

Simulation and Estimation of Future Precipitation Changes in Arid Regions: A Case Study of Xinjiang, Northwest China

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Simulation and estimation of future precipitation changes in arid regions: a case study of Xinjiang, Northwest China

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Abstract: Precipitation is critical for maintaining ecosystem stability, especially in arid regions. This study was primarily focused on the changes during the present (i.e., from 1985 to 2005) and future (i.e., from 2040 to 2059) periods in Xinjiang, northwest China. To predict the future climate, the Weather Research and Forecasting model was run in Xinjiang using National Climate Research Center Community Climate System Model version 4 for the mid-21st century under representative concentration pathways 4.5 and 8.5 (RCP4.5 and RCP8.5, respectively). The results indicate that the amount of annual precipitation would increase in the future under RCP4.5 and RCP8.5 in Xinjiang, especially in the mountainous areas. The increase in precipitation was predicted to be much smaller under RCP8.5 than under RCP4.5, except in Southern Xinjiang. Moreover, the increased precipitation predicted in Xinjiang implies that the current humid and warm conditions will continue. In addition, the largest increase in seasonal precipitation was predicted to occur in spring and summer in Tian Shan and Northern Xinjiang, whereas this phenomenon will occur in spring and winter in Southern Xinjiang. In addition, it was predicted that daily heavy precipitation events will occur more frequently in various subregions of Xinjiang, although light rain events will remain dominant. Finally, the increase in the frequency of heavy precipitation events was found to be related to the vertically integrated column precipitation, whereas the relative humidity was observed to be closely related to the changes in annual and seasonal precipitation.

Keywords: WRF; Projected Precipitation; CCSM4; RCP4.5; RCP8.5

30 **1. Introduction**

31 Global climate change and climate variations are significantly affected by human activities (Li
32 et al., 2011). In the past 130 years, the earth has experienced significant warming, with the surface
33 air temperature increasing by 0.85 °C (Solomon et al., 2007; Stocker, 2014). The rising temperature
34 has greatly increased the amount of extreme temperature events as well as changed the pattern of
35 global precipitation (Kharin et al., 2013). Climate change poses different risks to the ecological
36 environments in different areas, and the degree of influence depends on the topography of the region
37 and distance from the ocean (Wang et al., 2013).

38 Xinjiang is located in Northwest China with an area of 1.6×10^6 km², is far away from the ocean,
39 and is surrounded by mountains (Zhang et al., 2012). Xinjiang has a typically continental and arid
40 or semi-arid climate with an annual precipitation range from less than 50 mm in Tarim Basin to
41 approximately 800 mm in the Tianshan Mountains (Chen et al., 2009; Domrös and Peng, 2012; Tan
42 and Shao, 2017; Wang et al., 2013). Owing to global warming, although the total amount of annual
43 precipitation in some areas such as the Taklamakan desert is zero in some years, Xinjiang has
44 experienced an overall change from a warm and dry to warm and wet climate (Shi et al., 2007; Xu
45 et al., 2010). Specifically, the average surface air temperature in Xinjiang has increased by 0.18 °C
46 per decade in the last century, which is much higher than the global average rate (Chen et al., 2009).
47 Meanwhile, the amount of precipitation has increased by 20–30 mm per decade (Tan and Shao,
48 2017). The diverse climate in Xinjiang primarily results in an obvious difference between the desert
49 and alpine mountain ecosystems (Li et al., 2013; Wu et al., 2010). Based on these considerations,
50 Xinjiang has been a popular research area for exploring the interactions between local ecosystems
51 and local climate changes.

52 To explain these interactions, Cao et al., (2011) demonstrated that the decrease in annual
53 precipitation, instead of the temperature, was the main factor resulting in the vegetation coverage
54 fluctuation in Xinjiang. Fang et al., (2013) showed that the trends in vegetation productivity during
55 the growing season were closely to the precipitation. Seddon et al., (2016) proved that the steppe
56 and prairie systems in Xinjiang have strong responses to precipitation anomalies. Huang et al., (2014)
57 estimated the future changes in annual precipitation in Central Asia under different representative
58 concentration pathways (2.6, 4.5, and 8.5) and showed that the amount of precipitation will
59 significantly increase from 2011 to 2100. All of these previous studies have proven that climate

60 change is closely related to the corresponding ecosystem changes.

61 Although current researchers have achieved sufficient improvements in their explorations of
62 future climate change in Central Asia and spatial patterns of precipitation, a critical issue remained
63 to be solved. Specifically, global climate models (GCMs) with coarse resolutions (i.e., >100 km)
64 have always been used in research thus far. Consequently, detailed characteristics such as
65 precipitation on the regional scale cannot be fully reflected. To overcome this drawback, regional
66 climate models with high resolutions have been utilized for dynamical downscaling, using the
67 GCMs outputs as a forcing condition to achieve detailed dynamical descriptions of regional
68 precipitation. This approach has been proven to be efficient for Spain, the Canary Islands, China,
69 and Central Asia (Argueso et al., 2012; Exposito et al., 2015; Liu et al., 2013; Qiu et al., 2017).
70 However, this method has not been applied to Xinjiang for regional climate downscaling. Thus,
71 detailed characteristics in Xinjiang, including precipitation and temperature, are unknown.
72 Consequently, the future climate change trends cannot be efficiently revealed and the changes in
73 hydrology and ecosystems caused by climate change cannot be reasonably addressed.

74 To overcome this issue, this study aimed to investigate the changes in the intensity and
75 frequency of precipitation under different emission scenarios in Xinjiang in the future decades of
76 the 21st century. Specifically, we obtained the climate change data by using the Weather Research
77 and Forecast (WRF) model version 3.8.1 at 10 km resolution in the mid-21st century in Xinjiang
78 (Skamarock and W. Wang, 2008). The initial and boundary conditions of the WRF model were
79 derived from simulations performed using National Climate Research Center (NCAR) Community
80 Climate System Model version 4 (CCSM4) under representative concentration pathways 4.5 and
81 8.5 (RCP4.5 and RCP8.5, respectively). RCP 4.5 and RCP8.5 represent long-term global
82 greenhouse gas emissions that stabilize radiation at 4.5 W/m² (approximately 650 ppm CO₂
83 equivalent) and 8.5 W/m² (approximately 1370 ppm CO₂ equivalent) (Gent et al., 2011).

84 The structure of this paper is organized as follows. Section 2 describes the details of the WRF
85 configuration and GCM output. Section 3 presents the validation of the WRF model in Xinjiang and
86 the predicted precipitation results between the mid-21st century and the past 20 years, discuss the
87 differences from the current climate, and details the atmospheric processes these changes. Section
88 4 discuss the uncertainty of modern-era retrospective analysis for research and applications (MERRA)
89 data, the difference between WRF and CCSM4, and the effect of topography on precipitation. Finally,

90 section 5 summarizes the main findings of this work.

91 **2. Experimental Design, Data, and Methodology**

92 **2.1 WRF model and experimental design**

93 The WRF model is a high-resolution mesoscale prediction model and data assimilation system
94 that is widely used in climate change research (Bao et al., 2015; Exposito et al., 2015). In this study,
95 WRF version 3.8.1 was employed to downscale dynamically GCM outputs with coarse resolution
96 and to generate high-resolution data for physical processes on the regional scale, especially in
97 complex surface areas with heterogeneous land cover and topography (Chen and Frauenfeld, 2016).
98 The initial and lateral boundary conditions used to drive the WRF model were derived from CCSM4
99 RCP4.5 and RCP8.5, which have horizontal resolutions of $0.9^{\circ} \times 1.25^{\circ}$ (longitude and latitude) for
100 the years 2039–2059. The average precipitation in the future was compared with the average
101 precipitation from 1985 to 2005, which was also dynamically downscaled by WRF using historical
102 CCSM4 data. The starting years of the present and future simulations, (i.e., 1985 and 2039), were
103 regarded as the model spin-up and discarded. As shown in Fig. 1, two one-way nested model
104 domains with 124×135 and 241×199 horizontal grid points and spatial separations of 30 km and 10
105 km were configured with 28 vertical levels reaching 50 hPa. The map projection was Lambert
106 conformal, and the central point was located at 41.4°N , 84.8°E . The inner domain provides full
107 coverage of the Xinjiang region. Based on prior research (Qiu et al., 2017), the following
108 parameterization schemes were used in WRF configuration : the Betts-Miller-Janjić scheme for
109 cumulus parameterization (Janjić, 1994); cloud microphysics scheme of Thompson(Thompson et
110 al., 2008); land surface parameterization scheme using the Noah land surface model (LSM), which
111 provides the four-layer soil temperature and moisture model (Chen and Dudhia, 2001), and the
112 Mellor-Yamada-Janjić scheme for the planetary boundary layer(Janjić, 2002). In addition, the
113 NCAR community atmosphere model (CAM) was used to calculate the atmospheric longwave and
114 shortwave radiation transfer (Collins et al., 2004).

116 **2.2 Data**

117 To assess the capabilities of current climate model simulations, observational data were used
118 to validate the WRF model outputs qualitatively and quantitatively in this study. Ground-based
119 meteorological observation data describing the daily as well as average, maximum, and minimum

120 precipitation from 69 meteorological stations were obtained from the China Meteorological Data
 121 Sharing Service System (<http://cdc.cma.gov.cn>). The observed data covered the period from 1985
 122 to 2005, and we employed the following process to inspect these data with high quality (Li et al.,
 123 2012). First, we eliminated the observed stations with more than 25% missing data were eliminated
 124 throughout the verification process. Second, we removed the stations that had missing data for two
 125 more months per year were removed. Through this process, the observed data from 54
 126 meteorological stations were retained and employed in our experiments and analysis (Table 1). As
 127 there are few stations in the mountains, specifically in South Xinjiang, the MERRA data (Rienecker
 128 et al., 2011), which compare the most favorably among multiple datasets with ground observations,
 129 were compared with the model results (Hu et al., 2016), as a supplement to the observational data.

130 **2.3 Regionalization method**

131 There numerous of types of climates in Xinjiang due to its complex topography. Therefore, it
 132 is necessary to divide this area into subregions to explore the effectiveness of the WRF. To identify
 133 and classify efficiently the subregions that have similar climate patterns, the well-known cluster
 134 analysis method (Duque et al., 2007) has been proven to be sufficiently effective to achieve this
 135 objective in Central Asia (Qiu et al., 2017) and the Tianshan Mountains (Chen et al., 2019). The K-
 136 nearest neighbor (KNN) method was employed to divide the region further into subregions based
 137 on the monthly mean precipitation data from 54 ground stations. To obtain the optimal spatial
 138 constraint parameters, we used a series of K values ranging from 2 to 10. The cluster analysis tool
 139 can automatically reveal the number of clusters by finding the climate characteristics with the
 140 highest similarity (Zhang et al., 2018). The Calinski Harabasz pseudo F-statistic can be
 141 automatically measured to quantify the similarity and dissimilarity between groups (Caliński and
 142 Harabasz, 1974; Qiu et al., 2017; Zhang et al., 2018). F can be calculated using:

$$143 \quad F = \frac{\frac{R^2}{n_c - 1}}{\frac{1 - R^2}{n - n_c}}, \quad (1)$$

144 where $R^2 = \frac{BGD - WGS}{BGD}$ and a larger value indicates a better clustering result. WGS reflects the
 145 within-cluster similarity cluster, and BGD reflects the between-cluster difference. The formulas for
 146 WGS and BGD are as follows:

$$148 \quad BGD = \sum_{i=1}^{n_c} \sum_{j=1}^{n_i} \sum_{k=1}^{n_v} (v_{ij}^k - v_j^k)^2, \quad (2)$$

149 and

150

$$WGS = \sum_{i=1}^{n_c} \sum_{j=1}^{n_i} \sum_{k=1}^{n_v} (v_{ij}^k - v_i^k)^2, \quad (3)$$

151

152 where

153 n = number of objects to be regionalized.

154 n_i = number of objects in cluster i .

155 n_c = number of clusters.

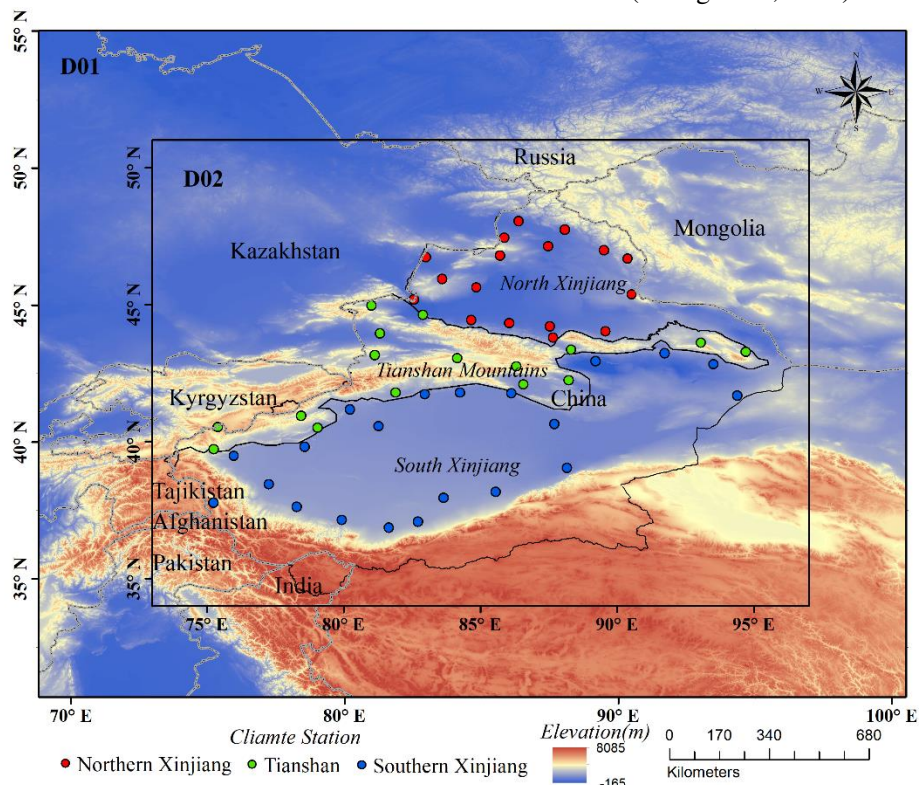
156 n_v = number of variables used to cluster objects.

157 v_{ij}^k = value of the k th variable of the j th object in the i th cluster.

158 v_i^k = mean value of the k th variable.

159 v_j^k = mean value of the k th variable in cluster j .

160 During the cluster analysis, when $K=8$ and the number of category was set to 3, R^2 and F
 161 reached the optimal values of 0.81 and 83.26, respectively. Hence, in our experiments, $K = 8$ was
 162 used as the spatial constraint of the KNN algorithm, and three climate subregions in Xinjiang were
 163 obtained: Northern Xinjiang, the Tianshan Mountains, and Southern Xinjiang (Fig. 1). The
 164 subregions identified in this area are similar to those described in (Zhang et al., 2017).



165

166 **Fig. 1.** Simulated domain (D01) 50 km and (D02) 10 km of WRF and the ground meteorological
 167 stations with consistent precipitation variations in the study area (D02).

168

3. Results

169

3.1 Evaluation of the simulation results

170

3.1.1 Annual and seasonal precipitation

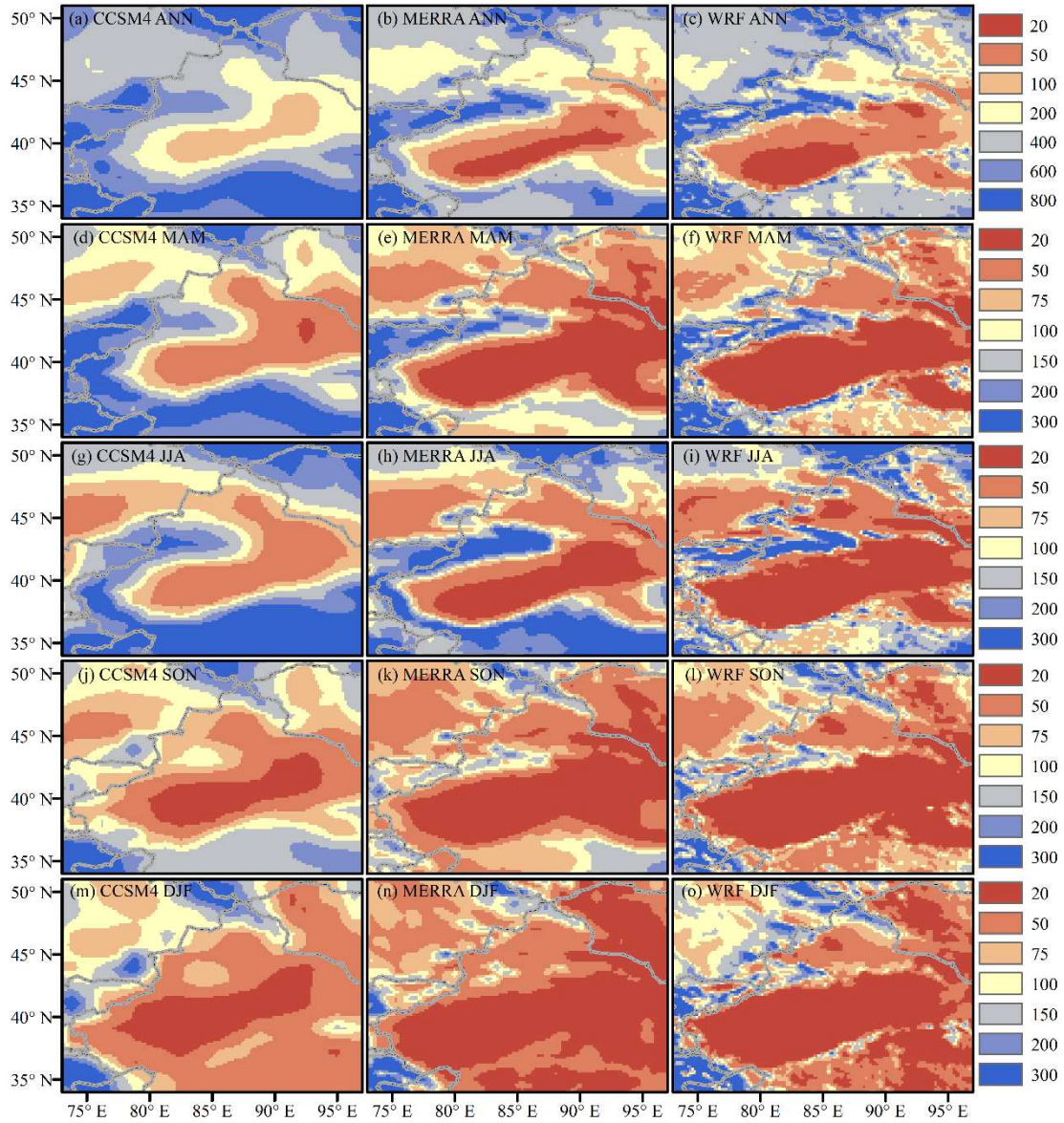
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Fig. 2 shows the spatial distributions of the annual and seasonal average precipitation in

172 Xinjiang from CCSM4, MERRA, and WRF for 1986–2005. Compared to the CCSM4 data, WRF
 173 downscaling of the annual and seasonal precipitation in Xinjiang can provide a more detailed spatial
 174 pattern of precipitation. The most striking spatial patterns are observed along the Tianshan
 175 Mountains, Altay Mountains, and across the Tarim Basin (desert). In the WRF simulations results,
 176 detailed terrain-induced features in precipitation patterns can be observed (Chen et al., 2019). The
 177 WRF model clearly reflects the heterogeneous spatial patterns in the precipitation data, especially
 178 in the Tianshan Mountains and Tarim Basin (Figs. 2 c, f, i, l, and o). These features are also observed
 179 in the MERRA reanalysis results (Figs. 2 b, e, h, k, and n). Although the CCSM4 data slightly
 180 underestimated the precipitation in Tianshan, they significantly overestimated the precipitation in
 181 Southern and Northern Xinjiang. Therefore, they overestimated the total precipitation in all of
 182 Xinjiang. The overestimation of precipitation also occurred in many other GCMs (Bao et al., 2015;
 183 Flato et al., 2014). Moreover, compared to the CCSM4 data, the precipitation data simulated by the
 184 WRF model are more consistent with MERRA precipitation results, with a high spatial correlation
 185 coefficient of 0.82–0.92 (CCSM4, 0.68–0.80) and low relative bias of 12%–30% (CCSM4, 33%–
 186 57%) in annual and seasonal data (Table 1).

187 **Table 1:** Spatial correlation coefficient and relative bias for annual and seasonal precipitation in
 188 Xinjiang between CCSM4 or WRF simulation and MERRA data. ANN stands for annual, MAM for
 189 March-April-May, JJA for June-July-August, SON for September-October-November, and DJF for
 190 December-January-February.

	Pattern Correlation		Relative Bias (%)	
	CCSM4	WRF	CCSM4	WRF
ANN	0.73	0.89	39	12
MAM	0.70	0.87	46	15
JJA	0.80	0.92	33	22
SON	0.73	0.90	50	26
DJF	0.68	0.82	57	30



192

193 **Fig. 2.** Mean annual and seasonal spatial patterns of precipitation for 1986–2005 derived from
 194 CCSM4 simulation (a,d,g,j,m), MERRA reanalysis data (b,e,h,k,n), and WRF simulation (c,f,i,l,o).
 195 ANN: annual (a–c), MAM: spring (d–f), JJA: summer (g–i), SON:autumn (j–l), DJF: winter (m–
 196 o).

197 3.1.2 Monthly precipitation and daily precipitation events

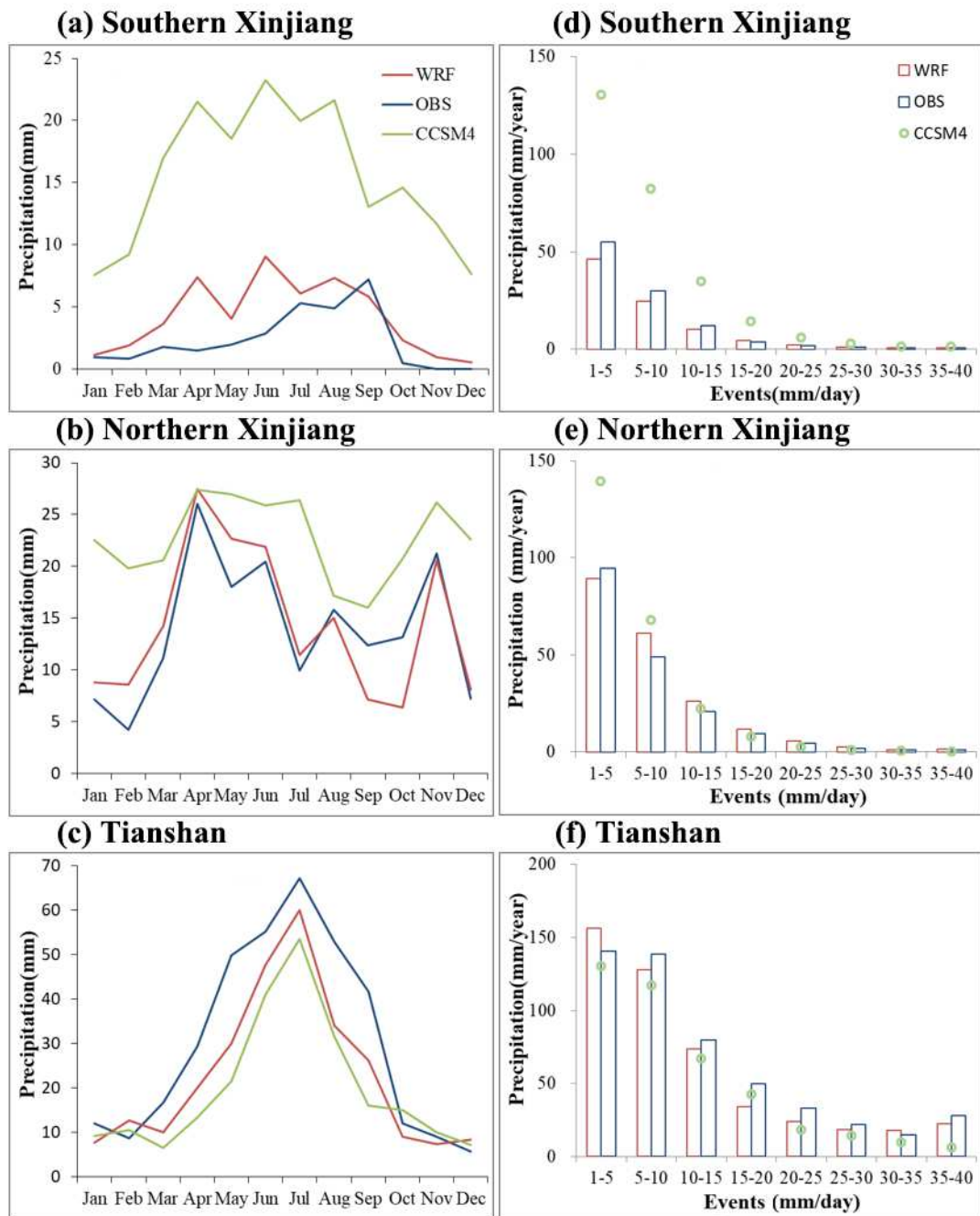
198 Figs. 3 a–c display the annual cycles of precipitation averaged over the three subregions. As
 199 mentioned in Section 3.1.1, compared to the CCSM4 data, which overestimated the precipitation
 200 amounts in Southern and Northern Xinjiang and underestimates precipitation in Tianshan, the WRF
 201 data perfectly captured the annual precipitation change cycles in the three subregions (Figs. 3a–c).
 202 The CCSM4 data significantly overestimated the precipitation during the annual cycles in Southern
 203 and Northern Xinjiang, which is the main reason that the CCSM4 data overestimated precipitation
 204 in Xinjiang, whereas the WRF approach corrected this deviation and the corresponding data agreed

205 with the observations (Figs. 3a and b).

206 To assess the accuracy of the WRF simulated daily precipitation, we defined a metric. We
207 started with the precipitation accumulated during daily events in a particular intensity range. These
208 ranges are from 1–5 mm day⁻¹ to 35–40 mm day⁻¹, increasing by 5 mm day⁻¹ (Figs. 3d–f). The
209 contribution to the annual precipitation from each intensity group was used as the metric to describe
210 the daily precipitation intensity profile. This metric is analogous to the precipitation probability
211 density function used in Argueso et al., (2012). Figs. 3d–f shows the amount of annual precipitation
212 grouped by events over Southern Xinjiang, Northern Xinjiang, and Tianshan. Each value indicates
213 how much precipitation is caused by the events of a particular intensity. Compared to the CCSM4
214 distribution, the WRF simulated distribution more closely describes the observed data. Although the
215 WRF simulated daily precipitation events are still overestimated or underestimated in all three
216 subregions, the differences are generally small. Moreover, Figs. 3(d–f) shows that the precipitation
217 in each subregion has a gamma distribution with precipitation from light events contributing the
218 most to the annual precipitation .

219 To summarize, the precipitation in Xinjiang during 1986–2005 was reasonably well simulated
220 by our configured WRF model, on daily, monthly, seasonal, and annual scales. Although some large
221 differences existed in the transition area from the Tarim Basin to the Tibetan Plateau and in the
222 complex terrain of the Tianshan Mountains, the WRF model still provided better correction
223 compared to the CCSM4 data.

224



225

226 **Fig. 3.** Annual cycle of precipitation (units: mm/d) and precipitation intensity distribution in three
 227 subregions of Xinjiang during 1986–2005

228 **3.2 Annual precipitation**

229 Figs. 4a and f show the changes in annual precipitation simulated by thr WRF model under
 230 RCP4.5 and RCP8.5 for the mid-21st century (2040–2059), in terms of the difference from the
 231 present annual precipitation. These differences exhibit a general increases in annual precipitation
 232 inr most areas and slight decreases in some areas. These findings are consistent with the previously

233 reported result that future the amount of precipitation will increase in China with the largest increase
234 occurring in Northwest China (Gao et al., 2008). The variations in annual precipitation under
235 RCP4.5 and RCP8.5 in Xinjiang suggest that wet conditions will continue. Under RCP4.5 (Fig. 4a),
236 this increase has more orographic features than under RCP8.5, with the largest increases along the
237 Tianshan and Altay Mountain. Meanwhile, in the Kunlun Mountains located in the northwestern
238 Tibetan Plateau, the precipitation amounts exhibit a decreasing trend. Under RCP8.5 (Fig. 4f),
239 although the precipitation still shows an increasing trend, the precipitation magnitudes significantly
240 differ from those under the RCP4.5 scenario (Table 2). The areas with the largest increases in
241 precipitation are still the Tianshan and Altay Mountains, whereas largest difference in precipitation
242 occurs in the Kunlun Mountains, where the change in precipitation is exactly the opposite that under
243 RCP4.5.

244 In addition, the second and third rows of Table 2 show the area-averaged changes in annual
245 precipitation for the three subregions under RCP4.5 and RCP8.5, respectively. Relative to the
246 present (1986–2005) precipitation, area-averaged increases in annual precipitation in Xinjiang in
247 the 21st century are evident. These changes in annual precipitation show annual precipitation
248 increased in all the three subregions. Compared with the precipitation amounts under RCP4.5, those
249 under RCP8.5 show flat or smaller magnitudes of change over the Tianshan Mountains and Northern
250 Xinjiang, and the only subregion with a continuous rise in annual precipitation through the decades
251 is in the desert, Southern Xinjiang (Table 2). These changes are also observed in the spatial patterns
252 of precipitation in Figs. 4a and f.

253 In the context of annual precipitation changes in Central Asia in the last 60 years, these results
254 indicate that the current humid conditions in eastern Central Asia started in the mid-1980s, with the
255 most significant being in Tianshan and Northern and Southern Xinjiang (Hu et al., 2002), will
256 continue until this century.

257

258 **Table 2:** Differences in mean annual and seasonal precipitation between future (2040–2059) and
 259 present (1986–2005) conditions under RCP4.5 and RCP8.5 in three subregions (units: mm). The
 260 values in parentheses are percent differences from the precipitation amounts in 1986–2005.

	Scenario	Northern Xinjiang	Tianshan	Southern Xinjiang
ANN	RCP4.5	29.8 (13)	82.8 (15)	11.4 (11)
	RCP8.5	23.9 (12)	53.7 (11)	22.9 (22)
MAM	RCP4.5	16.2 (29)	30.6 (17)	6.5 (16)
	RCP8.5	8.4 (15)	16.2 (9)	6.7 (17)
JJA	RCP4.5	9.5 (20)	34.3 (21)	−1.5 (−3)
	RCP8.5	5.7 (9)	30.4 (21)	4.8 (13)
SON	RCP4.5	2.7 (5)	5.1 (6)	1.6 (10)
	RCP8.5	−0.7 (−1)	−0.4 (−1)	3.9 (22)
DJF	RCP4.5	1.4 (2)	12.8 (9)	4.8 (26)
	RCP8.5	10.5 (16)	6.5 (5)	7.5 (38)

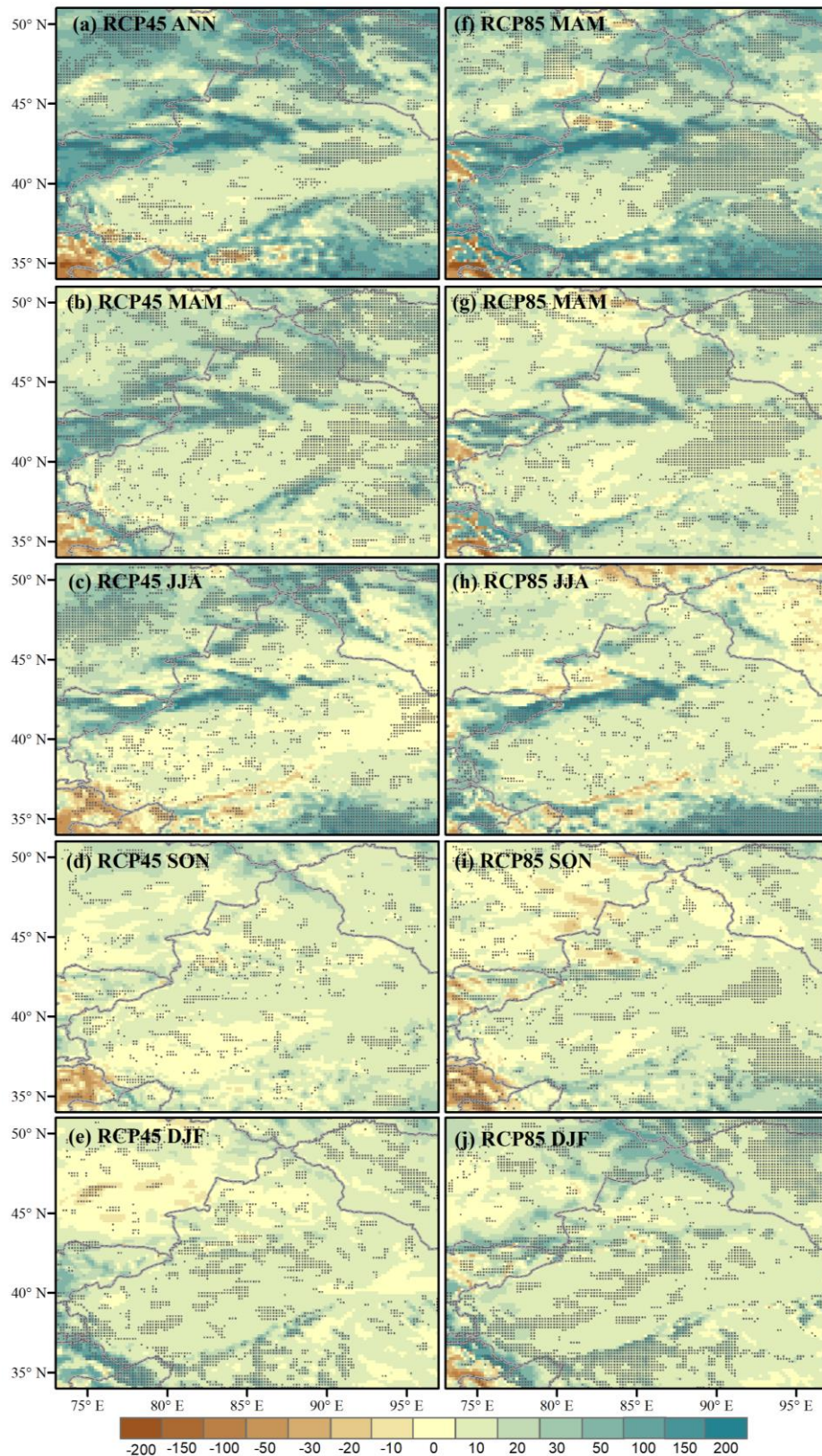
261 **3.3 Seasonal precipitation**

262 The differences in projected seasonal precipitation in the mid-21st century from the present
 263 seasonal precipitation can be seen in Figs. 4b b–e and Figs. 4g–j for RCP4.5 and RCP8.5,
 264 respectively. Although the magnitude of increase in precipitation under RCP8.5 is smaller than that
 265 under RCP4.5, similar changes are observed both scenarios. The increases in precipitation under
 266 RCP4.5 and RCP8.5 in Tianshan mainly occur in spring and summer, and the amount of
 267 precipitation in spring and summer as a percentage of the increase in annual precipitation is 78.3%
 268 and 88.4%, respectively. Autumn and winter have smaller precipitation increases in Northern
 269 Xinjiang under RCP4.5 (Figs. 4d and e), whereas smaller precipitation increases mainly occur in
 270 summer and autumn under RCP8.5 (Figs. 4h and i). In Southern Xinjiang, the precipitation amounts
 271 tend to decrease relative to the present values under RCP4.5 in summer, and the area with the most
 272 significant decrease is located in the Kunlun Mountains (Fig. 4c). This feature is the main reason
 273 for the annual precipitation decrease in all of the Kunlun Mountains. Table 2 summarizes the area-
 274 averaged changes in seasonal precipitation relative to the present conditions in all three subregions.

275 Most of the area-averaged changes in seasonal precipitation are positive under RCP4.5 and RCP8.5,
276 except that Northern Xinjiang and Tianshan have negative rates in autumn under RCP8.5 and
277 Southern Xinjiang has a negative rate in summer under RCP4.5.

278 The changes in seasonal precipitation are mostly statistically significant at the 95% confidence
279 level (based on the two-tailed Student's t-test), especially in mountainous areas such as the Tianshan,
280 Altay, and Kunlun Mountains. The significance tests of precipitation relative to the present changes
281 indicate that the areas that will experience the largest precipitation changes in the future in Xinjiang
282 are likely the mountainous areas.

283

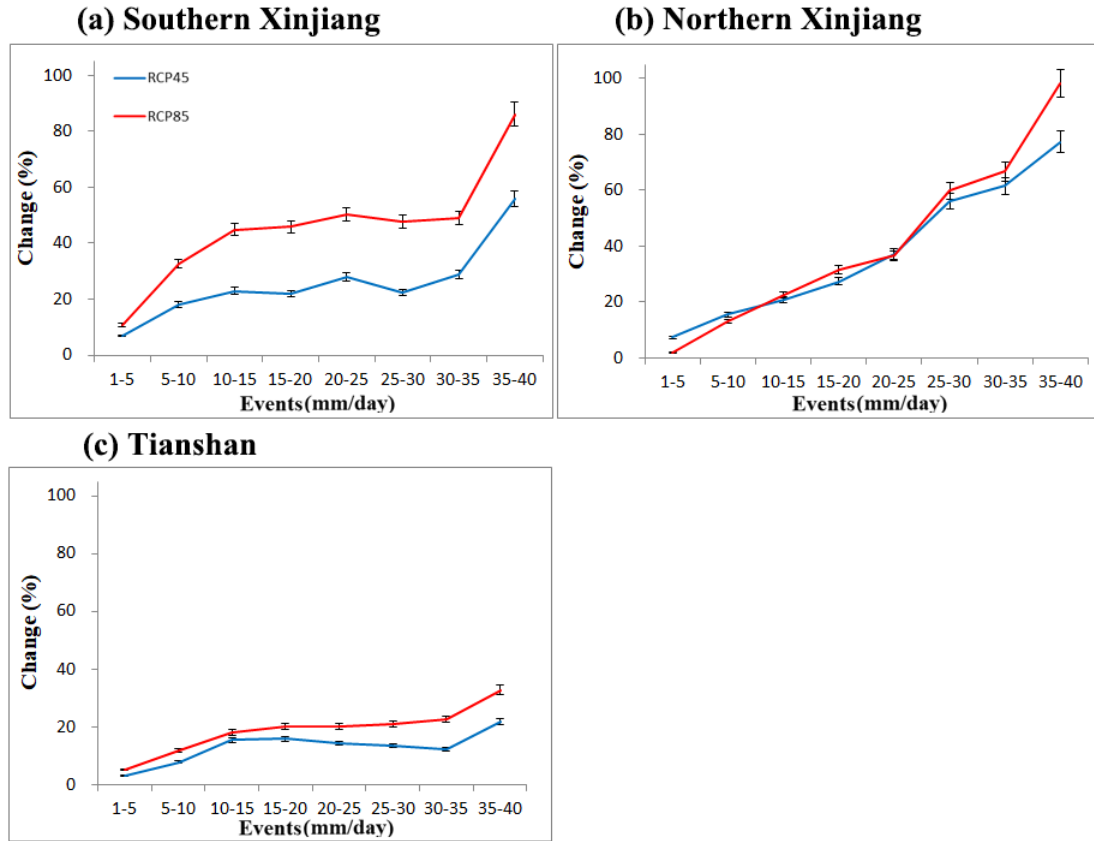


284

285 **Fig. 4.** Spatial distributions of the near-future difference (2040–2059 relative to 1986–2005) of
 286 annual, spring, summer, autumn, and winter precipitation under RCP4.5 (**a**, **b**, **c**, **d**, and **e**,
 287 respectively) and RCP8.5 (**f**, **g**, **h**, **i**, and **j**, respectively). The hatched areas indicate that the
 288 differences are significant at the 95% confidence level in a two-tailed Student's t-test (units: mm).

289 **3.4 Daily precipitation**

290 Daily precipitation events of different intensities compose the daily precipitation, which is a
291 key aspect of the regional precipitation climate (Higgins et al., 2007). Fig. 5 shows the changes in
292 daily precipitation events in terms of their contribution to the annual precipitation under RCP4.5
293 and RCP8.5 in the three subregions. Although light rain events (those with intensities less than 10
294 $\text{mm}\cdot\text{d}^{-1}$) will remain the main component in the future precipitation increase, the percentage of
295 future heavy precipitation events (those greater than 30 $\text{mm}\cdot\text{d}^{-1}$) under both RCP4.5 and RCP8.5 is
296 significantly greater than that of the light rain events, especially in Northern Xinjiang and Southern
297 Xinjiang. This result is consistent with the change trend of the precipitation patterns in Xinjiang
298 (Yuan et al., 2017; Zhang et al., 2012). All precipitation changes passed the 95% confidence level
299 test. Note that the Tianshan has a relatively flat curve because this region has a large precipitation
300 base across the entire spectrum, and the precipitation increment in each intensity category accounts
301 for a relatively small part of its base value. Under RCP4.5 and RCP8.5, the increase in strong events
302 and decrease in the contribution of light events to the annual precipitation in Xinjiang are similar to
303 the global average trends detected in the GCM results of this century (Fischer and Knutti, 2016;
304 Hennessy et al., 1997).



305

306

Fig. 5. Average percent change in intensity distribution of future (2040–2059) daily precipitation events in three subregions under RCP4.5 (blue line) and RCP8.5 (red line) from the average of 1986–2005.

307

308

309

310 3.5 Possible mechanism

311

To elucidate the possible mechanism of the future precipitation changes in the WRF simulations, we studied several key thermodynamic and dynamic fields including 500 hPa (which was used instead of 850 hPa because of the elevated orography of Xinjiang) geopotential as well as the relative vorticity, vertically integrated column precipitation (PW), and relative humidity (RH) under the RCP4.5 and RCP8.5 scenarios.

312

313

Fig. 6 shows the future seasonal changes in PW and 500 hPa air temperature under RCP4.5 and RCP8.5 relative to the present. Based on the Clausius–Clapeyron relationship (O’Gorman and Muller, 2010; Pall et al., 2007), as the emission scenario increases from RCP4.5 to RCP8.5, the air temperature continues to rise in each season, leading to a continuous increase in PW. In contrast to the absence of continuous increases in annual and seasonal precipitation from RCP4.5 to RCP8.5 (Fig. 4 and Table 2), the continuous rise in PW in the same period suggests that the PW is not a

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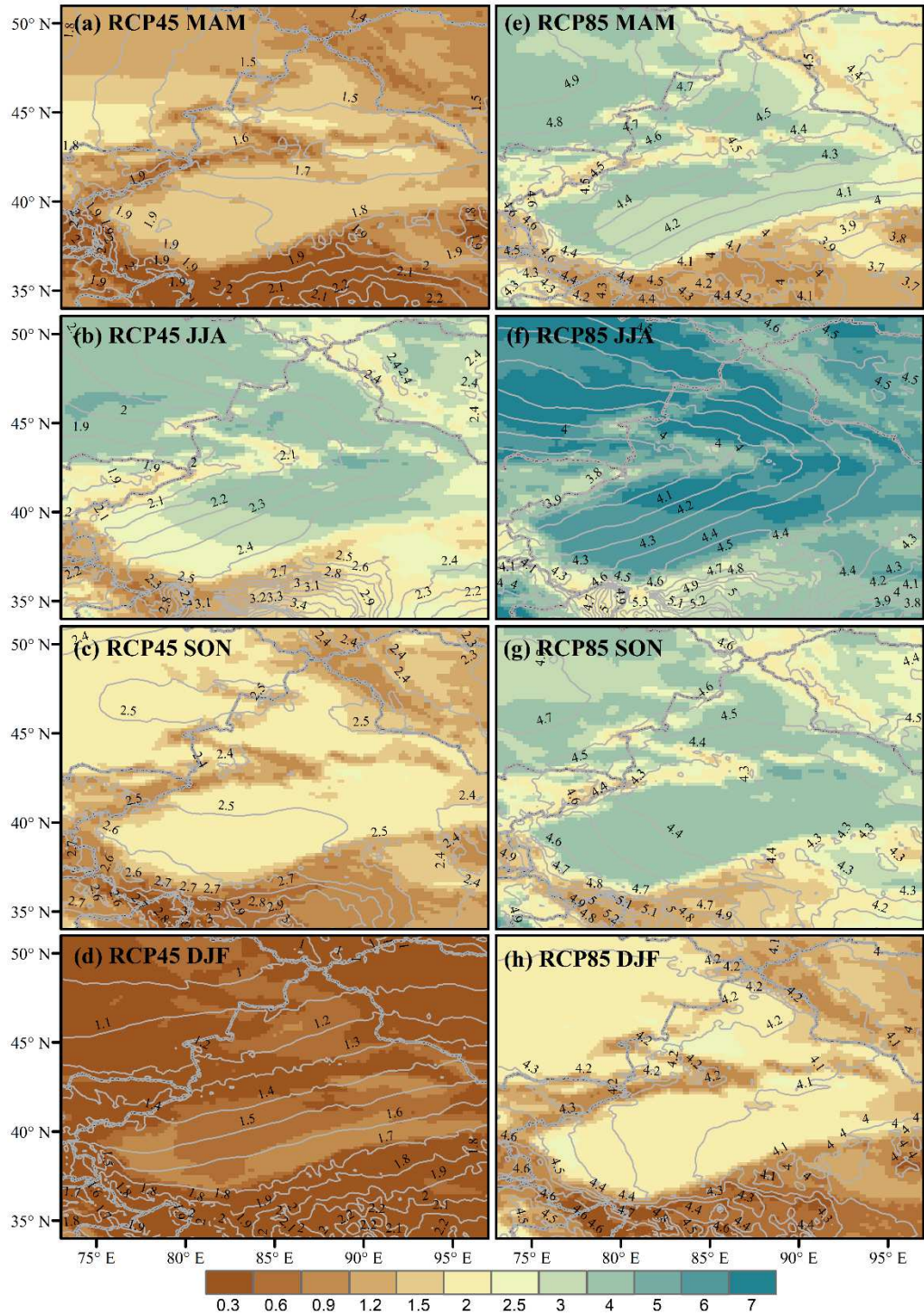
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322 strong factor affecting changes in precipitation. However, Fig. 5 reveals that the increase in strong
323 events in Xinjiang under RCP4.5 is smaller than under RCP8.5. As previous studies have shown,
324 these differences are related to the PW (Fig. 6), because PW has been indicated to affect a rise in
325 the number of extreme rainfall events in warm climates (Fischer and Knutti, 2016; Lehmann et al.,
326 2015; Lenderink and Van Meijgaard, 2008).

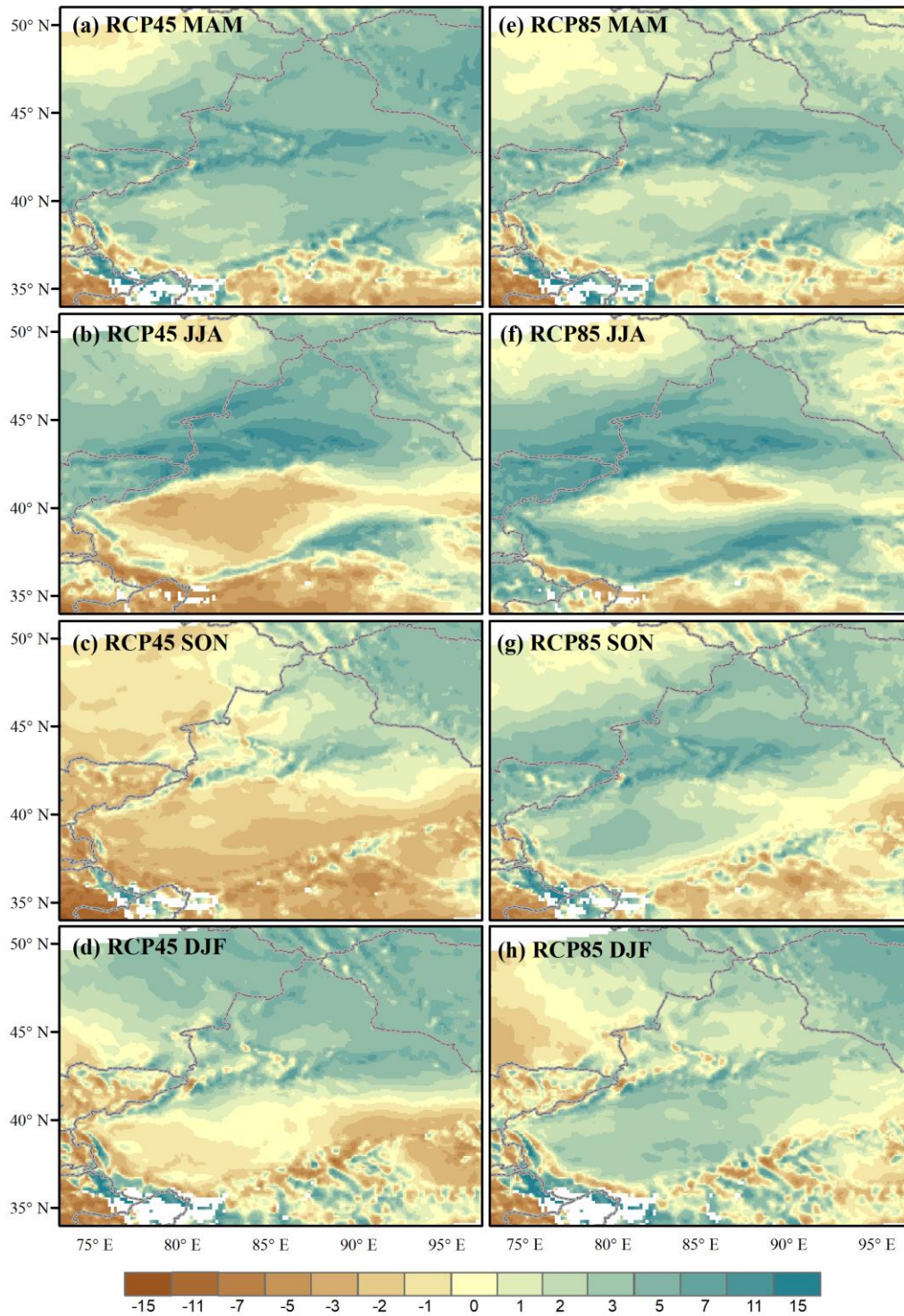
327 Fig. 7 shows the future seasonal changes in RH at 500 hPa relative to the present. In contrast
328 to PW, the seasonal changes in RH have many characteristics consistent with the seasonal changes
329 in precipitation (Fig. 4). For example, the decrease in RH in the northwest of Tianshan is consistent
330 with the changes in precipitation, especially in spring, summer, and autumn under RCP8.5, and the
331 reduction in summer precipitation in the Kunlun Mountains in southern Xinjiang under RCP4.5 is
332 consistent with the decrease in RH. Moreover, the increases in future precipitation in Northern and
333 Southern Xinjiang are consistent with the increases in RH (as can be observed by comparing Figs.
334 4 and 7). The difference between the relationships between precipitation and RH and between
335 precipitation and PW may originate from the fact that the PW is a strong function of the atmospheric
336 temperature, via the Clausius – Clapeyron relationship. The warm temperatures of the next few
337 decades will determine increase the moisture content in the atmosphere (Panthou et al., 2014), which
338 will lead to an increase in PW. However, the atmospheric RH is relatively stable (i.e., given a
339 sufficient time after the air temperature rises), the RH will return to its previous value (Ivancic and
340 Shaw, 2016; Manabe and Wetherald, 1967). This characteristic of the atmospheric RH and its strong
341 relationship with precipitation are consistent with our results, which indicate that the scale of future
342 precipitation changes is limited (Figs. 4 and 5), although a considerable increase in atmospheric PW
343 results in more intense precipitation during rainfall (Fig. 5).



344

345 **Fig. 6.** Differences in PW (color scale, units: $\text{kg} \cdot \text{m}^{-2}$) and 500-hPa air temperature (contour line, line
 346 interval 0.1 K) averaged under RCP4.5 and RCP8.5 in the future relative to the present day.

347



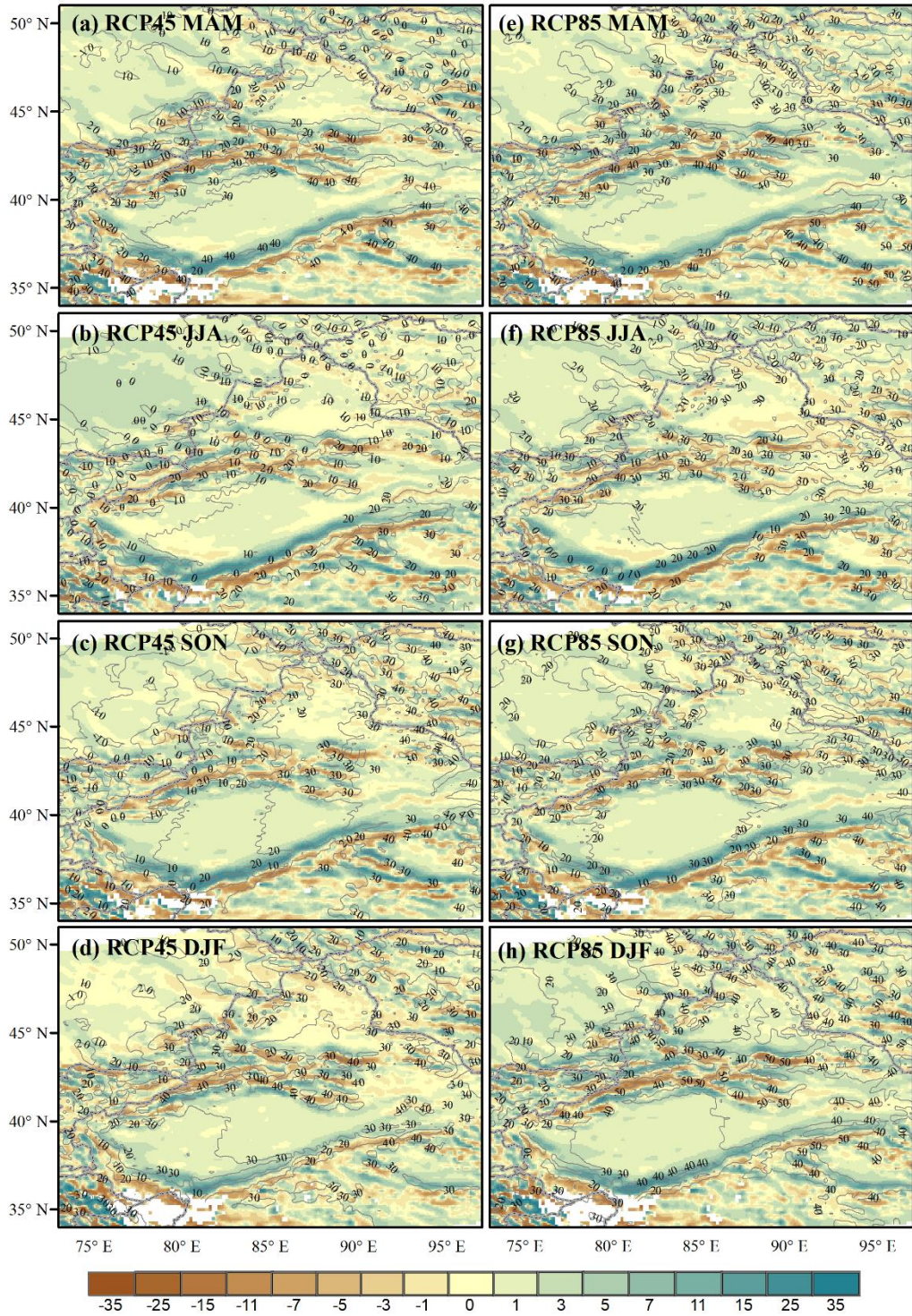
348

349 **Fig. 7.** Differences in 700 hPa RH (units: %) averaged under RCP4.5 and RCP8.5 in the future
 350 relative to the present day.

351

352 Future spatial variations in precipitation and RH largely depend on the potential for vertical
 353 motion in the circulation. From the perspective of vorticity, positive relative vorticity is conducive
 to vertical upward movement and precipitation (Dodla and Ratna, 2010). Fig. 8 shows the changes

354 in relative vorticity (ζ) and geopotential height (ϕ) in the lower troposphere. ϕ has an upward
355 trend in the future decades, and its spatial variations reveal the dynamic processes and ζ ($\sim \nabla^2 \phi$).
356 Focusing on the summer, Fig. 8b shows slightly more positive vorticity associated with low
357 geopotential in most of Xinjiang, where less precipitation is expected in summer under RCP4.5
358 (Fig. 6b). In contrast, under RCP8.5, Fig. 8f shows more positive vorticity and low geopotential
359 over Xinjiang, corresponding to strong increases in precipitation magnitude across the region (Fig.
360 6f). Compared with the present, the change in relative vorticity under RCP4.5 is significantly larger
361 than that under RCP8.5. This difference will likely cause the amount of precipitation to be lower
362 under RCP8.5 than under RCP4.5. Moreover, the geopotential of Xinjiang will continue to increase
363 under RCP4.5 and RCP8.5. Compared to RCP4.5, the increase in geopotential will be more obvious
364 under RCP8.5, which may suppress the occurrence of precipitation. In summer, the changes in
365 geopotential height are smaller than those in winter and the changes in water vapor are generally
366 larger (Figs. 6 and 8), which explains why there are more areas in which precipitation increases in
367 summer and the opposite situation occurs in winter. The suppression of precipitation by the positive
368 geopotential is obvious particularly over the Kunlun Mountains in summer, where the precipitation
369 decreases. The positive anomalous geopotential heights are strengthened in each season under
370 RCP8.5, which explains why the precipitation decreases are much larger under the RCP8.5 than
371 under RCP4.5, although there is abundant water vapor in the atmosphere. Similar relationships
372 between precipitation and ϕ and ζ are found in the transition seasons as well.



373

374 **Fig. 8.** Differences in relative vorticity (color scale, unit: 10^{-5} s^{-1}) and 500-hPa geopotential (contour
 375 line, line interval $10 \text{ m}^2 \cdot \text{s}^{-2}$) averaged under RCP4.5 and RCP8.5 relative to the present day. The
 376 white area is underneath the ground.

377 These variations in large-scale dynamic processes provide a mechanism for

378 configuring instabilities and vertical motion for the projected changes in seasonal and

379 annual precipitation in Xinjiang, in addition to a moisture advection effect suggested
380 by Huang et al., (2014) based on their analysis of GCM outputs.

381 **4. Discussion**

382 Previous studies have suggested that, when compared with common datasets such as the Global
383 Precipitation Climatology Centre (Schneider et al., 2018), the Climatic Research Unit Timeseries
384 (Harris et al., 2014) and Asian Precipitation-Highly-Resolved Observational Data Integration
385 Towards Evaluation (APHRODITE) (Yatagai et al., 2012), the MERRA dataset is more suitable for
386 reanalyzing the precipitation in Central Asia (Hu et al., 2016). The reason is that the MERRA dataset
387 has the advantages of better performance and minimal uncertainty, benefitting from the integration
388 of satellite observations during the development of the dataset (Rienecker et al., 2011; Xu et al.,
389 2020). In addition, although some remotely sensed datasets, such as the Tropical Rainfall
390 Measurement Mission (Kummerow et al., 1998), Global Precipitation Measurement (Hou et al.,
391 2014), the Climate Prediction Center Morphing Technology dataset (Joyce et al., 2004), have higher
392 accuracy than the reanalysis dataset, remotely sensed datasets suffer from difficulty and inaccuracy
393 when applied to describe the precipitation in the winter months (Ferraro et al., 1998; Zhang et al.,
394 2018). Based on these considerations, we choose the MERRA dataset to verify the effectiveness of
395 the downscaling of the WRF model in this study.

396 In this study, there was a relatively large difference in the simulated results achieved by the
397 WRF and CCSM4 models when applied to Northern and Southern Xinjiang (Fig. 3). These
398 differences are due to the different internal physical parameterization schemes used in the WRF and
399 CCSM4 models. This type of discrepancy was also found during the dynamic downscaling process,
400 which indicates that physical parameterization scheme employed for dynamical downscaling has
401 can affect the biases intrinsic to the models (Zou et al., 2016). With the advance in spatial resolution
402 of 10 km, more details about the small-scale local climate can be obtained using the WRF rather
403 than the CCSM4 model. For instance, the WRF model improved the simulated spatial precipitation
404 pattern. Owing to this improvement, the boundaries of the high rainfall areas in the Tianshan Mountains
405 and Altai Mountains were well simulated in our experiments (Figs. 2 and 4) (Chen et al., 2019; Qiu
406 et al., 2017). Therefore, although the WRF model overestimated the precipitation in Northern and

407 Southern Xinjiang and underestimated the precipitation in Tianshan, it could estimate the
408 precipitation tendency more accurately than the CCSM4 data (Fig. 3) (Chen et al., 2019; Qiu et al.,
409 2017).

410 The unique spatial shape of the mountain basin in Xinjiang has greatly affected the water vapor
411 transport and distribution in Xinjiang (Yu et al., 2003). Specifically, the Tianshan Mountains block
412 the moisture present in the northwesterly or northerly winds blowing from the Aral, Caspian, Black,
413 and Mediterranean seas as well as the Arctic Ocean. Similarly, the Himalayas and Tibetan Plateau
414 block most of the moisture coming from the south (Baldwin and Vecchi, 2016; Chen et al., 2019).
415 Therefore, the geographical distribution of precipitable water vapor in Xinjiang (Fig. 4) differs from
416 the spatial distribution of actual precipitation (Fig. 6), indicating that the precipitation in Xinjiang
417 may be closely related to the water vapor transported by atmospheric circulation (Shi and Sun, 2008).
418 It may be that, with increasing elevation, the amounts of precipitation in the Tianshan and Altay
419 Mountains increase, whereas that along the north border of Tibetan Plateau decreases (Aizen et al.,
420 2006; Baldwin and Vecchi, 2016; Guan et al., 2019).

421 **5. Conclusions**

422 This study was primarily focused on investigates the changes in the intensity and frequency of
423 precipitation in Xinjiang in the near future. A regional climate model called the WRF model was
424 used to downscale the CCSM4 model in Xinjiang for the present (i.e., 1986–2005) and near future
425 (i.e., 2040–2059) under the RCP4.5 and RCP8.5 scenarios. The following conclusions can be
426 obtained from the results.

427 The annual precipitation will continue to increase under the RCP4.5 and RCP8.5 scenarios,
428 and Tianshan has the largest increment among the three experimental regions. The increasing
429 precipitation in the experimental regions excluding Southern Xinjiang, are much smaller under
430 RCP8.5 than under RCP4.5. The projected annual precipitation in Xinjiang under both RCP4.5 and
431 RCP8.5 suggests that the present wet and warm conditions will likely continue into the future,
432 especially in Tarim Basin. The largest increase in annual precipitation will be in the mountainous
433 areas from the Tianshan to Altay Mountains, with values ranging from 50 to 150 mm more than the
434 present levels.

435 The seasonal precipitation in Xinjiang has remarkable characteristics of increased summer

436 rainfall and decreased winter precipitation under both RCP4.5 and RCP8.5 compared to the present
437 levels. Moreover, the difference in the amount of precipitation between summer and winter is much
438 larger under RCP4.5 than under RCP8.5. In addition, the largest increase in the amount of seasonal
439 precipitation in the future will likely occur in spring and summer in Tianshan and Northern Xinjiang,
440 whereas this phenomenon will occur in spring and winter in Southern Xinjiang.

441 The more frequent heavy precipitation events ($30\text{--}40\text{ mm}\cdot\text{d}^{-1}$) are expected to occur in various
442 subregions of Xinjiang. This change in the intensity of precipitation may result in more events with
443 heavy precipitation in a warming climate. The events with small amounts of precipitation will
444 account for a large proportion of seasonal and annual precipitation events, reducing the impact of
445 the increase in the number of strong precipitation events on the amounts of seasonal and annual
446 precipitation.

447 **Acknowledgments**

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450

Figures

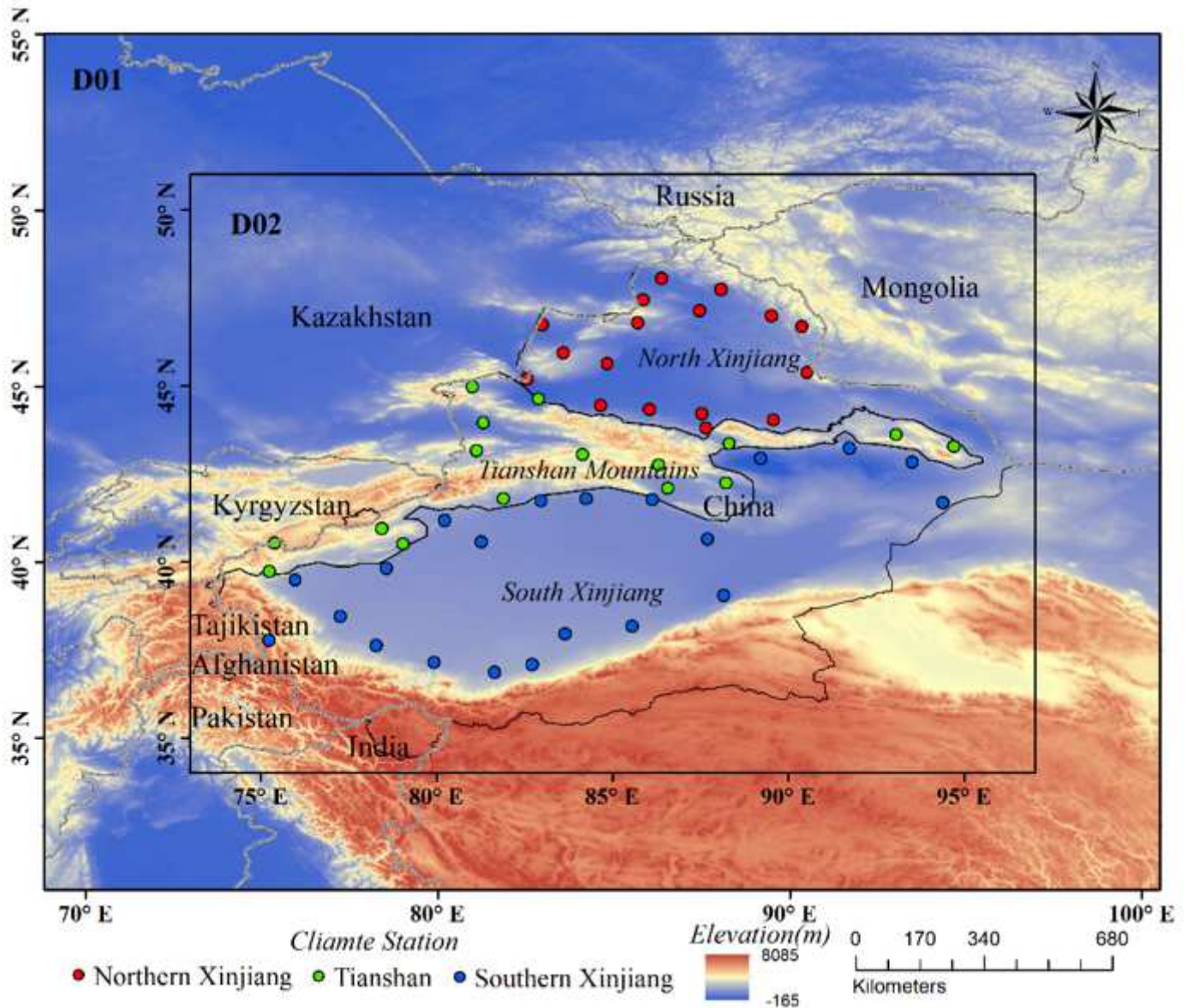


Figure 1

Simulated domain (D01) 50 km and (D02) 10 km of WRF and the ground meteorological stations with consistent precipitation variations in the study area (D02). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

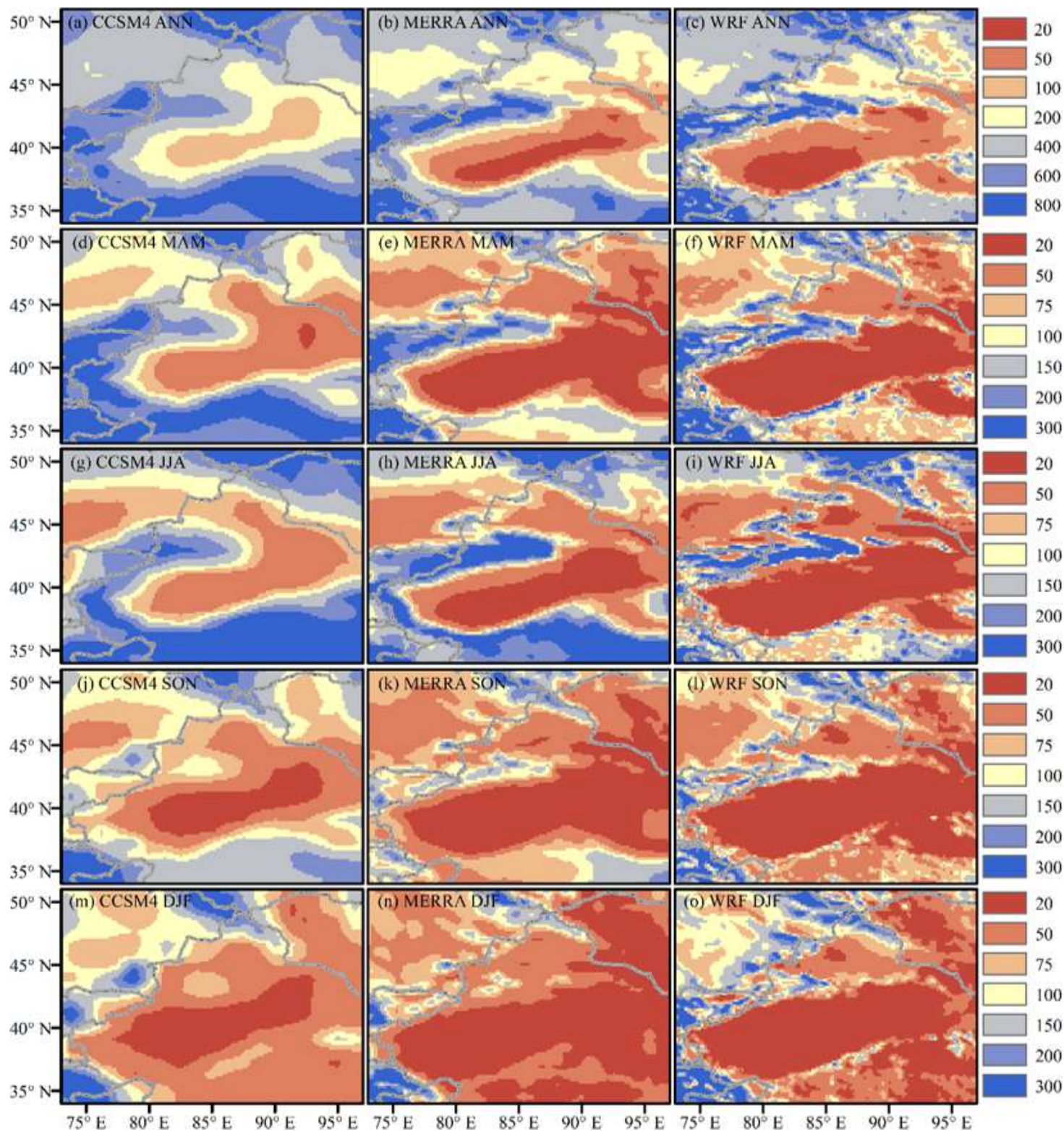


Figure 2

Mean annual and seasonal spatial patterns of precipitation for 1986–2005 derived from CCSM4 simulation (a,d,g,j,m), MERRA reanalysis data (b,e,h,k,n), and WRF simulation (c,f,i,l,o). ANN: annual (a–c), MAM: spring (d–f), JJA: summer (g–i), SON: autumn (j–l), DJF: winter (m–o). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or

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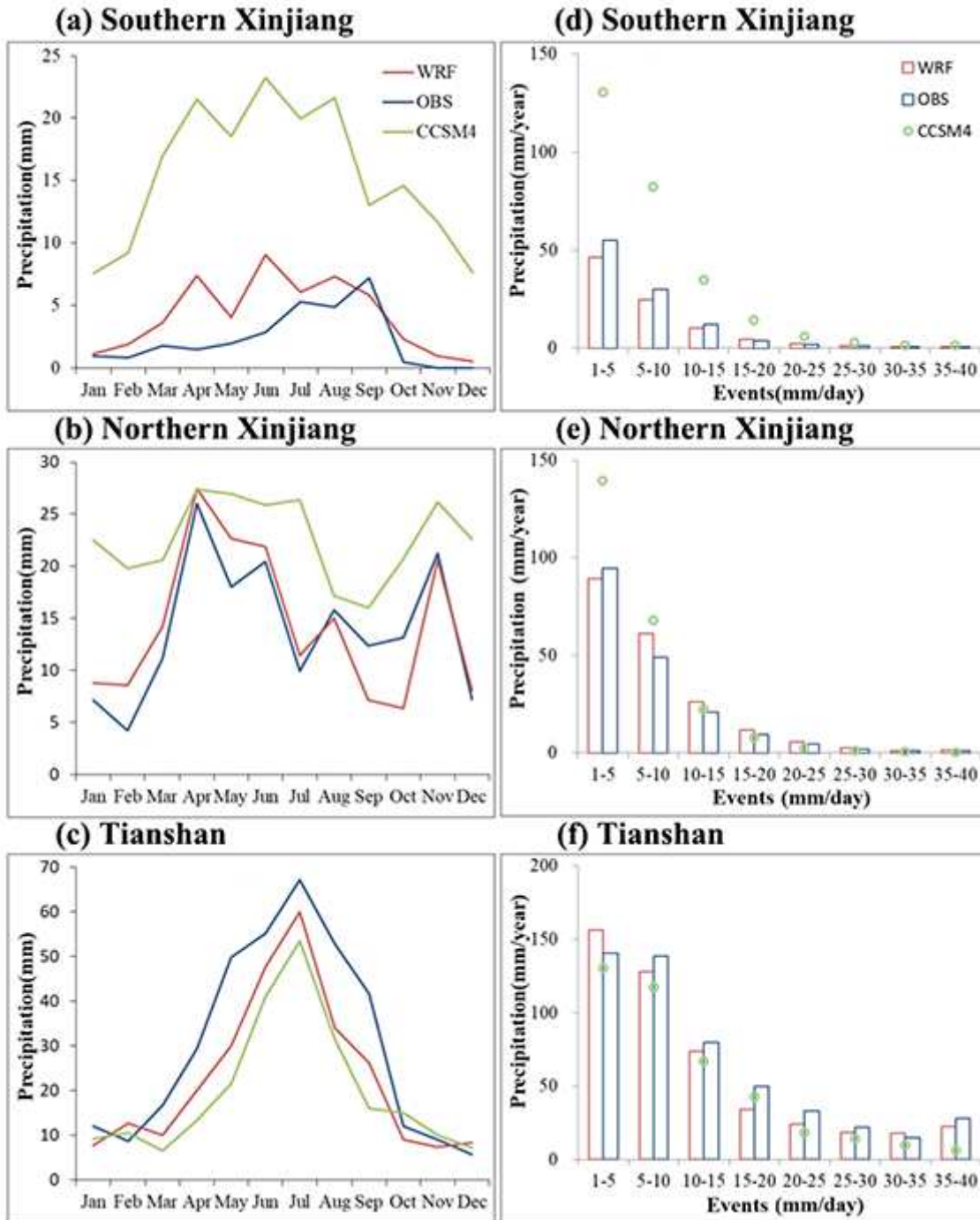


Figure 3

Annual cycle of precipitation (units: mm/d) and precipitation intensity distribution in three subregions of Xinjiang during 1986–2005

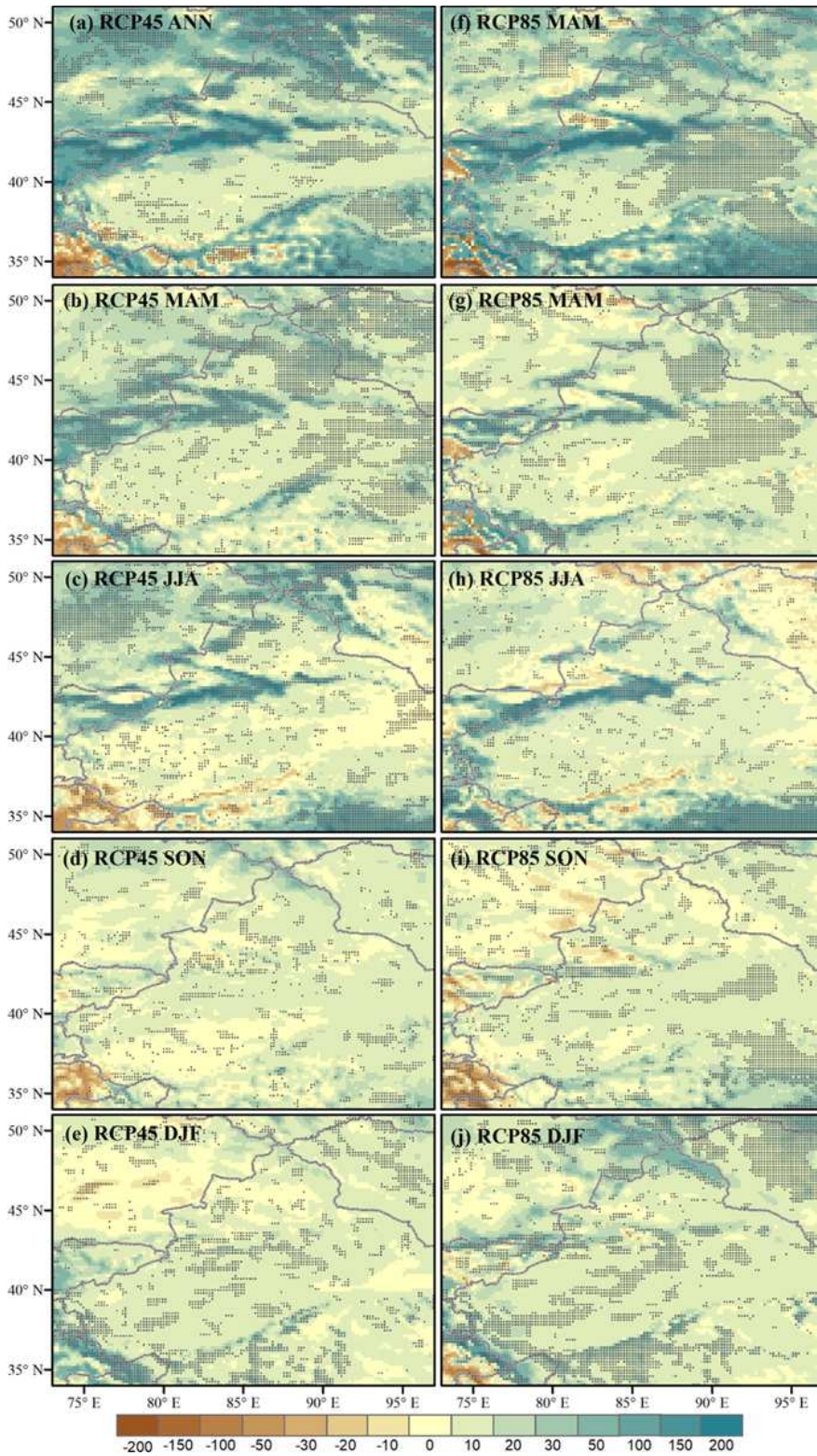


Figure 4

Spatial distributions of the near-future difference (2040–2059 relative to 1986–2005) of annual, spring, summer, autumn, and winter precipitation under RCP4.5 (a, b, c, d, and e, respectively) and RCP8.5 (f, g, h, i, and j, respectively). The hatched areas indicate that the differences are significant at the 95% confidence level in a two-tailed Student’s t-test (units: mm). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the

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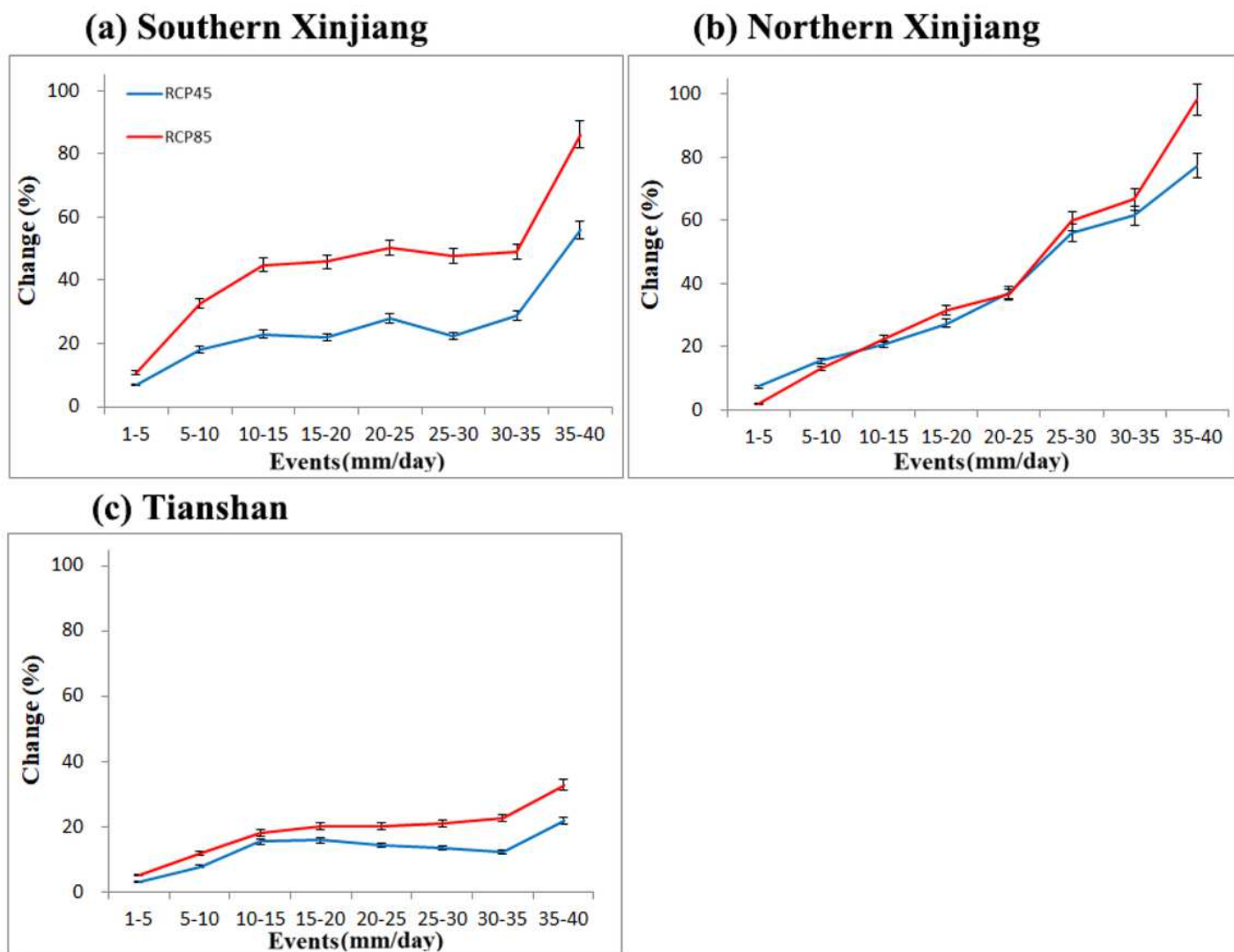


Figure 5

Average percent change in intensity distribution of future (2040–2059) daily precipitation events in three subregions under RCP4.5 (blue line) and RCP8.5 (red line) from the average of 1986–2005.

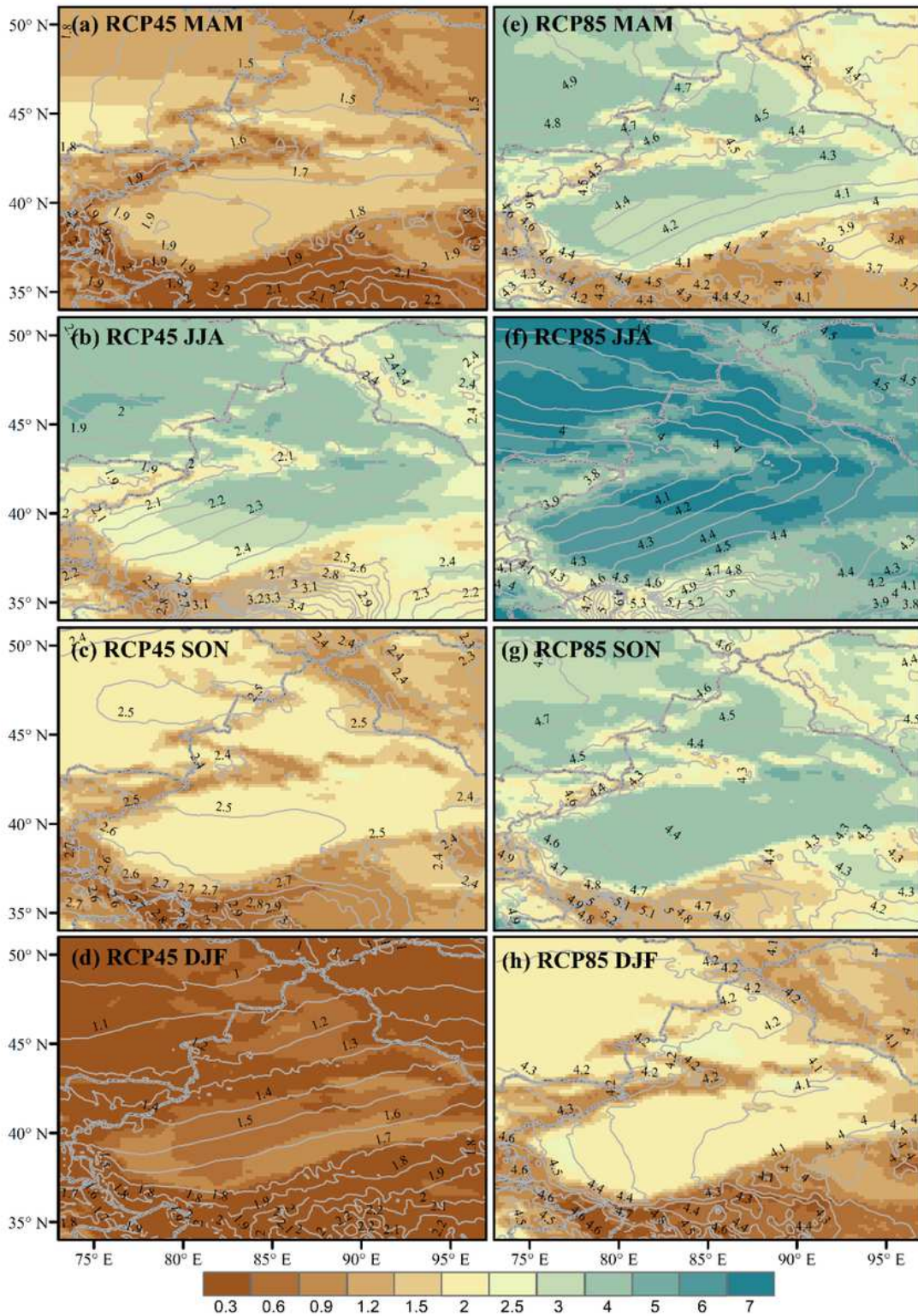


Figure 6

Differences in PW (color scale, units: kg-m⁻²) and 500-hPa air temperature (contour line, line interval 0.1 K) averaged under RCP4.5 and RCP8.5 in the future relative to the present day. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or

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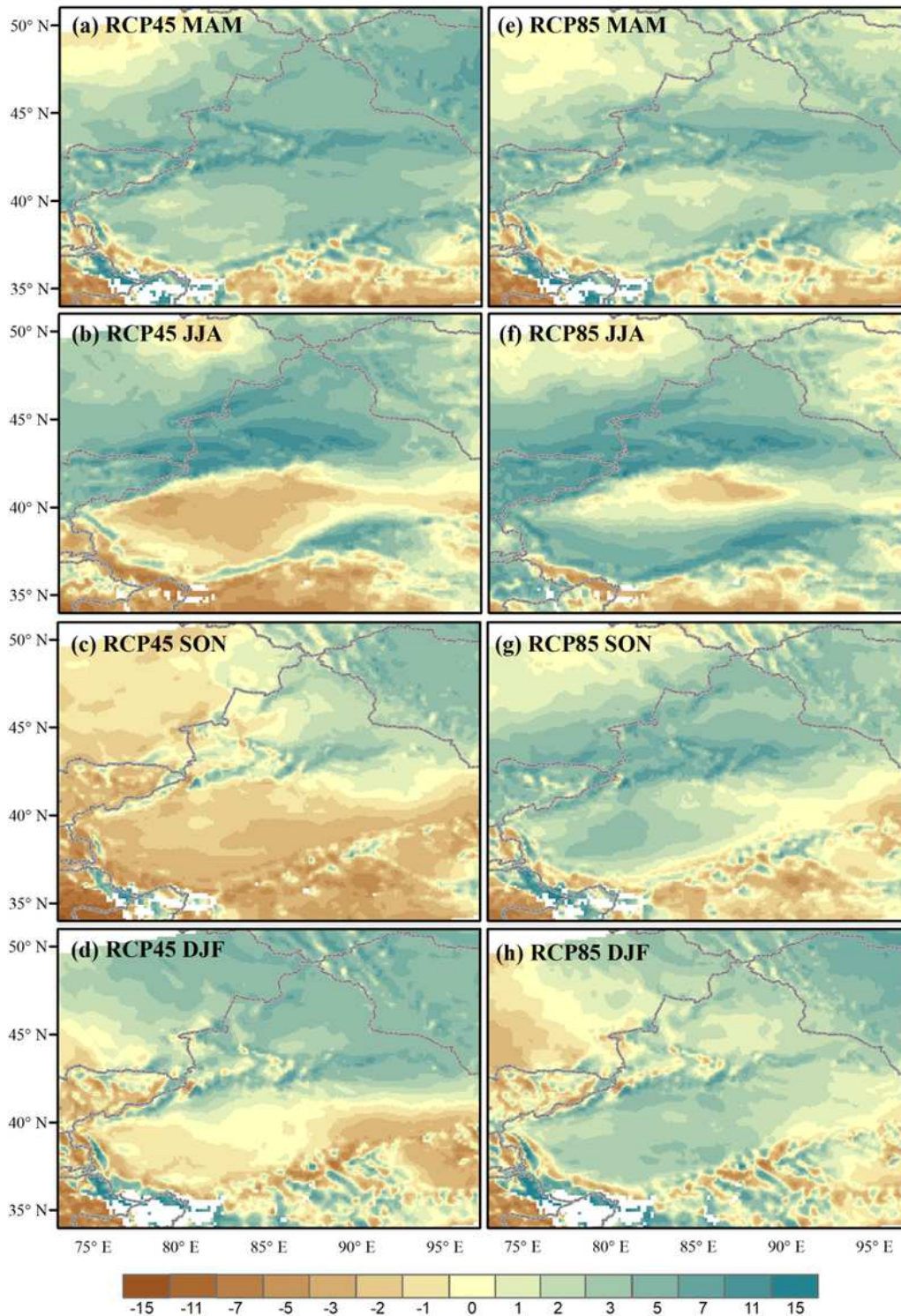


Figure 7

Differences in 700 hPa RH (units: %) averaged under RCP4.5 and RCP8.5 in the future relative to the present day. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal

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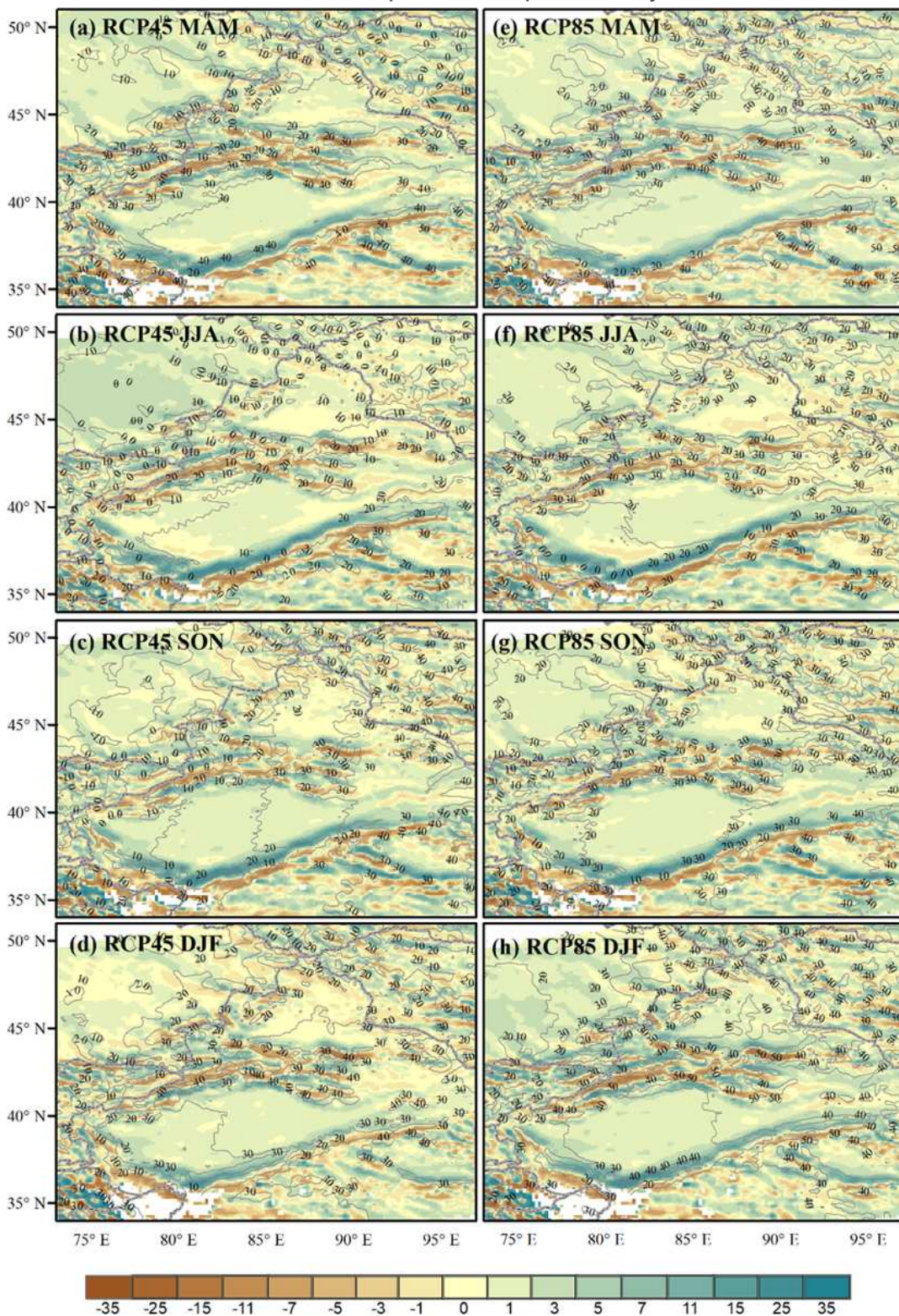


Figure 8

Differences in relative vorticity (color scale, unit: $10^{-5} s^{-1}$) and 500-hPa geopotential (contour line, line interval $10 m^2 \cdot s^{-2}$) averaged under RCP4.5 and RCP8.5 relative to the present day. The white area is underneath the ground. Note: The designations employed and the presentation of the material on this

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