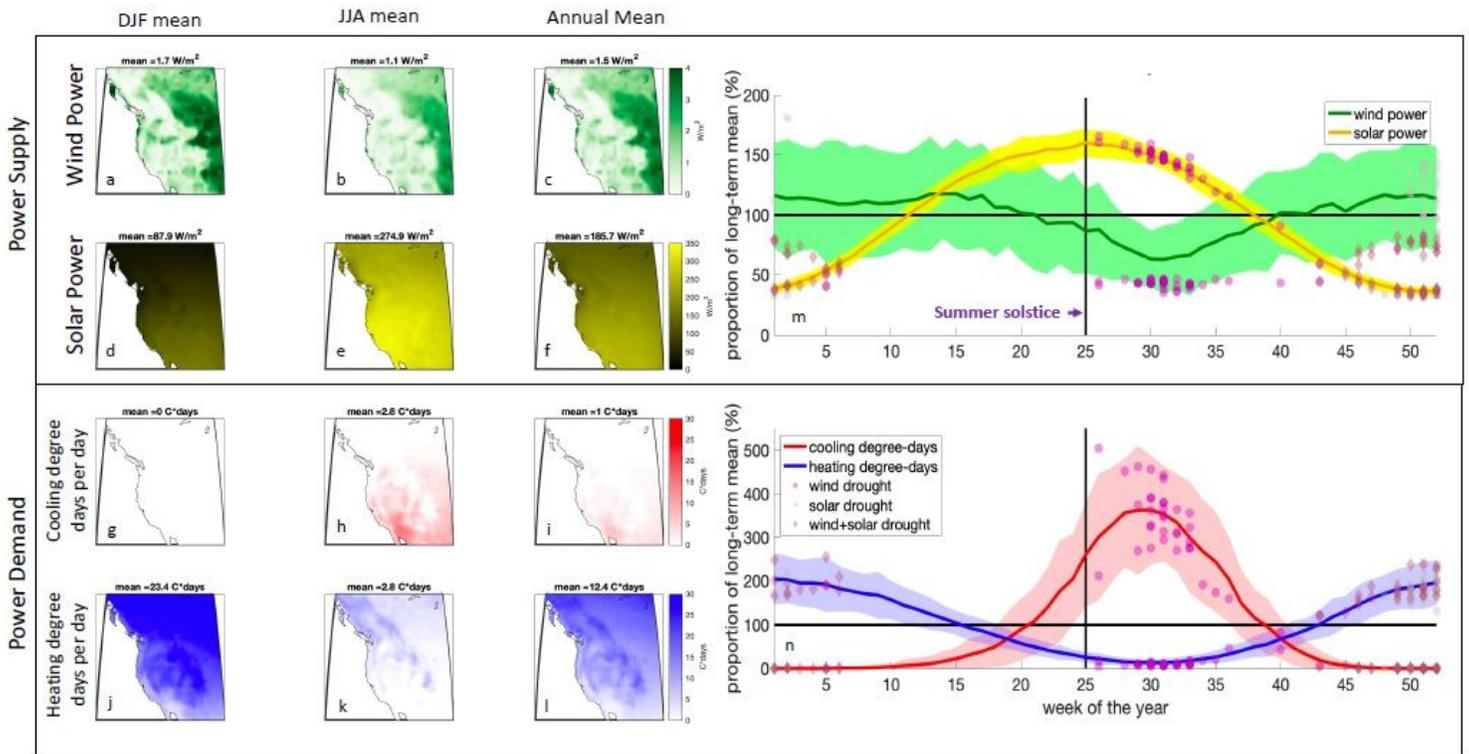


Figure 1

Climatology of potential power supplied by wind and solar resources and potential power demanded via heating and cooling degree days. Left) spatial distribution of climatology for different portions of the year. The wind resource is converted to a realistic spatial density using a wind power curve and an assumption about turbine spacing while the solar resource is left as the raw incident solar radiation. This difference becomes inconsequential in subsequent analysis since both variable are normalized by their own mean. Right) temporal distribution of climatology with 1st percentile drought weeks highlighted.

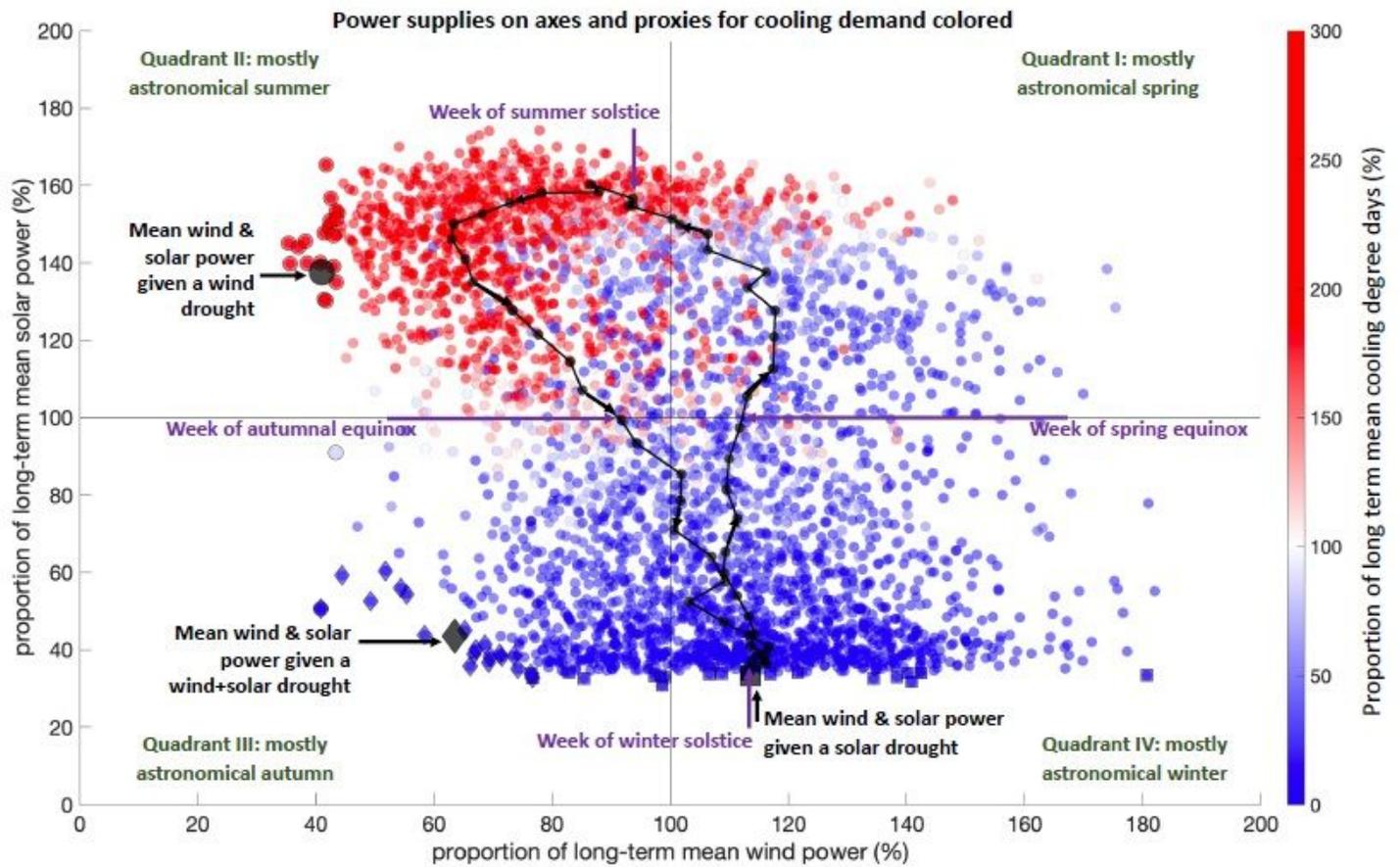


Figure 2

All weekly values from 1950-2020 (average the western North America domain, Fig. 1) for power supplied by wind and solar resources (x and y axes respectively) and a proxy for power demanded via cooling degree days (color of dots). The mean seasonal cycle in wind and solar power is shown by the black loop (52 black dots for each week of the year). Drought weeks are indicated with black edge colors with wind droughts represented as circles, solar droughts represented as squares and compound wind and solar droughts represented as diamonds. An animation showing the degree to which there is persistence in time can be seen here.

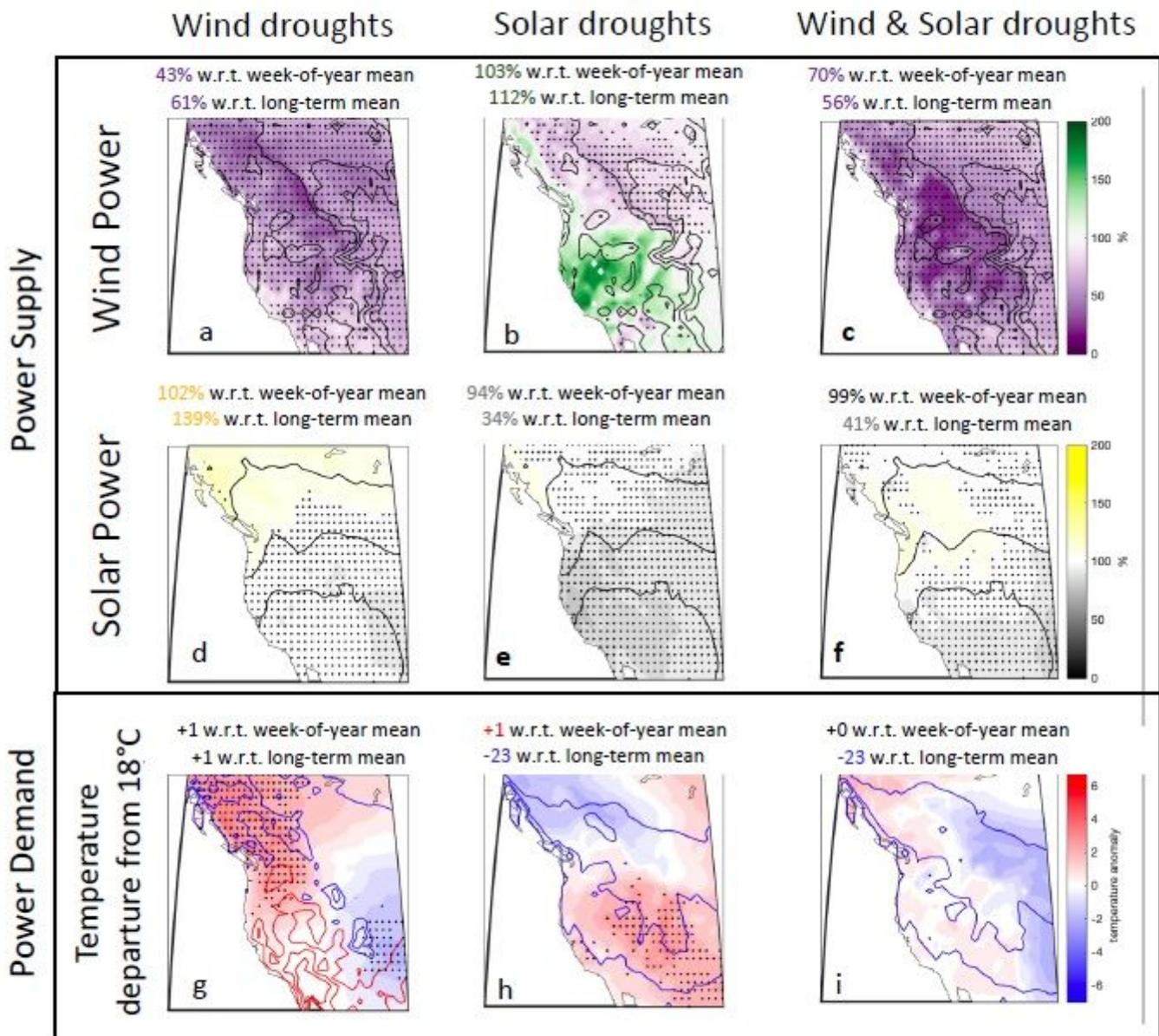


Figure 3

Composites over the 37 weeks (out of 3692 weeks from 1950-2020) with the lowest domain-average wind power (a,d,g), solar power (b,e,h) and combined wind and solar power (c,f,i). The 37 most extreme weeks represent the ~1st percentile across the dataset or equivalently, they represent extremes with approximately 2-year return periods. The colored shading in each panel represents the mean anomaly (either normalized or absolute) with respect to the typical value for that week of the year and the stippling shows where at least 28 of the 37 weeks (>75%) showed anomalies of the same sign. The mean anomalies with respect to the long-term (annual) mean are displayed atop each panel. Climatological mean values from Fig. 1 are contoured in panels a-f while the absolute deviation from 18°C (65°F) are contoured in g-i with positive values contoured red and negative values contoured blue. Figs S5-S8 show plots for each individual drought event.

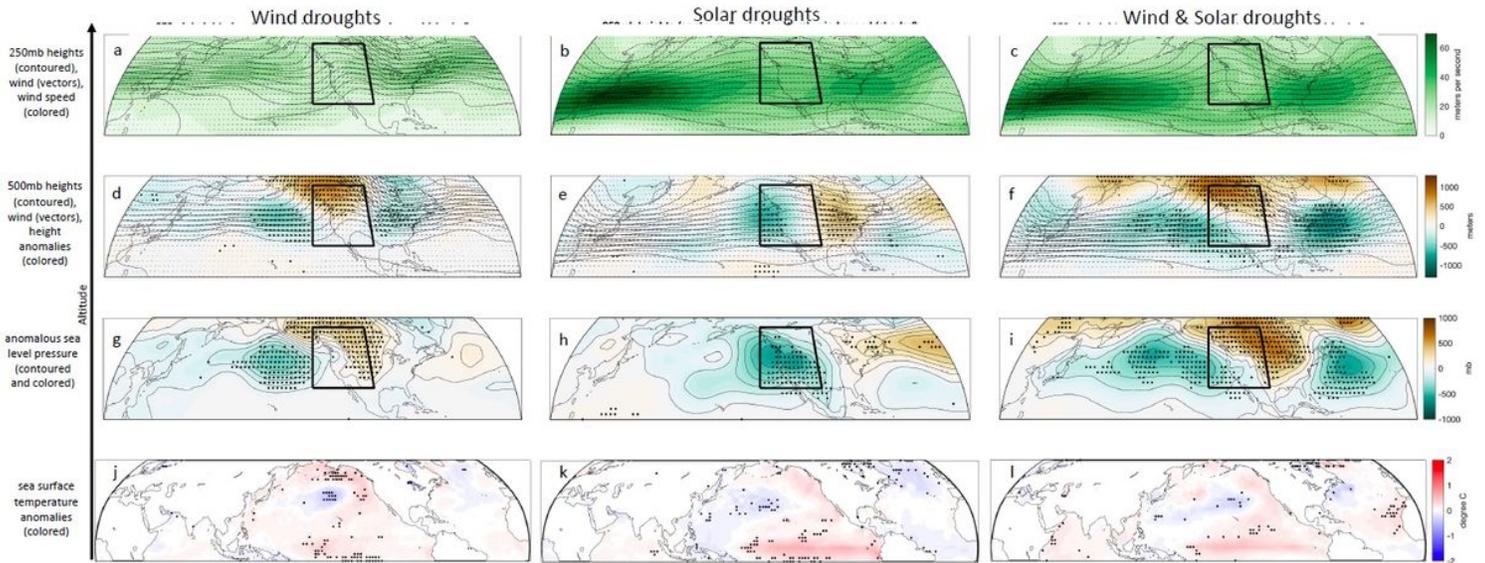


Figure 4

Composites over the 37 weeks (out of 3692 weeks from 1950-2020) with the lowest domain-average wind power (a,d,g,j), solar power (b,e,h,k) and combined wind and solar power (c,f,i,l). The 37 most extreme weeks represent the ~1st percentile across the dataset or equivalently, they represent extremes with approximately 2-year return periods. The variables displayed are described on the left. All anomalies are defined with respect to the typical value for that week of the year and the stippling shows where at least 28 of the 37 weeks (>75%) showed anomalies of the same sign. Figs S9-S11 show 500mb height plots for each individual drought event and Figs S12-S14 show sea surface temperature anomaly plots for each individual drought event.

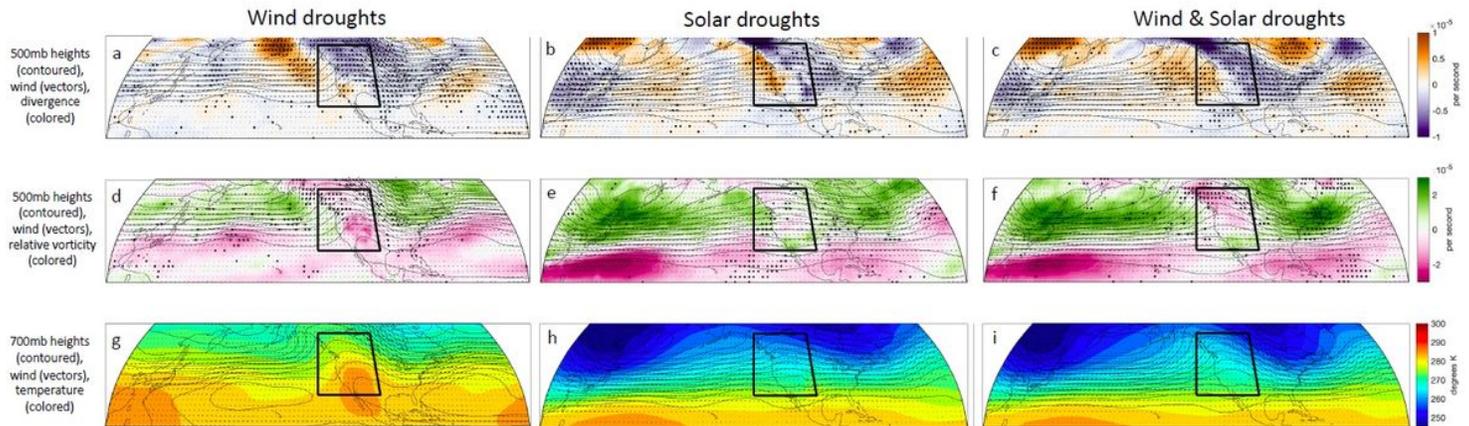


Figure 5

Composites over the 37 weeks (out of 3692 weeks from 1950-2020) with the lowest domain-average wind power (a,d,g), solar power (b,e,h) and combined wind and solar power (c,f,i). The 37 most extreme weeks represent the ~1st percentile across the dataset or equivalently, they represent extremes with

approximately 2-year return periods. The variables displayed are described on the left. The stippling shows where at least 38 of the 37 weeks (>75%) showed values of the same sign.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [BrownetalWSDroughtsSuppsubclimdyn.pdf](#)