

Carboxylated Nanocellulose Superabsorbent: Biodegradation and Soil Water Retention Properties

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Research Article

Keywords: Carboxylated nanocellulose, TEMPO, soil water, biodegradation, agriculture.

Posted Date: May 10th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-474606/v1>

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1 **Carboxylated nanocellulose superabsorbent:**
2 **biodegradation and soil water retention**
3 **properties**

4

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14 **Keywords:** *Carboxylated nanocellulose, TEMPO, soil water, biodegradation,*
15 *agriculture.*

16 **Acknowledgements.** Many thanks to the Australian Research Council (ARC), Australian paper,
17 Circa, Norske Skog, Orora, Visy and the Government of Tasmania, for financial support through
18 the Industry Transformation Research Hub Processing Advance Lignocellulosics (PALS) grant
19 IH130100016.

20 **ABSTRACT**

21 Carboxylated nanocellulose superabsorbent polymers (SAP) can be used to increase soil water
22 retention in agriculture. The benefits investigated are influenced by the superabsorbent structure,
23 composition and application rate.

24 In this study, TEMPO (2,2,6,6-tetramethylpiperidine-1-oxyl)-oxidised nanocellulose
25 superabsorbents were prepared using three different drying techniques: freeze-dried, and oven-dried
26 at low and high temperatures. The swelling capacity in soil water extracts was measured and
27 compared to deionised water. Soil was amended with different application rates of these
28 superabsorbents to evaluate the effects on water retention, microbial community and their
29 biodegradation.

30 The absorption performance of nanocellulose superabsorbents is affected by the concentration and
31 type of salts in the soil water extracts. Oven-dried at 50 °C SAP presents the highest ionic sensitivity
32 attributed to its large number of accessible carboxylate groups. The water retention of the soil
33 treatments increases with increasing application rate. Soil treated with the freeze-dried
34 superabsorbent shows the highest water retention, whereas those amended with the 50°C oven-dried
35 SAP remain moist the longest. The biodegradation rate of these materials depends on the application
36 rate and nutrient availability. Carboxylated nanocellulose superabsorbents emerge as high-
37 performance biodegradable materials for agricultural use, able to replace the current non-
38 biodegradable petrochemical-based superabsorbents.

39

40 **1.0 INTRODUCTION**

41 Water is critical for agricultural production and food security. Irrigated agriculture uses
42 about 70% of the water available for human consumption worldwide and accounts for 59%
43 of the total fresh water in Australia (Organisation for Economic Co-operation and
44 Development; Department of Agriculture 2020). Water availability has been impacted by
45 climate change, drought and water shortage; its decrease has affected world agricultural
46 development in recent years. According to Müller C. (2010), agricultural yields will decline
47 between 2 – 15% over the next 30 years due to climate change. Hence, the efficient use of
48 water resources is crucial for the long-term sustainability of the agricultural industry.

49 One strategy to optimise water retention in soils and hence making it more available to
50 crops, is the use of superabsorbent polymers (SAPs) (Zohuriaan-Mehr et al. 2008). SAPs
51 are three-dimensional (3D) networks of linear or branched hydrophilic polymers physically
52 or chemically cross-linked (Guilherme et al. 2015). SAPs can absorb and hold water at
53 hundreds of times their own weight and remain stable in their swollen state (Ahmed 2015;
54 Ghorbani et al. 2019; Shen et al. 2016; Gross JR 1990). They have been extensively used
55 in many applications including biomedicine (Curvello et al. 2019), food and beverages
56 (Shewan and Stokes 2013), personal care and hygiene products (Bashari et al. 2018). In the
57 agricultural and horticultural industries, SAPs have a range of applications which includes
58 seed coatings, seed additives and root dips (Zohuriaan-Mehr et al. 2010). The use of SAPs
59 in soil has improved water availability for plants (Montesano et al. 2015). SAPs have also
60 contributed to water retention in different types of soil, significantly reducing the irrigation
61 water consumption (Abrisham et al. 2018). SAPs also serve as soil conditioners and
62 nutrient carriers (Guilherme et al. 2015), thereby improving soil properties and increasing
63 crop yield (Zohuriaan-Mehr, Kabiri, and Kourosch. 2008; Guilherme et al. 2015; Reddy
64 Kathi S. 2019).

65 Most of the commercially available SAPs in agriculture are petrochemical-based and
66 made of polyacrylate (PA) or polyacrylamide (PAM). Such PA/PAM SAPs degrade very
67 slowly into by-products increasingly raising health concerns including the formation of

68 microplastic particles which can be harmful to soil biota (Steinmetz et al. 2016; Horton et
69 al. 2017; Ramos et al. 2015). These environmental issues have led to the development of
70 superabsorbents from natural polymers, especially those made of polysaccharides such as
71 starch (Chen et al. 2004) or pectin (Guilherme et al. 2010). Most of these are produced as
72 composites in combination with synthetic polymers which decrease their biodegradability
73 and can significantly alter soil pH (Azeem et al. 2014).

74 Some of the major limitations of naturally derived SAPs are their low mechanical
75 resistance, high-cost, restricted longevity in soils and also low-absorption capacity
76 (Guilherme et al. 2015; Zohuriaan-Mehr et al. 2010). Cellulose, and especially
77 nanocellulose, has the potential to overcome these limitations because of its availability,
78 low-cost, biodegradability, hydrophilicity and high surface area (Varanasi et al. 2013;
79 Guilherme et al. 2015). Nanocellulose refers to the individual cellulose chains, also called
80 elementary fibrils, which have a diameter of 3 – 4 nm and a length > 1 – 2 μm (Lavoine
81 and Bergström 2017). Its low-density, high-strength, flexibility and tunable surface
82 chemistry make nanocellulose attractive as a material for superabsorbents.

83 Nanocellulose-based SAPs can be produced from TEMPO-mediated oxidation of
84 cellulose, currently considered as one of the most effective methods (Mendoza et al. 2019;
85 Li et al. 2013; Kabir et al. 2018). This process selectively converts the primary alcohols
86 (C6) into carboxylate groups. This surface modification provides the necessary electrostatic
87 repulsion which produces nanoscale fibres upon mechanical fibrillation (Isogai et al. 2011).
88 Recent studies have shown that the resulting material can be dried either by freeze-drying
89 or evaporative-drying to create a highly porous superabsorbent consisting of an
90 entanglement of cellulose nanofibres (CNF) of high surface area (Barajas-Ledesma et al.
91 2020). Despite these advantages, few nanocellulose-based superabsorbents have been
92 developed for application in agriculture. Though several studies have investigated
93 cellulose-based superabsorbents, most of them are crosslinked with acrylamide or acrylic
94 acid (Li and Chen 2020), reducing their biodegradability and sustainability. Only a few
95 studies have analysed nanocellulose-based superabsorbents for agriculture. For example,

96 Zhang et al. (2017) found that chemically cross-linked nanocellulose superabsorbent can
97 be beneficial for seed germination. These superabsorbents can be applied as soilless culture
98 mediums for plant growth. Mendoza et al. (2019) reported that the absorption capacity of
99 carboxylated nanocellulose superabsorbents is dictated by the charge density and fibre
100 content. Zhou et al. (2013) stated that CMC–acrylamide based superabsorbents containing
101 carboxylated CNF have a water absorption capacity higher than CNF-free superabsorbent.
102 Yet, none of these studies has evaluated the ability of nanocellulose-based superabsorbents
103 as a water retention agent in a real soil environment nor their biodegradability under
104 realistic conditions.

105 While some studies have evaluated the synthesis and formulation of nanocellulose-based
106 superabsorbents (Li and Chen 2020; Liliana Serna and Guancha-Chalapud 2017; Mondal
107 2019), none has systematically compared the effect of application rate on soil water
108 retention properties nor related those to soil microbial activity. These steps are crucial for
109 any agriculture use, potentially compromising food security, environment and human
110 health. The effect of a novel generation of nanocellulose superabsorbent produced from
111 different drying methods on the superabsorbent longevity needs to be quantified.

112 In this study, carboxylated nanocellulose superabsorbents were prepared via TEMPO
113 oxidation followed by high-pressure homogenization. This standard carboxylated
114 nanocellulose was dried using three different drying techniques: freeze-drying or oven-
115 drying at high and low temperatures. The water retention of the soil treated with these
116 superabsorbents was quantified for agricultural use at three different application rates. Our
117 objective is to analyse the effect of nanocellulose-based superabsorbents on microbial
118 activity and establish a relationship with its biodegradation. This is to enable nanocellulose
119 superabsorbent as a new class of performance, cheap and sustainable hydro-retentor for
120 agriculture use.

121 2.0 MATERIALS AND METHODS

122 2.1 Materials

123 Bleached Eucalyptus Kraft (BEK) pulp was provided by Australian Paper, Maryvale,
124 Australia. Sodium bromide (NaBr) and 2,2,6,6-Tetramethylpiperidine-1-oxyl (TEMPO)
125 were purchased from Sigma-Aldrich. Hydrochloric acid (HCl) and sodium hydroxide
126 (NaOH) were diluted for solutions as required and purchased from ACL Laboratories and
127 Merck, respectively. 12% w/v sodium hypochlorite (NaClO) was purchased from Thermo
128 Fisher Scientific and used as received. Urea, super phosphate and sulphate of potash were
129 purchased from Richgro (Jandakot, Australia).

130 Soil was collected from a wheat growing property located in Ouyen, north-west Victoria
131 (34°58'0.16"S; 142°20'45.85"E) (alkaline Calcarosol (Isbell 2016)), Soil was collected at a
132 depth of 20-40 cm, air-dried and sieved to <2 mm. The soil was then characterised for a
133 range of key physicochemical properties including pH, electrical conductivity and available
134 and exchangeable cations (Table 1). Analysis was conducted by Environmental Analysis
135 Laboratories, at Southern Cross University (Environmental Analysis Laboratory 2020),
136 using Rayment and Lyons (Rayment 2011) standard methods.

137 **Table 1.** Characteristics of the calcarosol soil selected for the experiments.

Parameter	Calcarosol
Electrical conductivity (dS/m) ^a	0.022
pH (CaCl ₂)	6.80
Nitrate (mg/Kg)	2.2
Ammonium (mg/Kg)	1.5
Total Carbon (%)	0.12
Total Nitrogen (%)	0.03
Organic Matter (%)	0.20
Na ⁺ (mg/Kg)	14
Ca ²⁺ (mg/Kg)	521
Mg ²⁺ (mg/Kg)	139

K ⁺ (mg/Kg)	156
Phosphorus (mg/Kg)	22
Effective Cation Exchange Capacity (cmol ⁺ /Kg)	4.21

138 ^aElectrical conductivity measured in a 1:5 soil:water extract.

139 **2.2 Superabsorbent preparation**

140 Nanocellulose superabsorbent was prepared following the TEMPO-mediated oxidation
 141 process developed by Isogai, Saito , and Fukuzumi (2011) to achieve a carboxylate content
 142 of 1.4 mmol/g. In brief, 25 g (dry weight) of BEK pulp was suspended in 2500 mL of water
 143 containing 0.4 g and 2.5 g of dissolved TEMPO and NaBr, respectively. To achieve a
 144 carboxylate content of 1.4 mmol/g of dry fibre, 100 mL of 12% w/v NaClO were initially
 145 adjusted to pH 10 through the addition of 36% w/v HCl. The oxidation process started by
 146 adding the 100 mL of NaClO (6.6 mmol NaClO/g cellulose) drop-wise to the suspension
 147 under constant stirring. The pH of the reaction was kept at 10 via addition of 0.5M NaOH.
 148 The reaction was maintained for 3 h or until no decrease in pH was observed. The oxidised
 149 fibres were washed with deionised water, filtrated and stored refrigerated at 4 °C.

150 The TEMPO-oxidised pulp was dispersed in deionised water to prepare a solution of
 151 0.5% w/v which was further fibrillated using a high-pressure homogeniser (GEA Niro
 152 Soavi Homogeniser Panda) at 1000 bar and two passes. To produce the superabsorbent
 153 materials, the resulting product from homogenisation was either freeze-dried or oven-dried.
 154 Freeze-dried superabsorbent was accomplished by freezing the nanocellulose for at least
 155 12 h at -80°C followed by freeze-drying (Christ Alpha 2-4 LD Plus) for 2 days. Oven-dried
 156 superabsorbent was prepared by drying the nanocellulose in an oven (Thermoline BTC-
 157 9090) at either 50 °C or 105 °C until no mass loss was observed.

158 **2.3 Soil water retention studies**

159 *i) Soil water holding capacity.* The soil water holding capacity (WHC) or field capacity
 160 was determined gravimetrically by adding an excess of water to 100 g of soil into a Buchner
 161 funnel with a filter paper placed at the bottom. Water was then allowed to drain and the

162 saturated soil was left covered at room temperature for 48 h. After this period, the saturated
163 soil was weighed and oven dried. The WHC was calculated as follows:

$$164 \quad \text{WHC} = m_w - m_d \quad (1)$$

165 Where m_w refers to the weight of the saturated soil and m_d is the mass of the dried soil.
166 The units of WHC are in g water/100 g of soil.

167 **ii) Water retention tests.** Soils were subjected to two experiments to test the effect of
168 freeze-dried or oven-dried superabsorbent prepared at 50 °C or 105 °C. For both studies,
169 polypropylene containers (120 mL) were drilled with four holes of 4 mm diameter and a
170 filter paper was placed at the bottom of each. Each experiment was then prepared as
171 follows: superabsorbent was added to the soil at rates of 0.2, 0.5 and 1 wt% to prepare a
172 total of 50 g of soil treatment (Table 2). Each treatment was thoroughly mixed and placed
173 in the 120 mL containers which were then fully immersed in deionised water for 24 h to
174 allow the superabsorbent to reach equilibrium. A control treatment without the
175 superabsorbent was also prepared and underwent the same immersion treatment. Five
176 replicates of each treatment were conducted. After 24 h, the containers were raised to drain
177 the excess water until no further water was observed coming out of the bottom of the
178 container and the weight was recorded. The containers were then placed without any
179 covering into a temperature/humidity control cabinet (Thermoline L+M – 150-GD) kept at
180 23 °C and 70% relative humidity. Samples were weighed every day. Water retention was
181 calculated as follows:

$$182 \quad \text{Water retention (\%)} = \frac{m_i}{m_o} \times 100 \quad (2)$$

183 Where m_i refers to the mass of the sample at day i , and m_o is the mass of the control
184 after being fully saturated with water (day 0).

185 During the first experiment, samples were allowed to dry without any further addition of
186 water. This experiment concluded when no further mass loss was detected.

187 During the second experiment, samples were prepared and incubated under the same
188 conditions as described previously, over a period of 28 days. In this case, further addition
189 of deionised water was performed whenever the percentage of the water in the control

190 treatment had decreased to 10% or less. This typically required between 10 – 12 mL of
191 water at 8 – 10 day intervals. This same volume of water was added to all the other
192 treatments at that point.

193 **Table 2.** Application rate and mass of superabsorbent used in the experiment.

Application rate (%)	Mass of superabsorbent (g)	Mass of soil (g)
0.0	0.00	50.00
0.2	0.10	49.90
0.5	0.25	49.75
1.0	0.50	49.50

194

195 **2.4 Microbial activity studies**

196 Soil incubation studies were performed with two types of superabsorbent: freeze-dried
197 and oven-dried at 50 °C. For each type, treatments were prepared by adding the
198 superabsorbent at the same application rates as mentioned in section 2.3 to prepare 50 g of
199 soil mixture. Deionised water was added to reach 60% WHC. Two different control
200 treatments were prepared: one without any superabsorbent and another with a basal
201 application of fertiliser and 1% wt of superabsorbent. The fertiliser was applied at an
202 equivalent rate of 60 kg ha⁻¹ of N, 70 kg ha⁻¹ of P and 60 kg ha⁻¹ of K based on the soil
203 analysis. The fertiliser was thoroughly mixed with the soil. Five replicates were conducted
204 for each treatment. Samples were incubated at 23 °C and 80% relative humidity in a
205 temperature/humidity control cabinet (Thermoline L+M – 150-GD).

206 Gas samples were collected at days 1, 3, 5, 7, 14, 21 and 28 using the static chamber
207 method adapted from van Zwieten et al. (2010). Briefly, the containers containing the
208 incubated, treated soils were sealed and soil gas emissions were allowed to accumulate for
209 exactly 10 minutes (based on a CO₂ emission curve previously conducted). A gas tight
210 syringe (SGE Analytical Scientific) was used to extract an aliquot of soil gas emissions
211 through a chlorobutyl septum and was then introduced into a pre-evacuated Labco®
212 exetainer vial. Collected gases were analysed for CO₂ using an Agilent Technologies

213 7890A Gas Chromatography – Thermal Conductivity Detector (GC-TCD) and GC-Flame
214 Ionization Detector (FID).

215 The flux rate, F_{CO_2} , was calculated using equation 3 and noted as $mg\ CO_2/m^2h$:

$$216 \quad F_{CO_2} = \frac{b \times V_{CH} \times MW_{CO_2} \times 60 \times 10^6}{A_{CH} \times MV_{corr} \times 10^9} \quad (3)$$

217 Where b is the CO_2 concentration measured in ppm/min, V_{CH} is the volume of the
218 measuring chamber, MW_{CO_2} is the molecular weight of CO_2 (44 g/mol), A_{CH} refers to the
219 basal area of the measuring chamber and MV_{corr} is the temperature corrected molecular
220 weight volume calculated using equation 4:

$$221 \quad MV_{corr} = 0.02241 \times \left(\frac{273.15+T}{273.15} \right) \quad (4)$$

222 Where T is the air temperature during the measurement and 0.02241 is the molar volume
223 of an ideal gas at 273.15 K, 1 atm.

224 **2.5 Biodegradation studies**

225 Superabsorbent biodegradation was evaluated for two types of superabsorbent: freeze-
226 dried and oven-dried at 50 °C. For each type, superabsorbent was added to soil at the
227 application rates specified in section 2.3 to an overall total of 10 g. Deionised water was
228 added to reach 60 % WHC. Similar to the microbial activity studies, two different control
229 treatments were prepared: one without any superabsorbent and another with a basal rate of
230 fertiliser and 1%wt of superabsorbent, as previously described. The latter was to review the
231 effect of the fertiliser on superabsorbent biodegradation. Five replicates were conducted
232 for each treatment. Samples were incubated at 23 °C and 80% relative humidity in a
233 temperature/humidity control cabinet (Thermoline L+M – 150-GD). Samples were
234 destructively sampled at days 1, 3, 5, 7, 14, 21 and 28, dried in an oven at 60 °C and stored
235 frozen at -20 °C until required.

236 Biodegradation was measured using the acid digestion method adapted from Sluiter et
237 al. (2008) to hydrolyse cellulose in the soil to glucose and glucuronic acid which were then
238 measured. In brief, 3 mL of 72% sulphuric acid was added to 5 g of treated soil at room
239 temperature. The mixture was placed in a water bath at 30 °C and incubated for 60 min.

240 During this time, the mixture was occasionally stirred every 5 to 10 minutes to ensure even
241 acid – soil contact. Dilution to 4% sulphuric acid was made by adding 83 mL of deionised
242 water followed by autoclaving for 60 minutes at 121 °C. After cooling to room temperature,
243 the soil was removed by filtration and the supernatant retained and neutralised to pH 5 – 6
244 using calcium carbonate. When neutralisation was completed, the solids were separated by
245 centrifugation at 4400 rpm for 10 min. The supernatant was collected and analysed through
246 high performance liquid chromatography (HPLC) using a BioRad Amminex HPX-87H
247 column, 0.005M sulphuric acid as the mobile phase, with a refractive index detector at a
248 temperature of 40 °C and a flow rate of 0.4 mL/min.

249 **2.6 Swelling studies**

250 *i) Soil water extract.* Water was extracted from the soil according to the saturation extract
251 method adapted from Sparks (1996). A mass of 1 kg of air-dried soil was weighed into a
252 beaker. Deionised water was added to the soil until it was nearly saturated and the mixture
253 was left at room temperature for 3 h to allow the dissolution of the soluble salts. More water
254 was added while stirring, until the soil paste shined and a soil-water paste was formed. The
255 soil paste was left at room temperature for another 2 h. The soil paste was then filtered
256 under vacuum using a Buchner funnel and filter paper. The filtrate (i.e. soil water extract)
257 was centrifuged at 4400 rpm for 10 min to further separate any remaining solids from the
258 soil water extract.

259 The soil water extract was characterised by Environmental Analysis Laboratories, at
260 Southern Cross University (Environmental Analysis Laboratory 2020), using Rayment and
261 Lyons (Rayment 2011) standard methods (Table 3).

262 **Table 3.** Characteristics of the calcarosol soil water extract used in the experiments.

Parameter	Water extract
pH	6.80
Electrical conductivity ($\mu\text{S}/\text{m}$)	536.02
Aluminium (mg/L)	31.90
Iron (mg/L)	16.40

Silicon (mg/L)	63.90
Calcium (mg/L)	9.27
Magnesium (mg/L)	4.44
Potassium (mg/L)	8.39
Sodium (mg/L)	72.60
Chloride (mg/L)	39.90
Sulphur (mg/L)	28.90
Phosphorus (mg/L)	9.90

263 **ii) Swelling capacity.** The swelling capacity of the superabsorbents was measured in the
264 soil water extracts obtained in previous section to simulate real environment conditions,
265 with comparison to DI water. The swelling capacity and swelling rate were determined by
266 weighing the samples before and after immersion in the soil water extract over different
267 periods of time (1, 5, 15, 30, 60, 90, 150 and 250 minutes) at room temperature. The
268 swelling capacity was calculated as follows:

$$269 \quad \text{Swelling capacity, } Q = \frac{m_t - m_d}{m_d} \quad (5)$$

270 where m_t is the weight of the swollen gel at time t and m_d refers to the weight of the
271 dried sample. Results are reported as the average and standard deviation of triplicates.

272 **2.7 Data analysis**

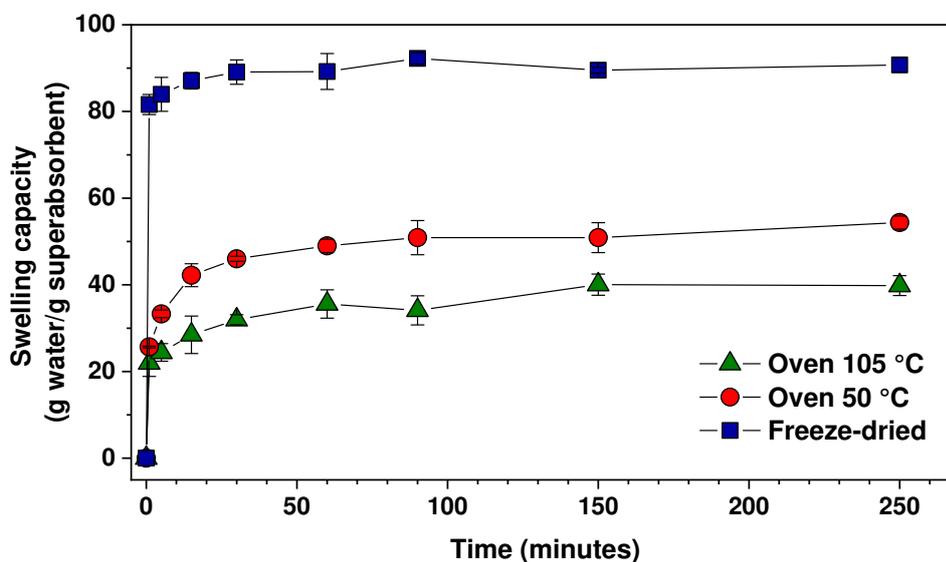
273 The microbial activity data was analysed statistically using a One-way ANOVA
274 (analysis of variance) to evaluate differences between the means of the control and
275 treatments. Dunnett's test was used to determine any significant differences
276 between the control and the treatments. Biodegradation studies were analysed
277 statistically using a t-test analysis to evaluate differences between the means the
278 treatments. Both analyses were performed using GraphPad Prism 9.0.2.

279 3.0 RESULTS

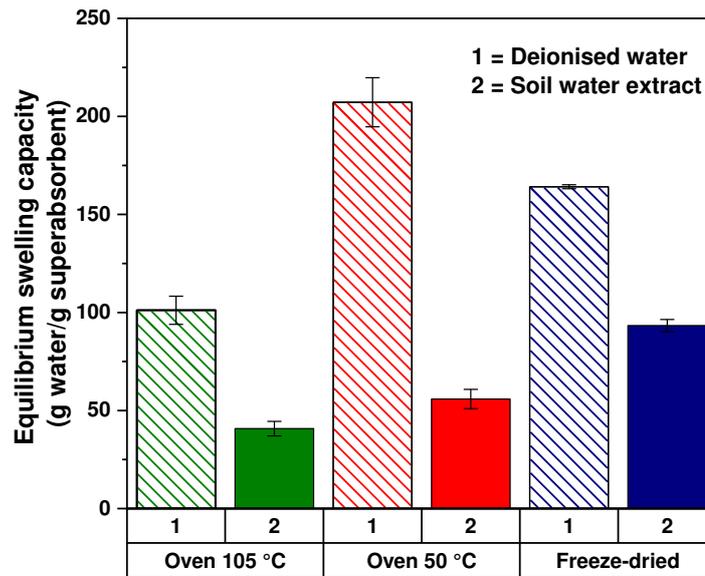
280 3.1 Swelling

281 The swelling capacity of the three nanocellulose superabsorbents in soil water extracts
282 is expressed as a function of time (Figure 1). All superabsorbents show a similar behaviour.
283 Swelling uptake reaches a plateau after an initial absorption rate. In all cases,
284 superabsorbents required up to 150 minutes to reach maximum capacity. Freeze-dried
285 superabsorbent is characterised by an initial rapid swelling with most of it occurring during
286 the first minutes. In contrast, superabsorbents dried via evaporation show a slower swelling
287 rate.

288 Swelling at equilibrium is dictated by the drying technique used to prepare the
289 superabsorbent and the swelling media employed (Figure 2). While SAP oven-dried at 50
290 °C achieves the highest absorption capacity in deionised water, freeze-dried SAP has the
291 highest swelling capacity in soil water extracts of 90 g water/g superabsorbent. This is
292 followed by SAP oven-dried at 50 °C and at 105 °C being 55 and 40 g water/g
293 superabsorbent, respectively.



294
295 **Figure 1.** Effect of the drying process on the swelling capacity of nanocellulose superabsorbents
296 immersed in soil water as a function of time. Results are reported as mean \pm standard deviation
297 (n=3).



298

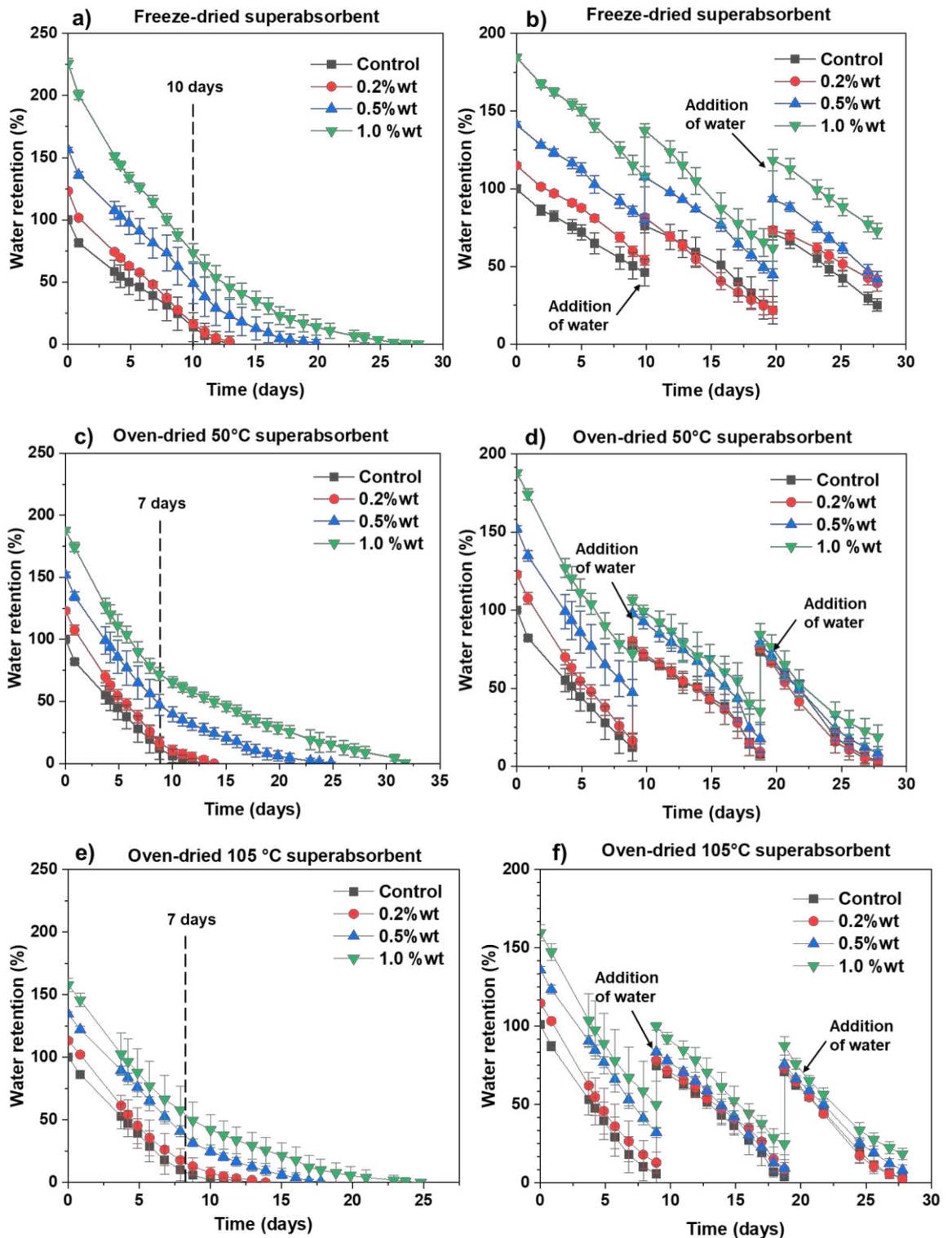
299 **Figure 2.** Effect of the drying process on the swelling capacity of nanocellulose superabsorbents at
 300 equilibrium. Comparison of deionized water with soil water extract. Results are reported as mean
 301 +/- standard deviation (n=3)

302 3.2 Soil water retention

303 The water retention of the soil amended with the nanocellulose superabsorbents was
 304 evaluated under two conditions: with and without further addition of water after the initial
 305 irrigation – day 0 (Figure 3a-f). In all treatments, soil water retention increases as the
 306 nanocellulose SAP application rate increases. This is independent of the superabsorbent
 307 type. Soil amended with freeze-dried SAP shows the maximum water retention of 2.3 times
 308 higher than soils without superabsorbent (control) (Figure 3a). While controls required
 309 approximately 10 days to reach constant weight, soils amended with 1 wt% application rate
 310 of any superabsorbent took between 25 to 33 days, 2.3 to 3 times longer time compared to
 311 the control.

312 For all treatments, the water retention effectiveness decreases with increasing number of
 313 irrigation cycles. This is noted via two different indicators. Firstly, the water retention of
 314 the soil treated with any superabsorbent decreases with increasing irrigation cycles. For
 315 example, the water retention of the soil treated with 1.0 wt% oven-dried at 50 °C SAP
 316 changes from 170% in the first cycle to 110% and 85% in the second and third irrigation
 317 cycles, respectively. Secondly, the difference between the water retention of the soil

318 treatments and the control decreases as the irrigation number increases. Both indicators
 319 suggest a decrease in the superabsorbent efficiency.



320

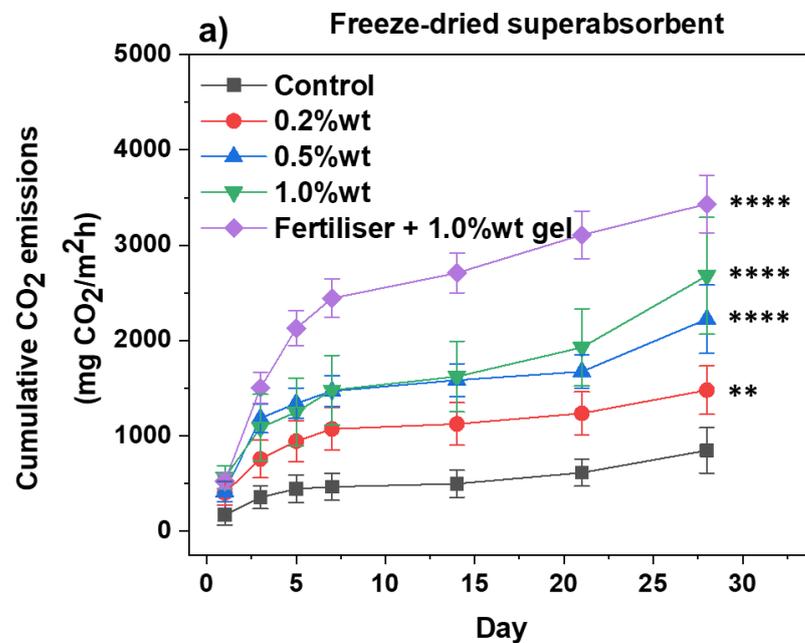
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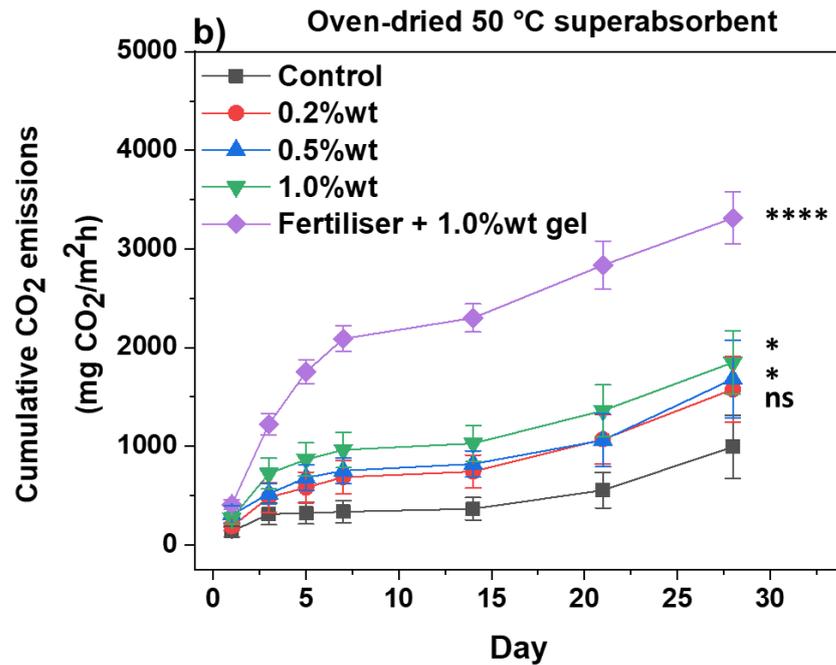
323 **Figure 3.** Water retention of soil treated with different nanocellulose superabsorbents over a period
 324 of 28 days with and without further addition of water after initial irrigation: (a and b) freeze-dried
 325 SAP, (c and d) oven-dried 50 °C SAP and (e and f) oven-dried 105 °C SAP. Results are reported as
 326 mean \pm standard deviation (n=5).

327 **3.3 Microbial activity**

328 In general, for both types of superabsorbent, CO₂ emissions (i.e. respiration rates)
329 increase with time and application rate (Figure 4). This increase is more statistically
330 significant when freeze-dried superabsorbent is used, as noted by Dunnett's test. The
331 difference between the control and soil treated with 1 %wt SAP ranges between 900 mg
332 CO₂/m²h to 1800 mg CO₂/m²h for 50 °C oven-dried and freeze-dried SAP, respectively.
333 These respiration rates signify the response of the soil microbial community to the presence
334 of this nanocellulose superabsorbent. Similarly, fertilised soil amended with 1 %wt of any
335 SAP exhibits an increase of CO₂ emissions compared to the soil treatments without
336 fertiliser.



337



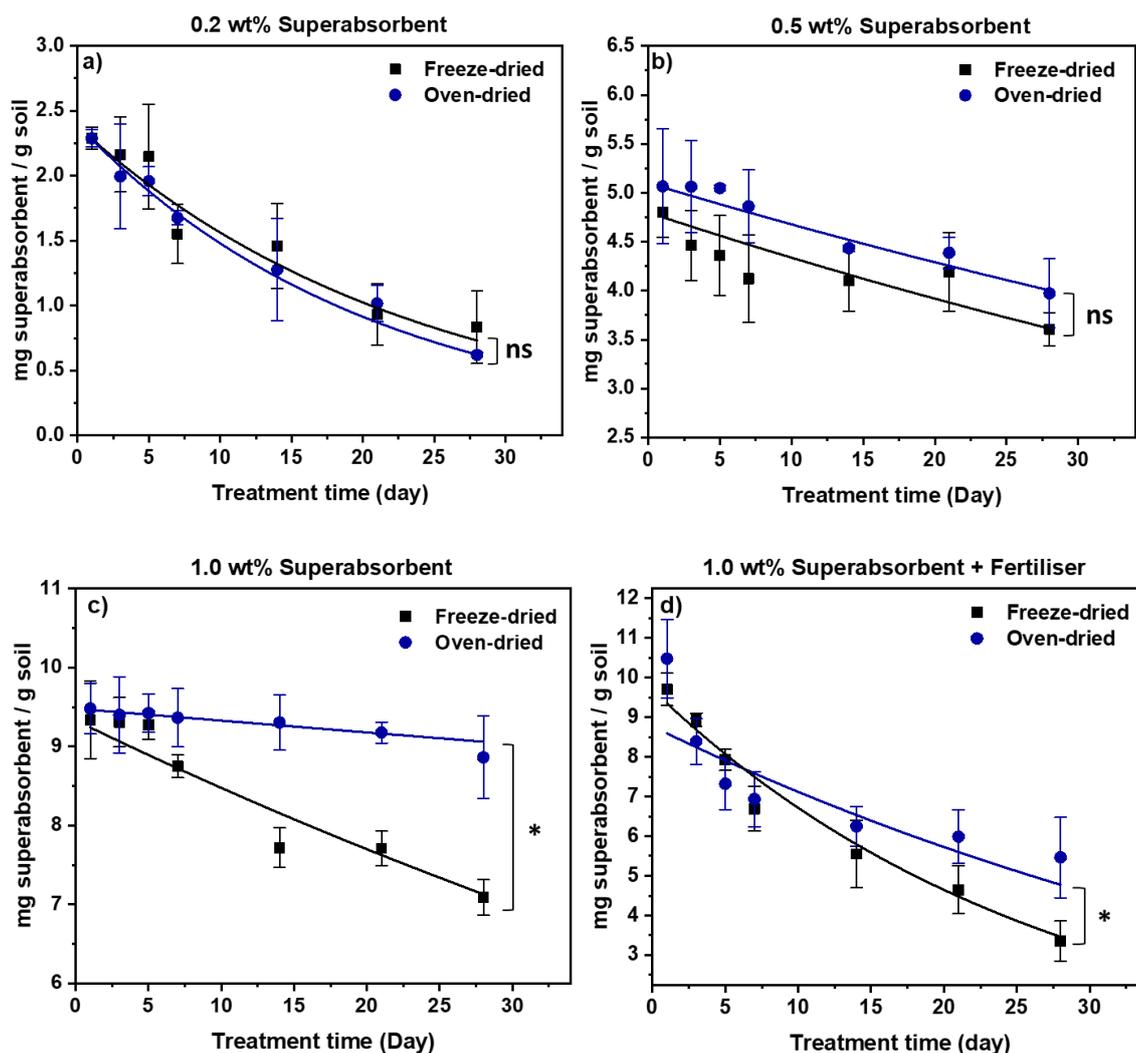
338

339 **Figure 4.** Cumulative CO₂ emissions of soil treated with different application rates of (a) freeze-
 340 dried superabsorbent and (b) oven-dried 50 °C superabsorbent. Results are reported as mean ±
 341 standard deviation (n=5). Statistical difference according to One-way ANOVA analysis followed by
 342 Dunnett's test against control is indicated. Here, ns = no significant difference, * represents $p \leq 0.05$,
 343 ** denotes $p \leq 0.01$ and **** is $p \leq 0.0001$.

344 3.4 Biodegradation

345 For the soil used in this study, the rate of biodegradation is dependent upon the type and
 346 application rate of superabsorbent (Figure 5). For soil treated with 0.2 wt% superabsorbent,
 347 both freeze-dried and oven-dried SAP follow the same degradation trend and
 348 approximately 40% of the initial SAP remains after 28 days exposure. No statistical
 349 difference is observed between soil treatments with either 0.2 wt% or 0.5 wt% application
 350 rate of superabsorbent. However, a difference in the biodegradation rate and SAP type is
 351 observed when the application rate increases. For the 1 wt% treatment, freeze-dried SAP
 352 degradation starts after 7 days exposure, whereas degradation of oven-dried SAP
 353 throughout the 28 days of exposure is slow and almost negligible. Fertiliser addition to 1
 354 wt% treatment significantly increases the degradation rate; both nanocellulose
 355 superabsorbents exhibit similar rates compared to 0.2 wt% treatment.

356



358

359 **Figure 5.** Effect of drying process on the superabsorbent biodegradation overtime in soil. (a) 0.2
360 wt%, (b) 0.5 wt%, (c) 1 wt% and (d) 1 wt% superabsorbent application rate + fertiliser addition.
361 Results are reported as mean \pm standard deviation (n=5). Statistical difference according to unpaired
362 t-test. Here, ns= no significant difference between treatments, * is $p \leq 0.05$.

363 4.0 DISCUSSION

364 4.1 Effect of ionic strength on superabsorbent performance

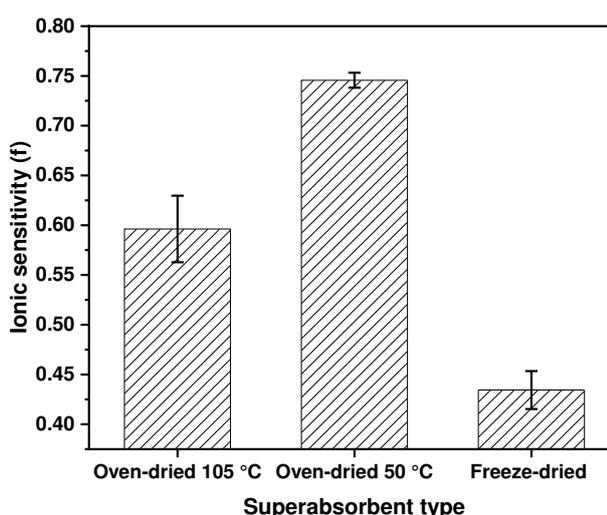
365 The swelling capacity of nanocellulose-based superabsorbent was measured in the soil-
366 water to evaluate their performance. A decrease in the absorption capacity of the
367 superabsorbent results when salt concentration increases, independent of its type. This is
368 because the swelling ability is driven by the difference in osmotic pressure inside and
369 outside the polymer network caused by the movement of the counterions in the system
370 (Mendoza et al. 2019). These osmotic effects are diminished with increasing concentrations

371 of salt, resulting in a decrease in swelling (Mondal 2019). To measure the sensitivity of the
372 superabsorbent materials towards the soil water extracts, the ionic sensitivity (IS) was
373 measured as follows (Kabiri et al. 2005):

$$374 \quad IS (f) = 1 - \frac{Q_s}{Q_d} \quad (6)$$

375 Where Q_s refers to the swelling in salt solution and Q_d is the swelling in deionised water.
376 Superabsorbents with lower f values are preferred for their performance, as higher f values
377 mean a higher absorbency loss of the superabsorbent in salt solutions (Mondal 2019).

378 The ionic sensitivity (f) value of the superabsorbents studied is displayed in Figure 6.
379 Oven-dried 50 °C SAP shows a higher ionic sensitivity compared to the others. This is
380 attributed to its morphology, characterised by a high pore area and large number of small
381 pores in the nanometre scale (Barajas-Ledesma et al. 2020). This increases the number of
382 accessible COO^- groups in the superabsorbent network, whereas the swelling mechanism
383 of freeze-dried SAP is mainly driven by physical entrapment of water. A higher number of
384 accessible COO^- groups indicates a higher cation charge, which in the presence of salt
385 solutions, results in a higher salt sensitivity and absorbency loss for oven-dried 50 °C
386 superabsorbents.



387

388 **Figure 6.** Ionic sensitivity value of the superabsorbents treated with soil water extracts. A low f
389 value indicates a lower drop of swelling capacity when salt solution replace water. Results are
390 reported as mean \pm standard deviation ($n=3$).

391 **4.2 Effect of application rate on soil water retention**

392 Addition of nanocellulose superabsorbent increases the water retention of the soil tested.
393 Soil amended with freeze-dried superabsorbent achieved the highest water retention, at all
394 application rates, followed by 50 °C oven-dried and 105 °C oven-dried SAP. These results
395 are directly related to the SAP porous structure (Barajas-Ledesma et al. 2020). Such an
396 increase in water content is hypothesised to increase the period of water available for the
397 plant, as measured from the soils water evaporation rates. The evaporation rates of the soils
398 amended with the highest superabsorbent application (1.0 wt%) were slower than those of
399 the controls. This results in a delay of the permanent wilting point by up to 20 days,
400 reducing the water requirement of plants (Akhter J et al. 2004; Demitri et al. 2013). This
401 also confirms that nanocellulose derived SAP can retain water in soils for significantly
402 longer periods. (Cannazza et al. 2014).

403 There are three different drying regimes for each type of superabsorbent and application
404 rate. The first corresponds to the water evaporating from the soil. This regime starts from
405 day 0 up to day 8-10 for freeze-dried and oven-dried SAPs. This is followed by a
406 transitional phase, as the soil is completely dried and the superabsorbent starts to release
407 water. Finally, the last drying regime corresponds to the evaporation of water from the
408 superabsorbent itself. This regime is indicated by the different slopes of each SAP
409 depending on the application rate and lasts from the transitional phase onwards. These
410 regimes can be used to correlate the soil moisture and superabsorbent efficiency over time.

411 Interestingly, soil treated with 1.0 wt% of freeze-dried SAP loses water at a faster rate
412 than oven-dried 50 °C SAP. This difference in drying rate is attributed to their difference
413 in SAP structure and the influence of soil structure and how it interacts with the SAP.
414 Freeze-dried superabsorbent is a foamy-like material characterised by an open structure,
415 whereas oven-dried SAP is a thin-film with pores ranging in the nanometre scale (Barajas-
416 Ledesma et al. 2020). When the freeze-dried nanocellulose SAP dries, its structure
417 collapses, leaving large pores in the soil which increase soil matrix porosity and facilitate
418 water evaporation (Beven and Germann 1982).

419 Comparing water retention of the soil treatments after several irrigation cycles, all
420 treatments presented a similar behaviour: high-water absorption in the first cycle which
421 decreased in the subsequent cycles. This was independent of the application rate. This
422 decrease is mainly attributed to two factors. Firstly, the metal ions in the soil that are
423 released in the presence of water. When the superabsorbent absorbs this water, these ions
424 strongly bind to the carboxylate groups of the nanocellulose, blocking their active negative
425 sites and decreasing absorbency. SAP blockage increases with each further cycle of
426 irrigation and drying (Shahid et al. 2012). This effect was reported previously by Spagnol
427 et al. (2012), who found an increase formation of crosslinking points due to the physical
428 interaction of multivalent cations (e.g. Mg^{2+} and Ca^{2+}) and the carboxylate groups present
429 in superabsorbents based on poly(acrylamide-co-acrylate) and cellulose nanowhiskers. The
430 second main factor affecting the SAP efficiency is its degradation (Cannazza et al. 2014).

431 **4.3 Superabsorbent effect on microbial community and its** 432 **biodegradation**

433 Respiration rates of the soil amended with nanocellulose SAP increased with increasing
434 application rate. This is because the addition of a carbon source to the soil increases the
435 population of carbon decomposing microbes which results in an increase in respiration rate
436 (Schlesinger and Andrews 2000; Högberg and Ekblad 1996; Gallardo and Schlesinger
437 1994; Fontaine et al. 2004). Adding fertiliser further accelerates soil respiration rates for
438 both types of superabsorbent. This is because of the increase in microbial biomass by
439 nutrient addition which boosts the decomposition rate of soil carbon (Gallardo and
440 Schlesinger 1994).

441 Respiration rates of each treatment are related to the superabsorbent degradation rate in
442 soil which follows the negative exponential function (Hartmann and Appel 2006):

$$443 \quad C(t) = C_0 e^{-kt} \quad (7)$$

444 Where $C(t)$ stands for the superabsorbent concentration (mg/g) in the soil at time t of
445 incubation, C_0 is the superabsorbent concentration at day 0, and k is the rate constant of the
446 superabsorbent decay with time (day^{-1}). The decay rate constants, k , for all the treatments

447 are listed in Table 4. Decay rate constants varied widely from 0.001 d⁻¹ to 0.048 d⁻¹
 448 depending on the SAP type and application rate. This model successfully described
 449 decomposition rates of carbon sources such as cellulose or manure (Cabassi et al. 2008;
 450 Chmolowska et al. 2017; Hadas et al. 2004). The decay rate constant of the soil amended
 451 with 0.2 wt% SAP was similar for nanocellulose freeze-dried and oven-dried 50 °C,
 452 ranging between 0.042 and 0.048 d⁻¹, respectively. The rate of superabsorbent
 453 decomposition in soil is independent of the type of superabsorbent (either freeze-dried or
 454 oven-dried). Considering that the SAP is composed of more than 90% cellobiose units with
 455 the remainder being oxidised glucose units, its decomposition rate can be compared to that
 456 of cellulose, reported to range from 0.03 and 0.06 d⁻¹ (Hartmann and Appel 2006; Hadas et
 457 al. 2004). The calculated values of soil amended with 0.2 wt% SAP correspond to this
 458 range. The rate of superabsorbent breakdown is affected by soils and incubation conditions:
 459 moisture content and temperature.

460 This rate is also governed by the initial population of microbes and nutrients available in
 461 soil. For example, decomposition of 0.5 wt% oven-dried SAP in soil is delayed until day
 462 5. This is due to the time required to build up the microbial biomass in soil necessary to
 463 kick off the decomposition process. Such effect is not observed in soil amended with
 464 freeze-dried SAP because of its porous structure which increases soil porosity. This
 465 increases oxygen diffusion and air permeability (Domżał et al. 1991), which facilitates the
 466 increase in microbial biomass. However, a delay in degradation is observed as the
 467 application rate increases. This is most likely due to nutrient depletion impacting the
 468 microbial population. This is supported by the fertiliser addition to 1 wt% soil treatments
 469 which accelerates SAP breakdown, exhibiting similar rates to 0.2 wt% SAP in soil without
 470 fertiliser.

471 **Table 4.** Decay rate constants of freeze-dried and oven-dried superabsorbents. Results are reported
 472 as mean ± standard deviation (n=5).

Cellulose application rate (wt%)	Cellulose initial concentration (mg/g)	Decay rate constant (day ⁻¹)	R ²
<i>Freeze-dried</i>			

0.2 wt%	2.28 ± 0.08	0.042 ± 0.0038	0.97
0.5 wt%	4.80 ± 0.25	0.010 ± 0.0006	0.90
1.0 wt%	9.33 ± 0.48	0.009 ± 0.0007	0.91
1.0 wt% + Fertiliser	9.70 ± 0.41	0.037 ± 0.0022	0.97
<i>Oven-dried 50 °C</i>			
0.2 wt%	2.28 ± 0.06	0.048 ± 0.0007	0.99
0.5 wt%	5.06 ± 0.58	0.008 ± 0.0009	0.81
1.0 wt%	9.48 ± 0.32	0.001 ± 0.0001	0.91
1.0 wt% + Fertiliser	10.47 ± 0.99	0.017 ± 0.0052	0.74

473

474 **5.0 CONCLUSION**

475 Carboxylated nanocellulose superabsorbent polymers (SAP) were evaluated as water
476 retentor for agricultural use. SAPs were made from TEMPO mediated oxidation of
477 cellulose followed by high pressure homogenisation and drying. Three drying methods
478 were studied: freeze-dried and oven-dried at low (50 °C) and high (105°C) temperatures.
479 The effect of the nanocellulose superabsorbents on the water retention and microbial
480 community in a calcarosol soil was analysed and the swelling capacity on soil water and
481 biodegradation rate were determined.

482 The water retention of soil increases with application rate of superabsorbent. The extent
483 of this increase in water holding capacity and the profile of water retention over time are
484 dependent on the type of SAP. These water retention properties decrease as the
485 superabsorbent degrades. Soil amended with freeze-dried superabsorbent has the highest
486 water retention, followed by 50 °C oven-dried and 105 °C oven-dried SAP. The high ionic
487 sensitivity of 50 °C oven-dried SAP is due to its high pore area and numerous accessible
488 COO- groups. Soil amended with this superabsorbent remains moist the longest. This
489 increase in water content prolongs the period of water available for the plant, delaying the
490 permanent wilting point by up to 20 days.

491 Soil respiration rate increases as a function of superabsorbent application rate. This is
492 related to the SAP decomposition rate which, in the calcarosol soil used in this study,
493 mainly occurs within 30 days exposure, independently of the type of nanocellulose
494 superabsorbent. This decomposition rate is governed by the nutrient availability and the
495 initial microbial biomass in the soil. Carboxylated nanocellulose-based superabsorbents are
496 attractive alternatives to replace our current non-biodegradable and unsustainable
497 petroleum SAP for agricultural use. The full characterisation of plant growth, including
498 crop productivity and soil sustainability as well as refinements of the SAP structural
499 alteration required to prolong its biodegradability are now needed.

500 **6.0 DECLARATIONS**

501 **Funding.** financial support through the grant IH130100016.

502 **Conflicts of interest.** The authors declare they have no financial interests.

503 **Availability of data material.** All authors declare that all data and materials
504 support their published claims and comply with field standards.

505 **Authors contribution.** All authors contributed to the preparation of the manuscript.
506 The final version of the manuscript was approved by all authors.

507 **Code availability.** Not applicable

508 **Ethics approval.** Not applicable

509 **Consent to participate.** All authors give consent to participate

510 **Consent for publication.** All authors give consent for publication

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- 670

Figures

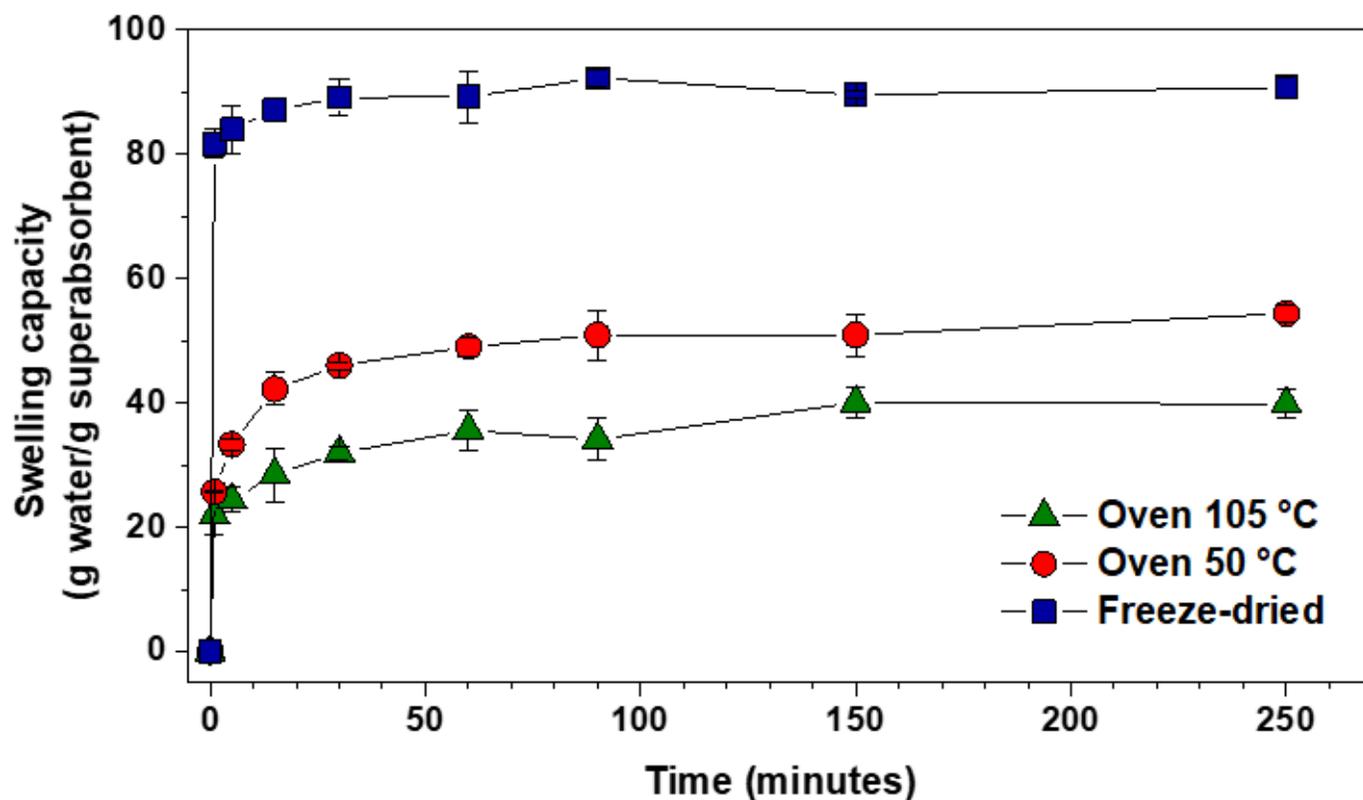


Figure 1

Effect of the drying process on the swelling capacity of nanocellulose superabsorbents immersed in soil water as a function of time. Results are reported as mean \pm standard deviation (n=3).

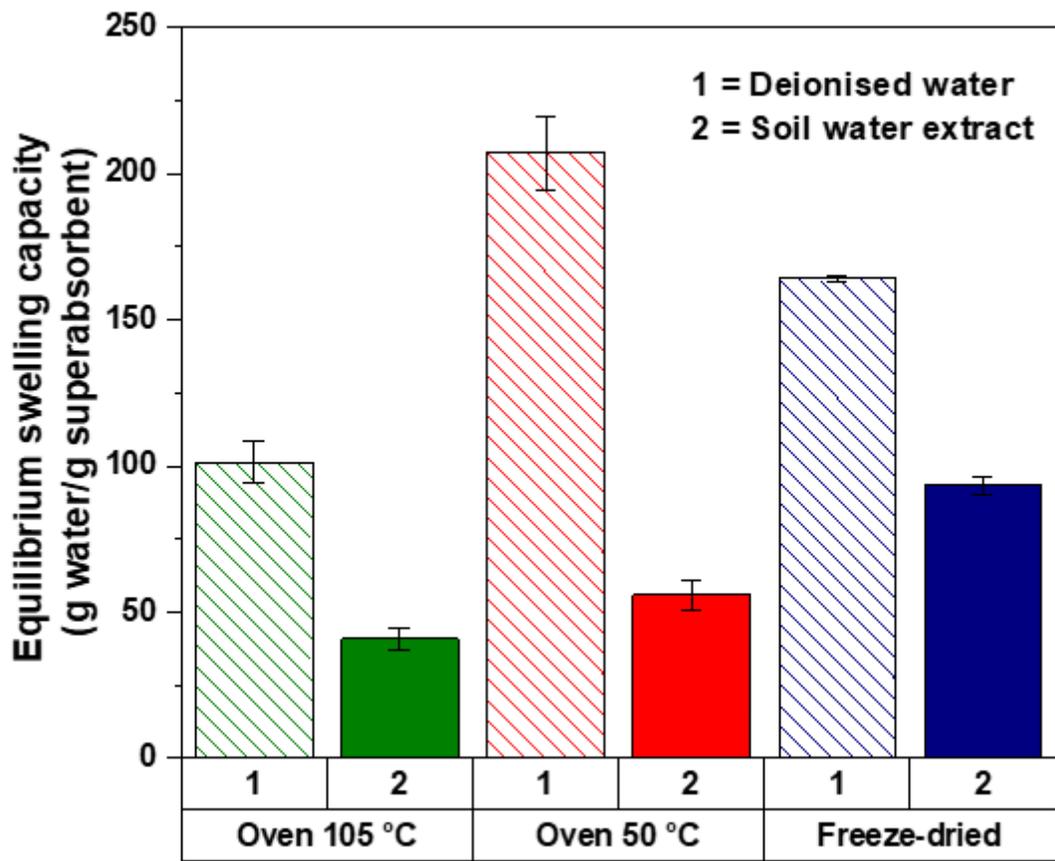


Figure 2

Effect of the drying process on the swelling capacity of nanocellulose superabsorbents at equilibrium. Comparison of deionized water with soil water extract. Results are reported as mean +/- standard deviation (n=3)

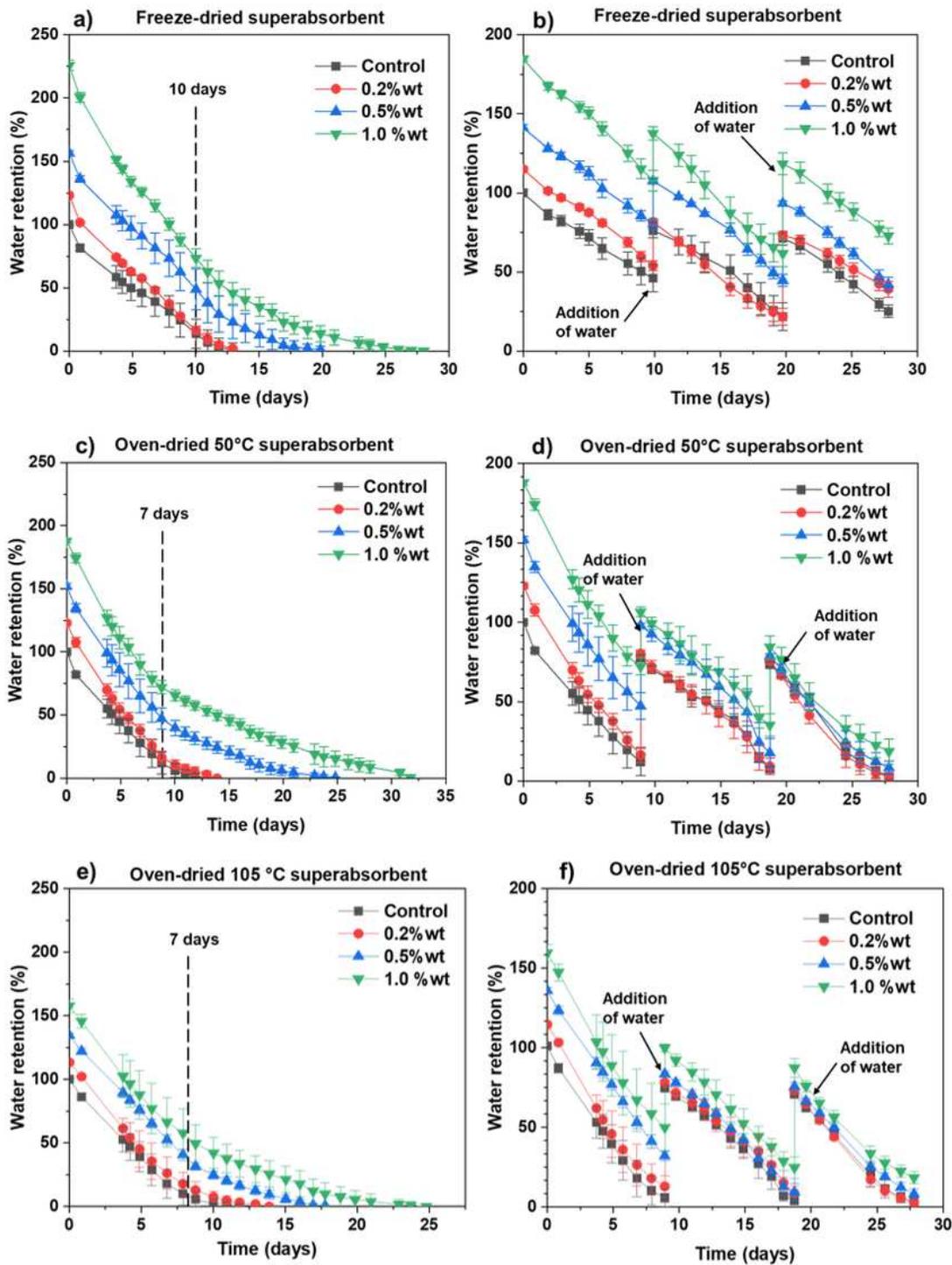


Figure 3

Water retention of soil treated with different nanocellulose superabsorbents over a period of 28 days with and without further addition of water after initial irrigation: (a and b) freeze-dried SAP, (c and d) oven-dried 50 °C SAP and (e and f) oven-dried 105 °C SAP. Results are reported as mean \pm standard deviation (n=5).

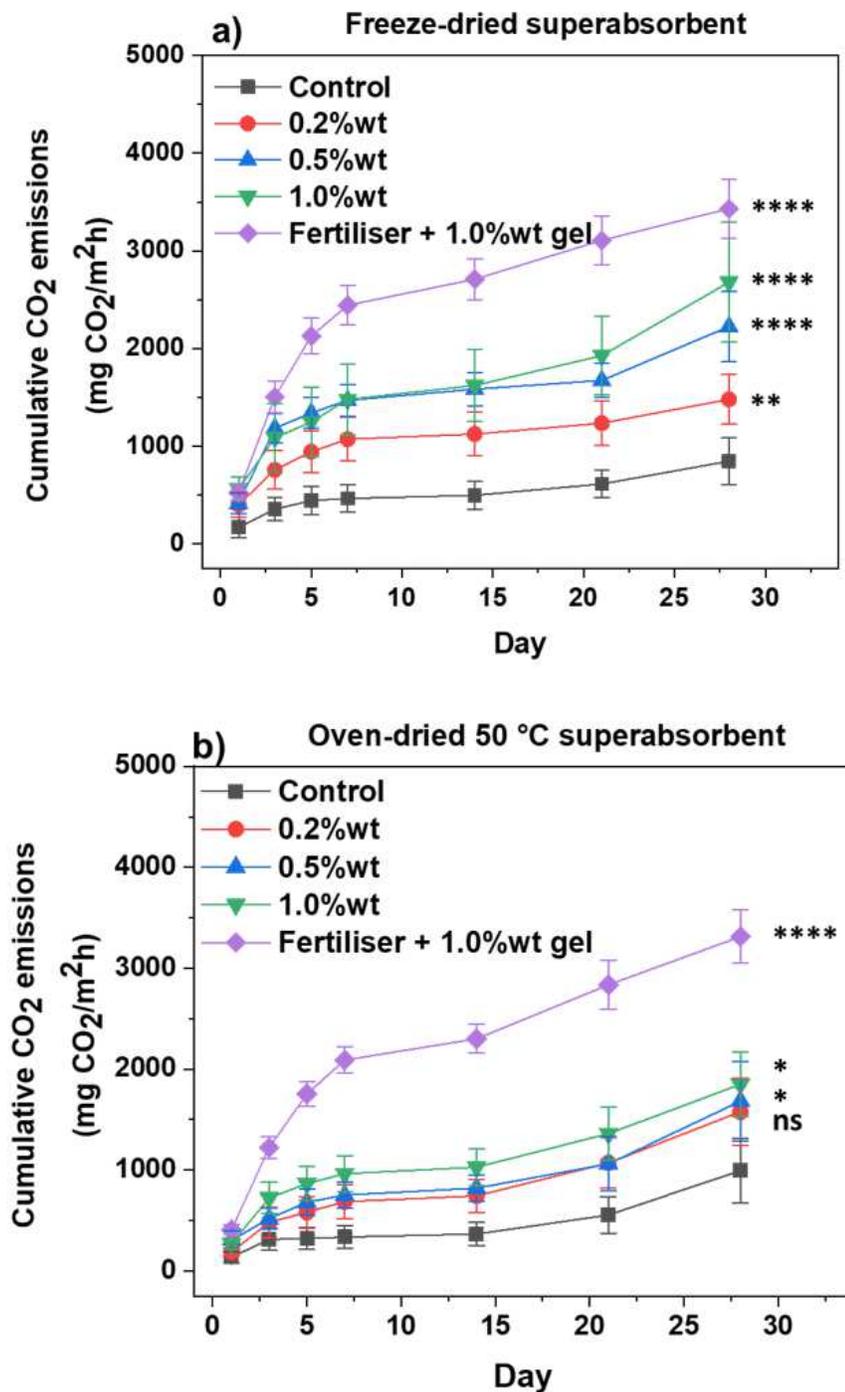


Figure 4

Cumulative CO₂ emissions of soil treated with different application rates of (a) freeze-dried superabsorbent and (b) oven-dried 50 °C superabsorbent. Results are reported as mean ± standard deviation (n=5). Statistical difference according to One-way ANOVA analysis followed by Dunnett's test against control is indicated. Here, ns = no significant difference, * represents $p \leq 0.05$, ** denotes $p \leq 0.01$ and **** is $p \leq 0.0001$.

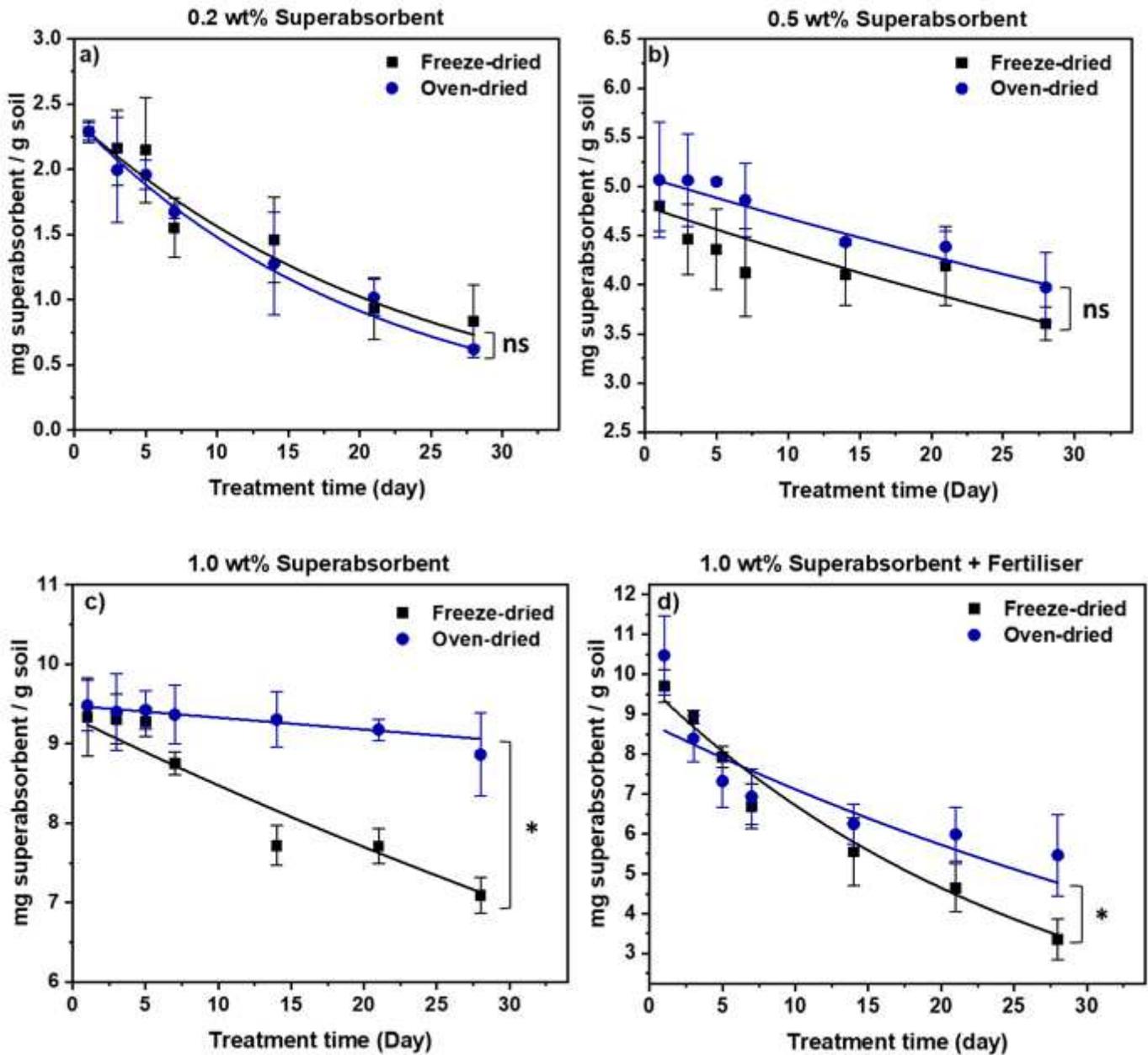


Figure 5

Effect of drying process on the superabsorbent biodegradation overtime in soil. (a) 0.2 wt%, (b) 0.5 wt%, (c) 1 wt% and (d) 1 wt% superabsorbent application rate + fertiliser addition. Results are reported as mean \pm standard deviation (n=5). Statistical difference according to unpaired t-test. Here, ns= no significant difference between treatments, * is $p \leq 0.05$.

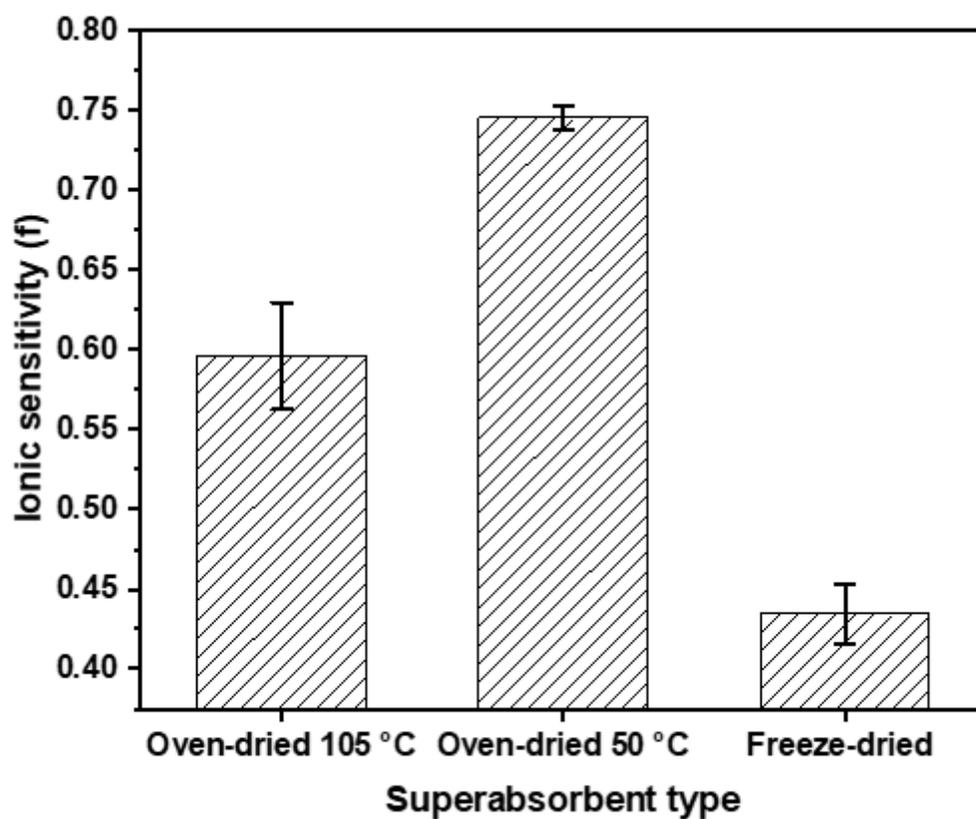


Figure 6

Ionic sensitivity value of the superabsorbents treated with soil water extracts. A low f value indicates a lower drop of swelling capacity when salt solution replace water. Results are reported as mean \pm standard deviation ($n=3$).

Supplementary Files

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- [Graphicalabstract.tif](#)