

Bio-based films/nanopapers of banana tree pseudostem: From lignocellulosic wastes to added-value micro/nanomaterials

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24
25 **Abstract:** The growing demand for products with lower environmental impact and the
26 extensive applicability of cellulose nanofibrils (CNFs) have received attention in several fields
27 of knowledge due to their attractive properties. In this study, bio-based films/nanopapers were
28 produced with CNFs from banana tree pseudostem (BTPT) wastes and *Eucalyptus* kraft
29 cellulose (EKC) and were evaluated by their properties, such as mechanical strength,
30 biodegradability and light transmittance. The CNFs were produced by mechanical fibrillation
31 (after 20 and 40 passages) from suspensions of BTPT (alkaline pre-treated) and EKC.
32 Films/nanopapers were produced by casting from both suspensions with concentrations of 2%
33 (based in dry mass of CNF). The BTPT films/nanopapers showed greater mechanical properties,
34 with Young's modulus and tensile strength around 2.42 GPa and 51 MPa (after 40 passages),
35 respectively. On the other hand, the EKC samples showed lower disintegration in water after 24
36 h and biodegradability. The increase in the number of fibrillation cycles produced more
37 transparent films/nanopapers and caused a significant reduction of water absorption for both raw
38 materials. The permeability was similar for the films/nanopapers from BTPT and EKC. This
39 study indicated that attractive mechanical properties and biodegradability could be achieved by

40 bio-based nanomaterials, with potential for being applied as emulsifying agents and special
41 membranes, enabling more efficient utilization of agricultural wastes.

42

43 **Keywords:** Cellulose nanofibrils, agro-industrial wastes, bio-based material, microfibrillated
44 cellulose (MFC), biodegradation, nanocellulose.

45

46

47 #####Graphical abstract#####

48

49

50 List of abbreviations

CNFs: Cellulose nanofibrils
BTPT: Banana tree pseudostem
EKC: *Eucalyptus* kraft pulp
SEM: Scanning electron microscopy
FEG: Field emission gun
TS: Tensile strength
DW: Disintegration in water
WA: Water absorption
FTIR: Fourier transform infrared spectroscopy
WVTR: Water vapor transmission rate
Md: Average diameter
Sd: Standard deviation
T: Transmittance
RH: Relative humidity

51

52

53 1. Introduction

54

55 New research has demonstrated the potential of nanostructured films/nanopapers from
56 lignocellulosic materials in advanced applications of great interest to society, such as: organic
57 solar cells (Fang et al., 2014), organic sensors (Zhang et al., 2014), organic light-emitting diodes
58 (Zhu et al., 2013), and flexible nanopaper transistors (Huang et al., 2013), among others.

59 The main reasons for the application of cellulose nanofibrils (CNFs) for the production
60 of bio-based materials are their high aspect ratio, crystallinity, high capacity in forming flexible
61 films/nanopapers, with low thermal expansion, high optical transparency, excellent mechanical
62 properties (tensile strength and Young's modulus), emulsifying potential in suspensions, and as
63 a barrier (to oil, oxygen and water vapor), in addition to being abundant and non-toxic. The
64 development of new bio-based devices using nanostructures from lignocellulosic materials is a
65 rather new but rapidly evolving research area (Siró and Plackett, 2010), as their application in

66 cementitious composites (Fonseca et al., 2016), coated papers (Mirmehdi et al., 2018a; 2018b),
67 aerogels (Zhou et al., 2016), and nanostructured films (Lopes et al., 2018), among others.

68 The methods commonly used for CNFs production are mechanical, chemical,
69 physical and biological (Frone et al., 2011). Cellulose nanostructures are presented in the
70 literature with different denominations, such as nanocrystals, nanowhiskers, nanofibrils and
71 microfibrillated cellulose, depending on the structure of the cellulose (Nystrom et al., 2010).
72 The CNFs show diameters varying from 10 to 100 nm, being attained using a specialized
73 microfibrillator (grinder) with a mechanism consisting of forcing the cellulose fibers through an
74 opening between a rotating stone and a static one. The mechanism generates major shearing
75 forces that break down the hydrogen bonds from the multi-layered cell walls of the fibers to
76 individualized micro/nanofibril bundles (Siró and Plackett, 2010). In order to generate such
77 nanostructures, the raw fibers must pass through various physical and/or chemical pre-
78 treatments. Chemical pre-treatments normally start with an alkaline treatment (Rosa et al., 2010)
79 consisting of fiber immersion in alkali solution, usually with strong basic compounds as NaOH,
80 under heating and vigorous mechanical stirring. Strong alkaline compounds can penetrate the
81 fiber structure and remove hemicelluloses and any other fiber components as the soluble
82 extractives (Vardhini et al., 2016). Another widely used pre-treatment is the bleaching, which
83 uses chlorinated compounds or hydrogen peroxide in intention to obtain pulp with greater
84 whiteness. This process reduces or removes lignin from the pulp, possibly causing an increase
85 in the cellulose content, chemical reactivity, dimensional stability, tensile properties, and
86 roughness (Zuber et al., 2012). Due to pollutant production issues, chlorinated compounds are
87 being avoided at this stage. The extent of these changes depends on the treatment time,
88 temperature, alkali concentration, degree of polymerization, and source of cellulose (Samei et
89 al., 2008).

90 Despite the enormous progress and great success in studies involving cellulose
91 nanofibrils in the most diverse areas of science, there are still several challenges regarding the
92 high costs and efficiency of the fibrillation on an industrial scale. Currently, the main source for
93 CNFs production has been commercial kraft pulp (Tonoli et al., 2016; do Prado et al., 2018).
94 The kraft pulp, especially from *Eucalyptus*, is the main product of planted forests for the
95 purposes of cellulose production in Brazil. In the kraft pulping, wood in the form of chips is
96 treated under pressure, in tanks called digesters, with sodium hydroxide (NaOH) and sodium
97 sulfide (Na₂S) in pH above 12. This chemical process aims to dissolve the lignin, preserving the
98 fiber resistance, thus obtaining a cellulosic pulp with yield between 50 - 60% (Sixta, 2006). The
99 uses of kraft pulp range from paper for packaging products, tissue paper for personal care (toilet
100 paper, diaper, absorbents, paper towels and napkins) and environmental hygiene, to paper for
101 writing and printing. The pulp from *Eucalyptus* are known to present short fibers, with length
102 from 0.5 to 2.0 mm, and generally has less strength, with high softness compared to the long

103 fibers (Alves et al., 2011). The thickness of the fiber wall ranges, on average, from 2.5 to 6.0
104 μm (Trevisan et al., 2017). However, the use of other vegetal fibers has also been explored, such
105 as: sawdust of Amazonian woods (Scatolino et al., 2018), banana pseudostem tree fiber
106 (Elanthikkal et al., 2010), pineapple (Abraham et al., 2011), jute (Fonseca et al., 2019), palm
107 tree (Okahisa et al., 2018), cotton (Chen et al., 2014), sisal (Santana et al., 2017), bamboo
108 (Guimarães Jr. et al., 2018), oat straw (do Lago et al., 2020), cocoa shell (Souza et al., 2019),
109 and red cedar bark (Zhang et al., 2019), among others.

110 Agricultural activity in Brazil generates several types of waste that could be a source of
111 vegetal fibers, mainly at post-harvesting in large plantations. Banana cultivation represents an
112 area of approximately 450,000 ha of the country and produces 6,750,000 t, which makes Brazil
113 the fourth largest world producer (FAO, 2018). The banana tree wastes generated include the
114 fruit skin, pseudostem, leaves and the banana peduncle (Souza et al., 2010). It is estimated that
115 for each ton of industrialized banana, approximately 3 tons of pseudostem are generated (Padam
116 et al., 2014). Mitigation measures for a sustainable banana production chain should focus on the
117 reduction of residues and on ensuring their application in other chains and products, looking for
118 reduction of the CO₂ footprint.

119 Since these wastes are considered lignocellulosic materials, the production of CNFs
120 from them aiming to develop added-value products could be a promising alternative. The
121 novelty here is the scientific data contribution regarding the production and properties of the
122 biodegradable films/nanopapers, mainly about their water vapor permeability, light
123 transmittance, contact angle with water and biodegradability of the films/nanopapers produced
124 with banana pseudostem residues. These are important technical-scientific knowledge that are
125 scarce and insufficient in literature for up-scaling packaging applications for example.
126 Packaging industries are looking for those information and knowledge for advancement in the
127 pre-screening of renewable raw materials for micro/nanofibrils production and application in
128 substitution of petroleum-based polymers. Biodegradable and recyclable polymers with high
129 barrier properties are very relevant for multilayers and novel applications in cardboards, card
130 papers, and industrial sacks in the packaging field. Therefore, the aim of the study was to
131 evaluate films/nanopapers of cellulose nanofibrils (CNFs) produced with agro-industrial banana
132 tree pseudostem (BTPT) wastes and *Eucalyptus* kraft cellulose (EKC) for their water vapor
133 permeability, biodegradability and light transmittance as well as their mechanical and physical
134 properties.

135

136 2. Materials and methods

137

138 2.1 Obtaining the raw materials

139 Banana tree pseudostem (BTPT) (*Musa* sp.) wastes were obtained from experimental
140 cultures of the Federal University of Lavras, Lavras, State of Minas Gerais, Brazil (latitude 21°
141 14' S, longitude 45° 00' E and altitude 900 m). The BTPT was manually cut, then dried in
142 environmental conditions (around 25 °C) to allow the evaporation of excess water. The wastes
143 were ground in a knife mill (Marconi®; SP, Brazil) to generate a sawdust, which was classified
144 using the 40 (0.420 mm) and 60 (0.250 mm) mesh superposed sieves, where the fraction
145 retained on the 60 mesh sieve was used for the next steps. The sawdust yield obtained was
146 between 80 - 85%, since 15 to 20% were lost through the grinding (generation of powder) and
147 handling processes. A commercial bleached *Eucalyptus* kraft cellulose (EKC), supplied by
148 Suzano Paper and Cellulose (Suzano, SP, Brazil), was used as a reference. The pulp was
149 obtained from kraft chemical pulping process (yields of 50 - 60%), with high brightness index
150 of 92% ISO and viscosity 675 cm³.g⁻¹. The pulping and bleaching processes modify the nature
151 of the chemical constituents of fibers, vessels and cellulosic fines. The mass loss after the
152 commercial bleaching process is between 2.5 – 5.0%.

153

154 2.2 Chemical pre-treatments of the BTPT

155 The alkaline pre-treatment of the BTPT sawdust was performed following the
156 procedures described in Yue et al. (2015) and Fonseca et al. (2019), using 100 mL of solution
157 5% (w/v) of NaOH in macropearls (Êxodo Científica Inc.; SP, Brazil) for each 5 g of dry
158 sawdust, for 2 h at 80°C (water bath) under mechanical stirring (1,500 rpm). After the alkaline
159 treatment, the samples were oven-dried at 50°C and forwarded to the bleaching step. The
160 bleaching was performed using 100 mL of H₂O₂ (Êxodo Científica Inc.; SP, Brazil) in solution
161 of 24% (v/v) and NaOH in solution of 4% (w/v) for 2 h at 80°C (water bath) and with
162 mechanical stirring (1,500 rpm), for each 5 g of the previously alkaline-treated sawdust samples.
163 After the sequence of treatments, the samples were washed to remove residual reagents and
164 oven-dried at 50°C for 24 h. The yields were 59% after alkaline treatment (from the BTPT
165 natural sawdust to alkaline treated BTPT) and 60% after bleaching (from the alkaline treated
166 BTPT to the bleached BTPT), resulting in a total yield of 36% (from the BTPT sawdust to the
167 bleached BTPT). The main goals of the alkaline treatment and bleaching are to increase the
168 brightness of the pulp and promote the removal of components such as lignin and its
169 degradation products, extractives, metal ions, non-cellulosic carbohydrates and other impurities.
170 In this sense, and in accordance with the environment, it was proposed the use of peroxides,
171 instead of the chlorinated reagents (chlorine, hypochlorite and chlorine dioxide) widely used by
172 many pulp and paper industries, due to their lower cost and a higher yield of the final product.
173 In addition, the reagent concentrations used in the pre-treatments are low.

174

175 2.3 Chemical analysis of the raw materials

176 The wastes of BTPT (natural sawdust, alkaline treated and bleached) and the
177 commercial EKC were analyzed according to the amount of holocellulose (cellulose +
178 hemicelluloses; according to Browning, 1963), cellulose (Kennedy et al., 1987), hemicelluloses,
179 obtained by the difference between the values of holocellulose and cellulose, insoluble lignin
180 according to NBR 7989 (ABNT, 2010) and ashes content, according to NBR 13999 (ABNT,
181 2003).

182

183 *2.4 Obtaining CNFs and the films/nanopapers*

184 The pre-treated sawdust of both the BTPT waste and the commercial EKC were
185 dispersed separately in 6 L of water, obtaining a suspension of 2% concentration (based in dry
186 mass of sawdust) and stirred for 10 min (200 rpm). It is important to point out that the EKC was
187 not subjected to any other pre-treatment after being obtained from the industry. The CNFs was
188 obtained from each raw material following the methods suggested by Guimarães Jr. et al.
189 (2015a) and Bufalino et al. (2015), using a Masuko Supermasscolloider mechanical fibrillatory
190 (grinder) at 1,600 rpm, keeping an average consumed electrical current of around 5 A. The
191 suspensions were fibrillated in cycles of 20 and 40 passages through the Supermasscolloider.
192 The power consumption was $\sim 4.4 \times 10^3$ kWh/ton after 20 cycles and $\sim 9.1 \times 10^3$ kWh/ton after
193 40 cycles. CNFs aliquots of 40 mL of suspension with a concentration of 2% (based in dry
194 mass of CNF) were poured on acrylic Petri dishes (15 cm diameter) for water evaporation in a
195 conditioned room ($20 \pm 3^\circ\text{C}$; RH $\sim 65\%$). Ten nanostructured film samples were produced from
196 each of the raw materials (BTPT and EKC) after 20 and 40 passages, totaling 40 flexible
197 films/nanopapers.

198

199 *2.5 Microstructure of the raw materials and CNFs*

200 A scanning electron microscope LEO EVO 40 XVP and typical light microscopy were
201 used in order to observe the microstructure of the different raw materials (BTPT and EKC) and
202 films/nanopapers. The samples were submitted to a metallization process by sputtering,
203 depositing a gold layer on the sample surface. No tilt was applied. A carbon adhesive film was
204 used to fix the samples on the stub. The working distance was 8.5 mm with application of high
205 vacuum.

206 The structure of the CNFs after 20 and 40 passages was analyzed by scanning electron
207 microscopy with a field emission gun (SEM/FEG). Aliquots of 0.1 mL of suspension samples
208 were diluted in 10 mL of MilliQ water and dispersed with a Branson ultrasound equipment 101-
209 147-037 (1/2" tip diameter) operating at an amplitude of 50%, for 3 min in an ice bath to avoid
210 the heating of the sample. From the diluted and dispersed solution, a new dilution (0.1 mL in
211 10.0 mL) and a new dispersion were prepared under the same conditions. Subsequently, a drop
212 of the doubly diluted sample was dripped onto a silicon plate and dried at room temperature.

213 After this procedure, the samples were fixed to a sample holder using a conductive tape (carbon)
214 and kept for 24 h in a desiccator. A JEOL (JSM 6701) microscope equipped with a field
215 emission gun (FEG) was used with the following parameters: work distance 3 mm, acceleration
216 voltage 4 kV and current 10 μ A without sample coating. The software *ImageJ*[®] was used to
217 determine the diameters of the samples and CNFs in detail. The average diameter of the CNFs
218 was determined by the average of 100 measurements proceeded in the SEM-FEG micrographs.
219 The desired dimensions are provided by the software, proportionally to the scale (known
220 distance) in the scanning electron microscope.

221

222 *2.6 Properties of the films/nanopapers*

223

224 *2.6.1 Mechanical properties*

225 Before the mechanical evaluations, the thickness of the samples was measured. The
226 tensile test was carried out according to the ASTM D882-12 (2012) standard, using a TA TX 2i
227 machine (Stable Micro Systems, England). The distance between the grips was 50 mm and the
228 test speed was 0.8 mm/s. Five samples (25 x 100 mm) were tested for each treatment. The
229 tensile strength (TS) and Young's modulus were determined according to Eqs. (1) and (2),
230 respectively.

231

$$232 \quad TS = M / A_0 \quad (1)$$

233

234 where TS is the tensile strength (MPa); M is the maximum load applied to the sample (N); A_0 is
235 the initial cross-section area of the sample (mm²).

236

$$237 \quad \text{Young's modulus} = S / e \quad (2)$$

238

239 where S is the stress value in the elastic region (GPa); and e is the specific elastic deformation
240 (mm/mm) corresponding to the applied stress.

241

242 *2.6.2 Apparent density, grammage and thickness*

243 The apparent density of the samples can be reported as the relation between the
244 film/nanopapers grammage and thickness, as mentioned in the TAPPI T220-om-01 (2004)
245 standard. The grammage corresponds to a specific mass of area (g m⁻²), obtained in accordance
246 with the TAPPI T410-om-02 (2004) standard. The thickness was directly determined by
247 averaging six random measurements on the samples using a digital micrometer (resolution of 1
248 μ m).

249

250 *2.6.3 Chemical and morphological properties*

251 The chemical groups of the BTPT and EKC films/nanopapers samples were determined
252 by Fourier transform infrared spectroscopy, using a spectrophotometer Vertex 70 model
253 (Bruker, Germany), operating in attenuated total reflection (ATR) mode. Spectra were recorded
254 from 4,000 to 500 cm⁻¹ spectral ranges, at a 32-scan rate, and a 4 cm⁻¹ spectral resolution. The
255 effects of different passages (20 and 40x) on the surface and fracture of the samples and the
256 presence of pores in the films/nanopapers structure were observed using a JEOL[®] JMS 6510
257 scanning electron microscope with a 10 kV voltage. The films/nanopapers were positioned on
258 aluminum stubs and covered with gold in order to obtain conductive samples.

259

260 *2.6.4 Contact angle*

261 The contact angle was evaluated by a Kruss Drop Shape Analyzer—DSA25 (Hamburg,
262 Germany). A water drop was deposited over the sample surface through a syringe. The drop
263 image was captured by a video camera and the contact angle between the water drop and the
264 sample surface was measured. The test was performed at room temperature (20°C). For each
265 CNFs film/nanopaper, three measurements were performed after the drop stabilization (2 s).

266

267 *2.6.5 Moisture and water absorption after 2 h of immersion*

268 The moisture was determined using the procedures described in the TAPPI T412-om-02
269 (2004) standard. Samples (diameter 30 mm) were immersed in water for 2 h for evaluation of
270 the water absorption (WA 2h) using Eq. (3).

271

272
$$WA\ 2h = \left(\frac{Fm - Im}{Im} \right) \times 100 \quad (3)$$

273

274 where *Im* is the initial mass of the acclimatized sample; *Fm* is the final mass of the sample after
275 2 h of water immersion.

276

277 *2.6.6 Disintegration in water (DW)*

278 Samples (diameter 30 mm) were kept at 65% RH and 20 ± 3°C, weighed and immersed
279 in 100 mL of distilled water for 24 h. The excess water was then removed, and the samples were
280 dried at 65% RH and 20 ± 3°C, as the initial condition, being weighed again. The disintegrated
281 portion of the samples after the immersion (DW) was calculated according to Eq. (4). The final
282 result was obtained from the average of three measurements for each film/nanopaper.

283

284
$$DW\ 24h = \left(\frac{Iw - Fw}{Iw} \right) \times 100 \quad (4)$$

285

286 where DW_{24h} is the percentage of disintegrated material in water; I_w = sample initial mass; F_w
287 = sample final mass.

288

289 2.6.7 Water vapor permeability

290 The analysis of water vapor permeability of the films/nanopapers was carried out
291 following the permeability cell methodology described in Guimarães Jr et al. (2015b). This
292 method determines the amount of water vapor that passes through a known area of sample,
293 induced by the vapor pressure difference between two specific points on the exterior and interior
294 of the permeability cell. Samples with known thickness were sealed in a glass permeation cell
295 containing silica gel (relative humidity 0%; with no water vapor pressure), placed in a
296 desiccator and kept at 25°C and relative humidity 100%. The film/nanopaper was positioned in
297 the cap of the glass bottle, so that it formed a membrane between the exterior and interior of the
298 permeability cell (Figure 1).

299

300 #####Figure 1#####

301 **Figure 1** – Scheme of permeability cell methodology for evaluation of the permeability of the
302 films/nanopapers.

303

304 The mass of the permeability cell was measured daily for 10 consecutive days. Five
305 samples per treatment were evaluated. The values of permeability were provided in the water
306 vapor transmission rate (WVTR; g.day⁻¹.m⁻²), calculated by Eq. (5) according to ASTM E 96-00
307 (2000).

308

$$309 \quad WVTR = \frac{G}{dxA} \quad (5)$$

310

311 where $WVTR$ is the water vapor transmission rate; G/d is the angular coefficient from the graph
312 obtained by the linear regression of the mass gain (g) versus conditioning time (days), and A is
313 the permeation area of the sample (m²).

314

315 2.6.8 Light transmittance of the films/nanopapers

316 The light transmittance of the samples was measured in a Bel Spectro S-2000
317 spectrophotometer (Monza, Italy) operated at 600 nm to measure the percent transmittance
318 (%T), according to ASTM D1746-03 (ASTM, 2003). The films/nanopapers were cut into 3 x 1
319 cm pieces and positioned in the equipment to allow the spectrophotometer beam to pass through
320 the sample without any obstacles. Three measurements were performed for each treatment.

321

322 2.6.9 Biodegradability

323 The biodegradability test was performed by measuring the mass loss of the
324 films/nanopapers when incubated in soil. It was evaluated according to the procedures described
325 by Bardi and Rosa (2007). The samples were buried in simulated soil prepared with cattle
326 manure (23%), soil (23%), sand (23%) and water (31%) (m/m), which resulted in a final
327 moisture of around 90%. The test was carried out in a room with a monitored temperature
328 (around 23°C). Each sample (diameter 10 cm) was buried separately in a soil container and their
329 masses were monitored for 18 weeks. Five samples were evaluated per treatment. After this
330 time, the samples were removed from the simulated soil and each sample was subjected to
331 visual inspection by light microscopy. Visual parameters that could indicate possible
332 degradation, with or without the direct action of the microorganisms, were evaluated, such as:
333 the presence of small cracks, stains, any pore formation, fragmentation, changes in color, and
334 the formation of biofilm on the film/nanopaper surface.

335

336 3. Results and discussion

337

338 3.1 Mechanical properties of the films/nanopapers

339 There was an increase in TS and Young's modulus with the increased number of
340 passages through the grinder fibrillator, independently of the raw material used (Table 1). This
341 was assumed to be due to the dense hydrogen-bonding network formed by the larger surface
342 area of the CNF obtained (Spence et al., 2010) and the diameter reduction caused by the
343 fibrillation cycles (Potulski et al., 2016). The average in diameter ranged from 20 ± 5 to 15 ± 5
344 nm for the BTPT CNF with the increase in the number of passages from 20 to 40. Zuluaga et al.
345 (2009) found diameters ranging between 40 – 60 nm for CNF bundles isolated from banana
346 rachis, values superior to that found in this research. On the other hand, Velásquez-Cock et al.
347 (2016) found values for diameter of nanocellulose from BTPT ranging between 15 – 20 nm
348 after 30 cycles of mechanical fibrillation, which were close to that found in this research. The
349 average diameter ranged from 31 ± 23 to 21 ± 9 nm for EKC, showing that the sequence
350 composed of pre-treatments and mechanical treatment was effective for generating structures in
351 nanoscale (Table 2). The lower diameter of the BTPT CNF is consequence of the weakness of
352 these non-woody fibers that are easily deconstructed with the shearing forces during grinding.

353

354 **Table 1** – Average values of tensile strength (TS) and Young's modulus of the nanostructured
355 films/nanopapers.

Fiber source	Passages	Tensile strength (TS)	Young's modulus
		MPa	GPa
BTPT	20	$46.3 \pm 0.5^*$	1.20 ± 0.09

	40	51.4 ± 0.6	2.42 ± 0.10
EKC	20	23.7 ± 1.0	1.80 ± 0.04
	40	46.1 ± 1.5	2.49 ± 0.09

356 *Standard deviation

357

358 **Table 2** – Average and standard deviation of the CNF diameter and the maximum (Max) and
359 minimum (Min) values measured for each fiber source.

Fiber source	Passages	Diameter (nm)		
		Average	Max	Min
BTPT	20	20 ± 5*	41	5
	40	15 ± 5	24	3
EKC	20	31 ± 23	78	6
	40	21 ± 9	36	7

360 *Standard deviation

361

362 The smaller diameters may explain the better performance of TS for the BTPT
363 films/nanopapers. BTPT presented longer starting fibers (~3.4 mm) (Figure 4) compared to
364 EKC pulp (~0.6 to 0.9 mm) (Figure 5). According to Stelte and Sanadi (2009), fibrillation
365 occurs more rapidly for long fiber species and may be obtained with lower energy consumption.
366 The thickness of the cell wall can also result in easier fibrillation, the cell walls with lower
367 thickness being more favorable to mechanical treatment. Ogunsile and Oladeji (2016) found 5
368 µm for the cell wall thickness of BTPT. Additionally, the lignin content of these
369 films/nanopapers (Figure 2) did not have a negative effect on the tensile strength. TS in the
370 present work ranged from 24 to 52 MPa, however it can reach 100 to 300 MPa for CNFs films
371 with content of lignin below 1%, manufactured by methods such as dewatering (suction) or
372 vacuum filtering, as reported in related reviews (Siró and Plackett, 2010; Nechyporchuk et al.,
373 2016). The TS values of films produced with BTPT in the present work were higher than
374 synthetic plastics such as linear low density polyethylene (LDPE), high density polyethylene
375 (HDPE) and polypropylene (PP), used in most packages, with about 37; 7-16; 17 and 35 MPa,
376 respectively (Auras et al., 2004; Avérous, 2004; Liu et al., 2012), and papers produced with
377 bleached eucalyptus pulp nanofibers, with TS of around 35 ± 10 MPa (Malucelli et al., 2018). Li
378 et al. (2019) produced papers using CNFs of pine bleached pulp, and vacuum filtering, followed
379 by compression and vacuum drying in the process, for papers produced with partially fibrillated
380 cellulose, they found TS of approximately 26 MPa. Both the EKC and BTPT films/nanopapers
381 obtained the highest values of Young's modulus with 40 passages of fibrillation.

382

383

#####Figure 2#####

384 **Figure 2** - Chemical composition of the BTPT and EKC; *ND = not detected; n = natural; at =
 385 alkaline treated; b = bleached.

386

387 The significant reduction of film thickness that occurred with the increase of the number
 388 of passages from 20 to 40 (Table 3) may also have influenced their mechanical properties. More
 389 passages may have resulted in the increase of apparent density, having a positive impact on the
 390 mechanical properties. According to Potulski et al. (2016), the decrease of fiber dimensions
 391 after the mechanical fibrillation process allows greater bonding and rearrangements of the
 392 filaments, forming a more homogeneous and compact structure, and then reducing the
 393 thickness. The more compact structure is provided by improved CNF entanglement and greater
 394 interaction between them when they show a more fibrillated structure (Lavoine et al., 2012), as
 395 verified after 40 passages through the grinder fibrillator.

396

397 **Table 3** – Average and standard deviation values of thickness, grammage and apparent density
 398 of the films/nanopapers.

Fiber source	Passages	Thickness (μm)	Grammage ($\text{g}\cdot\text{m}^{-2}$)	Apparent density ($\text{g}\cdot\text{cm}^{-3}$)
BTPT	20	$62 \pm 4^*$	51.1 ± 7.1	0.82 ± 0.06
	40	48 ± 12	41.9 ± 11.7	0.86 ± 0.03
EKC	20	64 ± 6	38.2 ± 9.8	0.59 ± 0.08
	40	58 ± 2	47.9 ± 1.9	0.82 ± 0.01

399

*Standard deviation

400

401 The presence of fibers with ineffective deconstruction by the fibrillation process favors
 402 failures and internal defects such as pores and microcracks, which act as stress concentration
 403 spots, reducing the mechanical strength of the samples. Parameters such as thickness and
 404 grammage require more detailed studies and with more replications in order to obtain a
 405 conclusion about their real trend regarding the number of fibrillation cycles. The morphological
 406 structures of the BTPT (Figure 3) showed a more cohesive film/nanopaper with few non-
 407 fibrillated fiber structures. On the other hand, the EKC films/nanopapers (Figure 4) presented a
 408 significant content of bundles of intact fibers of greater dimensions observed on the sample
 409 surface, which may have contributed to the lower TS.

410

411

412

#####Figure 3#####

413

Figure 3 – Typical scanning electron microscopy (SEM) images of BTPT films/nanopapers: a)
 414 and b) surface and fracture view, respectively (20 passages); c) and d) surface and fracture view,
 415 respectively (40 passages); e) and f) SEM/FEG micrographs of the CNFs suspension,
 416 respectively, after 20 and 40 passages.

417

418

419

#####Figure 4#####

420 **Figure 4** – Typical scanning electron microscopy (SEM) micrographs of EKC
421 films/nanopapers: a) and b) surface and fracture view, respectively (20 passages); c) and d)
422 surface and fracture view, respectively (40 passages); e) and f) SEM/FEG micrographs of the
423 CNFs suspension, respectively, after 20 and 40 passages.

424

425 The scanning electron microscopy (SEM) micrographs showed some fibers not fully
426 fibrillated after 20 passages, mainly in the EKC films/nanopapers. Non-fibrillated fibers can be
427 a source of defects in the samples due to the pores they cause in the microstructure. The CNF
428 suspensions showed a slightly heterogeneous aspect after 20 passages, possibly containing non-
429 fibrillated and long fiber fragments (Siró and Plackett, 2010). As the number of passages
430 increased, there was a decrease of internal pores caused by a more compact and denser
431 structure. This may have promoted a greater number of hydrogen bonds due to the greater
432 specific contact area between the CNFs and a higher content of bonding clusters (Zimmermann
433 et al., 2010). The images show greater individualization of the CNFs as the number of passages
434 increases. Mechanical disintegration through the grinder resulted in fibrillar structures with
435 diameters below 100 nm.

436

437 *3.2 Physical properties of the films/nanopapers*

438 The contact angle obtained for the BTPT and EKC films/nanopapers confirms the
439 surface structure aspects of the samples observed by SEM. The greatest angles were observed
440 for the BTPT films/nanopapers after 20 and 40 passages, with average angles of 81° and 99°
441 after 2 s, respectively (Figure 5). For the EKC film/nanopaper, the greatest average angle with
442 the surface (65°) was obtained after 40 cycles of fibrillation. Denser micro/nanostructure of the
443 films with high number of passages led to higher contact angles with water.

444

445

446

#####Figure 5#####

447

448 **Figure 5** – Average contact angle (after 2 s) of water with the BTPT and EKC films/nanopapers
449 obtained after 20 and 40 passages through the grinder fibrillator.

450

451 Table 4 shows that more cycles of fibrillation produced less hydrophilic
452 films/nanopapers. The samples of both raw materials absorbed a smaller amount of water in 2 h
453 when comparing the 20 and 40 passages, with reduced absorption by 10% for BTPT and 27%
454 for EKC. This occurred due to the more compact organization, denser structure and less porous

455 morphology, which impairs the penetration of water through the internal structures (Dufresne,
 456 2012). More cycles of fibrillation can provide structures in nanoscale with the possibility of
 457 forming a more strongly connected network of nanofibrils when compared to fewer fibrillation
 458 cycles (Scatolino et al., 2017). Two additional factors that can also influence the resistance to
 459 water penetration are the porosity and roughness. The more homogeneous and smoother surface
 460 as verified through the morphological analyses of the films/nanopapers after 40 passages may
 461 be an effect of the increase in density. In addition, lower values of water absorption indicate
 462 stronger cohesion between the CNFs after 40 passages. This is of fundamental importance, since
 463 the possible applications for these materials (films for multilayer paper packaging, substrates for
 464 electronic devices, solar cells, sensors, loudspeaker membranes, displays, among others) may
 465 require reduced values of this parameter.

466

467 **Table 4** – Average and standard deviation of disintegration in water after 24 h (DW 24h),
 468 moisture and water absorption after 2 h of immersion (WA 2h).

Fiber source	Passages	DW 24h	Moisture	WA 2 h
		------(%)-----		
BTPT	20	7.6 ± 1.4*	9.8 ± 1.2	201 ± 4
	40	7.2 ± 1.4	8.1 ± 0.2	183 ± 2
EKC	20	2.8 ± 0.9	6.4 ± 0.5	172 ± 14
	40	2.3 ± 1.0	6.0 ± 0.9	125 ± 6

469 * Standard deviation

470

471 The disintegration in water (DW 24h) for all the films ranged from 2.3 to 7.6% and
 472 showed the same behavior of the water absorption after 2 h. The BTPT films/nanopapers
 473 reduced by 5% the disintegration in water after 40 passages. For EKC, the reduction was 17%.
 474 Regarding the type of raw material, the lower disintegration in water found for the EKC
 475 films/nanopapers was probably because this raw material came from a commercial source,
 476 where they are generally subjected to controlled processes and treatments in the industry, such
 477 as reagents for hydrophobization, specific reagents for cellulose purification, and drying, among
 478 others. In this sense, the performance of commercial pulps can generally have some advantages
 479 when compared to those from agro-industrial wastes. Additionally, the BTPT presented higher
 480 content of non-cellulosic chemical components as lignin and hemicelluloses (see Figure 2),
 481 resulting in some difficulty of packing the micro/nanofibrils and lignin/hemicelluloses
 482 fragments.

483 The resistance of the films/nanopapers to disintegration in water may be an important
 484 issue since it can determine their final applications, as previously stated. Total disintegration in
 485 water can be required in some cases, such as in semi-finished products for cooking (Fakhouri et
 486 al., 2007), or for increasing the integrity and resistance of the coating (Gontard et al., 1994).

487 Overall, the disintegration of the samples was low, since cellulose is insoluble in water due to
 488 the strong internal structural arrangement. Scatolino et al. (2017) found disintegration in water
 489 of 4% for films produced with CNFs of *Eucalyptus grandis* (lignin content below 1%), and 6%
 490 for films produced with *Cordia goeldiana* from Amazonia (lignin content of 6%), considering
 491 30 cycles of fibrillation. This disintegration is related to leaching out of soluble aggregates
 492 (hemicelluloses, residual extractives, soluble lignin, etc.) and debonding of the residual fibers
 493 and CNFs of the surface, instead of the solubility of CNF components.

494 More cycles of fibrillation resulted in lower WVTR for the films/nanopapers produced
 495 from EKC. This behavior was similar to that found for the parameters WA 2h, DW 24h and
 496 moisture, which showed better performance after 40 passages. The films/nanopapers produced
 497 from BTPT did not show significant differences between 20 and 40 cycles of fibrillation for
 498 WVTR due to the overlapping standard deviations. Table 5 presents the WVTR found in this
 499 study and some other results found in the literature for several raw materials.

500

501 **Table 5** – Average values of water vapor transmission rate (WVTR) of the films/nanopapers of
 502 this study and some values reported in the literature.

Films from:	WVTR (g.day ⁻¹ .m ²)	Reported in:
BTPT 20	519 ± 12*	Present study
BTPT 40	497 ± 13	
EKC 20	517 ± 16	
EKC 40	476 ± 15	
Bleached softwood nanocellulose	686	
Bleached hardwood nanocellulose	606	
Potato starch	1000	Karki et al. (2020)
Poly-lactic acid (PLA)	187	Halász et al. (2015)
Poly-vinyl alcohol (PVA)	30	Chen et al. (2014)

503 * Standard deviation

504

505 The EKC films/nanopapers showed a reduction of about 8% in the WVTR. This was
 506 assumed to be due to the increase of individualized CNF, after 40 passages, with a consequent
 507 increase of the surface area. The compact and dense three-dimensional network formed by
 508 hydrogen bonds did not allow the transport of water vapor through the film/nanopaper, since
 509 there were no carbonyl and hydroxyl groups available to make bonds with the water molecules.
 510 Therefore, the absence or low amount of empty spaces between the cellulose fibrils hinders the
 511 diffusivity of water vapor. The improvement of barrier properties with the increase of the degree
 512 of fibrillation is strongly associated with the decrease of the diffusion coefficient, caused by the
 513 strong entanglements between the cellulose nanofibrils (Kaushik et al., 2010). Scatolino et al.
 514 (2017) found lower results for the properties of permeability and water disintegration when
 515 evaluating nanocellulose films produced with Amazonian wood species, after more fibrillation
 516 cycles.

517 The films/nanopapers produced from BTPT and EKC obtained lower values of WVTR
518 when compared to other nanostructured materials from vegetal sources reported in the literature,
519 in particular, almost the half of the WVTR values for films composed of potato starch. Films
520 produced with starch require improvements due to the hydrophilic structure resulting from the
521 presence of amylose and amylopectin in its composition (Romero-Bastida et al., 2015).
522 Excessively high values of WVTR can be explained by the existence of larger pores in the
523 microstructure of the films. The films/nanopapers from bleached wood as the raw material also
524 obtained higher values of WVTR compared to those produced in this study. The ideal structure
525 of CNFs networks is a compact complex form, presenting an obstacle to the water vapor
526 diffusion. These are potential results for packaging applications and advancement in the pre-
527 screening of renewable raw materials for substitution of petroleum-based polymers applied in
528 multilayer packaging. Biodegradable and recyclable polymers with high barrier properties are
529 very important for application as novel layers or in composite mixtures in cardboards, card
530 papers, and industrial sacks for packaging. Films produced from biopolymer poly-lactic acid
531 (PLA) and the biodegradable poly-vinyl alcohol (PVA), still had WVTRs dramatically inferior
532 to those produced in this study, and their use in the formulation of composite mixtures are very
533 potential. Biodegradable polymers such as the above mentioned have several established
534 applications, ranging from plastic bags and cups, and small household items to materials for
535 electrical insulation.

536

537 *3.3 Chemical structures of the films/nanopapers*

538 The chemical structures (functional groups) of BTPT and EKC films/nanopapers were
539 compared by FTIR and the spectra indicated the expected similarities in chemical composition
540 for all samples. In general, the appearance or disappearance of peaks was not observed in the
541 spectrum analysis in the different raw materials used. An absorption band was observed at the
542 onset spectrum (Figure 6) with a peak at $3,300\text{ cm}^{-1}$, corresponding to the free OH groups
543 (Silverstein and Webster, 2000) and to the intermolecular hydrogen bonds. It was also observed
544 that the increase in the fibrillation passages through the grinder led to increased intensity of this
545 band for BTPT, especially for the films/nanopapers produced with CNFs with 40 passages,
546 suggesting more exposed hydroxyl groups in the cellulose structure in comparison to the EKC
547 films/nanopapers after 40 passages. A greater number of -OH bonds indicates a greater
548 interaction between fibers and consequently better mechanical performance for these samples,
549 as previously observed in Table 1. The BTPT film/nanopaper after 40 passages shows greater
550 amounts of hydrogen bonds and, consequently, inter- and intramolecular bonds between fibers,
551 forming a kind of organized dense network. This leads to a greater amount of homogeneous
552 fibers in nanoscale for BTPT films/nanopapers.

553

554

555

#####Figure 6#####

556 **Figure 6** – Typical FTIR spectra for BTPT and EKC films/nanopapers obtained after 20 and 40
557 passages through the fibrillator.

558

559 The vibrations around $2,900\text{ cm}^{-1}$ are attributed to the absorption of C-H symmetrical
560 and asymmetrical stretching originating from cellulose clustering, which is typical of organic
561 materials (Silverstein and Webster, 2000). A minimal change in this region, with the increase of
562 the number of passages, was observed for the different films/nanopapers obtained.

563 The peaks observed at $1,598$ and $1,446\text{ cm}^{-1}$ are attributed to C=C axial deformations of
564 lignin aromatic rings (Alemdar and Sain, 2008; Thomas et al., 2015), demonstrating the
565 presence of lignin in the BTPT films/nanopapers (see Figure 2) and lower intensity for EKC. A
566 little content of 8% of lignin was found for the BTPT samples, while for EKC, no lignin content
567 was detected.

568 The band at $1,022\text{ cm}^{-1}$ was attributed to -C-O-C- pyranose ring vibration (Elanthikkal
569 et al., 2010). The crystalline regions existing in the CNF are directly related to the quality and/or
570 quantity of cellulose present in their bonds, and probably the greatest intensity of this band
571 occurred due to a greater reorganization after 40 passages, when followed by drying of the
572 fibrils that compose the BTPT films/nanopapers, as reported in the methodology section. This
573 may have occurred due to the characteristics reported in the previous sections related to the
574 production process, such as greater surface area, smaller diameter, greater aspect ratio and
575 greater homogeneity. This phenomenon was not observed for the EKC films/nanopapers,
576 however. Instead, a reduction of the intensity of these bands was observed, supposedly due to
577 some increase of the amorphous region with the increased fibrillation after 40 passages.

578

579 *3.4 Light transmittance of the films/nanopapers*

580 The fibrillation altered the average diameter of the CNFs, as well as the light
581 transmittance of the films/nanopapers (Figures 7 and 8). The higher the number of passages and
582 the degree of fibrillation, lower is the diameter of CNF, which varied from around 20 ± 5 to 15
583 ± 5 nm for BTPT and from 31 ± 23 to 21 ± 9 nm for EKC, demonstrating the effectiveness of
584 the mechanical fibrillation in the process to modify the raw materials from micro to nanoscale.
585 Smaller diameters were observed for the BTPT CNF.

586

587

#####Figure 7#####

588 **Figure 7** – Typical images of the banana tree pseudostem (BTPT) structure and
589 films/nanopapers: (a) SEM micrographs of the fibers, (b) SEM/FEG micrographs of the CNFs

590 20x, and (c) CNFs 40x; (d) visual aspect and light transmittance (T) of the films/nanopapers;
591 Md = average diameter; Sd = standard deviation.

592

593 The results demonstrated that the increase of fibrillation decreased the optical barrier for
594 all the samples, allowing the passage of greater amount of light. The transmittance was
595 increased by around 5% for BTPT films/nanopapers (from 65 to 68%) and 12% for EKC
596 films/nanopapers (from 58 to 65%) with more cycles of fibrillation. The high-density values of
597 the BTPT films/nanopapers, as well as the lower diameter of their CNFs, are probably important
598 factors that made them less opaque in relation to the EKC samples, although residual lignin
599 could be detected in the bleached fibers of the BTPT. More compact films/nanopapers with
600 thinner CNFs do not scatter light inside (Oivonen et al., 2015; Qing et al., 2015), allowing
601 greater passage of light. In this sense, Nogi et al. (2013) reported that the dispersion of light was
602 increased by wider CNFs or by lower density films/nanopapers. The considerable amounts of
603 non-fibrillated fibers in the EKC films/nanopapers (see Figure 4), as well as the higher contents
604 of hemicelluloses reported in previous section, contributed to the greater opacity. The high
605 content of hemicelluloses in the CNF is assumed to interfere with the complete dispersion in
606 water, providing lower light transmittance to the films/nanopapers (Nogi et al., 2009). Although
607 the two raw materials evaluated in this study presented contents of non-cellulosic components in
608 their structures, the structure of the films from both raw materials enabled the passage of light,
609 besides to allow the visibility through its structure (Figures 7d and 8d), especially the
610 films/nanopapers produced with 40 passages.

611

612 #####Figure 8#####

613 **Figure 8** – Typical images of the *Eucalyptus* kraft cellulose (EKC) structure and
614 films/nanopapers: (a) light microscopy image of the fibers, (b) SEM/FEG micrographs of the
615 CNFs 20x, and (c) CNFs 40x; (d) visual aspect and light transmittance (T) of EKC
616 films/nanopapers; Md = average diameter; Sd = standard deviation.

617

618 Several factors, such as the fibril diameters, dispersion, hemicelluloses content, lignin
619 content, suspension homogeneity and surface roughness, influence the light transmittance (Miri
620 et al., 2015; Abiral et al., 2020). An alternative that could improve the optical performance is the
621 mixture of CNFs with other agents for production of blends. The insertion of polymeric
622 additives and nanostructures in the matrix can greatly improve the optical behavior since the
623 diameter of the nanostructures is less than the wavelength of visible light (400 to 800 nm),
624 allowing the complete passage of the light. Examples of polymers for blending include
625 biopolymers such as PLA (poly-lactic acid) (Gazzotti et al., 2019) and biodegradable polymers
626 such as PVA (poly-vinyl alcohol) (Silva et al., 2020) and starch (do Prado et al., 2018). Another

627 potential example of treatment which could be used in intention to improve the transparency of
628 the films is the use of specific enzymes on the raw material (Long et al., 2017), which can result
629 in high quality of fibrillation, as well as chemical pre-treatments, besides the advantage of being
630 environmentally friendly. However, enzymatic treatment is limited due to its sensitivity to
631 different temperature ranges, pH and prolonged conditions. Additionally, parameters such as:
632 the greater number of passes through the grinder fibrillator, processing of suspensions with
633 lower concentration, chemical modifications with oxidative reagents with greater selectivity,
634 high temperature pressing, drying temperature of raw materials and longer sonication time are
635 examples of techniques used to obtain final products with higher transparency (Nogi et al.,
636 2005; Nogi et al., 2009). Processes as vacuum filtration, followed by compression and vacuum
637 drying could also be an interesting option for production of the films/nanopapers, however it
638 could make the process more expensive and request greater energy consumption. It is important
639 to highlight that depending on the application, transparent films are not required, since there are
640 several possibilities for using the product where there is no requirement for total transparency.

641 Transparency and diameter can be used to indirectly assess the degree of fibrillation of
642 the CNFs. The diameter measurements performed on the CNFs after 20 and 40 passages
643 indicate the efficiency of the fibrillation process, that is, their values were 20 ± 5 and 15 ± 5 nm,
644 for BTPT and 31 ± 23 and 21 ± 9 nm for the EKC samples, respectively. In addition to the
645 properties abovementioned, there was an improvement in the mechanical properties of tensile
646 strength, density, water absorption, contact angle, water vapor transmission rate; as well as the
647 large amount of hydroxyl groups on the CNFs surface (seen in the FTIR) and film compaction
648 (seen with SEM-FEG). All these factors enable to infer that there was an increase in the degree
649 of fibrillation of CNFs with more passages through the grinder.

650 The carboxyl content may have increased after alkaline treatment and after the passages
651 through the mechanical fibrillator. These initial processes have promoted an increase in the
652 amount of hydroxyl groups on the surface of the CNFs due to oxidation and the increase of the
653 surface area of the fibrillated material (deconstruction of the cell wall). Probably, the degree of
654 polymerization may have reduced after these steps, increasing the cationic demand of the
655 suspension. The literature shows a certain linear relation between the increase in the tensile
656 strength and rupture stress with the number of carboxylic groups on the fiber surface (Serra et
657 al., 2017), that is, the increase in the number of hydroxyls and carboxylate groups provides the
658 improvement in the tensile properties of CNFs films. In addition, the strength of plain paper
659 depends, among others, on the number of hydrogen bonds that form between cellulose fibers
660 when water is removed from the fibrous suspension. It is then expected that CNFs, with a larger
661 surface area and a greater number of carboxyl and hydroxyl groups (negative charges) on their
662 surface, will be able to form great contents of hydrogen bonds between them.

663

664 *3.5 Biodegradability of the films/nanopapers*

665 The mass loss after soil incubation is a commonly used parameter for measuring
666 changes caused by the microbial attack on polymers (Flemming et al., 1998). The mass loss
667 increased with the increase of incubation time, independent of the raw material (Figure 9A). The
668 total mass losses after 18 weeks (126 days) for 20 and 40 passages were ~40% and ~46% for the
669 BTPT films/nanopapers and ~26% and ~24% for the EKC samples, respectively. Signs of
670 degradation such as cracks, color change, roughness, and the presence of stains on the surface of
671 the samples were observed (Figure 9B). Other factors such as the type of microorganisms and
672 the pH of the soil also interfere in the biodegradability process (Doi et al., 1992; Flemming et
673 al., 1998). A great decrease of mass was observed until the first 4 weeks (28 days) of evaluation.

674

675

#####Figure 9#####

676 **Figure 9** – A) Mass loss of the films/nanopapers along the biodegradation and visual aspect of
677 the samples before and after 18 weeks in simulated soil: from (a) to (d) BTPT films/nanopapers
678 (20 and 40 passages) before and after biodegradation; from (e) to (h) EKC films/nanopapers (20
679 and 40 passages) before and after biodegradation; B) Typical optical microscopy images of the
680 samples after 18 weeks after soil incubation. Red arrows show pores and stains. From (a) to (d)
681 BTPT nanostructured films/nanopapers (20 and 40 passages) before and after biodegradation;
682 from (e) to (h) EKC nanostructured films/nanopapers (20 and 40 passages) before and after
683 biodegradation.

684

685 The first days of incubation correspond to the abiotic phase of biodegradation, in which
686 the macromolecules suffer hydrolysis. The mass reduction remained stable between the 5th week
687 (35 days) and 10th week (70 days), strongly decreasing again between the 11th (77 days) and 16th
688 (112 days) weeks. The decomposition was observed especially for BTPT films/nanopapers. In
689 part, this could be due to the pores observed in their cross-section (see Figure 3), which
690 facilitated the dispersion of degrading enzymes after the microorganism's attack. A consortium
691 of various aerobic bacteria and fungi working cooperatively degrades the cellulose to glucose
692 and cellodextrins (Chandra and Renu, 1998).

693

694 Biomasses are known to show recalcitrance characteristics to enzymatic deconstruction,
695 which even includes hampering on the development of biomass-based fuels and chemicals
696 (Weiss et al., 2017; Dias et al., 2019), and refers to compositional and structural features that
697 provide more resistance against microbial decomposition (Sollins et al., 1996). This
698 recalcitrance is due to a number of both chemical and structural factors and is the result of
699 millions of years of parallel evolution of plants and plant degraders (Himmel et al., 2007;
700 Durães et al., 2020). The samples show remarkably different recalcitrance depending on the
700 characteristics of the raw material, including biomass porosity, cellulose accessibility, degree of

701 cellulose polymerization, lignin/hemicelluloses contents and microstructural aspects (Meng et
702 al., 2017; Lu et al., 2019).

703 Lignin is considered to provide a physical barrier that protects the cellulose and
704 hemicelluloses against decay enzymes (Higuchi, 1990). Despite the mechanical barrier provided
705 by lignin, the fibrillation may result in the depolymerization (Widsten et al., 2004), softening
706 and redistribution of fragments of this structure (Hietala et al., 2011), allowing the higher
707 biodegradation of BTPT films/nanopapers even with a higher content of lignin.

708 For some authors, the removal of hemicelluloses is considered the factor with the most
709 impact on the accessibility of cellulose in relation to delignification (Haverty et al., 2012; Pei et
710 al., 2012). Hemicelluloses can act as a physical barrier that hinders enzymatic hydrolysis
711 because they are between and surrounding the cellulose microfibrils in the secondary cell walls
712 (Zhu et al., 2011). The presented biodegradation test provides an excellent basis for further and
713 more detailed analyses of the effect of the soil on the biodegradation process of CNFs
714 films/nanopapers produced with lignocellulosic biomasses. The incubation process in the
715 simulated soil creates a humid and dark environment, which favors the reduction of the
716 recalcitrance characteristics of the lignocellulosic biomass components. It can be said that BTPT
717 films/nanopapers presented higher biodegradation in comparison to EKC films/nanopapers,
718 which could in part be due to the reduced recalcitrance of the BTPT.

719 The results obtained in this research provide insights about the management of the
720 lignocellulosic wastes obtained mainly from the processing of the banana cultivation. Thus,
721 further insights are required for improving the efficiency of the banana production chain,
722 reducing residual biomass wastes and CO₂ footprint with their possible application in other
723 chains and products. This research indicated the possibility of producing nanostructured
724 materials with high mechanical qualities using residual biomass, similar to films/nanopapers
725 produced with commercial wood pulps. Additionally, based on the attractive properties of
726 strength and biodegradability, it is suggested that the potential of cellulose nanofibrils combined
727 with polymers should be evaluated for purposes such as emulsifier agents, coating layers on
728 commercial papers and cardboards, or the production of functionalized CNFs with chelating
729 agents for the treatment of wastewater and absorption of heavy metals. The applications of these
730 biomass wastes for the production of biodegradable films and products contribute to reduce CO₂
731 footprint of banana production chain, reducing environmental problems and generating
732 economic benefits for agro-industry.

733

734 4. Conclusions and future prospects

735 The study demonstrated the potential of BTPT wastes for the production of
736 nanostructured films/nanopapers through the evaluation of their biodegradability, physical
737 (relations with water), barrier and mechanical properties. The increase in the number of

738 passages through the grinder fibrillator reduced the CNFs diameter. The higher
739 individualization of the raw material structures led to a greater specific area and greater bonding
740 between the CNFs after drying. Also, predominance of smaller empty spaces led to a greater
741 apparent density and greater film/nanopaper transparency. In general, the physical and
742 mechanical properties were improved with the increase of cycles of fibrillation due to the
743 formation of more compact and denser structures. The BTPT films/nanopapers showed also the
744 greatest mechanical properties after 40 passages, with a Young's modulus and tensile strength
745 of around 2.4 GPa and 51 MPa, respectively. Films/nanopapers produced after 40 fibrillation
746 cycles tended to show lower WVTR, especially those from the EKC. The total mass loss after
747 18 weeks of soil incubation for 20 and 40 passages were ~40% and ~46% for the BTPT
748 films/nanopapers, showing higher biodegradation in comparison to EKC. Further research is
749 required to look for alternative and eco-friendly pre-treatments or fibrillation methods that are
750 cost-effective for upscaling their application and efficient for complete fibrillation of the fiber
751 cell wall in nanoscale. Combined with other biodegradable polymers and chelating agents, the
752 CNFs could be used for promising purposes, such as coating layers on sack papers, cardboards,
753 card papers, and multilayered papers for packaging, as emulsifier agents, and the production of
754 special membranes for the absorption of heavy metals. Therefore, the production and
755 application of BTPT nanofibrils in other chains and products may reduce CO₂ footprint in the
756 banana production chain and may support environmentally conscious decision-making by
757 stakeholder companies, consumers, and professionals.

758 Declarations

759 Ethics approval and consent to participate

761 Not applicable.

762 Consent for publication

764 Not applicable.

765 Availability of data and materials

767 The datasets supporting the conclusions of this article are included in the article.
768 Besides, the datasets used and/or analyzed during the current study are available from the
769 corresponding author on reasonable request.

770 Competing interests

772 The authors declare that they have no conflict of interest.

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778 **Authors' contributions**

780 **BMRG** contributed with the writing of the initial version, review, data collection, and
781 data analysis. **MVS** and **MAM** were major contributors in writing the manuscript, specifically
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Figures

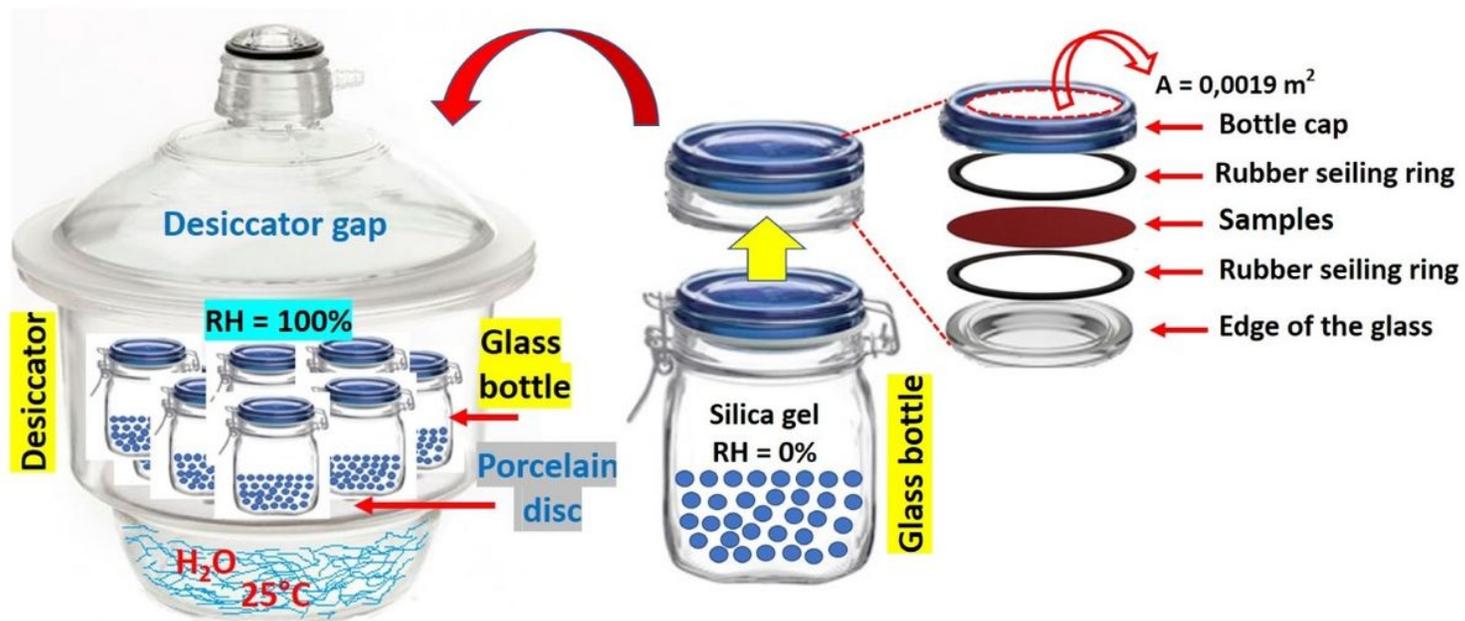


Figure 1

Scheme of permeability cell methodology for evaluation of the permeability of the films/nanopapers.

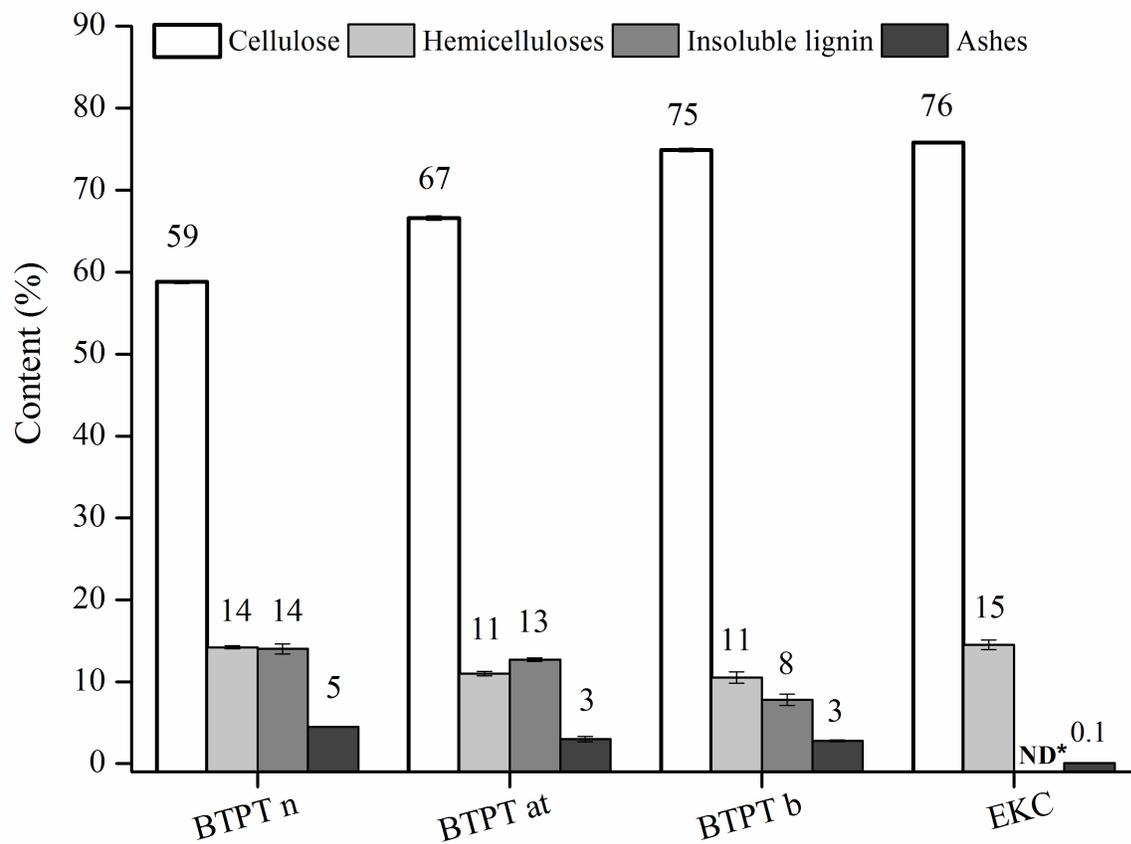


Figure 2

Chemical composition of the BTPT and EKC; *ND = not detected; n = natural; at = alkaline treated; b = bleached.

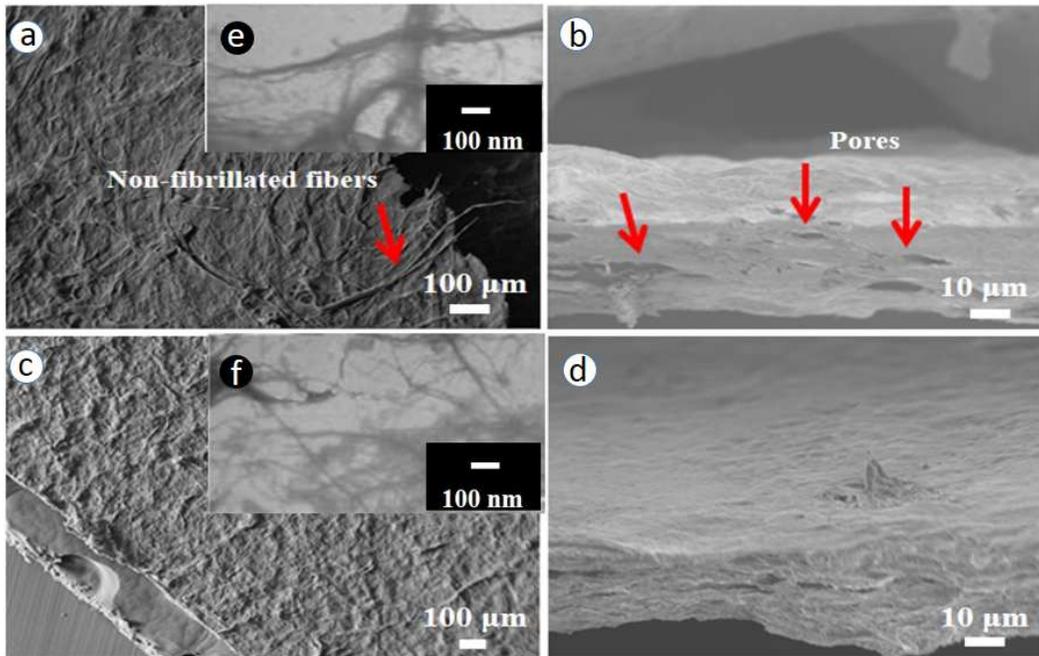


Figure 3

Typical scanning electron microscopy (SEM) images of BTPT films/nanopapers: a) and b) surface and fracture view, respectively (20 passages); c) and d) surface and fracture view, respectively (40 passages); e) and f) SEM/FEG micrographs of the CNFs suspension, respectively, after 20 and 40 passages.

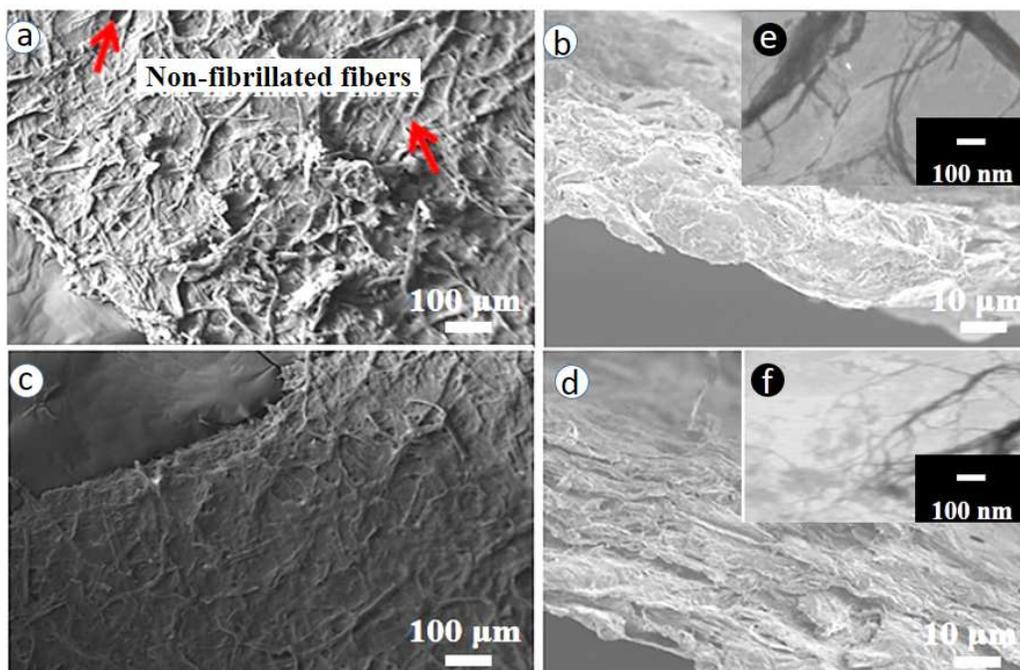


Figure 4

Typical scanning electron microscopy (SEM) micrographs of EKC films/nanopapers: a) and b) surface and fracture view, respectively (20 passages); c) and d) surface and fracture view, respectively (40 passages); e) and f) SEM/FEG micrographs of the CNFs suspension, respectively, after 20 and 40 passages.

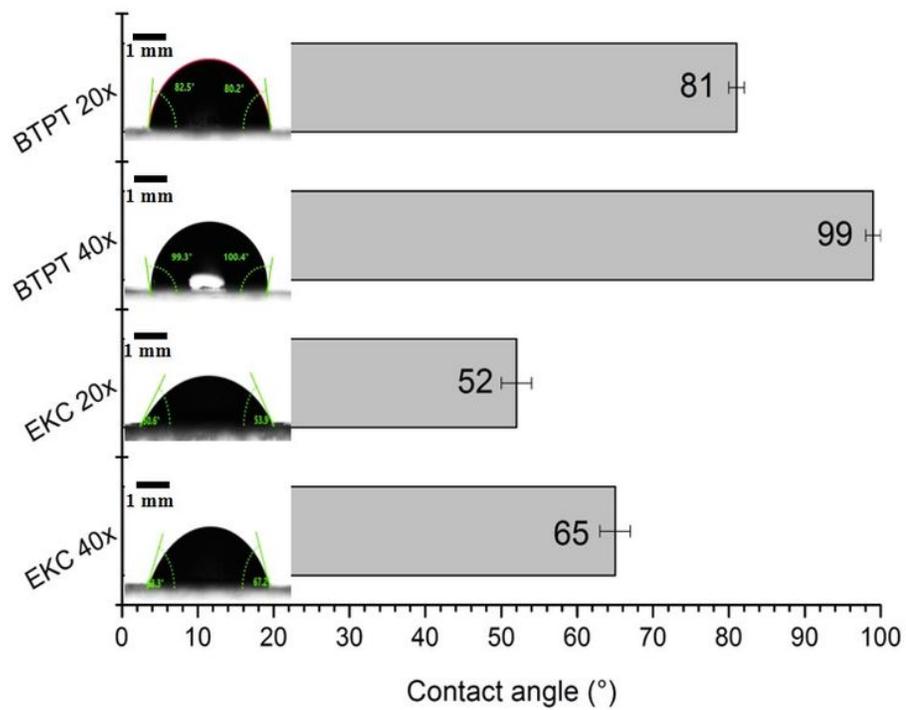


Figure 5

Average contact angle (after 2 s) of water with the BTPT and EKC films/nanopapers obtained after 20 and 40 passages through the grinder fibrillator.

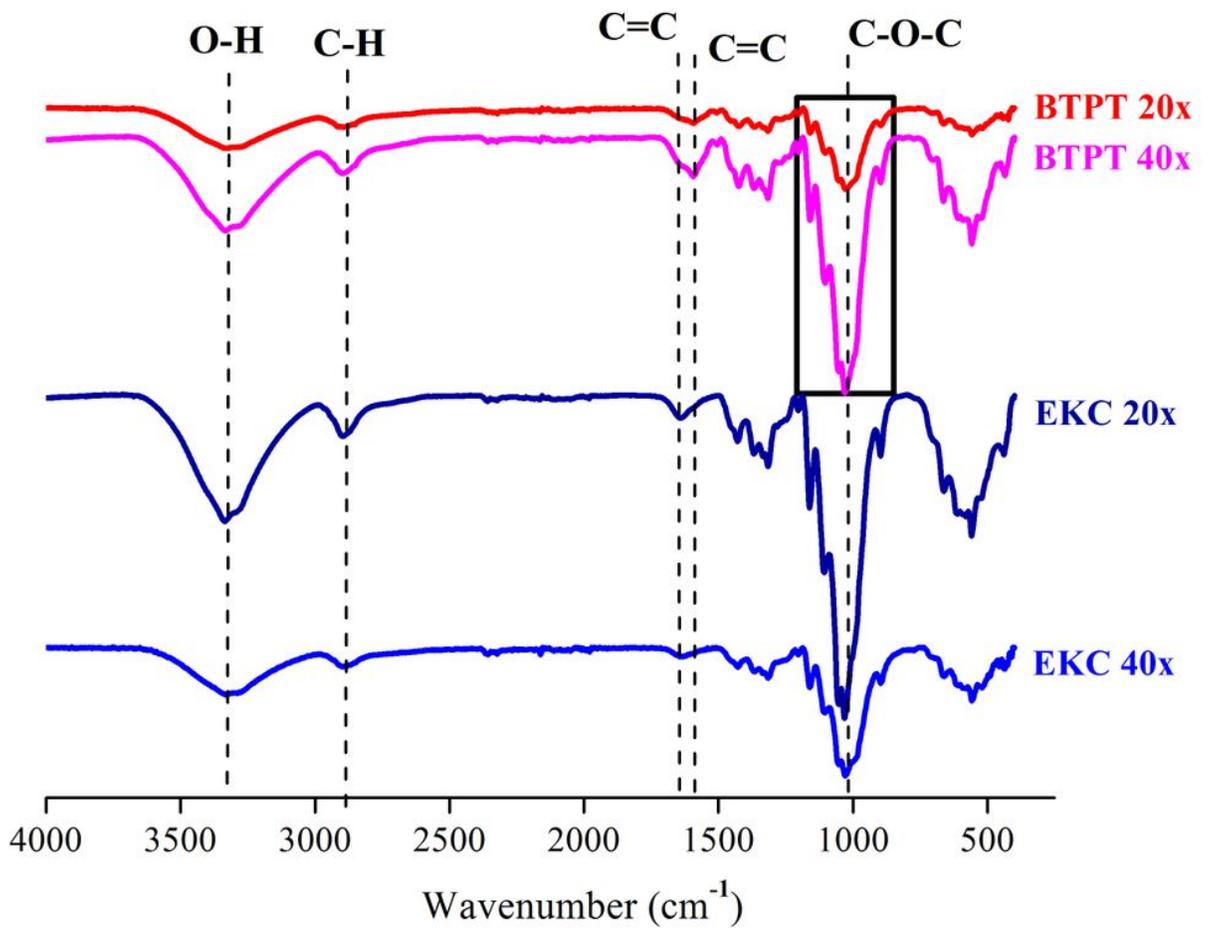


Figure 6

Typical FTIR spectra for BTPT and EKC films/nanopapers obtained after 20 and 40 passages through the fibrillator.

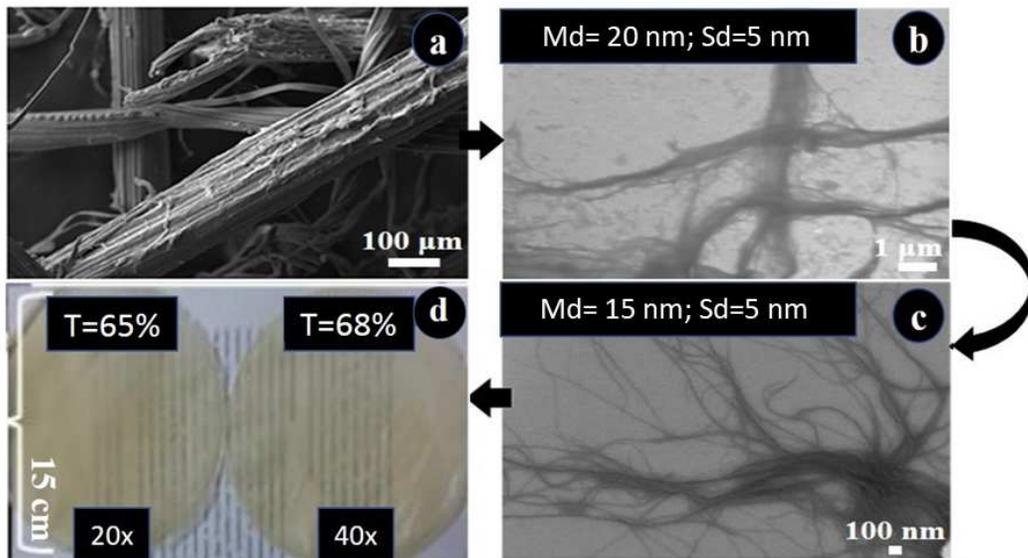


Figure 7

Typical images of the banana tree pseudostem (BTPT) structure and films/nanopapers: (a) SEM micrographs of the fibers, (b) SEM/FEG micrographs of the CNFs 20x, and (c) CNFs 40x; (d) visual aspect and light transmittance (T) of the films/nanopapers; Md = average diameter; Sd = standard deviation.

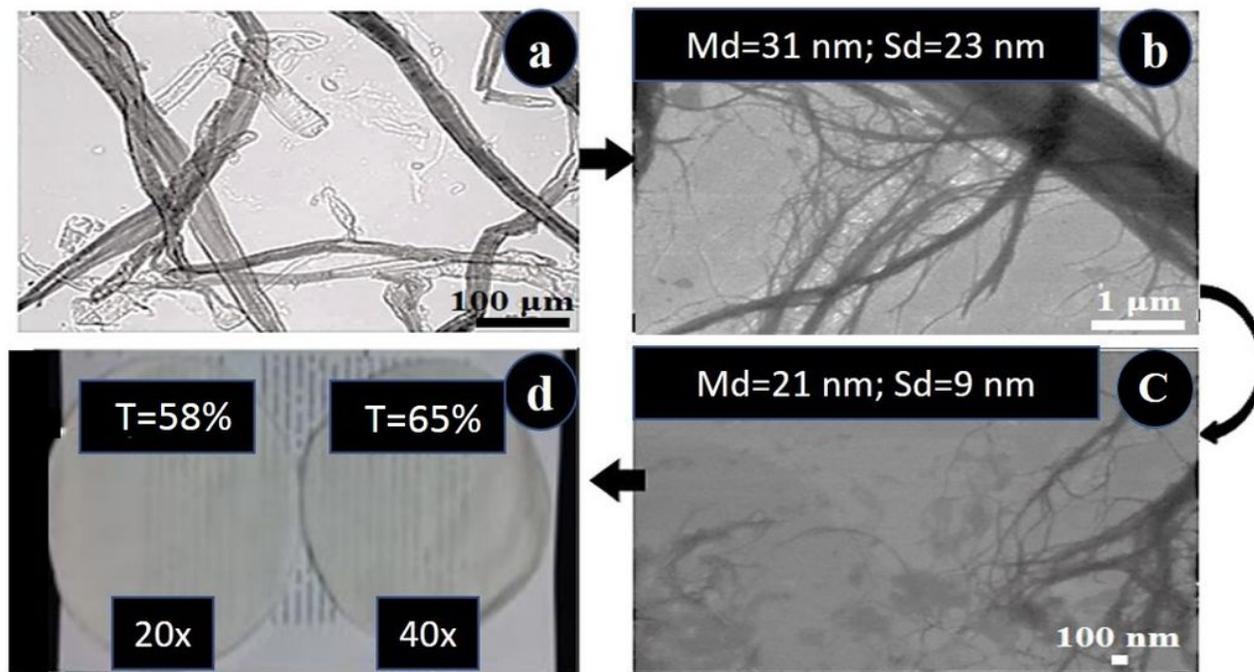


Figure 8

Typical images of the Eucalyptus kraft cellulose (EKC) structure and films/nanopapers: (a) light microscopy image of the fibers, (b) SEM/FEG micrographs of the CNFs 20x, and (c) CNFs 40x; (d) visual aspect and light transmittance (T) of EKC films/nanopapers; Md = average diameter; Sd = standard deviation.

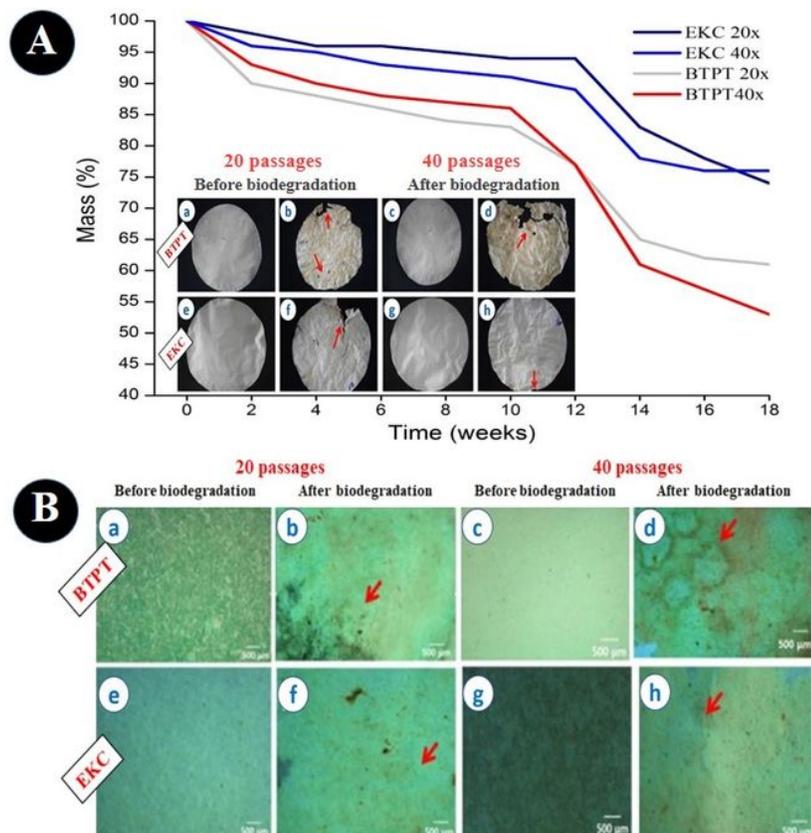


Figure 9

A) Mass loss of the films/nanopapers along the biodegradation and visual aspect of the samples before and after 18 weeks in simulated soil: from (a) to (d) BTPT films/nanopapers (20 and 40 passages) before and after biodegradation; from (e) to (h) EKC films/nanopapers (20 and 40 passages) before and after biodegradation; B) Typical optical microscopy images of the samples after 18 weeks after soil incubation. Red arrows show pores and stains. From (a) to (d) BTPT nanostructured films/nanopapers (20 and 40 passages) before and after biodegradation; from (e) to (h) EKC nanostructured films/nanopapers (20 and 40 passages) before and after biodegradation.

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