

Prevalence of sleep disorders in Parkinson's disease patients in Ethiopia

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Abstract

Background: Non motor symptoms (NMS) of Parkinson's disease (PD) are common and can be more disabling than motor symptoms. Sleep disorders can be seen in up to 98% of patients with Parkinson disease. Poor sleep quality has been associated with poverty and race, and yet there has been no prior report on sleep disorders in those with PD living in sub Saharan Africa. We wished to document the prevalence of sleep disorders in PD patients in Ethiopia. **Methods:** We conducted a cross-sectional point prevalence study from July 1 to October 30, 2015 of all patients attending the neurology outpatient department in Tikur Anbessa and Zewuditu Memorial Hospitals, Addis Ababa, Ethiopia. Demographic data, clinical history and physical examination findings were collected from participants using a structured questionnaire. We used the Parkinson's disease sleep scale version two (PDSS-2) and Epworth Sleepiness Scale (ESS) to assess the sleep symptoms. **Results:** Of the 155 patients surveyed, all patients reported some sleep problem. Over 43.9% of patients had a PDSS score > 18. Presence of previous history of sleep disturbance before PD motor symptoms (OR 3.54; 95% CI 1.61-7.76, p=0.001) and unemployment (OR 2.27; 95% CI 1.07-4.79, p=0.023) associated with a high PDSS-2 score. The median score of ESS was 9 (IQR = 5-12), with 77/155 (49.7%) of the patients having possible or definite excessive daytime somnolence. **Conclusions:** In Ethiopian PD patients, the prevalence of those with severe sleep disorders is the highest reported to date. The prevalence of possible/definite EDS is amongst the highest in the world. Further investigation into whether poverty or race explains this finding is needed.

Background

The NMS of PD are frequent and can be very disabling. [1-2]

Sleep disorders associated with PD are one of the most common NMS and have been reported in 38% to 98% of PD patients.[3] They were actually first mentioned by James Parkinson himself in his famous monograph about the disease. Sleep disorders can occur before the diagnosis of PD, but become more severe and frequent as the disease stage progress. [2]

Patients with PD are at a greater risk for developing sleep disturbances than the general population. Sleep disturbances are a common but often under recognized feature of PD in clinical practice because of the absence of systematic or specific questioning by health care professionals. [4]

There have been limited recent studies published on PD in sub Saharan Africa[5-12] and far fewer from Ethiopia. [13-14] Poor sleep quality has been found to be strongly associated with poverty and race [15] and yet there have been no published data on sleep disorders in people with PD living in sub Saharan Africa. We wanted to document the prevalence of sleep disorders and their determinant factors in people living with PD in Ethiopia.

Methods

We conducted a cross-sectional point prevalence study from July 1 to October 30, 2015 of all patients attending neurology outpatient department in Tikur Anbessa and Zewuditu Memorial territory referral Hospitals in Addis Ababa University; which is the only neurology training center in Ethiopia. The source population was all patients diagnosed with PD using the UK Parkinson's Disease Society Brain Bank Clinical Diagnostic Criteria and having follow up at the two referral hospitals during the study period. Inclusion criteria were PD patients ≥ 18 years old who gave informed verbal consent for study participation. Exclusion criteria were secondary Parkinsonism or refusal of informed verbal consent. Demographic data, clinical history and physical examination findings were collected from participants using structured questionnaire in Amharic and English. We also used two data collection instruments: the Parkinson Disease Sleep Scale version- 2 (PDSS-2), [16] and the Epworth Sleepiness Scale (ESS). [17 & 18]

The PDSS-2 is a scale addressing 15 commonly reported symptoms associated with sleep disturbance. This scale has been shown to correlate with the Parkinson's disease Quality of Life Questionnaire (PDQ-39), the Unified Parkinson's Disease Rating Scale motor scores (UPDRS-III), and the Clinical Global Impressions Severity Score (CGI Item 1). [19-21]

The ESS is used as a subjective measure of a patient's daytime sleepiness. This scale has a list of eight situations in which patients rate their likelihood of becoming sleepy on a scale of 0-3. Total score ranges from 0 to 24. A score of 10-15 suggests possible excessive daytime somnolence, and a score of 16-24 suggests definite excessive daytime somnolence. [17 & 18]

The PDSS-2 and ESS were translated from English into Amharic and pilot-tested on 10 subjects. All subjects understood every question without any difficulty, or re-translation required. These subjects were not included in the study results.

Analysis was performed using SPSS/PC version 20.0 software packages for statistical analysis (SPSS). Descriptive summaries were employed to describe socio-demographic and clinical characteristics. Appropriate measures of central tendency, frequency distribution, cross tabulation, Fisher's Exact test and binary logistic regression analysis were conducted. Odds ratios and 95% confidence intervals were calculated. A p value less than 0.05 were considered a statistically significant association between assessed variables.

Protocol approvals were obtained from the ethical review Committee of the Department of Neurology and the Institutional Review Board and Research and Publication Committee of the College of Health Sciences of Addis Ababa University. Informed patient consent was obtained verbally before study enrollment. Patient data was deidentified during subsequent analysis and dissemination.

Results

Out of 158 presented during the study period, two of the patient refused consent and one of the patients did not fulfill the diagnostic criteria. A total of 155 subjects were included in this study: 127 (81.9%) male: 28 (18.1%) female. Table 1 shows the demographics of our subjects. The mean duration of symptoms, duration since PD diagnosis and duration of PD treatment were 6.37, 4.90, 4.68 yrs respectively. All patients were taking levodopa and 23.9% were taking trihexyphenidyl. No patient was taking other anti-parkinsonian agents (e.g. dopamine agonist, amantadine).

Table 2 shows the results of the PDSS-2 scores. No patient had a score of zero (range 4- 39). The median score was 17 (IQR 11-24). Overall, 66/155 (42.6%) reported not having slept well ≥ 2 days per week. 68/155 (43.9%) scored ≥ 18 . The most frequent sleep problems (defined as ≥ 2 nights per week) were due to nocturia (73.5%), followed by difficulty with mobility in bed (37.4%), distressing dreams (36.1%) and sleep maintenance insomnia (34.8%).

Univariate analysis was performed to determine factors associated with a PDSS-2 score ≥ 18 . Presence of previous history of sleep disturbance before PD motor symptoms (OR 3.54; 95% CI 1.61-7.76, $p=0.001$) and unemployment (OR 2.27; 95% CI 1.07-4.79, $p=0.023$) both associated with a high PDSS-2 score. However a logistic regression analysis didn't show statistical significant association with previous history of sleep disturbance before PD motor symptoms, age, gender, levodopa or trihexyphenidyl use, marital, educational or employment status.

The results of the ESS are shown in the Figure. The median score was 9 (IQR 5-12). 73/155 (47.1%) of the patients had possible or definite excessive daytime somnolence. An EDSS score of ≥ 10 associated with an H&Y score ≥ 4 ($p=0.02$). There was no statistically significant association between age, gender, PD duration, levodopa or trihexyphenidyl use, marital status, educational status or employment status with daytime sleepiness (EDSS score ≥ 10).

On univariate analysis, there was a statistically significant association between ESS total score ≥ 10 with only two variables of the PDSS-2: PD patients waking up at night due to snoring or difficulties with breathing (OR 2.87; 95% CI 1.25 – 6.60, $p=0.015$) and getting up at night to pass urine (OR 2.3; 95% CI 1.08 - 4.92, $p=0.042$). The other items of the PDSS-2 were not associated with a high ESS score.

Discussion

We found that all of our Ethiopian PD patients reported some sleep problem, with a large minority (42.6%) reporting not sleeping well ≥ 2 nights per week. One community based study from Norway reported that 60% of their PD patients had sleep problems.

Compared to patients from Germany, Austria and the United Kingdom in terms of overall sleep disturbance, there was a higher percentage of Ethiopian patients with a severe sleep disorder. Trenkwalder et al [16] reported a mean PDSS score of 16.5- similarly to our mean score of 18.3 (median 17). However, they found that only 6.3% of their patients had a score ≥ 30 , whereas in our population, 23.2% of our patients had a score ≥ 30 .

We also found that Presence of previous history of sleep disturbance before PD motor symptoms (OR 3.54; 95% CI 1.61-7.76, $p=0.001$) and unemployment (OR 2.27; 95% CI 1.07-4.79, $p=0.023$) associated with a high PDSS-2 score (PDSS-2 ≥ 18) which was not seen in other studies.

Nocturia and mobility difficulties were the most frequent sleep problems in our population. Other authors have found similar issues. Nocturia was reported by 62% of patients in the NMS Quest Study. [22] Adler et al. reported that 80% of patients with PD have two or more episodes of nocturia per night, and 33% urinate at least three times per night. [23] Lees and his colleagues have reported nocturnal disturbances in 215 of 220 PD patients, including nocturia (79%) and difficulty turning over in bed (65%).

Over a third of our patients (36.1%) reported having distressing dreams. Nightmares have been reported in 30% of patients with PD and are correlated with disease severity and levodopa dose. [24]

Insomnia occurs in about 30% of patients with PD. [24] Patients often develop a sleep pattern marked by excessive napping during the day and wakefulness at night. [2] We found sleep onset insomnia ≥ 2 days/week in 47 PD patients (30.3%) and sleep maintenance insomnia ≥ 2 days/week in 54 PD patients (34.9%). This is comparable with one study from India. Kumar et al. reported the prevalence of insomnia in PD patients were 30%. [24]

Excessive day-time sleepiness (EDS) is a common complaint of patients with PD. [25-26] It can occur early in PD, [27] and may predate the diagnosis. [28] We found that 47.1% of our patients had possible or definite EDS. This is one of the highest rates reported in the world. Possible or definite EDS (ESS \geq 10) was seen in 15.5% of PD patients in Norway, 33% in Austria, 40.6% in New York USA, 46.2% in France, and 50.2% in Houston,USA. [3, 29-32] Adler et al. identified that advanced disease stage and age predicted EDS. [23] We also found an association between higher PD stage and higher ESS scores.

We found that high ESS scores associated with patients reporting both nocturia and breathing difficulties/snoring on the PDSS. OSA is defined as intermittently absent or reduced airflow during sleep despite respiratory effort. A study from Mexico City on 120 PD patients reported obstructive sleep apnea (OSA) in 39% of patients. [33] We found 57 PD patients (36.8%) reporting OSA symptoms at least one day per week. A study from France on 100 patients also reported 27% of PD patients were having obstructive sleep apnea. [34]

Our study had several limitations. In Ethiopia there is no polysomnography (PSG), the gold standard for evaluating sleep disorders. Therefore, we had to rely on the PDSS-2. The PDSS is a subjective semi quantitative scale, which attempts to provide a holistic and clinical assessment of the complex etiology of sleep problems in Parkinson's disease.

One other significant limitation of our study was our inability to assess for REM Sleep Behavior Disorder (RBD). Only 15 (9.7%) of our patients attended their clinic visits with a reliable sleep partner, so we could not use a questionnaire to evaluate for RBD, and of course, did not have access to PSG. In one study of 19 patients with PD, 47% met the diagnostic criteria of RBD based on PSG recordings, but only 33% of these cases were detected by a questionnaire. [23] We suspect that our percent of patients with Sleep Disorders would have been higher had we had a reliable way to assess for RBD.

Conclusions

We found a higher percentage of Ethiopian patients with a high PDSS-2 score (\geq 18) than reported in other populations. Our patients also had one of the highest rates of EDS in the world. We cannot conclude from our data that this is due to poverty, but further investigation into this question is warranted.

Abbreviations

CI Confidence Interval

EDS Excessive Daytime Sleepiness

ESS Epworth Sleepiness Scale

IQR Inter Quartile Range

NMS Non Motor Symptoms

PD Parkinson 's Disease

PDSS-2 Parkinson's Disease Sleep Scale Version 2

Declarations

Ethics approval and consent to participate: Protocol approvals were obtained from the ethical review Committee of the Department of Neurology and the Institutional Review Board and Research and Publication Committee of the College of Health Sciences of Addis Ababa University. Informed patient consent was obtained verbally before study enrollment and the Department of Neurology and the Institutional Review Board and Research and Publication Committee of the College of Health Sciences formally approved the verbal consent. Before data collation started the purpose of the study was explained to the patients and asked on their willingness to participate in the study and data collation was started after patient gave verbal consent to participate and that was documented on each questionnaire. Patient data was deidentified during subsequent analysis and dissemination.

Consent for publication: All authors are consented.

Availability of data and materials: The data is on password protected computer of Dr.DM and the datasets used and analyzed during the current study are available from the corresponding author on reasonable request.

Competing interests: The authors declare that they have no competing interests.

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Authors' contributions: Drs. DM, AT, JHB and DA participated in data acquisition, analysis, interpretation, review and critique.

Dr. DM also participated in project execution and writing first draft on manuscript preparation. All authors' read and approved the final version of the manuscript.

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Tables

Table 1

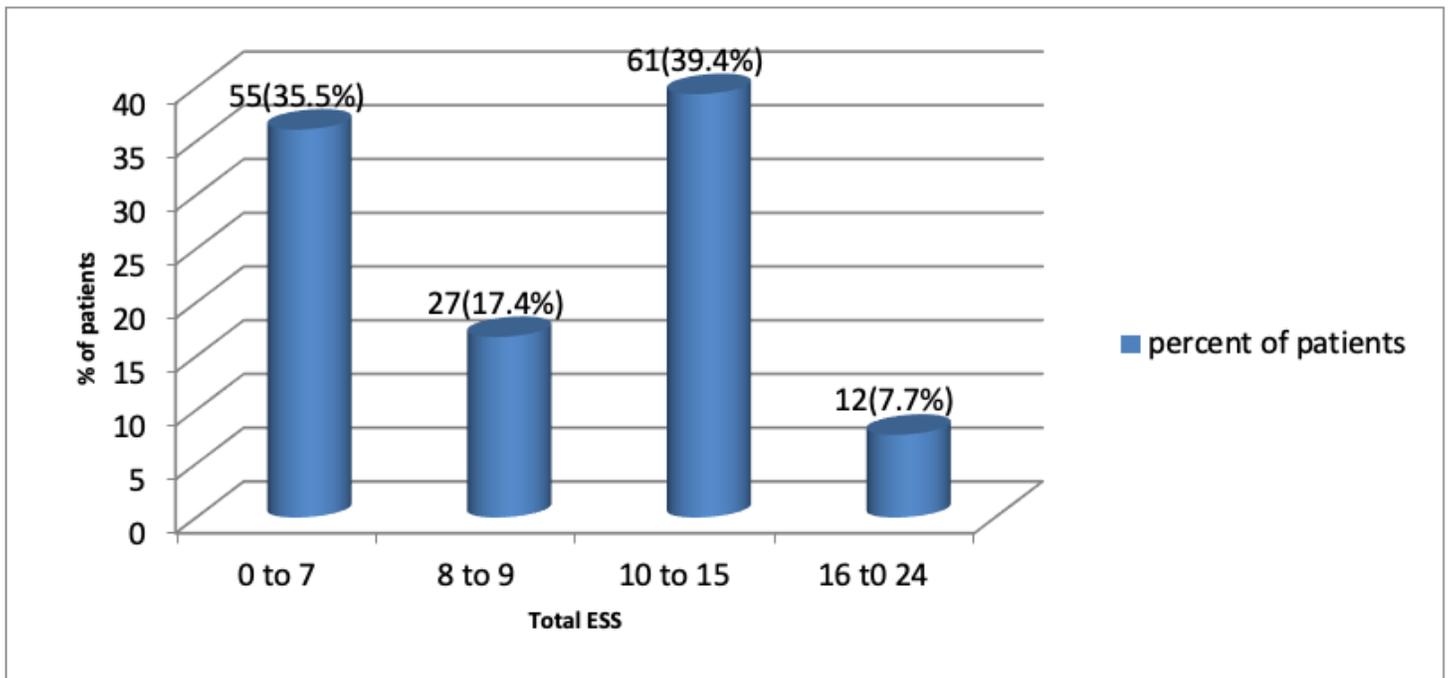
Variables	Number (%)
<u>Gender</u>	
Female	28(18.1)
Male	127(81.9)
<u>Age</u>	
<60 years	89(57.4)
≥60 years	66(42.6)
<u>Marital status</u>	
Never married	6(3.9)
Married	121(78.1)
Widowed	19(12.3)
Separated/divorced	9(5.8)
<u>Duration of PD symptom in years</u>	
<5 years	85(45.2)
≥5 years	70(54.8)
<u>Employment status</u>	
Employed	44(28.4)
Unemployed	111(71.6)
<u>Educational status</u>	
No formal education	48(31)
Primary education	45(29)
Secondary education	36(23.2)
More than secondary education	26(16.7)
<u>Hoehn and Yahr Stage</u>	
Stage 1	37(23.9)
Stage 2	46(29.7)
Stage 3	44(28.4)
Stage 4	23(14.8)
Stage 5	5(3.2)
<u>Previous history of sleep disorder</u>	
Yes	37(23.9)
No	118(76.1)

Table 2

Questions	Very often (6-7 days/ week) No (%)	Often (4-5 days/ week) No (%)	Sometimes(2-3 days/ week) No (%)	Occasionally(1 day/ week) No (%)	Never No (%)
1. Overall did you sleep well during the last week?	89(56.8)	19(12.6)	19(12.6)	23(14.8)	5(3.2)
2. Did you have difficulty falling asleep each night?	6(3.9)	16(10.6)	25(16.1)	36(23.5)	72(45.8)
3. Did you have difficulty staying asleep?	11(7.1)	19(12.8)	24(15.8)	32(20.6)	69(43.9)
4. Did you have restlessness of legs or arms at night or in the evening causing disruption of sleep?	4(2.6)	23(14.8)	14(9.4)	34(21.9)	80(51.3)
5. Was your sleep disturbed due to an urge to move your arms or legs?	5(3.4)	17(11.4)	20(12.9)	35(22.6)	78(49.7)
6. Did you suffer from distressing dreams at night?	12(7.7)	16(10.6)	28(18.4)	30(19.4)	69(43.9)
7. Do you suffer from distressing hallucinations at night (seeing or hearing things that you are told do not exist)?	4(2.6)	10(6.7)	14(9.4)	27(17.4)	100(63.9)
8. Do you get up at night to pass urine?	58(37.6)	33(21.3)	23(14.8)	26(16.8)	15(9.4)
9. Did you feel uncomfortable at night because you were unable to turn around in bed or move due to immobility?	11(7.4)	23(14.8)	24(15.5)	45(29.3)	52(32.9)
10. Did you feel pain in your arms or legs which wake you from sleep at night?	2(2.6)	14(9.0)	25(14.2)	36(24.5)	76(49.7)
11. Did you have painful muscle cramps in your arms or legs which wake you from sleep at night?	2(1.6)	14(9.0)	19(12.3)	48(31.3)	72(45.8)

12. Did you wake early in the morning with painful posturing of arms or legs?	4(2.6)	8(5.4)	17(11.4)	24(15.5)	102(65.1)
13. On waking did you experience tremor?	6(3.9)	20(12.9)	20(12.9)	44(28.7)	65(41.6)
14. Did you feel tired and sleepy after waking in the morning?	9(5.8)	17(11.3)	25(16.4)	40(25.8)	64(40.6)
15. Did you wake up at night due to snoring or difficulties with breathing?	9(5.8)	9(5.8)	13(8.7)	26(16.8)	97(62.9)

Figures



*0-7 Unlikely to be abnormally sleepy
 8-9 Average amount of daytime sleepiness
 10-15 Possible excessive daytime sleepiness
 16-24 Excessive daytime sleepiness

Figure 1

Frequency distribution of total Epworth sleepiness scale (ESS) scores*

Supplementary Files

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