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1 Evolution characteristics and relationship of meteorological and hydrological 2 droughts from 1961 to 2018 in Hanjiang River Basin, China

3 **Abstract:** In the context of global warming and increasing human activities, the acceleration of the water
4 cycle will increase the risk of basin drought. In this study, to analyze the spatial and temporal evolution
5 characteristics of hydrological and meteorological droughts over the Hanjiang River Basin (HRB); the
6 Standardized Precipitation Index (SPI) and Standardized Runoff Index (SRI) were selected and applied
7 for the period 1961-2018. In addition, the cross-wavelet method was used to discuss the relationship
8 between hydrological drought and meteorological droughts. The results and analysis indicated that: (1)
9 the meteorological drought in the HRB showed a complex cyclical change trend of flood-drought-flood
10 from 1961 to 2018. The basin drought began to intensify from 1990s and eased in 2010s. The
11 characteristics of drought evolution in various regions are different based on scale. (2) During the past
12 58 years, the hydrological drought in the HRB has shown a significant trend of intensification,
13 particularly in autumn season. Also, the hydrological droughts had occurred frequently since the 1990s,
14 and there were also regional differences in the evolution characteristics of drought in various regions. (3)
15 Reservoir operation reduces the frequency of extreme hydrological drought events. The effect of reducing
16 the duration and intensity of hydrological drought events by releasing water from the reservoir is most
17 obvious at Huangjiagang Station, which is the nearest to Danjiangkou Reservoir. (4) The hydrological
18 drought and meteorological drought in the HRB have the strongest correlation on the yearly scale. After
19 1990, severe human activities and climate change are not only reduced the correlation between
20 hydrological drought and meteorological drought in the middle and lower reaches of the basin, but also
21 reduced the lag time between them. Among them, the hydrological drought in the upper reaches of the
22 basin lags behind the meteorological drought by 1 month, and the hydrological drought in the middle and
23 lower reaches of the basin has changed from 2 months before 1990 to 1 month lagging after 1990.

24 **Keywords:** Meteorological drought; Hydrological drought; Temporal and spatial evolution
25 characteristics; Multiple scales; Response characteristics; Hanjiang River Basin

26 1 Introduction

27 Drought is a globally and common natural disaster, which has a huge impact on human activities and life.
28 In recent years, it has occurred more frequently and causing more serious harm to humans in the context
29 of global climate change and the increasing impact of human activities(Dai et al. 2018; Wyckoff and
30 Bowers 2010). In 2014, the fifth assessment report of the IPCC pointed out that the global impact of
31 drought will be further expanded and extended (Allen et al. 2007). Therefore, drought research has further
32 become a hot issue of concern to scholars worldwide. As the American Meteorological Society has
33 integrated various definitions of drought and divided drought into four types: meteorological drought,
34 hydrological drought, agricultural drought, and socioeconomic drought. (Mishra and Singh 2010)Among
35 them, meteorological drought refers to the phenomenon of water deficit caused by the imbalance of
36 precipitation and evaporation in a certain period of time. It is characterized by insufficient precipitation,
37 and the absolute precipitation of a specific duration is used as a quantitative indicator to determine the
38 degree of drought. Hydrological drought refers to water shortages in which river runoff, reservoirs or
39 groundwater. It affects the three major links of evaporation, infiltration and runoff in the natural water
40 cycle process, involving the surface, soil and groundwater interface, and related to the hydrological cycle
41 and water balance, so it can better reflect the actual drought and the difficulty of drought resistance.

42 (Heim and Richard 2002; Khan et al. 2018) Besides that, meteorological drought is the source of other
43 types of droughts, where it is closely related to the other types of drought and this relationship is
44 particularly evident in hydrological drought (Lorenzo-Lacruz et al. 2013). At the same time, due to the
45 impact of human activities, such as the construction of reservoirs, water transfer projects, and changes in
46 underlying surfaces, this relationship between meteorological and hydrological has changed and
47 impacted. Studies have shown that human activities influenced the correlation between meteorological
48 and hydrological droughts and weakened transmission conditions (Wanders and Wada 2015).

49 The drought index is an effective method for drought assessment, and understand its severity,
50 occurrence process, characteristics and impacts including its measurements (Waseem et al. 2015).
51 According to incomplete statistics, there are as many as 100 drought indices (Fang et al. 2018).
52 Commonly used indicators are Precipitation Anomaly Percentage (Pa), Z index, Standardized
53 Precipitation Index (SPI), Standardized Runoff Index (SRI), Composite drought index (CI), and Palmer
54 Drought Severity Index (PDSI) (Mukherjee et al. 2018). The SPI and SRI are two commonly used in
55 understanding the meteorological drought and hydrological droughts for their simplicity and easy-to-
56 calculate forms (Li et al. 2015). Yuan et al. (Yuan et al. 2016) used 6-month standard precipitation index
57 (SPI-6) and soil moisture percentage as indicators to verify probabilistic drought forecasts in Africa, the
58 results show that drought forecasts based on SPI6 are usually more accurate than forecasts of the soil
59 moisture. Wang et al. (Wang et al. 2020a) used SPEI and SSI indexes to study the evolution characteristics
60 of hydrological drought in the Yellow River Basin and its relationship with meteorological drought, they
61 found that there was a positive correlation between hydrological and meteorological drought, and the
62 phase angle relationships indicated that meteorological drought occurred earlier than hydrological
63 drought in the Yellow River Basin. In addition, Huang et al. (Huang et al. 2017) used SSI to characterize
64 the hydrological drought in the Wei River basin, and found that propagation time from meteorological to
65 hydrological drought exhibited significant seasonal characteristics. Wu et al. (Wu et al. 2018) applied
66 SPI and SSI to analyze the impacts of reservoir operations on multi-scale correlations between
67 hydrological drought and meteorological drought. The characteristics of drought events can be
68 quantitatively understood through the drought index. Run theory is a widely used method to identify
69 drought characteristics, which can better reflect the onset, the duration and severity of the drought events.
70 Wu et al. (Wu et al. 2017) used run theory for the extraction of drought characteristics, including the
71 duration, magnitude and intensity, and exploring the relationship between hydrological and
72 meteorological droughts under influence of large reservoirs in the southeast coastal region of China.
73 Wang et al. (Wang et al. 2019) used the three cutting levels of run theory to determine the drought event,
74 and establish the joint distribution of drought duration and severity.

75 After identifying the characteristic variables of drought events based on run theory, it is useful to
76 use the cross-wavelet transform method to investigate the correlation between meteorological drought
77 and hydrological drought. Lin et al. (Lin et al. 2017) adopted the cross-wavelet transform method to
78 investigate the correlation between large-scale climate indices and drought in the Xijiang Basin, South
79 China, the authors found that hydrological drought had the most significant response to spring ENSO.
80 Ajayi J. Oloruntadea et al. (Oloruntade et al. 2017) applied the cross-wavelet transform method to explore
81 the correlation between SPI and SPEI, the result indicated that there are high correlation values of
82 between 3-month scale and 12-month scale of the two drought indices.

83 As an important water source for the middle route of the South-to-North Water Transfer Project, the
84 Hanjiang River Basin is also a core area for the north Hubei water resources allocation project. Its drought
85 and flood changes are inseparable from the water supply in the Beijing-Tianjin-Hebei region and the

86 north Hubei region(Liu et al. 2015). Therefore, in-depth analysis of the spatial - temporal characteristics,
87 correlation of meteorological drought and hydrological drought in the Hanjiang River Basin (HRB) is of
88 great significance for the allocation of water resources in the basin, economic planning, and industrial
89 and agricultural development. Although predecessors have done some research on the meteorological
90 drought in the HRB, there are few studies on the hydrological drought in the basin and the relationship
91 between the two types of drought (meteorological and hydrological). Hence, studying the correlation
92 between meteorological and hydrological droughts is very important to the early warning and
93 management of drought risk in the basin and the rational allocation of water resources.

94 For that reason, this paper is exploring the following three questions: (1) What are the temporal and
95 spatial evolution characteristics of meteorological and hydrological droughts in the Hanjiang River Basin
96 (2) What is the relationship between meteorological and hydrological droughts (3) What are the impacts
97 of the human activities of reservoir storage on the hydrological drought in the Hanjiang River Basin?
98 And how does it affect the relationship with the meteorological drought? It is expected, the research
99 results would provide the scientific foundation for the evolution and prediction of meteorological and
100 hydrological droughts under changing environment in the Hanjiang River Basin, but also will provide
101 decision-making support for water resources dispatching of inter-basin water transfer projects.

102 **2 Study area and Data source**

103 *2.1 Study area*

104 The Hanjiang River Basin (HRB) is an important water source for the South-to-North Water Diversion
105 Project (Fig .1). The HRB is the largest tributary of the Yangtze River, with a catchment area of 159,000
106 km². It is located in the transition zone of China's north-south hydrological and climatic characteristics
107 zones. It belongs to the East Asian subtropical monsoon climate zone, where the climate is relatively
108 warm and humid, and the yearly average precipitation ranges from 700-1800 mm, and the runoff in HRB
109 is unevenly distributed during the year, 75% of the water volume is concentrated in May-October, so the
110 inter-yearly changes are very large(Qin et al. 2019). We found the main types of land use of HRB in 2013
111 are woodland, grassland and arable land, which respectively account for 45%, 30% and 20% of the total
112 area of the basin through remote sensing interpretation. More than its three-quarters of the total length
113 flows through the territory of Hubei Province with alluvial formation where forms an important grain
114 production area in the country-Jiangnan Plain in the central part of Hubei Province and the Yangtze
115 River(Zhou et al. 2017).After the famous South-to-North Water Diversion Project transferred water from
116 the Danjiangkou Reservoir in the middle reaches of the HRB, the water volume in the middle and lower
117 reaches of it was greatly reduced, which had a significant adverse impact on the production and
118 development, and the ecological environment of the vast areas along the HRB in central Hubei
119 province(Li et al. 2020).

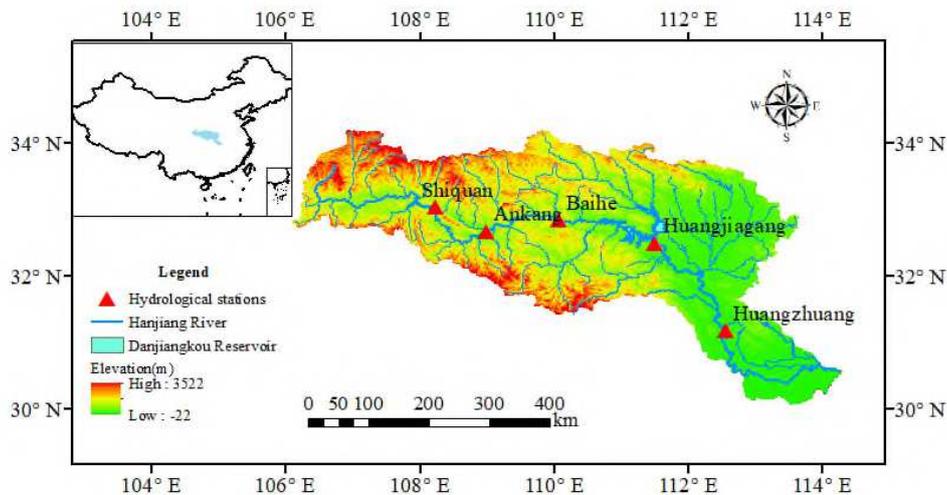


Fig.1 The distribution of hydrological stations in the main stream of Hanjiang River Basin

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122 2.2. Data source

123 In this study, the meteorological data required are the daily precipitation data of 235 data grids of
 124 $0.25^{\circ} \times 0.25^{\circ}$ in the HRB for the period of 1961 to 2018, this data accessed from the CN05.1 dataset
 125 provided by China Meteorological Administration(CMA, <http://data.cma.cn>), that were generated by the
 126 interpolation of more than 2400 weather stations in China through anomaly approximation method. The
 127 data is of good quality and is widely used in regional climate characteristics and model performance
 128 analysis(Diaz et al. 2020). The daily runoff data is from the five hydrological stations of Shiquan Station
 129 (SQ), Ankang Station (AK), Baihe Station (BH), Huangjiagang Station (HJG)and Huangzhuang Station
 130 (HZ) on the main stream of the HRB provided by the Hydrological Bureau of the Yangtze River
 131 Committee. It has undergone strict data inspection and quality control, which meets the needs of research.
 132 The schematic diagram of the HRB and the locations of meteorological grids, hydrological stations are
 133 shown in Fig. 1.

134 3 Methodology

135 First, in the studying of temporal characteristics, this paper uses the Mann-Kendall test method to analyze
 136 the mutation and trend characteristics of SPI/SRI sequences at different scales. For periodic
 137 characteristics, the authors use the Molert wavelet as the mother wavelet and combine the wavelet power
 138 spectrum method to analyze the periodicity of SPI/SRI sequences at different time scales. Then, in the
 139 research of spatial characteristics, the run theory is used to calculate the drought evaluation index. Finally,
 140 the cross-wavelet power spectrum method and the time-lag cross-correlation analysis method are used to
 141 analyze the relationship between hydrological drought and meteorological drought.

142 3.1. Standardized Precipitation/Runoff Index (SPI/SRI)

143 The accurate description of drought events by the drought index is the basic requirement of drought
 144 research. Yang et al. (Qing et al. 2017) evaluated the applicability of seven meteorological drought
 145 indexes in China, and the results showed that the SPI index has good applicability in humid and sub-
 146 humid regions. Gümüşsoy et al. (Gümüşsoy 2017) studied the weather of the Beyşehir Lake in Turkey
 147 based on the SPI index. Drought conditions, the results show that the SPI index has a better application
 148 effect in this semiarid-humid area. The HRB flows through China's Shaanxi Province and Hubei Province.

149 It belongs to a subtropical monsoon climate zone. The climate in the basin is relatively mild and belongs
 150 to a humid and semi-humid area. Considering the integrity of comprehensive data, this paper finally
 151 chose to use the SPI and SRI index to describe the meteorological and hydrological drought in the HRB.

152 The Standardized Precipitation Index (SPI) was used to measure the degree of meteorological
 153 drought, which is to calculate the probability of the corresponding distribution function of the
 154 precipitation in a certain period, and then carry out the normal standardization process, finally use the
 155 standardized precipitation cumulative frequency distribution to divide the drought level(Yao et al. 2018).
 156 Since the standardization process can eliminate the difference in the temporal and spatial distribution of
 157 precipitation, SPI can well reflect the drought situation in the region under different research scales(Zhao
 158 et al. 2020). The calculation formula is as follows(Caccamo et al. 2011; McKee T B 1993; Qi et al. 2018):

159 First, suppose that the precipitation(flow) sequence during the study period is x , then the probability
 160 function of this sequence is:

$$161 \quad f(x) = \frac{1}{\beta^\gamma \tau(y)} x^{\gamma-1} e^{-x/\beta} \quad (1)$$

162 Where $x>0$, $\beta>0$, $\gamma>0$; β and γ are the scale parameter and shape parameter of the above probability
 163 distribution function, respectively. The parameter estimation method adopts the maximum likelihood
 164 method to obtain:

$$165 \quad \hat{\gamma} = \frac{1 + \sqrt{1 + 4A/3}}{4A} \quad (2)$$

$$166 \quad \hat{\beta} = \bar{x} / \hat{\gamma} \quad (3)$$

$$167 \quad A = \lg \bar{x} - \frac{1}{n} \sum_{i=1}^n \lg x_i \quad (4)$$

168 Where x_i is the period precipitation, \bar{x} is the average value of the period precipitation.

169 After the above parameters are calculated, combined with formula (1), according to statistical
 170 principles, when the precipitation rate in a certain period of time is x_0 , the probability of the time when
 171 the rate of the period precipitation $x < x_0$ is:

$$172 \quad F(x < x_0) = \int_0^{x_0} f(x) dx \quad (5)$$

173 When the $x_i = 0$, there are:

$$174 \quad F(x) = u + (1 - u)G(x) \quad (6)$$

175 Where $u = m/n$, m is the number of periods when $x_i = 0$, n is the total length of the sequence.

176 Then, combining equations (5) ~ (6), and substituting the solved probability values into the
 177 standardized normal distribution function respectively:

$$178 \quad F(x < x_0) = \frac{1}{\sqrt{2\pi}} \int_0^x e^{-z^2/2} dz \quad (7)$$

179 At last, the calculation formula of SRI is obtained by the integration of the formula (7):

180

$$SRI = S * \frac{t - (c_2 t + c_1) t + c_0}{((d_3 t + d_2 t) + d_1) t + 1.0} \quad (8)$$

181

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Where $t = \sqrt{\ln(1/G(x)^2)}$, $G(x)$ is the probability of the precipitation distribution associated with the τ function. when $G(x) > 0.5$, $G(x) = 1 - G(x)$, $S=1$; or $G(x) < 0.5$, $S=-1$. The values of other parameters is $c_0 = 2.515517$, $c_1 = 0.802853$, $c_2 = 0.010328$, $d_1 = 1.432788$, $d_2 = 0.189269$, $d_3 = 0.001308$ (Jiang et al. 2019). The hydrological drought is often associated with river basin runoff. The SRI was used to represent the hydrological drought in this study because its calculation steps and advantages are similar to those of the SPI, the SPI calculation formula rainfall is replaced with flow rate for calculation (Chen et al. 2018). The SPI and SRI classifications of the drought levels are listed in Table 1 and correspond to classifications used in previous studies (Hao et al. 2016).

Table 1 Classification of Standardized Drought Index (SPI/SRI)

SPI/SRI Value	Drought Level
(-1.0, -0.5]	Light Drought
(-1.5, -1.0]	Moderate Drought
(-2.0, -1.5]	Severe Drought
≤ -2.0	Extreme Drought

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3.2 Drought Evaluation Index

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(1) Drought Tendency

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The Mann-Kendall (MK) test method is a non-parametric test method recommended by the World Meteorological Organization. It is also a commonly used method to test the evolution trend and sequence mutation of variables. It is easy to test non-normally distributed variables such as hydrological and meteorological elements and less disturbed by variable abnormal values, so it has been widely used in meteorology, hydrology and other fields (Yilmaz 2019). The M-K trend test method and the M-K mutation test method were used to test and analyze the trend and mutation of the SPI/SRI sequence respectively. The specific processes of the two calculation methods are as follows (Kendall 1990; Mann 1945):

200

① The M-K trend test

201

Set x_1, x_2, \dots, x_n as a list of time series variables, n is the length of the research series, and define

202

statistics as follows:

203

$$s = \sum_{j=1}^{n-1} \sum_{k=j+1}^n \text{sgn}(x_k - x_j) \quad (9)$$

204

Where x_k, x_j is the precipitation of the j th and k th year, $k > j$.

205

$$\text{sgn}(x_k - x_j) = \begin{cases} 1(x_k - x_j > 0) \\ 0(x_k - x_j = 0) \\ -1(x_k - x_j < 0) \end{cases} \quad (10)$$

206

Construct normal distribution statistics Z , The expression is as follows:

207

$$Z = \begin{cases} \frac{s-1}{\sqrt{\text{Var}(s)}} (s > 0) \\ 0 (s = 0) \\ \frac{s+1}{\sqrt{\text{Var}(s)}} (s < 0) \end{cases} \quad (11)$$

208

$$\text{Var}(s_k) = n(n-1)(2n+5)/18 \quad (12)$$

209 At a given confidence level α , if $|Z| \geq Z_{1-\alpha/2} = 1.96$ ($\alpha = 0.05$), which indicates that the original
 210 hypothesis is rejected, and the studied time series has a significant upward or downward trend at a given
 211 confidence level. The specific trend size is calculated by the following formula:

$$212 \quad \beta = \text{Median} \left| \frac{x_k - x_j}{k - j} \right|, \forall j < k \quad (13)$$

213 If $\beta > 0$, it means that the SPI/SRI sequence is on an upward trend, which means which means
 214 the drought become serious; otherwise, it means that the sequence is on a downward trend, it means the
 215 drought has a tendency to slow down.

216 ②The M-K mutation test method

217 First, constructing an order column for a time series x with n sample sizes:

$$218 \quad s_k = \sum_{i=1}^k r_i (k = 1, 2, \dots, n) \quad (14)$$

$$219 \quad r_i = \begin{cases} 1(x_i > x_j) \\ 0(x_i \leq x_j) \end{cases} (j = 1, 2, \dots, n) \quad (15)$$

220 Among them, the order column is the sum of the number at the i -th time greater than the number at
 221 the j -th time.

222 Then, defining statistics UF under the assumption of random independence in the time series:

$$223 \quad UF_k = \frac{[s_k - E(s_k)]}{\sqrt{\text{Var}(s_k)}}, (k = 1, 2, \dots, n) \quad (16)$$

224 Where $UF_1 = 0$, $E(s_k)$, $\text{Var}(s_k)$ are the mean and variance of the study sequence respectively, and
 225 the calculation formula is as follows:

$$226 \quad E(s_k) = n(n+1) / 4 \quad (17)$$

$$227 \quad \text{Var}(s_k) = n(n-1)(2n+5) / 72 \quad (18)$$

228 Finally, arranging the SPI/SRI sequence in reverse order, and calculating the statistic UB_k according
 229 to the above formula, while satisfying:

$$230 \quad \begin{cases} UB_k = -UF_k \\ k = n + 1 - k \end{cases} \quad (19)$$

231 According to the above formula, using the SPI sequence and SRI sequence as data input, then the
 232 UF_k and UB_k curves of the studied time series are calculated. If the value of UF is greater than 0, it
 233 indicates that the sequence is on an upward trend, which means the drought become serious; and if the
 234 value is less than 0, the sequence is on a downward trend, which means the drought has a tendency to
 235 slow down. When UF exceed the critical straight line (± 1.96), it indicates that the drought tendency is
 236 significant. And the time point corresponding to the intersection of the the UF and UB in the critical
 237 interval is the time when the sudden drought change begins. If the intersection of the UF and UB exceeds
 238 the critical value $\alpha = 0.05$, it indicates that the probability of mutation is very high on this time.

239 (2) Drought Frequency

240 This study calculates the occurrence of drought in the four seasons and years of the HRB from 1961
 241 to 2018, and obtains the drought frequency in the past years and quarters. The drought frequency refers

242 to the percentage of regional drought occurrence time in the length of the time series (Lin et al. 2020):

$$243 \quad P = (n/m) \times 100\% \quad (20)$$

244 In the formula, n is the actual number of drought occurrences and m is the sequence length.

245 (3) Drought Intensity

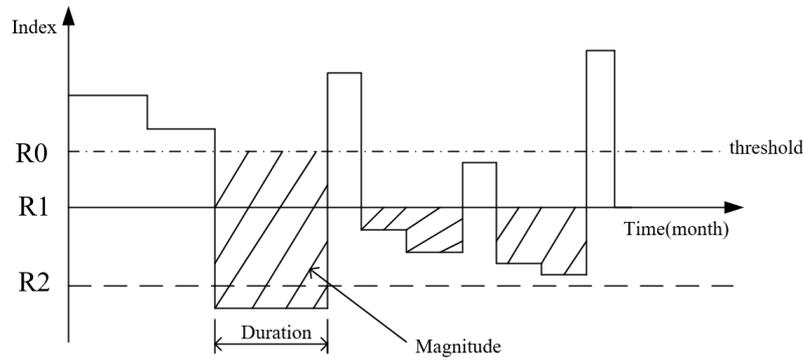
246 The drought intensity can be expressed by the absolute value of SPI/SRI during the period of a
 247 certain drought event. The smaller the drought intensity, the less obvious drought conditions here (Chiang
 248 et al. 2018). The calculation formula is:

$$249 \quad S_{i,j} = \frac{1}{m} \sum_{i=1}^m |SPI_i| \quad (21)$$

250 where: i is the codes of different stations; j is the year; m is the total number of stations where
 251 drought events occur.

252 (4) Drought Duration

253 Similarly, using run theory to identify drought events in the time series, the length of the negative
 254 run of a drought event is the drought duration (Montaseri et al. 2018).



255

256 **Fig. 2** The drought characteristics of run theory, including drought duration, drought intensity and drought
 257 magnitude

258 3.3. Time-Delay Cross-Correlation Analysis

259 The time-lag cross-correlation analysis is a method of moving a certain time series backward for a period
 260 of time and then performing correlation analysis with another time series (Sattar et al. 2019). The time
 261 lag of the interaction between elements of different time series is considered, so this method is suitable
 262 for studying the lag time of hydrological drought to meteorological drought (Xu et al. 2019).

263 Assuming that the 2 time series x and y have correlation with any lag time k , then the time lag
 264 correlation coefficient of the studied series is expressed as:

$$265 \quad r_k = \frac{C_k(x, y+k)}{\sigma_x \sigma_{y+k}} \quad (22)$$

266 Where $C_k(x, y+k)$ is the covariance of the x series and the time series $y+k$ with increasing lag time

267 k , and $\sigma_x \sigma_{y+k}$ is the mean square error of the two researched series, respectively expressed as:

268

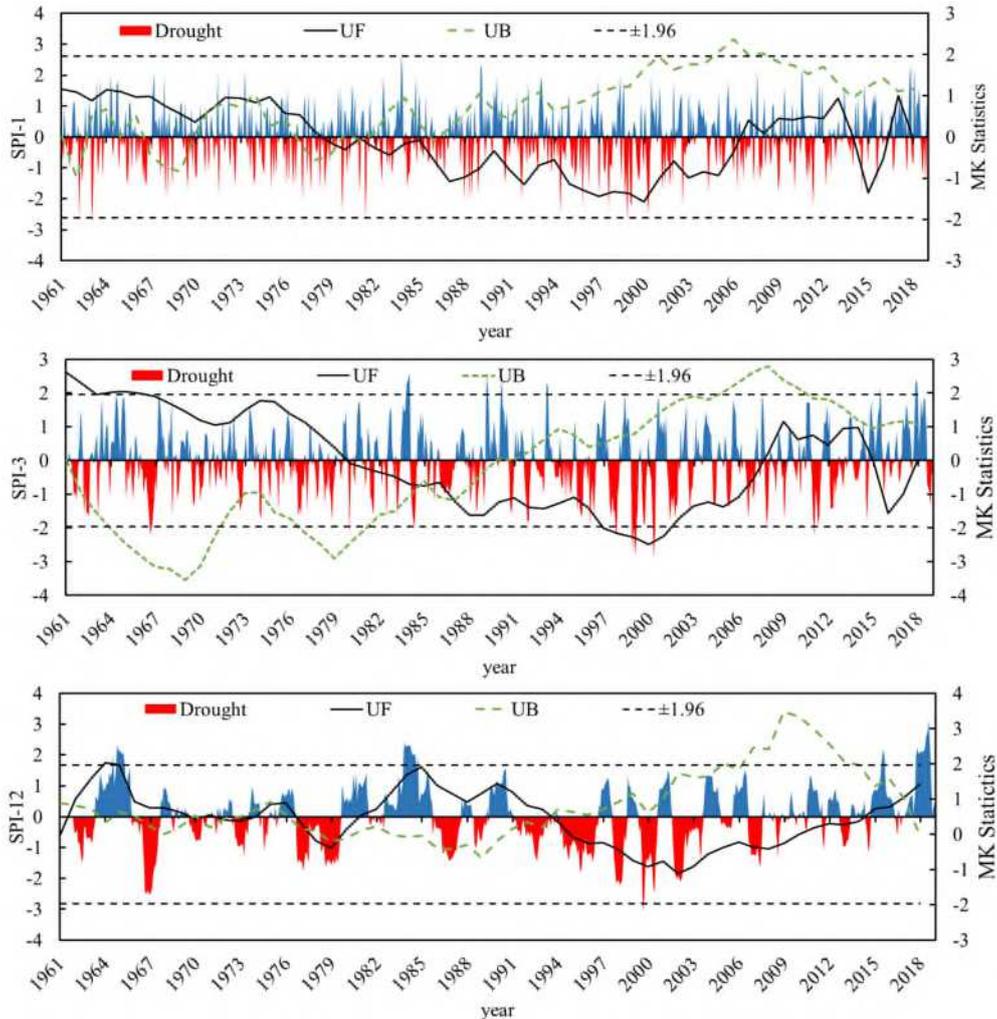
$$\begin{cases} C_k(x, y+k) = \frac{1}{n-1} \sum_{i=1}^{n-k} (y_{i+k} - \overline{y_{i+k}})(x_i - \overline{x_i}) \\ \sigma_x = \left[\frac{1}{n-1} \sum_{i=1}^k (x_i - \overline{x_i})^2 \right]^{1/2} \\ \sigma_{y+k} = \left[\frac{1}{n-1} \sum_{i=1}^k (y_{i+k} - \overline{y_{i+k}})^2 \right]^{1/2} \end{cases} \quad (23)$$

269 **4 Results and Discussion**

270 **4.1 The Evolution Characteristics of Meteorological Drought**

271 4.1.1 Temporal Evolution Characteristics

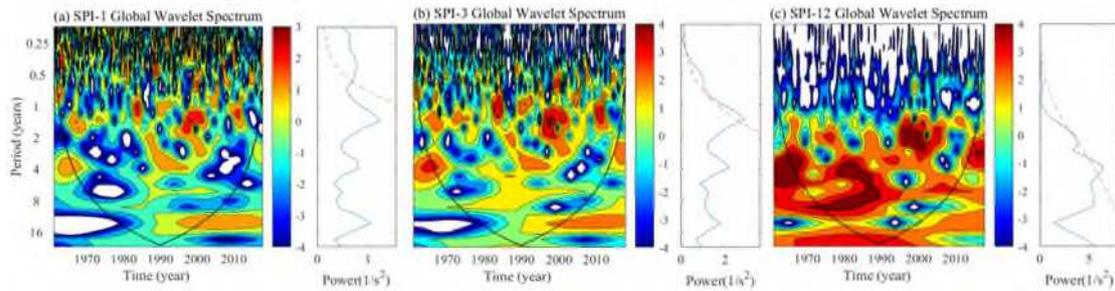
272 Fig. 3 illustrates the SPI at different scales with different sensitivity to drought, and as the time scale
 273 increases, the sequence fluctuations gradually decrease. It shows that the SPI value of the HRB has been
 274 in a state of flood-drought-flood-drought with complicated periodic changes from 1961 to 2018, which
 275 has obvious periodic characteristics. Drought occurred more frequently in the HRB at various scales from
 276 1962 to 1980 and 1990 to 2011. After 2011, the overall drought trend eased slightly, but the drought
 277 phenomenon still appears periodically, this trend becomes more and more significantly serious as the
 278 temporal scale increases from 1-12 months.



279
 280
 281

Fig. 3 The Change Process of SPI Index and MK Abrupt Curve of SPI-1, SPI-3 and SPI-12 metrological drought in HRB. The red area represents drought, the blue area is rainy. UF and UB are the order and reverse order of the SPI sequence in the M-K

282 mutation test method, respectively.



283
284 **Fig. 4** The wavelet power spectrogram of SPI-1, SPI-3 and SPI-12 metrological drought in HRB. The black solid line on the left
285 picture is the wavelet influence cone, which represents the wavelet spectrum area generated by the sequence transformation and
286 the corresponding edge effect. The red dotted line on the right represents the significance of the wavelet area under the red noise
287 background spectrum, and the blue solid line represents the wavelet variance. The periodicity of the left chart corresponding to the
288 extreme value of the wavelet variance in the confidence interval represented by the red dashed line is the significant periodicity of
289 the study sequence.

290 At the same time, combine with the M-K mutation curve, most of the periods are gradually changing
291 from mild drought to aggravation and then to drought relief, which is reflected in the sudden change of
292 the state transition before and after the point in each time scale. The mutation points are mainly
293 concentrated in 1974, 1980, 1994, but did not exceed the critical line, so the sudden change of
294 meteorological drought in the HRB was not significant. According to Wang et al. (Wang et al. 2019a)
295 research results, the HRB transitioned from the rainy period in the 1980s to the drier period in the 1990s,
296 the precipitation has shown an insignificant downward trend in the past 60 years, and the SPI value is
297 directly affected by precipitation. Therefore, the non-significant abrupt change of the scale is also more
298 consistent with the precipitation changes in the HRB. Besides that, due to the calculation difference and
299 time generalizability of different scales, the mutation points of varied scales are different.

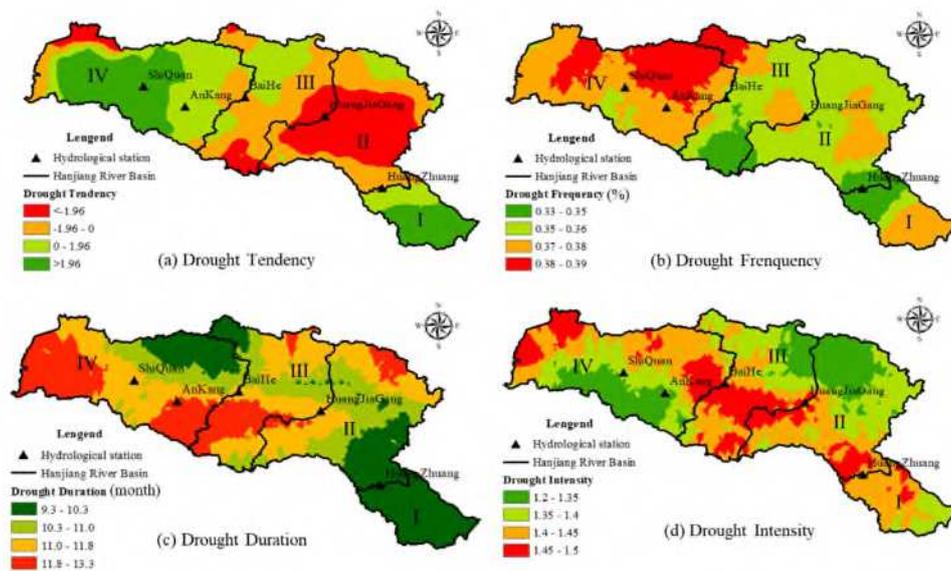
300 The SPI-1, SPI-3, and SPI -12 changes in the HRB from 1961 to 2018 showed certain periodic
301 characteristics (Fig. 4). It can be seen that the frequency domain scale of the energy center in the wavelet
302 power spectrum does not pass the 0.05 significance level, so the periodicity of SPI-1 is not obvious. On
303 the contrary, the frequency domain scale of the energy center and the extreme value of the wavelet
304 variance of the SPI-3 reaches the extreme value is about 2 years, and it has passed the 0.05 significance
305 level test, so the periodicity of SPI-3 is significant. The periodicity of SPI-12 is more significant, the
306 frequency domain scale of the energy center is mainly concentrated over 4 years, and the SPI-12 value
307 oscillates most strongly at 4 years. Because the time scale of monthly SPI is relatively meticulous, and
308 the sequence fluctuation noise is obvious, which leads to insignificant periodic changes. However, the
309 seasonal and yearly scale SPI eliminates some noises relative to the monthly scale sequence, so
310 significant periodic characteristics are identified (Niu and Chen 2016).

311 4.1.2. Spatial Evolution Characteristics

312 In order to facilitate the subsequent exploration of the relationship between hydrological drought and
313 meteorological drought, the HRB is divided into four spatial areas according to the location of
314 hydrological stations. Below Huangzhuang Station is Area I, above is Area II, and above Huangjiagang
315 Station is Area III, and Baihe Station above is area IV. And Region I correspond to the downstream of
316 the basin, Region II corresponds to the middle reaches of the basin, and Regions III and IV correspond
317 to the upper reaches of the region.

318 The spatial characteristics of the SPI-12 meteorological drought of the HRB are shown in Fig .5. At
319 the yearly scale, the HRB shows a clear trend of aridification in the central region but humidification in

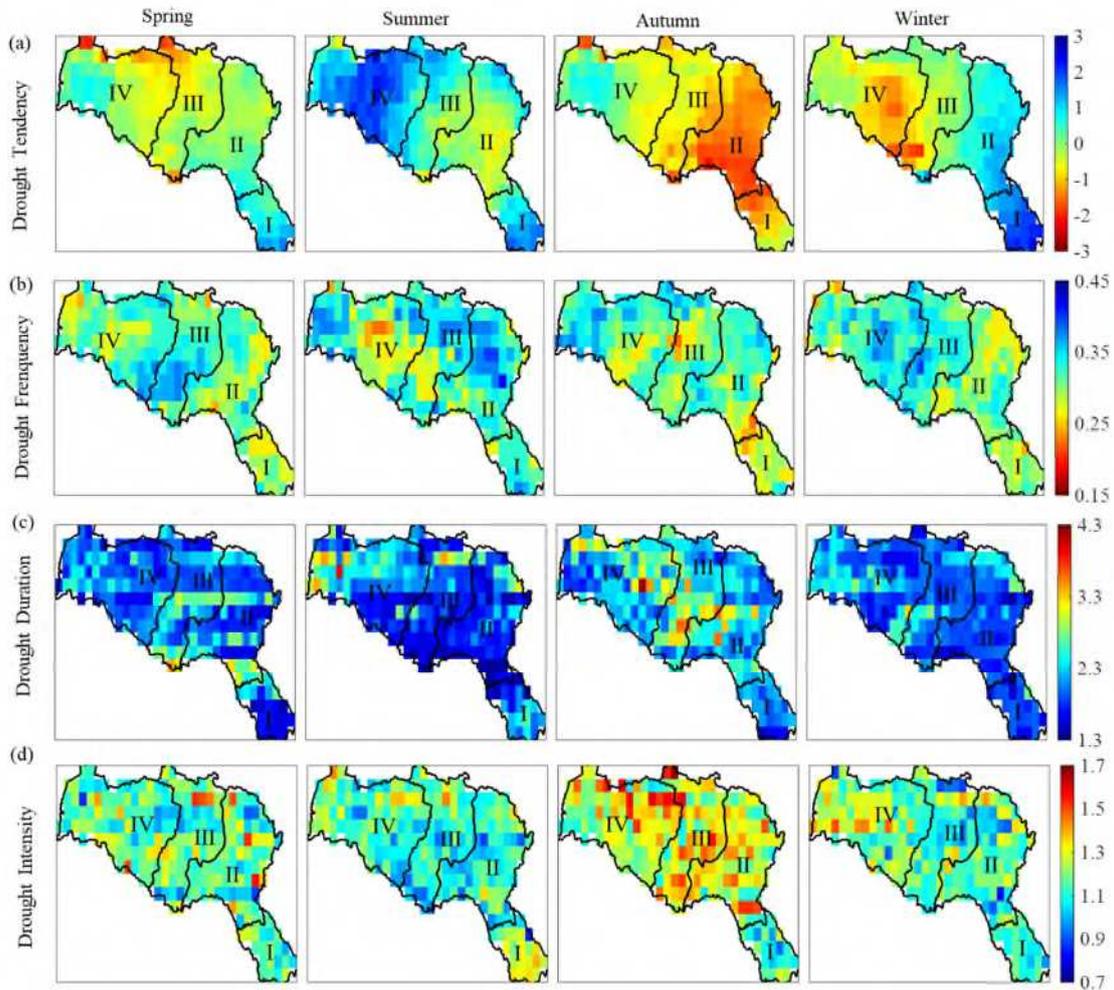
320 the southern and western regions (Fig .5a). The significant aridification areas are mainly distributed in
 321 Region II, the proportion of significant aridification grid points in the region is 50.7%, the proportion of
 322 aridification grid points is 87.32% of Regions III. And the significant humidification regions are mainly
 323 distributed in regions I and IV, where the significant humidification grids in the region is 47.67% and
 324 66.7% respectively. From the point of view of the frequency and duration of drought (Fig .5c & Fig .5d),
 325 the frequency of drought events in Region IV is the highest at 38.01%, while the drought duration is the
 326 longest at the same time, with an average drought duration of 11.26 months, and it is also with the most
 327 occurrence of light drought events. Among them, the frequency of drought in Region I is relatively low,
 328 and its duration is the shortest, but the frequency of severe drought and extreme drought events is
 329 relatively highest. Overall, although the frequency of drought in Region III is not high, its drought
 330 intensity is the highest, and the drought duration is second only to Region IV. It is necessary to prevent
 331 the impact of sudden drought events in Region III(Zhang et al. 2019).



332
 333 **Fig. 5** The spatial distribution of drought tendency, drought frequency, drought duration and drought intensity of SPI-12
 334 meteorological drought in HRB. The I, II, III, IV represent four regions. Below Huangzhuang Station is Area I, above is Area
 335 II, and above Huangjiagang Station is Area III, and Baihe Station above is area IV. And Region I correspond to the downstream
 336 of the basin, Region II corresponds to the middle reaches of the basin, and Regions III and IV correspond to the upper reaches of
 337 the region.

338 From seasonality perspective, the spatial characteristics of meteorological drought in the HRB have
 339 obvious differences and variability between the four seasons (Fig .6). In spring, the trend of aridification
 340 in areas III and IV in the upper reaches of the HRB is more obvious, but the proportion of significant
 341 aridification in the area is relatively low, only 2.87%, where the frequency of drought in area III is higher,
 342 light droughts and moderate droughts are occur frequently, and the drought duration is long and drought
 343 intensity is high. In summer, the trend of aridification in Region II was more obvious, and the drought
 344 frequency was 33.58%. Region I had the highest drought intensity at 1.206, and Region IV had the longest
 345 drought duration at 2.046 months. In autumn, the entire basin showed a drought trend, where Region II
 346 was the most significant, the drought in Region III was long and strong, the frequency of drought in
 347 Region IV was high, and the frequency of moderate drought events was relatively highest. In winter, the
 348 aridification trend of area IV is the most significant, and the drought intensity is the highest, area III has
 349 the highest drought frequency and the longest drought duration. Taken together, the four seasons of

350 drought conditions in the upper and middle reaches of the HRB are relatively severe. The summer drought
 351 of area II in the middle reaches is more severe, and the winter drought is more serious in area IV in the
 352 lower reaches of the HRB.



353
 354 **Fig. 6** The spatial distribution of drought tendency, drought frequency, drought duration and drought intensity at seasonal-scale
 355 meteorological drought in HRB. The I, II, III, IV represent four regions.

356 **4.2. The evolution characteristics of hydrological drought**

357 4.2.1. Temporal Evolution Characteristics

358 The M-K test method was used to understand the trend of the hydrological drought index SRI series at
 359 seasonal and yearly scales for the 5 hydrological stations within the HRB (Table 2). The yearly
 360 hydrological drought index trend recorded a significant decreasing trend, that is, the aridification trend
 361 became more obvious, and the aridification trend of the area near HJG was the most obvious. At a
 362 seasonal scale, the spring and autumn droughts aggravated in the entire river basin. The spring droughts
 363 at SQ, AH, and BH stations in the upper HRB have a significant trend. The autumn droughts in the control
 364 basins of stations other than the SQ station also showed a trend of aridification. And the increasing trend
 365 of summer drought in HJG region is more obvious, and it is prone to continuous drought in summer and
 366 autumn, while winter in the whole basin shows a trend of insignificant humidification to varying degrees.
 367 Wang et al (Wang et al. 2020c) found that the spring drought in Shaanxi Province tended to increase. and
 368 Ge's (Ge 2019; Wang et al. 2020c) study indicated that various regions of Shaanxi Province have shown

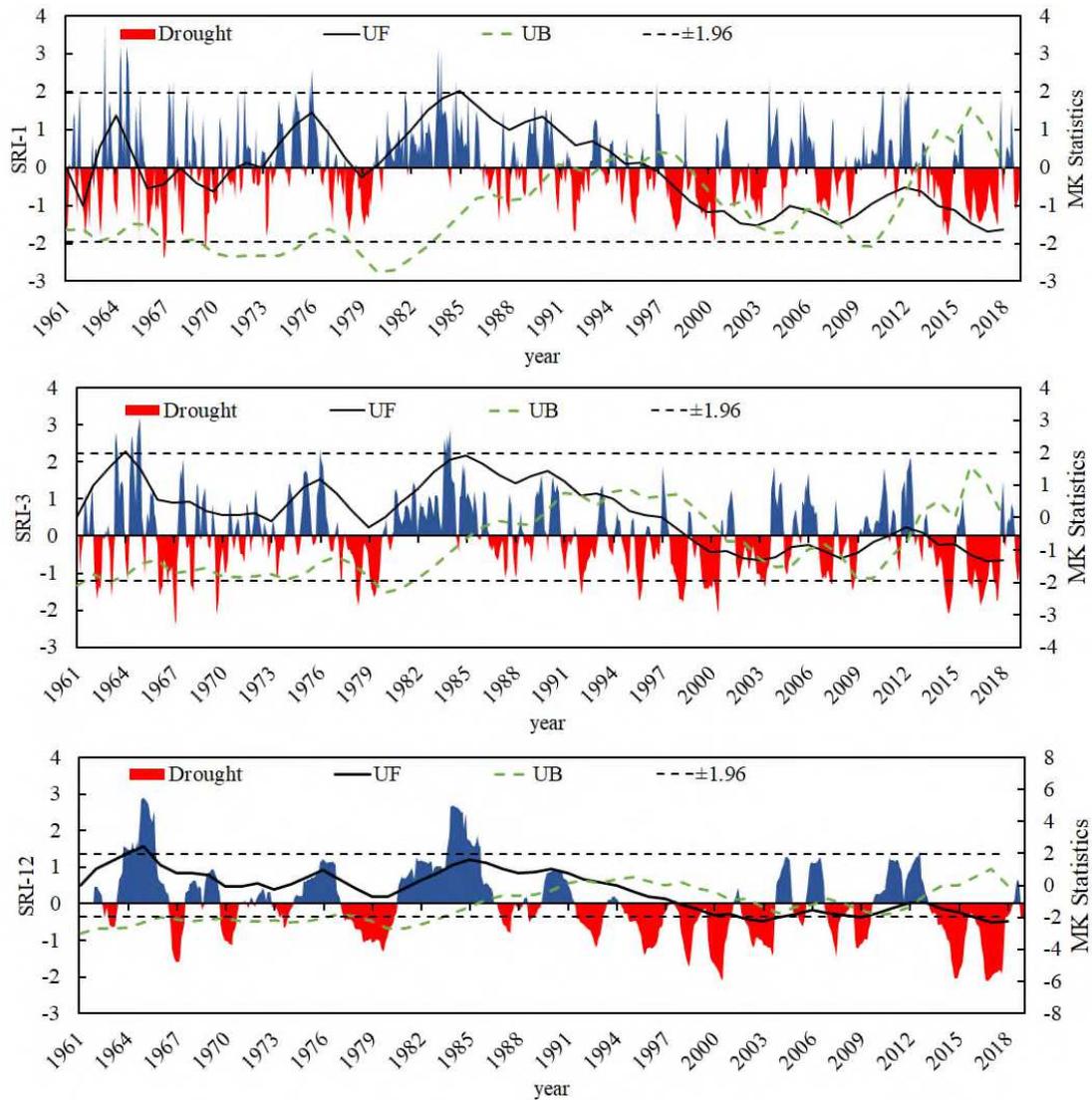
369 different degrees of aridification trends in spring and autumn in recent years, and SQ, AK, and BH all
 370 have increased trends in spring and autumn droughts, but the increase in autumn drought at Shiquan
 371 Station is not obvious. This is consistent with the conclusion of this paper.

372 **Table 2** The M-K trend test value of seasonal-scale and yearly-scale hydrological drought in the five htdrological stations in HRB,
 373 the test value $|Z|=1.96$, it is a significant change when the absolute value of the test value exceeds it, and it is bolded in the table

Station	Spring	Summer	Autumn	Winter	Yearly
Shiquan(SQ)	-3.12	-0.88	-1.48	0.91	-2.09
Ankang(AK)	-2.43	-0.49	-2.62	1.09	-1.95
Baihe(BH)	-2.41	-0.69	-2.48	1.13	-1.95
Huangjiagang(HJG)	-1.84	-1.99	-3.43	0.74	-2.25
Huangzhuang(HZ)	-1.64	-1.82	-2.74	0.93	-1.71

374 Similarly, taking the HJB Station in the middle reaches of the basin as an example, the hydrological
 375 drought in the basin also has obvious temporal and periodic characteristics(Fig .7). From the perspective
 376 of SRI changes at different time scales, the hydrological drought in the HRB from 1961 to 2018 showed
 377 cyclical fluctuations of flood-drought-flood-drought. The droughts occurred at various time scales and
 378 more frequent after the 1990s, with long duration and high intensity. This phenomenon is related to the
 379 HRB from the rainy period to the drier period since the 1990s (Mu et al. 2012; Zhang et al. 2008). Among
 380 them, SPI-1, there are more low droughts, while the number of extreme droughts of SPI-3 and SPI-12 is
 381 greater than that on the monthly scale. This is because the difference in data processing during SRI and
 382 SPI calculations. The wavelet power spectrum results show that the periodicity of the monthly-scale SRI
 383 series in the HRB is not significant, and the yearly and seasonal SRI series have a main period of 8 years
 384 (Fig .8).

385 The mutation points observed at 1994,2002-2007,2012, and the overall drought situation shows a
 386 trend that firstly slowing down and then intensifying.Firstly, the time of all mutation points appearance
 387 not only lags behind the sudden change of meteorological drought, but also these mutuation points
 388 appeared after the construction of a large number of water conservancy projects in the HRB, which
 389 indicating that the drought in the basin is severely affected by human activities.Besides that, the authors
 390 have noticed since 2014, the meteorological drought in the HRB has been slowing down, but hydrological
 391 drought events have shown a high incidence, and the peak of hydrological drought is significantly smaller
 392 than meteorological drought, as such the meteorological and hydrological droughts show obvious
 393 inconsistency trend. This is inextricably related to the water transfer from the Danjiangkou Reservoir in
 394 the middle reaches of the HRB in the South-to-North Water Diversion Project in 2014. On one hand,
 395 human activities such as the interception of water by reservoirs and the diversion of water through the
 396 diversion projects have aggravated the hydrological drought in the Hanjiang River Basin. On the other
 397 hand, the release of water during the dry season from the reservoir has a certain mitigation effect on
 398 extreme drought events in the basin(Wu et al. 2016).

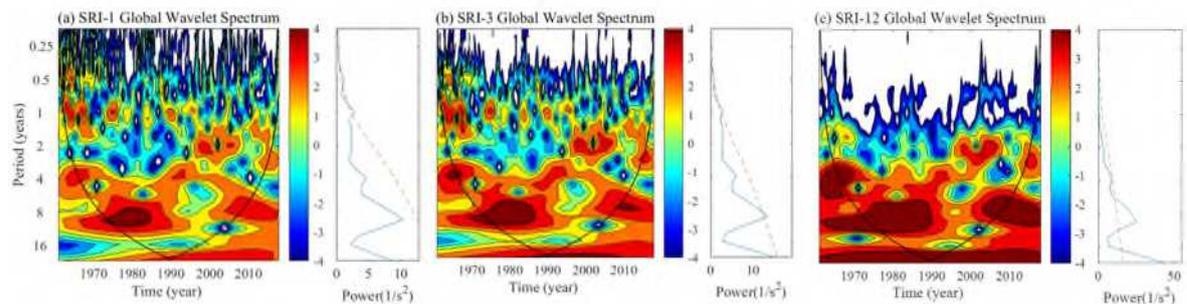


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400

Fig.7 The Change Process of SRI Index and MK Abrupt Curve of SPI-1, SPI-3 and SPI-12 hydrological drought in Huangjiagang station. The red area represents drought, the blue area is rainy. UF and UB are the order and reverse order of the SRI sequence in the M-K mutation test method, respectively.

402



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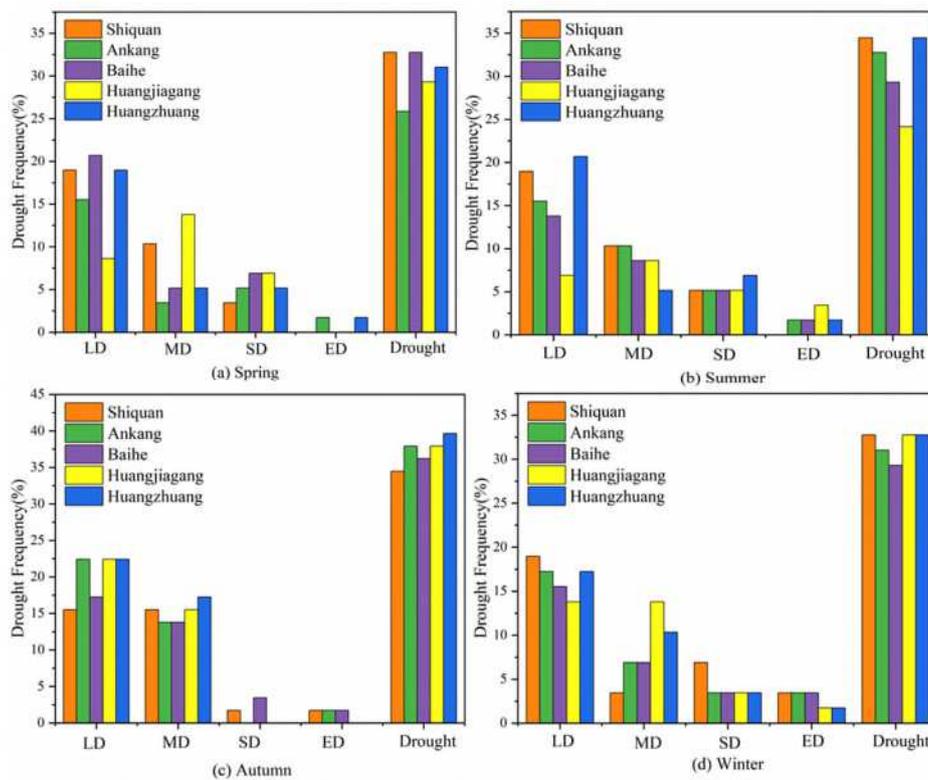
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Fig. 8 The wavelet power spectrogram of SRI index of SRI-1, SRI-3 and SRI-12 hydrological drought in Huangjiagang station. The black solid line on the left picture is the wavelet influence cone, which represents the wavelet spectrum area generated by the sequence transformation and the corresponding edge effect. The red dotted line on the right represents the significance of the wavelet area under the red noise background spectrum, and the blue solid line represents the wavelet variance. The periodicity of the left chart corresponding to the extreme value of the wavelet variance in the confidence interval represented by the red dashed line is the significant periodicity of the study sequence.

409

410 4.2.2. Spatial Evolution Characteristics

411 Fig .9 shows the frequency of occurrence at the five hydrological stations on the main stream of the HRB
 412 under different scenarios from the seasonal perspectives. In spring, the Shiquan and Baihe regions have
 413 the highest frequency of drought events, while the Ankang and Huangzhuang regions recorded the
 414 highest extreme events. In summer season, the overall drought frequency in the basin is greater than
 415 spring, the Shiquan and Huangzhuang regions have the highest drought frequency, and the Huangjiagang
 416 region has the highest drought frequency for extreme drought events. However, autumn season reported
 417 the highest frequency of drought events, Shiquan, Huangjiagang, and Huangzhuang regions have the
 418 highest frequency of drought events, while the region above the Baihe River has recorded the highest
 419 frequency of extreme drought events. During winter, Shiquan region has the highest frequency of drought
 420 events, while the extreme drought occurs most frequently at the Baihe region.



421
 422 **Fig. 9** Seasonal hydrological drought frequency of different types of drought events of five hydrological stations in HRB, LD is
 423 light drought events, MD is moderate drought events, SD is severe drought events, ED is extreme drought events.

424 From the perspective of drought duration and drought intensity (Fig .10), the Baihe Station has
 425 reported the highest drought intensity except during summer; the Shiquan Station has the longest drought
 426 duration in spring and summer, especially with the largest drought intensity recorded in summer. The
 427 Huangzhuang Station has the longest drought duration in autumn and winter but the drought intensity is
 428 not large. It is worth noting that the drought intensity of Huangjiagang Station is the lowest, and also the
 429 lowest drought intensity of the light drought in all four seasons. This could be attributed to the release of
 430 water during the dry season from the reservoir which has a certain weakening effect on its drought
 431 intensity, which lessen the seasonal drought at Huangjiagang Station to a certain extent(Zhang et al. 2015).

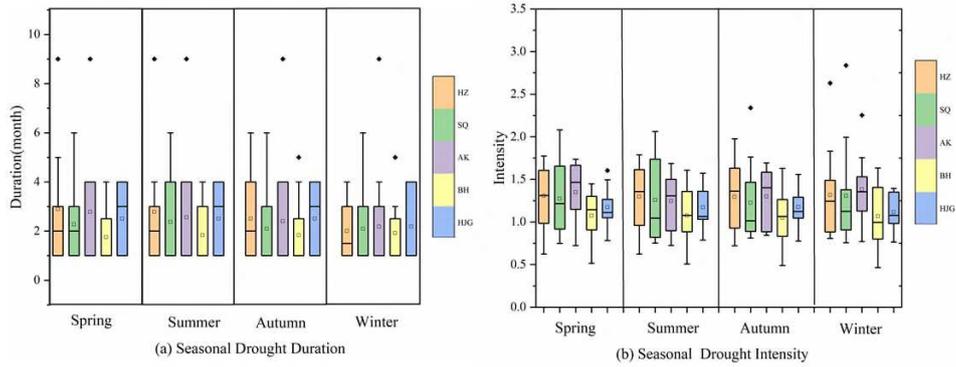


Fig. 10 The drought duration and drought intensity of five hydrological stations at the seasonal scale in HRB.

Table 3 compares the characteristics of hydrological drought events with the corresponding drought characteristics of meteorological drought events at seasonal scale. Except for summer, the frequency of meteorological droughts at other scales is higher than the frequency of hydrological droughts, and the frequency of extreme meteorological droughts events is significantly higher than that of hydrological droughts. In summer, rainfall is abundant, and reservoir storage will increase the occurrence of hydrological droughts. When droughts occur in other seasons, the release of water from the reservoir reduced the occurrence of hydrological drought and also reduced the occurrence of extreme drought events, which further proved that human activities that represented by reservoir water transfer and storage have obvious impacts on hydrological drought in the basin (Xiaoli Yang and Zhongwang Wei 2020). The meteorological drought duration and drought intensity of the basin are less than the hydrological drought duration except for Huangjiagang Station, indicating that the release of water from the reservoir in the dry season has a mitigation effect on the later hydrological drought events downstream. In general, the increasing trend of hydrological drought in the basin cannot be ignored, human activities represented by reservoirs have a significant impact on hydrological drought. On the one hand, water storage in the rainy season will increase the frequency of hydrological drought events resulted from increasing water capturing; while the release of water during the dry season will reduce the occurrence of hydrological drought events. The effect of reducing the duration and intensity of hydrological drought events by releasing water from the reservoir is most obvious at Huangjiagang Station, which is the nearest to Danjiangkou Reservoir (Jiao et al. 2020).

Table 3 The comparison of drought characteristic on meteorological drought and hydrological drought events

Scale	Drought Tendency	Drought Frequency	Drought Duration	Drought Intensity
Spring	MD<HD	MD>HD	MD<HD*	MD<HD*
Summer	MD<HD	MD<HD	MD<HD*	MD<HD
Autumn	MD<HD	MD>HD	MD<HD*	MD<HD
Winter	MD<HD	MD>HD	MD<HD*	MD<HD

MD represents meteorological drought, HD means hydrological drought. * means that except for the conclusion of Huangjiagang Station, other areas in the basin conform to this conclusion.

4.3 The Relationship between Hydrological Drought and Meteorological Drought

4.3.1 Correlation between Hydrological Drought and Meteorological Drought

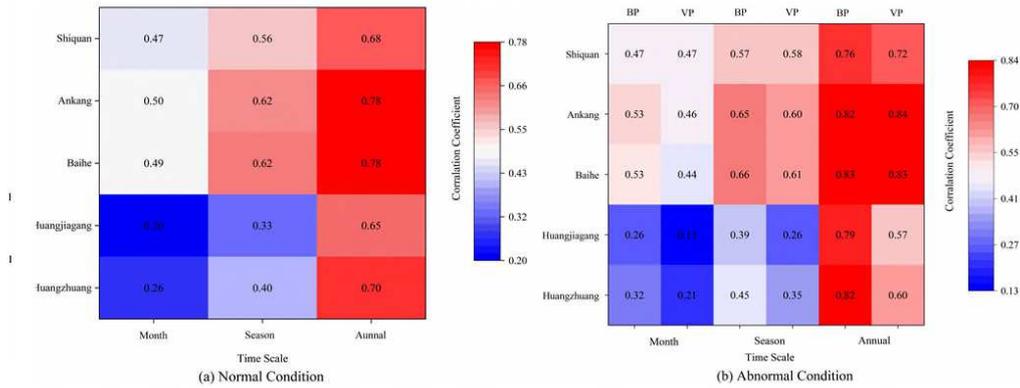
Meteorological drought mainly refers to the phenomenon of insufficient regional water caused by the imbalance of atmospheric precipitation and evaporation. It is sudden and can start or end quickly.

460 Meteorological drought is directly affected by meteorological factors such as precipitation and
461 evaporation, while hydrological drought is different that may be affected by hydrological features. When
462 meteorological drought occurs, human demand for water to production and living causes continuous
463 reduction of surface water and groundwater level, if this situation continues to a certain extent,
464 hydrological drought formed(B et al. 2020). Therefore, the occurrence of hydrological drought is largely
465 affected by meteorological drought, and the two have a certain correlation.

466 Fig .11(a) shows the correlation between the SRI series of the five control hydrological stations on
467 the HRB mainstream at different time scales and the corresponding SPI series of the control basin at the
468 same time scale at a significant level of $\alpha=0.01$. The correlation coefficient increases with the increase
469 of the time scale, with the correlation coefficient of monthly scale is the smallest, and the correlation
470 coefficient of the yearly scale is the largest. In the Spearman rank test method, under the significance
471 level of $\alpha=0.01$, the value range of the test statistic r is $[-1,1]$, when $r>0$ is positive correlation, and the
472 closer its value is to 1, the higher the correlation degree. Therefore, the correlation coefficients of all
473 scales in the HRB have passed the significance test. The strongest correlation between meteorological
474 drought and hydrological drought in the HRB is obviously on the yearly scale (SPI-12). Since the source
475 data is the precipitation and flow data of the basin, and the two have their own certainties relevance from
476 the perspective of the hydrological cycle process. Because the length of the annual-scale series is longer
477 than that of the monthly-scale and seasonal-scale series. It not only has a larger amount of data, but also
478 contains more changes in the meteorological and hydrological characteristics of the watershed. Therefore,
479 to a certain extent, the correlation of precipitation and runoff in the source data of the series is better, so
480 the SPI/SRI sequence calculated by it has the strongest correlation on the annual scale [14-16].

481 At the same time, the degree of correlation between hydrological drought and meteorological
482 drought in the basin represented by different stations is varied. The hydrological drought and
483 meteorological drought in Shiquan Station, Baihe Station, and Ankang Station in the upper reaches of
484 the HRB are highly correlated, while the correlation between hydrological drought and meteorological
485 drought of the Huangjiagang Station and Huangzhuang Station at middle and lower reaches of the HRB
486 is relatively low, which is related to the violent human activities near the Danjiangkou Reservoir at the
487 junction of the upper and middle and lower reaches of the HRB.

488 In order to further explore the impact of human activities on the drought situation in the HRB,
489 combined with the mutation points of runoff, hydrological drought and related documents, 1990 was
490 selected as the cut-off point, 1961-1990 as the base period, and 1991-2018 as the variation period, then
491 calculate the correlation coefficients of SPI series and SRI series at various scales and different periods
492 in the HRB (Fig .11b). The results show that the correlation between hydrological drought and
493 meteorological drought in the base period at each scale at Huangjiagang Station and Huangzhuang
494 Station located in the middle and lower reaches of the HRB is significantly less than that of the three
495 stations on the upper reaches of the HRB. The correlation of meteorological drought was significantly
496 reduced, while the other three stations did not change significantly. Hence, human activities represented
497 by the retention and diversion of the Danjiangkou Reservoir have a significant impact on the hydrological
498 drought in the middle and lower reaches of the HRB, and significantly reduce the correlation between
499 hydrological drought and meteorological drought, at the same time, this influence becomes more and
500 more obvious as the scale of research increases(Bae et al. 2019).



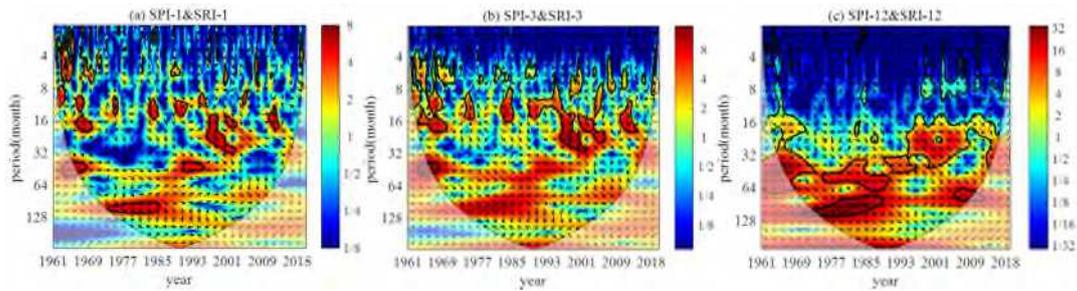
501

502 **Fig .11** The correlation of meteorological drought and hydrological drought at five stations in HRB under normal and changing
 503 conditions on monthly, seasonal and yearly scale

504 4.3.2 Hysteresis of Hydrological Drought to Meteorological Drought

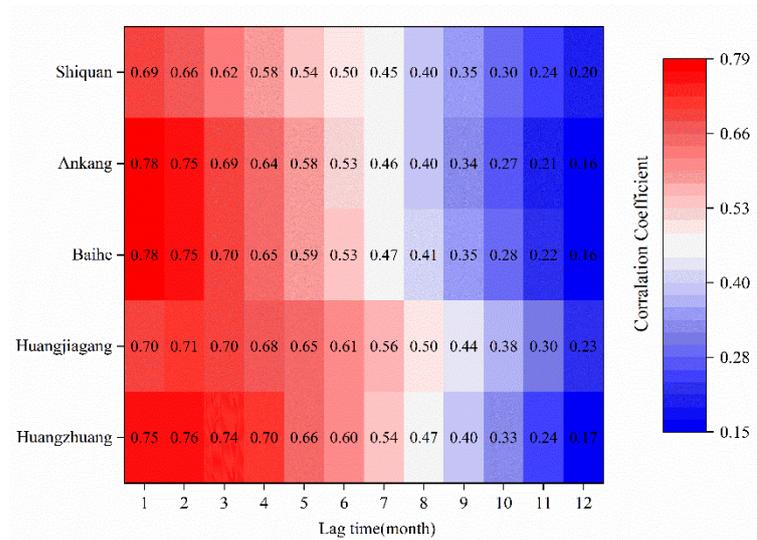
505 The cross-wavelet power spectrum can judge the relationship between two time series in the time-
 506 frequency domain and their significance based on the same energy spectrum area of different series.
 507 Therefore, the authors use this method to further analyze the correlation between meteorological drought
 508 and hydrological drought in the HRB. Similarly, taking Huangjiagang Station in the middle reaches of
 509 the HRB as a representative station, Fig .12 shows the cross-wavelet power spectrum of the SPI sequence
 510 and SRI sequence at the monthly, seasonal, and yearly scales at Huangjiagang Station. The Fig .12 shows
 511 that both SPI and SRI have a strong correlation at different scales. At the monthly scale, the SPI-1
 512 sequence and the SRI-1 sequence have an obvious resonance period in the mid-frequency region,
 513 showing a positive correlation, especially in the period from 1970 to 1985, there is an obvious 100-128
 514 months positive correlation with the SRI sequence. While at the seasonal scale, the SPI-3 sequence and
 515 the SRI-3 sequence have an obvious resonance period in the low-frequency region, and there is a positive
 516 correlation between 10-32 months between 1990 and 2008, and it can be clearly seen that the arrow
 517 points downward, indicating the occurrence of meteorological drought earlier than the hydrological
 518 drought. At the yearly scale, the SPI-12 sequence and the SRI-12 sequence have a larger resonance period
 519 in the high-frequency region, showing a positive correlation from 1970 to 2010, and SRI still lags behind
 520 SPI.

521 In order to obtain a deeper conclusion, the authors compared the Spearman correlation coefficient r
 522 value between SPI and SRI of various scales with significant resonance period during the whole study
 523 period (1961-2018). At the monthly scale, the r values between the SPI and SRI sequences from 1961 to
 524 2018 and the significant resonance period from 1970 to 1985 are 0.21 and 0.29, respectively. While at
 525 the seasonal scale, the r values for the two periods are 0.32 and 0.415, respectively and the yearly scale,
 526 the r values of the two periods are 0.648 and 0.724. It is clear that the correlation coefficient between SPI
 527 and SRI is significantly improved during the significant resonance period based on the cross wavelet
 528 transform, which illustrates the importance of considering different time scales when studying the
 529 relationship between hydrological drought and meteorological drought. In general, there is a positive
 530 correlation between hydrological drought and meteorological drought at different time scales in the HRB,
 531 and hydrological drought is obviously lagging behind meteorological drought, but the synchronization
 532 of different scales is not consistent. The specific lag time will be discussed further.



533
 534 **Fig. 12** The crossed wavelet power spectrum of monthly, seasonal and yearly SPI and SRI Sequences at Huangjiagang Station.
 535 The black solid line represents the wavelet influence cone, the color depth represents the strength of the correlation, the darker
 536 the color, the stronger the correlation, the black arrow forward represents advance, and backward represents lag.

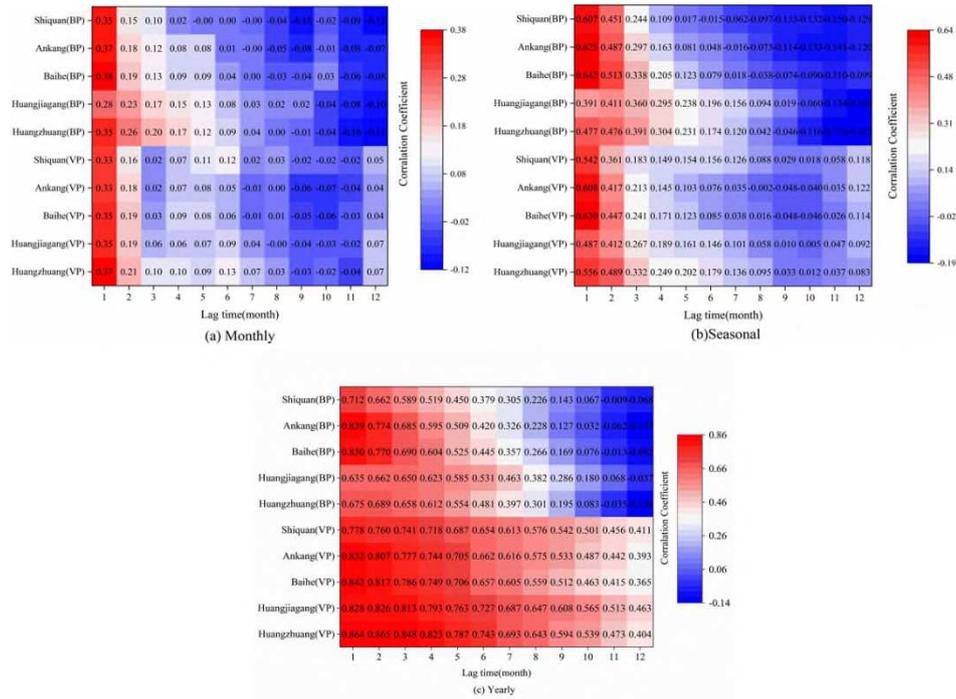
537 The occurrence of hydrological drought lags behind meteorological drought, and the correlation
 538 between meteorological drought and hydrological drought on the yearly scale is the best. Therefore, the
 539 authors taking the yearly scale as an example, where they use the SPI-12 sequence of the same period,
 540 lag 1 month, lag 2 months...12 months as the time gradient, calculate the time lag correlation coefficient
 541 corresponding to different time gradients, and take the time gradient corresponding to the maximum
 542 value of the correlation coefficient as the lag time for SRI to SPI. Fig .13 show that there is a certain lag
 543 time between the hydrological drought and meteorological drought in the HRB on a yearly scale. The lag
 544 time between the hydrological drought of different hydrological stations and the meteorological drought
 545 of the control basin is different. The lag time in the upper HRB hydrological drought lags behind the
 546 meteorological drought is about 1 month, while the hydrological drought in the middle and lower reaches
 547 lags the meteorological drought by 2 months.



548
 549 **Fig. 13** The variation of lag time between meteorological and hydrological droughts on yearly scale in HRB. The month with the
 550 highest correlation coefficient represents the strongest correlation between hydrological drought and meteorological drought that
 551 lags behind this month, that is, the time that hydrological drought lags behind meteorological drought.

552 In order to explore the impact of human activities and climate change on the response of hydrological
 553 drought to meteorological drought in the middle and lower reaches of the HRB, similarly, the authors
 554 used 1990 as the differentiation point, 1961-1990 as the base period, and 1991-2018 as the variation
 555 period. The response changes of the HRB in different periods of hydrological drought and meteorological
 556 drought on different scales are showed (Fig .14). It shows that the lagging effects of human activities and
 557 climate change on the monthly hydro-meteorological drought are not obvious, and the lag time before

558 and after the variation remains unchanged, while for the seasonal and yearly scales, it significantly
 559 reduced in the Huangjiagang station located in the middle and lower reaches of the HRB. The
 560 hydrological drought lag time at the Huangjiagang station and Huangzhuang station decreased from 2
 561 months lag in the reference period to 1 month lag, which is inseparable from the human activities
 562 represented by the Danjiangkou Reservoir located upstream of the two stations. The primary indicator of
 563 the occurrence of meteorological drought is insufficient precipitation, which leads to a decrease in
 564 watershed runoff. However, the water intake, water use, and water transfer projects surrounding human
 565 activities in the basin continue to reduce water volume. The consequence of shortage is that the runoff of
 566 the watershed drops rapidly and the hydrological drought advances occurs, that is, the hydrological
 567 drought in the basin lags behind the meteorological drought significantly less(Al-Faraj and Scholz 2014;
 568 Leitman et al. 2016).



569
 570 **Fig. 14** The variation of lag time on multi-scale between meteorological and hydrological droughts in HRB, where the BP is the
 571 base period, VP is the variation period.

572 **5 Discussion and Conclusions**

573 In this study, meteorological and hydrological droughts analysis was carried out on the precipitation
 574 data and flow data of the Hanjiang River Basin from 1961 to 2018, and the correlation and responsiveness
 575 of meteorological and hydrological drought in the HRB at different temporal and spatial scales were
 576 studied, the following conclusions were obtained:

577 (1) The meteorological drought in the Hanjiang River Basin presents a complex cyclical trend of
 578 drought-flood-drought-flood with the main cycle of 2-4 years. The basin has entered a period of
 579 aggravation of drought since 1990 and a period of drought relief since 2010. It is of great importance to
 580 prevent the hazards of sudden drought caused by the complicated periodic changes of meteorological
 581 drought. On the spatial scale, the meteorological drought in the Hanjiang River Basin presents a clear
 582 trend of aridification in the central region and humidification in the southern and western regions. The
 583 aridification trend is the most obvious in the area around Danjiangkou Reservoir, and the four seasons of
 584 drought in the upper and middle reaches are also severe. The summer drought in the middle reaches and

585 the winter drought in the lower reaches is more serious.

586 (2) The hydrological drought in the Hanjiang River Basin showed a significant and serious trend.
587 The autumn drought was the most obvious, mainly in the Huangjiagang area; and followed by the spring
588 drought, which was mainly observed manifested in Shiquan Station and Ankang Station, the summer
589 drought was observed mainly manifested in Huangjiagang Station and Huangzhuang Station. In the area
590 near Huangzhuang Station, the HRB in winter shows a trend of humidification as a whole, and the
591 hydrological drought in the basin has an 8-year cycle. The hydrological drought frequency in the
592 Hanjiang River Basin gradually decreases from upstream to downstream along the main stream. But the
593 abnormal increase of hydrological drought frequency at Huangjiagang Station, 10km downstream of
594 Danjiangkou Reservoir, is closely related to human activities. Drought events in the river basin are mostly
595 light and moderate drought events. The upper and middle reaches of the Hanjiang River Basin have high
596 drought intensity, and the lower reaches have a longer drought duration. The drought at Huangzhuang
597 Station lasted the longest.

598 (3) In the past ten years, the increasing trend of hydrological drought in the river basin cannot be
599 ignored. Human activities represented by reservoirs have a significant impact on hydrological drought.
600 Water storage during the rainy season will increase the frequency of hydrological drought events, while
601 water release during the dry season will reduce hydrological drought events. The effect of reducing the
602 duration and intensity of hydrological drought events by releasing water from the reservoir is most
603 obvious at Huangjiagang Station, which is the nearest to Danjiangkou Reservoir.

604 (4) The Hanjiang River Basin has the best correlation between hydrological drought and
605 meteorological drought on a yearly scale. After 1990, drastic human activities and climate change have
606 reduced the correlation between hydrological drought and meteorological drought in the middle and
607 lower reaches of the basin, and reduced the lag time of hydrological drought in the middle and lower
608 reaches of the basin. The hydrological drought in the upper reaches of the basin lags behind the
609 meteorological drought by 1 month, and the hydrological drought in the middle and lower reaches of the
610 basin has changed from 2 months before 1990 to 1 month lagging in 1990.

611 Some scholars' studies have shown that the characteristics of drought changes in China have been
612 unusually prominent under the climate background of increasing global temperature and increasing
613 extreme precipitation in recent years(Han et al. 2019; Zhang et al. 2020). However, drought not only
614 occurs in arid and semi-arid areas, but also occurs in humid and semi-humid areas, and it often causes
615 more serious damage due to people's neglect(Huang et al. 2013). As the water source of the South-to-
616 North Water Diversion, the Hanjiang River is located in a humid-semi-humid area, so the occurrence of
617 drought events has a great impact on the water supply security of the basin. Many scholars have also
618 conducted research on the drought in the Hanjiang River Basin, the results show that the drought situation
619 in the Hanjiang River Basin is present phase changes, and the occurrence of extreme events has increased,
620 which is consistent with the conclusion of this paper(Chen et al. 2013; Xu et al. 2011). In the process of
621 meteorological and hydrological drought in the study area, many studies have shown that there is a certain
622 correlation between meteorological drought and hydrological drought, and climate change and human
623 activities will affect this correlation(Li et al. 2019; Tjiedeman et al. 2018). Wu et al and Zhang et al (Wu
624 et al. 2016; Wu et al. 2018; Zhang et al. 2015)found that the operation of the reservoir will shorten the
625 propagation time from meteorological drought to hydrological drought, and human activities have a more
626 obvious impact on seasonal drought, and the impact of climate change on drought is dominant on a longer
627 time scale. Wang et al. (Wang et al. 2020b)studied the influence of climatic factors on regional drought
628 conditions, and the results showed that the degree of correlation between the drought index and climatic

629 factors in the Qinghai-Tibet Plateau is affected by vegetation types, MA et al (Ma et al. 2018) showed
630 that climate change in the Heihe River Basin tends to increase the propagation time of drought. Among
631 the conclusions of this research, the meteorological and hydrological droughts in Hanjiang River Basin
632 continued to be interrelated with hydrological drought lagging behind meteorological drought, the
633 hydrological projects and reservoirs are playing role in alleviating or worsen the hydrological droughts
634 during the rainy and dry season as result from store or release actions, and this is similar to the
635 conclusions reached by other scholars.

636 The water resources of the Hanjiang River Basin basin have a significant influence on the water use
637 area of Beijing-Tianjin-Hebei. So how to effectively monitor and predict drought conditions in the
638 Hanjiang River Basin is of great significance. Javed et al. (Javed et al. 2020), Yuan et al.(Yuan et al. 2015)
639 combined the NDVI and LST data of MODIS to study drought prediction in China and the Yangtze River
640 Basin, it also provides a direction for our more in-depth research. At the same time, it is an important
641 research direction to explore the impact of human activities and climate change on the transitivity of
642 meteorological drought and hydrological drought in the Hanjiang River Basin and to quantify the impact
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650

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652

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657

658 **Code availability** The calculation code used in this article is written by the author or co-author.

659

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667

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669

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