

1 **Identical Shapes Results in a Failed Memory Formation of**
2 **Location of Dark Surroundings in Planarians**

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11 **Planarians, the first kind of animal to have evolved a brain structure¹ yet has not**
12 **evolved vision, were demonstrated to have a capability of spatial learning in the**
13 **last several decades², but what does the navigation of planarians depends on is**
14 **still unknown. Here, we provide an objective, strictly variable-controlled**
15 **planarian training method using 3D printing techniques³ fabricated mazes. Then**
16 **we use modifications of the mazes to first demonstrate a learning paradigm that**
17 **worms can memorize the location of a darkened surrounding through training.**
18 **However, a memory formation failure was found that in the situation of**
19 **providing identical shapes in a maze, planarians cannot memorize the location of**
20 **the darkened surrounding. Thus, this result shows the planarians associated**
21 **darkness with the crude shape of the objects they've crawled, which is a kind of**
22 **spatial learning. This finding not only provides a key insight into spatial**
23 **learning information that planarians are processing, but also an interpretation of**
24 **the origin of memory formation where higher grades of memory formation**
25 **might originate from.**

26
27 Planarians are free-living flatworms to have first evolved a centralized brain¹ with
28 synaptic structure⁴, their primitive eyes allow them to feel and avoid the light, which
29 is an innate behavior⁵. But their eyes can only sense the existence of light instead of
30 imaging⁶. Planarians are able to handle simple associative learning like associating
31 light with electric shock⁷. For freely living in the natural environment, spatial learning
32 abilities are also needed to handle tasks like foraging, homing and predator avoidance

33 more efficiently⁸, suggesting that they might have already evolved spatial learning.

34 Spatial learning is known to be a process that animals use landmarks (stimuli that is
35 relatively close to the goal but are not themselves the goal) as cues to find the
36 objective beacon(stimuli that is directly navigated to)⁹. The spatial leaning memory in
37 human is a map full of visual cues. When using mazes to train animals like honey
38 bees¹⁰ and rats¹¹ to learn spatial tasks, visual cues are provided as landmarks to them,
39 and they performed excellent spatial learning for remembering these landmarks to
40 navigate to their beacons. When vision is deprived, for instance, spatial learning of
41 rats in water maze are somehow impaired¹². Thus, visual cues are mostly needed for
42 spatial learning of higher animals but when it comes to the first animal that has
43 evolved the central nervous system, their eyes were not yet able to image. If they have
44 spatial learning memory, the map in their mind must be different from higher animals.

45 The intriguing area about the worms' spatial learning was first investigated by
46 behavioral scientists in the 1950s-1960s, by training worms to go through a T-maze¹³
47 or a triangle maze² lack of water to return to watery places, which made the worms
48 show a preference to the direction of the watery places, even the worms regenerated
49 from a trained worm's tail showed a preference¹⁴. However, these experiments were
50 criticized for poor variable control and reproducibility¹⁵, nor could them demonstrate
51 the real information that worms are truly processing. A recent research with large
52 samples revealed that the worms can form familiarity with the environment to start
53 feeding, but still unable to illustrate what the familiarity is¹⁶. Thus, the question, what
54 might have these planarians learned in spatial learning tasks is still waiting to be

55 resolved.

56 The fabrication of mazes by 3D printing can also be an advantage for further
57 investigation of this question. In order to make the experiment easy to reproduce, this
58 training and testing procedure is easy to handle and strictly variable controlled. Our
59 experiment here first establishes a maze learning paradigm that worms can memorize
60 the location of a darkened surrounding through training, then uses this paradigm to
61 illustrate a learning failure that the worms cannot memorize two identical shapes with
62 one strongly lighted and one darkened in two different directions. This fact not only
63 shows the worms are associating shapes of objects with light intensity, but also
64 demonstrated a property of memory formation when memory was firstly starting to
65 emerge.

66 We totally designed 8 E-mazes to train and test worms as shown in Fig.1a. A dark
67 chamber (white translucent areas in Fig.1a) can be attached on or detached from the
68 mazes. Worms are put in the start point in the thick arm once a day to form a
69 training effect on the association of darkness with the location of the surrounding for
70 consecutive 6 days. On day 7, worms are tested to make choices on either of the arms.
71 When worms choose the correct arm (the dark chamber arm), it is recorded as a
72 correct response, when choose the incorrect arm, it is recorded as an incorrect
73 response. Light is used to propel the worms to move and its direction is opposite the
74 route to the dark chamber, so that light won't provide worms with any guidance to the
75 dark chamber for the worms are photophobic. Worms are tested for consecutive 8-10
76 times based on its fatigue state, the rate of correct response for each worm is counted.

77 The relation between light intensity and location is shown in Fig.1b.

78 We firstly find that worms in grooved F-maze showed a significantly higher correct

79 response than control worms (Fig.2a). During each training and testing procedure, the

80 worms sometimes put their head into the grooves and this somehow influenced their

81 heading direction. Therefore, we speculate that the grooves might provide guidance

82 for the worms. Then we checked whether worms can learn in the flat F-maze.

83 Surprisingly, worms in flat F-maze showed learning as well (Fig.2a). We calculated

84 the difference between the grooved and flat groups, found there is no significant

85 difference of the direction preference between the worms trained in grooved F-maze

86 with arrow “<” shape pattern grooves and the worms trained in flat F-maze without

87 the pattern grooves (Fig.2c). The result shows that grooves cannot provide guidance

88 for the worms.

89 Although the designed grooves cannot provide guidance for the worms, the worm

90 can still learn to find the place in the testing procedure that used to be the dark

91 chamber during training period. We suppose that it is the shape of the object the

92 worms crawled on that provide guidance for the worms. We checked whether grooves

93 would provide guidance for worms in the E-maze, the result shows it cannot. We

94 calculated the difference between the grooved and flat groups, found there is no

95 significant difference of the direction preference between the worms trained in

96 grooved EC-maze with arrow “<” shape pattern grooves and the worms trained in flat

97 and EC-maze.

98 For there is no significant difference between the grooved and flat mazes, we merge

99 the groups of grooved and flat mazes together and will not mention this henceforth.
100 As shown in Fig.2d, the worms in the F-maze and ECP-maze showed great
101 significance in learning compared with control groups, which means they did
102 memorize the dark chamber's location. The preference to the wrong direction of the
103 control group is caused by the gradient of the light direction, which the trained worms
104 can overcome this preference.

105 As shown in Fig.2e, trained worms in EC-maze and EP-maze did not show
106 significance in learning compared with control groups. Comparing with the
107 differently-shaped-ending maze (DM) , worms in the identically-shaped-ending maze
108 (IM) did not learn significantly as shown in Fig.2f, which means worms trained in
109 the IM did not memorize the dark chamber's location, but worms trained in the DM
110 did. Which can further support that worms may get confused and unable to form a
111 memory of the preferred darkened location, as well as demonstrating that worms did
112 not leave chemical trails to help them find the preferred darkened location.

113 The toughest challenge in the former experiments decades ago is the variable
114 control. There are too many variables that can influence a worm's behavior, including
115 light intensity¹⁷, water temperature¹⁸,water existence¹³ , time of day¹⁸, time of year¹⁹,
116 chemical components of water¹⁹, chemical components of food²⁰, worm's appetite
117 level¹⁶, slime trails ²¹, worm fatigue state²², magnetic fields²³ , training conditions and
118 manipulation of the experimenter¹⁹. All of the irrelevant variables above were
119 obstacles to former studies and made the experiments unable to be reproduced, which
120 caused the whole line of research to have become abandoned²⁴. Therefore, the crucial

121 thing of the worm's behavioral research is to strictly control the variables.

122 Based on former studies, neither the lack of water nor the food lures are seemingly
123 easy to be controlled, for there is no criterion for the degree of deprivation of water
124 and components of food for the planarians. Our experiment using the light was
125 designed to decrease the number of the variables that are needed to be controlled,
126 which only uses light to train the worms to learn the shapes of the mazes. Other
127 variables including water components, temperature, time of day for training, appetite
128 level, geomagnetic fields are all easy to control. Although we didn't remove slime
129 trails, the result clearly shows that worms did not use chemical cues to navigate to a
130 former recognized dark place for the worms cannot memorize darkened surroundings
131 facing identical shapes (Fig.2e). The light is easy to control either in training and
132 preserving the worms by using fixed LED light tubes. The woolen brush can be used
133 to very softly handling the worms in the mazes, avoiding rapid water current caused
134 by transfer pipe that might hurt the worms.

135 The criterion we designed for the worms' correct response is touching the red line
136 shown in Fig.1b. Some worms might be persistently crawling in a circle between the
137 two red lines and refuse to make a choice in a long time, which might cause the
138 worms to get in a fatigue state and cannot insist on taking totally ten tests, so we
139 counted the worms that continuously took 8-10 tests. Criterions judging the correct
140 response of a worm can be further developed. We did not control the initial orientation
141 of the worms when testing for the following reasons: 1. Correcting the direction
142 requires a lot of manual effort which might disturb and hurt the worms 2. Worms

143 confront multiple direction choices no matter which orientation it is headed, it may
144 also turn back on the route to the dark chamber.

145 The fabrication of mazes using 3D printing techniques is a great advantage in this
146 research. Designing such amount of mazes of different shapes request a much higher
147 cost comparing to 3D printing techniques³. The toxicity that released by the
148 stereolithography (STL) printing materials (photosensitive resin in this experiment)²⁵
149 is fatal to worms, which causes the worms to die and disintegrate in less than 20 hours,
150 even in the mazes handled by ultraviolet to have reduced toxicity²⁵ . Although the
151 fused deposition modeling (FDM) materials like PLA is non-toxic, it is of lower
152 printing precision and its water leaking problem may cause worms to escape from the
153 maze while training. We found that a biocompatible encapsulation material called
154 Parylene²⁶ to totally block the toxicity from the STL printed parts, by using which can
155 make worms safely live in maze without health problems for over 2 weeks. With the
156 assistance of the 3D printing techniques, further investigation of the worm's memory
157 of shapes in spatial learning will be much more convenient.

158 Although this is a spatial learning task, it can still be categorized into classical
159 conditioning²⁷: the dark is the unconditioned stimulus (US), the shape of the
160 apparatuses are the conditioned stimulus (CS), worms are anticipated to go to the
161 designed place darkened in the training session (unconditioned response, UR) and
162 lightened in the testing session (conditioned response, CR). Therefore, this
163 experiment can also be analyzed as a classical conditioning paradigm.

164 The mazes with or without identical shapes illustrate the worms can learn crude

165 shapes to navigate the environment, for they cannot distinguish between two identical
166 shapes. Here, we propose some possible mechanisms of the worms' spatial learning.
167 As shown in the former studies, the worms can learn to have a left or right direction
168 preference based on its body axis¹³. We speculate that there are 3 factors that play a
169 crucial role in this memory code formation of beacon's location: the shapes of the
170 beacon, the light intensity of the beacon and the worm's left-right direction based on
171 its body axis and landmarks. If here we use A and B to represent two different shapes
172 of the beacon, I and D to represent the lightness and darkness, L and R to represent
173 left and right directions, the worms are able to form memory like AIL&BDR,
174 AIR&BDL, with all three factors different but unable to form memory like
175 AIL&ADR, BIR&BDL, with one factor identical, it is also easy to demonstrate that
176 the worms cannot learn in the situation of AIL&BIR, AIL&BDL. This process is
177 illustrated in Fig.3. Hence, we might have an insight on the origin of memory that it
178 might not be able to distinguish different objectives with one identical feature. An
179 interesting thing is the identification of landmarks does not seem to be influenced by
180 the identical shapes provided, but we still lack information on how does the worms
181 utilize landmarks.

182 For higher animals like rats, they can be trained to use different strategies to solve
183 spatial learning tasks, for instance, they can use "response strategy" (using the turning
184 response reinforced during training) rather than "place strategy" (using the location of
185 the place)²⁸. For honeybees they are even able to category similar images with same
186 features to earn a reward²⁹. Nonetheless, our finding showed that when tracing back to

187 the origin of learning, animals cannot form this kind of associative memory. We might
188 even believe that the ability to distinguish between two similar items with identical
189 features in a certain memory was evolved later before memorizing items with totally
190 different features. There is still a long way to go to unravel the mystery of the origin
191 of memory, and research with planarians might shed light on this question.

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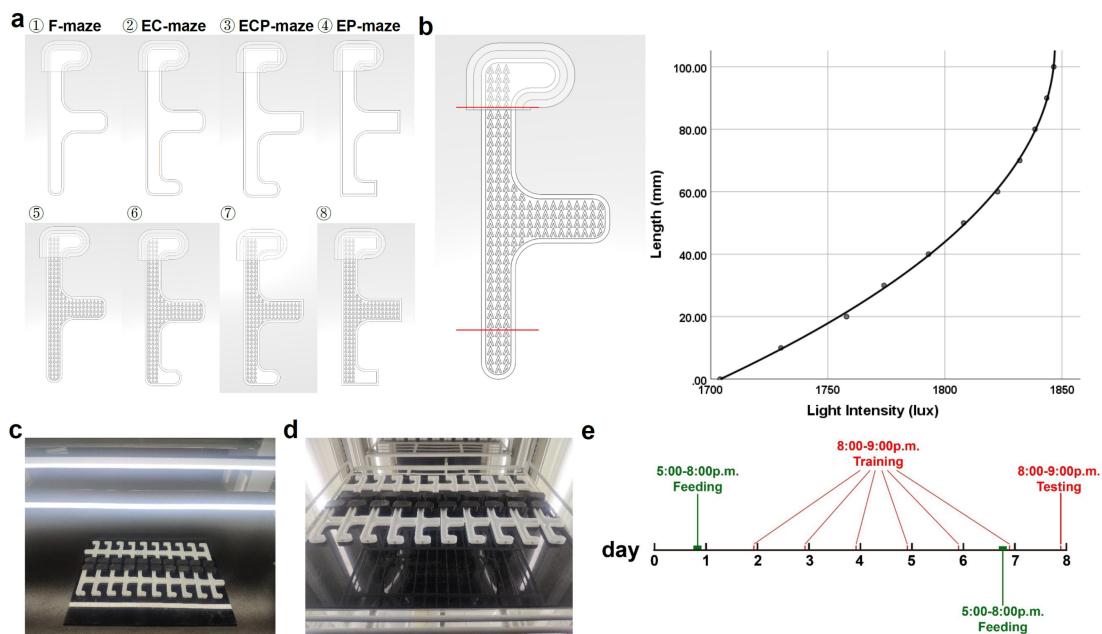
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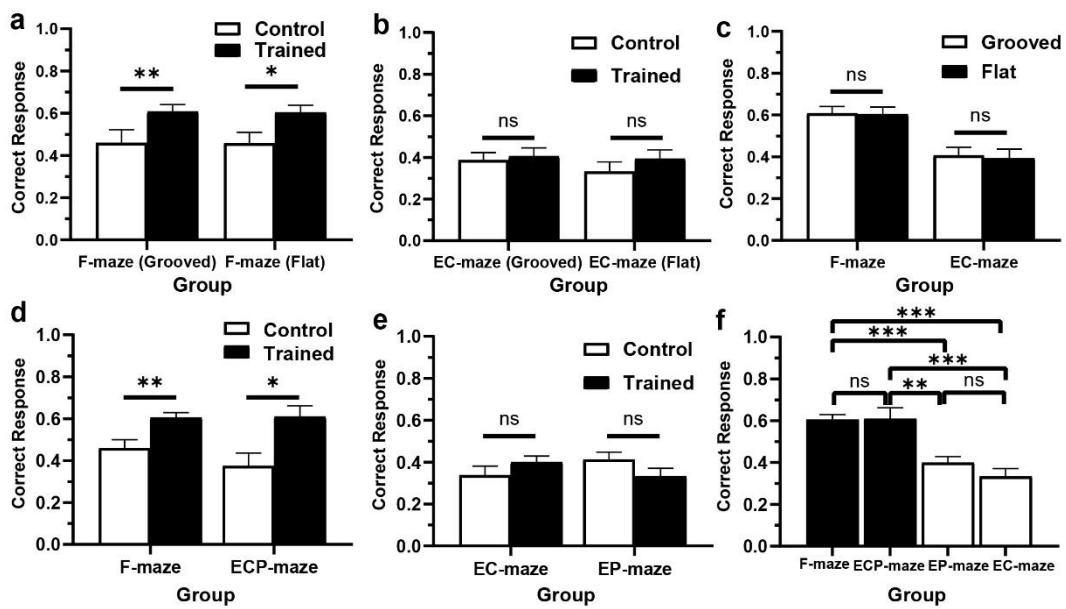
257 **Figure 1 | Maze Parameters and Light Conditions.**

258 a. Top view of the mazes. ①F-maze ②E-maze with curving end walls, EC-maze ③E-maze
259 with perpendicular end walls, EP-maze ④E-maze one side with curving end walls and the
260 other side with perpendicular end walls , ⑤-⑧ are modifications of ①-④ adding arrays of
261 '>' (arrow) pattern grooves to the ground and walls

262 b. The relation between light intensity and location in the maze. The y axis refers to the location
263 of the maze corresponding to the maze in the picture shown in left. Cubic curve estimation,
264 $R^2=0.9993$. The variation of the light intensity in the total 10 mazes is $\pm 50\text{Lux}$, the variation
265 of the light intensity in one maze can be ignored.

266 c. Worms' touching the red line shown in the maze is the criterion to record a either correct (the
267 upper red line) or incorrect response (the lower red line).

- 268 d. Top view of the arrow grooves. Some parameters are shown, the depth of the grooves is
- 269 0.5mm.
- 270 e. The training or testing (dark chamber taken off) procedure. Two LED light tubes are above the
- 271 mazes, mazes are put on a black acrylic board.
- 272 f. Daily preservation of the training worms. Worms are put in a light incubator a daily light and
- 273 dark cycle.
- 274 g. Flow chart of the experiment process.

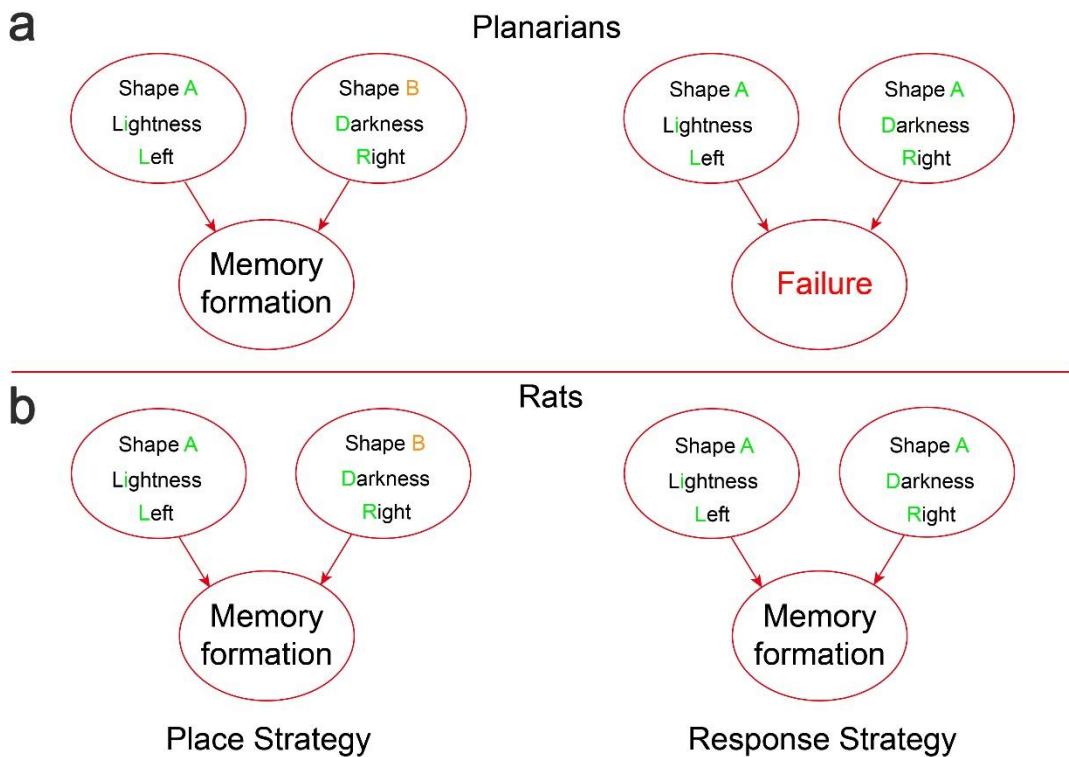


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276 **Figure 2 | Worms can learn in DM but not in IM.**

- 277 a. Worms trained in grooved (n=19) and flat (n=17) F-maze showed higher correct response than
- 278 control worms in grooved (n=13) and flat (n=16) F-maze.
- 279 b. Trained worms in grooved (n=17), flat (n=17) EC-maze did not show significance in learning
- 280 compared with control worms in grooved (n=15), flat (n=14) EC-maze.
- 281 c. There is no statistical significance between the grooved (n=19) and flat (n=17) F-maze or
- 282 grooved (n=17) and flat (n=17) EC-maze of the correct response.
- 283 d. Trained worms in F-maze (n=36) and ECP-maze (n=10) showed great significance in learning

284 compared with control groups in F-maze (n=28) and ECP-maze (n=10).
 285 e. Trained worms in EC-maze (n=34) and EP-maze (n=10) did not show significance in learning
 286 compared with control groups in EC-maze (n=30) and EP-maze (n=10).
 287 f. A comparison of result between the 4 groups of trained worms whether or not with two
 288 identical shapes of beacons. F-maze (n=36), ECP-maze (n=10), EC-maze (n=34), EP-maze
 289 (n=10)
 290 Values are means \pm S.E.M. Two-tailed Mann-Whitney U test is used in this data analysis. ***P
 291 < 0.001 ; **P < 0.01 ; *P < 0.05 . ns denotes P > 0.1 .



292
 293 **Figure 3 | Model of the Planarians' memory formation.**
 294 a. When facing two different shapes, planarians can memorize the direction of the two places
 295 differently shaped, however, when facing identical shapes, planarians cannot memorize the
 296 direction of the two places identically shaped
 297 b. Rats can choose different strategy to either choose to memorize the property of the place or

the direction of the place, thus it can form a correct memory.

299 **Method**

300 **Worm maintenance**

301 All planarians used in the study were *Dugesia japonica*. Planarian colonies were
302 stored in rectangular plastic containers, filled with 1 × Montjuic water³⁰ (1.6 mM
303 NaCl, 1.0 mM CaCl₂, 1.0 mM MgSO₄, 0.1 mM MgCl₂, 0.1 mM KCl, 1.2 mM
304 NaHCO₃ in distilled water. Adjusted pH to ~7.5 with 1 M HCl) at constant water
305 temperature of 20 ± 0.5 °C. Environment for the worms is completely dark. Worms are
306 fed with raw chicken liver 3 times a week and changed water in the following day.

307 **Experimental apparatuses**

308 3D printed E-mazes (derived from the classical T-maze) is used for the whole
309 training and testing process. Material used for 3D printing is photosensitive resin and
310 PLA (Polylactic acid), the main body of the mazes are printed in white using
311 photosensitive resin and the dark chamber is printed in black using PLA (The dark
312 chamber can be detached from the main body of T-maze). The main body of the
313 mazes are coated with Parylene film (thickness of 12 μ m) to block the toxicity from
314 the resin. There are totally 8 kinds of apparatuses with different modifications of the
315 E-maze (① F-maze ② E-maze with curving end walls, EC-maze ③ E-maze with
316 perpendicular end walls, EP-maze ④ E-maze one side with curving end walls and the
317 other side with perpendicular end walls, ECP-maze, ⑤-⑧ are modifications of ①-
318 ④ adding arrays of '>'(arrow) pattern grooves to the ground and walls), all the mazes
319 are of similar parameters like total length, width and height. The precision of the
320 printing is 0.1mm. The configuration and some parameters of both mazes and arrow

321 grooves are shown in Fig.1a, b and e, other details, parameters and blueprints are
322 shown in the SI. The light while training is provided by two paralleled LED lighting
323 tubes. The distance between the tubes is 155 mm, the height of the tubes is 301.9mm.
324 A light incubator with constant temperature is used for daily training and
325 reinforcement of the worms' spatial memory, shown in Fig.1d. While training and
326 testing, the mazes are placed on a flat rectangular black acrylic board.

327 **Daily preservation of the training worms**

328 Experimental worms were each placed in its individual maze, each maze and worm
329 inside are numbered, they were fed to satiety the day (day0) before the first training
330 day (day1). All of the worms in their own mazes undergo a 12 hour light (800-900
331 Lux) and 12 hour dark cycle for 6 days in the incubator to make worms preserve
332 association of darkness with the dark chamber under constant water temperature of 20
333 $\pm 0.5^{\circ}\text{C}$ (Fig.1 d). The first day is counted when each of the naive worm is put in
334 their own maze for a training procedure (Shown in next section). No food was
335 provided in the apparatuses. The worms are taken out at 8:00 p.m. of each day for a
336 training. Worms are fed at day 6 from 5:00 p.m. to 8:00 p.m. in a 12-well-plate, each
337 numbered worm is in a single well. The procedure is shown in Fig.1d. Worms that are
338 of bad health or undergo self-fission are excluded from the experiment.

339 **Training procedure**

340 Training procedure happens at 8:00 p.m. of each day except the day that perform
341 test, both maze and stored Montjuic water is taken out from the incubator (to control
342 water temperature). Each worm in its maze is carefully taken out by a smooth brush to

343 a single well of 12-well plate and kept in dark. Each apparatus is immersed in and
344 washed with Montjuic water. To protect the Parylene membrane, the slime is not
345 removed. Strong light is provided by two parallel LED lighting tubes, which is used to
346 propel the worms to find the dark chamber to rest but light direction is opposite the
347 route to the dark chamber, so that light won't provide worms with any guidance to the
348 dark chamber for the worms are photophobic. The relation between light intensity and
349 location is shown in Fig.1b. The verification procedure starts to count when each of
350 the worms is put in their starting point (1cm to the base wall of the T-maze) quickly of
351 each of their own apparatus. The geographic orientation of the mazes kept the same in
352 the training procedure and testing procedure to exclude the influence of geomagnetic
353 field. The training procedure ends at 60 min, before which most of the worms stops
354 moving probably due to fatigue. This generates a training effect for the testing
355 procedure. The control group kept in dark in single wells of 12-well plates in the same
356 incubator and their feeding synchronizes with the training worms. The procedure is
357 shown in Fig.2c.

358 **Test procedure for direction preference**

359 At day 7, 8:00 p.m., each worm is taken out to the 12-well plate as mentioned in the
360 training procedure. The light is provided identically to the training procedure. Starting
361 point is the same. The dark chamber is taken off while testing. In each trial of a single
362 worm, when the worm reached either left (correct) or right(incorrect) arm and touches
363 the red line shown in Fig1.b (an example of F grooved maze, red lines in other mazes
364 are at the corresponding location), a correct or incorrect result is recorded. Then the

365 worms are taken out to a 12-well plate and kept in dark to wait mazes to be washed as
366 described above and refilled with 1× Montjuic water. We totally proceed the trial for
367 one worm for consecutive 10 times or until the worm shows extreme fatigue and does
368 not move. One experimenter simultaneously handles 10 worms in one trial. Worms
369 are not repeatedly used in this experiment for both trained and control groups. To
370 protect the Parylene membrane, the slime is not removed. The procedure can be
371 described by Fig.2c with dark chamber taken off.

372 **Method References**

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375

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380 **Author contributions.**

381 Kaiyuan Huang: idea, experiment design, pre-experiment, main experiment, wrom
382 maintainance, data analysis, article writing, correspondance

383 Yufei Liu: pre-experiment, main experiment, wrom maintainance

384 Yixi Duan: pre-experiment, main experiment, wrom maintainance

385 Kehan Chen: drawing blue prints of 3D-printed parts

386 Ziyun Xiao: pre-experiment, wrom maintainance,

387 Peiao Zhang: pre-experiment, wrom maintainance

388 Bangqi Zhu: pre-experiment, wrom maintainance

389 Yunhao Shi: wrom maintainance

390 Zhengxin Ying: experiment guidance, article revising

391 Baoqing wang: experiment guidance, article revising

392 **Competing interests.**

393 The authors declare that they have no competing interests

394 **Supplementary information line**

395 We'd like to provide 9 STL format 3D printing blueprints: 8 mazes and a dark
396 chamber, these files contains the exact parameters of the mazes, which can not be

397 inserted in PDF and does not fit your SI format, the documents' sizes are less than
398 30MB. We wish to be allowed to upload these files.

399 **Materials & Correspondence.**

400 Kaiyuan Huang. Email: Kyhuang@cau.edu.cn

401 **Additional Information**

402 Supplementary Information is available for this paper.