

Robust Transcriptional Regulatory Response Upon Blocking NHEJ

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Research Article

Keywords: DNA repair, TALEN, NHEJ, XRCC4(-/-), Mirin

Posted Date: April 30th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-459760/v1>

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1 **Robust transcriptional regulatory response upon blocking NHEJ**

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10 **Keywords**

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25 **Abstract:**

26 Double strand breaks are one of the most lethal forms of DNA lesions that, if left unrepaired can
27 lead to genomic instability, cellular transformation, and cell death. However, cells have two main
28 machineries namely error prone Non homologous end joining repair (NHEJ) or an accurate
29 homology dependent repair to repair the double strand breaks. NHEJ is the preferred mechanism
30 for DNA repair and basically consists of two forms: Canonical (C-NHEJ) and Alternative (A-
31 NHEJ) NHEJ. Our study examined the cellular repair outcome when NHEJ is blocked by targeting
32 two key DNA repair proteins: XRCC4 and MRE-11. We developed an extrachromosomal NHEJ
33 fluorescent reporter assay that uses Transcription activator-like effector nucleases (TALEN) to
34 introduce double strand breaks and detect the NHEJ editing by the presence of GFP fluorescence.
35 We demonstrated the presence of NHEJ editing in the *XRCC4(-/-)* cells treated with Mirin (a
36 pharmacological inhibitor of MRE-11), albeit with a ~52% efficiency of the normal cells. The
37 transcriptional profiles of the Mirin treated HeLa *XRCC4(-/-)* cells had 307 uniquely differentially
38 expressed genes that was far greater than HeLa *XRCC4(-/-)* sample (83 genes) and Mirin treated
39 HeLa cells (30 genes). Pathway analysis unique to the *XRCC4(-/-)* +Mirin group included
40 differential expression of p53 downstream pathways, and metabolic pathways indicating cell
41 adaptation for energy regulation and stress response. In conclusion, our study showed that the
42 double strand DNA repair can be sustained even in absence of key DNA repair proteins XRCC4
43 and MRE-11.

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49 **Introduction:**

50 DNA carries genetic instructions for the development and function of all known living organisms;
51 therefore, it is important to preserve the integrity of the DNA. However, DNA is not inert, but
52 susceptible to multiple types of damages. The common sources of DNA damage include
53 environmental agents such as UV light, ionizing radiation, and chemical mutagens. Additionally,
54 endogenous biological processes such as cellular metabolism including oxidative damage, DNA
55 alkylation or hydrolysis, and double-strand breaks (DSBs) from collapsed replication forks
56 contributes to DNA damage ¹. In fact every day, DNA in normal cells has approximately 10,000
57 DNA aberrations and thus require an efficient repair of DNA damage to maintain its integrity ^{2,3}.
58 Failure to repair such damages can lead to genomic instability, cellular transformation, and cell
59 death.

60

61 To mitigate DNA damage and maintain integrity, cells have multiple molecular mechanisms to
62 repair different types of damages. The most deleterious DNA damage is arguably a DSB which, if
63 left unrepaired, threatens the loss of chromosomal content. The key machinery to repair DSBs are
64 (i) Non homologous end joining (NHEJ) repair and (ii) homology dependent repair (HDR) ⁴. NHEJ
65 being the predominant form of repair, can commence in any phase of the cell cycle, unlike HDR,
66 which is active during the late S or G2 phase and is a less prevalent form of repair.

67

68 A mammalian cell can execute NHEJ repair within approximately 30 min ⁵, although the
69 disadvantage is that it often introduces indels ⁶. The most common form of NHEJ repair is
70 Canonical-NHEJ; catalyzed by two core protein-DNA complexes, Ku70/Ku80/DNA-PKC and
71 DNALig4/XRCC4/XLF-1 ⁷. A backup repair pathway called Alternative-NHEJ (A-NHEJ) was
72 identified from persistent NHEJ activity in cells deficient for C-NHEJ ⁸. A-NHEJ is evolutionarily

73 conserved and can act in both C-NHEJ proficient or deficient cells ⁹. A-NHEJ can mediate repair
74 with short homology at the site of DNA breaks and is also referred as microhomology dependent
75 DNA repair (MMEJ), although microhomology is not essential ⁷.

76

77 Our earlier *in silico* analysis showed that the gene editing enzymes likely interfere with binding of
78 key components of DNA repair complexes and may alter the preference for C-NHEJ or A-NHEJ
79 ¹⁰. Although XRCC4 and MRE-11 are well studied NHEJ complex proteins, we investigated
80 changes in cellular responses when both C-NHEJ and A-NHEJ are blocked by targeting these
81 proteins. Targeted inhibition of XRCC4, a component of the NHEJ ligation complex should block
82 NHEJ-mediated DNA repair. Schulte-Uentrop et al. demonstrated that knockout of XRCC4, a
83 component of the LIG4/XLF-1/XRCC4 complex renders cells sensitive to DNA damage caused
84 by either ionizing radiation or enzymatic cleavage of genomic DNA ¹¹. On the other hand, there is
85 a significant evidence supporting the role of MRN complex consisting of three proteins: meiotic
86 recombination 11 protein (MRE-11), RAD50, and Nijmegen breakage syndrome 1 (NBS1; also
87 known as nibrin), in both C-NHEJ and A-NHEJ double strand break repair. The MRN complex is
88 also widely recognized for the role in HDR ¹²⁻¹⁵. Depletion of MRE-11 is associated with the
89 reduction in the microhomology based repair in normal cells and inhibits resection in HeLa
90 *XRCC4(-/-)* cells supporting some role in both C-NHEJ and A-NHEJ ¹⁶.

91

92 Using an NHEJ reporter construct, we show that NHEJ is prevalent even when both XRCC4 and
93 MRE-11 are blocked separately or simultaneously. Furthermore, the transcriptional profiles of the
94 HeLa *XRCC4(-/-)* cells treated with Mirin, a pharmacological inhibitor of MRE-11 had 307
95 uniquely differential expressed genes, much higher than the HeLa-NT (control), *XRCC4(-/-)* HeLa
96 and Mirin treated HeLa cells. The inhibition of XRCC4 and MRE-11 was associated with

97 differential expression of p53 downstream pathways, and metabolic pathways indicating
98 adaptation of the cells to target regulation of energy and stress upon NHEJ inhibition. Our
99 experiments are the first to investigate broad cellular response when NHEJ is inhibited.

100

101 **Materials and methods**

102 **NHEJ reporter plasmid construction**

103 For construction of an NHEJ reporter plasmid, the sequence corresponding to a TALEN binding
104 site (Fig. 1A) was PCR amplified from pLai.2 HIV proviral plasmid (NIH AIDS Reagent Program
105 #2532) and cloned upstream of the mCherry coding sequence into the NheI and AgeI sites of the
106 pmCherry-C1 plasmid (Takara #632524). For cloning a TALEN binding site (TBS) downstream
107 of the mCherry coding sequence, the TBS was amplified, fused with GFP coding sequence by
108 overlap-PCR and cloned between the SalI and BamHI restriction enzyme sites. The coding
109 sequence of EGFP was amplified from the plasmid pEGFP-C3. A spacer was introduced between
110 the cytomegalovirus promoter (CMV) promoter and the upstream TBS at the NheI restriction
111 enzyme site (New England Biolabs) within the pmCherry-C1. Primers used for sequencing and
112 cloning are listed in (Supplementary Table 1).

113

114 **Cell culture and Lentivirus production**

115 HeLa (ARP 154) cells obtained from the NIH AIDS reagent program and LentiX293T cells
116 (Clonetechn# 632180) were grown in Dulbecco modified Eagle's Medium (DMEM) supplemented
117 with 10% FetalClone III Serum (HyClone# SH30109.03). Lentivirus was produced from
118 transfected LentiX293T cells. Briefly, 6 well plates were seeded with 0.6 million cells and
119 incubated for 24 hrs prior to transfection. Cells were co-transfected with gXRCC4-
120 lenticrisprV2/gScrambled-lenticrisprV2, 1.2 µg; pspax-2 (Addgene. # 12260), 1.0 µg, and pVSVG

121 (Addgene. # 22501), 0.3 µg using the Lipofectamine LTX transfection reagent (Invitrogen) at a
122 1:3 ratio [DNA(µg): Transfection reagent(µl)]. After 6 hrs of incubation, media was replaced, and
123 cells were cultured in complete media for 48 hours. Cell supernatant were collected, filtered
124 through a 0.45 µm syringe filter (Millipore), and used for transduction into HeLa cells.

125

126 **Assessment of NHEJ repair**

127 TALEN expression constructs (TAL 256 and TAL 278) were previously constructed with the
128 Joung Lab REAL Assembly TALEN kit^{17,18}. To assess DNA repair, HeLa cells (0.6 million) were
129 seeded a day before transfection, co-transfected with a NHEJ reporter plasmid and pairs of TALEN
130 expressing constructs [TAL 256 (200 ng) and TAL 278 (200 ng)] at a ratio of 1:3 [DNA(µg):
131 Transfection reagent(µl)] with Viafect transfection reagent (Promega). As a control, NHEJ reporter
132 plasmid was co-transfected with empty vector [JDS70 (200ng) and JDS 78 (200ng)]. Media was
133 changed after 4 hrs incubation and replaced with complete media with or without Mirin (Sigma,
134 100 µM). After 48 hours, cells were tested for mCherry and GFP fluorescence by fluorescence
135 microscopy and flow cytometry.

136

137 **Generation of a *XRCC4* knockout cell line – HeLa *XRCC4*(-/-)**

138 To generate a *XRCC4*(-/-) HeLa cell line, a g*XRCC4* sequence targeting the *XRCC4* gene (NCBI
139 RefSeq: NC_000005.10) was annealed, phosphorylated with T4 Polynucleotide Kinase (New
140 England Biolabs) and cloned into the BsmBI digested LenticrisprV2 plasmid (Addgene # 52961).
141 The plasmid was delivered into HeLa cells by lentiviral transduction. The cells were selected in
142 complete media with puromycin (1.5 µg/ml) for 1 week followed by clonal selection. Clonal cells
143 were screened for biallelic *XRCC4* knockout by Western Blotting with a *XRCC4* antibody (Santa
144 Cruz Biotechnology sc-271087) on Nitrocellulose membrane (GE Amersham) and by targeted

145 sequencing of gDNA. One of the clones 2G3 (HeLa *XRCC4*(-/-)) was selected for all subsequent
146 experiments. For the control HeLa cells, non-targeting guide RNA was similarly expressed in
147 HeLa cells. Genomic DNA (gDNA) was isolated from HeLa *XRCC4*(-/-) and HeLa-NT (control
148 cell containing non-targeting gRNA) using Quick DNA plus Kit (Zymogen). Isolated gDNA
149 spanning the selected region of the *XRCC4* gene (NCBI RefSeq: NC_000005.10 (83104920.-
150 83105621)) was amplified by PCR with *XRCC4*-SeqV2FP and RA-*XRCC4*seq-RP primers and
151 Herculase II Fusion DNA polymerase (Agilent). PCR amplified product was digested with XhoI
152 (New England Biolabs), and subcloned into the XhoI and EcoRV restriction enzyme sites of the
153 pBlueScript II SK (-) vector. Several colonies were screened for the *XRCC4* gDNA insert, and
154 *XRCC4* knockout for the 2G3 clonal cells were confirmed by sanger sequencing.

155

156 **MTT assay for metabolic activity**

157 Cells were analysed with a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT)
158 chromogenic metabolic activity assay. HeLa-NT and *XRCC4*(-/-) cells were cultured in 96-well
159 plates for one day and an additional two days with complete media (DMEM+ 10% FetalClone
160 SerumIII) with or without Mirin dissolved in Dimethyl sulfoxide (DMSO, 100 μ M). DMSO
161 vehicle control was added to the control cells. Media was removed and 50 μ l of incomplete media
162 (DMEM) with 50 μ l MTT (5 mg/ml) was added to each well and incubated for 4 h at 37°C in a
163 CO₂ incubator. Resulting formazan crystals were dissolved with 100 μ l of acidified isopropanol,
164 incubated for 5 min at 37 °C, and absorbance at 595 nm was measured with a DTX 880 multimode
165 detector (Beckman Coulter).

166

167 **Fluorescence Microscopy**

168 Transfected cells were cultured for 48 hours before measuring fluorescence. Live cells were scored
169 for EGFP and mCherry fluorescence in fresh media. Images were acquired at 20X magnification
170 with a Nikon TE2000E epifluorescence microscope equipped with Photometrics CoolSNAP FX
171 Camera (Roper Scientific). Images were captured in sequential scanning mode to avoid spectral
172 bleed through and were analysed in triplicates with multiple scanning regions for each.

173

174 **Fluorescent Activated Cell Sorting (FACS)**

175 Transfected cells were cultured for 48 hours before FACS analysis. Cells were trypsinized, washed
176 twice with phosphate buffered saline (PBS) and fixed with 2% paraformaldehyde for 10 min at
177 RT. Cells were washed twice with PBS before acquisition with a flow cytometer (Sony SH800).
178 Cells were gated first for mCherry expression followed by GFP expression. Experiments were
179 analysed using FlowJo 10.7.1 for triplicate samples.

180

181 **RNA-seq and data processing**

182 Cells were transfected with a NHEJ reported plasmid and TALEN constructs and treated with
183 Mirin or vehicle control after 4 hrs post transfection. Cells were harvested 48 hrs after transfection
184 and total RNA was extracted with the Zymogen RNA prep kit (Zymogen). Duplicate samples for
185 each condition were analyzed. RNA was quantified, RNA-seq was performed at a depth of more
186 than 20 million paired end reads for each sample (Novogene Corporation Inc).

187

188 The RNA-seq data can be accessed at Gene Expression Omnibus (GEO) (accession no
189 GSE135274). For RNA-seq analysis, raw reads were imported into CLC Genomics Workbench
190 12.0 and trimmed using the quality limit score of 0.05 calculated from a modified-Mott trimming
191 algorithm¹⁹, read through adapter trimming, and trimming of ambiguous bases from read regions

192 with more than two ambiguous reads¹⁹. RNA-Seq analysis was performed using default settings
193 including a mismatch cost of 2 with insertion and deletion cost of 3. The Reference genome was
194 hg19 and reference gene track used was ensemble_v74. Differential expression analysis was
195 performed with the Identify and Annotate Differentially Expressed Genes (DEGs) software.

196

197 **Bioinformatic analysis**

198 A principal component analysis (PCA) of RNA-seq data and resulting plot were created with
199 ClustVis (<https://biit.cs.ut.ee/clustvis/>)²⁰. Venn Diagrams of differentially expressed genes for
200 each condition was compared to control (FDR<0.01 log FC>=1) and plotted with an online tool
201 (<http://bioinformatics.psb.ugent.be/webtools/Venn/>). Heatmaps for the DEGs were plotted using
202 the Heatmap.2 function in the R ggplot package R (Warnes et al., 2020). Volcano plots for each
203 category were created using “EnhancedVolcano” function in R²¹. Gene enrichment analysis was
204 performed for DEGs lists (FDR<0.01 and logFC>1.2) with Metascape²². A network graph was
205 created with Cytoscape (v3.1.2). Term relationships having a similarity score above 0.3 are
206 connected by edges. The network is visualized with Cytoscape (v3.1.2).

207

208 **Protein–protein interaction (PPI) network analysis and pathways interrelation analysis**

209 PPI networks were constructed using multiple DEGs list based on BioGrid, InWeb_IN and
210 OmniPath database in Metascape. For networks which contain between 3-500 proteins, Molecular
211 Complex Detection (MCODE) algorithm was used to identify densely connected network
212 components with default parameters. For each MCODE component, pathway and process
213 enrichment analysis was applied and the three best-scoring (by p value) terms were retained as the
214 functional description of the resulting modules. Resulting network graphs were visualized through
215 Cytoscape (v3.1.2).

216

217 **Quantitative Real-time Polymerase Chain Reaction (qRT-PCR)**

218 For validation of RNA-seq results, cells were transfected with a NHEJ reported plasmid, TALEN
219 expression constructs, and treated with Mirin or vehicle control as described above. Cells were
220 harvested 48 hrs after transfection, Total RNA was extracted using the Zymogen RNA prep kit
221 (Zymogen) and cDNA was synthesized with SuperScript™ IV VILO™ Master Mix (Invitrogen).
222 Gene expression of select DEGs were quantified by qRT-PCR with gene-specific primers (Table
223 S1), and PowerUp SYBR Green PCRmix (Invitrogen) in a Bio-Rad CFX96 Touch™ Real-Time
224 PCR Detection System. Relative expression levels were normalized to a housekeeping control
225 gene. *β-actin*. The fold changes in mRNA levels between the HeLa-NT and the experimental
226 condition were calculated using the $2^{-(\Delta\Delta CT)}$ method.

227

228 **Statistical Analyses**

229 Statistical analyses were conducted with Student's *t*-test or by ANNOVA for comparing more than
230 2 groups and a *p*-value ≤ 0.05 was considered significant.

231

232 **Results**

233 **Construction and validation of a NHEJ reporter assay**

234 In order to test and detect NHEJ repair when TALEN introduces DSBs, we first constructed and
235 tested a plasmid encoding an extrachromosomal NHEJ reporter assay system. The reporter plasmid
236 has a CMV promoter for constitutive expression of the mCherry coding sequence. The mCherry
237 coding sequence is flanked on either side with TBSs (Fig. 1A). Under normal conditions, the
238 mCherry reading frame terminates with a stop codon. Consequently, the downstream GFP coding
239 sequence lacks a promoter; hence not expressed. When the cells are co-transfected with the

240 reporter plasmid and the pair of TALEN expressing plasmids, that targets the encoded TBSs,
241 double strand breaks are introduced at both TBSs excising the mCherry coding region. Upon
242 subsequent NHEJ repair, the CMV promoter is ligated in proximity to the GFP coding region
243 driving its expression. Therefore, GFP is expressed upon NHEJ repair. A flow chart depicting the
244 relationships between DNA editing and fluorescence output is shown (Fig. 1A).

245

246 First, we tested the NHEJ reporter assay system in HeLa cells. The reporter plasmid was co-
247 transfected with TALEN expression constructs T256 and T278. GFP positive (GFP⁺) cells were
248 detected indicating editing by NHEJ (Fig. 1B). Co-transfection of the NHEJ reporter plasmid with
249 empty vectors, or with either one of the TALEN pair did not express any GFP⁺ cells as expected
250 for cells that are not edited (Fig. 1B). This experiment confirms that our NHEJ assay system is
251 functional, specific, sensitive, and detects DNA repair.

252

253 **Inhibition of XRCC4 and MRE-11 in HeLa cells**

254 To determine the effect of XRCC4 and MRE-11 on C-NHEJ and A-NHEJ repair pathways, we
255 needed to abolish expression of these proteins. *XRCC4* was knocked out in HeLa cells using
256 targeted CRISPR-Cas9 editing within the coding region. The *XRCC4*(-/-) biallelic knockout in
257 clone 2G3 was confirmed by the loss of XRCC4 protein expression as detected by Western blot
258 analysis (Fig. 1C). A complete absence of XRCC4 protein expression was observed, and this clone
259 was selected for further experiments. The knockout in clone 2G3 was confirmed by Sanger
260 sequencing, which revealed a 2 bp deletion and 10 bp deletion at both the alleles in the *XRCC4*
261 gene (Fig. 1D). MRE-11 expression was indispensable for cell survival thus, MRE-11 was
262 inhibited by Mirin, a well-established inhibitor of MRN complex and MRE-11 exonuclease
263 activity. We also analyzed the cell survival when *XRCC4*(-/-) cells were treated with Mirin (Fig.

264 1E). Blocking both XRCC4 and MRE-11 indicate that that the cells are viable as detected in an
265 MTT assay (Fig. 1E).

266

267 **NHEJ repair is sustained when XRCC4 and MRE-11 are blocked**

268 Since cells with both *XRCC4*(-/-) and MRE-11 blocked survived and were metabolically active,
269 we next assessed the impact of blocking NHEJ on DNA repair activity. *XRCC4*(-/-) and control
270 cells HeLa-NT (Non-targeting) transfected with reporter plasmid and empty TALEN vectors did
271 not show any GFP⁺ cells as expected for these negative controls (Fig. 2A & E). Mirin treatment of
272 these cells also had no effect on GFP expression (Fig. 2B & F). mCherry expression indicated that
273 the cells were expressing the reporter construct (Fig. 2). HeLa-NT and *XRCC4*(-/-) cells co-
274 transfected with the NHEJ reporter and TALEN constructs (T270 and T278) showed GFP
275 expression, indicating NHEJ repair (Fig. 2C & G).

276

277 In co-transfected HeLa-NT cells treated with Mirin, GFP⁺ cells were present indicating NHEJ
278 repair when MRE11 is inhibited (Fig. 2D). Surprisingly, co-transfected Mirin treated *XRCC4*(-/-)
279 also showed NHEJ repair as indicated by the presence of GFP expression (Fig 2 H). This suggests
280 that NHEJ repair is sustained even when XRCC4 and MRE-11 are inhibited.

281

282 **NHEJ efficiency was lowered when both XRCC4 and MRE-11 are blocked**

283 To quantify the NHEJ repair efficiency, cells expressing the fluorescent reporters were quantified
284 by flow cytometry. Cells were first gated based on mCherry⁺ expression, selecting the population
285 expressing the reporter system. Next, we determined percentage of cells expressing GFP within
286 the mCherry⁺ cell populations (Fig. 3A, B). The mean percentage of GFP⁺ expressing cells within
287 the mCherry⁺ cells for each sample is compared in Fig. 3B. The efficiency of NHEJ repair was

288 (25.5% \pm 1.3) in HeLa-NT cells and (15.4% \pm 0.4) for HeLa-NT cells treated with Mirin (Fig. 3B),
289 indicating that blocking MRE11 activity reduced NHEJ editing efficiency. Similar inhibition of
290 NHEJ editing was observed in *XRCC4*(-/-) cells (15.9% \pm 0.2) or those treated with Mirin (13.26%
291 \pm 0.2). These results indicated that NHEJ repair was prevalent even when either or both *XRCC4*
292 and MRE-11 are blocked, however; the efficiency is reduced by approximately 40-48%.

293

294 **Altered expression of genes when NHEJ is blocked**

295 The reporter assay demonstrates that NHEJ repair is partially inhibited, but still present in cells
296 when *XRCC4* and MRE-11 expression is blocked alone, as well as in combination. To assess the
297 molecule basis for the robustness in preservation of NHEJ activity, gene expression of
298 transcriptomes was compared for cells with inhibition of *XRCC4* and MRE-11. To determine
299 differences in transcriptomes, cell populations selected as above were analyzed by RNA-seq. A
300 principle component analysis showed a clear separation between each sample category, but
301 clustering for duplicate samples (Fig. 4A), indicating a different transcriptomic profile for each
302 sample category.

303

304 The transcriptional changes are summarized in a Venn diagram to codify similar and unique genes
305 among samples. The *XRCC4*(-/-) sample had 83 and Mirin treated HeLa cells had 30 unique
306 differentially expressed genes (Fig. 4B). However, the Mirin treated *XRCC4*(-/-) cells had 307
307 uniquely differentially expressed genes, far greater than other samples reflecting a more impactful
308 transcriptional response. This differential transcriptional response was further supported when the
309 top differentially expressed genes (DEGs) were plotted as heatmap (Fig.4C and Supplementary
310 Table 2). The gene expression for *XRCC4*(-/-) cells treated with Mirin were most different from
311 HeLa-NT cells (Fig. 4C). To validate the gene expression quantitation, five differentially

312 expressed genes identified from the RNA-seq analysis (*CA9*, *CDKN1A*, *ENO2*, *DUSP5* and
313 *ZMAT3*) were assessed by real time PCR. The top differentially expressed genes plotted for
314 heatmap (Fig. 4) and the p53 downstream pathway were selected for gene expression quantitation
315 by real time PCR (Fig. 5A). The PCR data and RNA-seq gene expression measurements were
316 consistent with each other, thereby validating the RNA-seq results (Supplementary Fig. 1). The
317 cells with either *XRCC4* knock out or treated with Mirin showed fewer and less intense changes
318 in gene expression. Additional details for changes in gene expression are shown by volcano plots
319 in Supplementary Fig 2.

320

321 **Pathway analysis of differentially expressed genes (DEGs)**

322 We next sought to determine which pathways are functionally associated with the transcriptional
323 response to blocking NHEJ. DEG enrichment was analyzed with Metascape²². Meta-enrichment
324 analysis indicated that all 3 conditions with partially or completely blocked NHEJ were
325 consistently enriched in several pathways: transcriptional misregulation in cancer, alcoholism,
326 defense response to viruses, and response to oxygen levels (Fig. 5A). Pathways unique to *XRCC4*(-/
327 -) cells with or without Mirin were Extracellular matrix organization, core matrisome, and
328 endoderm and fat differentiation pathways (Fig. 5A). Pathways like carboxylic acid biosynthetic
329 process, p53 downstream pathways and HIF signaling pathway were enriched in *XRCC4*(-/-) +
330 Mirin group and in HeLa-NT treated with Mirin. Interestingly, pathways unique to *XRCC4*(-/-)
331 with Mirin group were regulation of small metabolic processes, TP53 regulates transcription of
332 cell death gene, generation of precursor metabolites and energy (Fig. 5A). A network layout was
333 created by enrichment analysis and visualized with Cytoscape (v3.1.2) (Fig. 5B). Such network
334 reveals interrelation of enriched pathways and genes.

335

336 **PPI network construction and pathways interaction analysis**

337 Pathways were also evaluated by examining protein-protein interaction networks. A protein-
338 protein interaction network was constructed from the DEGs for the 3 sample conditions with
339 BioGrid in Metascape²². The network contained a total of 237 nodes and 956 edges where network
340 nodes are displayed as pies (Fig. 6A). Seven significant network modules were identified with the
341 MCODE algorithm (Fig. 6B), which included 71 proteins from which ENO3, CACNG6, ITGB8,
342 PDE10A, COL12A1 and FSTL3 served as seed proteins. Gene Ontology (GO) terms associated
343 with each module are depicted in Fig. 6B and HDAC deacetylates histones appear to be the
344 prominent GO term. As expected, human histone acetylation and deacetylation regulate the NHEJ
345 repair pathways²³.

346

347 **DISCUSSION**

348 There are two NHEJ repair pathways for repairing DSBs upon DNA damage. We sought to better
349 understand the relationship between these pathways. First, we designed, tested, and validated a
350 NHEJ reporter construct that enabled us to examine the cells that have undergone NHEJ mediated
351 DNA repair. When *XRCC4* is knocked out or MRE-11 exonuclease activity is inhibited, NHEJ
352 mediated DNA repair was reduced by ~40%. Interestingly, NHEJ activity was reduced to ~50%
353 in *XRCC4(-/-)* + Mirin treated cells compared to wild type HeLa-NT cells. We predicted that
354 blocking NHEJ would increase vulnerability to cell death due to reduced ability to repair DNA
355 damage. This observation indicates that NHEJ is sustained, even when XRCC4 and MRE-11 and
356 presumably the functions of the know NHEJ pathways are abolished. These observations support
357 NHEJ pathways with redundant functions, that may be mediated by a transcriptional response.

358

359 We considered three possible explanations for the presence of NHEJ repair despite inhibiting
360 XRCC4 and MRE-11: 1) There could be a yet to be discovered NHEJ pathway. However, this
361 possibility is unlikely considering that the comparative transcriptomic profiles did not reveal any
362 differential expression of DNA repair proteins or components of DNA repair complexes. We
363 recognize that this negative result is not conclusive, as is the case for any negative result. However,
364 analysis of whole transcriptome is a global measurement, but there could be genes with repair
365 functions that are not yet identified or annotated; 2) Another possible explanation is that either
366 MRE-11 or XRCC4 have other genes that can compensate for the lack of their functions. Although
367 Xing et al., identified *PAXX*, a new paralogue of *XRCC4*²⁴, this gene was not differentially
368 expressed in our case; and 3). Another possibility, which we favor is that post translational
369 modifications of known DNA repair proteins or new protein-protein interactions modulate NHEJ
370 pathways and the changes in post-translational regulation would not be captured by the RNA-seq
371 analysis.

372

373 Considering the latter hypothesis, our DEG analysis identified multiple pathways that were
374 induced for each condition that block NHEJ. The result suggests induction of a substantial
375 regulatory response that may produce signaling cross talk between the NHEJ repair pathways.
376 Although, NHEJ is the major repair pathway for DSB; the temporal recruitment of different factors
377 and complexes are not completely elucidated. It is likely that MRE-11 may function upstream of
378 the XRCC4 involvement in the DNA repair and may suggest interaction between these NHEJ
379 pathways.

380

381 In support of cross-talk hypothesis, studies on cross talk of NHEJ with base excision repair (BER)
382 and HDR with some common proteins were identified^{25,26}. Xia et al. has demonstrated that Pol β

383 which plays a central role in BER, exhibits higher degree of spatial colocalization with Ku70, a
384 component of C-NHEJ in the nucleus following DNA damage caused by Methyl methanesulfonate
385 or etoposide ²⁷. *In vitro* binding assays also supports interaction between Polβ and Ku70. MRE-11
386 has a critical role in DSB recognition and protein complex recruitment in both the NHEJ and HR
387 pathways. Another protein, BRCA-1, is known to regulate the nuclease activity of MRE-11.
388 BRCA1 phosphorylation mediated by Checkpoint kinase-2- enhances NHEJ fidelity but can also
389 deplete 53BP in S/G2 phase to favor HR, suggesting that post-translation modification of BRCA1
390 regulates pathway preference ^{25,26}. Thus, it is possible that both C-NHEJ and A-NHEJ could also
391 have signaling interactions that rescue blocking both NHEJ pathways ^{9,28}.

392
393 One theme that emerged from blocking NHEJ was that blocking of NHEJ pathways by either
394 *XRCC4* knock out or Mirin treatment alone or in combination lead to pathways like transcriptional
395 misregulation in cancer, alcoholism, defense response to viruses, and response to oxygen levels.
396 The error prone nature of NHEJ is a major cause of carcinogenesis and blocking of these pathways
397 in our experiments correlates with the transcriptional misregulation associated with cancer
398 pathway. Introduction of DSB/viral DNA leads to activated DNA repair pathway components
399 which are also activated as a defense response to viruses ²⁹. Similarly, oxidative stress induces
400 DNA damage and activate NHEJ mediated pathways ³⁰. Enrichment of these pathways highlight
401 cellular adaptation in response to DNA damage.

402
403 Although, we did not identify any major DNA repair protein to be uniquely altered in double
404 inhibition of *XRCC4* and MRE-11 in cells, a bypass pathway may utilize some proteins already
405 known to be associated with NHEJ. The pathways enriched in these cells include pathways
406 associated with regulation of small molecule metabolic processes, TP53 regulates transcription of

407 cell death genes and generation of precursor metabolites and energy suggesting a major role played
408 by genes of metabolic pathway in such a scenario. The metabolic enzymes are known to play non-
409 canonical roles outside their established metabolic roles especially in gene regulation, DNA
410 damage response and apoptosis ³¹. Among the metabolic pathway, genes belonging to glycolysis
411 were more prevalent and were downregulated. Induction of glycolysis contributes to enhancement
412 of NHEJ repair pathway and here we show that *XRCC4(-/-)* with Mirin treated cells had lower
413 expression of glycolytic genes, *PGK1*, *ALDOC*, *PFKFB4*, *TPI1*, *ENO3*, *PFKP*, *ENO2*, *HK2*, when
414 compared to control HeLa-NT cells ³². However, whether there is a feedback loop mechanism
415 between glycolysis and DNA repair pathway and its potential role in NHEJ will require further
416 investigation.

417

418 Depletion of another DNA repair enzyme, DNA-PKc is previously shown to cause alteration in
419 metabolic pathway and TP53 levels ³³. Enhanced p53 activity is a critical cellular signal for DNA
420 damage. Under normal condition, p53 remains in an inactivated state. However, in response to
421 DNA damage, the p53 is activated and drives transcription of factors involved in the apoptosis,
422 cell cycle, DNA repair, and cellular senescence ^{34,35}. Therefore, to compensate for defective
423 XRCC4 and MRE11, our results show that the metabolic and p53 pathways are altered as an
424 adaption to cellular stress introduced by DSB and in absence of efficient NHEJ repair systems.

425

426 A protein-protein interaction network analysis suggest interconnectivity between the
427 transcriptional responses for each NHEJ group (Fig 6A). This is consistent with our hypothesis of
428 cross talk between different NHEJ pathways. While the previously discussed p53 response has an
429 well-known connection with DNA damage and repair, chromatin modifications may be required
430 to give NHEJ repair proteins access to the DSB. One major DEG category supports a possible role

431 for chromatin modification as part of the regulator response to blocking NHEJ. A set of histones
432 genes that were identified in the protein-protein interaction studies, and these genes are also part
433 of Alcoholism pathway that is highly enriched in the *XRCC4(-/-)* cells treated with Mirin (Fig.
434 6B). HDACs deacetylation histones have a major influence on chromatin structure and regulates
435 the activation of DNA repair proteins³⁶. Therefore, the DEG for these pathways was not surprising.
436 There are several reports supporting the profiles of histone acetylation affecting NHEJ³⁷⁻⁴⁰.
437 HDAC inhibitors impair DNA repair, suggesting that upregulation of HDAC deacetylation
438 contributes to DNA repair in Mirin treated *XRCC4(-/-)* cells⁴¹.

439

440 In conclusion, our results demonstrate that NHEJ is robust, even when key proteins thought to be
441 essential for the two known NHEJ pathways are blocked. Surprisingly, the majority of NHEJ
442 activity was preserved with a corresponding transcriptional response. These results are an
443 important step forward in identifying regulatory interactions between NHEJ pathways.

444

445 **Authors contribution**

446 RB and MRS conceived the hypotheses and experiments. ST helped collect and analyze literature
447 editing data. RB, AB conducted the experiments and analyzed the data, LB and DE helped with
448 sequencing of *XRCC4(-/-)* cells, CG and XW collected and processed the RNA-seq raw data, RB,
449 AB and MRS contributed to writing of the manuscript and MRS provided funding and space for
450 the work.

451

452 **Conflict of interest**

453 The authors declare that there are no conflicts of interest.

454

455 **Funding**

456 The Nevada Governor's office of Economic Development and by the National Institutes of Health
457 (R56 AI109156 and P20 GM121325 to MRS) funded this research. This research is also supported
458 by the Prabhu Endowed Professorship to MRS.

459

460 **Acknowledgements**

461 Flow cytometry and initial RNA-seq data analyses were performed with resources from the
462 Nevada Institute of Personalized Medicine (NIPM) and the National Supercomputing Institute at
463 University of Nevada Las Vegas (UNLV). The authors would like to acknowledge Edwin Oh and
464 Shirley Shen and Genome Acquisition and Analysis (GAA) Core from the NIH Center of
465 Biomedical Research Excellence (COBRE) at NIPM. Real time PCR was performed at UNLV
466 genomics core facility and is acknowledged.

467

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560 suppressing DNA repair activity. *Clin Cancer Res* **11**, 4912–4922 (2005).

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571 **Figure legends:**

572 **Figure 1: Construction and validation of NHEJ reporter system**

573 A) Schematic representation of NHEJ reporter system and plausible outcome when both NHEJ
574 pathways are blocked, where TBS = TALEN binding site; CMV = cytomegalovirus promoter. B)
575 Fluorescent microscopy validation of reporter system. C) Western blot confirmation of *XRCC4* (-
576 /-) clonal selection in HeLa cells where 2G3 clone had no expression. D) Sequence of region of
577 *XRCC4* genomic DNA alleles for wild type cells and *XRCC4*(-/-) 2G3 clone. Deletions are
578 indicated by “-”. 2G3 clone alleles have a frameshift indel, thus are a biallelic knockout. F) MTT
579 assay of HeLa-NT cells treated with 100 mM of Mirin when compared to HeLa-NT cells.
580 Statistically significant differences were determined by an ANOVA analysis where *denotes
581 $p < 0.05$.

582

583 **Figure 2: DNA double stand break repair when both *XRCC4* and *MRE-11* are blocked.**

584 Representative fluorescence microscopy images of NHEJ editing when C-NHEJ and A-NHEJ are
585 blocked. HeLa-NT (A-D) and *XRCC4*(-/-) (E-H). Cells co-transfected with TALEN reporter
586 plasmid (expressing mCherry) along with either empty vector or TALEN plasmids where GFP⁺
587 cells indicate DNA repair events and treated with 100uM Mirin wherever indicated. Cells were
588 analyzed 48 hrs post-transfection and representative images are shown. TALEN reporter with
589 empty vector (A, E), empty vector with Mirin (B, F), TALEN plasmid (C, G), TALEN plasmid
590 with Mirin (D, H) are shown.

591

592 **Figure 3: Measurement of NHEJ efficiency using flow cytometry.**

593 A) Representative images of cells positive for mCherry expression followed by GFP⁺ expression.
594 B) Mean GFP⁺ cells were plotted. Experiments were done in triplicates and data was analysed
595 using FLOWJo 10.7.1. Statistical significance was determined by ANOVA where * indicates p < 0.01
596

597 **Figure 4: Principal component analysis (PCA), Venn Diagram and Heatmap from RNA-seq**
598 **profile.**

599 A) A PCA plot for HeLa-NT, *XRCC4*(-/-), Mirin and *XRCC4*(-/-)+ Mirin from whole-
600 transcriptome RNA-seq data using ClusVis²⁰. B) A Venn diagram representing shared and unique
601 DEGs across 3 categories (i) *XRCC4*(-/-) (blue) (ii) Mirin treated (green) (iii) *XRCC4*(-/-) with
602 Mirin treated (red) when compared to HeLa-NT cells. C) A heatmap of top DEGs across each
603 sample categories.

604

605 **Figure 5: Functional enrichment analysis**

606 A) A heatmap with embedded dendrogram showing relationships between enriched GO/KEGG
607 terms and canonical pathways. 0.3 kappa score was applied as the threshold to cast the tree into
608 term clusters.

609 B) A network graph with enrichment ontology clusters colored by cluster ID. Each term is
610 represented by a node, where its size is proportional to the number of genes for each term. Terms
611 with a similarity score > 0.3 are linked by an edge (the thickness of the edge represents the
612 similarity score). The network was created with Cytoscape (v3.1.2).

613

614 **Figure 6: Protein-protein interaction network analysis.**

615 A) PPI network of DEGs across all three NHEJ categories. Nodes are displayed as pies to indicate
616 NHEJ sample. B) MCODE components were identified from merged network for all samples.

617 Each MCODE network is assigned a unique color and the network was generated with Cytoscape
618 (v3.1.2). C) MCODE GO term, description and p values are shown.

619

620 **Supplementary Figure 1: Validation of RNA-seq profile across all the categories.**

621 Changes in gene expression determined by real time PCR. The data were represented as mean \pm
622 SD and significance between groups were determined by ANOVA test where denoted $p < 0.05$
623 and NS=not significant.

624

625 **Supplementary Figure S2: Volcano chart and functional enrichment analysis.**

626 Volcano chart showing differentially expressed genes with $FDR < 0.01$ and $\log FC \geq 1.2$ compared
627 to HeLa-NT cells A) *XRCC4*(-/-), B) Mirin treatment, C) *XRCC4*(-/-) with Mirin treatment.

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Figures

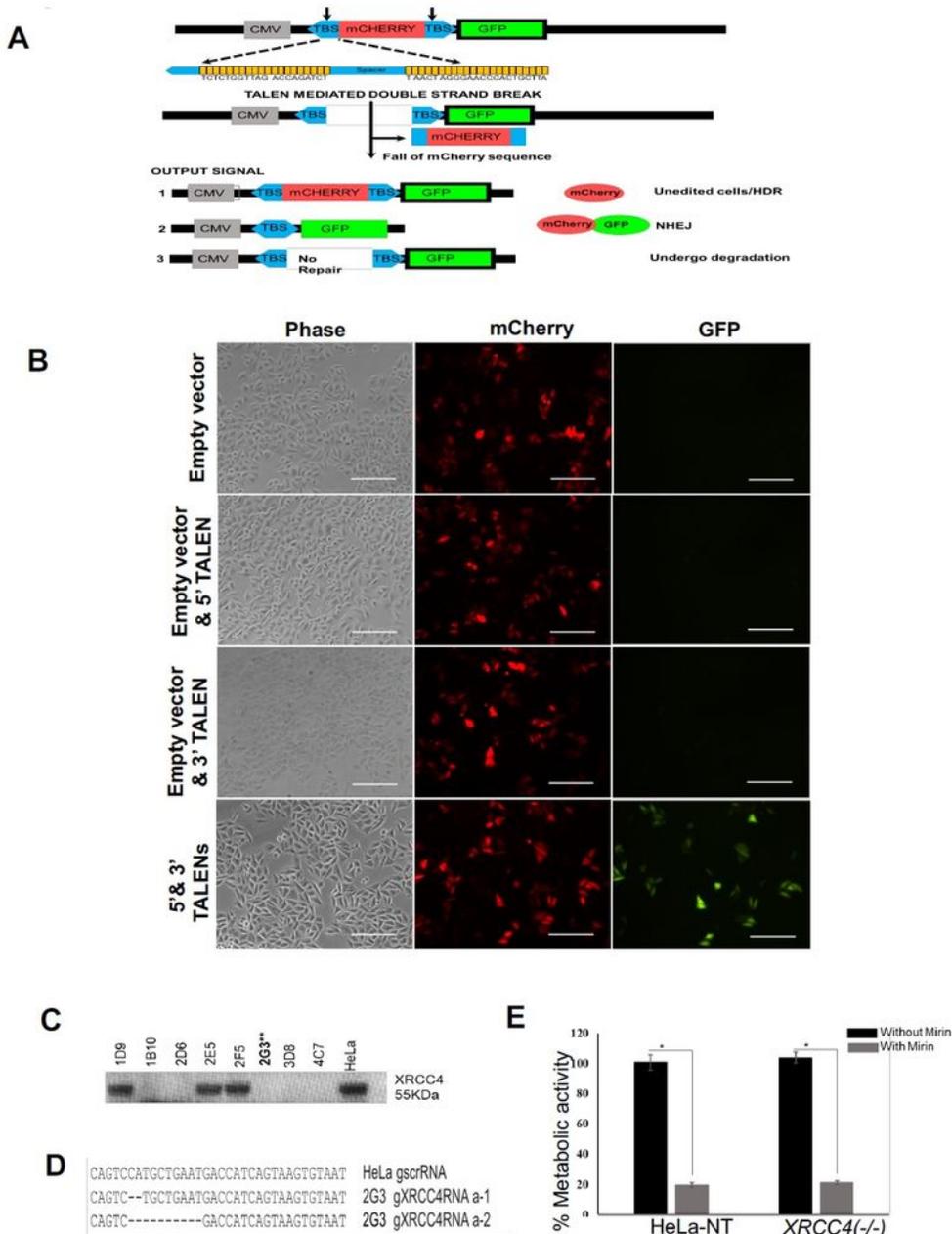


Figure 1

Construction and validation of NHEJ reporter system A) Schematic representation of NHEJ reporter system and plausible outcome when both NHEJ pathways are blocked, where TBS = TALEN binding site; CMV = cytomegalovirus promoter. B) Fluorescent microscopy validation of reporter system. C) Western

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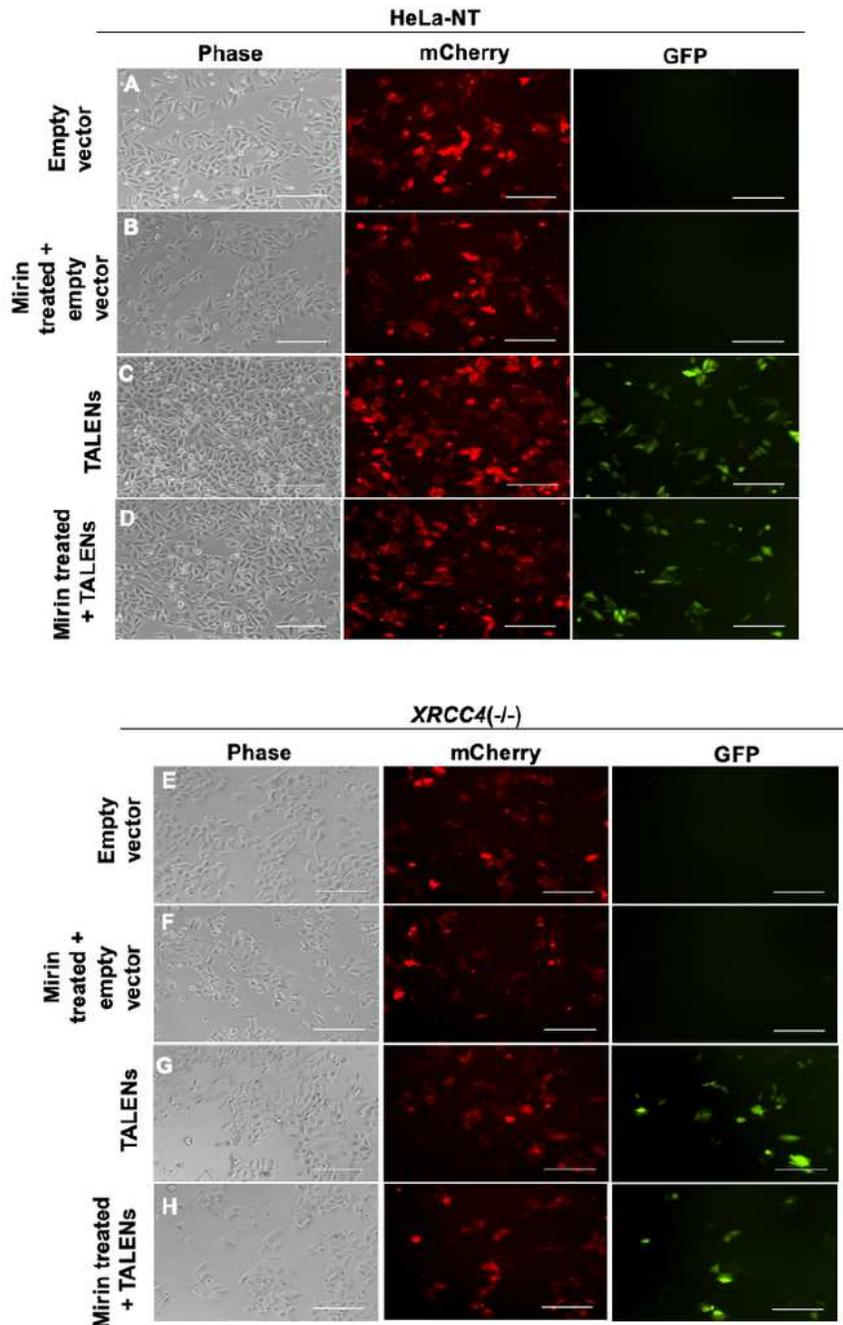


Figure 2

DNA double strand break repair when both XRCC4 and MRE-11 are blocked. Representative fluorescence microscopy images of NHEJ editing when C-NHEJ and A-NHEJ are blocked. HeLa-NT (A-D) and XRCC4(-/-) (E-H). Cells co-transfected with TALEN reporter plasmid (expressing mCherry) along with either empty vector or TALEN plasmids where GFP+ cells indicate DNA repair events and treated with 100uM Mirin wherever indicated. Cells were analyzed 48 hrs post-transfection and representative images are shown. TALEN reporter with empty vector (A, E), empty vector with Mirin (B, F), TALEN plasmid (C, G), TALEN plasmid with Mirin (D, H) are shown.

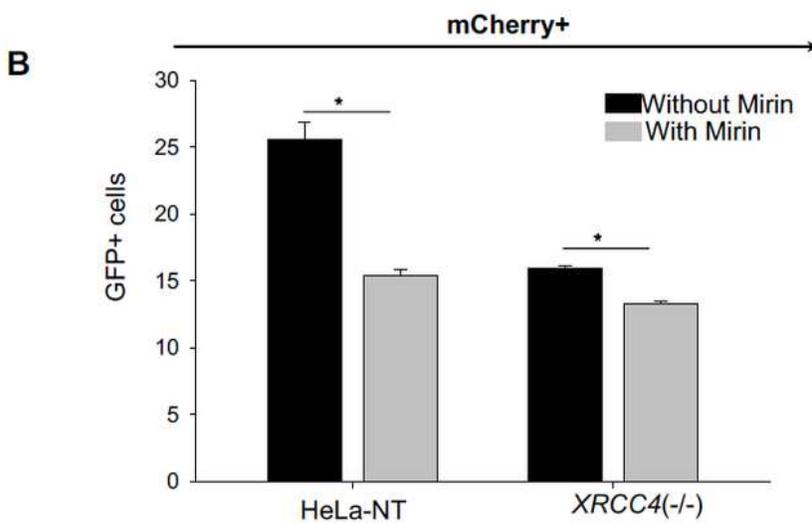
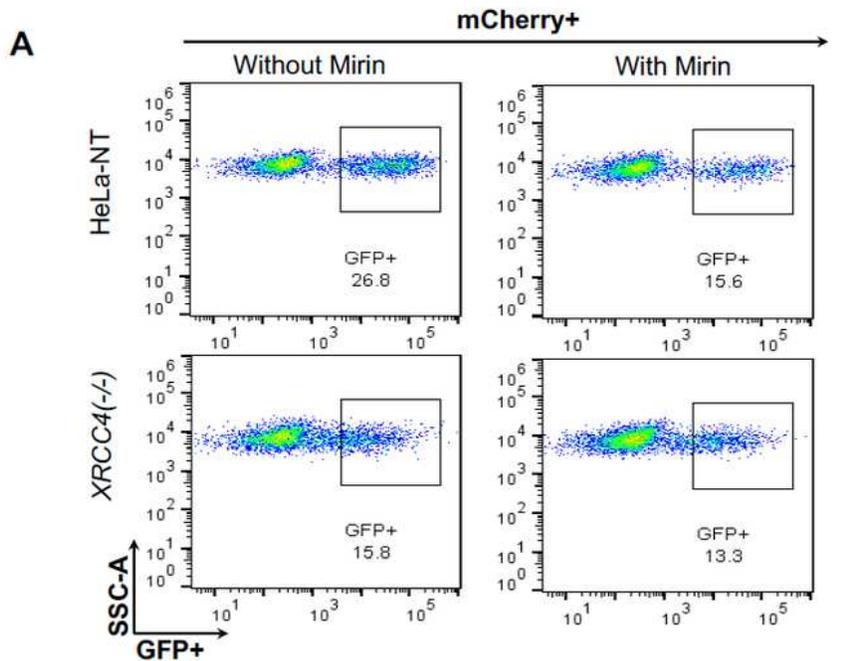


Figure 3

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Fig. 4

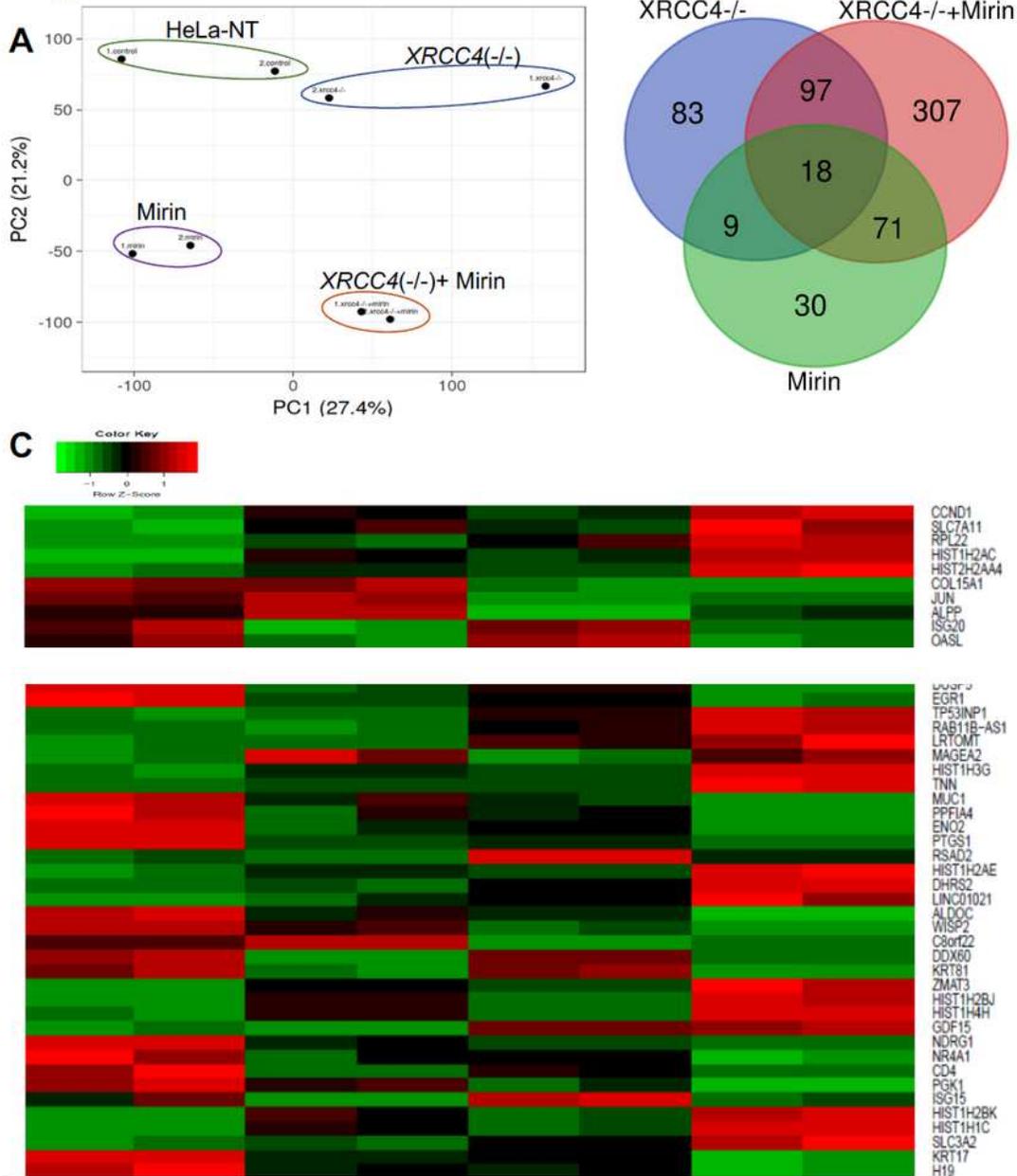


Figure 4

Principal component analysis (PCA), Venn Diagram and Heatmap from RNA-seq profile. A) A PCA plot for HeLa-NT, XRCC4(-/-), Mirin and XRCC4(-/-)+ Mirin from whole transcriptome RNA-seq data using ClusVis 20. B) A Venn diagram representing shared and unique DEGs across 3 categories (i) XRCC4(-/-) (blue) (iii) Mirin treated (green) (iii) XRCC4(-/-) with Mirin treated (red) when compared to HeLa-NT cells. C) A heatmap of top DEGs across each sample categories.

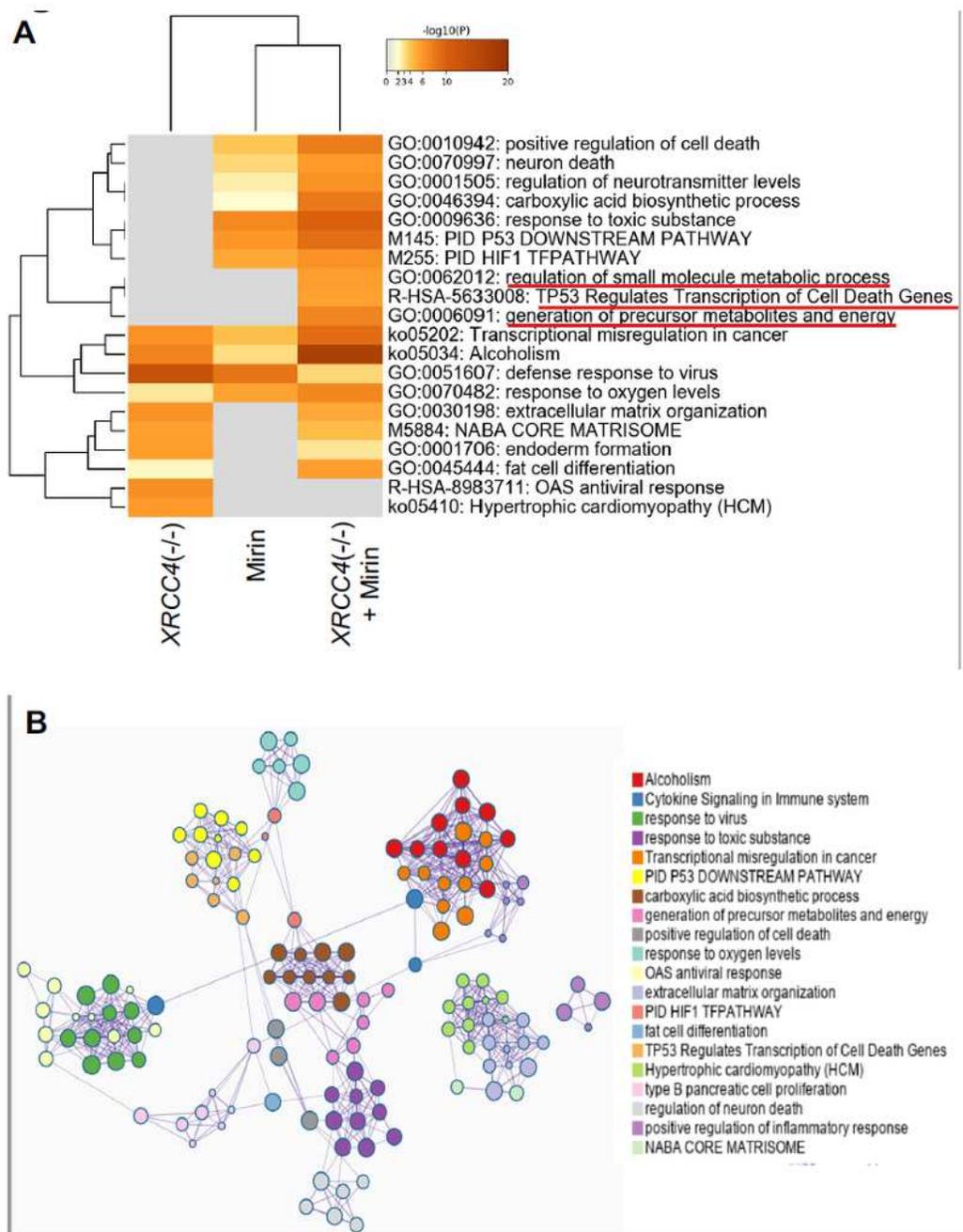


Figure 5

Protein-protein interaction network analysis. A) PPI network of DEGs across all three NHEJ categories. Nodes are displayed as pies to indicate NHEJ sample. B) MCODE components were identified from merged network for all samples. Each MCODE network is assigned a unique color and the network was generated with Cytoscape (v3.1.2). C) MCODE GO term, description and p values are shown.

Supplementary Files

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