

An Observational Study Investigating the CRY1 Δ 11 Variant Associated with Delayed Sleep-wake Patterns and Circadian Metabolic Output

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Research Article

Keywords: sleep-wake patterns , cryptochrome circadian clock 1 (CRY1), wild-type (WT), Circadian Metabolic Output

Posted Date: May 7th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-468649/v1>

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1 **Title Page**

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3 wake patterns and circadian metabolic output

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15 **Conflicts of Interest:** SPS is an employee of Vanda and a stockholder. JLB is an employee of
16 Vanda and a stockholder. ARK is an employee of Vanda and a stockholder. JAS is an employee
17 of Vanda and a stockholder. JW is an employee of Vanda and a stockholder. CX is an employee
18 of Vanda and a stockholder. CP is an employee of Vanda and a stockholder. TÖ is an investigator
19 for Vanda. MHP is Chief Executive Officer of Vanda.

20 **Abstract**

21 We conducted an observational research study to collect information on sleep-wake patterns from
22 participants with a confirmed status of the cryptochrome circadian clock 1 (*CRY1*) splicing variant,
23 *CRY1Δ11* c.1657+3A>C, and their controls, defined as wild-type (WT) family members.
24 Altogether, 67 participants were enrolled and completed this study in Turkey, recruited from a list
25 of families with at least one *CRY1*-confirmed member. We measured sleep-wake patterns and
26 metabolic output, specifically time and frequency of bowel movements, for all participants by
27 daily post-sleep diaries over 28 days. The sleep diary measured self-reported bed time, wake time,
28 midpoint of sleep, and latency to persistent sleep (LPS), and accounted for naps and awakenings
29 for religious purposes. Wake time and midpoint of sleep were significantly later in the *CRY1Δ11*
30 variant group versus WT, and LPS was significantly greater in participants in the *CRY1Δ11*
31 variant group. The mean bed time on all nights of sleep was later in participants with a *CRY1Δ11*
32 variant versus WT. Additionally, participants with a *CRY1Δ11* variant had significantly affected
33 metabolic outputs, measured by later bowel movements than WT participants. These results
34 demonstrate that, on average, individuals with the studied splicing variant experience pronounced
35 delays in sleep period and circadian-related metabolic processes.

36 **Introduction**

37 The association of the cryptochrome circadian clock 1 (*CRY1*) gene *CRY1* Δ 11 variant
38 c.1657+3A>C with familial delayed sleep-wake phase disorder (DSWPD) was initially described
39 by Patke et al., 2017¹. Acting in a dominant manner, the rs184039278 (G) allele was observed in
40 individuals who had a familial DSWPD, a circadian rhythm disorder affecting the timing of the
41 sleep-wake cycle². DSWPD is the most commonly diagnosed circadian rhythm sleep-wake
42 disorder, with an estimated prevalence of 0.2–10%^{2,3}. It is characterized by a persistent and
43 intractable delay in sleep onset and offset times relative to the societal norm³. Mechanistically, this
44 gain-of-function variant was shown to cause reduced expression of core transcriptional targets,
45 lengthening the period of molecular circadian rhythms¹. The variant leads to enhanced protein
46 activity ultimately increasing affinity for CLOCK and BMAL1 activator proteins¹. Additionally,
47 it has been recently demonstrated that the phenotype caused by the *CRY1* Δ 11 variant
48 (c.1657+3A>C) is furthermore due to the deletion of an auto-inhibitory segment of the *CRY1*
49 protein⁴. This rare variant has a global minor allele frequency (MAF) of 0.004, and a higher MAF
50 in the Ashkenazi Jewish population (0.03)⁵.

51 Moreover, in a reverse phenotyping study, the variant was shown to be associated with attention
52 deficit/hyperactivity disorder (ADHD)⁶. Onat et al. reported a high enrichment of the *CRY1* Δ 11
53 variant in ADHD patients, specifically in 8 of 62 ADHD patients and in 0 of 369 controls⁶.

54 We have conducted a rigorous observational research study to collect information on sleep-wake
55 patterns from participants with a confirmed *CRY1* Δ 11 variant status (Figure 1). The objective of
56 this study was to measure sleep-wake patterns of participants with the *CRY1* Δ 11 variant and
57 controls using a daily sleep diary. Control or wild-type (WT) participants did not have a *CRY1* Δ 11
58 variant but were family members of participants with a confirmed *CRY1* Δ 11 variant. We

59 furthermore aimed to determine the penetrance of the delayed sleep-wake cycle phenotype of a
60 CRY1 Δ 11 variant, as well as assess the impact of the variant on metabolic output.

61 **Results and Discussion**

62 The daily sleep diary was used to measure bed time, wake time, midpoint of sleep, and latency to
63 persistent sleep (LPS), and accounted for naps and awakenings for religious purposes. Results
64 showed significant differences in wake time, bed time, and midpoint of sleep on work nights
65 between carriers of a *CRY1* gene variant ($n = 33$, *mean* age: 41) and their familial controls ($n = 34$,
66 *mean* age: 43), as seen in Table 1. Wake time and midpoint of sleep were both significantly later
67 in the *CRY1* Δ 11 variant group than the WT group (Figure 2A, 43 minute difference in wake time:
68 *mean*, $p_{\text{ttest}} = 0.03$, $p_{\text{wilcoxon}} = 0.02$; Figure 2B, 40 minute difference in midpoint of sleep: *mean*,
69 $p_{\text{ttest}} = 0.05$, $p_{\text{wilcoxon}} = 0.03$). LPS was also significantly greater in participants in the *CRY1* Δ 11
70 variant group, on average 28 minutes more, resulting in a 12 minute difference compared to the
71 WT group (Figure 2C, *mean*, $p_{\text{ttest}} = 0.01$, $p_{\text{wilcoxon}} = 0.001$). The bed time on all nights of sleep
72 (work and free nights) was 36 minutes later in participants with a *CRY1* Δ 11 variant versus WT
73 (*mean*, $p_{\text{ttest}} = 0.11$, $p_{\text{wilcoxon}} = 0.07$). In Figure 3, we display the average diary plots for *CRY1* Δ 11
74 variant carriers and their WT familial controls, segregated by free and work nights. The delayed-
75 sleep effect is larger on work nights vs. free nights, which is consistent with the inability to induce
76 sleep onset. Individual raster plots are provided in the Supplemental Material. Furthermore, the
77 variant carriers had a larger individual and average standard deviation (SD) across bed time and
78 wake time (S. Table 1). Importantly, we collected information on nap times, sun exposure, and
79 religious awakenings, and we report no significant difference between the WT and *CRY1* groups
80 (S. Figure 2). The WT group included 15/34 females and the *CRY1* Δ 11 variant group included
81 19/33 females. We report no significant difference in the distribution of age across the two groups
82 (S. Figure 2, WT: *mean* age: 41; *CRY1* Δ 11: *mean* age: 43).

83 The daily sleep diary was also used to measure the time of a participant's first bowel movement
84 and to capture the metabolic effects of a sleep delay. Participants with a CRY1Δ11 variant had
85 significantly later bowel movements than WT participants, delayed on average by 1 hour and 31
86 minutes (Figure 4, *mean*, $p_{ttest} = 0.004$, $p_{wilcoxon} = 0.002$).

87 The results of this observational study add to the body of evidence on the association between the
88 CRY1Δ11 variant and DSWPD. Despite the advantages of using familial controls, it also comes
89 with limitations as those in the same household may be impacted by the sleep patterns of their
90 family members. There are also environmental factors that could further affect the manifested
91 sleep patterns, such as light exposure, level of exercise, daily activities, and caffeine and stimulant
92 consumption. Ultimately based on our study results, 70% of individuals with a confirmed
93 CRY1Δ11 variant status manifested the suspected delayed phenotype. The fact that metabolic
94 outputs were also delayed is consistent with the hypothesis and observations on the circadian clock
95 itself. Numerous preclinical studies in gene expression of core clock genes, such as *PER2* and
96 *BMAL1*, show circadian rhythms affecting and coordinating the timing of our metabolic functions
97 within colonic motility coordination sites⁷. Other clinical studies suggest colonic motility follows
98 a rhythm where the majority of individuals have a bowel movement in the morning and, less
99 frequently, a smaller number have their first bowel movement later in the day⁷. In our present
100 study, we used the timing of bowel movement as a marker of metabolic output and, using the other
101 core outputs of clock genes such as sleep onset, tested whether the hypothesis of being delayed is
102 due to the variant of interest.

103 This observational study served as baseline data to provide insight on sleep characteristics that are
104 most impacted by highly penetrant CRY1Δ11 variant.

105 **Methods**

106 ***Study Design***

107 We measured sleep-wake patterns of participants with a CRY1 Δ 11 variant (rs184039278) and
108 control participants by electronic daily post-sleep diaries for a period of 28 days. The study design
109 is shown in the Supplemental Material (S. Figure 1). After providing informed consent, all 67
110 participants were asked to complete questionnaires pertaining to demographic information,
111 medical and surgical history, sleep history, concomitant medications, adverse events, and pedigree
112 information. The questionnaires were completed in-person at a site visit or over the phone with a
113 qualified site staff member. The daily post-sleep diary was performed for 28 days over the phone
114 with a qualified site staff member, and the electronic diary was time stamped to ensure responses
115 were recorded in a 24-hour window.

116 ***Study Participants***

117 Study participants included carriers of a CRY1 Δ 11 variant ($n = 33$) and controls ($n = 34$), defined
118 as WT family members. Participants were recruited in Turkey from a list of families with at least
119 one *CRY1*-confirmed member between October 2019 and March 2020. Of the 80 participants
120 invited to participate, 67 were enrolled and completed the observational study. Thirty four (34) of
121 the participants were females, and the mean age was 42.5 years. Participant disposition is shown
122 in the Supplemental Material (S. Figure 1).

123 ***Genotyping***

124 Whole blood samples were obtained from participants in a prior study and genomic DNA was
125 extracted. *CRY1* c.1657+3A>C genotype status was determined by amplifying genomic DNA
126 using hCry1i10F (5'-GTCAACACTTCTGTGAGCCT-3'), hCry1i12R (5'-
127 CAGATGCATGTCTCTTGACC-3'), and restriction digestion analysis. The PCR yielded a 623-

128 bp product of the genomic locus containing exon 11 and was digested with Hpy188I (+ allele: no
129 cut, variant c.1657+3A>C: 276 bp + 347 bp).

130 *Statistics*

131 Participants reported their bed time and wake time by daily sleep diary. Daily diaries were
132 collected for each participant for a period of 28 days. Data was summarized by carrier status with
133 means, medians, SDs, minimums, and maximums. The mean was calculated as the average of
134 individual means. Individual mean was calculated as the average for each participant over all
135 nights, work nights, and free nights. For SD, the mean of individual SD was calculated. P-values
136 were obtained from a double sided t-test. Analyses were done for both work nights and free nights,
137 defined as a night before work/morning commitment and a night before a free day, respectively.

138 *Study Approval*

139 Participants provided written informed consent before any procedures occurred and were provided
140 a copy of the signed consent form. All research was performed in accordance with relevant
141 guidelines and regulations. This study was approved by the institutional ethics committee of
142 Bilkent University (approval number: 08-04-2016).

143 **Author Contributions**

144 SPS, JLB, CP, and MHP contributed to the study concept and design. SPS, JW, and CX contributed
145 to data analysis. SPS wrote the report in collaboration with JLB, ARK, JAS, and TÖ. TÖ conducted
146 the study as an investigator. All authors reviewed and approved the report before submission.

147

148 **Acknowledgements**

149 This work was supported by Vanda Pharmaceuticals Inc. We thank Dr. M. W. Young and Dr. A.
150 Patke for valuable discussions. We would like to thank the participants, without whom the project
151 would not have been conducted. We thank the investigator and staff of the study site, including
152 Nezahat Doğan.

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173 **Display Items**

174 Table 1: Summary of Mean Post-Sleep Diary Data.

Work Night	Bed Time (VARIANT)	Bed Time (WT)	Wake Time (VARIANT)	Wake Time (WT)	Midpoint (VARIANT)	Midpoint (WT)	First BM (VARIANT)	First BM (WT)
No	00:32	00:18	09:14	08:41	04:53	04:30	13:43	12:19
Yes	00:31	00:04	08:05	07:28	04:18	03:46	13:57	12:49
All	00:38	00:02	08:28	07:45	04:33	03:53	14:01	12:30

175

176 Table 1. Work night refers to night before a ‘work’ day (Yes) versus a night before a ‘free’ day
 177 (No). Bed time refers to the time when the participant went to bed with intention of going to sleep.
 178 Wake time refers to the time the participant woke up. Midpoint refers to the midpoint of sleep.
 179 First BM refers to the first bowel movement of the day.

180 Figure 1. A. *CRY1* lollipop plot showing the variant of interest, rs184039278, with respect to the
 181 domains and location of other known coding variants. B. Scatter plot showing delayed sleep period
 182 in the *CRY1* variant group (n = 33) compared to WT controls (n = 34). The x-axis is the bed time
 183 and the y-axis is the wake time.

184 Figure 2. Boxplots showing significant differences in sleep parameters based on data collected
 185 over a period of 28 days (electronic daily diary) (n = 67). A. Wake Time: *mean*, $p_{ttest} = 0.03$, $p_{wilcoxon} = 0.02$ (y-axis is time in hours). B. Midpoint: *mean*, $p_{ttest} = 0.05$, $p_{wilcoxon} = 0.03$ (y-axis is time in
 186 hours). C. LPS: *mean*, $p_{ttest} = 0.01$, $p_{wilcoxon} = 0.001$ (y-axis is time in hours).
 187

188 Figure 3. Visualization of the sleep diary data for free and work nights for the *CRY1* variant group
 189 and WT controls (n = 67) sorted by bed time.

190 Figure 4. Mean first bowel movement time by genotype (difference of 1 hour and 31 minutes)
 191 obtained from electronic daily diary (n = 67): *mean*, $p_{ttest} = 0.004$, $p_{wilcoxon} = 0.002$ (y-axis is time
 192 in hours).

Figures

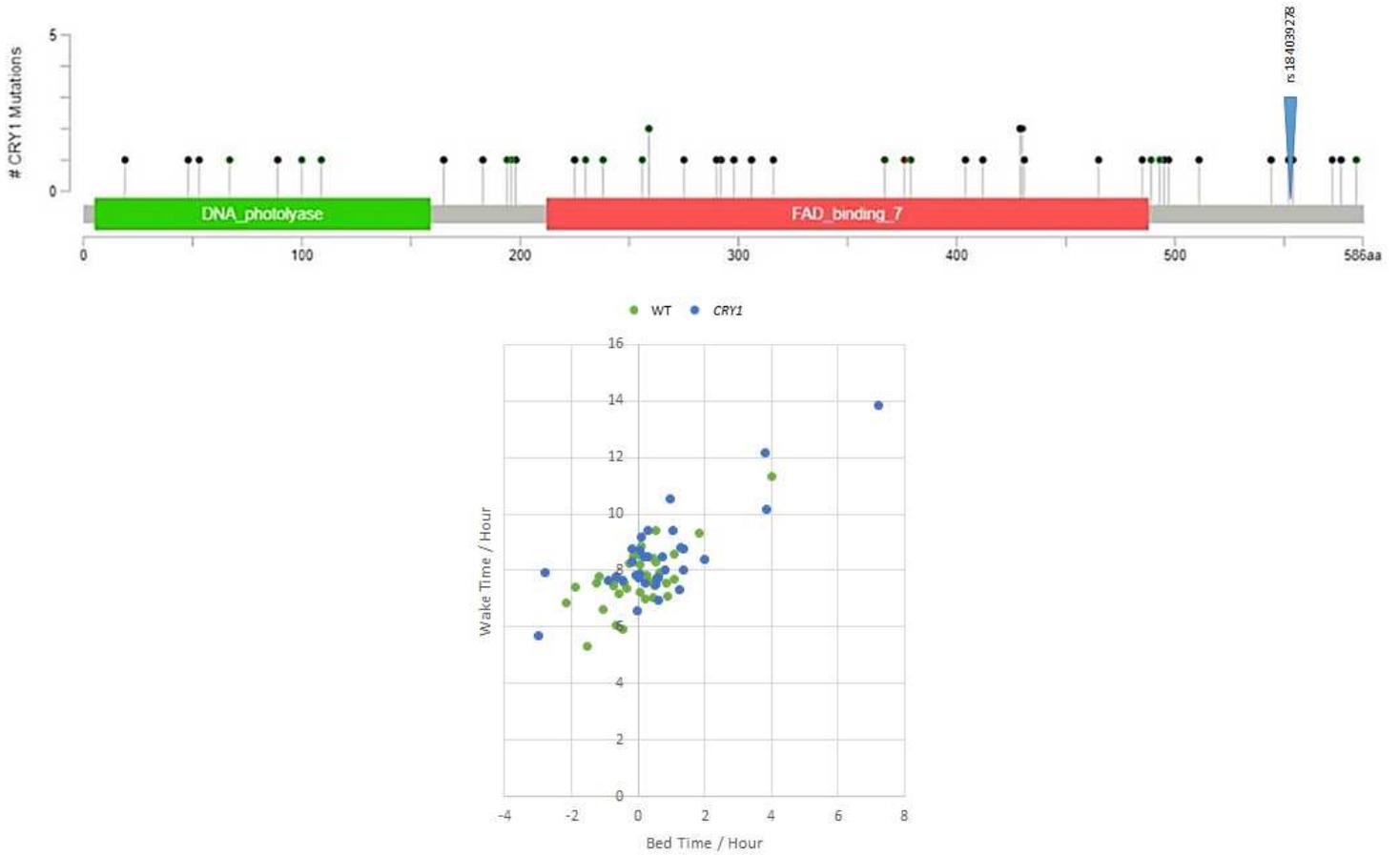


Figure 1

A. CRY1 lollipop plot showing the variant of interest, rs184039278, with respect to the domains and location of other known coding variants. B. Scatter plot showing delayed sleep period in the CRY1 variant group (n = 33) compared to WT controls (n = 34). The x-axis is the bed time and the y-axis is the wake time.

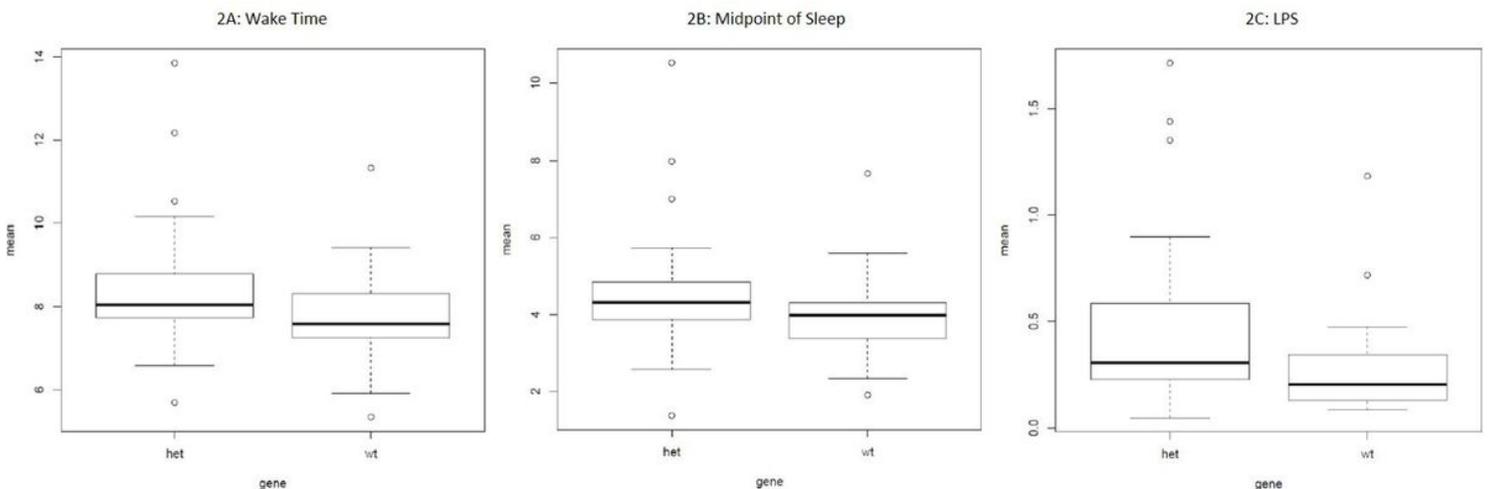


Figure 2

Boxplots showing significant differences in sleep parameters based on data collected over a period of 28 days (electronic daily diary) (n = 67). A. Wake Time: mean, p_{ttest} = 0.03, p_{wilcoxon} = 0.02 (y-axis is time in hours). B. Midpoint: mean, p_{ttest} = 0.05, p_{wilcoxon} = 0.03 (y-axis is time in hours). C. LPS: mean, p_{ttest} = 0.01, p_{wilcoxon} = 0.001 (y-axis is time in hours).

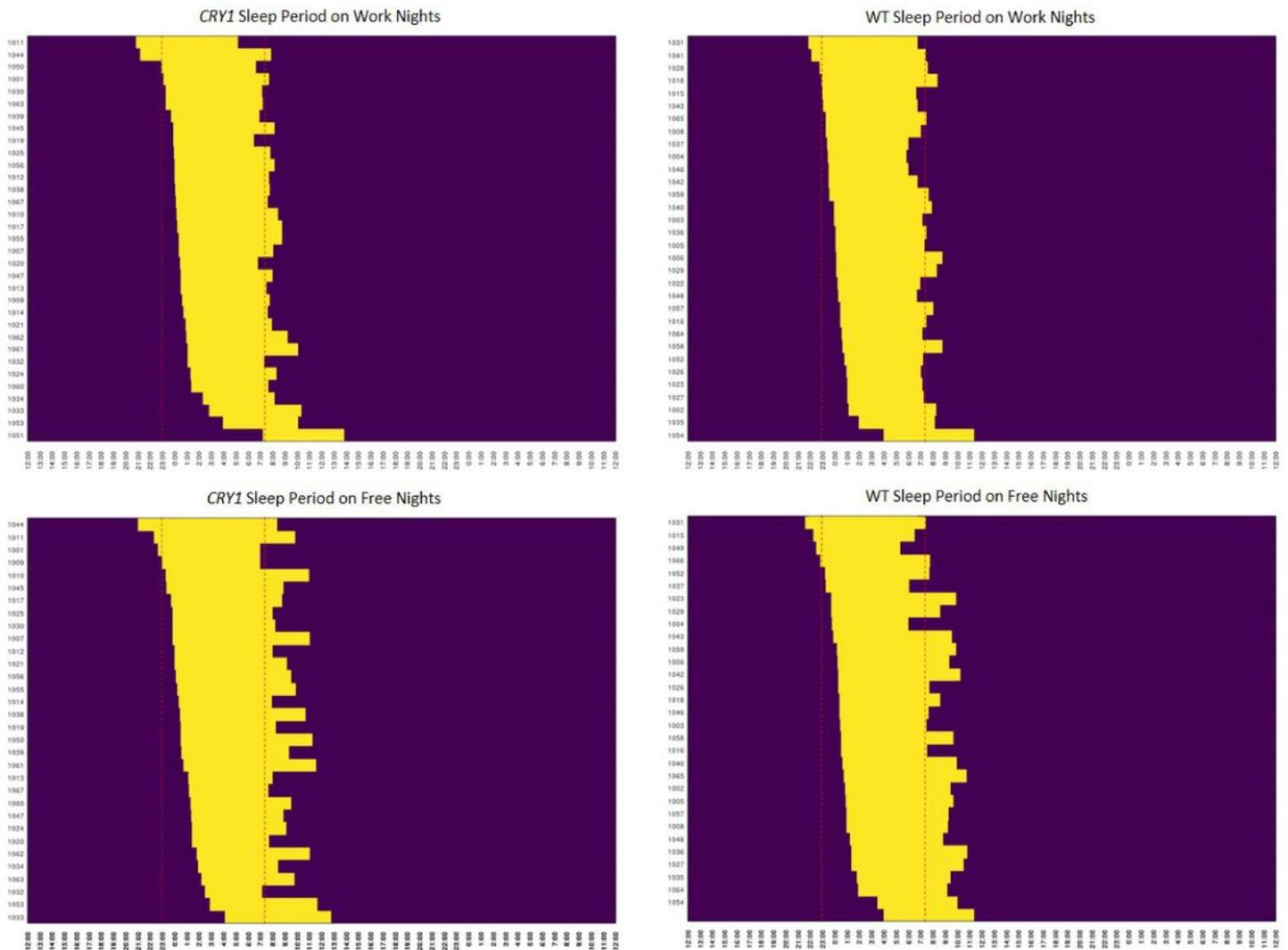


Figure 3

Visualization of the sleep diary data for free and work nights for the CRY1 variant group and WT controls (n = 67) sorted by bed time.

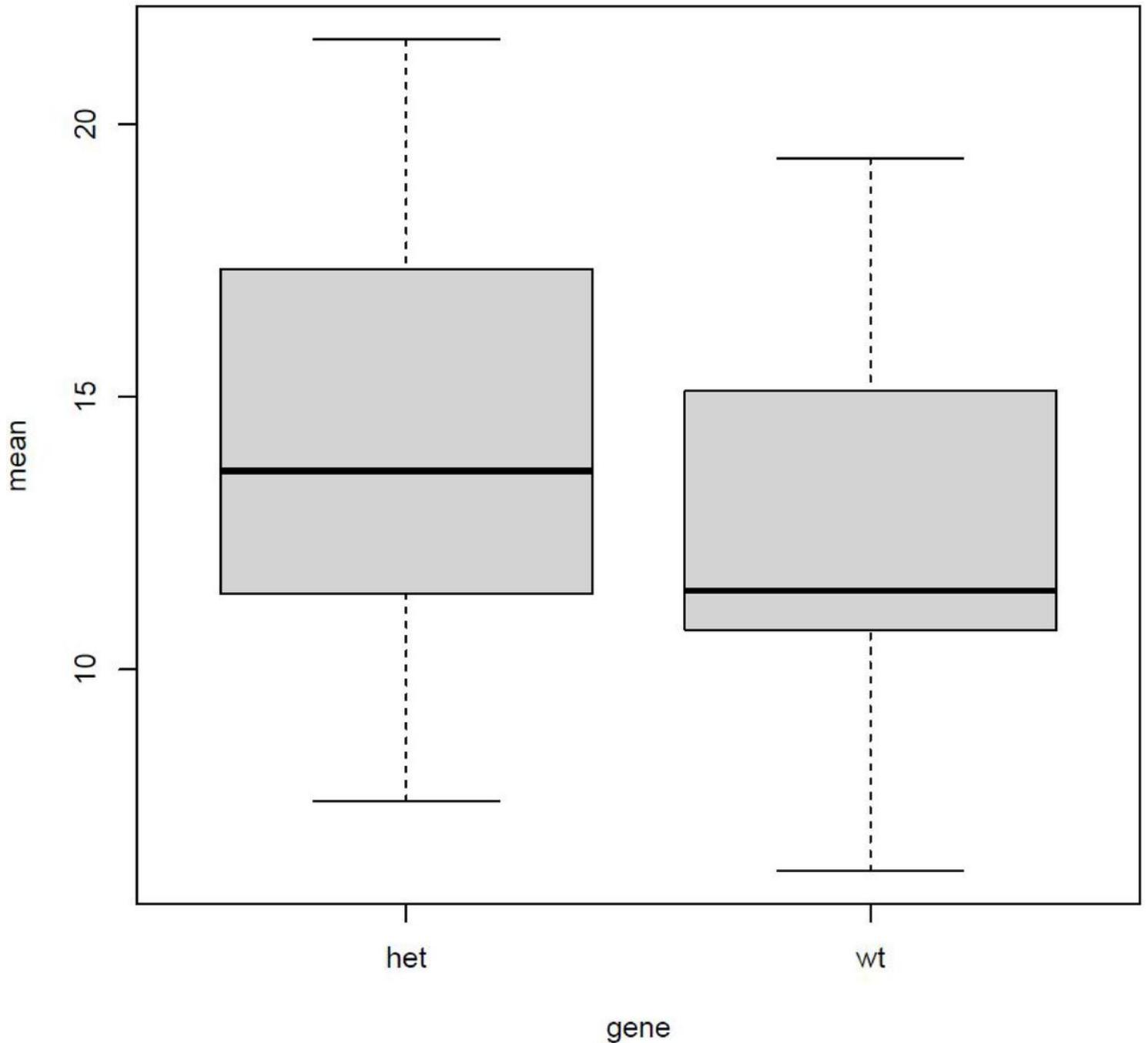


Figure 4

Mean first bowel movement time by genotype (difference of 1 hour and 31 minutes) obtained from electronic daily diary (n = 67): mean, ptttest = 0.004, pwilcoxon = 0.002 (y-axis is time in hours)

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [VPVEC1620502ScientificReportsSupplementalv5.pdf](#)