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Material- and size-selective separation mechanism of micro particles in frequency-modulated dielectrophoretic particle chromatography

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ABSTRACT

Separation of (biological) particles ($\ll 10 \mu\text{m}$) according to size or other properties is an ongoing challenge in a variety of technical relevant fields. Dielectrophoresis is one method to separate particles according to a diversity of properties, and within the last decades a pool of dielectrophoretic separation techniques has been developed. However, many of them either suffer selectivity or throughput. We use simulation and experiments to investigate retention mechanisms in a novel DEP scheme, namely, frequency-modulated DEP. Results from experiments and simulation show a good agreement for the separation of binary PS particles mixtures with respect to size and more importantly, for the challenging task of separating equally sized microparticles according to surface functionalization alone. The separation with respect to size was performed using $2 \mu\text{m}$ and $3 \mu\text{m}$ sized particles, whereas separation with respect to surface functionalization was performed with $2 \mu\text{m}$ particles. The results from this study can be used to solve challenging separation tasks, for example to separate particles with distributed properties.

1 Introduction

Separation of particles from each other is important in a wide variety of areas. For example, it is required in electronic waste recycling to recover valuable metals¹⁻³, to enrich desired minerals in the mining sector^{4,5}, to detect circulating cancer cells⁶, in waste water treatment⁵, and many other fields. For large particles ($\gg 10 \mu\text{m}$), inertia- or gravity-driven processes are one option to achieve a classification with respect to density or particle size. Since both, gravity and inertia scale with particle mass, their influence decreases with decreasing particle size and becomes negligible when particles reach nanometre scale [7, Chap. 2 and 4]. In this range, other forces (e.g. electrostatic, van-der-Waals interaction or Brownian motion) can dominate the particle behaviour. Thus, to separate micro or sub-micron particles, other approaches become attractive. We like to note that many biological separation tasks⁸⁻¹¹ or valuable dust fractions² are within this size range. For such particle sizes, (gel-)electrophoresis^{12,13}, field-flow-fractionation (FFF)¹⁴, or size-exclusion chromatography¹⁵ are some common methods. Dielectrophoresis (DEP) is a versatile technique that is not only capable of addressing micro and sub-micron particles^{16,17}, it also offers the potential to be scaled up¹⁸. Further, DEP can be used to manipulate both biological^{9,19,20} and non-biological particles^{21,22}.

DEP describes the movement that rises when a suspended polarizable particle is placed into an inhomogeneous electric field. The dielectrophoretic force \mathbf{F}_{DEP} acting on a spherical particle is commonly approximated as¹⁶

$$\mathbf{F}_{\text{DEP}} = 2\pi r_p^3 \epsilon_m \text{Re}(CM) \nabla |\mathbf{E}|^2, \quad (1)$$

with r_p , the particle radius, the vector of the electric field \mathbf{E} (rms) and the permittivity of the surrounding medium ϵ_m . $\text{Re}(CM)$ is the real part of the so-called Clausius-Mossotti factor, which incorporates the frequency-dependent polarization of the particle and the medium. Using the complex permittivity $\tilde{\epsilon}$ it can be calculated for homogeneous spherical particles as

$$\text{Re}(CM) = \text{Re} \left(\frac{\tilde{\epsilon}_p - \tilde{\epsilon}_m}{\tilde{\epsilon}_p + 2\tilde{\epsilon}_m} \right), \quad (2)$$

with $\tilde{\epsilon} = \epsilon_0 \epsilon_r - i \frac{\sigma}{\omega}$, where σ is the conductivity, ϵ_0 the vacuum permittivity and $\omega = 2\pi f$ represents the angular frequency of the applied electric field. This factor ranges between -0.5 and 1 and determines the movement direction of the particle: When

21 $\text{Re}(CM) > 0$, particles experience positive dielectrophoresis (pDEP) and move towards local field maxima, when $\text{Re}(CM) < 0$,
22 particles experience negative dielectrophoresis (nDEP) and are repelled from field maxima. The frequency where $\text{Re}(CM)$
23 equals zero is called crossover frequency. At this frequency, the particles do not experience a dielectrophoretic force. Due to
24 its dependency on field frequency and medium properties, $\text{Re}(CM)$ can change its value or sign during an experiment, which
25 can result in a movement direction change of target particles. The net conductivity of a microparticle of non-conducting bulk
26 material in an electrolyte suspension can be calculated as^{16,23}

$$\sigma_p = \sigma_{\text{bulk}} + \frac{2K_s}{r_p}. \quad (3)$$

27 The conductivity is composed of the bulk material conductivity, σ_{bulk} , and a part caused by the intrinsic double layer that forms
28 around suspended particles. The surface conductance K_s comes from the ions in the electric double layer of the particle and can
29 increase the overall conductivity¹⁶. As a consequence, even particles with negligible bulk conductivity, such as the polystyrene
30 (PS) particles used in this work, can show pDEP.

31 Equations (1), (2) and (3) show that the dielectrophoretic motion depends on material (e.g. conductivity and permittivity),
32 process parameters (e.g. medium conductivity, field strength and frequency) and size. The diversity of influencing variables
33 provides the opportunity to address different separation tasks. Depending on the process design, even specific multidimensional
34 tasks could be solved in one set-up. Simultaneously, DEP-based separation requires careful design to enable a functioning
35 separation processes.

36 In its 50 years of existence, many different techniques and designs have been established to perform a dielectrophoretic
37 separation of particles. One way to categorize the existing DEP techniques is whether a continuous or a chromatographic
38 separation is performed. Whereas continuous separation methods often focus on spatial separation or selective trapping^{17,24-26},
39 chromatographic methods are usually batch or semi-batch processes and result in particle type-dependent residence times in
40 a separator. They are a promising approach to achieving separation of high purity or adjustability^{27,28}. In this work, we use
41 experiments and simulation to gain further insight into the retention mechanisms of a chromatographic separation based on a
42 frequency-modulation method.

43 Dielectrophoretic particle chromatography (DPC) was introduced by Washizu et al.⁹ in 1992 and has been used since^{8,27-31}.
44 A prominent example is the isolation of tumor cells from blood by Shim et al.¹⁹. DPC exploits different polarizabilities of target
45 particles for separation. For example, a specific particle type shows pDEP ($\text{Re}(CM) > 0$) and gets reversibly trapped in the
46 separation column, whereas other particles show no DEP or nDEP and are consequently eluted from the column. By changing
47 the frequency, the formerly trapped particles in the channel can be levitated by nDEP, resulting in their subsequent elution. Some
48 approaches vary the frequency as a function of time, to separate different cell types from one another^{8,19} or achieve a separation
49 with respect to size^{32,33}. As Yang et al.²⁸ also pointed out, sweeping the frequency can be used to compensate distributed cell
50 properties and consequently achieve more homogeneous retention times in dielectrophoretic field-flow fractionation (FFF) by
51 also reducing particle adhesion at field maxima at the same time. The above mentioned studies show the potential of varying
52 the frequency in DPC. Additionally, Aldaeus et al.²⁷ numerically showed the benefit of multiple trap-and-release cycles in
53 DPC. In a previous publication, we demonstrated the capabilities of a design that combined multiple trap-and-release cycles
54 with the advantage of changing the frequency³³. The chromatographic separation allows to address particle mixtures with only
55 small dielectric differences or distributed particle properties. Additionally, since all particles elute from the same outlet, the
56 design of the device is simple and easy to scale.

57 The functionality of such an approach is explained in detail in section 4.1. Briefly, a number of particles is injected once
58 into a flow chamber and transported across an interdigitated electrode array by a carrier flow with a laminar flow profile (figure
59 1 A,B). The electrode array generates an inhomogeneous electric field and thus a DEP force on the particles. The frequency of
60 the applied field is continuously modulated between two values. For each particle in an arbitrary particle mixture, three general
61 responses are possible: Particles experience mainly pDEP in the modulation spectrum and are drawn towards the field maxima
62 found at the electrode edges. Due to their interaction with the field maxima and the low fluid velocity close to the walls of the
63 channel, they experience a retardation in the channel (as discussed below) and elute later than they would without field. The
64 difference in elution time depends on the applied voltage and frequency range. Particles that, in contrast, experience mainly
65 nDEP in the modulation spectrum interact with the field minima found at the channel ceiling and also elute later. Particles that
66 experience a balanced pDEP/nDEP response in the modulation spectrum are neither drawn to the ceiling nor bottom of the
67 channel and thus experience almost no retardation. They elute almost at the same time as they would without applied field.
68 Thus, this technique allows to separate a particle mixture that is injected intermittently (or once) at the inlet (figure 1 E); as long
69 as the different particles show differences in their crossover frequency.

70 In our previous publication³³, we demonstrated how the approach can be used to separate polystyrene microparticles based
71 on size. Both design and drawn conclusions were based mostly on observations without detailed numerical calculations of the
72 underlying physics. In this study, we will simulate the size-selective separation of polystyrene particles and verify the simulation

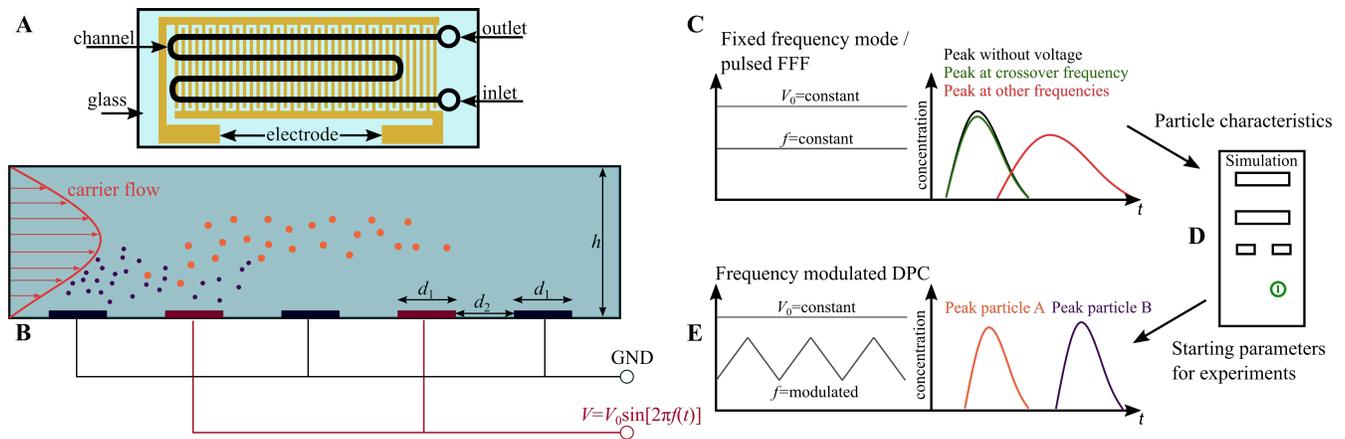


Figure 1. A) Top view of the microfluidic device (sketch). B) The microfluidic separation column (side view, height $h = 80\ \mu\text{m}$ and electrode width/spacing $d_1/d_2 = 100\ \mu\text{m}$) is continuously flushed with a carrier fluid. Once per experiment a particle suspension is injected. The device is used for two different types of experiment. I) The crossover frequency of particles is determined using field-flow fractionation (FFF) at a fixed frequency f by comparing the elution profiles with and without applied voltage (V_0) (panel C). The obtained particle characteristics were used as input parameters for a full-scale simulation model realized in COMSOL Multiphysics to find suitable process parameters (panel D). II) Eventually, the set of process parameters is used as starting point for experiments to achieve a chromatographic separation by using frequency-modulated ($f = f(t)$) dielectrophoretic particle chromatography (DPC) (panel E).

73 results against experiments. We will further capitalize on the simulation to predict parameters for separating polystyrene
 74 particles of equal size based only on their surface conductance. Finally, we will compare the simulated and experimental results
 75 of this separation. According to equation (3), the conductivity and thus crossover frequency of polystyrene particles depends
 76 on their size and the yet unknown surface conductance K_s . Thus, to perform a simulation we need to determine the crossover
 77 frequency and the particle's K_s -value. To do this, we use a fixed-frequency method (figure 1 C), which is explained in detail in
 78 section 4.2: Here, the frequency is kept constant per particle injection (but is changed between experiments) and the particle
 79 residence time is observed as a function of applied frequency. When the applied voltage is chosen careful, particles will either
 80 be retarded by positive or negative DEP, or, in case the applied frequency closely matches the crossover frequency, particles
 81 will not be retarded. Thus, by comparing the elution time as a function of frequency and comparing it against the elution time
 82 without superimposed electric field, it is straight-forward to determine the crossover frequency. The determined K_s -value can be
 83 adjusted slightly to improve the match between experiments and simulation. To summarize our approach:

- 84 i. Find the crossover frequency and K_s of the particles by performing fixed-frequency experiments (figure 1 C).
- 85 ii.a. Use the obtained K_s -value to determine suitable frequency ranges and perform frequency-modulated DPC experiments to
 86 separate particles by size (figure 1 E).
- 87 ii.b. Simulate the particles movement and compare the elution profiles of the experiment and the simulation. Apply moderate
 88 changes to the simulation (e.g. simulated particle polarizability) to increase match with experiment (figure 1 D).
- 89 iii Use simulation to design a different separation task:
 - 90 iii.a. Find crossover frequency of polystyrene particles with different surface functionalization but same size.
 - 91 iii.b. Input crossover frequency into the simulation to find suitable center frequencies for separation in the experiment.
 - 92 iii.c. Perform the separation experimentally with optimized parameters from iii.b.

93 2 Results and discussion

94 We first determine the surface conductance for the size-selective separation using fixed-frequency experiments (figure 1 C).
 95 Based on these results, we perform DPC experiments using the frequency-modulation technique (figure 1 E). We will then
 96 perform simulations, using the same process parameters, to see how the simulation matches the experiments. Finally, we use
 97 the simulation to find process parameters to separate a binary mixture of particles according to their surface modification.

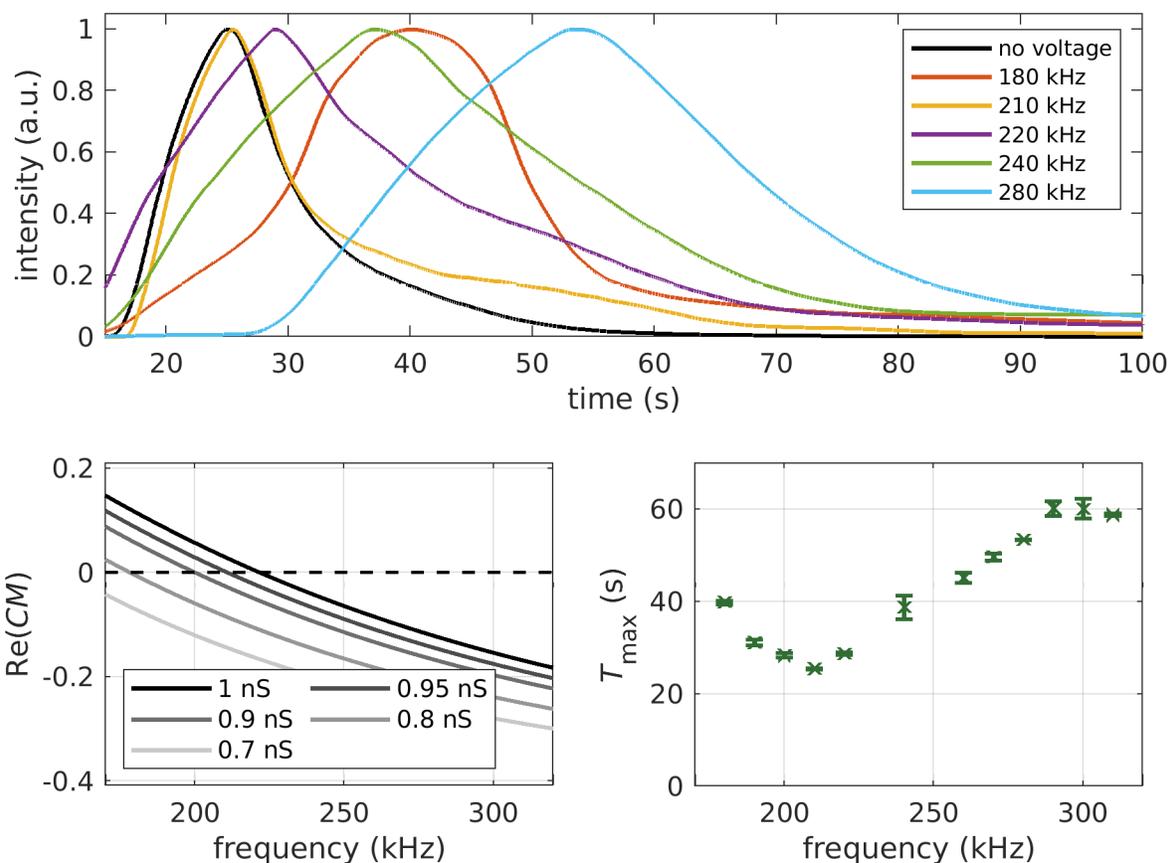


Figure 2. Top: Residence time distributions at $120 V_{pp}$ for different applied frequencies in fixed-frequency dielectrophoretic particle chromatography. Bottom left: Calculated values of the real part of the Clausius-Mossotti equation for different surface conductances ($d_p = 3.1 \mu\text{m}$ PS particles without surface functionalization in a $2 \mu\text{S cm}^{-1}$ suspension). The dashed line represents $\text{Re}(CM) = 0$. Bottom right: Maximum of the residence time distributions at $120 V_{pp}$ for all measured frequencies (extracted from the elution profiles). Experiments were repeated 3 times.

98 2.1 Size-selective separation

99 Two monodisperse PS particle suspensions with diameters of 3.1 μm and 2.12 μm without an additional surface functionalization
 100 were selected for generating experimental data to compare with the simulation. Since the surface conductance is an important
 101 yet unknown characteristic of the particles, it was measured using fixed-frequency field-flow fractionation (see section 4.2).
 102 Choosing the right voltage for the experiments is important, as a too high voltage would cause immobilization and too low
 103 voltage would result in only slightly differences in the residence time distribution. For the larger particles a voltage of 120 V_{pp}
 104 was selected. The smaller particles required a higher voltage of 160 V_{pp} , since the DEP force scales with particle volume.
 105 Frequencies between 180 kHz and 310 kHz were tested. Figure 2 shows the concentration profiles at the outlet for 3.1 μm
 106 particles at different frequencies. At 210 kHz, the residence time is minimal and the concentration profile almost matches the
 107 profile without any applied voltage, indicating that the cross-over frequency is close to 210 kHz. At both, lower and higher
 108 frequencies, the concentration profiles are shifted towards longer times (i.e., particles elute later and are retarded to either
 109 nDEP in case of higher frequencies, or pDEP in case of lower frequencies). Combining the results with the real part of the
 110 Clausius-Mossotti factor (equations (2) and (3) and figure 2), we calculate a surface conductance of $K_s = 0.95 \text{ nS}$, which is in
 111 good accordance with the literature value of 1 nS^{16,23,34}. The results for the 2.12 μm particles (SI figure 1) show a minimum in
 112 residence time around 290 kHz. Since the dielectrophoretic mobility for smaller particles is lower, the crossover is less clear.
 113 Nevertheless, knowing the crossover is close to this value, a surface conductance of 0.9 nS was assumed for further steps.

114 Now that we know K_s and crossover frequency of both particles, we can select suitable frequency ranges for separation.
 115 Three frequency ranges were chosen. Two center frequencies f_c of the modulation spectrum ($f_c = 210 \text{ kHz}$ and $f_c = 280 \text{ kHz}$)
 116 were selected because these frequencies are close to the respective crossover of the two particles. A third frequency was chosen
 117 in between (245 kHz). The bandwidth of 240 kHz in combination with a modulation frequency of 300 mHz were kept constant,
 118 because we know from previous experiments that these parameters allow a separation³³. Both parameters are constant for all
 119 conducted experiments within this work. The selection of frequency windows allows to test the three predicted behaviours of
 120 the particle in the channel (see section 4.1 and table 1). The spectrum centred at 210 kHz should produce no or only small
 121 retardation for the larger 3.1 μm particles, since they experience a balanced pDEP and nDEP force. In contrast to this, the
 122 movement of the 2.12 μm particles should be dominated by pDEP, resulting in a retardation. At 280 kHz, we expect an increase
 123 in residence time for the larger 3.1 μm particles as they are retarded due to an nDEP dominated response. The smaller 2.12 μm
 124 particles experience balanced DEP and show no retardation. In the third spectrum (center frequency 245 kHz), which produces
 125 pDEP for the small and nDEP for the bigger particles, only poor separation is expected, as now both particles are retarded.

126 Figure 3 shows experimental and simulated chromatography results of the size-selective separation of 2.12 μm and 3.1 μm
 127 particles. As an example, the bottom row shows both experimental and simulated elution profiles at 160 V_{pp} and at the three
 128 different centre frequencies. From these profiles, we can extract the separation resolution R_s (see section 4.3 for a definition
 129 of R_s and top row for the results) as well as the maxima in the respective peaks (middle rows). For the experiments, the best
 130 resolution at all voltages is achieved at $f_c = 210 \text{ kHz}$ (top left). In this setting, the larger particles experience balanced pDEP
 131 and nDEP, thus almost no retardation, and are consequently eluted only about two seconds later than without an applied voltage
 132 (without applied voltage $T_{\text{max}} = 26.91 \text{ s} \pm 0.28 \text{ s}$). The 2.12 μm particles, which experience more pDEP than nDEP, instead
 133 show a significant retardation. With increasing voltage, T_{max} of the 2.12 μm particles and thus the experimentally determined
 134 R_s increase. In contrast, at $f_c = 280 \text{ kHz}$ (middle panel), the 2.12 μm particles now experience balanced pDEP and nDEP
 135 behavior and are eluted much earlier than the larger particles, which now experience an nDEP dominated movement. The
 136 T_{max} of the 3.1 μm particles and thus R_s here also increase with voltage, but the resolution is generally lower compared to
 137 $f_c = 210 \text{ kHz}$. At $f_c = 245 \text{ kHz}$, as expected, we observe only small retardation for both particle types, which consequently
 138 leads to a low experimental resolution among all voltages. These experiments show the three different types of particle
 139 movement in frequency modulated DPC. The set of experiments validates the theory stated before and is used for comparing
 140 chromatograms of experiment and simulation.

141 To achieve a good agreement in the residence time distributions between the experiments and our simulations, we applied
 142 slight empirical corrections to the surface conductance (see below). Especially maxima of the residence time distributions (T_{max})
 143 agree quite well across all frequencies and voltages. For the 3.1 μm particles we applied an offset of 0.05 nS to the surface

centre frequency	2.12 μm particles	3.1 μm particles
210 kHz	pDEP dominated	balanced
245 kHz	pDEP dominated	nDEP dominated
280 kHz	balanced	nDEP dominated

Table 1. Anticipated particle behaviour in the DPC experiments based on their crossover frequency. The 2.12 μm particles show their crossover at 290 kHz and the 3.1 μm particles at 210 kHz.

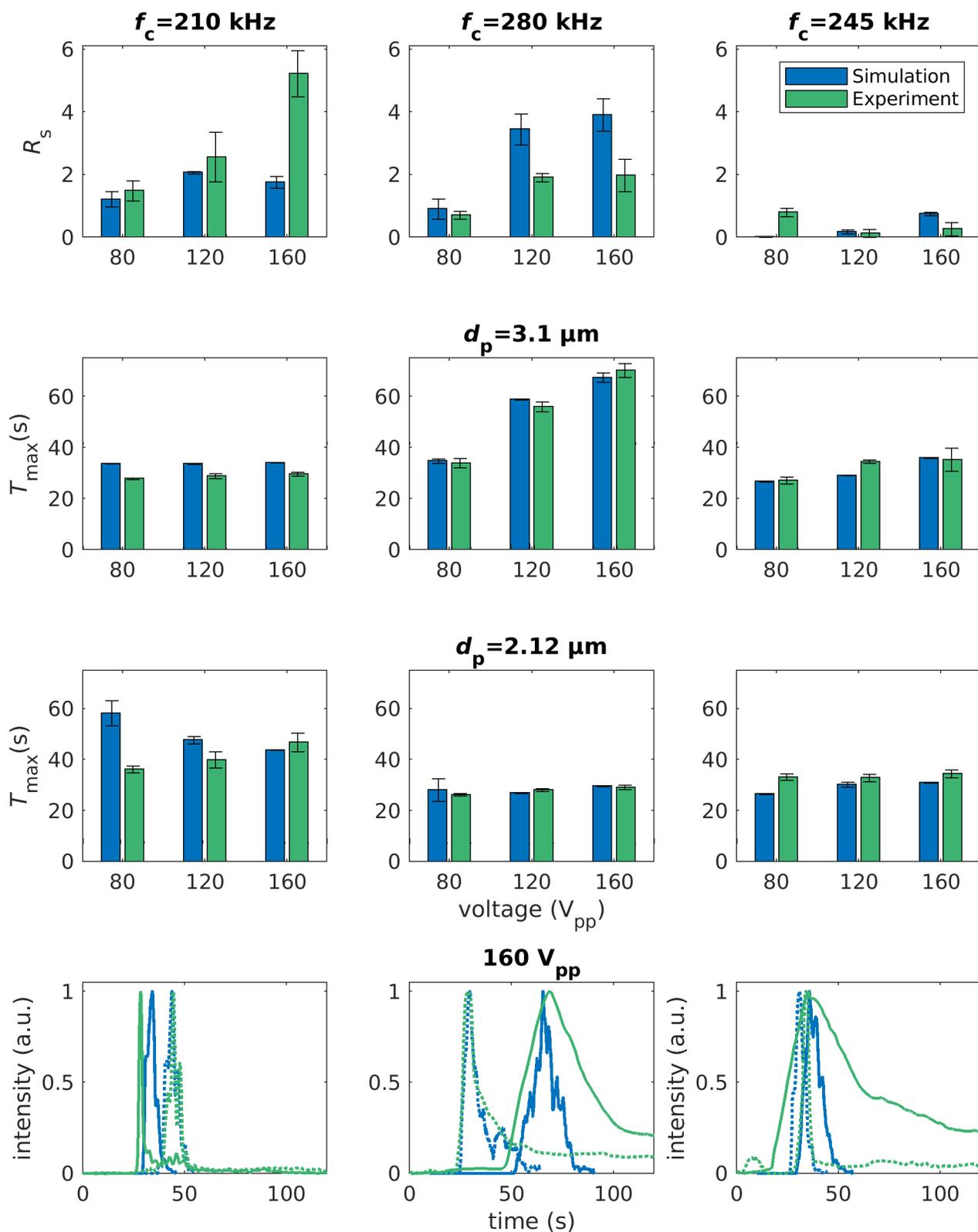


Figure 3. Top: Resolution and standard deviation of experimental and simulated frequency-modulated chromatography experiments at 80, 120 and 160 V_{pp} for three different modulation spectra. Surface conductance of the 2.12 μm and 3.1 μm particles are simulated with 0.775 nS and 1 nS, respectively. Middle two rows: Corresponding maxima of the residence time distributions and standard deviations for 3.1 μm and 2.12 μm particles. Bottom: Residence time distributions of experiment (green) and simulation (blue) for 3.1 μm (solid line) and 2.12 μm (dashed line) particles at different centre frequencies (210, 280 & 245 kHz) and 160 V_{pp} . Simulations and experiments were repeated 5 times to check for statistical validity.

144 conductance (experimentally determined $K_s = 0.95$ nS vs. simulated $K_s = 1$ nS), which is within the uncertainty of the method
145 to determine the surface conductance. To the smaller particles we applied an offset of -0.125 nS, resulting in a simulated
146 K_s -value of 0.775 nS vs. 0.9 nS found in the experiment. Since this would correspond with a crossover frequency of about
147 250 kHz, this can not be explained with the uncertainty of the surface conductance alone. Lowering the surface conductance
148 in our simulation equals reducing the time the smaller particles spend adhered to the wall, resulting in a faster elution of the
149 particles, which was observed experimentally. This is because they show predominately pDEP and by lowering the simulated
150 conductivity of the particles, they show nDEP for longer periods of time. As the fixed-frequency experiments already suggest,
151 not all particles adhere to the wall at fixed frequency, even when a frequency different from the crossover frequency is applied.
152 Transferring this observation to the simulation, this means that the trapping of the particles at the wall is not as permanent as
153 predicted by the simulation. One explanation is that particles hop from one trapping location to another. (Electro-)thermal fluid
154 movement and less unspecific adhesion in combination with the strong DEP force close to the electrodes might be the reason
155 behind this behaviour. Additionally, Adams et al.³⁵ showed, that the sweeping rate (frequency change per time) can affect the
156 polarization of microspheres significantly, which may contribute to the observed effects. As the larger particles only need a
157 small correction and are exhibiting the same sweeping rate, this may not be the major reason behind the observed behaviour.
158 To account for the experimentally observed behaviour in the simulation, we chose to adjust the surface conductance of the
159 particles to reduce the time particles spend adhering to the wall. However, for a deeper insight more experiments have to be
160 conducted in the future.

161 Interestingly, at $f_c = 210$ kHz, the simulated T_{\max} of the 2.12 μm particles decreases with voltage. Due to higher voltages,
162 the 2.12 μm particles can travel larger distances away from the electrode array, reach regions with higher fluid velocity (parabolic
163 flow profile) and can consequently cover more distance per frequency cycle. This results in a faster simulated elution. The
164 significantly lower predicted R_s compared to the experiments is a combination due to diverging T_{\max} of both particles in
165 comparison to the experiments and broader peaks (higher FWHM, SI figure 5) in the simulation. Further, at $f_c = 280$ kHz, the
166 simulation predicts significantly higher resolutions compared to the experimentally determined R_s . T_{\max} for both particle types
167 match quite well across all voltages, leaving the width of the residence time distribution as diverging parameter (equation 4).
168 Generally, a high resolution is achieved by a large time between the maxima of two peaks in combination with a small peak
169 width. Consequently, the reason for the disagreement concerning the R_s between experiment and simulation is the stronger
170 peak broadening in the experiments. As soon as the 3.1 μm particles experience retardation due to their nDEP dominated
171 behaviour, their peaks begin to broaden (SI figure 4 and 5). This peak broadening of the 3.1 μm particles can also be seen in the
172 elution profiles (figure 3, bottom row, middle and right panel, solid green line). In the simulation the particles behaviour is not
173 as inhomogeneous as in the experiments, resulting in narrower peaks. When the larger particles are showing predominantly
174 negative dielectrophoresis, they migrate close to the ceiling of the channel. The electric field is here much lower compared to
175 the bottom, which is the location of the electrode array. In combination with the lower dielectrophoretic force ($\mathbf{F}_{\text{DEP}} \propto \nabla|\mathbf{E}|^2$)
176 this leads to inhomogeneous retention times, as now other effects such as unspecific particle-wall interactions or other fluid
177 movements (e.g. electrothermal, buoyancy, AC electro-osmosis, hydrodynamic lift) can influence the particle movement.
178 Additionally, as the DEP force decreases, particles travel shorter distances orthogonally to the wall due to DEP and consequently,
179 cover less distance per frequency cycle. This could be compensated by adjusting the distribution with which the particles are
180 released from the wall (see section 4.4). Without detailed insight into the reasons behind these interactions, however, this seems
181 like an arbitrary fit within the model. For achieving rapid separation with high resolution, consequently, pDEP dominated
182 behaviour seems favourable according to the experiments.

183 Overall, the simulation gives valuable insight into the particle behaviour and trajectories in the channel and is able to
184 support the process design. Additionally, it can be used to study the impact of side effects, since the simulation is able to isolate
185 the movement due to drag, gravitation and dielectrophoresis and therefore a significant divergence between experiment and
186 simulation suggests the presence of side effects.

187 2.2 Material-selective separation

188 In this section, we will demonstrate the separation of polystyrene particles of almost equal size based on their surface
189 functionalization. Firstly, we determine the crossover frequency using fixed-frequency experiments. Then, we input the
190 crossover frequency into the simulation to find ideal separation parameters. Finally, we will use these parameters to separate
191 the particles efficiently in an experiment. The separation was conducted using the already characterized 2.12 μm PS particles
192 without surface functionalization (plain) and 2 μm carboxy functionalized PS particles (COOH).

193 The fixed-frequency experiments (see SI figure 1) suggest a crossover close to 210 kHz for the carboxylated particles,
194 resulting in a surface conductance of $K_s = 0.6$ nS, significantly lower compared to K_s of the plain particles ($K_s = 0.9$ nS). The
195 voltage for separating these two particle types was fixed at $160 V_{\text{pp}}$ because this voltage showed the highest separation
196 efficiency before. Modulation frequency (300 mHz) and bandwidth (240 kHz) remain unchanged. Since no training data for
197 the carboxy modified particles was available before the experiments, they were simulated with the experimentally determined

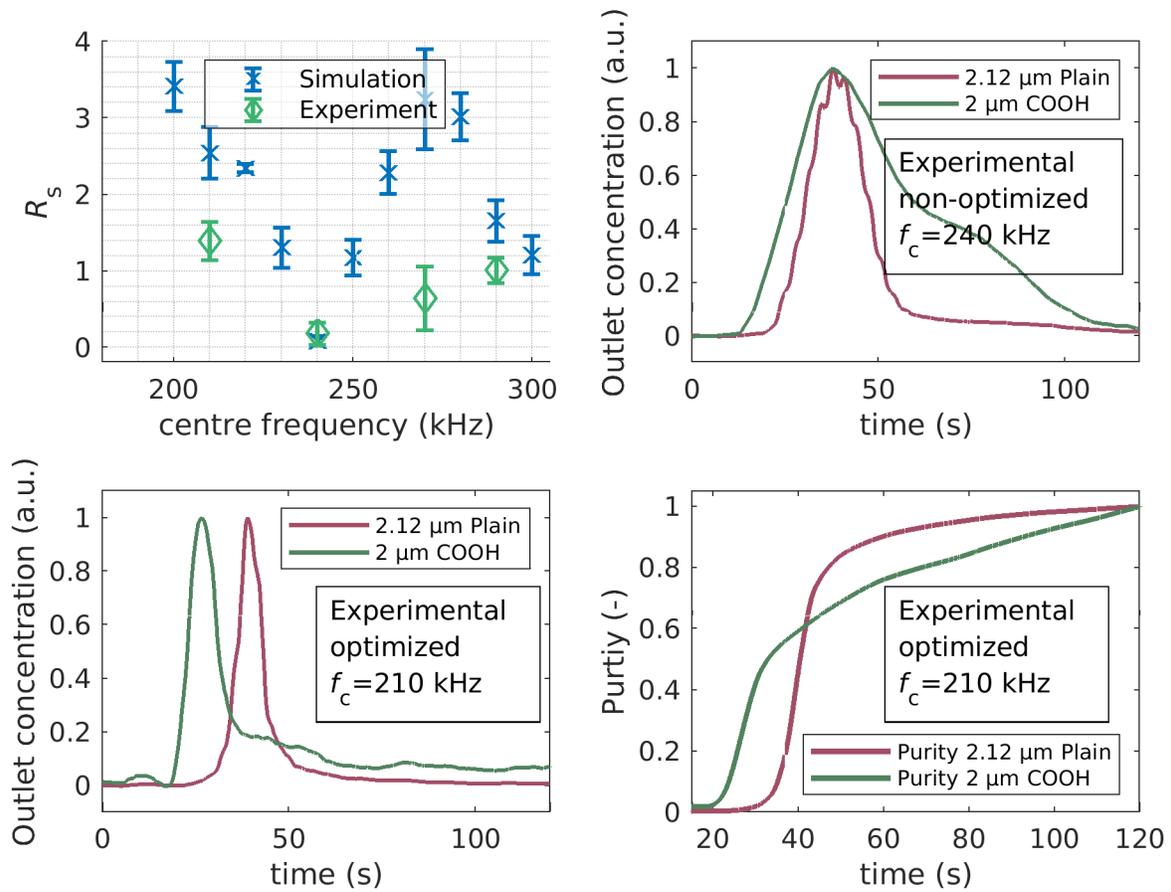


Figure 4. Top left: Simulated (blue) and experimentally determined (green) resolution R_s at different center frequencies (f_c). Simulations were repeated 5 times. Top right: Chromatogram of a non-optimized separation of 2 μm carboxy functionalized and 2.12 μm plain PS particles at $f_c = 240$ kHz. Bottom left: Chromatogram of an optimized separation of 2 μm carboxy functionalized and 2.12 μm plain PS particles at $f_c = 210$ kHz. Bottom right: Purity as a function of time at $f_c = 210$ kHz.

198 surface conductance ($K_s = 0.6 \text{ nS}$). Compared to the plain $2.12 \mu\text{m}$ particles, the surface conductance is lower. Therefore, the
199 carboxy particles are expected to show balanced pDEP and nDEP movement or, with increasing center frequency, an nDEP
200 dominated behaviour similar to the $3.1 \mu\text{m}$ plain particles at center frequencies between 200 kHz and 300 kHz. Although
201 the crossover frequency of the carboxy $2 \mu\text{m}$ and plain $3.1 \mu\text{m}$ particles are comparable, the mobility deviates significantly
202 ($F_{\text{DEP}} \propto d_p^3$) leading to a more challenging separation task.

203 The simulated resolutions (figure 4) show a first maximum at the lowest simulated center frequency. In this setting the
204 carboxy particles show an almost balanced pDEP and nDEP movement and are therefore eluted first, while the better polarizable
205 plain particles experience retardation due to pDEP. With increasing centre frequency the resolution reduces significantly as
206 now both types of particles experience balanced pDEP/nDEP behaviour and thus, only small retardation. The minimum is
207 reached at 240 kHz. Afterwards the resolution increases again, leading to a second peak at 270 kHz center frequency at which
208 the plain particles experience a balanced pDEP/nDEP behaviour in contrast to the carboxylated particles which are now slowed
209 down due to showing predominantly nDEP. At even higher centre frequency, both particles show predominantly nDEP and the
210 resolution is again low. Compared to the separation with respect to size (figure 3), the resolution is generally lower, which is
211 expected because the magnitude of the DEP force depends less strongly on surface functionalization than on size.

212 Experiments then were conducted for four different sets of frequencies to test the separation in the experiment. The selected
213 center frequencies were 210, 240, 270 and 290 kHz. The best experimental separation resolution was achieved at a center
214 frequency of 210 kHz with a value of $R_s = 1.39 \pm 0.25$. This is lower compared to the simulation but the setting allows a
215 chromatographic separation as predicted by the simulation (figure 4, bottom row). The simulation suggested a minimum of the
216 separation efficiency at a centre frequency of 240 kHz. At this frequency an experimental separation was also not possible,
217 resulting in a resolution of $R_s = 0.18 \pm 0.15$ (figure 4, top right). To check whether with increasing frequency the resolution
218 increases again, higher centre frequencies were tested. At a centre frequency of 270 kHz a resolution of $R_s = 0.64 \pm 0.42$
219 was measured, whereas 290 kHz as the centre of the modulation spectrum resulted in an increase of the resolution up to
220 $R_s = 1.02 \pm 0.17$. Similar to the value at 210 kHz these values are below the simulative predicted ones, but the experiments do
221 mirror the trends provided by the simulation.

222 In addition to the elution peaks, the purity (equation 5) is also plotted in figure 4. This provides another parameter besides
223 retention time and resolution. Before a relevant amount of plain particles elute from the channel about 60 % of the carboxylated
224 particles are crossing the measurement area. Furthermore, over 80 % of the plain particles are eluted within a few seconds,
225 which is crucial for a good separation.

226 3 Conclusion

227 To conclude, we have used simulation and experiments to demonstrate three different particle behaviors in frequency-modulated
228 chromatography, i.e., retardation due to nDEP or pDEP-dominated behavior or a balanced behavior leading to no retardation.
229 We have firstly addressed size-selective separation of two different PS particles to investigate the particle retention mechanisms.
230 Here, the simulation model supported our previous hypothesis. We then addressed the more challenging material-selective
231 separation of particles of equal size to show the power of the simulation method: We used the simulation to find suitable
232 operating parameters which allow a separation of two equally sized $2 \mu\text{m}$ PS particles with different surface functionalization.
233 In the future, our simulation model can be used as a valuable tool to design operating schemes capable of addressing more
234 complex separation tasks, for example shape sensitivity or heterogeneous samples, or to study how a reduction of the applied
235 voltage would be possible for handling sensitive samples such as cells. The simulation does not always match the experiments
236 exactly, which could only be achieved using extensive fitting considering the complex trap and release cycles. Nevertheless, the
237 simulation can be used to perform design optimizations or to perform extensive parametric studies without the requirement to
238 invest time and money on equipment and particles.

239 4 Methods

240 The principle behind the fixed frequency and frequency modulated experiments were presented in section 1 for readability of
241 the manuscript. However, experimental and simulative details are presented in the following.

242 4.1 Functionality of frequency-modulated DPC

243 The suspended particles are injected into the channel and transported further by a carrier flow. During the experiments the
244 particles are carried over an electrode array (figure 1 A,B) and consequently subjected to an electric field. In this method, to
245 generate trap and release or deceleration and acceleration cycles, the frequency of the electric field is not kept constant but
246 modulated. In contrast to techniques published before, to the best of the authors knowledge, in this technique a modulation
247 spectrum is chosen that generates pDEP and nDEP for all suspended particles during short periodic cycles rather than trapping
248 one species first and releasing it after a different species was eluted from the channel. Therefore, the method does not depend

249 on strongly diverging polarizability of the particle mixtures for separation. Instead, it can be used to resolve minute or even
250 overlapping distributions of the polarizability of particles. Using this approach a fast chromatographic separation can be
251 achieved³³.

252 Modulating a sinusoidal voltage by a triangular function results in periodic changes of the frequency between two values
253 (figure 1 E). The centre of this frequency range is called centre frequency (f_c). During the modulation, particles may show
254 pDEP in one part of the frequency range and nDEP in another one. Consequently, three different particle behaviours can be
255 distinguished, as long as the crossover frequency is between the maximum and the minimum value of the modulation spectrum.
256 Firstly, when particle shows more pDEP than nDEP in the applied frequency range, they tend to migrate towards the field
257 maxima, which are located at the bottom of the channel at the electrode edges. Since in the channel a parabolic velocity profile
258 is present due to the low Reynolds number, particles close to the wall are significantly slowed down either by low fluid velocity
259 or by trapping. Consequently, their residence time in the channel is increased. Secondly, when a particle shows a balanced
260 pDEP/nDEP response, the particles spend less time in low velocity regions due to the constant movement orthogonal to the
261 fluid flow and therefore are only retarded minimal. Finally, when a particle predominately shows nDEP it migrates towards the
262 ceiling of the channel and is slowed down there. Since the electric field gradients are smaller at the ceiling, trapping becomes
263 more unlikely and migration velocities due to DEP are lower.

264 4.2 Determination of crossover frequency

265 As presented above, the direction dielectrophoretic movement is, among other things, influenced by the particles conductivity
266 and its size. However, the conductivity of the particles is unknown and needs to be evaluated prior to the DPC experiments or
267 simulations. In this work, model PS particles are used. Since their material conductivity is negligible, the surface conductance
268 has a significant impact on the polarization (equation 3). At suitable medium conductivities, PS particles are known to show
269 positive dielectrophoresis at low frequencies due to the surface conductance and negative dielectrophoresis at high frequencies
270 since the permittivity is much smaller compared to the surrounding medium^{17,23}.

271 In the literature, multiple ways are presented to determine the dielectric properties and consequently the crossover frequency
272 or vice versa. For example, the crossover can be measured by observing the particle movement when subjected to various
273 frequencies^{23,36} or using electrorotation³⁴. Even commercial and label-free systems are available by now which provide a rapid
274 analysis of the frequency response of (biological) particles³⁷.

275 An approach compatible with the DPC set-up was proposed by Sano et al.²⁹. They stated that in dielectrophoretic particle
276 chromatography a particle passes through a channel when the polarisation is negligible. This is valid for particles that are
277 subjected to an electric field with a frequency which is close to the crossover frequency of the suspended particle and other
278 effects such as hydrodynamic lift and gravitation are negligible. Gasconye et al.^{19,30} used a similar approach in batch-mode
279 DEP field-flow fractionation and made it applicable for deformable particles.

280 By testing differed frequencies subsequently, the crossover frequency can be approximated by comparing the elution profiles
281 of fixed-frequency DPC experiments to elution peaks where no voltage is applied (figure 1 C).

282 4.3 The device

283 The microfluidic device has been described in detail in a previous publication³³. Briefly, the $h = 80 \mu\text{m}$ high microfluidic
284 channel is made out of PDMS, has a width of 2 mm and a length of about 17 cm. The channel is bonded to an electrode array
285 using PDMS as a thin intermediate layer, which also is meant to reduce particle adhesion to the electrodes. The electrodes have
286 a width and a spacing of 100 μm and are connected to a single channel amplifier (A400, Pendulum Instruments, Sweden) which
287 provides a constant amplification factor over a large bandwidth. The signal is generated by a signal generator (Rigol DG4062,
288 Rigol Technologies EU GmbH, Puchheim, Germany) and controlled using a digital oscilloscope (Rigol DS2072A, Rigol
289 Technologies EU GmbH, Puchheim, Germany). The particles were observed at the outlet using a Nikon TS2R-FL inverted
290 fluorescence microscope (Nikon Instruments Europe BV, Amsterdam, The Netherlands), a white light source (XCite 120 PC,
291 Excelitas Technologies Corp., USA), a triple-bandpass (DAPI/FITC/TRITC) and a USB RGB camera (GS3-U3-51S5C-C,
292 FLIR Systems Inc., USA). Resident time distributions were obtained by segmenting and processing the video files from the
293 experiments with MATLAB.

294 The particles were purchased from Polysciences, Inc. (USA) and suspended prior to the experiments in the medium. The
295 suspension in all experiments has a conductivity of $2 \mu\text{S cm}^{-1}$. To produce the medium per 100 ml pure water (OmniaTap 6
296 UV/UF, stakpure GmbH, Germany), we add 2 ml of 1 % Tween 20 and 3 μL of 0.01 M KOH to adjust the pH value. Further,
297 KCL was added to adjust the conductivity to a value of $2 \mu\text{S cm}^{-1}$. The volume flow in all experiments was 5 mL h^{-1} and the
298 injection was conducted at $t = 10 \text{ s}$ by opening a manual 4 way valve (H&S V-101D, IDEX Health & Science, USA) for two
299 seconds. The flow was generated by two syringe pumps (Legato 200 & 270, KD Scientific Inc., USA).

300 To quantify the outcome of the separation we use the resolution R_s , which can be defined as

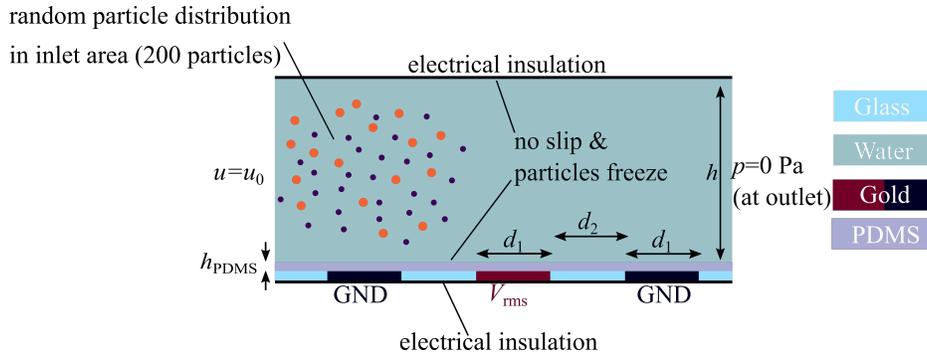


Figure 5. Important boundary conditions and materials of the simulative model. Important parameters: height of the channel $h = 80 \mu\text{m}$, width d_1 and spacing d_2 of the electrodes is $100 \mu\text{m}$. Inlet velocity 1 m s^{-1} and insulation thickness $h_{\text{PDMS}} = 1.75 \mu\text{m}$. At the inlet 200 particles were randomly distributed in a $1.5 \text{ cm} \times 60 \mu\text{m}$ area being $10 \mu\text{m}$ away from bottom and ceiling.

$$R_s = \frac{\Delta T_{\text{max}}}{\frac{1}{2}(w_1 + w_2)}, \quad (4)$$

301 with T_{max} being the maximum of the residence time distributions and w_x the full width at half maximum (FWHM). In
 302 addition to the resolution the purity of each fraction can be used to describe the outcome of an separation, which here is defined
 303 as

$$\frac{\sum_0^t I_x(t)}{\sum_0^{t=120\text{s}} I_x(t)}, \quad (5)$$

304 by using t as time and $I_x(t)$ as fluorescence intensity at time t . This sum is normalized on its maximum cumulated intensity
 305 and therefore always reaching 1 at the end of the experiment ($t = 120 \text{ s}$).

306 4.4 Simulation model

307 To investigate the particle movement and to isolate effects, we build a simulation model using COMSOL Multiphysics linked to
 308 MATLAB. Boundary conditions are necessary, which were selected in accordance with the literature^{18,38} and are shown in
 309 figure 5.

310 To compare experimental and simulative retention times of the particles quantitatively, a two-dimensional full scale model
 311 was chosen as basis for the simulation. Within the model three different sections, the static electric and velocity fields, particle
 312 tracing, and the MATLAB-COMSOL interaction, can be distinguished. The laminar flow ($Re \ll 1$) profile is calculated using
 313 the Stoke's approximation for low Reynolds numbers. The inlet velocity can be obtained by dividing the volume flow by
 314 the area of the microchannel. As outlet boundary condition a constant pressure (0 Pa) is used. In combination with a no slip
 315 condition at ceiling and bottom a parabolic flow profile is calculated.

316 The electric field in experiment and simulation is generated by an electrode array. In these arrays an electrode with a
 317 applied potential of V_{RMS} is neighboured by two electrodes on GND (0 V) potential (figure 5). A thin PDMS layer is placed
 318 on top of the electrodes. The thickness has not been determined experimentally but is significantly below $3 \mu\text{m}$ according to
 319 literature³⁹. It has been used as a fitting factor and the best match between experiment and simulation was achieved when using
 320 $h_{\text{PDMS}} = 1.75 \mu\text{m}$. Placing PDMS as dielectric material on top of the electrode array generates a high-pass filter. The effect was
 321 simulated and implemented into to the model (SI figure 3). Coupling of fluid field and electric field was not added, since the
 322 experiments were conducted at low medium conductivity and sufficiently high frequencies. The first point does reduce the effect
 323 of electrothermal movement (heat loss density = $\sigma_m \mathbf{E}^2$) whereas the latter suppresses the influence of AC electroosmosis^{40,41}.

324 However, in microfluidics unspecific adhesion, (electro-)thermal flow, hydrodynamic lift, particle-particle interactions
 325 and/or electrokinetic phenomena can play an important role, but are hard to quantify and therefore to implement. As a result,
 326 experimental training data was used to get a good match by adjusting some parameters of the simulation in a reasonable range.
 327 The adjusted parameters were PDMS isolation thickness and the surface conductance (chapter 2.1) as well as the particle
 328 release offset (see below).

329 The second part of the simulation is the particle movement description. Particles are experiencing positive and negative
 330 dielectrophoresis, viscous drag, and gravitation. All particles are assumed to be massless, which is reasonable given their small
 331 stopping distance, to reduce the computational effort. Additionally, as soon as particles reach the ceiling or bottom they are

332 assumed to be trapped, which is not always true in reality. Once particles are trapped in the simulation they stay at their location.
333 This is not valid for a DPC experiment, which leads to the third part of the model which is formed by the COMSOL-MATLAB
334 interaction.

335 Using MATLAB, the movement of the particles through the channel is divided into multiple parts. In the experiment
336 the valve to inject the particles is opened for two seconds. In this time period, particles are entering the channel at different
337 heights (y -positions) and times. Due to the constant flow they are at different (x -)positions along the channel. Consequently to
338 reproduce this kind of peak in the simulation, particles are initialized in an area rather than on one point or line. For this purpose
339 we added an inlet area of 1.5 cm in front of the simulated channel where no electrodes are existent and $n = 200$ particles per
340 type are randomly placed at the beginning in a range of heights between $10\ \mu\text{m}$ and $70\ \mu\text{m}$.

341 After the particles are released they experience dielectrophoresis and may eventually reach a boundary where they freeze.
342 Consequently, at sufficient high voltages no particles would exit the channel in the simulation. To overcome this issue, a
343 MATLAB script checks $\text{Re}(CM)$ for changes of its sign and stops the simulation as the value reaches zero. At this point the
344 model checks for particles adhering to the wall and repositions them up to $10\ \mu\text{m}$ orthogonal to the wall into the channel.
345 The extend of the manipulation of the particles position is randomly chosen between $0\ \mu\text{m}$ and $10\ \mu\text{m}$ to incorporate the
346 inhomogeneous nature of particle-wall interactions, which effectively can lead to broader, less pronounced elution peaks.
347 Particle positions are logged to calculate residence time distributions. Since the model contains random components multiple
348 runs are necessary to check for statistical validity (SI figure 6).

349 Data availability

350 The datasets generated during and/or analyzed during the current study are available from the corresponding author on
351 reasonable request.

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445 **Author contributions statement**

446 J.G., M.B., J.T. and G.R.P. conceived the experiments and simulations, J.G. conducted the experiments and simulations, J.G.,
447 M.B., J.T., L.W. and G.R.P. analyzed the results. J.G. wrote the manuscript with input from all other authors. All authors
448 reviewed the manuscript.

449 **Additional information**

450 The authors declare no conflict of interest.

Figures

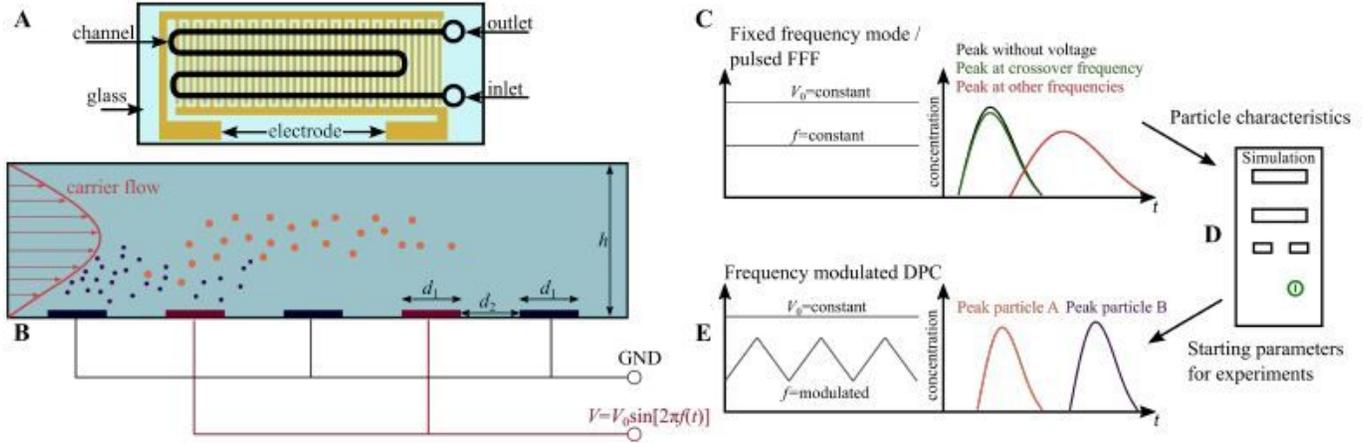


Figure 1

A) Top view of the microfluidic device (sketch). B) The microfluidic separation column (side view, height $h = 80\mu\text{m}$ and electrode width/spacing $d_1 = d_2 = 100\mu\text{m}$) is continuously flushed with a carrier fluid. Once per experiment a particle suspension is injected. The device is used for two different types of experiment. I) The crossover frequency of particles is determined using field-flow fractionation (FFF) at a fixed frequency f by comparing the elution profiles with and without applied voltage (V_0) (panel C). The obtained particle characteristics were used as input parameters for a full-scale simulation model realized in COMSOL Multiphysics to find suitable process parameters (panel D). II) Eventually, the set of process parameters is used as starting point for experiments to achieve a chromatographic separation by using frequency-modulated ($f = f(t)$) dielectrophoretic particle chromatography (DPC) (panel E).

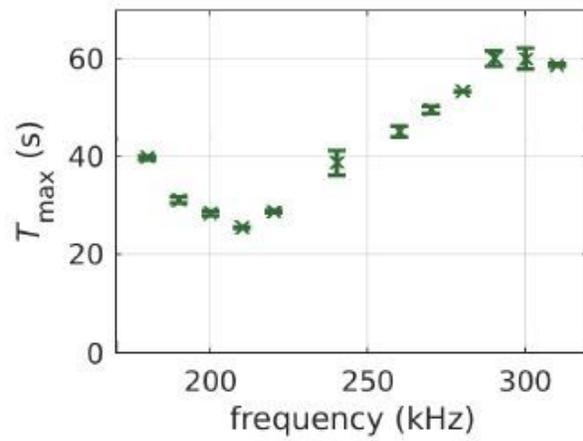
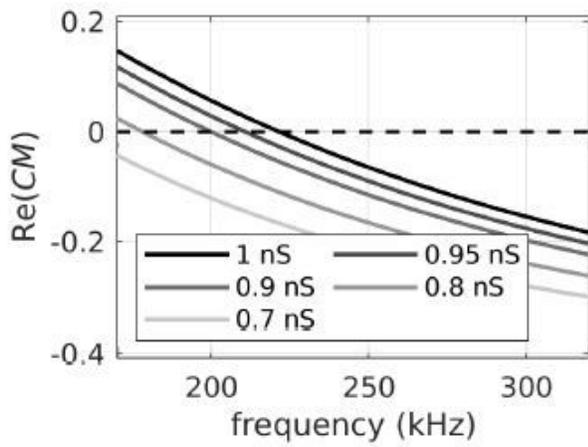
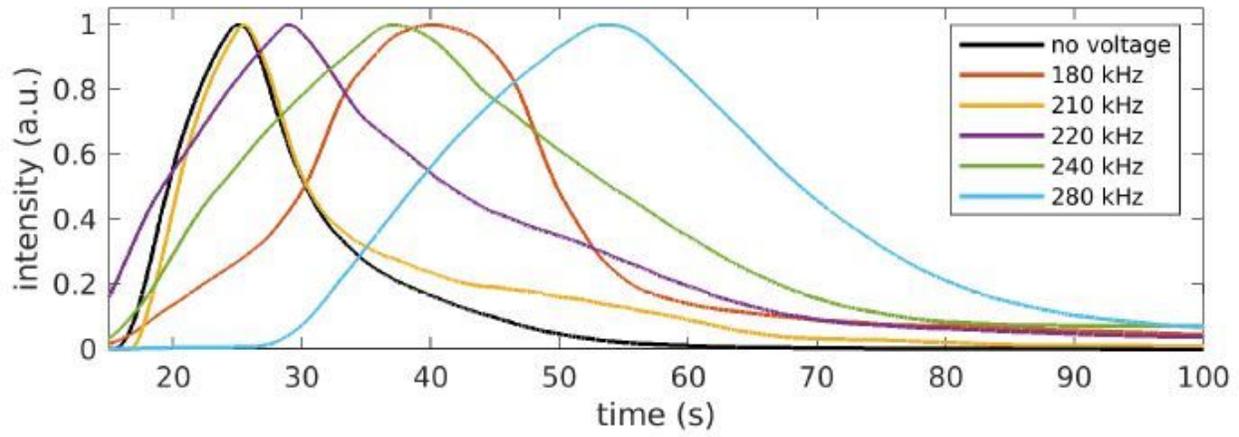


Figure 2

please see the manuscript file for the full caption

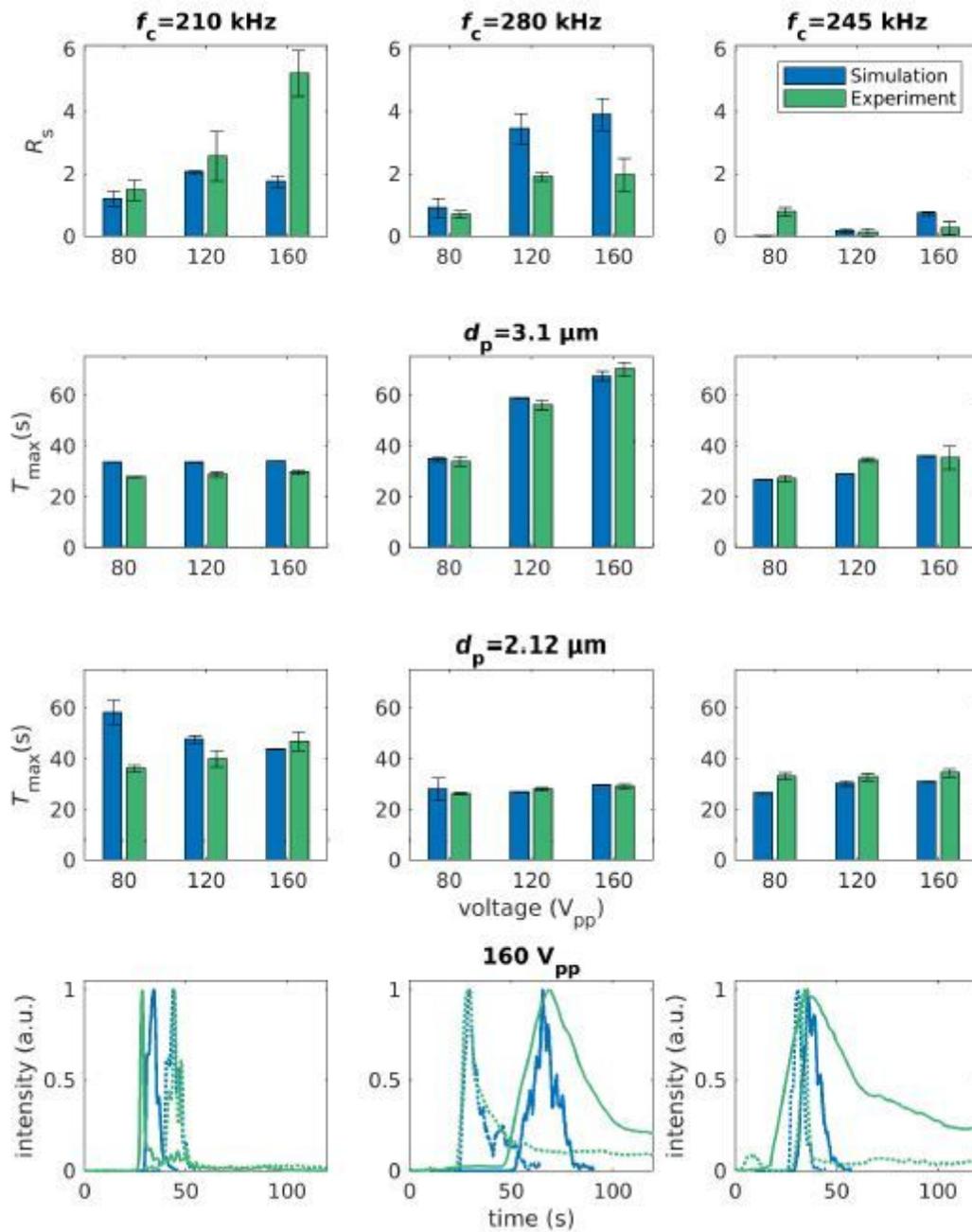


Figure 3

Top: Resolution and standard deviation of experimental and simulated frequency-modulated chromatography experiments at 80;120 and 160Vpp for three different modulation spectra. Surface conductance of the 2:12 μm and 3:1 μm particles are simulated with 0.775 nS and 1 nS, respectively. Middle two rows: Corresponding maxima of the residence time distributions and standard deviations for 3:1 μm and 2:12 μm particles. Bottom: Residence time distributions of experiment (green) and simulation (blue) for 3:1 μm (solid line) and 2:12 μm (dashed line) particles at different centre frequencies (210, 280 & 245 kHz) and 160Vpp. Simulations and experiments were repeated 5 times to check for statistical validity.

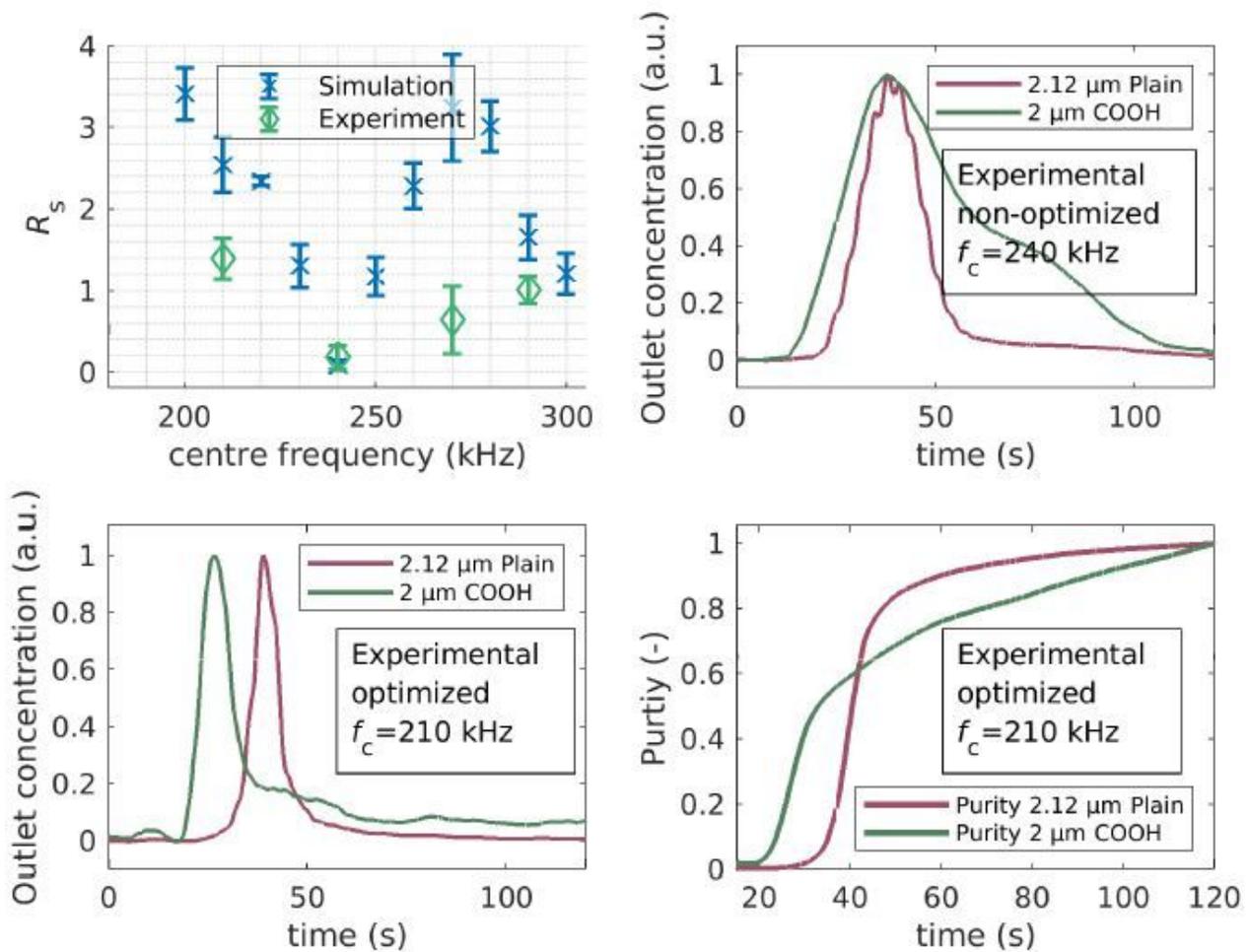


Figure 4

Top left: Simulated (blue) and experimentally determined (green) resolution R_s at different center frequencies (f_c). Simulations were repeated 5 times. Top right: Chromatogram of a non-optimized separation of 2 μm carboxy functionalized and 2:12 μm plain PS particles at a $f_c = 240$ kHz. Bottom left: Chromatogram of an optimized separation of 2 μm carboxy functionalized and 2:12 μm plain PS particles at $f_c = 210$ kHz. Bottom right: Purity as a function of time at $f_c = 210$ kHz.

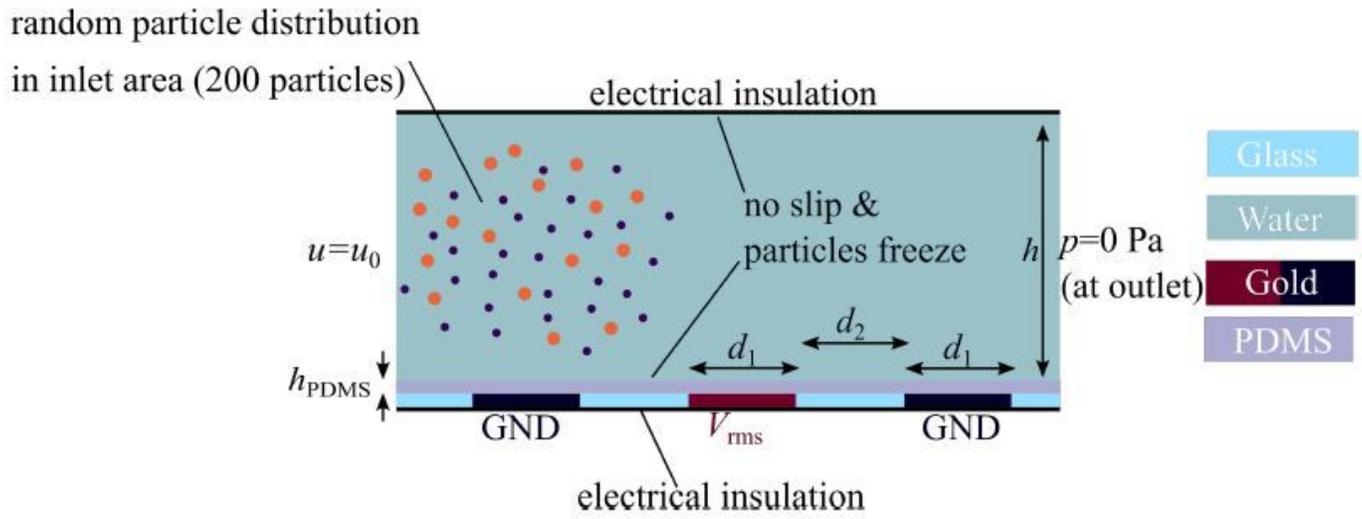


Figure 5

please see the manuscript file for the full caption

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