

# Listening to ultrasound from plants reveals xylem vessel anatomy

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## Article

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4 **Satadal Dutta<sup>1\*</sup>, Elias Kaiser<sup>2</sup>, Priscila M. Matamoros<sup>2</sup>, Peter G. Steeneken<sup>1</sup>, and Gerard J. Verbiest<sup>1\*</sup>**

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8 **Plants emit ultrasound pulses under drought stress, which originate in their water-carrying xylem vessels,  
9 and can be recorded externally. We demonstrate that these ultrasound pulses consist of superposed  
10 damped oscillations at plant-specific frequencies in the range of 10 – 150 kHz, that are correlated to xylem  
11 dimensions. We present a method to relate geometrical and viscoelastic properties of xylem vessels with  
12 the time- and frequency-domain characteristics of the observed oscillations. We apply the method to  
13 ultrasound pulses from drying shoots of three vascular dicot plant species. The extracted parameters are  
14 validated with destructive measurements of xylem vessel radii, wall thickness, length of xylem vessel  
15 elements, and the elastic modulus of the vascular bundle by optical and scanning cryo-electron  
16 microscopy and tensile loading. Our method demonstrates the potential for non-invasive and continuous  
17 monitoring of plant vascular anatomy. We foresee applications in high-throughput phenotyping and early  
18 detection of vascular wilt diseases.**

19  
20 Plant hydraulics, the study of water transport in plants, is vital to our understanding of plant function and stress resilience<sup>1,2</sup>.  
21 In vascular plants, the xylem is responsible for water and nutrient transport from the roots to the leaves<sup>3</sup>. Transpiration  
22 through leaves results in a tensile force on the water-column, which, combined with the strong cohesion of water molecules,  
23 results in ascent of water from the roots to the leaves<sup>4</sup>. During drought or strong transpiration rate, the tension in the water  
24 column increases rapidly. Beyond a critical tension, the stress is released by the formation of vapour or gas-bubbles<sup>4-6</sup> in the  
25 xylem. The bubble formation results in a sudden release of the elastic energy stored in the water column, a fraction of which  
26 is converted to a sound pulse<sup>7</sup>. The rate at which such pulses are emitted has been used as a marker of a plant's response and  
27 vulnerability to drought-stress<sup>8-11</sup>. The time- and frequency-domain features of these ultrasound pulses, measured directly  
28 from plant shoots, were shown in a recent study<sup>12</sup>. Yet, the physical origin and relevance of the observed damped oscillations  
29 in these acoustic pulses<sup>13-16</sup> has remained elusive.

30 Xylem vessels resemble cylindrical tubes with fused ends<sup>3</sup>. These tubes consist of several xylem vessel elements that are  
31 separated by perforation plates. Diameters of these vessels range from ~ 1  $\mu\text{m}$  in small herbs to ~100  $\mu\text{m}$  in woody trees, and  
32 their lengths range from ~100  $\mu\text{m}$  to ~10 cm<sup>17-19</sup>, across the plant kingdom. The viscoelastic walls of xylem cells are  
33 composed of an interwoven matrix of cellulose, hemicellulose, pectin and lignin fibres, which can have a wide range of  
34 elastic moduli depending on their relative composition<sup>20</sup> and the water content<sup>21,22</sup>. The elasticity of macroscopic segments of  
35 plant stems can be measured via various mechanical loading techniques<sup>23,24</sup>, which are invasive. Existing techniques to  
36 measure xylem dimensions, such as paint-injection, X-ray micro-CT, and optical and electron microscopy<sup>25-28</sup>, are also  
37 destructive and time-consuming.

38 Here we present a physical model that links the dimensions and (visco-)elasticity of xylem vessels, to measured ultrasound  
39 pulses. We compare information about the radius, length, and viscoelasticity of xylem vessels obtained by analysing  
40 ultrasound pulses, to that gained by independent destructive techniques on *Hydrangea quercifolia*. In addition, the correlation  
41 between viscous damping in the ultrasound pulses and the vessel radius distribution is further elucidated by experiments on  
42 *Hydrangea macrophylla*., and *Solanum lycopersicum*. Lastly, via pulsed ultrasound spectroscopy with an external sound  
43 source, we show that acoustic resonances in the vascular tissue can be artificially excited, the characteristics of which agree  
44 with those excited naturally during drought-stress.

## 45 46 **Results**

47 **Ultrasound waveforms.** We first examined the waveforms of ultrasound pulses that were emitted by drying plant shoots. A  
48 total of three specimens, samples A, B, and C, were taken from three *H. quercifolia* plants (Methods). Ultrasound pulses were  
49 recorded with a broadband ultrasound microphone placed along the axial and radial direction of the stem (**Fig. 1a**). The  
50 microphone recorded the time series of the ultrasound emissions starting ~ 5 minutes into the drying process (**Fig. 1b**;  
51 Methods), where time  $t = 0$  s corresponds to the start of the recording. The pulses occurred sporadically and with varying

52 amplitudes. We observed that the time-domain waveforms of these pulses resembled damped oscillations, both when  
53 recorded along the axial and in the radial directions (**Figs. 1c and 1d**). The pulse amplitude in time-domain decayed  
54 exponentially with a  $1/e$  time constant  $\tau_s$ : the settling time (Methods). For stem sample A, we extracted  $\tau_s = 28.8 \pm 6.4 \mu\text{s}$   
55 (mean  $\pm$  s.d.), for the pulses recorded in the axial direction. The corresponding value of  $\tau_s$  for the radially recorded pulses was  
56  $41.7 \pm 12.4 \mu\text{s}$ , which was statistically similar. The  $\tau_s$  for the many individually measured axial and radial sound pulses of all  
57 the three stem samples A, B, and C are shown in **Supplementary Figs. 1 – 3**, respectively. The determined settling times of  
58 samples B and C agreed with those of sample A. All pulses died out within  $\sim 0.3$  ms, in agreement with reported work<sup>7</sup>.  
59 Based on this observation, we hypothesize that the damped oscillations are generated by resonant vibrations within the xylem  
60 vessels. In the following paragraphs, the settling times and characteristic frequencies of ultrasound pulse waveforms were  
61 interpreted to estimate xylem vessel dimensions and elasticity (**Fig. 1e**).

62 **Xylem vessel radius.** In order to explain the origin of the observed ultrasound waveforms and to use them to extract  
63 information about the plant's microstructure, we develop a model relating the micromechanics of the xylem to the waveform  
64 of the generated ultrasound. We hypothesize that the damped oscillations are identical to those of an organ pipe filled with  
65 water<sup>29</sup>. The bubble formation excites axial standing waves in the sap (water), whose resonance frequencies depend on the  
66 longitudinal speed of sound in the pipe  $v_{\text{eff}}$ , and the xylem vessel element length  $L$  (Methods). We modelled the xylem vessel  
67 as a resonant cylindrical pipe containing a series network of vessel elements of length  $L$ , which are bounded by scalariform  
68 perforation plates<sup>3, 25</sup> (**Fig. 2a**). The perforation plates serve as non-ideal (leaky) reflecting surfaces at the termination of a  
69 vessel element for the pressure waves. The sound waves propagate along the length of the xylem vessel, and are likely to  
70 dominate the recorded ultrasound. These waves undergo damping, primarily due to the dynamic viscosity of sap (water)  $\eta$  in  
71 the xylem, which dominates the settling time  $\tau_s$ . The resonating element can be described using a linear second order  
72 resonator model consisting of lumped acoustic inductance, capacitance and resistance (Methods). Using this acoustic model,  
73 we expressed the effective xylem radius in terms of the measured settling time using **equation (8)** (Methods), where  $\rho_l$  is the  
74 mass density of the sap (water). In this model,  $R$  can be calculated independently of length  $L$ , from the settling time of the  
75 measured time-domain waveform. The histograms of xylem radii  $R$  were extracted from the axially recorded ultrasound  
76 pulses, and also from optical micrographs of the stem samples (**Figs. 2d, Supplementary Fig. 4**). The mean ( $\pm$  s.d.) acoustic  
77  $R$  for sample A was  $9.93 \pm 1.6 \mu\text{m}$ . Similar values were obtained for stem samples B and C (**Supplementary Fig. 4**) Using  
78 optical micrographs of latex-paint stained transverse cross-sections of the stem sample A (**Fig. 2b**), we observed the vessel  
79 radius  $R = 11.9 \pm 2.6 \mu\text{m}$  (**Fig. 2d and Table 1**). These values were confirmed with scanning electron cryo-microscopy (**Fig.**  
80 **2c**). Thus, the calculated  $R$ , using the ultrasound analysis, agrees with that observed by optical and scanning electron  
81 microscopy.

82 We further validated our method using other plant species, namely *H. macrophylla* and *S. lycopersicum* (**Figs. 2e – 2j**). The  
83 mean  $\tau_s$  for *H. Macrophylla* and *S. lycopersicum* were  $26.4 \pm 7.0 \mu\text{s}$  and  $116 \pm 85.0 \mu\text{s}$ , respectively. Histograms and mean  $R$   
84 derived from direct measurements (**Figs. 2g, 2h**), were in good agreement (**Figs. 2i, 2j**). The relatively larger  $\tau_s$  for *S.*  
85 *lycopersicum* was in agreement with its wider mean vessel radius ( $20.4 \pm 7.1 \mu\text{m}$ ), compared to that of *H. macrophylla*  
86 ( $10.9 \pm 2.4 \mu\text{m}$ ). The corresponding vessel radii, obtained with the acoustic model, were  $9.6 \pm 1.2 \mu\text{m}$  and  $20.5 \pm 8.6 \mu\text{m}$  for the  
87 two species, respectively. The ultrasound methodology was thus validated for multiple plant species, showing the link  
88 between the vessel radii, and the settling time of the ultrasound pulses.

89 **Xylem vessel (element) length and Young's modulus.** To estimate the length  $L$  of the xylem vessel element, we analysed  
90 the frequencies in the ultrasound pulses. The resonance frequencies  $f_L$  are integer multiples of the ratio  $v_{\text{eff}} / L$  (Methods). We  
91 found that the Fourier spectra of representative ultrasound pulses (recorded axially) exhibited characteristic peak frequencies  
92 (**Fig. 3a**). The peak frequency with the largest amplitude,  $f_{p(\text{axial})}$  for sample A was  $34 \pm 5$  kHz. In addition, peaks close to  
93 integral multiples of  $f_{p(\text{axial})}$  were observed (**Supplementary Table 1**). Analysis of pulses from samples B and C showed  
94 similar trends (**Supplementary Fig. 5**). Similar data were observed in the pulses recorded in the radial direction of the stem  
95 samples (**Fig. 3b, Supplementary Fig. 5, Supplementary Table 2**).

96 The resonance frequency  $f_L$  was calculated from  $f_{p(\text{axial})}$  (**equation (6)**; Methods). Note that the two values differ due to the  
97 high damping (small  $\tau_s$ ) in the sound pulse. To extract  $L$  from the resonance frequencies, we need the vessel wall thickness  $h$   
98 and the Young's modulus of elasticity  $E$  (**equation (9)**; Methods). We found  $h$  to be  $\sim 1 \mu\text{m}$  via scanning electron cryo-  
99 microscopy (**Fig. 2c**, Methods). We determined  $E$  of stem segments cut from the same plant, and from shoots similar in age  
100 and size. For this, we measured the stress-strain curves via uniaxial tensile loading (**Fig. 3c**, Methods). The mean mass  
101 density per stem segment was also estimated from the measured weights and dimensions. The linear slope of the stress-strain  
102 curve (**Fig. 3c**) at small values of strain ( $\approx 10^{-4}$ ) yields the value of  $E$ , which was extracted to be  $0.2 \pm 0.1$  GPa for fresh  
103 (hydrated) stem samples (**Fig. 3d**). For dry stem samples,  $E > 0.6$  GPa were obtained. We observed an overall decline in  $E$   
104 with increasing mass density. This indicates that the water-content dominates the variations in  $E$ . This agrees with an earlier  
105 empirical model<sup>21</sup>, where the dependence of  $E$  on the relative water content in the xylem is taken into account.

106 We calculated  $L$  using  $h \approx 1 \mu\text{m}$  and  $E = 0.2 \pm 0.1$  GPa (**equation (9)**; Methods). The histogram of  $L$  was extracted from the  
107 axially recorded ultrasound pulses for stem samples. For sample A,  $L$  was  $0.99 \pm 0.08$  mm under a unimodal Gaussian fit  
108 (**Fig. 3e**). Similar values were obtained for samples B and C (**Supplementary Fig. 5**). This highlights the reproducibility of  
109 our method and the similarity of the recorded ultrasound pulses in the axial direction.

110 We validated the assumption that  $L$  represents the actual length of xylem vessel element. First, we extracted the mean xylem  
111 vessel length (a vessel contains several vessel elements) using latex paint staining<sup>27</sup>, by counting the number of stained  
112 vessels on transverse cross-sections of the stem (Methods). These counts decrease exponentially with the distance<sup>30</sup> from the  
113 lower end of the stem at which the paint was taken up (Supplementary Fig. 6). The mean xylem vessel lengths were found  
114 to be in the range  $\sim 12 - 17$  mm for the three stem samples. The xylem vessel length is thus much larger than the  $L$  extracted  
115 from the ultrasound pulses ( $\sim 1$  mm, Fig. 3e). This is because the Latex paint cannot penetrate the fused ends, but can pass  
116 through the perforation plates between adjacent vessel elements<sup>30</sup>. Next, we observed individual vessel elements in  
117 longitudinal sections of stem samples using scanning electron cryo-microscopy (Fig. 3f). The observed length ranged from  
118 0.5 to 0.9 mm for individual xylem vessel elements (Fig. 3g, Table 1). Thus  $L$ , as obtained from our acoustic model, is a  
119 good estimate of the length of individual vessel elements.

120

121 **Ultrasound pulsed transmission spectroscopy of xylem vessels.** We further elucidated the observed link between the  
122 characteristics of drought-induced ultrasound pulses and the xylem vessel anatomy by artificially exciting ultrasonic  
123 resonances in the vascular tissue of a stem. A piezo-transducer transmits an acoustic pulse when excited electrically  
124 (Methods). This pulse was applied such that it propagated through a stem segment of *H. macrophylla* along either the axial or  
125 the radial direction, and was subsequently detected by the broad-band microphone (Fig. 4a). Figures 4b and 4c show the  
126 time-domain and frequency-domain waveform of the ultrasound pulse detected axially, while Figs. 4d and 4e show the same  
127 for the pulse detected radially. The ultrasound pulse exhibited an envelope settling time of 36.3  $\mu$ s, which was in close  
128 agreement with that obtained from the drought-induced ultrasound pulses ( $26.4 \pm 7.0$   $\mu$ s). In both axial and radial directions,  
129 characteristic frequencies were observed in the Fourier spectra, which match those observed in drought-induced pulses. This  
130 could enable the use of acoustic excitation as a technique for non-invasive monitoring of vascular geometry and moisture-  
131 dependent elasticity.

132

## 133 Discussion

134 Our results have shown how ultrasound emissions from drought-stressed plant stems can be used to extract and monitor the  
135 geometry and viscoelasticity of xylem vessels. In this section, we first interpret our results further and discuss the  
136 applicability of our method to monitor the vascular physiology of plants. We end the section by commenting on its potential  
137 in non-invasive plant health monitoring.

138 **Xylem radius ( $R$ ).** We have shown that by modelling the xylem vessel as cylindrical acoustic resonator, the radius  $R$  can be  
139 extracted from the settling time of the ultrasound pulse, resulting in comparable values as those obtained from common  
140 microscopy techniques. Using *Hydrangea* and *Solanum* as example plant species with relatively narrow and wide vessel radii  
141 respectively, we validated the dependency of  $\tau_s$  on  $R$ . Optically determined xylem vessel radii were slightly bigger ( $\sim 2$   $\mu$ m)  
142 than the acoustically determined radii (Figs. 2d, 2i, 2j, Supplementary Fig. 4). We attribute this to the assumption of a  
143 constant dynamic viscosity of xylem sap  $\eta_l$ . In practice,  $\eta_l$  depends on ambient temperature, and concentration of dissolved  
144 nutrients<sup>31</sup>. Moreover, water close to the sap-wall interface is held with adhesive forces, and thus has a slightly higher  
145 dynamic viscosity<sup>32</sup>. As a corollary to our analysis, if the distribution of  $R$  is known directly from optical microscopy, one  
146 can evaluate the effective kinematic viscosity ( $\eta_l/\rho_l$ ) of the xylem sap. Note that the solid walls of the xylem vessels also  
147 possess shear or extensional viscosity<sup>33</sup>. This means that elastic forces arise in them as a response to elongation, compression  
148 or shear stresses. Shear viscosity is a property of solids to resist a change in deformation (shear rate). This additional  
149 viscosity likely sets an upper bound on  $\tau_s$  and  $R$ , beyond which the agreement between optical and acoustic radii likely  
150 deteriorates.

151 **Xylem vessel element length ( $L$ ) and Young's modulus ( $E$ ).** The xylem vessel element length  $L$ , extracted from the  
152 ultrasound pulses (Fig. 3e) consistently exceeded the physical length (via SEM; Fig. 3g) by  $\sim 0.3$  mm ( $\sim 30$  %). We attribute  
153 this to two factors. Firstly, the perforation plates serve as non-rigid and leaky boundaries (not accounted for in the model),  
154 due to which the standing waves penetrate beyond the physical length of a single vessel element. Secondly, the uniaxial  
155 tensile loading measurements that we performed (Fig. 3c, 3d) on stems provide an overestimation of the xylem Young's  
156 modulus. This is due to the presence of stiffer Sclerenchyma and Collenchyma tissue<sup>34</sup>, with Young's moduli exceeding  $\sim 1$   
157 GPa<sup>35</sup>, close to the circumference of the stem. Hence, as a corollary to our analysis, instead of fixing the Young's modulus,  
158 one can alternatively fix the xylem vessel element length via microscopy. Xylem cells differentiate very early during the  
159 growth of a plant<sup>36</sup>, subsequently growing to their maximum lengths before maturing (dying)<sup>37</sup> to become hydraulically  
160 active vessel elements. Thus, once the vessel element length is determined via microscopy techniques for a given plant, the  
161 Young's modulus can then be continuously and non-invasively monitored to diagnose variations in water-content<sup>38</sup>, ageing,  
162 or even pathogen-induced occlusions within the xylem<sup>39,40</sup>.

163 **Relationship between  $L$  and  $R$ .** Our method of analysing ultrasound emissions enabled us to generate a set of length versus  
164 radius data for xylem vessel elements within a given stem segment. We observed that in a single plant (*H. quercifolia*),  $L$  scales  
165 as  $R^{0.74}$  (Fig. 5). Basic fluid and structural mechanics can help us in predicting an upper bound on  $L$ - $R$  dependency. In plants of

166 height within ~1 m, transpiration pull is the governing force of ascent of water through xylem vessels, which creates a gradient  
167 in the hydrostatic pressure along the vascular column. With a constant volume flow rate of water through the series-connected  
168 vessel elements (continuity), the pressure-drop along a length  $L$  can be obtained from the Darcy-Weisbach equation<sup>41</sup>  
169 (Methods). Further, a vessel element can withstand a maximum pressure drop to avoid rupture (Methods)<sup>42</sup>. This critical  
170 pressure is also a function of both  $L$  and  $R$ . Combining the two dependencies, we can derive that  $L_{\text{crit}} \propto R^{1.25}$ , where Young's  
171 modulus and wall thickness are assumed to be constants. This reasoning gives us an upper-bound on the scaling exponent from  
172 a purely mechanical viewpoint.

173 **Application to intact plants.** Plants vary in their drought-resistance. It may take several days for the water potential in the  
174 leaves to fall below the reported threshold<sup>8</sup> for cavitation based ultrasound emissions of at least one per minute. Therefore,  
175 we detached plant shoots to induce accelerated drought-stress. This enabled us to record a large set of ultrasound pulses in a  
176 relatively short time. We measured similar waveforms in both axial and radial directions of the stem. The latter direction  
177 avoids physical incision of the stem and is, therefore, preferred for non-invasive measurements on intact plants. Ultrasound  
178 does not propagate far and events occurring within a maximum distance of 20 – 30 mm are likely to be useful<sup>43</sup>. This distance  
179 depends on the species, and the level of hydration in the stem, and thus adjusting the proximity of the microphone to the stem  
180 may be necessary during growth or movements of the plant. For large trees/shrubs, the radius and lengths of the xylem  
181 vessels exceed ~100  $\mu\text{m}$ , and ~10 cm. This would require shifting the sensitive frequency band of the microphone down to  
182 the audible range (100 Hz – 10 kHz).

183 **Impact and scope.** Despite centuries of research into plant hydraulics, our insights into xylem vessel properties and their  
184 influence on abiotic and biotic stress resilience, are still constantly evolving. This has largely been possible due to advancement  
185 in non-destructive measurement techniques to determine xylem vessel properties. Using methods like latex paint staining and  
186 scanning electron microscopy to monitor xylem vessels is time-consuming, and is of limited applicability in the field. Recently,  
187 X-ray micro-tomography was recommended<sup>44</sup> to monitor xylem embolisms and hydraulic vulnerability. However, to date, this  
188 method is expensive and not suitable for field applications. Ultrasound monitoring far surpasses these techniques, and has the  
189 potential to monitor xylem vessels non-destructively and continuously with a relatively inexpensive apparatus. The presented  
190 methodology establishes a link between geometrical and mechanical properties of xylem vessels and the recorded ultrasound  
191 emissions of plants. In particular, we showed, for the first time, the potential of ultrasound monitoring in the rapid determination  
192 of radius ( $R$ ) and length ( $L$ ) of xylem vessel elements in a single plant (**Fig. 5**). This opens the route to new studies about any  
193 existing physical relationship between  $L$  and  $R$  in a single plant. Note that although observed values of  $L$  and  $R$  were reported  
194 in literature<sup>25,44,45</sup>, those were obtained only across different species by either destructive microscopy or X-ray micro-  
195 tomography.

196 We foresee applications of our method to a multitude of plant species with varying vessel dimensions and viscoelasticity. This  
197 can enable *in-vivo* studies to mechanical resonances of a plants' vascular tissue via external acoustic transducers. In turn, this  
198 provides a non-invasive method for rapid phenotyping. Crops could be selected for breeding based on their xylem vessels and  
199 thus based on their response to drought and/or susceptibility to vascular wilt pathogens<sup>38,39,46,47</sup>. Drought-stress directly impacts  
200 the viscoelasticity of the vascular tissue, which can be monitored with ultrasound. Correlation between vessel radius and  
201 drought-stress have been reported in poplar<sup>48</sup> and apple trees<sup>49</sup>. Pathogens within the xylem vessels have a parasitic effect on  
202 the sugar/nutrient concentration in the sap, which can in turn change the kinematic viscosity of the xylem sap.

203 Lastly, from the viewpoint of a complete sensor system, the presented methodology only uses Fourier transforms and envelope  
204 detection. These are standard signal processing functions, which can be implemented in commercial integrated chip (IC)  
205 technology. This will help with future development of low-cost and compact tools for monitoring plant stress. This will in turn  
206 boost climate-smart agriculture, and indoor farming by providing farmers with new tools for optimal irrigation strategies and  
207 early disease-detection.

## 208 **Conclusions**

209 We showed for the first time that the radius, length, and viscoelasticity of xylem vessel elements can be co-determined non-  
210 destructively and rapidly. This was achieved using a lumped mechanical model of the water-carrying xylem vessel. We  
211 analysed the time- and frequency-domain characteristics of ultrasound emission from drought-stressed stems of *Hydrangea*  
212 *quercifolia*. The ultrasound pulses were recorded along the radial and axial direction of stems using a broadband ultrasound  
213 microphone. A consistent set of characteristic peak frequencies across a multitude of ultrasound pulses in the range 10-150  
214 kHz was observed. These remotely detected ultrasound pulses were attributed to damped acoustic resonances triggered by  
215 bubble formation inside the xylem vessels. We validated the model with results from common destructive methods of optical  
216 microscopy, latex paint-staining, scanning electron microscopy and tensile stress testing of plant stems. In particular, we  
217 showed that the mean settling time of the sound pulses increases with increasing mean xylem vessel radii through experiments  
218 on *Hydrangea macrophylla* and *Solanum lycopersicum*. As a further validation of our method, ultrasound pulsed transmission  
219 spectroscopy was performed on stem segments of *H. macrophylla*. A good agreement of the extracted settling time and the  
220 characteristic frequencies was obtained with those extracted from drought-induced sound pulses. The presented methodology  
221 provides a new outlook on plants "talking" during drought-stress, and presents ultrasound sensing as an inexpensive technique  
222 for rapid, non-invasive and in-vivo characterization of plant vasculature.

## 223 Methods

224 **Plant material.** Three potted plants of *Hydrangea quercifolia* were obtained from a commercial garden center and moved to the laboratory  
 225 within 1 hour. One shoot sample per plant was cut, keeping the leaves intact, and immediately placed in tap water (**Supplementary Fig. 7**) to  
 226 prevent embolism in the xylem vessels at the cut-end. From each shoot sample, a 60-70 mm long and trimmed (i.e., without leaves and  
 227 petioles) stem segment was cut under water to prevent air entry and blockage. The segments were roughly cylindrical, with a cross-section  
 228 diameter of ~ 5-6 mm, and were used for vessel staining and optical microscopy. The rest of the sample was left intact to measure ultrasound  
 229 emissions. Additionally, one plant each of *Hydrangea macrophylla* and *Solanum lycopersicum*, was also obtained for optical microscopy and  
 230 ultrasound recording.

231 **Recording ultrasound pulses and signal processing.** The shoot samples were taken out of water, dried using tissue paper, and left on the  
 232 bench for air-drying, resulting in accelerated drought stress. A M500-USB ultrasound microphone, with a reliable detection window between  
 233 10 kHz and 150 kHz, from Pettersson Elektronik AB (Uppsala, Sweden) was placed first in the axial (~2 mm from the cut-face of stem  
 234 normal to the cross-section) and then in the radial (on the cylindrical surface of the stem) directions (**Fig. 1a**) to record the ultrasound bursts  
 235 at a sampling rate of 500 kHz in continuous time windows of 120 seconds. The sensor consists of a piezoelectric material which produces an  
 236 electrical voltage proportional to the pressure of the incident sound wave. From the time-domain waveforms, the pulse envelope was  
 237 obtained with the built-in “envelope ()” function in MATLAB, which returns the upper and lower envelopes of the input sequence, as the  
 238 magnitude of its analytic signal. The analytic signal of the input sequence was found using the Hilbert transform. The peak of the envelope  
 239 curve was determined and the decreasing part of the envelope curve was stored, which was subsequently fitted with the exponential function  
 240  $\exp(-t/\tau_s)$  using the Least-Squares method. This yielded the settling time  $\tau_s$ . The frequency spectra of the measured signals were obtained via  
 241 a 250-point Discrete Fourier Transform, spanning a time frame of 1.5 ms. Due to the low intensity of the emitted sound, the spectra are  
 242 shown until 150 kHz beyond which the signal merges with the noise floor of the sensor (- 80 dB). The raw data was then post-processed and  
 243 analysed in MATLAB R2018b (MathWorks, Massachusetts, USA).

244 **Analytical model for longitudinal vibrations.** We modelled the xylem vessel as a cylindrical pipe of radius  $R$ , and effective length  $L$   
 245 sustaining longitudinal standing waves<sup>50,51</sup> in the water of density  $\rho_l$  whose resonance frequencies depend on the mode order  $m$ , and the  
 246 longitudinal speed of sound in the pipe  $v_{\text{eff}}$ . The resonant frequency of the  $m^{\text{th}}$  order ( $m = 1, 2, \dots$ ) is given by

$$247 \quad f_m = \left(\frac{m}{2}\right) \frac{v_l}{L} \quad (1)$$

248 where  $v_l$  is the speed of sound in the liquid (~1482 m/s in bulk water at 20 °C). We denote the fundamental resonance frequency ( $m = 1$ ) as  $f_L$   
 249 in the rest of this section. In practice, **equation (1)** cannot be applied directly because in a real pipe with an elastic wall, sound propagates at  
 250 a slower speed than that in the bulk liquid. If the walls of the pipe have a non-zero acoustic thickness  $h$  and finite Young’s modulus  $E$ , then  
 251 the effective speed of sound is given by<sup>52,53</sup>:

$$252 \quad \left(\frac{1}{v_{\text{eff}}^2}\right) = \left(\frac{1}{v_l^2}\right) + \rho_l \beta_{\text{xylem}}, \quad \text{where } \beta_{\text{xylem}} = \left(\frac{2R}{hE}\right) \quad (2)$$

253 Where  $\beta_{\text{xylem}}$  is known as the cross-sectional compressibility, and  $\rho_l = 996 \text{ kg}\cdot\text{m}^{-3}$  is the mass density of water. Thus,  $v_l$  is replaced by  $v_{\text{eff}}$  in  
 254 **equation (1)**.

255 These sound waves (expected to be dominant in the axially recorded ultrasound) undergo damping primarily due to the dynamic viscosity of  
 256 water<sup>54</sup>  $\eta_l$  in the xylem. The resulting time-domain response of the resonating pipe can be described using a lumped circuit model consisting  
 257 of acoustic inductance ( $L_a$ ), capacitance ( $C_a$ ) and resistance ( $R_a$ ), analogous to an electrical L-C-R circuit, where voltage and current are  
 258 replaced by pressure and flow rate respectively.  $L_a$  is a consequence of the kinetic energy in the water, while  $C_a$  arises due to the  
 259 compressibility of water.  $R_a$  leads to energy dissipation and can be obtained from Poiseuille’s law for capillary flow<sup>33,50</sup>. The three lumped  
 260 parameters can be expressed as:

$$261 \quad L_a = \frac{L \cdot \rho_l}{\pi \cdot R^2}, \quad C_a = \frac{L \cdot \pi \cdot R^2}{\rho_l \cdot v_l^2}, \quad R_a = \frac{8 \cdot \eta_l \cdot L}{\pi \cdot R^4} \quad (3)$$

262 where  $\eta_l = 8.9 \times 10^{-4} \text{ Pa}\cdot\text{s}$  is the dynamic viscosity of water. By describing the circuit as a linear 2<sup>nd</sup> order differential equation, we obtained  
 263 the damping ratio  $\zeta$ , envelope settling time  $\tau_s$  (the time needed for the amplitude to decrease by a factor of ‘e’), and the driving frequency  $f_d$   
 264 as :

$$265 \quad \zeta = \frac{R_a}{2} \sqrt{\frac{C_a}{L_a}} = \frac{4 \cdot \eta_l \cdot L}{\rho_l \cdot v_l \cdot R^2} \quad (4)$$

$$266 \quad \tau_s = \frac{1}{\zeta \cdot f_L} = \left(\frac{\rho_l}{4\eta_l}\right) \cdot R^2 \quad (5)$$

$$267 \quad f_d = f_L \sqrt{1 - \zeta^2} \quad (6)$$

268 The lumped model is valid as long as the dimensions  $L$  and  $R$  are smaller than the acoustic wavelength (~ 1-10 cm in water).

269 Noting that  $f_d$  is the same as the observed  $f_{p(\text{axial})}$  in the ultrasound pulses,  $\zeta$  is obtained by combining **equations (5)** and **(6)** as :

$$270 \quad \zeta = \frac{1}{\sqrt{1 + (f_{p(\text{axial})} \cdot \tau_s)^2}} \quad (7)$$

271 And the acoustic xylem radius was obtained by rearranging **equation (5)**:

$$272 \quad R = \sqrt{\frac{4 \cdot \eta_1 \cdot \tau_s}{\rho_1}} \quad (8)$$

273 Combining **equations (1)** and **(2)**, the effective xylem length  $L$  was obtained as:

$$274 \quad \frac{1}{L^2} = \frac{4f_L^2}{m^2 v_{\text{eff}}^2} = \frac{4f_L^2}{m^2} \left[ \frac{1}{v_1^2} + \frac{2\rho_1 R}{h} \cdot \left(\frac{1}{E}\right) \right] \quad (9)$$

275 **Scanning electron (cryo-) microscopy.** Transverse sections from hydrangea stems were made using a razorblade. The cross-section was left  
276 on filter paper for 1-2 minutes to remove most of the adhering water. Thereafter, the section was fixed to a sample holder using Tissue-Tek.  
277 The sample was frozen by plunging the sample holder into liquid nitrogen. Subsequently the sample was transferred to a cryo-preparation  
278 chamber (Leica Microsystems, Wetzlar, Germany) under vacuum where it was kept at -90°C for 3 minutes to remove ice from the surface  
279 (freeze etching to remove water vapor contamination). While still under vacuum the sample was coated with 12 nm of tungsten and  
280 transferred using a VCT100 shuttle (Leica) to a field emission scanning electron microscope (Magellan 400 from FEI, Oregon, USA). The  
281 samples were analysed at 2 kV, 13 pA at -120°C.

282 Longitudinal sections were made by carefully cutting through the region that contains the xylem vessels. The rest of the sample preparation  
283 was identical.

284 **Uniaxial tensile loading for Young's modulus determination.** Multiple stem segments of lengths in the range of 4-7 cm were cut and  
285 mounted vertically between two clamps of a tensile testing machine (Z005; Zwick/Roell, Ulm, Germany; inset of **Fig. 3c**). The initial pre-  
286 strained length ( $l_0$ ) is equal to the vertical separation between the clamps and was kept as 20 mm. The uniaxial stress was calculated as the  
287 tensile force applied by the equipment divided by the average cross-section area of the stem segment. The longitudinal strain was calculated  
288 as the change in stem length per unit initial length ( $\Delta l / l_0$ ). The Young's modulus  $E$  was then extracted as the slope of the linear part of the  
289 stress-strain curve (**Fig. 3c**) at small values of strain ( $\approx 10^{-4}$ ). The average mass density of each sample was also calculated from measured  
290 weight and volume just before tensile loading. The weights were measured with a Scaltec SBC 33 precision balance (Scaltec Instruments  
291 GmbH, Göttingen, Germany), while the dimensions were measured with a standard Vernier Calliper with a resolution of 0.1 mm. Note that  
292 the measurement error for elastic moduli and mass density ( $\sim 20\%$ ) is predominantly due to error propagation from length and diameter  
293 measurements.

294 **Vessel staining and optical microscopy.** An aqueous solution 1 % (v/v) suspension of red latex paint was left standing for at least 24 hours  
295 to allow large particles to settle at the bottom. The supernatant was subsequently transferred to a glass container and degassed. The stem  
296 segments were mounted vertically over the glass container, with one end immersed in the paint and the other end tightly inserted into a  
297 plastic tube connected to a suction pump (**Supplementary Fig. 7**) which applied a pressure difference of 400 mbar. The stem-tube junction  
298 was taped and smeared with Vaseline to prevent air leakage. As the solution was sucked through the stem for 12 hours, the paint remained  
299 confined in one xylem vessel (macromolecules in the paint cannot move through the bordering pits of xylem vessels) while the clear water  
300 was conducted through the entire stem. Subsequently, the stem samples were sliced with a blade at intervals of 5 mm. The number of painted  
301 vessels was then counted on each face of the cut slices from images (magnification of 200X) captured by a VHX digital microscope from  
302 Keyence.

303 An exponential relationship was observed<sup>55</sup> for the number of continuous xylem vessels at varying lengths of a stem segment. Typically, it is  
304 observed that longer vessels are also wider<sup>56</sup>. The complex relationship between xylem radius and length in a plant is largely affected by a  
305 trade-off between hydraulic conductance (increases with increasing  $R$  and decreasing  $L$ ), and vulnerability to cavitation<sup>57</sup> (increases with  
306 increasing  $R$  and  $L$ ). Sperry et al.<sup>55,58</sup> reported that the xylem vessel length has the following probability distribution function:

$$307 \quad P(x) = x \cdot \lambda_{\text{xylem}}^2 \exp(-\lambda_{\text{xylem}} x) \quad (10)$$

308 Where the most probable vessel length is given by  $\lambda_{\text{xylem}}^{-1}$ , while the mean and standard deviation are respectively given by  $2\lambda_{\text{xylem}}^{-1}$ , and  
309  $1.414\lambda_{\text{xylem}}^{-1}$ . **Equation (10)** is based on the assumption that xylem vessels have, for every additional unit length, a similar chance to  
310 terminate<sup>55</sup>. Thus, we describe the length distribution of a vessel population. Starting from a chosen reference position  $x = 0$ , the number of  
311 vessels  $N$  with length  $L \geq x$  is given by

$$312 \quad N = N_0 \exp(-\lambda_{\text{xylem}} x) \quad (11)$$

313

314 **Ultrasound pulsed transmission spectroscopy.** A stem segment 43 mm in length and 5 mm in diameter was obtained from a *H.*  
315 *macrophylla* plant. For detecting axially transmitted ultrasound pulse through the stem, a piezo-transducer (MA40S4S; Murata  
316 Manufacturing Co., Ltd., Kyoto, Japan) with a resonant frequency of 40 kHz was excited with a voltage step of 10 V (zero offset), and an on-  
317 time of 500 ms. The transducer was placed perpendicular to one end of the stem segment. The M500-USB microphone was placed  
318 perpendicular to the other end of the stem segment. The frequency spectra of the measured signals were obtained via a 250-point Discrete  
319 Fourier Transform, spanning a time frame of 1.5 ms. The raw data was then post-processed and analysed in MATLAB R2018b (MathWorks,  
320 Massachusetts, USA). For radial transmission, the same stem segment was mounted between the transducer and the microphone such that the  
321 longitudinal axis of the stem was perpendicular to the line of flight of the sound pulse (**Fig. 4a**). The transducer was excited with a voltage  
322 step of 5 V and an on-time of 500 ms. The Fourier transform of the detected ultrasound pulse was performed over a time span of the first 100  
323  $\mu\text{s}$  (**Fig. 4d**), to observe the frequency components present in the pulse that propagated only through the stem.

324 **Darcy-Weisbach equation and critical pressure in xylem vessel.** The Darcy-Weisbach equation is an empirical relation that relates the  
325 pressure drop  $p$  along a given length  $L$  of a viscous and incompressible fluid flowing through a conduit of radius  $R$  as:

326 
$$p = \frac{8\eta_l Q L}{\pi R^4} \quad (12)$$

327 Where  $Q$  is the volumetric flow rate and  $\eta_l$  is the dynamic viscosity of the fluid (water).

328 From the viewpoint of mechanical rupture/failure, a biomechanical model was reported<sup>42</sup> where a vessel element is treated as a cylindrical  
 329 shell under hydrostatic pressure of length  $L$ , radius  $R$ , uniform wall thickness  $t$ , and isotropic homogeneous Young's modulus  $E$ . For  
 330 cylinders with  $L/(R.t)^{0.5} > 4$  (applicable for xylem vessel elements), the critical hydrostatic pressure  $p_{crit}$  can be found from Batdorf's  
 331 approximate formula<sup>42, 59</sup> as:

332 
$$p_{crit} = \frac{0.92 t^{5/2} E}{L R^{3/2}} \quad (13)$$

333 So, to prevent mechanical failure,  $p < p_{crit}$ . Substituting the above expressions and re-arranging the terms, we obtain:

334 
$$L < \left( \frac{0.92 \pi t^{5/2} E}{8 \eta_l Q} \right) R^{5/4} \quad (14)$$

335

### 336 Data availability

337 The data that support the findings of this study are available from the corresponding author upon reasonable request.

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443

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#### 449 **Author contributions**

450 S.D. and G.V. conceived the idea. G.V., E.K., and P.G.S. supervised the work. S.D. performed the ultrasound measurements,  
451 elastic modulus measurements, and the analysis/modelling of data. E.K. obtained the plant specimens. P.M.M. and S.D.  
452 carried out the paint infusion method and analysed the microscopic images for determining vessel length and diameter. E.K.  
453 and P.M.M. provided necessary advice on plant physiology. S.D., and G.V. prepared the manuscript. E.K., P.G.S. and G.V.  
454 revised the manuscript. All authors read and approved the manuscript.

#### 455 **Competing interests**

456 The authors declare no competing interests.

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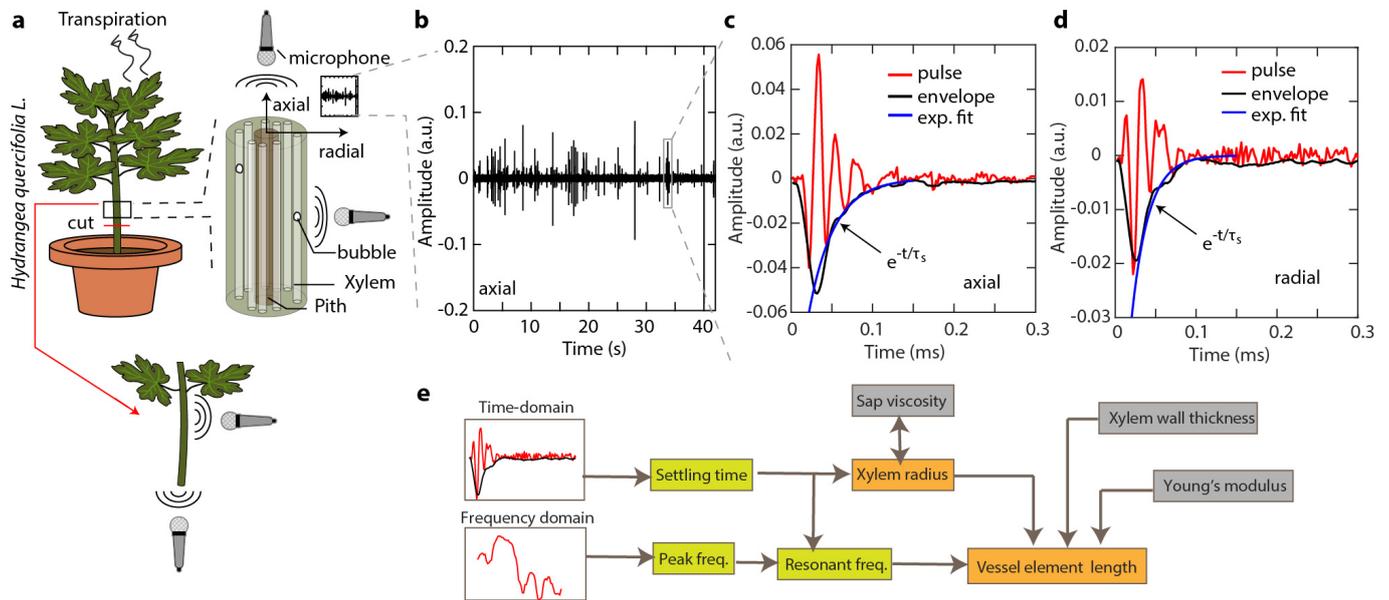
#### 460 **Additional information**

461 Supplementary information is available for this paper.

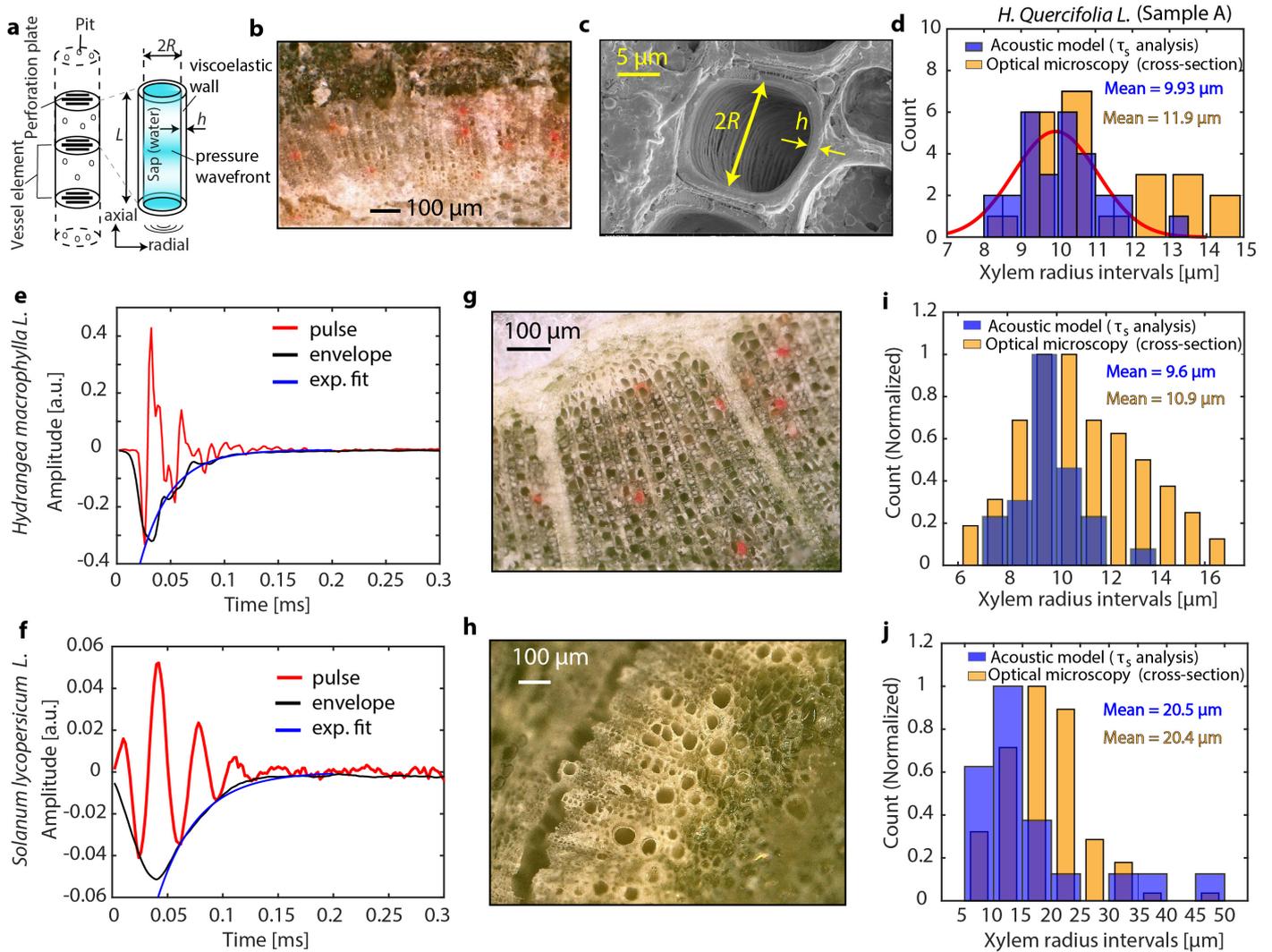
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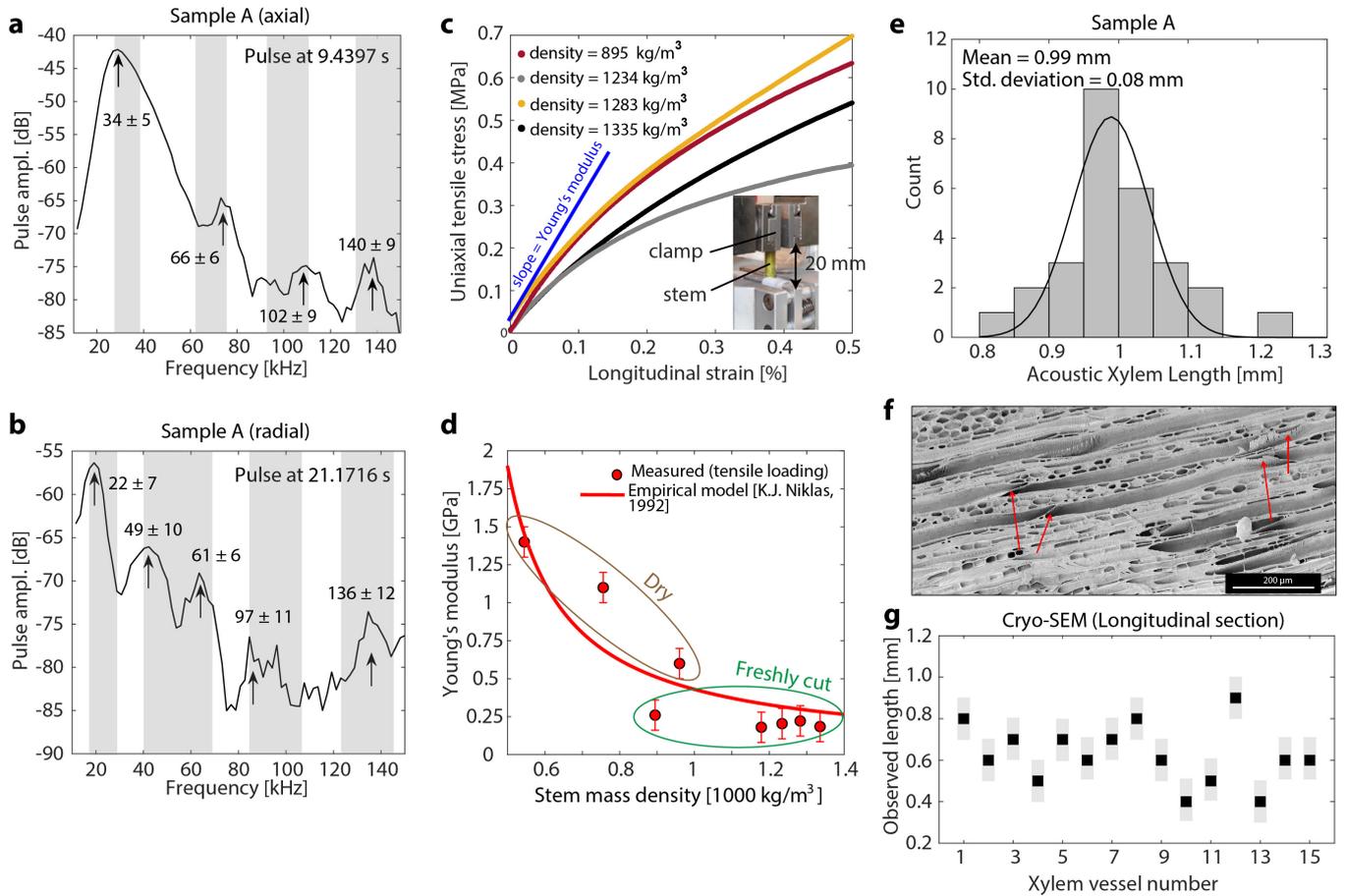
# Listening to ultrasound from plants reveals xylem vessel anatomy (Figures)



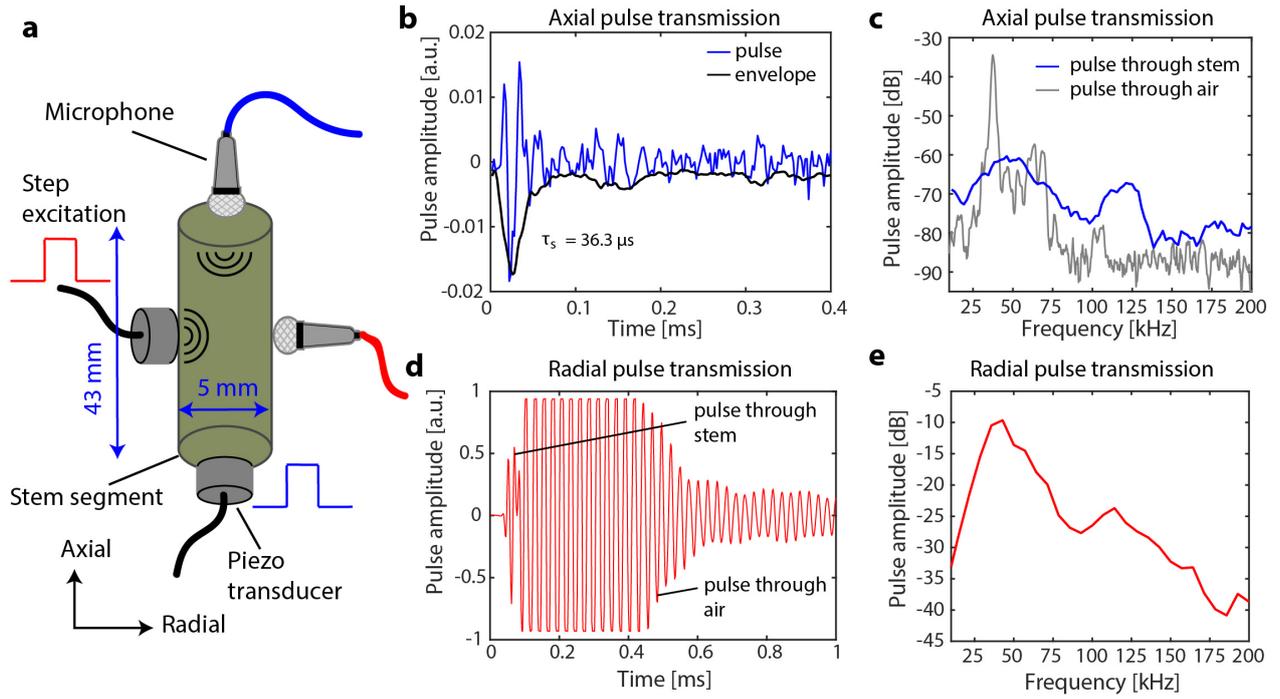
**Figure 1: Ultrasound measurement set-up and time-domain signal.** (a) Schematic set-up for recording of ultrasound pulses from shoots of *Hydrangea quercifolia* along axial and radial directions. The zoom-in represents a schematic of the vascular bundle of the stem, showing the peripheral arrangement of tubular xylem vessels around the pith in the core. Bubbles seeded in the vessels trigger the emission of ultrasound pulses. (b) Example raw time-domain data for ultrasound recorded along the axial direction of a stem. Time  $t = 0$  represents the start of the recording, which occurs after  $\sim 5$  minutes of drying. (c), (d) Zoomed-in time-domain example ultrasound pulses recorded axially and radially, respectively. Black curves represent the amplitude envelope that decays exponentially (damping), and blue curves represent the exponential fit of the envelope decay. (e) Schematic flow-chart illustrating the steps in our analysis. The settling time and peak frequencies are obtained from the time-domain and frequency-domain waveforms (Figs. 2e, 2f, 3a, and 3b). The resonant frequency is obtained from peak frequency and settling time (Methods, equation (6)). Using these, xylem radius and xylem vessel element length are extracted (Table 1). Parameters of sap viscosity, vessel wall-thickness and young's modulus are taken as input.



**Figure 2: Xylem vessel radius extraction from damping in axial sound waves.** (a) Schematic of water-conducting xylem vessels in vascular plants. Vessels are the dominant and more efficient cells to transport water in *H. quercifolia*. They consist of a series network of vessel members/elements, which are interconnected via perforation plates. Also shown is the simplified cylindrical acoustic-resonator model for a vessel element sustaining damped longitudinal standing waves in its sap, the damping factor being a function of sap viscosity and the radius of the vessel. (b) Optical micrograph of the transverse section of stem sample A, showing the xylem vessels filled with latex paint. (c) Cryo-SEM image of the transverse section of a stem sample showing the diameter ( $2R$ ) and the wall thickness ( $h$ ) of a xylem vessel. (d) Histogram showing the model-extracted xylem radii (in blue), and that of the observed xylem radii (in yellow) obtained via latex-staining and optical microscopy for stem sample A of *H. quercifolia*. The red curve represents a unimodal Gaussian fit. (e),(f),(g) Time-domain ultrasound waveform, cross-section optical micrograph (300X) of stem, and histograms of observed (in yellow) and acoustic (in blue) xylem vessel radii, respectively, in *H. macrophylla* stem sample recorded along the axial direction. (h), (i), (j) Time-domain ultrasound waveform, cross-section optical micrograph (200X) of stem, and histograms of observed (in yellow) and acoustic (in blue) xylem vessel radii, respectively, in *Solanum lycopersicum* stem sample recorded along the axial direction.



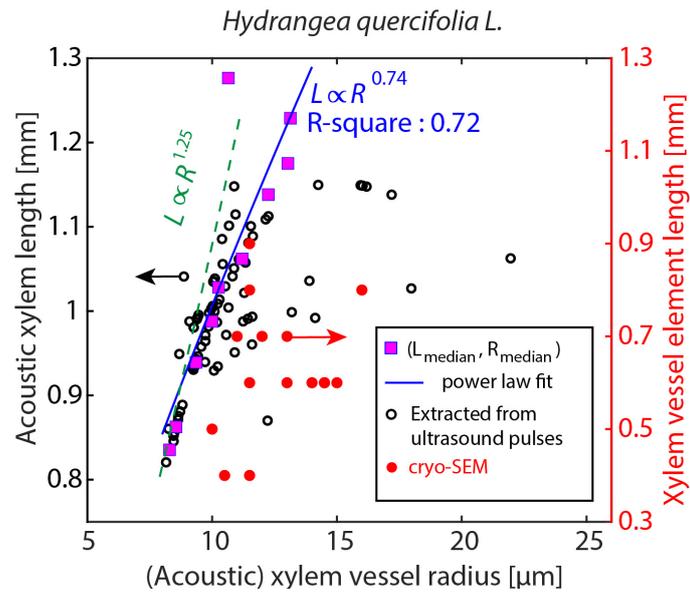
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**Figure 4: Ultrasound pulsed transmission spectroscopy of vascular tissue.** (a) Schematic measurement set-up showing an external piezo-ultrasound transducer (resonant frequency of 40 kHz) and the broad-band microphone placed along the axial and radial direction to a *H. macrophylla* stem with the indicated dimensions. The piezo-transducer is excited with a voltage step in order to emit a broad-band acoustic pulse. (b) Time-domain waveform (in blue) with the fitted envelope, and (c) Fourier spectra of the axially transmitted sound pulse (in blue). The spectrum of the sound pulse emitted by the transducer (source) with transmission through air is shown in grey as a reference. (d) Time-domain waveform and (e) Fourier spectra of the radially transmitted sound pulse.

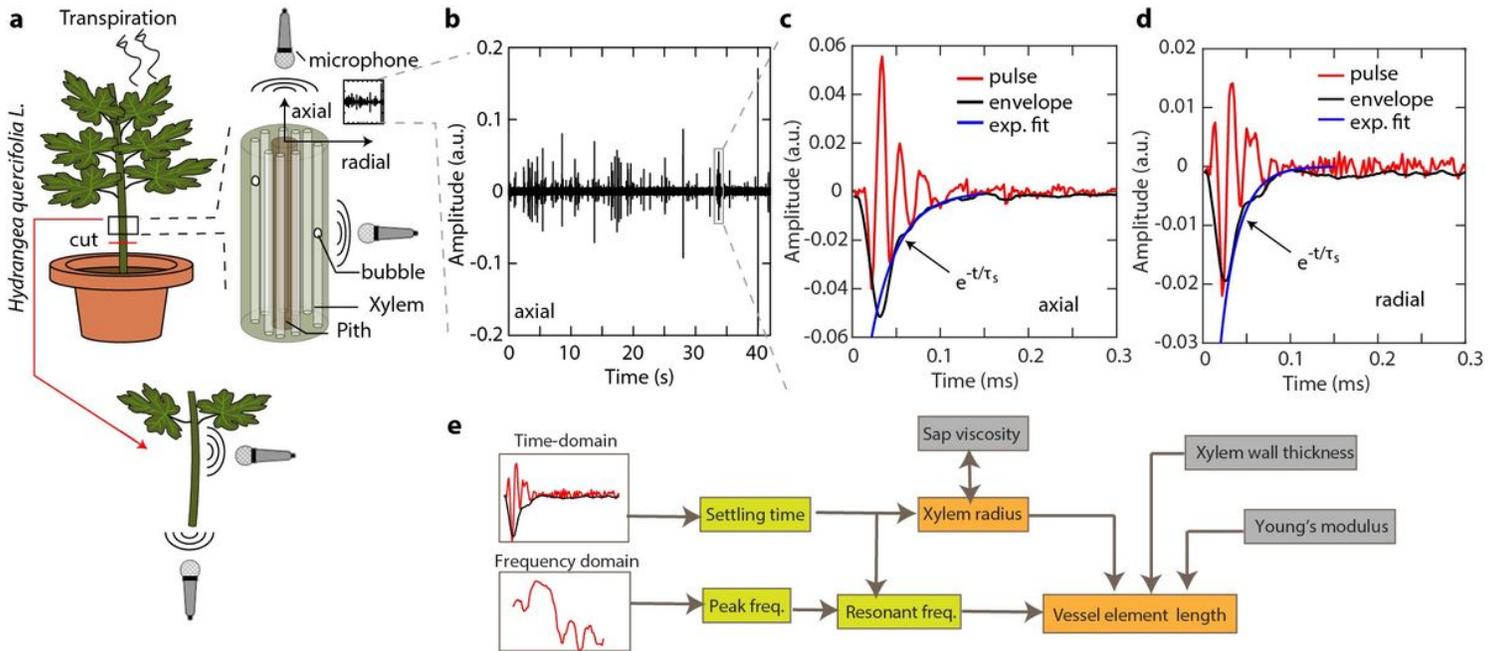
**Table 1:** Summary of extracted parameters via the ultrasound analysis for the three stem samples of *H. quercifolia*.

<u>Parameter</u>	<u>Ultrasound method</u>	<u>Destructive measurement</u>	
Xylem radii [ $\mu$ m]	$9.89 \pm 1.6$	$12.4 \pm 2.6$	Optical microscopy, Scanning electron microscopy
Mean Xylem vessel length [mm]	---	16.9 (sample A), 12.8 (sample B), 14.4 (sample C).	Latex-paint staining and vessel counting
Xylem vessel element length [mm]	$0.98 \pm 0.14$	$0.63 \pm 0.14$	Scanning electron microscopy
Young's modulus of elasticity [GPa]	---	$0.2 \pm 0.1$	Uniaxial tensile loading



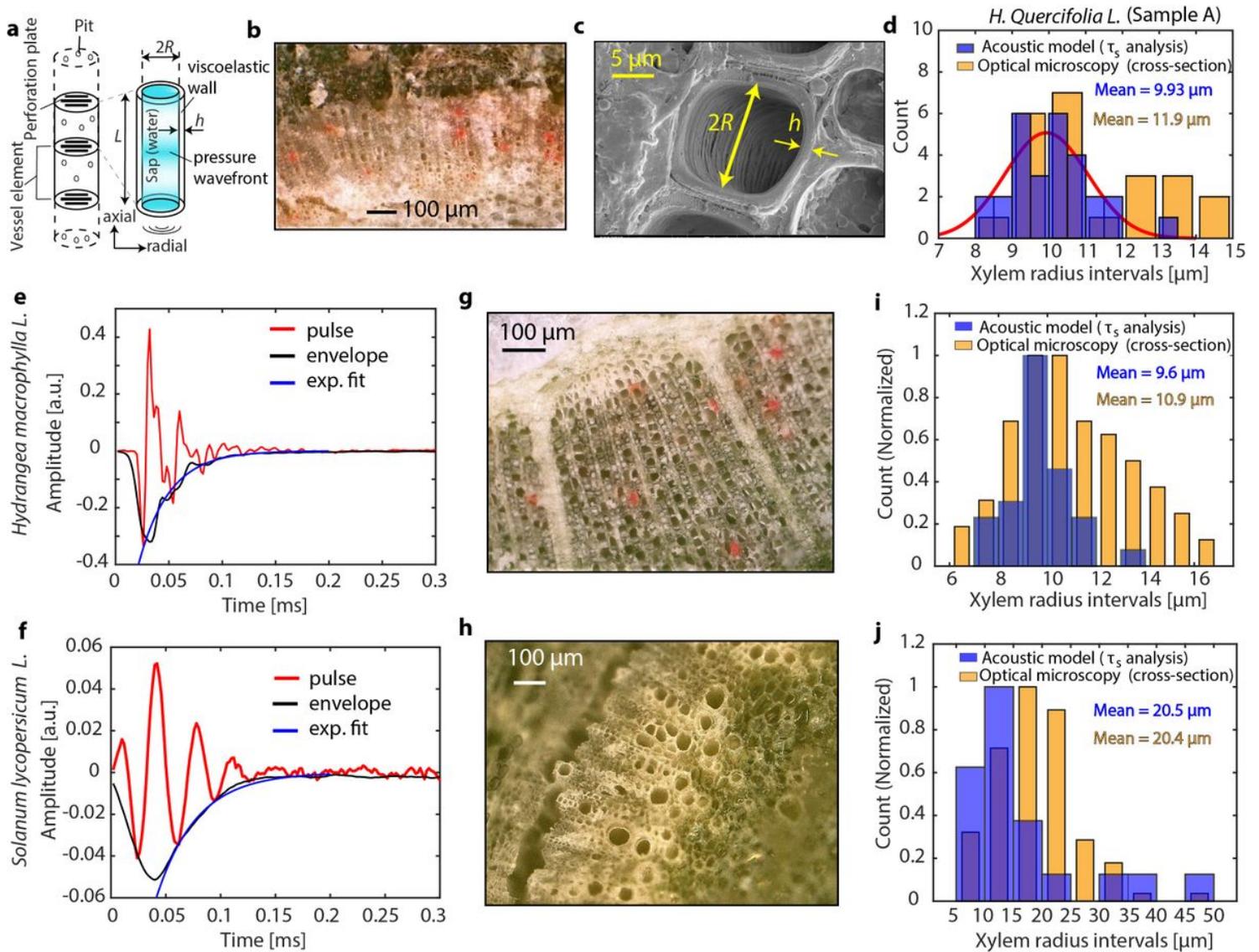
**Figure 5: Acoustic xylem vessel element length versus vessel radius.** Black circles: scatter plot of model-extracted (acoustic) xylem vessel element length ( $L$ ) and radius ( $R$ ) corresponding to each analysed ultrasound pulse. Data from all three stem samples of *H. quercifolia* are merged here. The radii were obtained from the settling time of the ultrasound pulses, while the lengths were obtained from the resonance frequency of the sound pulses and by measuring the Young's modulus (Fig. 1e). The data points are classified into bins of  $L$  with intervals of 0.5 mm. In each bin, the median  $L$  and  $R$  are calculated (pink squares), and fitted with a power law function (blue line). The green dashed line indicates the predicted  $L$ - $R$  dependency in accordance with Darcy-Weisbach equation for fluid flow, combined with mechanical failure of the xylem vessel (Methods). Red circles: observed vessel element lengths and radius in *H. quercifolia* via cryo-SEM technique.

# Figures



**Figure 1**

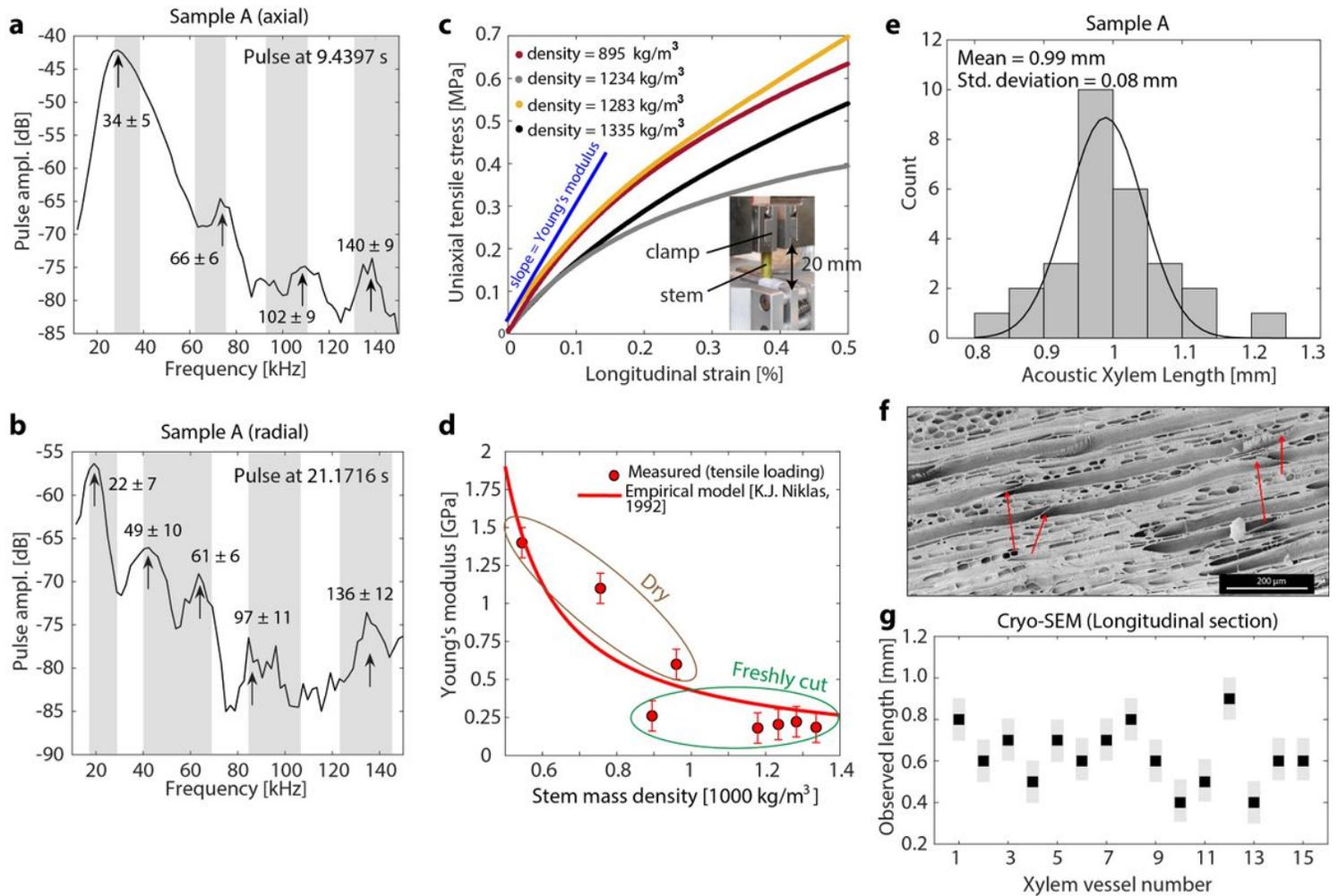
Ultrasound measurement set-up and time-domain signal. (a) Schematic set-up for recording of ultrasound pulses from shoots of *Hydrangea quercifolia* along axial and radial directions. The zoom-in represents a schematic of the vascular bundle of the stem, showing the peripheral arrangement of tubular xylem vessels around the pith in the core. Bubbles seeded in the vessels trigger the emission of ultrasound pulses. (b) Example raw time-domain data for ultrasound recorded along the axial direction of a stem. Time  $t = 0$  represents the start of the recording, which occurs after  $\sim 5$  minutes of drying. (c), (d) Zoomed-in time-domain example ultrasound pulses recorded axially and radially, respectively. Black curves represent the amplitude envelope that decays exponentially (damping), and blue curves represent the exponential fit of the envelope decay. (e) Schematic flow-chart illustrating the steps in our analysis. The settling time and peak frequencies are obtained from the time-domain and frequency-domain waveforms (Figs. 2e, 2f, 3a, and 3b). The resonant frequency is obtained from peak frequency and settling time (Methods, equation (6)). Using these, xylem radius and xylem vessel element length are extracted (Table 1). Parameters of sap viscosity, vessel wall-thickness and young's modulus are taken as input.



**Figure 2**

Xylem vessel radius extraction from damping in axial sound waves. (a) Schematic of water-conducting xylem vessels in vascular plants. Vessels are the dominant and more efficient cells to transport water in *H. quercifolia*. They consist of a series network of vessel members/elements, which are interconnected via perforation plates. Also shown is the simplified cylindrical acoustic-resonator model for a vessel element sustaining damped longitudinal standing waves in its sap, the damping factor being a function of sap viscosity and the radius of the vessel. (b) Optical micrograph of the transverse section of stem sample A, showing the xylem vessels filled with latex paint. (c) Cryo-SEM image of the transverse section of a stem sample showing the diameter ( $2R$ ) and the wall thickness ( $h$ ) of a xylem vessel. (d) Histogram showing the model-extracted xylem radii (in blue), and that of the observed xylem radii (in yellow) obtained via latex-staining and optical microscopy for stem sample A of *H. quercifolia*. The red curve represents a unimodal Gaussian fit. (e),(f),(g) Time-domain ultrasound waveform, cross-section optical micrograph (300X) of stem, and histograms of observed (in yellow) and acoustic (in blue) xylem vessel radii, respectively, in *H. macrophylla* stem sample recorded along the axial direction. (h), (i), (j) Time-

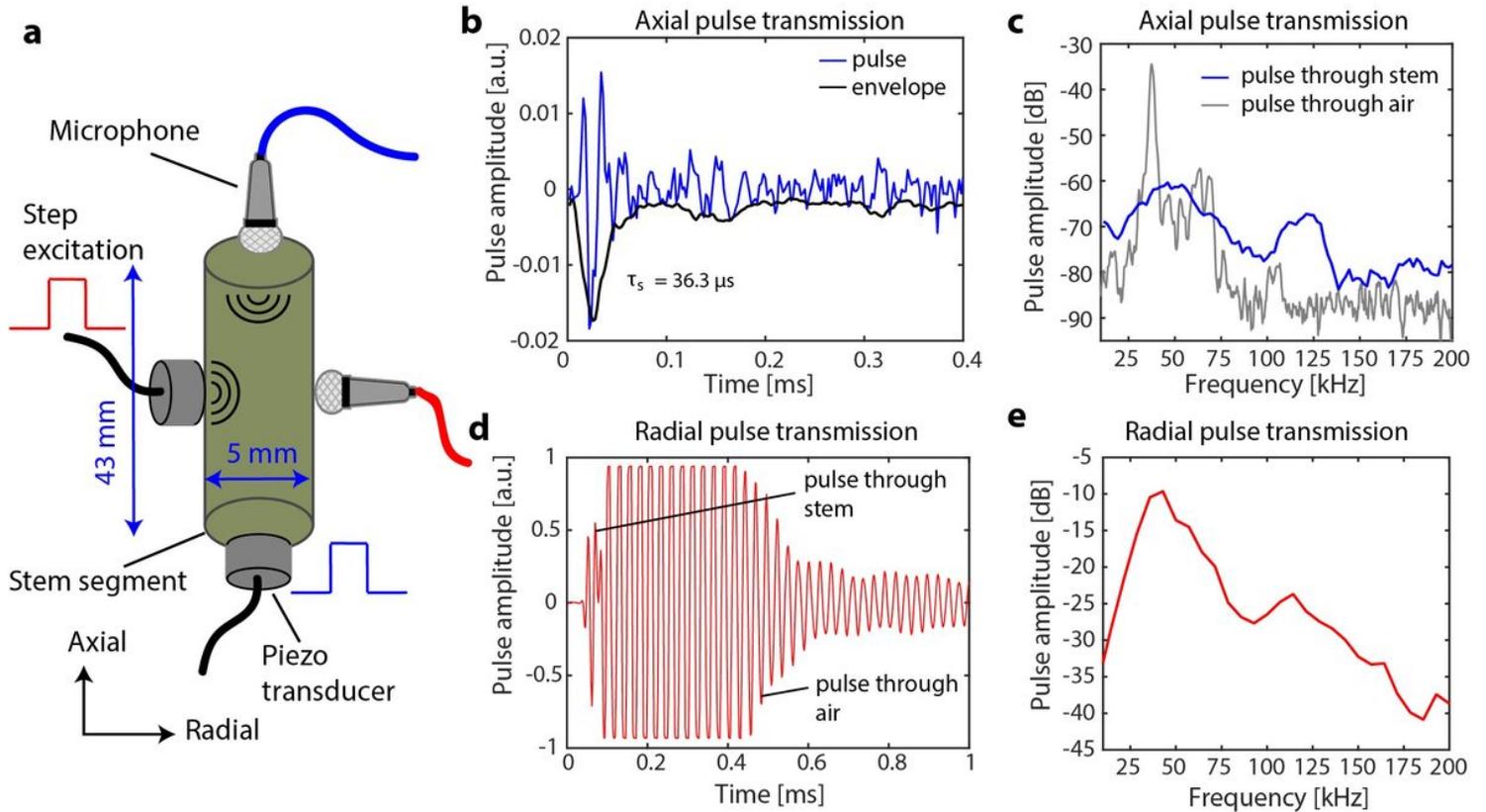
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*Hydrangea quercifolia* L.

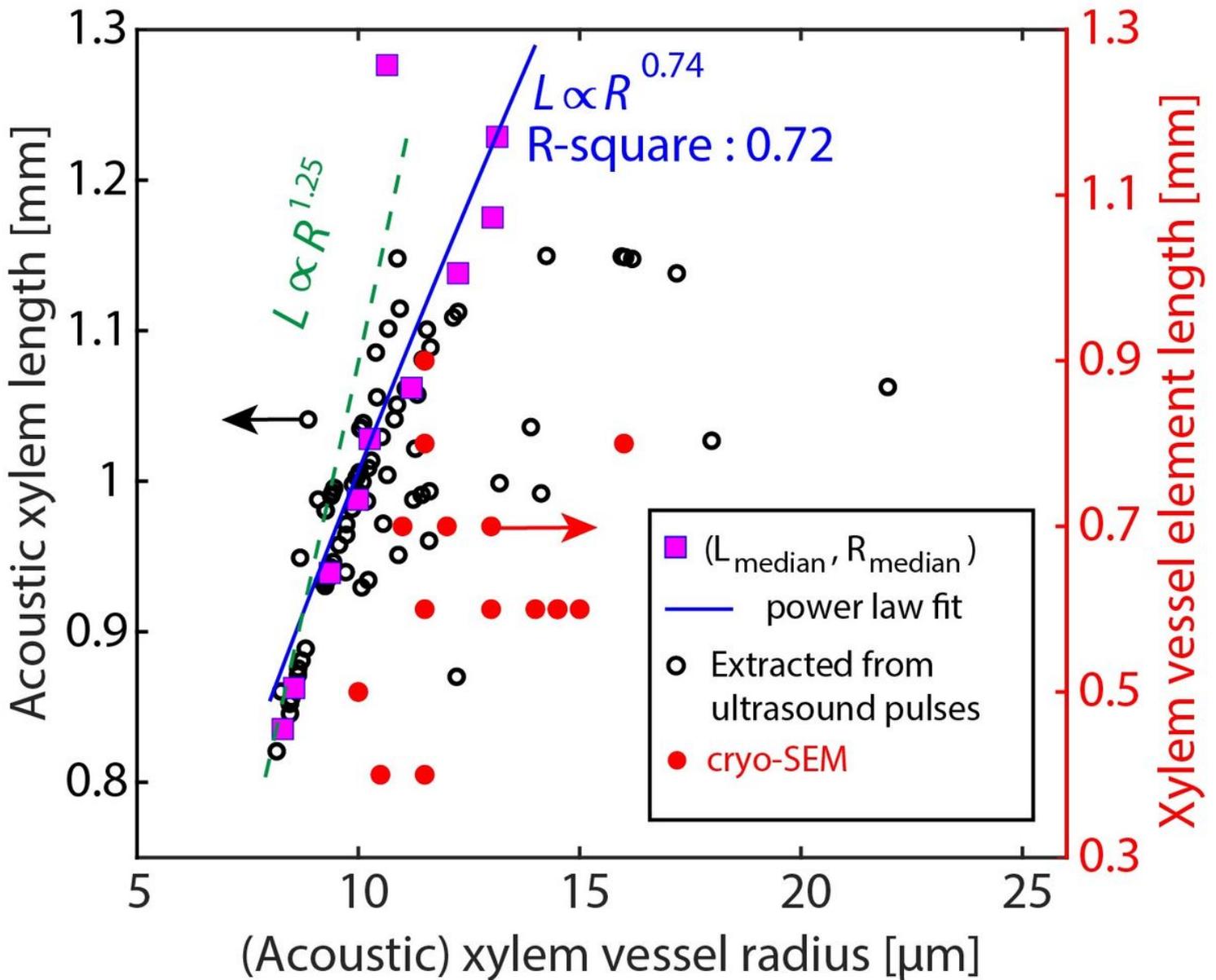


Figure 5

Acoustic xylem vessel element length versus vessel radius. Black circles: scatter plot of model-extracted (acoustic) xylem vessel element length (L) and radius (R) corresponding to each analysed ultrasound pulse. Data from all three stem samples of *H. quercifolia* are merged here. The radii were obtained from the settling time of the ultrasound pulses, while the lengths were obtained from the resonance frequency of the sound pulses and by measuring the Young's modulus (Fig. 1e). The data points are classified into bins of L with intervals of 0.5 mm. In each bin, the median L and R are calculated (pink squares), and fitted with a power law function (blue line). The green dashed line indicates the predicted L-R dependency in accordance with Darcy-Weisbach equation for fluid flow, combined with mechanical failure of the xylem vessel (Methods). Red circles: observed vessel element lengths and radius in *H. quercifolia* via cryo-SEM technique.

## Supplementary Files

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