Prognostic risk model for advanced renal cell carcinoma (RCC) with immune-related genes

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Research article

Keywords: renal cell carcinoma, RCC, immunologic signature, tumor immunity, prognosis

Posted Date: July 24th, 2020

DOI: <https://doi.org/10.21203/rs.3.rs-44822/v1>

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Abstract

Background Renal cell carcinoma (RCC) is a common tumor of the urinary system. Nowadays, Immunotherapy is a hot topic in the treatment of solid tumors, especially for those tumors with pre-activated immune state.

Methods In this study, we downloaded genomic and clinical data of RCC samples from The Cancer Genome Atlas (TCGA) database. Four immune-related genetic signatures were used to predict the prognosis of RCC by Cox regression analysis. We selected the most relevant genes from each signature to construct a prognostic risk model to predict prognosis via Kaplan-Meier (KM) survival analysis. And subgroups of the TCGA samples and external data from International Cancer Genome Consortium (ICGC) database were used to verify predictive stability of the model. We performed landscape analysis to assess the difference of gene mutant based on the data from TCGA. Finally, we explored the correlation between the selected genes and the level of tumor immune infiltration via Tumor Immune Estimation Resource (TIMER) platform.

Results We found that the four prognostic risk models constructed by the signatures all could divide the RCC samples into high- and low-risk groups with significantly different prognosis, especially in advanced RCC. And the prognostic risk model was constructed by 8 candidate genes (HLA-B, HLA-A, HLA-DRA, IDO1, TAGAP, CIITA, PRF1 and CD8B) which divided the advanced RCC samples from TCGA database into high-risk and low-risk groups. And there was a significant difference in overall survival (OS) between the two groups. The validity of the model was verified by independent data from ICGC database. And the classification efficiency of the model was stable for the samples from different subgroups. landscape analysis showed that mutation ratios of some genes were different between two risk groups. In addition, the expression levels of the selected genes were significantly correlated with the infiltration degree of immune cells in the advanced RCC.

Conclusions Sum up, eight immune-related genes were screened in our study to construct prognostic risk model with great predictive value for the prognosis of advanced RCC, and the genes were associated with infiltrating immune cells in tumors which have potential to conduct personalized treatment for advanced RCC.

1. Background

Renal cell carcinoma (RCC) is the 14th most common cancer accounting for 2.2% of all cancers worldwide. 403262 new cases have been reported in 2018 with a ratio of males to females being estimated as 1.5:1 [1]. Each year the morbidity of RCC increases with 2% globally and its incidence is higher in developed countries than in developing ones such as Asia and Africa. There are three main RCC subtypes including clear cell RCC (ccRCC), papillary RCC (pRCC), and chromophobe cell renal carcinoma (ccRC). The three types account for 80-90%, 10-15% and 4-5% of RCC cases, respectively. 60-70% of pRCC cases are type I [2]. Despite the diagnosis and the improved treatment of RCC, its overall survival remains

low. Surgery is the first choice to treatment. However, the increase in the tumor stage makes prognosis worse. Data indicate that the age-standardized 5-year relative survival of RCC patients decreases with the increase in the clinical stage [3]. Therefore, other treatments, such as embolization, targeted therapy and immunotherapy as a supplement or alternative for surgery, provide new visions for successful treatment and better prognosis of RCC [2, 4].

RCC is a malignant tumor which is insensitive to traditional radiotherapy and chemotherapy. It has strong immunogenicity and is considered as a hot tumor in which a large number of B cells, T cells, macrophages and other immune cells infiltrate the tumor tissue. Therefore, immunotherapy is a good choice for its treatment. At present, immunotherapy has leaped to the forefront of cancer research. And endless new immunotherapy drugs have been approved for a variety of solid tumors. In particular, the overall therapeutic effect of patients with advanced and metastatic RCC has improved in recent years [5, 6]. With the development of RCC genomic research and the new progress about the mechanism of immune response to cancers, the immunotherapy of RCC has shifted from non-specific immunotherapy (cytokine therapy) to new types of immunotherapy (immune checkpoint inhibitor, combined immunotherapy), which opens a new era of immunotherapy for RCC. For example, PD1/PD-L1, CTLA-4 and other immune checkpoints, which are negative costimulatory molecular control signals, inhibit the activation and function of T cells, and promote tumor immune escape and self-proliferation [7]. Immune checkpoint inhibitors block the immunomodulatory effect of these inhibitory immune checkpoints and indirectly strengthen the anti-tumor immune response and improve the therapeutic effect. However, the incidence of immune related adverse events (irAEs) in patients receiving immunosuppressive therapy was very high, up to more than 70% [8]. Therefore, it is highly prerequisite to discovery biomarkers that better predict RCC prognosis and are correlated with the immune cells infiltrating in the hot tumor. This will help to optimize the immunotherapy of RCC and to promote efficacy.

Here, we have downloaded 758 different pathological types of RCC samples from the TCGA database, and used 4 reported immune-related genetic signatures to evaluate RCC prognosis. We have selected 8 candidate genes (*HLA-B*, *HLA-A*, *HLA-DRA*, *IDO1*, *TAGAP*, *CIITA*, *PRF1* and *CD8B*) from each signature and combined them to construct a comprehensive prediction model that divides the advanced kidney cancer into high- and low-risk groups. We have detected that the overall survival (OS) of the high-risk group was significantly lower than that of the low-risk group. After the verification of the model, we found that mutation ratios of some genes were different between two risk groups and there were some correlations between the expression of 8 genes and the degree of the tumor infiltrating immune cells.

2. Materials And Methods

2.1 Data acquisition

Patients' clinical information and mRNA expression profiles from The Cancer Genome Atlas (TCGA) database of three main pathologic types of RCC, i.e. kidney renal clear cell carcinoma (KIRC), kidney renal papillary cell carcinoma (KIRP) and kidney chromophobe (KICH) were downloaded from UCSC Xena. The

R packet TCGA biolinks were used to obtain the genetic mutation information. 91 RCC samples used for prognostic risk model validation came from International Cancer Genome Consortium (ICGC) database (Table 1). Genes used for analysis in the present study were from four immune-related genetic signatures which were as follows: *HLA-A* and *HLA-B* in HLA class I molecules, IFN gamma signature, expanded immune gene signature and cytotoxic T lymphocyte (CTL) level signature. The corresponding genes in the signatures were reported to be closely related to the clinical outcomes and prognosis of solid tumors (Table 2).

Table 1. Data of three main subtypes of RCC, kidney renal clear cell carcinoma (KIRC), kidney renal papillary cell carcinoma (KIRP) and kidney chromophobe (KICH), from TCGA and ICGC database

Data	Number of RCC sample
KICH	62
KIRC	429
KIRP	267
RCC from ICGC	91

Table 2. Immune-related signatures used in the study including HLA-A and -B, IFN gamma signature, expanded immune gene signature, and cytotoxic T lymphocyte (CTL) signature, and their corresponding genes.

Signature	Gene
HLA class I molecules	HLA-A, HLA-B
IFN gamma signature	IDO1, CXCL10, CXCL9, HLA-DRA, IFNG
Expanded immune gene signature	CD30(TNFRSF8), IDO1, CIITA, CD3E, CCL5, GZMK, CD2, HLA-DRA, CXCL13, NKG7, HLA-E, CXCR6, LAG3, TAGAP, CXCL10, STAT1, GZMB
Cytotoxic T lymphocyte (CTL) level signature	CD8A, CD8B, GZMA, GZMB, PRF1

2.2 Survival analysis via univariate COX regression analysis

The survival time and survival status of the patients with RCC were extracted from TCGA database. And the samples with incomplete clinical data were removed. Taken together, a total number of 730 samples with complete prognostic outcome were selected. According to the clinical stage of the tumor, recommended by the American Joint Committee on Cancer (AJCC) [9], all samples were divided into two groups that comprised four stages. The first group comprised stages I and II and was designated as an early stage RCC group while the second group was the advanced RCC group and included RCC in stages III and IV. We used the coxph function in R packet survival to conduct univariate COX regression analysis and to explore the association between the corresponding genes in each immune-related genetic signature and the disease free survival (DFS) and overall survival (OS) of the two groups of RCC samples.

2.3 Establishment and validation of prognostic model

Genes in the signatures for multiple COX regression analysis constructed four prognostic prediction models for early and advanced RCC, respectively. The two genes most related to the prognosis in the advanced RCC group were selected from each of the signatures. And the selected 8 genes were used for multiple COX regression analysis to construct a new comprehensive prognostic prediction model. Then the `surv_cutpoint` function in R packet `survminer` was applied to determine the best threshold point to distinguish between the low-risk and high-risk RCC. Kaplan-Meier (KM) survival analysis was used to evaluate the predictive ability of the prognostic model. We then built receiver operating characteristic (ROC) curves to evaluate the specificity and sensitivity of the model *via* survival ROC in R packet. And the prognosis prediction model was validated by sample data obtained from ICGC database. Last but not the least, we have applied the model to different clinical subtypes, such as age, gender, clinical stage and pathological pattern, to assess its stability.

2.4 Mutation analysis in high and low risk groups

R packet `maftools` have been used to calculate the gene mutations for each patient with RCC genetic data in TCGA database. We have screened 16 genes in low- and high-risk samples, respectively according to the mutation ratio, and then built a waterfall map to show the distribution of the mutations of the genes in the two groups of RCC samples.

2.5 Association among the tumor infiltrating immune cells and selected genes

Tumor Immune Estimation Resource, TIMER [10] is a comprehensive database to study tumor infiltrating immune cells in various malignancies systematically. The web contains a large number of different cancer samples in TCGA database. We have investigated the association of six types of immune infiltrating cells (B cells, CD8 + T cells, CD4 + T cells, macrophages, neutrophils, and dendritic cells) with 8 selected genes to evaluate the immune status of the tumor in the low and high-risk groups via TIMER platform.

2.6 Statistical analysis

Univariate, multivariate Cox regression models, Kaplan-Meier survival analysis, mutational landscape and ROC curves in the present study were conducted by R packet. Log-rank test and *cor.* test were involved in the statistical test method. All statistical analysis was carried out in R studio (version 3.6.2). And *p* value < .05 was considered statistical significance.

3. Results

3.1 Association of genes in described immune-related signatures with disease free survival and overall survival in RCC

In our present study, we downloaded the data of 758 RCC samples from TCGA database and independent data of 91 RCC samples from ICGC database, respectively. The analysis of the correlation between the expression levels of immune-related genes and the prognosis of RCC allowed us to select genes derived

from IFN-gamma signature, extended immune gene signature, cytotoxic T lymphocyte (CTL) signature and HLA-A and HLA-B in HLA I molecules. These immune-related signatures were reported to be related to the prognosis of solid tumors, such as melanoma, ovarian cancer, breast cancer[11-15].

The univariate COX regression analysis was used to correlate gene expression levels with DFS and the OS of RCC. First, according to the clinical stage, we have divided the samples into two groups: an early stage group that comprised RCC in stages I and II and an advanced stage group containing RCC in stages III and IV. After excluding the invalid samples, 499 early RCC and 231 advanced RCC samples were further analyzed. In the two groups of RCC subsets, we found that a few of immune-related genes were significantly associated with DFS and OS of RCC patients. For the early stage RCC, we found that high expression levels of *CXCL13* and *STAT1* resulted in poor DFS while the high expression levels of *IDO1*, *CXCL13* and *GZMB* were related to detrimental OS. For the advanced RCC, the high expression levels of *TNFRSF8* and *CXCL13* were shown to be good predictors of adverse DFS and OS, respectively. (Supplementary Figure 1).

3.2 Construction of predictive models of RCC on the basis of genes from each immune-related signature

Genes from four gene signatures were studied to perform a multiple COX regression analysis in early and advanced RCC groups and to construct prognostic models for the OS of RCC and to evaluate the performance of each model in the two groups of samples. The model was used to calculate the risk score of each sample. It determined the division threshold according to the `surv_cutpoint` function, divided the samples into high-risk and low-risk groups, and conducted the KM survival analysis according to the high and low risk groups of the samples. All four models allowed discrimination of the RCC samples into high and low risk groups. The OS was worse in the high-risk RCC group than in the low-risk one. Contrary to the early stage RCC, the survival curves for the four models of immune-related signatures indicated more significant differences in the OS between the two groups from the advanced RCC (HLA-A and HLA-B: p value = 0.0015; IFN-gamma signature: p value = 9.787e-6; Expanded immune gene signature: p value = 1.137e-11; Cytotoxic T cell lymphocyte signature: p value = 0.00011) as shown in Figure 1.

3.3 Establishment and validation of the prognostic model with selected genes for advanced RCC

The four risk models constructed by using the four immune-related signatures in advanced RCC samples divided the samples into high and low risk groups with significant statistical differences in the overall survival rate. Therefore, we have used 8 genes that were most likely to be associated with OS in the advanced RCC samples. These genes were *HLA-B*, *HLA-A*, *HLA-DRA*, *IDO1*, *TAGAP*, *CIITA* and *PRF1*. These genes were combined to make multiple COX regression analysis, and a comprehensive prognosis prediction model was constructed according to the gene weight coefficient (see Supplementary Table 1). The advanced RCC samples could also be divided into high-risk and low-risk groups according to risk score of each sample (Supplementary Table 2) and the division threshold, cutoff = -2.20465, showing in figure 2B. The OS in the high-risk group is lower than that in the low risk group and there were significant differences in the overall survival between the two groups with p value = 0.032 (Figure 2A). The ROC curve suggested that the comprehensive prediction model built on the data for the studied 8 genes was

relatively stable for survival prognosis prediction of advanced RCC (area under curve (AUC) = 0.64 showing in the Figure 2E).

We further used 91 of RCC samples from the ICGC database to validate the comprehensive predictive model. The division threshold determined by the above method was -2.622015 (Figure 4B). And the samples were divided into high and low risk groups (Supplementary Table 3) according to the threshold. The results showed that the OS in the high-risk group was significantly lower than that in the low-risk group (p value = 0.013 showing in the figure 4A). The prediction result of the model was consistent with the previous results (Figure 3 and Figure 4), and the stability of the model was effectively verified.

3.4 Landscape Analysis of Gene mutation in high and low risk advanced RCC groups based on TCGA database

Among the advanced RCC samples in the TCGA database, the genes with the top 10 mutation rates in the high risk group included *TTN*, *MUC4*, *PBRM1*, *VHL*, *CHECK2*, *ATRX*, *DNAM2*, *FAT1*, *FRG1B*, *KMT2C* (Figure 5A), while in the low risk group these genes were *PBRM1*, *VHL*, *TTN*, *SETD2*, *MUC4*, *BAP1*, *MUC16*, *MT-CYB*, *MUC2*, *CSMD3* (Figure 5B). The distribution and annotation of mutations of top16 mutant genes in the two groups of samples showed in the Figure 5. The frequencies of the mutant genes, such as *VHL*, *CHEK2* and *ATRX*, were different between the high-risk and low-risk groups. Among them, the frequency of *ATRX* in the high-risk group was significantly higher than that in the low-risk group (p value = 0.0455).

3.5 Stability assessment of prognosis prediction model

The stability of model risk score in different RCC clinical characteristic subgroups of TCGA database was evaluated. There were significant differences between the high and low risk groups according to the age, gender, clinical stage and pathological pattern (Figure 5A-D). Moreover, it was indicated that the high-risk groups in all subgroups led to adverse prognosis. This showed that the comprehensive prognostic model constructed by the 8 genes had good stability.

3.6 Association of the genes involved in the model with tumor immune infiltrates

The Tumor Immune Assessment Resource (TIMER) platform was used to download the immune score (Supplementary Table 4) of advanced RCC samples. Then we have explored the relationship between the expression of *HLA-B*, *HLA-A*, *HLA-DRA*, *IDO1*, *TAGAP*, *CIITA*, *PRF1* and *CD8B* at transcriptional level and the tumor infiltrating immune cell populations (B cells, CD8+ T cells, CD4+, T cells, neutrophils and dendritic cells). We found that the expression levels of *PRF1*, *CIITA*, *TAGAP* and *HLA-DRA* were positively correlated with infiltrates of six types of immune cells in tumors. Additionally, higher infiltration levels of CD8+ T cells, neutrophils and myeloid dendritic cells were significantly correlated with higher expression of the 8 selected genes, respectively (Figure 6).

4. Discussion

The renal parenchyma malignant tumors originate from the renal tubular epithelial cells, and histopathology can be divided into three main subtypes, including clear cell renal cell carcinoma papillary renal cell carcinoma (type I and II) and chromophobe cell renal carcinoma. In recent years, the development of genetic chip and high-throughput sequencing technology has facilitated to study the pathogenesis of RCC, search for therapeutic targets of the disease and predict biomarkers for prognosis[16]. Our research is of importance for the research field. RCC is regarded as a tumor in a pre-activated immune state and is believed to have a better response to immunotherapy. Mining tumor immune-related biomarkers will help to find out potential targets for the diagnosis and treatment of RCC and will serve as predictors for disease progression, which is prerequisite for quality of life improvement and long-term survival of patients with RCC.

In the present article, we discuss the role of four previously described immune-related gene signatures [17, 18], namely the IFN gamma, the expanded immune gene, the CTL signature, and the HLA-A and HLA-B molecules, in the prognosis of the early stage RCC (stages I and II) and the advanced stage group (stages III and IV). We found that each of the four signatures established a prediction model dividing RCC samples into high- and low-risk groups. Especially in the advanced RCC samples, the high-risk group had significantly worse OS than the low-risk group. Thereafter, we chose 8 genes, *HLA-B*, *HLA-A*, *HLA-DRA*, *IDO1*, *TAGAP*, *CIITA*, *PRF1* and *CD8B*, from the four signatures which were most likely to be related to OS in advanced RCC. These genes were combined to construct a comprehensive risk model to assess the OS of the advanced RCC. It similarly implied an unfavorable OS prognosis in high-risk group of advanced RCC. In a further step, we used external datasets, 91 RCC samples from ICGC database, to verify satisfactory stability of the model.

The 8 selected immune-related genes in this combination played pivotal roles in different biological processes of the tumor growth such as proliferation, apoptosis, metastasis and metabolism. There were many subtypes in the human leukocyte antigen (HLA) system participating in human immune response. According to the structure and function, the HLA genes are divided into two classes, class I and II. Goebel et al. found that the frequency of HLA subtypes impacts RCC development [19]. It was indicated that the high expression of HLA-A and HLA-B which belong to class I in the ccRCC showed better prognosis than those with low expression [20]. HLA-DRA is one of the HLA class II alpha chain paralogues[19]. And Class II transactivator (CIITA) is one of the HLA class II regulatory genes playing a role in inducing the expression of other immune system genes. Butler and Blanck suggested that the expression of the two HLA class II molecules had high level correlation with pRCC [21]. Indoleamine 2,3-Dioxygenase 1 (IDO1) is a tryptophan catabolic enzyme that modifies inflammation and promotes cancer. IDO inhibitors can be used as immunometabolic adjuvants which safely and potently facilitate the efficacy of immunotherapy [22]. T-cell activation Rho GTPase-activating protein (TAGAP) is a GAP-domain containing protein, and was found to exert a role in T-cell differentiation [23]. ZHAO et al. reported that the expression level of TAGAP was related to the positive number of lymph nodes in the prostate cancer [23]. Perforin 1 (PRF1) encodes a protein with structural similarities to complement component C9 that is important in immunity. The protein can form membrane pores releasing granzymes, thus leading to the cytolysis of the target cells [24]. CD8B and CD8A are heterodimers of CD8 (a glycoprotein) expressed only on those cytotoxic T

cells to regulate maturation of T cells. Lee found that CD8B gene expression was closely correlated with tumor-infiltrating lymphocytes (TILs) in breast cancer [25].

We have constructed an integrative prediction model with 8 above genes which was able to divide the advanced RCC samples into high-risk and low-risk groups clearly and precisely. Notably, the predictive stability of the model was verified not only by analysis of external data from ICGC database, but also in the subtypes of the samples, including age, gender and clinical stage and pathological pattern. Afterwards, we analyzed the gene mutations in the high-risk and low-risk groups of the advanced kidney cancer and discovered the mutation of some genes, such as *VHL*, *CHEK2*, *BAP1*, *PBRM1*, which were closely related to RCC [26-28]. And Targeted therapies for the loss and inactivation of VHL are currently one of the common treatments for RCC [29]. Notably, the mutant ratio of ATRX was significantly higher in the high-risk group than that in the low-risk group. The ATRX protein is a chromatin remodeling factor functioning as a transcriptional regulator [30]. The mutation of ATRX was found in various cancers [31]. We hypothesized that the different mutation frequency of the genes may result in different prognosis in high-risk and low-risk groups.

From the above, the 8 selected genes were not only related to immune response, but also in connection with tumors. It suggested that the genes involved in immune activation might affect development of RCC. Therefore, we further investigated the connection between the composing genes and the tumor infiltrating cells *via* TIMER platform. It is implied that the high expression of the genes favors the immune cells to infiltrate into the tumors. For example, the levels of CD8⁺ T cells, neutrophils and myeloid dendritic cells positively correlate with the expression levels of all selected genes. CD8⁺ T cells, which are a subtype of the cytotoxic T lymphocytes, contribute a lot to the antitumor activity through releasing of tumor cytokines such as INF- γ , perforin and granzyme B [32]. In recent years, studies have confirmed that the tumor-related neutrophils can differentiate into neutrophil type 1 (N1) and neutrophil type 2 (N2) under the influence of the tumor microenvironment. For example, N1 induced by IFN- β functions as anti-tumor neutrophil. In contrast, neutrophils are more likely to become tumor-promoting N2 when the TGF- β pathway is activated [33, 34]. Dendritic cells function as antigen presenting cells and are necessary for the initiation and maintenance of an effective immune response against cancer cells [35]. We suspect that the close relationship between the reported here eight genes and various tumor infiltrating immune cells may be a reason for better prediction of a risk model for advanced RCC development and progression.

Normally, the study faces some limitations. First, the selection of genes in this study was from previously described immune-related genetic signatures, therefore we may have missed genes with a predictive role which are not included in the studied signatures. Second, we found mutation genes in the RCC samples but lacked research on the molecular mechanism of the kidney cancer. We also note that it is an *in silico* analysis without any further experimental validation. Therefore, independent prospective clinical studies to confirm the capacity of the comprehensive predictive model are further needed.

5. Conclusion

In conclusion, the prognostic risk models composed of genes selected from four immune-related genetic signatures demonstrated the potential to predict the survival prognosis of patients with advanced RCC, and have certain reference values for the prognosis assessment of the disease. The close relationship between the genes and tumor-infiltrating immune cells helps to provide new directions for immunotherapy to suppress tumor immune escape, and to develop personalized therapeutic regimen for high-risk group of advanced RCC.

Abbreviations

RCC renal cell carcinoma

TCGA The Cancer Genome Atlas

ICGC International Cancer Genome Consortium

KM Kaplan-Meier

TIMER Tumor Immune Estimation Resource

OS overall survival

DFS disease free survival

ccRCC clear cell renal cell carcinoma

pRCC papillary renal cell carcinoma

ccRC chromophobe cell renal carcinoma

irAEs immune related adverse events

KIRC kidney renal clear cell carcinoma

KIRP kidney renal papillary cell carcinoma

KICH kidney chromophobe

CTL cytotoxic T lymphocyte

AUC area under curve

N1 neutrophil type 1

N2 neutrophil type 2

Declarations

ACKNOWLEDGEMENTS

The Genomic data and clinical information of this research are downloaded from the Cancer Genome Atlas database (TCGA), International Cancer Genome Consortium (ICGC), UCSC Xena. We are grateful for their source of data used in our research.

AUTHORS CONTRIBUTION

W. Wang and P. Cao conceived and designed the study. B. Yu, H. Cao and F. Zhang worked together to search the data. P. Cao performed the data analysis, interpreted the results and drafted the manuscript. X. Zheng and Z. Gao helped to collect references. J. Zhang and Z. Sun proposed construction revisions to the study. All authorship reviewed and agreed on the final version of the manuscript.

FUNDING

This study was supported by National Natural Science Foundation of China.

AVAILABILITY OF DATA AND MATERIALS

The data and information downloaded and analyzed during the present study are available in the UCSC Xena, <http://xenabrowser.net/datapages/>, International Cancer Genome Consortium, <http://icgc.org/>, and Tumor Immune Estimation Resource, <http://timer.cistrome.org/>.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE

Not applicable

CONSENT FOR PUBLICATION

Not applicable

COMPETING INTERESTS

The authors declare no conflicts of interest in this work.

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Figures

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

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