

Virucidal and antiviral activity of astodrimer sodium against SARS-CoV-2 in vitro

Jeremy Paull (✉ jeremy.paull@starpharma.com)

Starpharma Pty Ltd <https://orcid.org/0000-0002-9981-421X>

Graham Heery

Starpharma Pty Ltd

Michael Bobardt

The Scripps Research Institute

Alex Castellarnau

Starpharma Pty Ltd

Carolyn Luscombe

Starpharma Pty Ltd

Jacinth Fairley

Starpharma Pty Ltd

Philippe Gallay

The Scripps Research Institute

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3 **Authors:** Jeremy R.A. Paull^{a,*}; Graham P. Heery ^a, Michael D. Bobardt^b, Alex Castellarnau^a;

4 Carolyn A. Luscombe^a; Jacinth K. Fairley^a; Philippe A. Gally^b

5

6 **Author affiliations:**

7 ^a Starpharma Pty Ltd, 4-6 Southampton Crescent, Abbotsford, Victoria 3067, Australia

8 ^b Department of Immunology and Microbiology, The Scripps Research Institute, La Jolla, CA

9 92307, USA

10 * Corresponding author: jeremy.paull@starpharma.com (JP)

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21 **Abstract**

22 An effective response to the ongoing coronavirus disease (COVID-19) pandemic caused by
23 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) will involve a range of
24 complementary preventive modalities. The current studies were conducted to evaluate the *in*
25 *vitro* SARS-CoV-2 antiviral and virucidal activity of astodrimer sodium, a dendrimer with broad
26 spectrum antimicrobial activity, including against enveloped viruses in *in vitro* and *in vivo*
27 models, that is marketed for antiviral and antibacterial applications. We report that astodrimer
28 sodium inhibits replication of SARS-CoV-2 in Vero E6 and Calu-3 cells, with 50% effective
29 concentrations (EC₅₀) for i) reducing virus-induced cytopathic effect of 0.002 to 0.012 mg/mL in
30 Vero E6 cells, and ii) infectious virus release by plaque assay of 0.019 to 0.032 mg/mL in Vero
31 E6 cells and 0.031 to 0.037 mg/mL in Calu-3 cells. The selectivity index (SI) in these assays was
32 as high as 2197. Astodrimer sodium was also virucidal, reducing SARS-CoV-2 infectivity by
33 >99.9% (>3 log₁₀) within 1 minute of exposure, and up to >99.999% (>5 log₁₀) shown at
34 astodrimer sodium concentrations of 10 to 30 mg/mL in Vero E6 and Calu-3 cell lines.
35 Astodrimer sodium also inhibited infection in a primary human airway epithelial cell line. The
36 data were similar for all investigations and were consistent with the potent antiviral and virucidal
37 activity of astodrimer sodium being due to inhibition of virus-host cell interactions, as previously
38 demonstrated for other viruses. Further studies will confirm if astodrimer sodium binds to SARS-
39 CoV-2 spike protein and physically blocks initial attachment of the virus to the host cell. Given
40 the *in vitro* effectiveness and significantly high SI, astodrimer sodium warrants further
41 investigation for potential as a nasally administered or inhaled antiviral agent for SARS-CoV-2
42 prevention and treatment applications.

43 **Keywords:** Astodrimer; COVID-19; dendrimer; antiviral; SARS-CoV-2; SPL7013

45 **1. Introduction**

46 The ongoing pandemic coronavirus disease 2019 (COVID-19), caused by severe acute
47 respiratory syndrome coronavirus-2 (SARS-CoV-2) infection, has resulted in unprecedented
48 efforts to rapidly develop strategies to contain infection rates for the protection of vulnerable
49 populations. An effective public health response to the current pandemic will involve currently
50 available vaccines being complemented by supplementary preventive modalities.

51 SARS-CoV-2 receptors and coreceptors have been shown to be highly expressed in nasal
52 epithelial cells (Sungnak et al., 2020). This finding is consistent with the virus infectivity or
53 replication pattern along the respiratory tract, which peaks proximally (nasal cavity) and is
54 relatively minimal in the distal alveolar regions (Hou et al., 2020). These findings suggest that
55 nasal carriage of the virus is a key feature of transmission, and that nasally administered
56 therapeutic modalities could be potentially effective in helping to prevent spread of infection.

57 Astodrimer sodium (SPL7013) is a generation-four lysine dendrimer with a polyanionic surface
58 charge (McCarthy et al., 2005) that is active against several enveloped and non-enveloped
59 viruses including human immunodeficiency virus-1 (HIV-1) (Lackman-Smith et al., 2008,
60 Tyssen et al., 2010), herpes simplex virus (HSV)-1 and -2 (Gong et al., 2005), H1N1 and H3N2
61 influenza virus, human respiratory syncytial virus (HRSV), human papillomavirus (HPV),
62 adenovirus and Zika virus (unpublished data). Astodrimer sodium also has antibacterial
63 properties. Both size and surface charge contribute to the function of the compound (Tyssen et
64 al., 2010), and when administered topically, astodrimer sodium is not absorbed systemically
65 (Chen et al., 2009; O'Loughlin et al., 2010; McGowan et al., 2011).

66 Vaginally administered astodrimmer sodium protected macaques from infection with chimeric
67 simian-HIV-1 (SHIV)_{89.6P} (Jiang et al., 2005), and mice and guinea pigs from HSV-2 infection
68 (Bernstein et al., 2003) in vaginal infection challenge models. Astodrimmer 1% Gel (10 mg/mL
69 astodrimmer sodium) administered vaginally has been shown to be safe and effective in phase 2
70 and large phase 3 trials for treatment and prevention of bacterial vaginosis (BV) (Chavoustie et
71 al., 2020; Waldbaum et al., 2020; Schwebke et al., 2021) and is marketed in Europe, Australia,
72 New Zealand and several countries in Asia.

73 The current studies were conducted to assess the antiviral and virucidal activity of astodrimmer
74 sodium against SARS-CoV-2 *in vitro*, to determine its potential as a reformulated, nasally
75 administered or inhaled antiviral agent to help prevent spread of SARS-CoV-2 infection.

76 **2. Materials and methods**

77 **2.1 Virus, cell culture, astodrimmer sodium and controls**

78 SARS-CoV-2 hCoV-19/Australia/VIC01/2020 was a gift from Melbourne's Peter Doherty
79 Institute for Infection and Immunity (Melbourne, Australia). Virus stock was generated at
80 360Biolabs (Melbourne, Australia) by two passages in Vero cells in virus growth media, which
81 comprised Minimal Essential Medium (MEM) without L-glutamine supplemented with 1% (w/v)
82 L-glutamine, 1.0 µg/mL of L-(tosylamido-2-phenyl) ethyl chloromethyl ketone (TPCK)-treated
83 trypsin (Worthington Biochemical, NJ, USA), 0.2% bovine serum albumin (BSA) and 1%
84 insulin-transferrin-selenium (ITS).

85 SARS-CoV-2 2019-nCoV/USA-WA1/2020 strain was isolated from an oropharyngeal swab
86 from a patient with a respiratory illness who developed clinical disease (COVID-19) in January
87 2020 in Washington, US, and sourced from BEI Resources (NR-52281). Virus was derived from

88 African green monkey kidney Vero E6 cells or lung homogenates from human angiotensin
89 converting enzyme 2 (hACE2) transgenic mice.

90 Vero E6 and human Calu-3 cell lines were cultured in MEM without L-glutamine supplemented
91 with 10% (v/v) heat-inactivated fetal bovine serum (FBS) and 1% (w/v) L-glutamine. Vero E6
92 and Calu-3 cells were passaged for a maximum of 10 passages for antiviral and virucidal studies.
93 Hank's balanced salt solution (HBSS) with 2% FBS was used for infection. The 2019-
94 nCoV/USA-WA1/2020 strain antiviral assays were performed with a multiplicity of infection
95 (MOI) of 0.1.

96 The virus inoculums for virucidal assays were 10^4 , 10^5 , and 10^6 pfu/mL. After defined incubation
97 periods, the solution was pelleted through a 20% sucrose cushion (Beckman SW40 Ti rotor) and
98 resuspended in 1.5 mL MEM, which was then added to 2.5×10^4 cells/well.

99 Primary human bronchial epithelial cells (HBEpC) (Sigma-Aldrich, MO, USA) were grown and
100 maintained in HBEpC/HTEpC growth medium (Cell Applications, CA, USA). These primary
101 cells express the ACE2 receptor and are permissive to SARS-CoV-2 infection. These cells were
102 used to determine the antiviral effect of astodrimer sodium against SARS-CoV-2 in a primary
103 human airway epithelial cell line. Cells were infected with SARS-CoV-2 2019-nCoV/USA-
104 WA1/2020 at 10^3 pfu/mL with 1 mL added to 2.5×10^4 cells/well. The positive control was
105 addition of 10 μ g/mL of SARS-CoV-2 spike protein antibody (pAb, T01KHuRb)
106 (ThermoFisher, MA, USA) at the time of infection.

107 Astodrimer sodium was prepared as 100 mg/mL in water and stored at 4°C. Astodrimer sodium
108 has a molecular weight of 16581.57 g/mol and the structure is described and illustrated in Tyssen

109 et al., 2010. The purity of the compound used in these studies was assessed by ultra-high-
110 performance liquid chromatography (UPLC) to be 98.79%.

111 Remdesivir (MedChemExpress, NJ, USA) was used as a positive control in the virus-induced
112 cytopathic effect (CPE) inhibition and plaque assays.

113 Iota-carrageenan (Sigma-Aldrich, MO, USA) was used in the primary epithelial cell
114 nucleocapsid and plaque assays to compare the antiviral activity of this substance with
115 astodrimmer sodium. Concentrations used are those reported to show activity against SARS-CoV-
116 2 (Bansal et al., 2020).

117 **2.2 Virus-induced cytopathic effect inhibition assay**

118 Vero E6 (ATCC-CRL1586) cell stocks were generated in cell growth medium, which
119 comprised MEM without L-glutamine supplemented with 10% (v/v) heat-inactivated FBS and
120 1% (w/v) L-glutamine. Vero E6 cell monolayers were seeded in 96-well plates at
121 2×10^4 cells/well in 100 μ L growth medium (MEM supplemented with 1% (w/v) L-glutamine,
122 2% FBS) and incubated overnight at 37°C in 5% CO₂. SARS-CoV-2 infection was established
123 by using an MOI of 0.05 to infect cell monolayers.

124 Astodrimmer sodium or remdesivir were serially diluted 1:3, 9 times and each compound
125 concentration was assessed for both antiviral efficacy and cytotoxicity in triplicate.

126 Astodrimmer sodium was added to Vero E6 cells 1 hour prior to infection or 1 hour post-
127 infection with SARS-CoV-2. Cell cultures were incubated at 37°C in 5% CO₂ for 4 days prior
128 to assessment of CPE. The virus growth media was MEM supplemented with 1% (w/v) L-
129 glutamine, 2% FBS, and 4 μ g/mL TPCK-treated trypsin. On Day 4, viral-induced CPE and
130 cytotoxicity of the compound were determined by measuring the viable cells using the

131 methylthiazolyldiphenyl-tetrazolium bromide (MTT) assay (MP Biomedicals, NSW,
132 Australia). Absorbance was measured at 540-650 nm on a plate reader.

133 **2.3 Antiviral plaque assay evaluation and nucleocapsid ELISA**

134 For the antiviral evaluation, astodimer sodium was added to cells 1 hour prior to, at the time of,
135 and 1 hour after exposing the cells to virus. For both the antiviral and virucidal assays, at 6 hours
136 after infection, cells were washed to remove astodimer sodium and/or any virus remaining in the
137 supernatant, in such way that a

138 Following initial infection, cell cultures were incubated and supernatants recovered after 16
139 hours or 4 days. The amount of virus in the supernatants was determined by plaque assay (plaque
140 forming unit [pfu]) and by nucleocapsid enzyme-linked immunosorbent assay (ELISA). The
141 plaque assay used was as described in van den Worm et al., 2012, utilizing 2% sodium
142 carboxymethyl cellulose overlay, fixation of cells by 4% paraformaldehyde and staining with
143 0.1% crystal violet. The nucleocapsid ELISA assay was as described by Bioss Antibodies, USA
144 (BSKV0001).

145 The assessment of astodimer sodium cytotoxicity occurred on Day 4 by measuring lactate
146 dehydrogenase (LDH) activity in the cytoplasm using an LDH detection kit (Cayman Chemical),
147 with 0.5% saponin used as the positive cytotoxic control.

148 **2.4 Virucidal assay**

149 For the virucidal evaluation, concentrations of astodimer sodium (0.0046 to 30 mg/mL) were
150 incubated with SARS-CoV-2 2019-nCoV/USA-WA1/2020 for times ranging from 5 seconds to
151 2 hours. To neutralize the effect of astodimer sodium, unbound compound was separated from
152 the astodimer:virus mixture by pelleting the preincubated mixture through a 20% sucrose
153 cushion (Beckman SW40 Ti rotor). The astodimer sodium-containing supernatant was removed

154 (i.e., neutralising the effect of SPL7013) and then the pelleted virus was gently resuspended and
155 added to Vero E6 or Calu-3 cell cultures. Virus infection, cell culture and cytotoxicity
156 assessment was as described for the plaque assay described in Section 2.3.

157 All antiviral and virucidal assays were performed in triplicate, except where indicated in the
158 results.

159 **2.5 Determination of 50% effective concentration (EC₅₀) and cytotoxicity (CC₅₀)**

160 The concentration of compound that gives a 50% reduction in viral-induced CPE, infectious
161 virus (pfu/mL), or secreted viral nucleocapsid (EC₅₀) was calculated using the formula of
162 Pauwels et al., 1998.

163 The concentration of compound that resulted in a 50% reduction in cell viability (CC₅₀) after
164 4 days of culture was also calculated by the formula of Pauwels et al., 1998.

165 **2.6 Primary Epithelial Cell Assay**

166 Astodrimmer sodium (0, 1.1, 3.3 and 10 mg/mL) or iota-carrageenan (0, 6, 60 and 600 µg/mL)
167 were added to HBEpC cells 1 hour prior to infection with SARS-CoV-2. Cells were cultured for
168 4 days post-infection and the cell supernatant was analysed for the amount of secreted SARS-
169 CoV-2 nucleocapsid by ELISA, and infectious virus was quantitated by plaque assay, as
170 described in Section 2.3.

171 **3. Results**

172 **3.1 Virus-induced cytopathic effect inhibition**

173 In two independent virus-induced CPE inhibition assays, astodrimmer sodium inhibited SARS-
174 CoV-2 (hCoV-19/Australia/VIC01/2020) replication in Vero E6 cells in a dose dependent

175 manner (Table 1). Astodrimmer sodium inhibited viral replication when added either 1 hour prior
176 to infection, or 1 hour post-infection with SARS-CoV-2.

177 Astodrimmer sodium was initially tested in the range of 0.0013 to 8.63 mg/mL. In the repeat set of
178 assays, astodrimmer sodium was tested in the range of 0.0001 to 0.86 mg/mL to help further
179 characterize the lower end of the dose response curve. The effective and cytotoxic
180 concentrations, and selectivity indices from the assays are shown individually and as means in
181 Table 1 for CPE determined readouts.

182 The selectivity index (SI) for astodrimmer sodium against SARS-CoV-2 in the CPE studies ranged
183 from 793 to 2197 for the initial assays where compound was added 1 hour prior to infection and
184 1 hour after infection, respectively, and was >70 to >80 in the repeat assays, in which
185 cytotoxicity was not observed up to the highest concentration tested (0.86 mg/mL).

186 The positive control, remdesivir, was also active in the CPE inhibition assay, with a SI of >33.

187 **3.2 Antiviral efficacy**

188 To determine the ability of astodrimmer sodium to inhibit globally diverse SARS-CoV-2 strains,
189 the compound was evaluated against the 2019-nCoV/USA-WA1/2020 virus in Vero E6 cells and
190 human Calu-3 cells. Antiviral readouts were based on virological endpoints of infectious virus or
191 viral nucleocapsid released into the supernatant post-infection. As shown in Table 2 and Figures
192 1 and 2, astodrimmer inhibited the 2019-nCoV/USA-WA1/2020 strain with an EC₅₀ 0.019 to 0.032
193 mg/mL and 0.031 to 0.037 mg/mL for infectious virus release as determined by plaque assay in
194 Vero E6 cell for Calu-3 cells, respectively. These data are consistent with the inhibition by
195 astodrimmer of the replication of the Australian SARS-CoV-2 isolate *in vitro*. The dose response
196 data for the nucleocapsid released into the supernatant by ELISA were similar to the infectious

197 virus release data in each cell line (data not shown). The positive control, remdesivir, was also
198 active in the plaque assay.

199 **3.3 Virucidal efficacy**

200 Virucidal assays investigated if astodrimer sodium could reduce viral infectivity by irreversibly
201 inactivating SARS-CoV-2 prior to infection of Vero E6 cells and human airway Calu-3 cells.

202 Following incubation of virus with astodrimer for up to 2 hours and neutralization of astodrimer,
203 the astodrimer-exposed virus was added to cell cultures. After either 16 hours or 96 hours (Day
204 4), the cell culture supernatant was collected for assessment of progeny viral infectivity as
205 determined by the amount of secreted infectious virus and nucleocapsid. The SARS-CoV-2
206 replication lifecycle is completed in approximately 8 hours (Ogando et al., 2020) and in these
207 studies, we sampled at 16 hours (2 lifecycles) or Day 4 (12 lifecycles) post-infection.

208 Enabling a possible 12 rounds of infection, the Day 4 (96 hour) sampling time point identified
209 that exposure of 10^6 pfu/mL SARS-CoV-2 to astodrimer sodium for 1 to 2 hours resulted in a
210 dose-dependent reduction in viral infectivity, with 10 to 30 mg/mL astodrimer sodium achieving
211 up to >99.999% ($>5 \log_{10}$) reduced infectivity in Vero E6 cells and >99.9% ($>3 \log_{10}$) reduced
212 infectivity in Calu-3 cells (data not shown) compared to untreated virus. SARS-CoV-2
213 infectivity was also reduced by up to >99.999% in Vero E6 cells when the incubation time of
214 astodrimer (10 to 30 mg/mL) with 10^6 pfu/mL virus was reduced to 15 to 30 minutes (data not
215 shown).

216 Incubation of astodrimer sodium with viral inoculums of 10^4 , 10^5 and 10^6 pfu/mL for as little as
217 5 seconds resulted in evidence of reduced infectivity, with 10 to 15 minutes exposure being
218 sufficient to achieve >99.9% reduction in virus infectivity, and greater reduction achieved with

219 lower viral inoculum (>99.999%, 10^4 pfu/mL viral inoculum, 10 to 30 mg/mL astodrimmer
220 sodium, and 10 to 15 min incubation time) (Table 3, Figure 3).

221 When assessed 16 hours post-infection of cells with astodrimmer-exposed virus, it was found that
222 ≥ 10 mg/mL astodrimmer sodium inactivated >99.9% SARS-CoV-2 (10^4 pfu/mL) within as little as
223 1 minute of exposure (Table 4, Figure 4).

224 **3.4 Antiviral Efficacy in Primary Human Airway Epithelial Cells**

225 To determine the ability of astodrimmer sodium to prevent SARS-CoV-2 infection of primary
226 human epithelial cells, the compound was evaluated against the 2019-nCoV/USA-WA1/2020
227 strain in HBEpC cell culture. Astodrimmer sodium was found to reduce infection of HBEpC
228 primary cells by SARS-CoV-2 by up to 98% vs virus control by nucleocapsid ELISA (Figure
229 5A), and by up to 95% in the plaque assay (data not shown). In contrast, treatment with iota-
230 carrageenan had minimal antiviral effect against SARS-CoV-2 in this cell line, with the highest
231 concentration tested reducing infection by just 17% by nucleocapsid ELISA (Figure 5B), and just
232 21% in the plaque assay (data not shown). The maximum level of inhibition with astodrimmer
233 sodium was comparable to inhibition achieved with the SARS-CoV-2 spike protein antibody
234 (pAb, T01KHuRb) positive control (see Figure 5A and B).

235 **4. Discussion**

236 Astodrimmer sodium demonstrated potent antiviral activity against globally diverse SARS-CoV-2
237 strains *in vitro*. Antiviral activity was demonstrated by reduction in CPE, release of infectious
238 virus and release of viral nucleocapsid protein. Antiviral activity was demonstrated when
239 astodrimmer sodium was added to cells prior to infection of cells and when the compound was

240 added to cells already exposed to SARS-CoV-2. Irreversible virucidal activity was demonstrated
241 when astodrimer sodium was mixed with virus for as little as 1 minute.

242 Of note is a significantly high SI for astodrimer sodium in the antiviral assays relative to other
243 antiviral compounds under investigation for SARS-CoV-2 activity (Pizzorno et al., 2020).

244 Remdesivir was used as the antiviral positive control for the CPE inhibition and antiviral assays
245 and the experimental EC_{50} was consistent with published data generated with a different clinical
246 isolate of SARS-CoV-2 (Wang et al., 2020).

247 Astodrimer sodium inhibited infection of a human airway primary epithelial cell by SARS-CoV-
248 2, whereas iota-carrageenan, which is a polyanionic compound in marketed nasal spray
249 formulations, failed to provide significant inhibition at concentrations that have previously been
250 shown to reduce SARS-CoV-2 infection in Vero E6 cells (Bansal et al., 2020). The unique
251 structure of astodrimer sodium, a sulphonated, roughly spherical molecule with a core and
252 densely packed branches radiating out from the core, appears to provide potential benefits over
253 other polyanionic compounds such as iota-carrageenan and heparin, which are linear sulphated
254 molecules with a distribution of molecular weight. The authors are not aware of data showing
255 that iota-carrageenan is virucidal, while heparin has demonstrated a lack of irreversible, virucidal
256 interaction with HSV virion components (Ghosh et al., 2009).

257 The antiviral data are consistent with astodrimer sodium being a potent inhibitor of early events
258 in the virus lifecycle. The virucidal assay data suggest that astodrimer sodium antiviral activity
259 was consistent with the proposed mechanism of action of binding to virus, thereby irreversibly
260 inactivating virus and blocking infection.

261 The virucidal activity of astodrimer sodium demonstrated that it irreversibly inhibits the early
262 phase of virus infection and replication. These findings suggest potent inhibition of viral
263 attachment, fusion and entry of the virus, which prevents virus replication and release of
264 infectious virus progeny.

265 Astodrimer sodium has been previously found to be an effective antiviral that exerts its inhibition
266 in the early virus-host receptor recognition interactions (Tyssen et al., 2010; Telwatte et al.,
267 2011), and its potential mechanism of action against SARS-CoV-2 is likely similar to that
268 identified for other pathogens. Astodrimer sodium was found to bind to HIV-1 by strong
269 electrostatic forces to positively charged clusters of highly conserved amino acids on HIV-1
270 gp120 protein and/or positively charged amino acid regions located between the stems of V1/V2
271 and V3 loops, which are exposed by conformational changes to gp120 after viral binding to the
272 receptor/co-receptor complex (Tyssen et al., 2010; Connell and Lortat-Jacob, 2013).

273 Many viruses utilize negatively charged heparan sulfate proteoglycans (HS) on the cell plasma
274 membrane as an initial means to scan the surface of the cell, and to attach in order to chaperone
275 the virus onto the receptor complex prior to viral entry (Sarrazin et al., 2011; Connell and Lortat-
276 Jacob, 2013). The receptor interactions occur in a sequential manner with virus-HS interactions
277 preceding receptor/co-receptor binding, which combined leads to fusion of the viral envelope
278 and the cell membrane.

279 Data indicate that astodrimer sodium-gp120 interaction may physically block initial HIV-1
280 association with HS and thereby block the subsequent virus-receptor complex functions.

281 Virucidal studies of astodrimer sodium determined that it did not disrupt the HIV-1 particle or
282 cause the loss of gp120 spike protein from the viral surface (Telwatte et al., 2011).

283 A report by Liu et al., 2020, described that densely glycosylated trimeric SARS-CoV-2 spike (S)
284 protein subunit S1, which is important for receptor binding, binds to HS. To engage with the
285 ACE2 receptor, the S protein undergoes a hinge-like conformational change that transiently
286 hides or exposes the determinants of receptor binding (Wrapp et al., 2020). Recent studies have
287 identified the binding of heparin to the receptor binding domain (RBD) of S1 resulting in a
288 conformational change to the S protein (Mycroft-West et al., 2020a, b and c). Mutations in the S
289 protein that are distal from the RBD also impact on viral transmission (Walls et al., 2020; Korber
290 et al., 2020; Yuan et al., 2020). Non-RBD polybasic cleavage sites, including S1/S2 loop
291 (Hoffmann et al., 2020a), have been described on SARS-CoV-2 S protein (Qiao and Olvera de la
292 Cruz, 2020) and may also be a site of potential interaction with astodimer sodium.

293 SARS-CoV-2 utilizes the ACE2 receptor for viral infection of host cells (Hoffmann et al.,
294 2020b). Human CoV-NL63 also utilizes HS and ACE2 as its cellular receptor complex
295 (Milewska et al., 2014). The importance of HS for viral infectivity was also demonstrated for
296 close genetically related pseudo-typed SARS-CoV (Lang et al., 2011). The potential dependence
297 of SARS-CoV-2 on HS for attachment and entry combined with antiviral data from other viruses
298 suggest that negatively charged astodimer sodium may have antiviral activity against SARS-
299 CoV-2 *in vitro* by blocking the early virus-receptor recognition events.

300 Astodimer sodium is a polyanionic dendrimer reformulated for use as a topical, nasally
301 administered antiviral agent to inactivate SARS-CoV-2 before infection can occur. The potential
302 advantages of astodimer sodium over other technologies include its lack of systemic absorption
303 following topical application (Chen et al., 2009; O'Loughlin et al., 2010; McGowan et al., 2011).
304 In addition, the SI of astodimer sodium for SARS-CoV-2 is high and in a vaginal gel
305 formulation (10 mg/mL), the compound has been shown to be safe and effective in phase 2 and

306 large phase 3 trials for treatment and prevention of BV (Chavoustie et al., 2020; Waldbaum et
307 al., 2020; Schwebke et al., 2021) and is now marketed in Europe, Australia, New Zealand and
308 several countries in Asia. Astodrimmer sodium is also the active antiviral substance in VivaGel[®]
309 condom products that have marketing authorization in Europe, Japan, Australia/New Zealand
310 and Canada. However, these current formulations are not appropriate for use to protect the
311 respiratory tract from SARS-CoV-2 infection.

312 **5. Conclusions**

313 Data from the current studies, taken together with studies of astodrimmer sodium antiviral activity
314 against HIV-1, and HSV-1 and -2, indicate that the compound exerts its antiviral activity against
315 geographically diverse SARS-CoV-2 isolates by interfering with the early virus-cell recognition
316 events. Astodrimmer sodium is a potent virucidal agent that reduces the infectivity of SARS-CoV-
317 2 by >99.9% after 1 minute of exposure to the virus. These studies support astodrimmer sodium
318 being able to prevent early virus entry steps such as attachment, thereby reducing or preventing
319 viral infection or cell-cell spread.

320 An antiviral agent such as astodrimmer sodium that blocks binding of the virus to target cells could
321 potentially be used as a preventive and/or a therapeutic agent against SARS-CoV-2. These
322 antiviral studies suggest that reformulation of astodrimmer sodium for delivery to the respiratory
323 tract may be an effective preventive strategy to block SARS-CoV-2 transmission and augment
324 other protective and therapeutic strategies.

325 The potent antiviral and virucidal activity of astodrimmer sodium against SARS-CoV-2 warrants
326 further investigation.

327 **Tables**

328 **Table 1: Antiviral efficacy, measured by a reduction in CPE in virus-infected cells at Day 4 post-infection, and selectivity of**
 329 **astodrimmer sodium against SARS-CoV-2 (hCoV-19/Australia/VIC01/2020) infection of Vero E6 cells**

Compound / Assay Type	Repeat	EC ₅₀ (mg/mL)	CC ₅₀ (mg/mL)	SI
Astodrimmer sodium added 1-hour pre-infection	Assay 1	0.004	2.93	793
	Assay 2	0.012	>0.863	>70
	Mean ± SD	0.008 ± 0.006	NC	>431 ^a
Astodrimmer sodium added 1-hour post-infection	Assay 1	0.002	3.29	2197
	Assay 2	0.011	>0.863	>80
	Mean ± SD	0.006 ± 0.007	NC	>1138 ^a
Remdesivir added 1-hour post-infection	Assay 1	0.59 µM	>20 µM	>33
	Assay 2	0.61 µM	>20 µM	>33

330 EC₅₀=50% effective concentration; CC₅₀=50% cytotoxic concentration; SI=selectivity index (CC₅₀/EC₅₀);

331 SD=standard deviation; NC=not calculated

332 ^a Average of Assay 1 and Assay 2 SI

333

334

335

336 **Table 2: Antiviral efficacy, measured by a reduction in mean infectious virus (Log₁₀ pfu/mL), and selectivity of astodrimmer**
 337 **sodium against SARS-CoV-2 (2019-nCoV/USA-WA1/2020) on Day 4 post-infection**

Compound / Assay Type	Cell Line	EC ₅₀ (mg/mL)	CC ₅₀ (mg/mL)	SI
Astodrimmer sodium added 1-hour pre-infection	Vero E6	0.032	15.09	472
	Calu-3	0.037	21.76	588
Astodrimmer sodium added at time of infection	Vero E6	0.020	15.09	755
	Calu-3	0.035	21.76	622
Astodrimmer sodium added 1-hour post-infection	Vero E6	0.019	15.09	794
	Calu-3	0.030	21.76	725
Remdesivir added 1-hour post-infection	Vero E6	0.791 μM	N/A	N/A
	Calu-3	0.589 μM	N/A	N/A

338 EC₅₀=50% effective concentration; CC₅₀=50% cytotoxic concentration; SI=selectivity index (CC₅₀/EC₅₀); N/A=not applicable

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349 **Table 3: Virucidal efficacy of 10 mg/mL astodrimmer sodium against SARS-CoV-2 (2019-nCoV/USA-WA1/2020), measured by**
 350 **a reduction in mean infectious virus (Log_{10} pfu/mL), at 96 hours post-infection**

Viral Load (pfu/mL)	Virus:Astodrimmer Incubation Time	Reduction vs. Virus Control ($\text{Log}_{10} \pm \text{SD}$)	Reduction vs. Virus Control (%)
10^6	5 sec	0.10 ± 0.20	20.567
	10 sec	0.03 ± 0.06	7.388
	30 sec	0.10 ± 0.10	20.567
	1 min	0.33 ± 0.12	53.584
	10 min	2.20 ± 0.10	99.369
	15 min	3.67 ± 0.23	99.979
10^5	5 sec	0.33 ± 0.21	53.584
	10 sec	0.23 ± 0.06	41.566
	30 sec	0.30 ± 0.17	49.881
	1 min	0.47 ± 0.21	65.855
	10 min	3.70 ± 0.26	99.980
	15 min	4.60 ± 0.10	99.998
10^4	5 sec	-0.13 ± 0.21	-35.936
	10 sec	0.07 ± 0.29	14.230
	30 sec	0.10 ± 0.10	20.567
	1 min	0.10 ± 0.00	20.567
	10 min	5.07 ± 0.25	>99.999
	15 min	5.83 ± 0.12	>99.999

351 Shading indicates data points where virucidal efficacy is >99.9% (3 log_{10} reduction) vs. virus control; virus control=untreated virus, 0 mg/mL astodrimmer sodium;
 352 SD=standard deviation

353 **Table 4: Virucidal efficacy of 10 mg/mL astodrimmer sodium against SARS-CoV-2 (2019-nCoV/USA-WA1/2020), measured by**
 354 **a reduction in mean infectious virus (Log_{10} pfu/mL), at 16 hours post-infection**

Viral Load (pfu/mL)	Virus:Astodrimmer Incubation Time	Reduction vs. Virus Control ($\text{Log}_{10} \pm \text{SD}$)	Reduction vs. Virus Control (%)
10^5	30 sec	0.00 ± 0.36	0.000
	1 min	2.63 ± 0.15	99.767
	5 min	4.63 ± 0.31	99.998
	15 min	4.60 ± 0.10	99.998
10^4	30 sec	0.20 ± 0.20	36.904
	1 min	3.17 ± 0.12	99.932
	5 min	3.67 ± 0.21	99.979
	15 min	4.00 ± 0.10	99.990

355 Shading indicates data points where virucidal efficacy is >99.9% (3 log_{10} reduction) vs. virus control; virus control=untreated virus, 0 mg/mL astodrimmer sodium
 356 SD=standard deviation
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363 **Figure Captions**

364 **Figure 1. Dose-response and cytotoxicity analysis of SARS-CoV-2 (2019-nCoV/USA-**
365 **WA1/2020) antiviral activity of astodrimmer sodium in Vero E6 cells as measured by**
366 **infectious virus release (Log₁₀ pfu/mL) on Day 4 post-infection**

367 Astodrimmer sodium (0.0005 to 10 mg/mL) was added to cell cultures 1 hour prior to (t = -1h), at
368 the time of (t = 0), and 1 hour post-infection (t = +1h). Cytotoxicity was assessed by LDH
369 detection (OD @ 490 nm), with 0.5% saponin used as the positive cytotoxic control. Points and
370 error bars represent mean ± SD of triplicate readings.

371 **Figure 2. Dose-response and cytotoxicity analysis of SARS-CoV-2 (2019-nCoV/USA-**
372 **WA1/2020) antiviral activity of astodrimmer sodium in Calu-3 cells as measured by**
373 **infectious virus release (Log₁₀ pfu/mL) on Day 4 post-infection**

374 Astodrimmer sodium (0.0005 to 10 mg/mL) was added to cell cultures 1 hour prior to (t = -1h), at
375 the time of (t = 0), and 1 hour post-infection (t = +1h). Cytotoxicity was assessed by LDH
376 detection (OD @ 490 nm), with 0.5% saponin used as the positive cytotoxic control. Points and
377 error bars represent mean ± SD of triplicate readings.

378 **Figure 3. Virucidal efficacy of astodrimmer sodium against SARS-CoV-2 (2019-nCoV/USA-**
379 **WA1/2020) measured by a reduction in mean infectious virus (Log₁₀ pfu/mL), at 96 hours**
380 **post-infection in Vero E6 cells**

381 Astodrimmer sodium (1 to 30 mg/mL) was incubated with SARS-CoV-2 (2019-nCoV/USA-
382 WA1/2020) for 5 sec up to 15 min. Treated virus was added to Vero E6 cells and the amount of
383 infectious virus in the supernatant was determined by plaque assay 96 hours post-infection.

384 Graph shows dose-response of astodrimmer sodium virucidal activity using 10^4 pfu/mL virus
385 inoculum. Points and error bars represent mean \pm SD of triplicate readings. Dotted line indicates
386 level of mean infectious virus when untreated virus was added to Vero E6 cells (virus control).

387 **Figure 4. Virucidal efficacy of astodrimmer sodium against SARS-CoV-2 (2019-nCoV/USA-**
388 **WA1/2020) measured by a reduction in mean infectious virus (Log_{10} pfu/mL), at 16 hours**
389 **post-infection in Vero E6 cells**

390 Astodrimmer sodium (0.0046 to 30 mg/mL) was incubated with SARS-CoV-2 (2019-nCoV/USA-
391 WA1/2020) for 30 sec, 1 min, 5 min and 15 min. Treated virus was added to Vero E6 cells and
392 the amount of infectious virus in the supernatant was determined by plaque assay 16 hours post-
393 infection. Graph shows dose-response of astodrimmer sodium virucidal activity using 10^4 pfu/mL
394 virus inoculum. Points and error bars represent mean \pm SD of triplicate readings. Dotted line
395 indicates level of mean infectious virus when untreated virus was added to Vero E6 cells (virus
396 control).

397 **Figure 5. Antiviral efficacy of astodrimmer sodium and iota-carrageenan against SARS-**
398 **CoV-2 (2019-nCoV/USA-WA1/2020) measured by a reduction in nucleocapsid (ng/mL), at**
399 **Day 4 post-infection in human bronchial epithelial primary cells (HBEpC)**

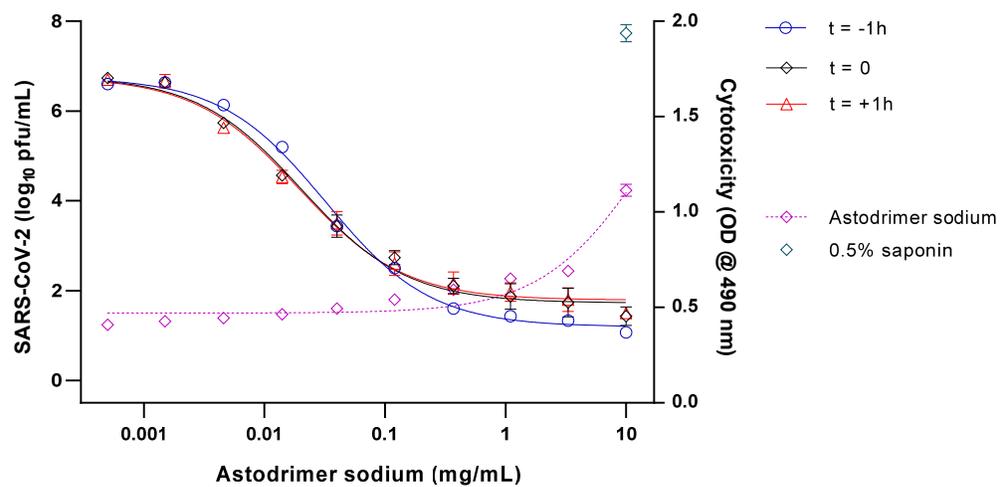
400 Astodrimmer sodium (0, 1.1, 3.3 and 10 mg/mL) or iota-carrageenan (0, 6, 60 and 600 $\mu\text{g/mL}$)
401 were added to cell cultures 1 hour prior to infection.

402 **A.** Dose-response of astodrimmer sodium antiviral activity. Points and error bars represent mean \pm
403 SD of triplicate readings.

404 **B.** Dose-response of carrageenan antiviral activity. Points represent one replicate.

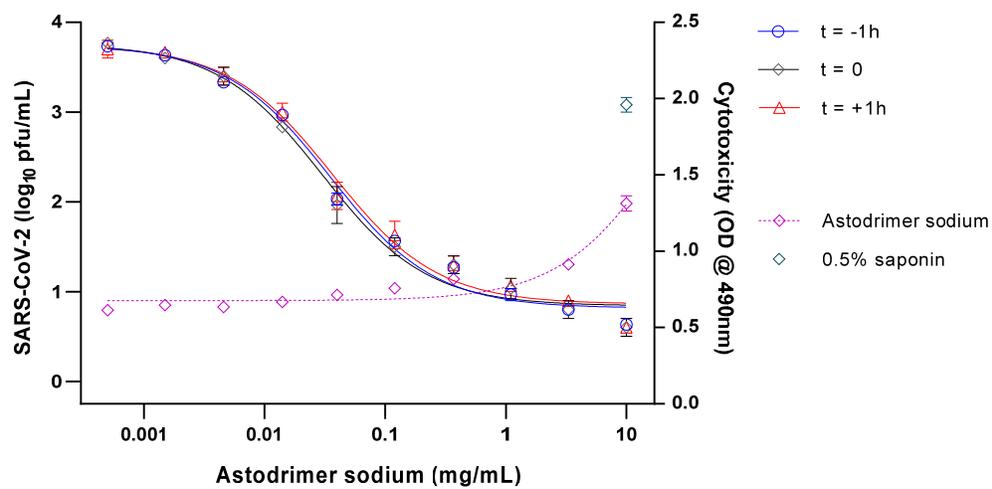
405 Dotted lines indicates level of inhibition achieved with positive control, SARS-CoV-2 pAb.

406 Fig. 1



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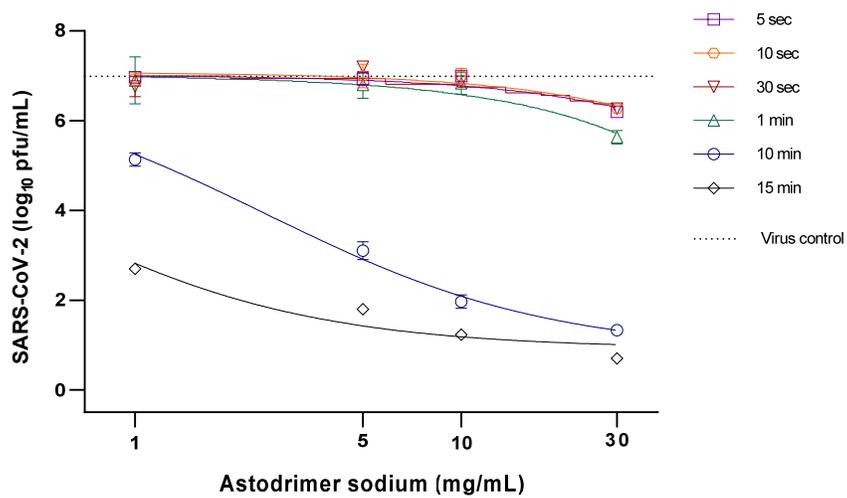
408 Fig. 2



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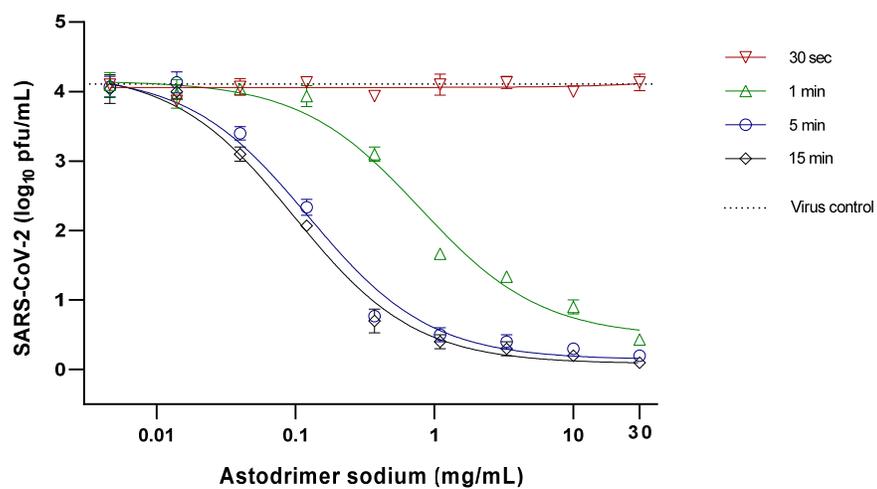
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411 Fig 3.



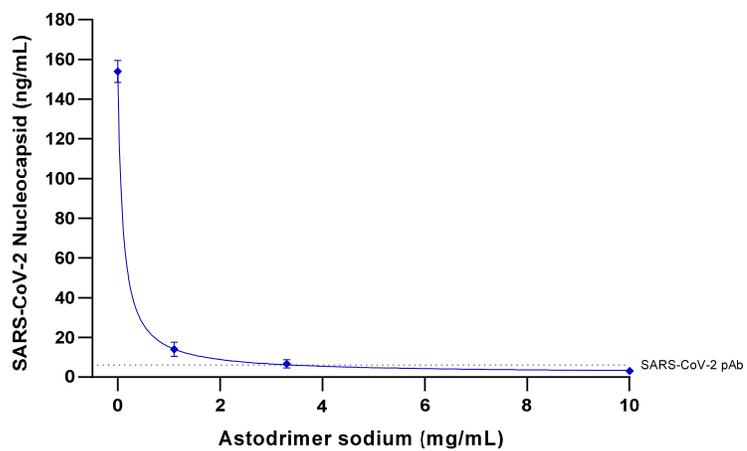
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413 Fig 4.

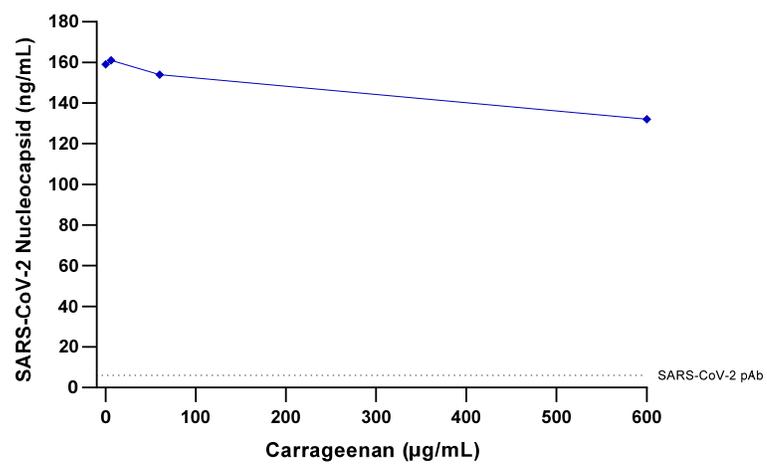


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416 **Fig 5.**417 **A**

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ACE2	angiotensin converting enzyme 2	LLOD	lower limit of detection
BSA	bovine serum albumin	MEM	minimal essential medium
BV	bacterial vaginosis	MOI	multiplicity of infection
CC ₅₀	50% cytotoxic concentration	MTT	methylthiazolyldiphenyl-tetrazolium bromide
COVID-19	coronavirus disease 2019	NC	not calculated
CPE	cytopathic effect	OD	optical density
EC ₅₀	50% effective concentration	pfu	plaque forming unit
ELISA	enzyme-linked immunosorbent assay	RBD	receptor binding domain
FBS	fetal bovine serum	S protein	spike protein
HBEpC	human bronchial epithelial primary cells	SARS-CoV	severe acute respiratory syndrome coronavirus
HIV-1	human immunodeficiency virus type 1	SARS-CoV-2	severe acute respiratory syndrome coronavirus 2
HPV	human papillomavirus	SD	standard deviation
HRSV	human respiratory syncytial virus	SHIV	simian-human immunodeficiency virus
HS	heparan sulfate proteoglycan	SI	selectivity index
HSV	herpes simplex virus	TCID ₅₀	median tissue culture infective dose
HTEpC	human tracheal epithelial primary cells	TOA	time of addition

ITS	insulin-transferrin-selenium	TPCK	L-(tosylamido-2-phenyl) ethyl chloromethyl ketone
LDH	lactate dehydrogenase	UPLC	ultra-high-performance liquid chromatography

424

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- 582

Figures

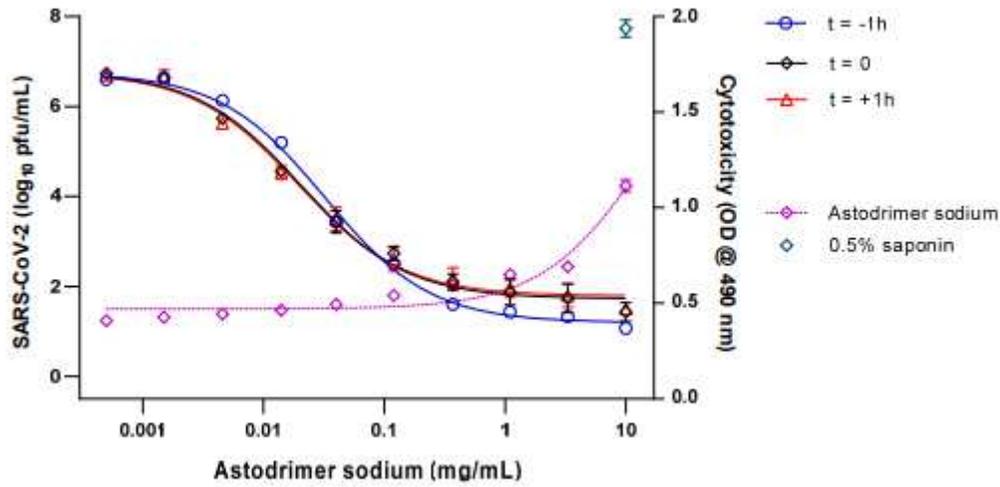


Figure 1

Dose-response and cytotoxicity analysis of SARS-CoV-2 (2019-nCoV/USA WA1/2020) antiviral activity of astodimer sodium in Vero E6 cells as measured by infectious virus release (Log₁₀ pfu/mL) on Day 4 post-infection

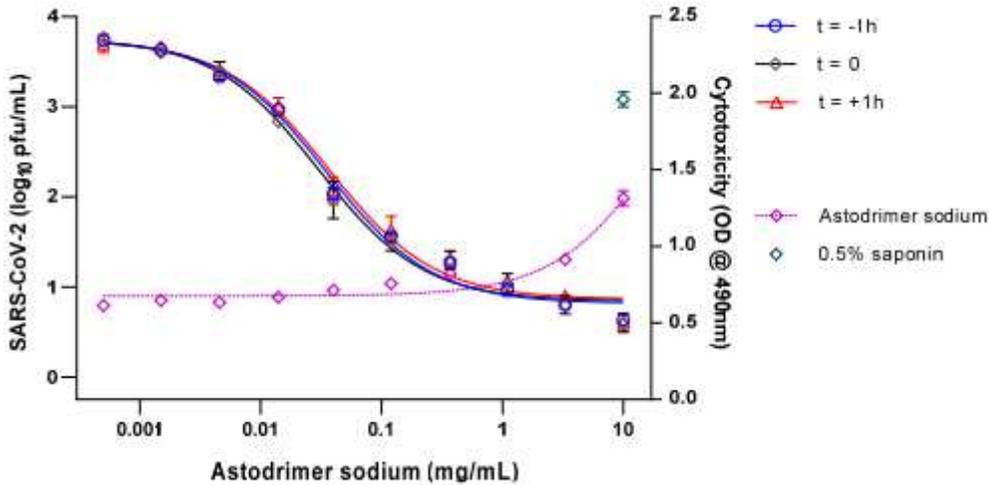


Figure 2

Dose-response and cytotoxicity analysis of SARS-CoV-2 (2019-nCoV/USA WA1/2020) antiviral activity of astodimer sodium in Calu-3 cells as measured by infectious virus release (Log₁₀ pfu/mL) on Day 4 post-infection

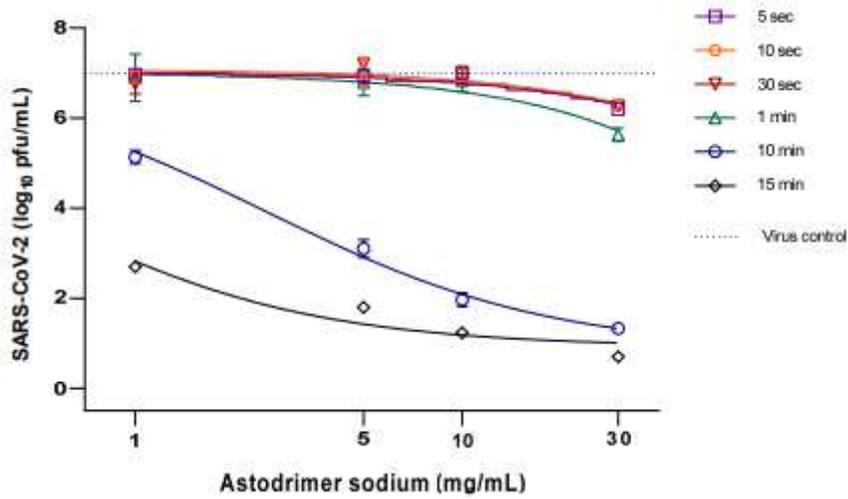


Figure 3

Virucidal efficacy of astodimer sodium against SARS-CoV-2 (2019-nCoV/USA WA1/2020) measured by a reduction in mean infectious virus (Log₁₀ pfu/mL), at 96 hours post-infection in Vero E6 cells

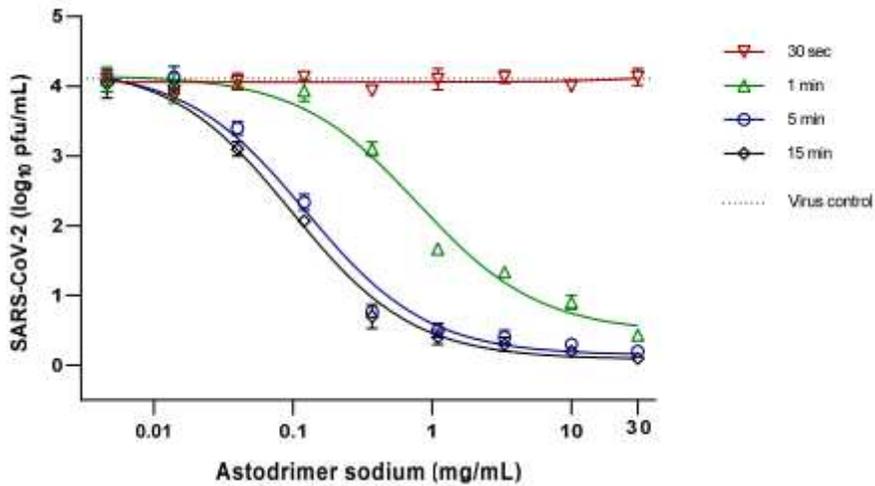
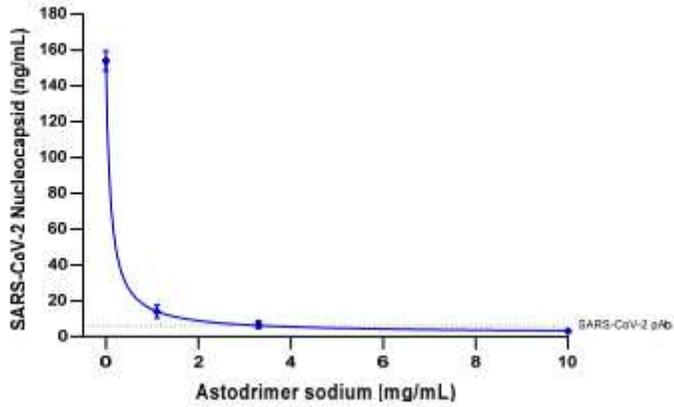


Figure 4

Virucidal efficacy of astodimer sodium against SARS-CoV-2 (2019-nCoV/USA WA1/2020) measured by a reduction in mean infectious virus (Log₁₀ pfu/mL), at 16 hours post-infection in Vero E6 cells

A



B

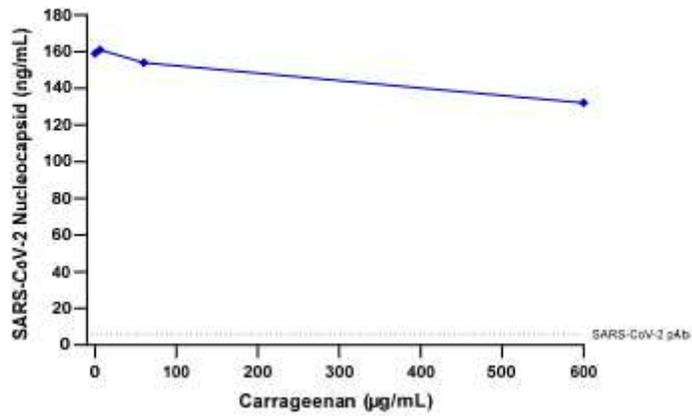


Figure 5

Antiviral efficacy of astodimer sodium and iota-carrageenan against SARS CoV-2 (2019-nCoV/USA-WA1/2020) measured by a reduction in nucleocapsid (ng/mL), at Day 4 post-infection in human bronchial epithelial primary cells (HBEpC)