

# Field Measurement and Numerical Simulation of the Relationship Between Vertical Wind Environment and Building Morphology in Residential Areas in Xi'an, China

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## Research Article

**Keywords:** Vertical wind environment, unmanned aerial vehicle measurement, numerical simulation, static wind area

**Posted Date:** May 13th, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-438680/v1>

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**Version of Record:** A version of this preprint was published at Environmental Science and Pollution Research on September 20th, 2021. See the published version at <https://doi.org/10.1007/s11356-021-16522-6>.

1 **Field measurement and numerical simulation of the relationship**  
2 **between vertical wind environment and building morphology in**  
3 **residential areas in Xi'an, China**

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9 **Abstract:** The inadequate consideration of the impact of building morphology on ventilation  
10 efficiency in many urban residential areas has resulted in a series of environmental problems  
11 that threaten human health. The purpose of this paper is to establish a prediction model  
12 between ventilation efficiency and building forms in residential areas. Firstly, the  
13 characteristics of vertical wind profile in residential areas are measured through unmanned  
14 aerial vehicle (UAV); secondly, the wind speed ratio (WSR) at different height levels under  
15 the impact of morphological index (floor area ratio, building density, average building height,  
16 enclosure degree, height fall and maximum building height) in the residential area is  
17 simulated by ENVI-met; finally, two kinds of prediction formulas are obtained: (1) the  
18 average ventilation efficiency at the pedestrian level and (2) the prediction formula of WSR at  
19 different heights. The results show that the wind speed (WS) in residential area below 35 m is  
20 about 0.6 m/s lower than that in park. The results of numerical simulation show that the mean  
21 WSR at the pedestrian level is negatively correlated with each index and the height fall  
22 morphological index has the greatest impact on the WSR at different heights. The research  
23 can provide a reference for the optimal planning and design of ventilation efficiency of  
24 residential buildings, especially those in static wind areas.

25 **Key words:** Vertical wind environment; unmanned aerial vehicle measurement; numerical  
26 simulation; static wind area

**Nomenclature:**

UAV——Unmanned Aerial Vehicle

FAR——Floor Area Ratio

BD——Building Density, %

ABH——Average Building Height, m

ED——Enclosure Degree

HF——Height Fall, m

MBH——Maximum Building Height

SVF——Sky View Factor

WS——Wind Speed, m/s

WSR——Wind Speed Ratio

27 **1. Introduction**

28       The urbanization of China has been a boost to a large number of urban residential areas,  
29 which give rise to many environmental problems while satisfying the housing needs of  
30 residents. Insufficient consideration of ventilation efficiency in residential areas will bring  
31 adverse impacts on air pollution reduction, high temperature weather mitigation and human  
32 thermal comfort, or even cause the spread of epidemics (Feng W, 2020; Hong B, 2015;  
33 Mochida A, 2008; Vazquez-Prokopec G M, 2010; N. E. Yuan C, Norford L K. , 2014). Urban  
34 residents generally spend more than 2/3 of their time in residential area (State bureau of  
35 technical supervision, 2018). It is therefore of great significance to enhance the ventilation  
36 efficiency in residential areas for residents' health and quality of life (State Bureau of  
37 Technical Supervision, 2018).

38           The previous studies on residential wind environment were carried out from two aspects:  
39   planning and layout, and building morphology. Some scholars discussed the correlation  
40   between building layout and ventilation efficiency of residential area. Asfour et al. simulated  
41   the wind field of different types of residential area layout by CFD. The results showed that the  
42   residential buildings arranged around a central space, forming a layout open to the prevailing  
43   wind, can make the residential area well-ventilated (S., 2010). Some scholars also paid  
44   attention to the relationship between building morphology index and ventilation efficiency of  
45   wind environment. Kubota et al., for example, found a striking correlation between BD and  
46   the WSR at mean pedestrian level from wind tunnel test results of 22 Japanese urban  
47   residential areas (M. M. Kubota T, Tominaga Y, et al. 1699-1708., 2008). Yang et al. measured  
48   the wind environment of 10 high-rise residential areas in central Shanghai. They found that  
49   the ventilation efficiency in the pedestrian area is significantly related to the ED of the  
50   buildings and the green space, and that a 10% increase in SVF can raise the WSR by 7%~8%  
51   (Yang F, 2013). Li et al. studied the correlation of the FAR with the ventilation efficiency of  
52   residential areas, and reported that when the FAR rises from 0.63 to 2.32, the mean WSR of  
53   residential area declines by 0.18 (Li L, 2018). This paper mainly discusses the influence of  
54   building morphology index on ventilation efficiency of residential areas, from pedestrian  
55   height and vertical direction.

56           The previous studies mostly focused on the impact of building morphology factors on  
57   ventilation efficiency at the pedestrian height (Du Y, 2017; Jones P J, 2004; Mittal H, 2019;  
58   To A P, 1995), few of them investigated the impact in the vertical direction. Some scholars  
59   have explored the wind profile on the urban scale. As early as 1981, Landsberg and Helmut  
60   proposed that the roughness of the city would affect the surface resistance, WS and the wind  
61   profile of the city (E., 1981). Edward et al., after taking the dense urban morphology and the  
62   impact on the wind field into consideration, made a high-resolution map of Hong Kong's  
63   urban surface roughness using the mapping method, which provided guidance for urban  
64   planning (Ng E, 2011). Liu et al. constructed a full-scale urban model with a length of 2-20  
65   km, simulated the urban wind flow via RANS (Reynolds average Navier-Stockes) equation,  
66   and compared the differences of wind profiles in the vertical direction with and without  
67   building details (Liu S, 2017). In fact, most of the recent relevant research regarding wind

68 environment is conducted on the urban scale, or from pedestrian level, and few research  
69 focuses on the vertical wind environment on the residential area scale.

70 The purpose of this paper is to investigate the relationship between the design index of  
71 residential buildings and the ventilation efficiency. The research mainly includes the  
72 following aspects: 1) to compare the difference of wind profile between open area and  
73 residential area in the city; 2) to explore the coupling mechanism between the ventilation  
74 efficiency and the building morphology of residential area at different heights; 3) to establish  
75 a prediction model in residential area at different heights. This paper can provide reference for  
76 improving the ventilation efficiency of residential areas, especially those in low WS cities and  
77 regions.

## 78 2. Methodology

### 79 2.1 Field measurements

80 Xi'an City (107.40-109.49° E, 33.42-34.4° N) is located in Guanzhong Basin in the  
81 middle of Weihe River Basin. Xi'an has a warm semi humid continental monsoon climate  
82 with distinct four seasons and relatively dry air (Jin LN, 2014). The annual dominant wind  
83 direction in Xi'an is 67.5° (0° is the north, 90° is the east), the frequency is 11%, the static  
84 wind (0-0.2m/s) frequency is 35%, the outdoor mean WS in summers is 1.9 m/s, and the  
85 outdoor mean WS in winters is 1.4 m/s (Ministry of housing and urban-rural development,  
86 2012). Located in area with a typical low WS, Xi'an is facing great challenges in air pollution,  
87 heat island effect and other issues.

88 In this paper, an open park and a typical residential area in Xi'an are selected to compare  
89 the differences of near surface wind profiles of different land use types. The measurement  
90 time was on August 13, 2017, and the relevant information of the measurement points is given  
91 in Table 1.

92 Table 1 Location of field measurements

Climatic region	City	Measured location	Scene photos
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Cold region (II B)	Xi'an	Zishui Park (109°0'39.06"E, 34°20'10.39"N)	
Cold region (II B)	Xi'an	Shijiaxingcheng community (109°0'39.06"E, 34°20'10.39"N)	

93 The vertical WS in the residential area was measured by a drone (model: Dajiang M600  
94 UAV). The UAV is equipped with a two-dimensional ultrasonic anemometer namely Decagon  
95 DS-2 (accuracy: 0.30 m/s or < 3%; range: 0 to 30 m/s; resolution: 0.01 m/s) (Figure 1).

96 The wind data of the vertical wind environment were measured by the test equipment  
97 carried by the UAV at typical points in the selected area within a height range of 1.5-100 m,  
98 and the data were collected through a 5 min hovering every 10 meters. The vertical wind  
99 profiles of residential area and park were tested.

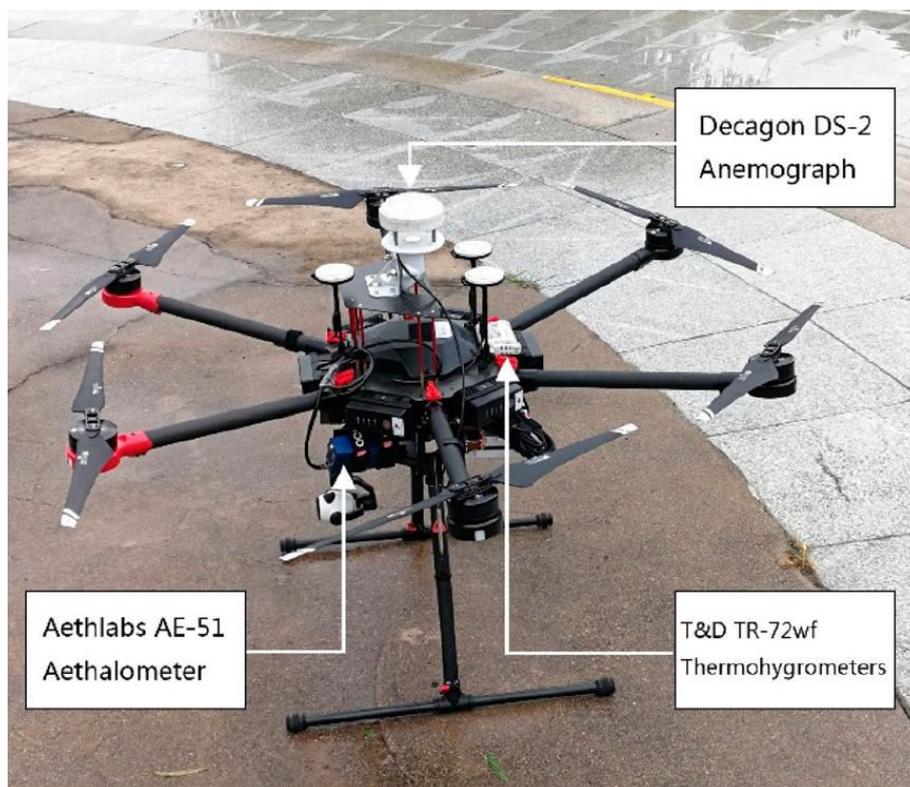


Figure 1 UAV and test equipment (Zhen M, 2019)

100 In order to evaluate and compare the wind environment in various scenes, the WSR  
101 index is used to evaluate the ventilation efficiency in residential areas at different heights (FL.,  
102 2005; Ren Chao, 2017).

$$VR_w = \frac{V_p}{V_\infty}$$

103  $V_\infty$  is the wind speed at the top of the boundary layer (where the wind speed is not  
104 affected by the urban canopy) in m/s;

105  $V_p$  is the wind speed at a certain height above the ground in m/s;

106  $VR_w$  is the ventilation efficiency at the current level affected by the built-up area.

## 107 2.2 ENVI-met simulation

108 The ENVI-met adopted in this paper is a simulation program of urban microclimate  
109 developed by Michael Bruce to simulate the wind and thermal environment on a block scale  
110 (Bruse, Fleer, & Software, 1998). In recent years, ENVI-met has been widely verified and  
111 applied in the field of urban wind environment (Á., 2013; Jung W S, 2006; Wang Y, 2019).

112 The simulation study is carried out on a typical determinant residential area with a length  
113 of 400 m and a width of 380 m (Figure 2). On this basis, by altering a single design variable,  
114 its impact on the mean WSR of the whole area is compared. Design variables include ABH,  
115 BD, FAR, ED, HF and MBH. Table 2 lists the variation range of each design variable.

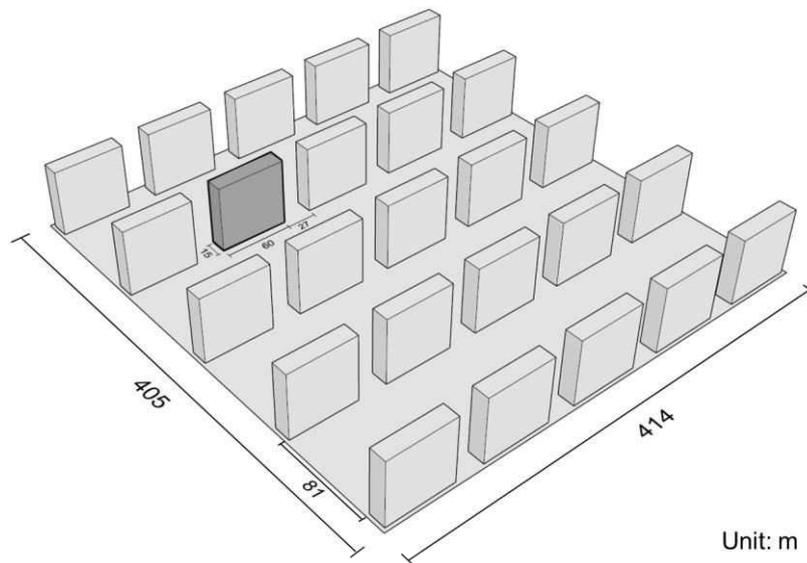


Figure 2 Basic model

116

Table 2 Range of morphological parameters

MBH	30 m	40 m	50 m	60 m	70 m	80 m	90 m	100 m
ABH	10 m	20 m	30 m	40 m	50 m	60 m		
HF	10 m	20 m	30 m	40 m	50 m	60 m	70 m	
FAR	0.403	0.805	1.342	1.879	2.147	2.684		
BD	4.9%	8.7%	13.5%	24.3%	35.6%	51.9%		
ED	0.092	0.276	0.378	0.568				

117

The data of air temperature, relative humidity, WS and wind direction on June 21, 2019 measured by HOBO U23-001, UAV and on-board equipment are used as input values of the simulation software (such as Table 3). The accuracy of the simulation software is verified by the measured and simulated data of Xi'an finance and economics campus. As shown in Figure 3, the average relative error between the measured and simulated WS is 13.5%.

122

Table 3 Setting of simulation parameters

Start date	June 21, 2019
Start time	00:00
Simulation time	48 h

Wind speed at 10 m	5.3 m/s
Wind direction (0° is north)	67.5°
Air temperature	18.9~28.9 °C
Relative humidity	42.0~80.0%

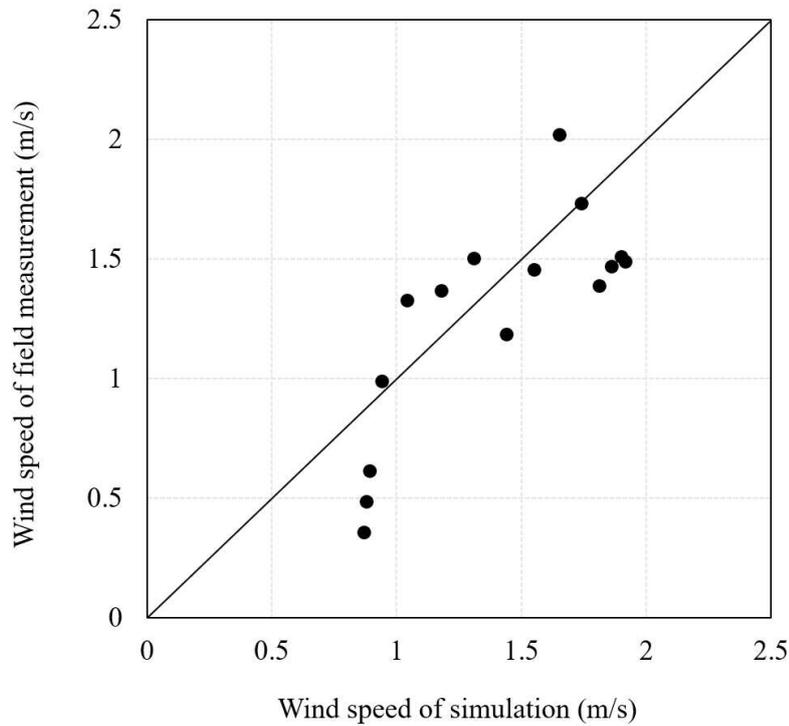


Figure 3 Correlation between measured and simulated results of vertical WS

123 **3. Results**

124 3.1 Surface wind profile difference between urban built-up area and open area

125 Figure 4 shows the WS distribution characteristics at different heights of Zishui Park. In  
126 general, the mean WS increases with the rising height. Specifically, the minimum and  
127 maximum of the mean WS measured at the heights of 1.5 m (the pedestrian level) and 100 m  
128 are 1.07 m/s and 3.98m/s, respectively. From 1.5 m to 12 m, the WS surges with the rising  
129 height, and increases by 2m/s every 10 m increase in height. At the height of 12 m to 100 m,  
130 the WS increases slowly with the increasing height. The WS increases by 0.01 m/s with every  
131 10 m increase in height. This may be attributed to the fact that there are many trees and

132 artificial facilities in Zishui Park, and these obstacles near the ground slow down the WS.

133 The WS distribution characteristics at different heights of Shijiaxingcheng Community  
134 are shown in Figure 5. In general, the mean WS increases along with the height. Specifically,  
135 the minimum and maximum of the mean WS measured at the heights of 1.5 m and 80 m are  
136 0.56 m/s and 2.56 m/s, respectively. In the height range of 1.5 m to 12 m, the WS increases  
137 rapidly with the increasing height. Each 10 m of increase in height raises the WS by 1m/s. In  
138 the height range of 12 to 36 m, by contrast, the WS dwindles with the rising height. The WS  
139 decreases by 0.06 m/s for every 10 m of height increase. In the range of 36 to 100 m, the WS  
140 increases slowly with the height, and by 0.19 m/s every 10 m of increase in height.

141 It can be seen that the WS in the residential area below building heights are significantly  
142 lower than those in the park area. The mean WS measured below 35 m in the residential area  
143 and park are 1.2 m/s and 1.8 m/s, respectively. Residential buildings reduce WS by about 0.6  
144 m/s.

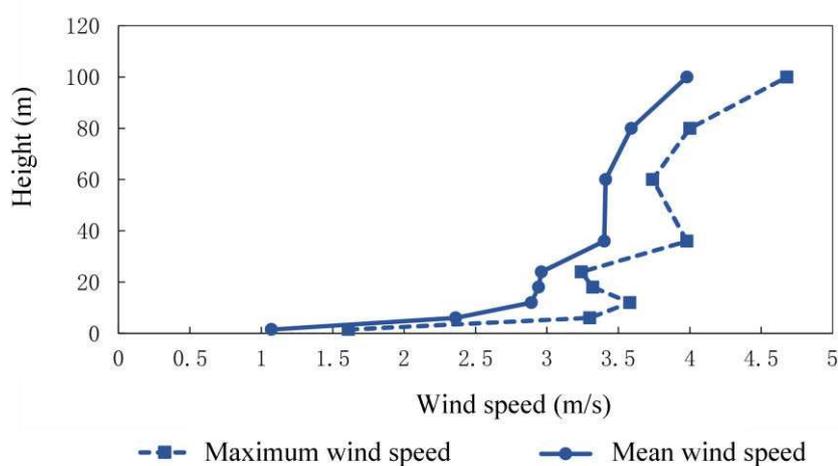


Figure 4 The WS at different heights in Zishui Park in Xi'an

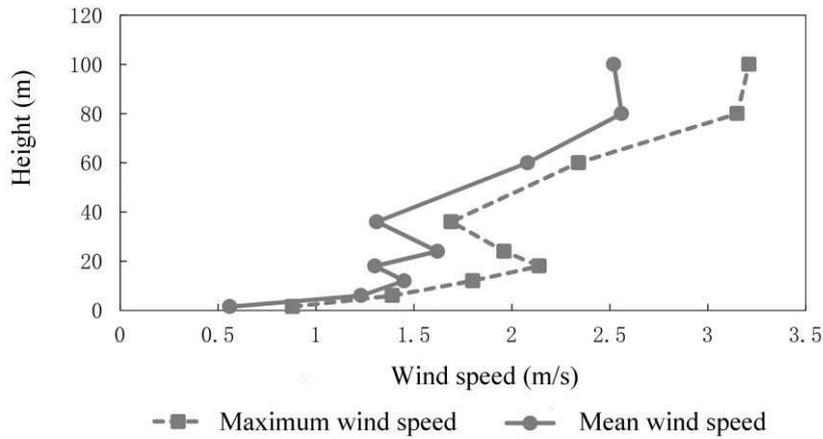


Figure 5 The WS at different heights at Shijiaxingcheng in Xi'an

### 145 3.2 Single factor correlation between vertical wind environment and morphological index

146 This part will further explore the influence of residential area form on the ventilation  
 147 efficiency at the pedestrian level and in vertical direction, and summarize the reasons for the  
 148 difference in vertical wind profile in the residential area through single factor analysis and  
 149 multi-factor analysis. The results are divided into two parts: the WSR at the pedestrian level  
 150 (1.5 m) and the vertical WSR at different heights.

#### 151 3.2.1 Impact of ABH on WSR

##### 152 1) Relationship between WSR and ABH at the pedestrian level

153 As shown in Figure 6, as the average height rises, the area of low WS region gradually  
 154 increases. Specifically, when the average height is 10 m, the average horizontal WSR at the  
 155 pedestrian level reaches the maximum of 0.609, and then decreases slowly with the increasing  
 156 ABH. In addition, with the increase of the average height, the maximum WS increases slowly.  
 157 When the average height is 60 m, the maximum WS reaches 5.87 m/s, and the minimum WS  
 158 varies from 0.02 to 0.06, but its correlation with the average height is small. It can be seen  
 159 that the ventilation efficiency at the pedestrian level dwindles with the increasing average  
 160 height, which will lead to the occurrence of accelerated winds.

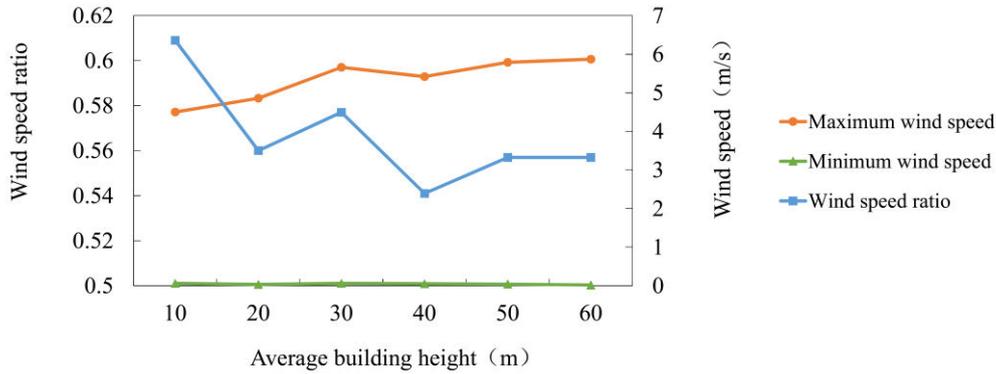


Figure 6 Correlation between mean WSR and ABH

161 2) Relationship between WSR and ABH at different height levels

162 As shown in Figure 7, the mean WSR at different horizontal heights increases with the  
 163 rising height. This indicates that the built-up area obstructs the mean WSR to different degrees.  
 164 At the same horizontal height, different ABHs have no obvious correlation with the mean  
 165 WSR, but when the horizontal height is greater than 20 m, the average height exerts a  
 166 growing attenuation effect on the mean WSR. In the vertical direction, the increase rate of the  
 167 mean WSR increases with the rising height, showing a trend of first decreasing and then  
 168 increasing. Specifically, when the mean WSR is below 0.65, the increase rate of the mean  
 169 WSR gradually decreases with the increasing height, but after it reaches 0.65, the increase rate  
 170 of the mean WSR gradually increases in different scenes.

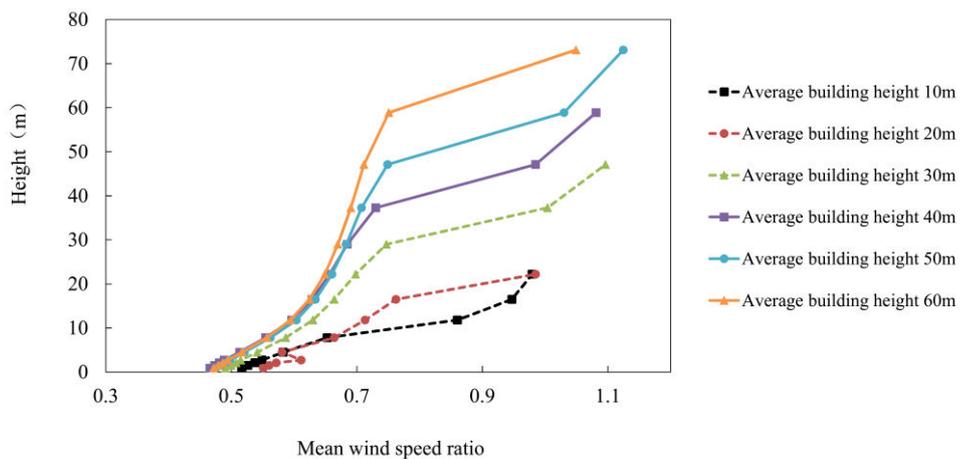


Figure 7 Correlation between the ABH and the mean WSR in the vertical direction

171 3.2.2 Impact of BD on WSR

172 1) Relationship between WSR and BD at the pedestrian level

173 As shown in Figure 8, with the increase of BD, the low WS area at the pedestrian height  
174 around the building gradually increases, and gradually approaches the static WS. Specifically,  
175 when the BD is 4.9%, the WSR of the average pedestrian height level is 0.592, which then  
176 dwindles with the increasing BD. Moreover, the maximum WS increases along with the BD.  
177 When the BD is 51.9%, the maximum WS reaches 5.92 m/s, and the minimum WS varies  
178 between 0.03 and 0.19, and they are not significantly correlated with the BD. It can be seen  
179 that the BD has a negative correlation with the WSR at the average pedestrian height and a  
180 positive one with the maximum WS. The increase in BD reduces the ventilation efficiency at  
181 the pedestrian height level, and leads to greater WS.

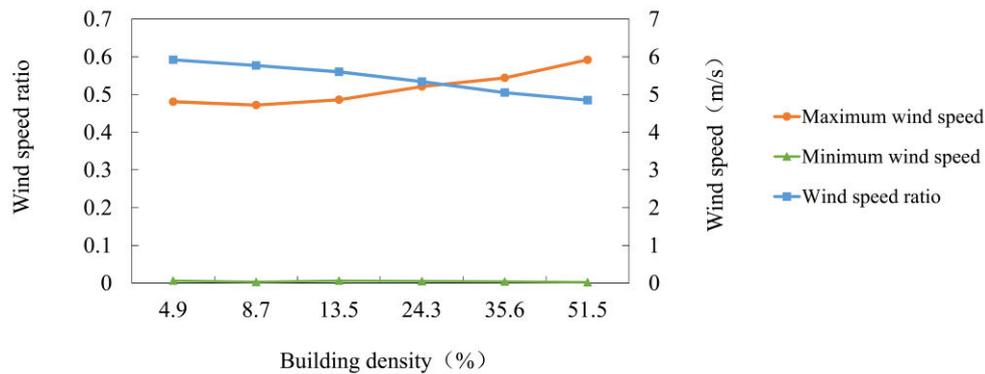


Figure 8 Correlation between the mean WSR at the pedestrian level and BD

182 2) Relationship between WSR and BD at different height levels

183 It can be seen from Figure 9 that the mean WSR at different horizontal heights increases  
184 with the increasing height. At the same horizontal height, the increase rate of the mean WSR  
185 below height of 20 m decreases with the rising BD because the building height is 20 m.  
186 Below 20 m, owing to the obstruction of the buildings, the smaller the mean WSR and the  
187 denser the built-up area, the greater the obstruction to the wind. When the vertical height is  
188 greater than 20 m, the increase rate of the mean WSR has little correlation with the increasing

189 BD, but in the case of a BD of 51.9%, the increase rate of the mean WSR is the largest. For  
 190 every 1 m increase in the vertical height, the mean WSR rises by 0.17.

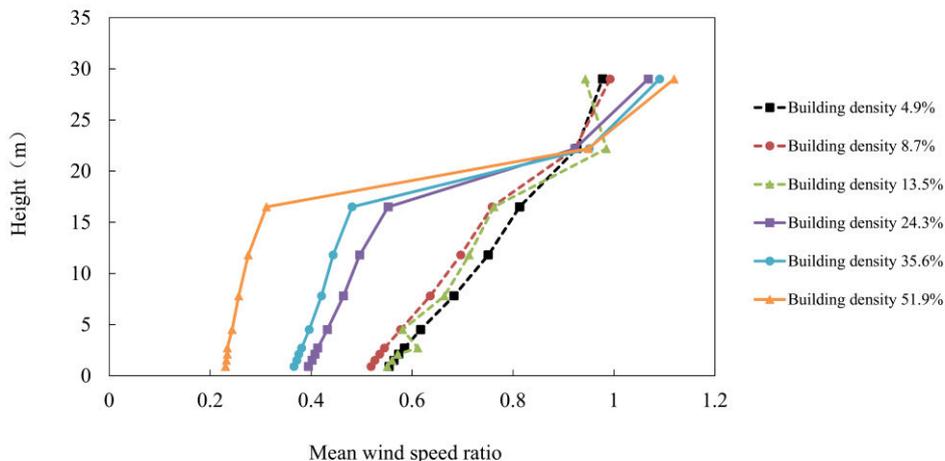


Figure 9 Variation curve between different BD and mean WSR in the vertical direction

191 3.2.3 Impact of FAR on WSR

192 1) Relationship between WSR and FAR at the pedestrian level

193 As shown in Figure 10, when the FAR is 0.403, the mean WSR at the pedestrian level  
 194 reaches the maximum of 0.609, and then decreases slowly as the FAR increases. The  
 195 maximum WS rises with the increasing FAR. When the FAR is 2.684, the maximum WS is  
 196 5.87 m/s, and the minimum WS changes between 0.02 and 0.06. Furthermore, with the  
 197 increase of FAR, the mean WSR at the pedestrian height level gradually declines, which will  
 198 lead to greater WS.

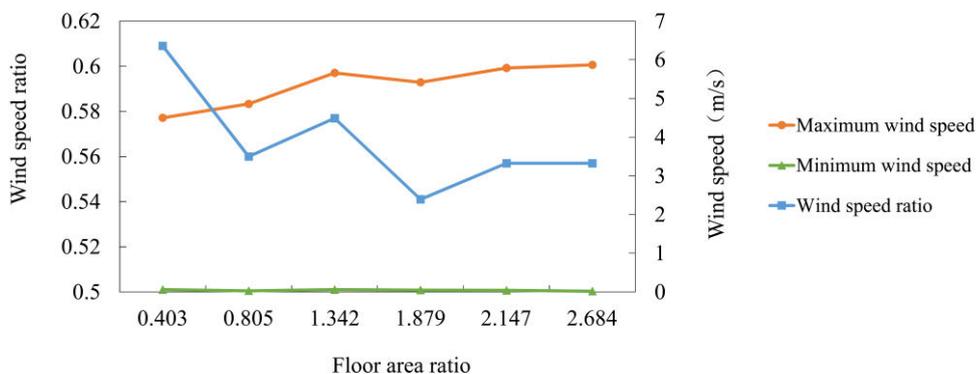


Figure 10 Correlation between mean WSR at the pedestrian level and FAR

199 2) Relationship between WSR and FAR at different height levels

200 As shown in Figure 11, the mean WSR increases along with the vertical height, which  
 201 indicates that the built-up area on the surface hinders the WS. On the one hand, at the same  
 202 height level, the correlation between different FARs and the mean WSR is slight. On the other  
 203 hand, the mean WSR decreases first and then increases with the change of height. When the  
 204 mean WSR is below 0.7, the increase rate of the mean WSR decreases with the rising height.  
 205 But after the mean WSR reaches 0.7, the increase rate of the mean WSR in different FAR  
 206 scenarios rises gradually with the vertical height.

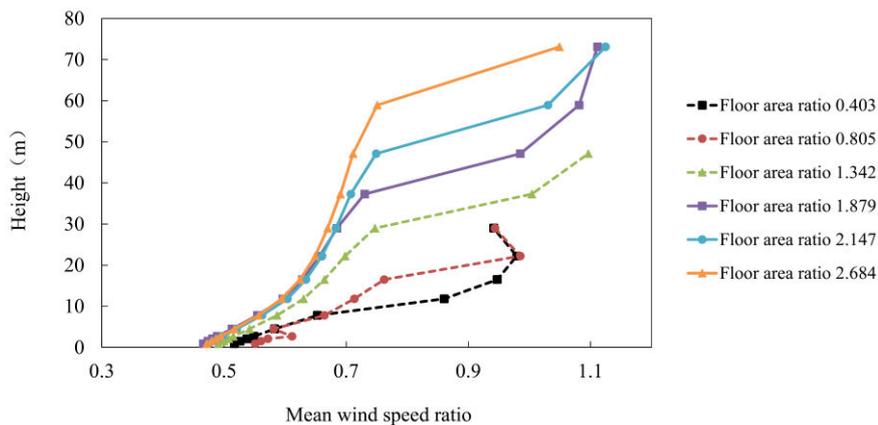


Figure 11 Correlation between the change of FAR with the average height and the mean WSR

207 3.2.4 Impact of ED on WSR

208 1) The relationship between mean WSR and the ED at the pedestrian level

209 As shown in Figure 12, as the ED rises, the area of low WS in the center of the site  
 210 increases gradually. Specifically, when the closure is 0.092, the mean WSR is 0.630, which  
 211 then dwindles with the increasing ED. In addition, when the ED is 0.378, the maximum WS  
 212 drops to the minimum of 5.74 m/s, and the minimum WS ranges between 0.03 and 0.05.  
 213 Furthermore, the ED is negatively correlated with the mean WSR at the pedestrian level,  
 214 which indicates that the increase in the ED will reduce the ventilation performance at the

215 pedestrian height level in the residential area.

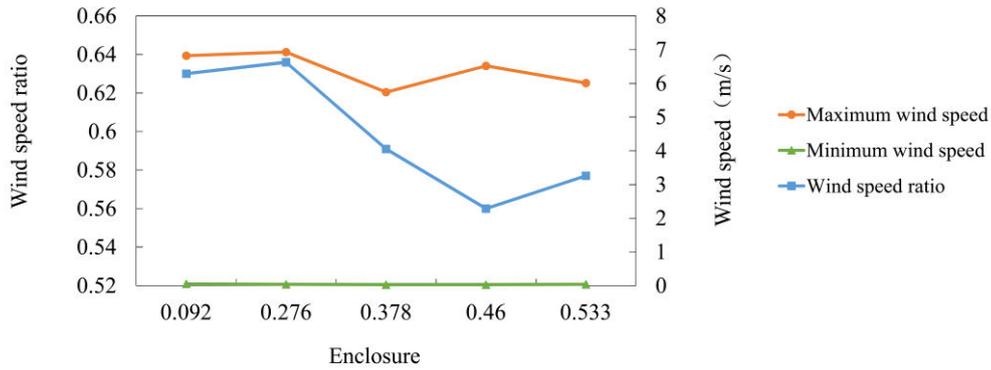


Figure 12 Correlation between the mean pedestrian level WSR and ED

216 2) Relationship between WSR and ED at different height levels

217 It can be seen from Figure 13 that the mean WSR of each scene increases along with the  
 218 vertical height. When the vertical height is smaller than 30 m, at the same horizontal height,  
 219 the larger the ED, the smaller the mean WSR. In the vertical direction, the increase rate of the  
 220 mean WSR declines with the increasing ED. The increase rate of the mean WSR is the largest  
 221 at the ED of 0.092. When the vertical height increases by 1 m, the increase rate of the mean  
 222 WSR is about 0.0104 and it is the smallest when the ED is 0.533. Every 1 m increase in the  
 223 vertical height raises the mean WSR by about 0.00775. Under 30 m, the larger the ED, the  
 224 greater the wind blocking effect of the scene. The increase in ED will lead to a general  
 225 reduction in the ventilation efficiency around residential buildings.

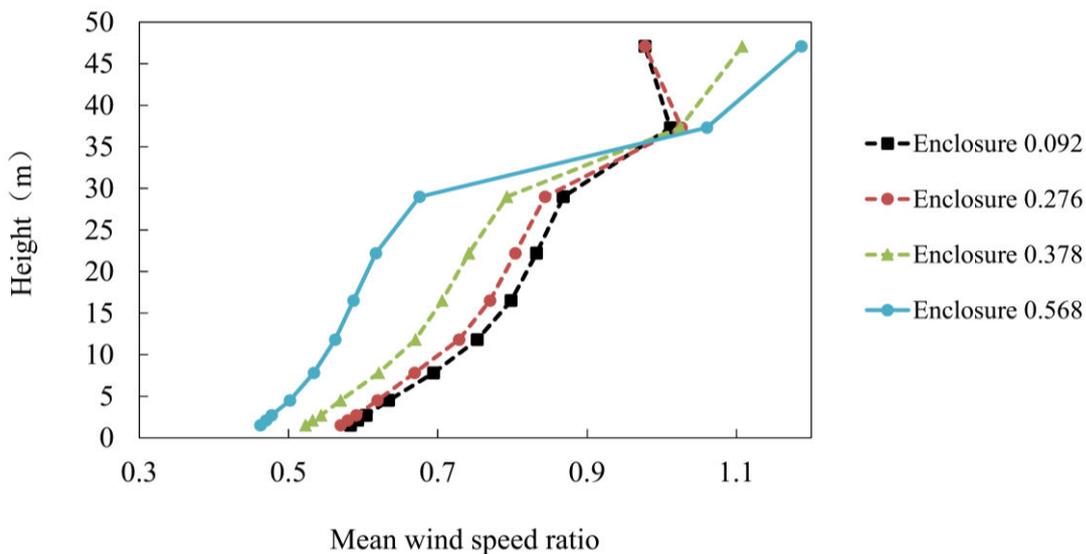


Figure 13 Correlation between the mean WSR and different EDs in the vertical direction

226 3.2.5 Impact of HF on WSR

227 1) Relationship between the mean WSR and HF at the pedestrian level

228 As shown in Figure 14, mean WSR decreases with the rising HF at the pedestrian level.  
229 When the HF is 10, the ratio of mean WS at the pedestrian height level is 0.560, which then  
230 decreases slowly with the increasing HF. The maximum WS increases slowly with the rising  
231 HF. When the HF is 70, the maximum WS reaches the maximum of 5.57 m/s, and the  
232 minimum WS always ranges between 0.03 and 0.09, showing a descending trend. It can be  
233 seen that the HF has a negative correlation with the mean WSR at the pedestrian level and the  
234 minimum WS of the site, and a positive relationship with the maximum WS of the site. It  
235 shows that the construction of high-rise residential buildings will reduce the ventilation  
236 efficiency at the pedestrian level, and result in high WS.

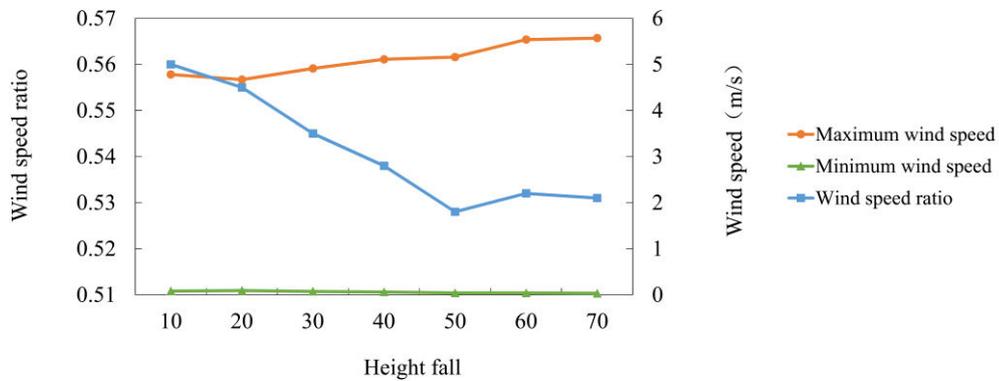


Figure 14 Correlation between the mean WSR at the pedestrian level and HF

237 2) Relationship between vertical WSR and HF

238 Figure 15 shows that the mean WSR of each scene increases with the rising vertical  
239 height, except for the scene with a HF of 10 m. When the vertical height is below 20 m, the  
240 correlation between different HF and the mean WSR at the same horizontal height is weak.  
241 For every 1 m increase in vertical height, the mean WSR corresponding to different HF  
242 increases by about 0.018. When the vertical height is greater than 20 m, the increase in the HF

243 will cause a reduction in the mean WSR. When the HF is 70, the increase rate of the mean  
 244 WSR is the largest. Each 1 m increase in the vertical height will lead to an increase of 0.0027  
 245 in the mean WSR. The possible reason is that the wind is hindered by four high-rise buildings.  
 246 It is thus can be concluded that the variation of the HF impacts the mean WSR mostly in the  
 247 area above the average height of the site. The larger the HF, the more obvious the blocking  
 248 effect of high-rise buildings on the wind.

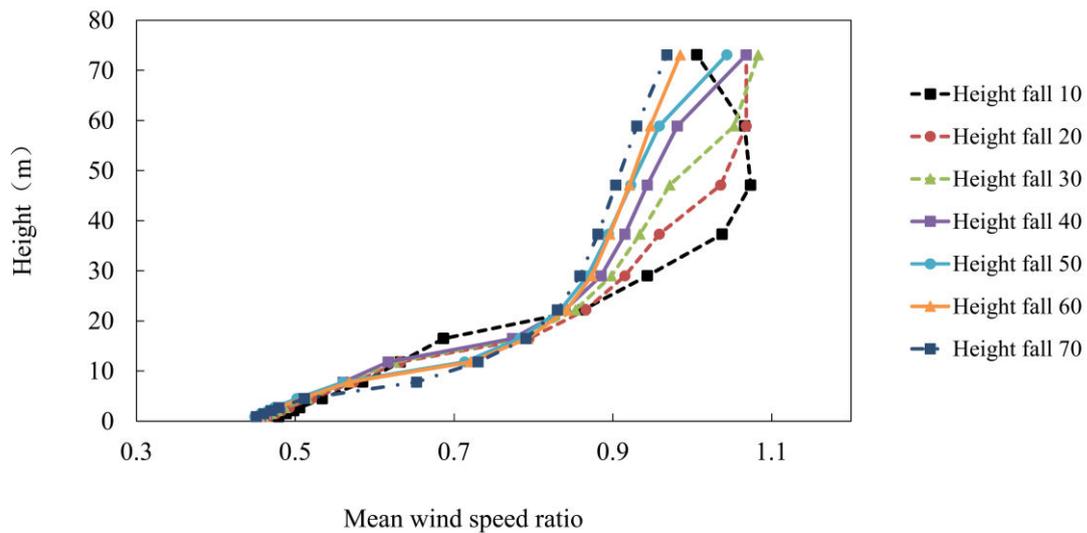


Figure 15 Correlation between the mean WSR and different HF in the vertical direction

### 249 3.2.6 Impact of MBH on WSR

#### 250 1) Relationship between mean WSR and MBH at the pedestrian level

251 It can be seen from Figure 16 that with the increasing MBH, the area of the low WS  
 252 region gradually increases, and so does the area affected by the high speed winds around the  
 253 highest building. Specifically, when the MBH is 30 m, the mean WSR at the pedestrian level  
 254 is 0.560, which then dwindles with the rising maximum height. The maximum WS first  
 255 decreases from 4.71 to 4.42 m/s, then slowly to 4.97 m/s, during which the minimum WS  
 256 varies from 0.03 to 0.08. Conclusions can thus be drawn that the MBH has a negative  
 257 correlation with the mean WSR at the pedestrian level, while has little correlation with the  
 258 maximum WS or the minimum WS.

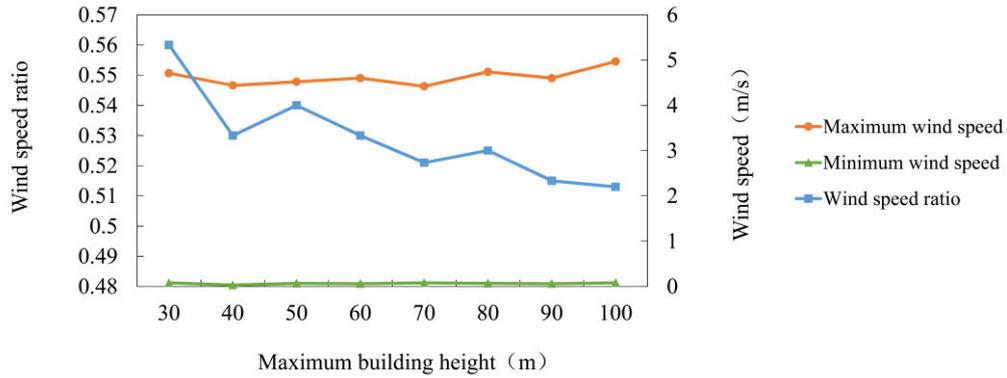


Figure 16 Correlation between the mean WSR at the pedestrian level and MBH

259 2) Relationship between WSR and MBH at different height levels

260 Figure 17 shows that, in general, the mean WSR of each scene increases along with the  
 261 vertical height, and the correlation between the maximum height and the mean WSR is weak  
 262 at any horizontal height. When the vertical height is below 20 m, the increase rate of the mean  
 263 WSR in different scenes tends to be equal. For every 1 m increase in the vertical height, the  
 264 mean WSR of each scene increases by about 0.014. When the maximum height is 100 m, the  
 265 mean WSR is relatively large. When the vertical height is above 20 m, the correlation of the  
 266 maximum height with the mean WSR is weak. When the maximum height is 80 m, the  
 267 increase rate of the mean WSR is the lowest. Every 1 m increase in vertical height raises the  
 268 mean WSR by about 0.012. Thus, there is no significant correlation between the maximum  
 269 height and the mean WSR, and the ABH of the site is the dividing line that affects the change  
 270 rate of the mean WSR in the vertical direction.

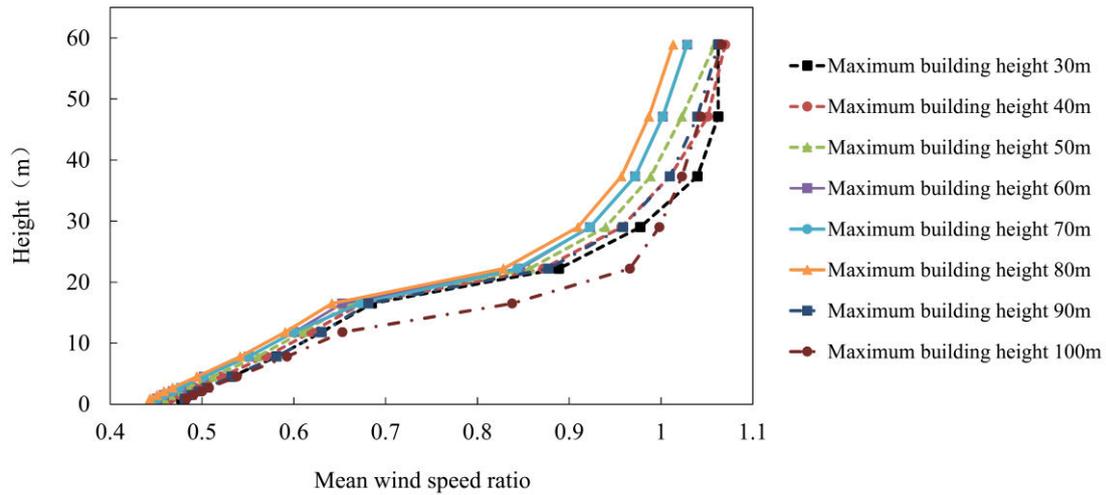


Figure 17 Correlation between the MBH and the mean WSR of different sites in the vertical direction

### 271 3.3 Multi-factor correlation between vertical wind environment and morphological index

272 The single factor analysis of wind environment in residential area is insufficient.  
 273 Therefore, based on the previous sections, the prediction formulas for the mean WSR at the  
 274 pedestrian level and vertical wind environment are established respectively.

#### 275 1) Multiple linear regression formula for WSR at the pedestrian level

276 The results of the 37 scenarios simulated above are integrated (According to Table 2),  
 277 and the regression equation of the average pedestrian horizontal mean WSR is obtained  
 278 through SPSS software. Due to the differences in the order of magnitude and unit of each  
 279 index, the following regression equation and  $R^2$  are finally obtained by standardizing the data:

$$280 U_1 = 0.42FAR - 0.269E - 1.96ABH - 0.72BD - 4.186HF + 3.467MBH (R^2 = 0.855) (1)$$

281 In formula (1),  $U_1$  is the mean WSR at the pedestrian level in the residential area under  
 282 the specific form combination, ABH is the ABH, FAR denotes the FAR, BD is the BD, E is  
 283 the ED of the residential area, HF is the HF, and MBH is the MBH. It can be seen that  $R^2$  is  
 284 0.855, indicating that the sample regression effect is good; F test statistic  $f = 28.565$ , and  
 285 associated probability  $p < 0.001$ , which indicates that there is a linear regression relationship  
 286 between the independent variable and the dependent variable; the independent variables with  
 287 an associated probability  $p$  below 0.05 include average height ( $P < 0.013$ ), BD ( $P < 0.001$ ),

288 HF ( $P < 0.005$ ) and maximum height ( $P < 0.015$ ). This implies that the WSR at the pedestrian  
289 level has a significant linear relationship with the average height, BD, HF and the maximum  
290 height. The influence degree of each index in descending order is as follows: the HF > the  
291 MBH > the ABH > the BD > the FAR > the ED.

292 2) Multiple linear regression formula for vertical WSR prediction

293 The simulation results of the above 381 scenarios are taken as the basic data and  
294 standardized to obtain the following regression equation and  $R^2$ :

$$\begin{aligned} 295 \quad & U_2 = \\ 296 \quad & 0.883VH - 0.252ABH - 0.112FAR - 0.07BD - 0.036E - 0.637HF + 0.519MBH (R^2 = \\ 297 \quad & 0.801) \quad (2) \end{aligned}$$

298 In formula (2),  $U_2$  is the mean WSR at any horizontal height in the residential area under  
299 the specific combination of forms, VH is the vertical height. It can be seen that the coefficient  
300  $R^2$  is 0.801, which indicates that the regression effect of the sample is good; the statistic of F  
301 test is  $f = 212.42$ , and the associated probability is  $p < 0.001$ , indicating that there is a linear  
302 regression relationship between the independent variable and the dependent variable.  
303 Furthermore, the vertical height ( $P < 0.001$ ) is the only the independent variable with an  
304 associated probability ( $p$ ) of below 0.05, which indicates that there is a significant linear  
305 relationship between the vertical height and the WSR of any horizontal plane. The  
306 relationship between other building shape indexes and vertical WSR is insignificant. The  
307 influence degree of each index in decreasing order is:  $VH > HF > MBH > ABH > FAR > BD >$   
308 ED.

#### 309 4. Discussions

310 This paper aims to establish the relationship between ventilation efficiency and building  
311 morphology of residential area. The following will be further discussed in combination with  
312 relevant research.

313 The present study shows that, the mean WSR at the pedestrian height level has a  
314 significant linear correlation with the average height, BD, HF and the MBH. Some scholars

315 also studied the relationship between the WSR at the pedestrian level and building  
316 morphology. Kubota, in a wind tunnel experimental study on the relationship between WS  
317 and BD in Japanese detached houses, concluded that the higher the BD, the smaller the mean  
318 WSR (M. M. Kubota T, Tominaga Y, et al, 2008). As in the case study of Feng et al., the WSR  
319 at the pedestrian level is negatively correlated with the average height (Feng W, 2020). Yang  
320 et al. found that the increase in ED is not conducive to the diffusion of air pollutants in the  
321 built-up area (Yang J, 2020). The results of this paper also demonstrate that the increase in ED  
322 will reduce the ventilation efficiency of residential area, which is not conducive to the air  
323 circulation in residential area or blocks. Yang et al. simulated the summer monsoon  
324 environment in Xinjiekou area of Nanjing, and through multiple linear regression analysis,  
325 found that the WSR at the pedestrian level has a negative correlation with BD and ED, but a  
326 significant, positive linear correlation with average height (Yang, 2016). Nonetheless, the  
327 results of this study show that the mean WSR at the pedestrian level will decrease the  
328 increasing average height because of the blocking of wind by the buildings. This may be  
329 ascribed to the different building geometries, building densities, building intervals, WS and  
330 directions adopted in Yang's simulation and this study. Adamek et al. pointed out that the  
331 presence of high-rise buildings in urban space will increase the near-surface WS (Adamek K,  
332 2017). It can be concluded that the WSR at the pedestrian height level is negatively correlated  
333 with the BD, the average height and the ED, yet positively related to the MBH. In practice,  
334 planners and designers can refer to these research results to improve the safety and comfort of  
335 the wind environment in residential areas.

336 In terms of the vertical wind profile, the single factor analysis results show that the  
337 increase in each single indicator of building morphology will lead to the reduction in  
338 ventilation efficiency. The results of multi-factor analysis demonstrate that the mean WSR has  
339 a significant positive correlation with the vertical height, and an insignificant linear  
340 relationship with other building morphology indicators. The relevant studies focus mostly on  
341 the scale of city. The research results of Liu et al. proved that the wind profiles in urban center  
342 and rural area are quite different, and so are the degrees to which WS increases with the  
343 height from the ground (Liu, 2011). Grimmond and Oke et al. reported that the horizontal  
344 component of wind profile becomes smaller due to the blocking of urban built-up area

345 (Grimmond C S B, 1999). In the present study, by observing the shape of the wind profile, it  
346 can be seen that the wind in the area with buildings is greatly blocked and the ventilation  
347 efficiency is reduced, while the WS in the area without buildings is significantly increased.  
348 Yuan et al. studied the ways to improve the ventilation in high-density cities, and by  
349 comparing the differences in vertical wind profiles, proposed a strategy to improve the  
350 ventilation at the pedestrian level by separating single buildings and reducing the overall  
351 building coverage of the site. This strategy is consistent with the principle of reducing the BD  
352 and ED of residential area in this paper, because the increase in these two indicators will  
353 reduce the WSR at any height level (N. E. Yuan C, Norford L K, 2012). To sum up, the  
354 existence of urban built-up area inevitably reduces the near-surface ventilation efficiency. In  
355 response to this, planners and architects need to fully consider the regional meteorological  
356 conditions and building morphology.

## 357 **5. Conclusions**

358 Based on one typical determinant residential area, the present paper, through field  
359 measurement and numerical simulation method, discusses the influencing factors of the  
360 ventilation efficiency at different height levels in the residential area. The main conclusions  
361 are as follows:

362 1) The mean WS measured below 35 m in residential area and park are 1.2 m/s and 1.8  
363 m/s, respectively. Residential buildings reduce WS by about 0.6 m/s. This shows that the  
364 presence of residential buildings greatly reduces the inflow of wind. Thus, is particularly  
365 important to optimize the layout of residential buildings to let more winds in.

366 2) The results of single factor analysis show that the mean WSR at the pedestrian height  
367 level has a negative correlation with each of the indicators studied.

368 3) The results of multi-factor analysis show that the ventilation performance at different  
369 heights is positively related to the building height and the MBH. The HF has the greatest  
370 influence on the WSR at all heights in the residential area. This indicates that to improve the  
371 ventilation efficiency among buildings, the height difference should be minimized.

372 4) The research can provide data support for the establishment and improvement of wind

373 environment standards in residential areas, and provide a reference method for optimizing the  
374 ventilation efficiency in different regions, especially in static wind areas

375

376 **Funding** The study was supported by NSFC General Project (grant number 51808440),  
377 and the Opening Fund of Key Laboratory of Interactive Media Design and Equipment Service  
378 Innovation, Ministry of Culture and Tourism (grant number 20205) .

379 **Author contributions** Wei Feng and Meng Zhen designed the experiments, Qishu Zou  
380 carried out field measurement, Wei Ding ran simulations, analyzed the results, and wrote the  
381 manuscript. The authors read and approved the final manuscript.

## 382 **6. Declarations**

383 **Ethics approval and consent to participate** Not applicable.

384 **Consent for publication** All the authors have read and approved the manuscript for  
385 publication.

386 **Competing interests** The authors declare no competing interests.

387 **Data availability** Data will be sent based on request.

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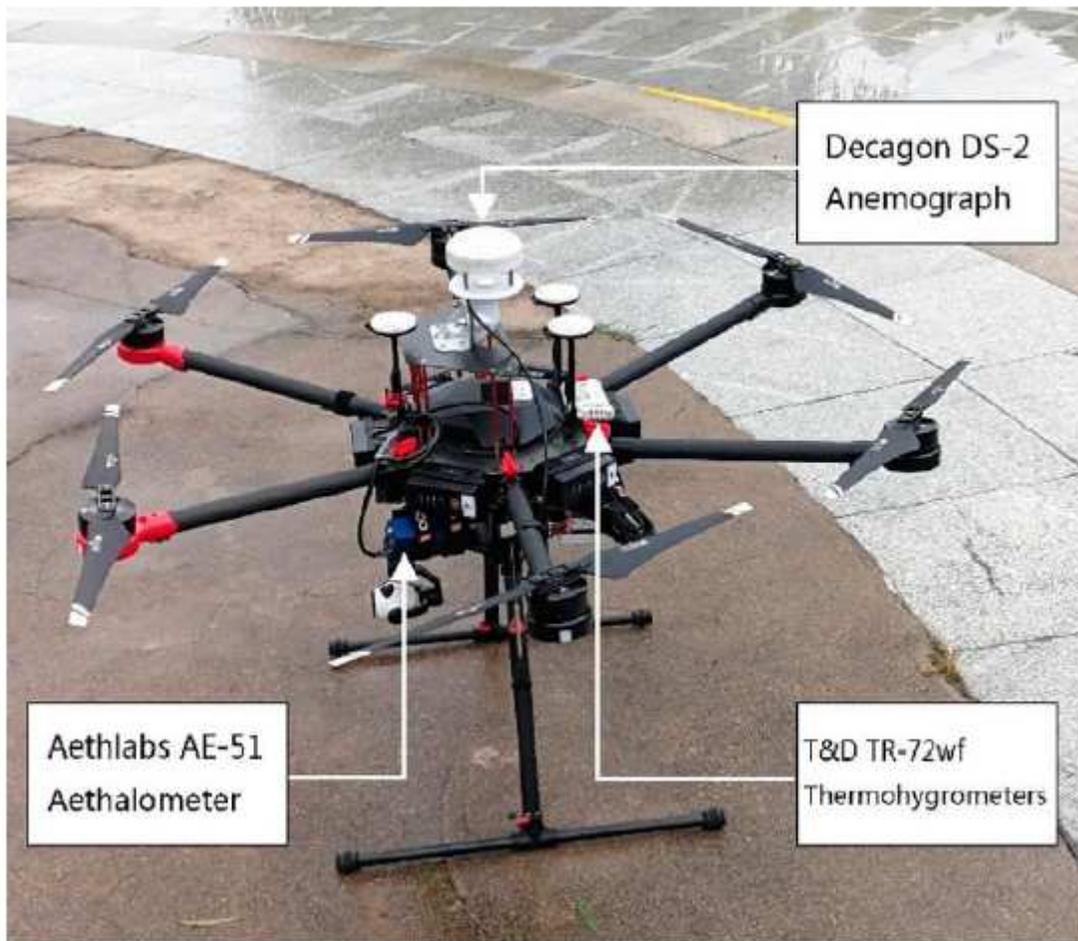
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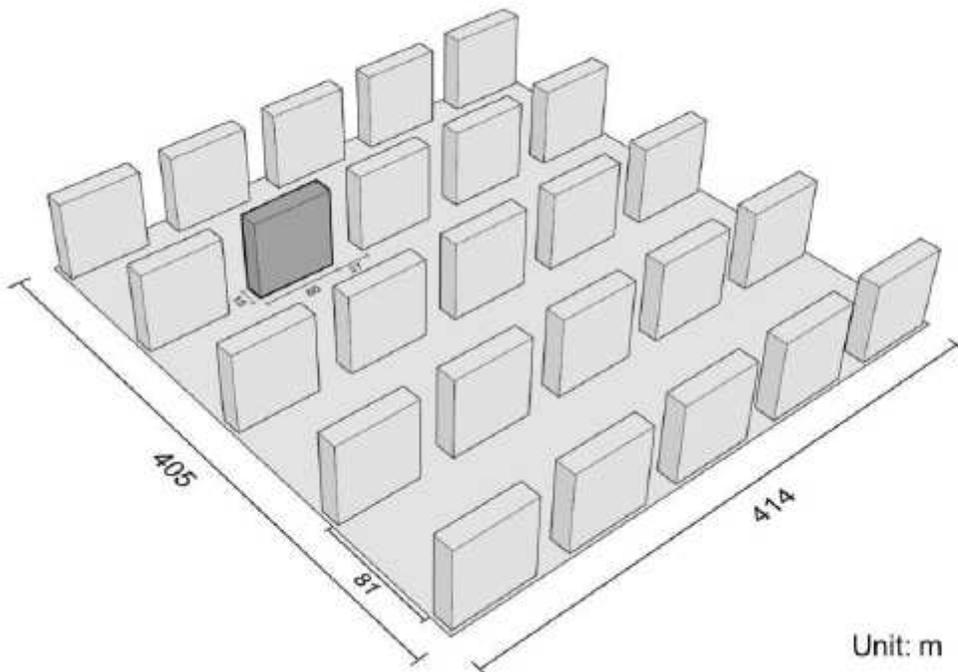
476

# Figures



**Figure 1**

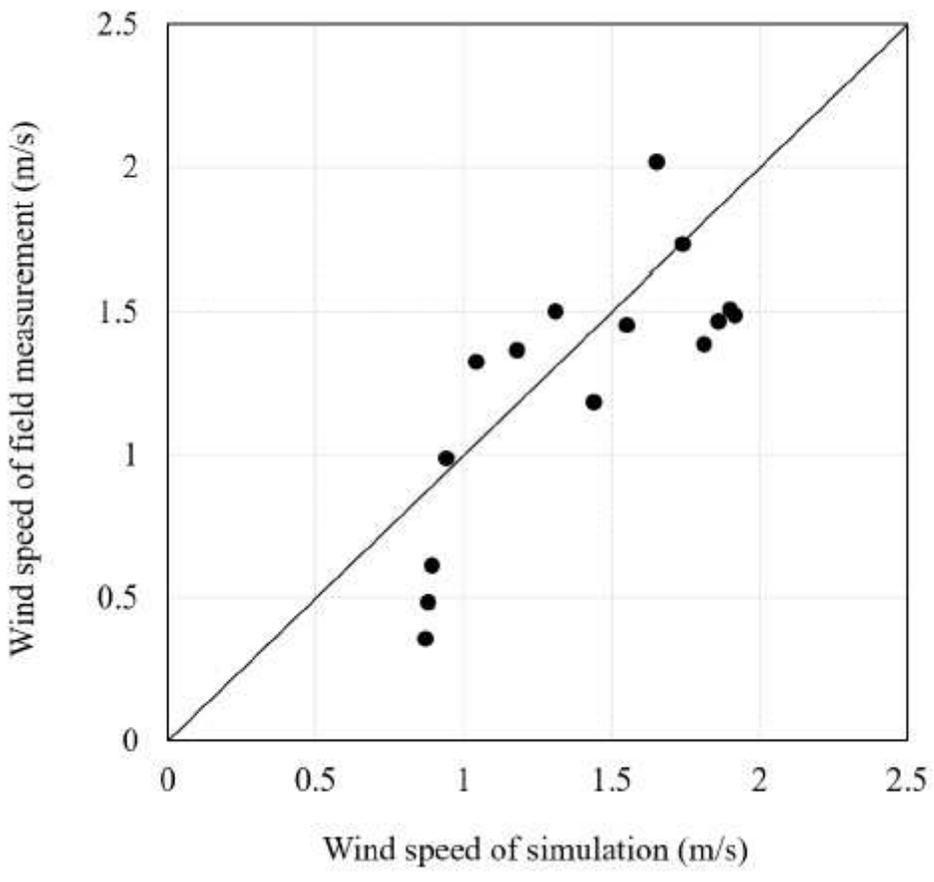
UAV and test equipment (Zhen M, 2019)



Unit: m

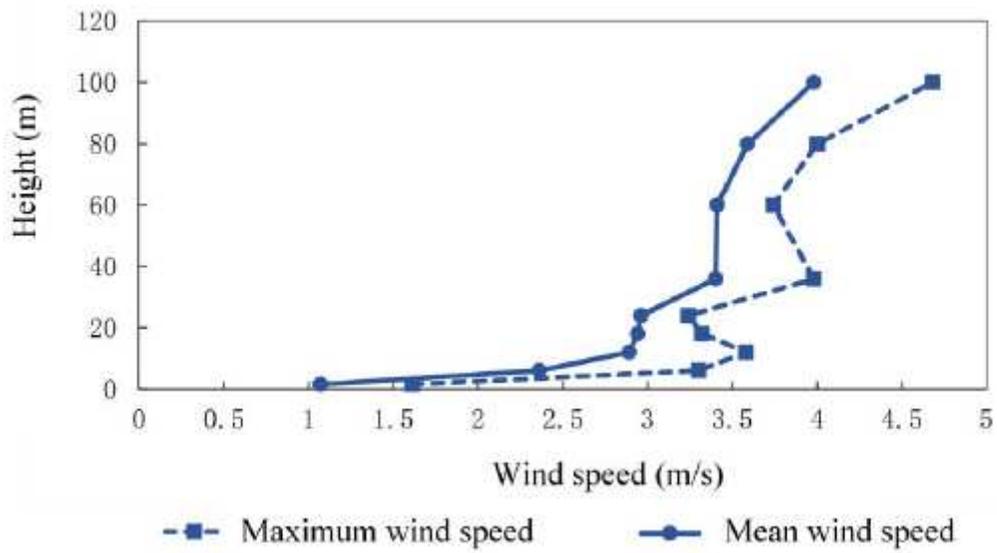
Figure 2

Basic model



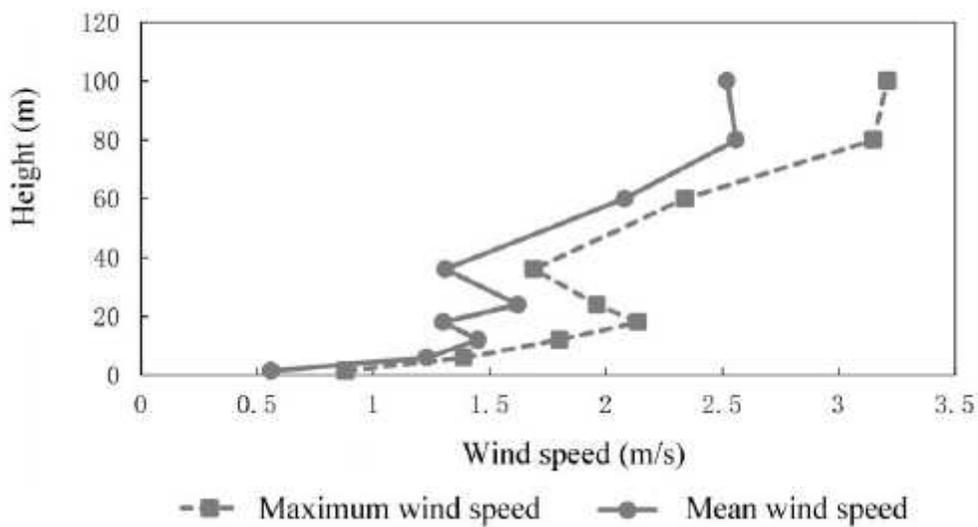
**Figure 3**

Correlation between measured and simulated results of vertical WS



**Figure 4**

The WS at different heights in Zishui Park in Xi'an



**Figure 5**

The WS at different heights at Shijiaxingcheng in Xi'an

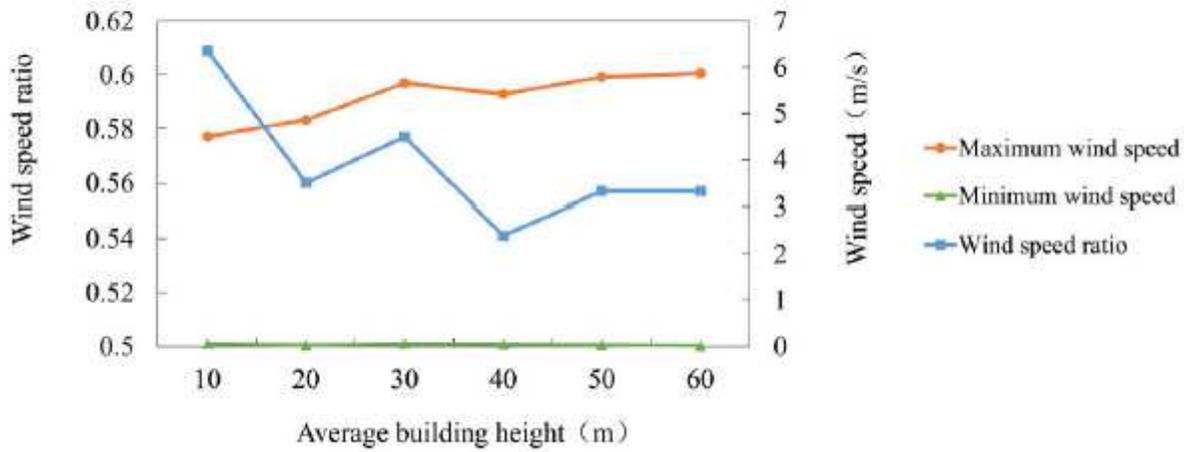


Figure 6

Correlation between mean WSR and ABH

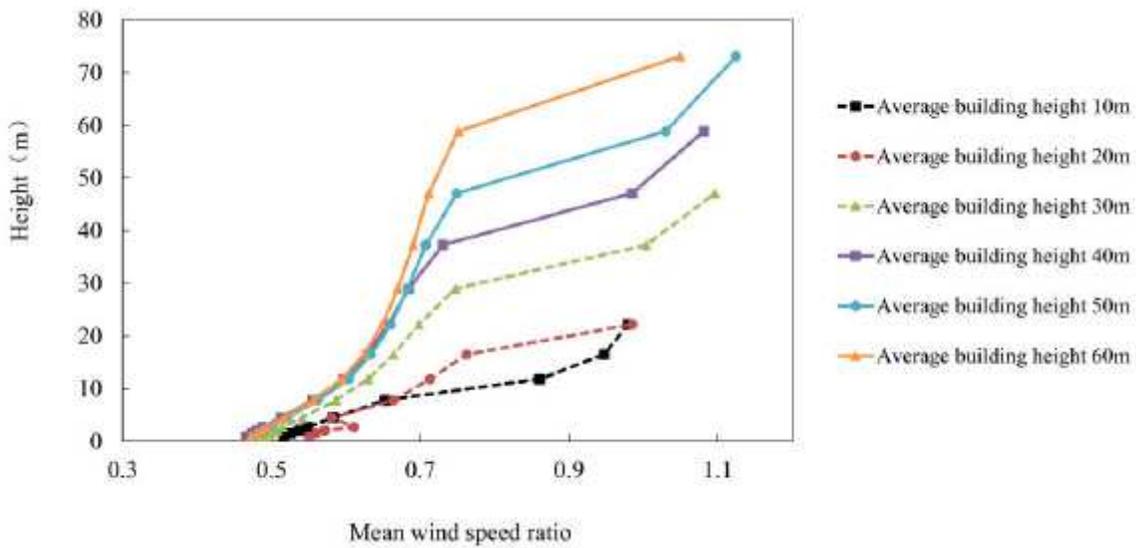
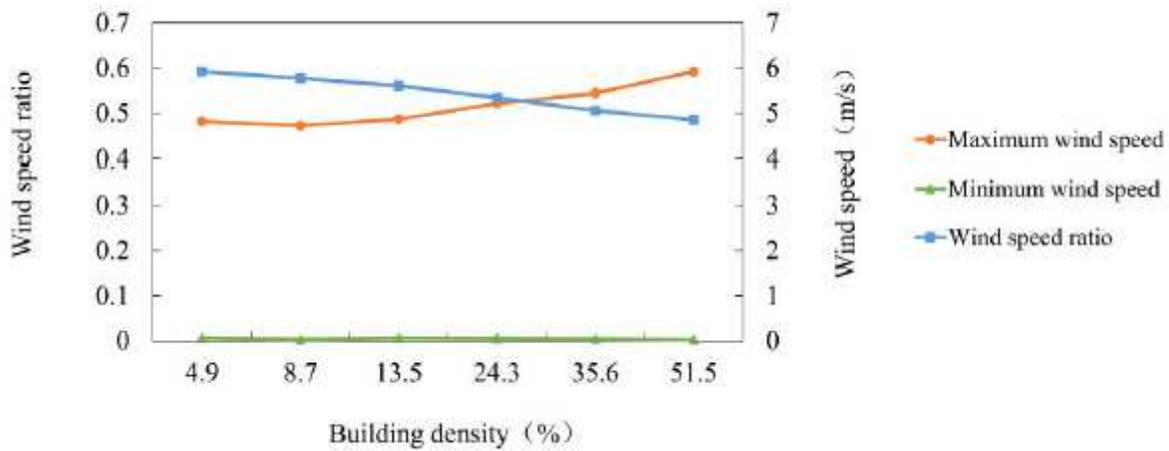


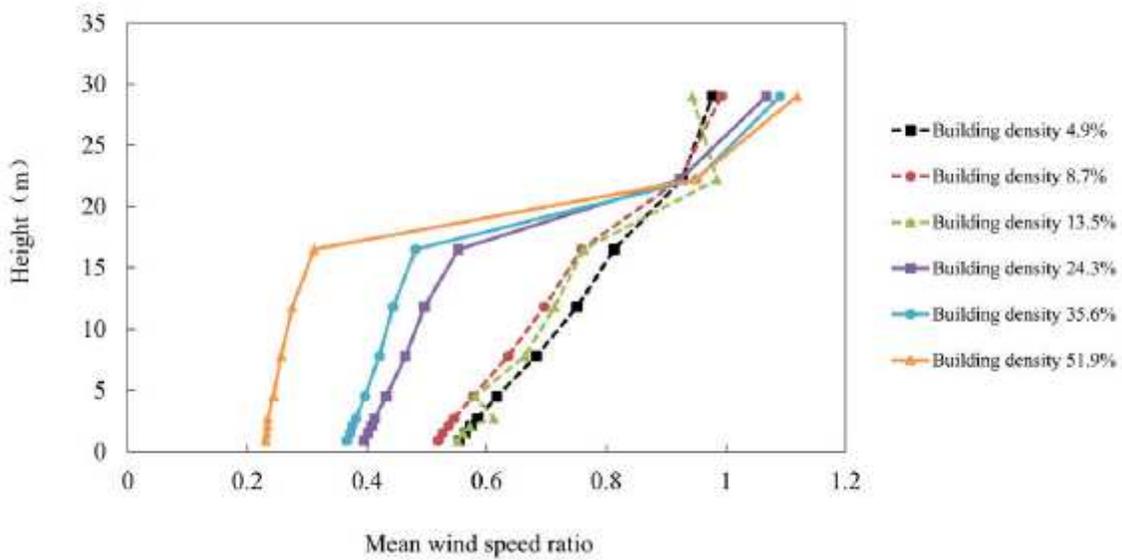
Figure 7

Correlation between the ABH and the mean WSR in the vertical direction



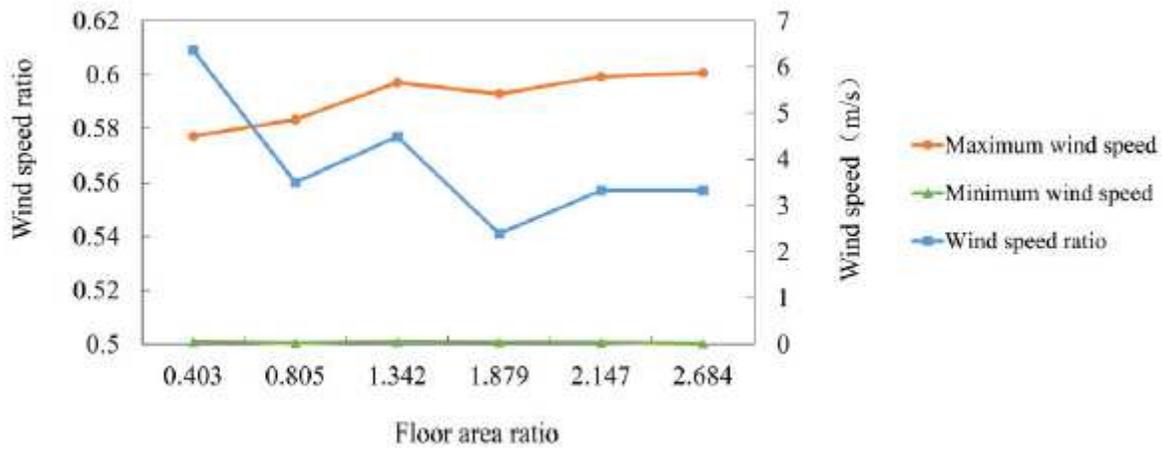
**Figure 8**

Correlation between the mean WSR at the pedestrian level and BD



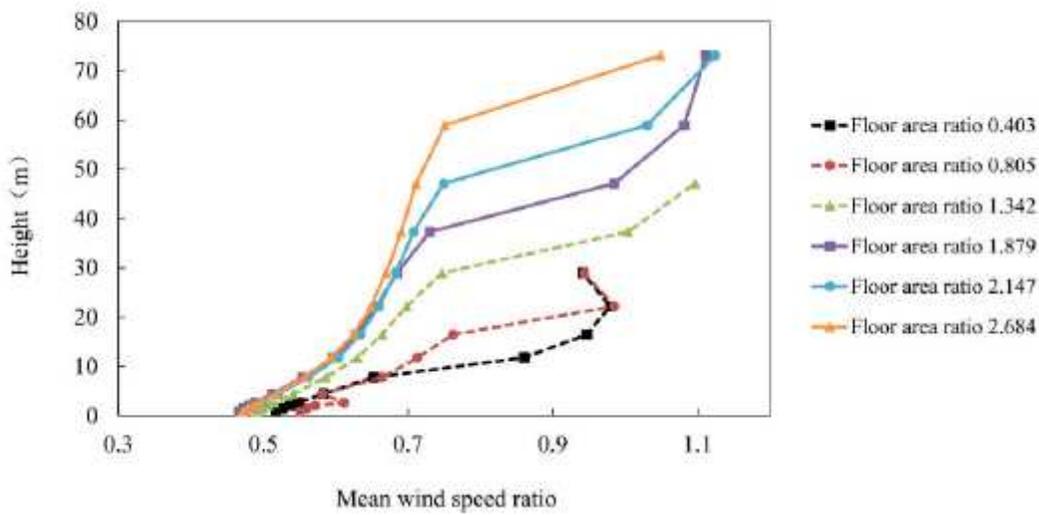
**Figure 9**

Variation curve between different BD and mean WSR in the vertical direction



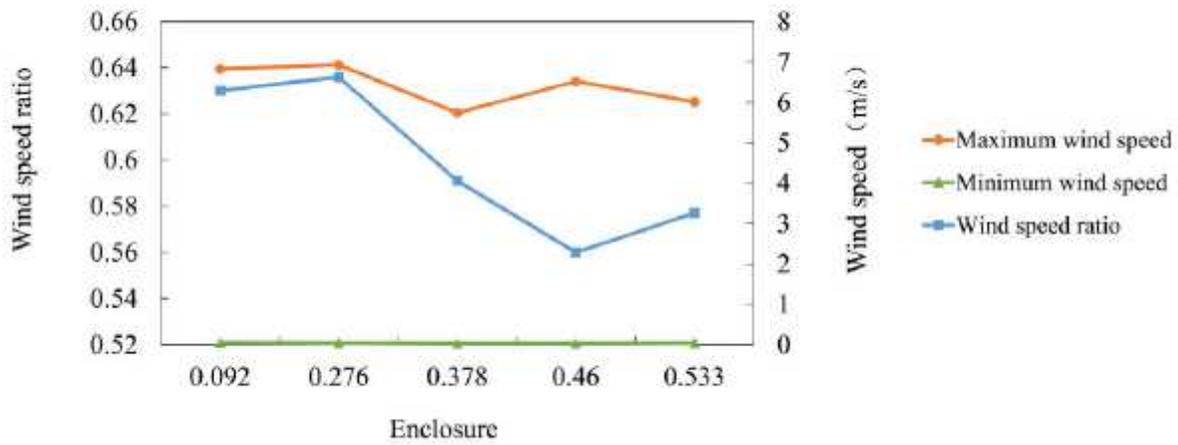
**Figure 10**

Correlation between mean WSR at the pedestrian level and FAR



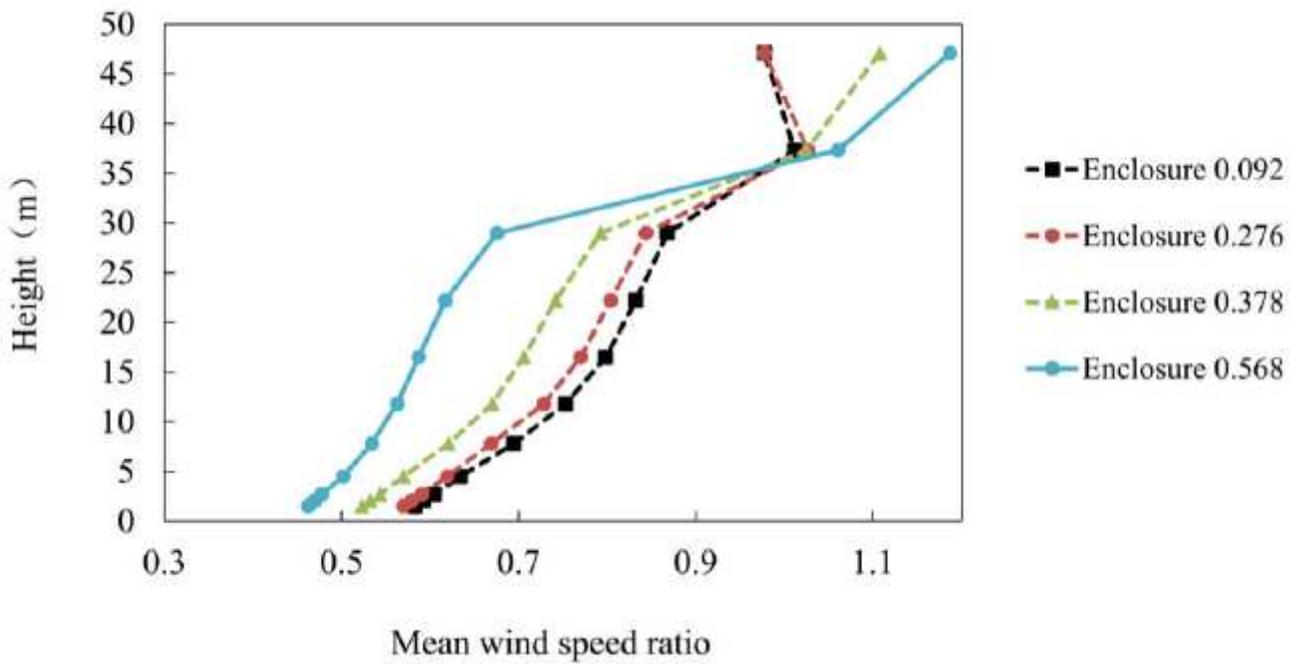
**Figure 11**

Correlation between the change of FAR with the average height and the mean WSR



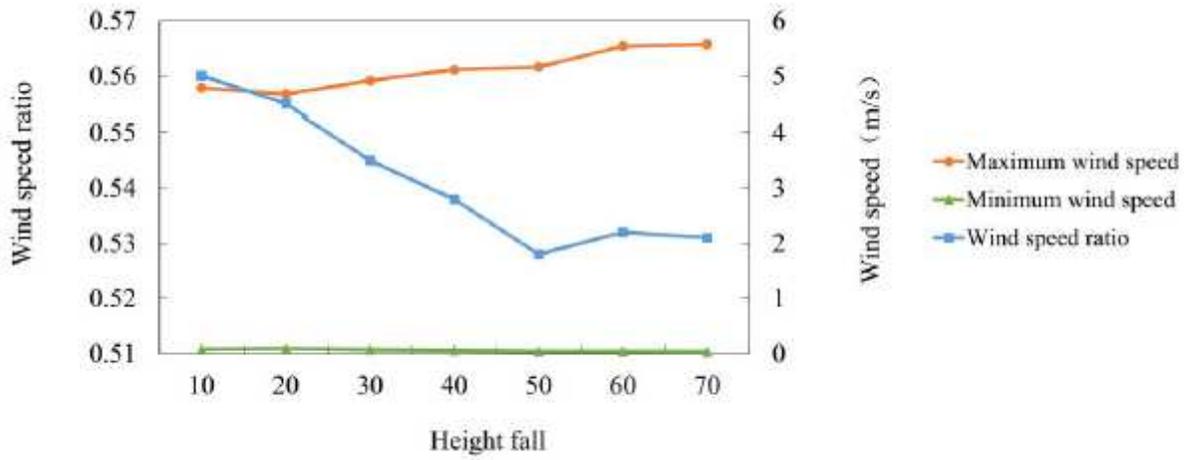
**Figure 12**

Correlation between the mean pedestrian level WSR and ED



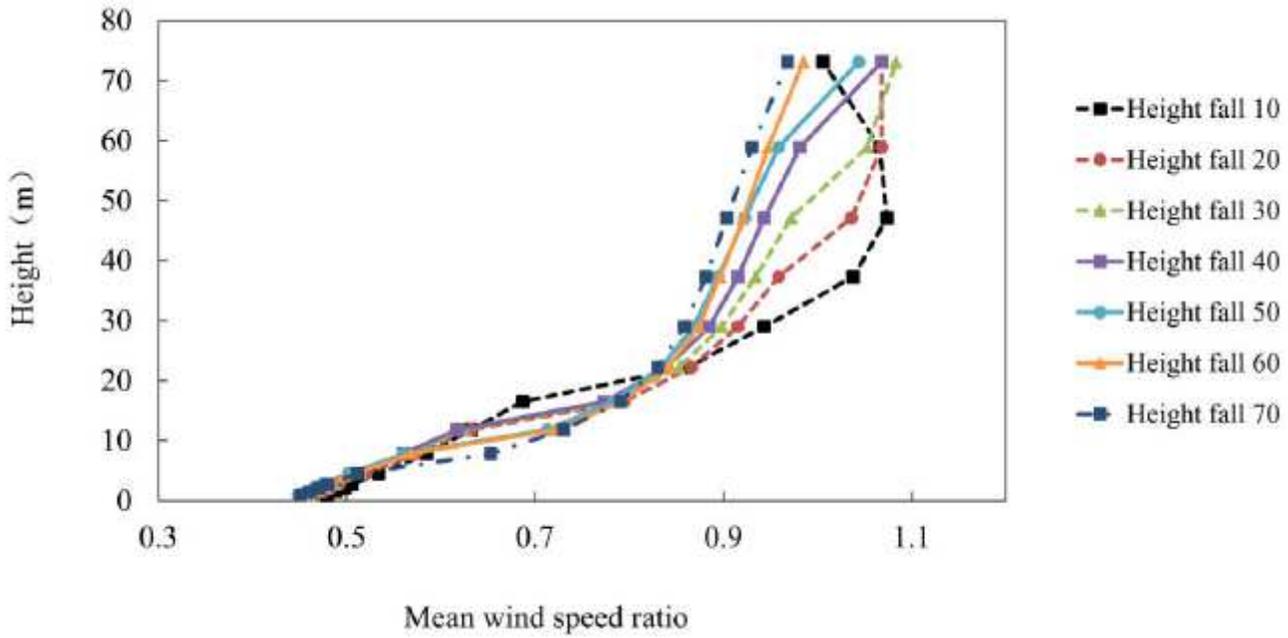
**Figure 13**

Correlation between the mean WSR and different EDs in the vertical direction



**Figure 14**

Correlation between the mean WSR at the pedestrian level and HF



**Figure 15**

Correlation between the mean WSR and different HF in the vertical direction

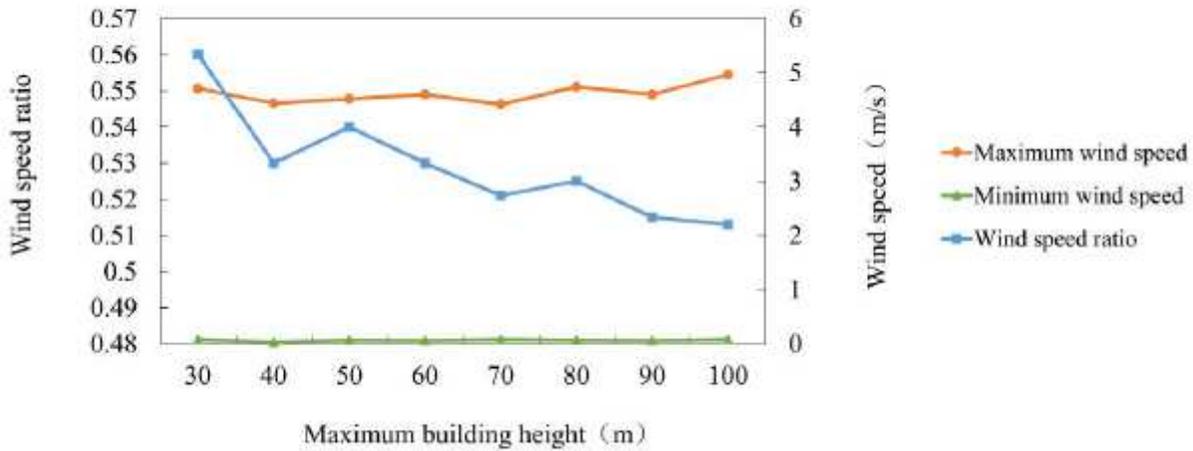


Figure 16

Correlation between the mean WSR at the pedestrian level and MBH

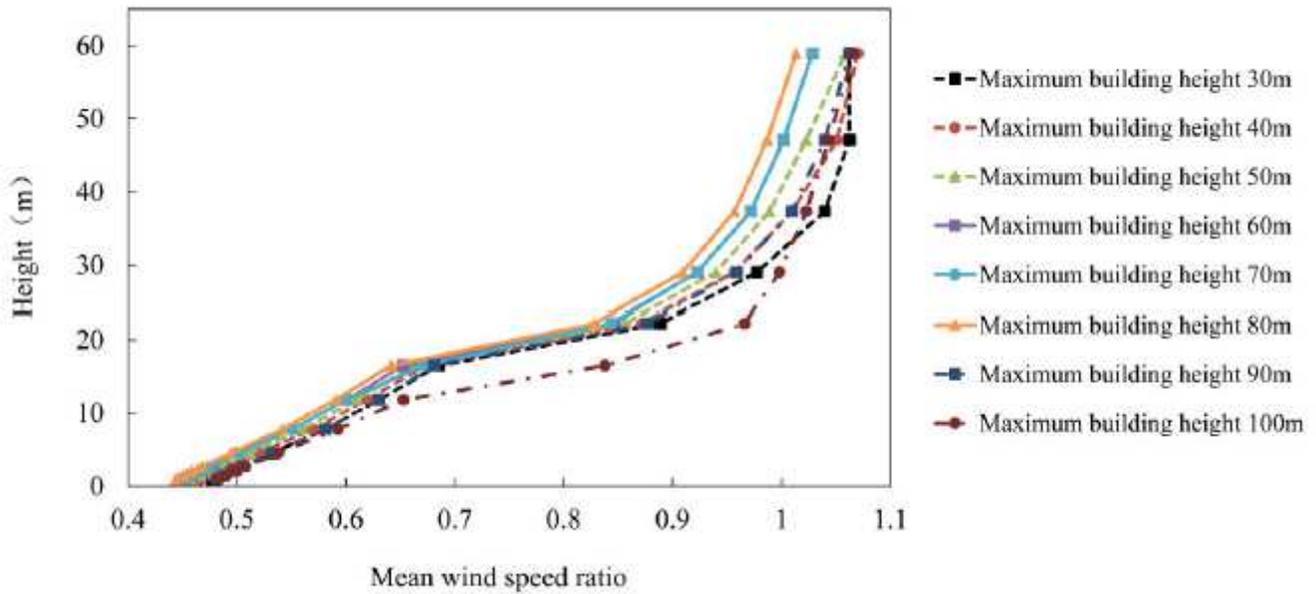


Figure 17

Correlation between the MBH and the mean WSR of different sites in the vertical direction