

Course of Lactate, pH and Base Excess for Prediction of Mortality in Medical Intensive Care Patients

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1 **Course of lactate, pH and base excess for prediction of mortality in medical intensive care**
2 **patients**

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23

24 **Abstract**

25 Introduction: As base excess (BE) had shown superiority over lactate as a prognostic parameter
26 in intensive care unit (ICU) surgical patients we aimed to evaluate course of lactate, base excess
27 and pH for prediction of mortality of medical ICU patients.

28 Materials and Methods: For lactate, pH and base excess, values at the admission to ICU, at 24
29 \pm 4 hours, maximum / minimum in the first 24 hours and in 24 – 48 hours after admission were
30 collected from all patients admitted to the Medical ICU of the University Hospital Tübingen
31 between January 2016 until December 2018 and investigated for prediction of in-hospital-
32 mortality.

33 Results: Mortality in the cohort of 4067 patients was 22 % and significantly correlated with all
34 evaluated parameters. Strongest predictors of mortality determined by ROC were maximum
35 lactate in 24 h (AUROC 0.74, cut off 2.7 mmol/L, hazard ratio of risk group with value $>$ cut
36 off 3.20) and minimum pH in 24 h (AUROC 0.71, cut off 7.31, hazard ratio for risk group 2.94).
37 Kaplan Meier Curves stratified across these cut offs showed early and clear separation. Hazard
38 ratios per standard deviation increase were highest for maximum lactate in 24 h (HR 1.65),
39 minimum base excess in 24 h (HR 1.56) and minimum pH in 24 h (HR 0.75). In multiple logistic
40 regression analysis, age, minimum pH in 24 h, pH at 24 h after admission, maximum lactate in
41 24 h, maximum lactate in 24 – 48 h, minimum base excess in 24 h and minimum base excess
42 in 24 – 48 h were independent predictors of mortality.

43 Discussion: Lactate, pH and base excess were all suitable predictors of mortality in internal
44 ICU patients, with maximum / minimum values in 24 and 24-48 h after admission altogether
45 stronger predictors than values at admission. Base excess and pH were not superior to lactate
46 for prediction of mortality.

47

48 **Keywords:** Lactate, pH, base excess, clearance, medical ICU, mortality

49

50 **Introduction**

51 Estimation of the mortality of patients at intensive care unit (ICU) is necessary for treatment
52 planning and treatment decisions as well as for support and advice for the patient's relatives.
53 Various surrogate parameters and their significance for the assessment of mortality risk have
54 been evaluated, in particular lactate as parameter of anaerobic metabolism and tissue perfusion
55 ^{1,2}. Elevated lactate level is common in patients admitted to ICU and a strong predictor of
56 mortality in unselected ICU patients ^{3,4} and lactate clearance was recently discovered as an even
57 stronger parameter than initial lactate level for assessing mortality risk of critically ill patients
58 ^{5,6}.

59 To count for the ability to buffer a metabolic (lactate) acidosis, parameters of acid-base balance,
60 such as base excess or pH, could represent the body's conditions as more general parameters
61 than lactate. Acid-base parameters have recently been evaluated as parameters for estimation
62 of mortality in different subgroups of patients: In patients after cardiac surgery, base excess at
63 ICU admission was a stronger parameter for prediction of ICU mortality than lactate-levels ⁷.
64 Lactate, anion gap and base excess were interchangeable biomarkers of traumatic shock ⁸ and
65 base excess was a strong predictor of mortality in a large cohort of trauma patients ⁹.
66 Bicarbonate and anion gap were associated with higher mortality in sepsis patients even if
67 lactate levels were low ¹⁰. Metabolic acidosis at admission to ICU and early pH changes
68 correlated with higher mortality in a small Indian cohort of critically ill patients ¹¹. However,
69 for evaluation of acid base parameters as predictors of mortality of patients requiring treatment
70 at a medical ICU, there is still a lack of data. We therefore aimed to evaluate parameters of
71 acid-base balance obtainable by blood gas analysis as predictors of mortality in critically ill
72 medical patients.

73

74

75 **Materials and Methods**

76 *Patients and blood gas analysis*

77 Data from all patients admitted to the Medical ICU of the University Hospital Tübingen
78 between January 2016 until December 2018 was collected from the patient data management
79 system (ICCA, Philips GmbH) of the University and evaluated retrospectively. The study was
80 evaluated by the local ethics committee of the University of Tuebingen (139/2019B02) and
81 there were no objections to the conduct of the study since the requirements of §13(1) of German
82 Data Protection Adaption Act (Landesdatenschutz-Anpassungsgesetz) in conjunction with
83 Articles 5, 6, 9, 89 of Regulation (EU) 2016/679 are met. Age, gender and SAPS II score at
84 admission to ICU and need for invasive ventilation or dialysis during the treatment at ICU were
85 documented. Laboratory data obtained included base excess, pH and lactate from arterial or
86 venous blood gas analysis at admission to ICU and during the first 48 hours after admission to
87 ICU. All blood gas analyses were performed with a Radiometer ABL90 FLEX. The following
88 parameters were evaluated as predictors of mortality (Figure 1): value at admission, value after
89 24 ± 4 hours, maximum (lactate) / minimum (pH, base excess) value in the first 24 hours and
90 between 24 – 48 hours after admission and slope of lactate, pH and base excess. Slope of the
91 variables was calculated as difference between maximum (lactate) or minimum (pH, base
92 excess) value during the first 24 hours and value at admission. All analyses were performed
93 with all available patient data at the respective time points.

94 Mortality was defined as positive if the patient died in hospital and negative if the patient was
95 discharged from hospital alive. Primary diagnosis and cause of death were classified into groups
96 according to the recorded ICD-10 (International Statistical Classification of Diseases and
97 Related Health Problems) classification.

98

99

100 *Statistical analysis*

101 Statistical analyses were performed using R version 3.6.1, SAS JMP Pro 14.2.0 and MedCalc
102 19.1. Continuous variables were tested for normal and lognormal distribution. Distributions are
103 reported as number (n) and percent for categorical parameters. Medians and interquartile range
104 (IQ) are provided for continuous lognormal parameters. χ^2 test (nominal variables) and
105 Wilcoxon test (continuous variables) were performed to test for differences between groups.
106 Computation of receiver operating characteristics (ROC, C-statistics) was performed to
107 evaluate the ability of parameters to predict mortality, with determination of the cut off value
108 by Youden index ($J = \text{sensitivity} + (\text{specificity} - 1)$), and the area under the receiver operating
109 characteristics curve (AUROC) is reported. Hazard ratios were determined from Cox regression
110 for risk groups divided by cut offs from ROC, or per increase of the variable of 1 standard
111 deviation (SD). Kaplan Meier curves were constructed for groups stratified by cut-offs from C-
112 statistics using log-rank test to test for differences. All baseline parameters were included in a
113 stepwise least square linear regression model with forward and backward direction mode,
114 probability to enter $p = 0.05$ and probability to leave $p = 0.1$, to create a multiple regression
115 model for prediction of mortality and identify independent predictors of mortality. Statistical
116 significance was determined by two-sided tests with an alpha of 0.05 ($p < 0.05$).

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118

119 **Results**

120 *Study cohort*

121 A total number of 4067 patients was admitted to intensive care treatment at the medical ICU of
122 the University Hospital Tübingen between January 2016 until December 2018 and included in
123 the analysis (Figure 1). N = 913 patients (22 %) died after a median of 8 (interquartile range 2
124 – 18) days. The characteristics of the study cohort are listed in table 1.

125 Causes of death in the cohort classified by ICD-10 category were I ('diseases of circulatory
126 system', including stroke, intracranial bleeding, pulmonary embolism, myocardial infarction,
127 cardiomyopathy, valvular diseases, cardiac arrhythmias; 21 %), J ('diseases of the respiratory
128 system', including pneumonia, chronic obstructive pulmonary disease; 16 %), A + B ('certain
129 infectious and parasitic disease', including sepsis; 16%), R57.0 ('cardiogenic shock'; 13 %), C
130 + D ('neoplasms and diseases of the blood and hematopoietic organs and certain disorders
131 involving the immune system'; 11 %), K ('diseases of the digestive system', including alcoholic
132 cirrhosis of the liver; 7 %), and R57.2 ('septic shock'; 6 %).

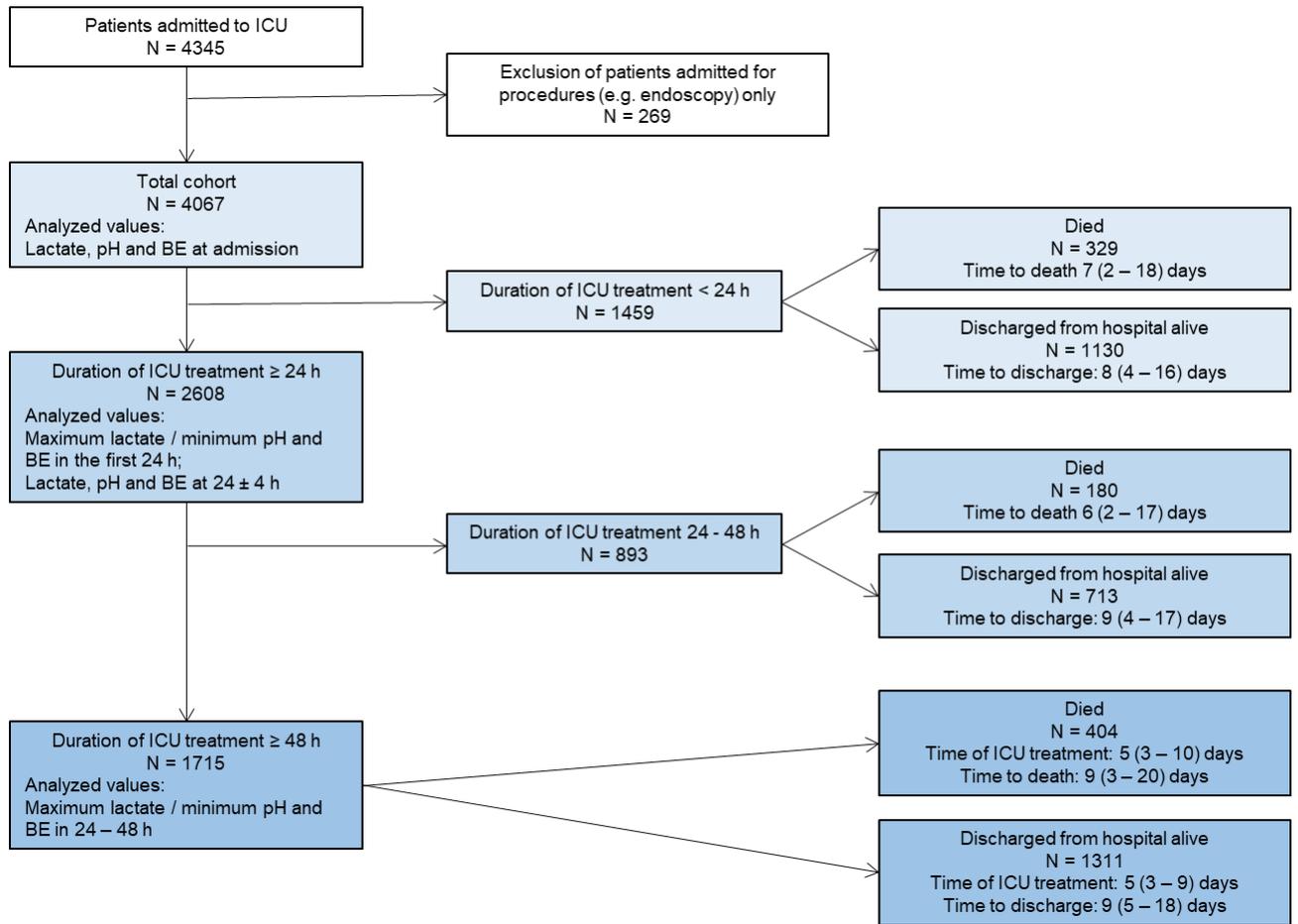
133 Patients could be assigned into groups based on primary diagnoses as follows (Figure 2A):
134 Infectious = ICD R57.2 + A + B (n = 290), cardiac = ICD R57.0 + I (n = 1482), respiratory =
135 ICD J (n = 726), malignant = ICD C + D (n = 421) and other / uncertain (n = 1148). Kaplan
136 Meier curves of groups of primary diagnosis showed highest mortality in the infectious disease
137 group during the first 20 days and highest mortality in the malignant disease group after more
138 than 80 days (Figure 2B).

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Figure 1: Flow chart study cohort and evaluated parameters



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146

147 **Table 1: Characteristics of study cohort**

148

149 Values are n (%) for categorical variables and mean (interquartile range) for continuous
 150 variables. Differences of groups of patients who died, and patients discharged from hospital
 151 alive were tested and p values are reported from χ^2 test for nominal variables and Wilcoxon test
 152 for continuous variables.

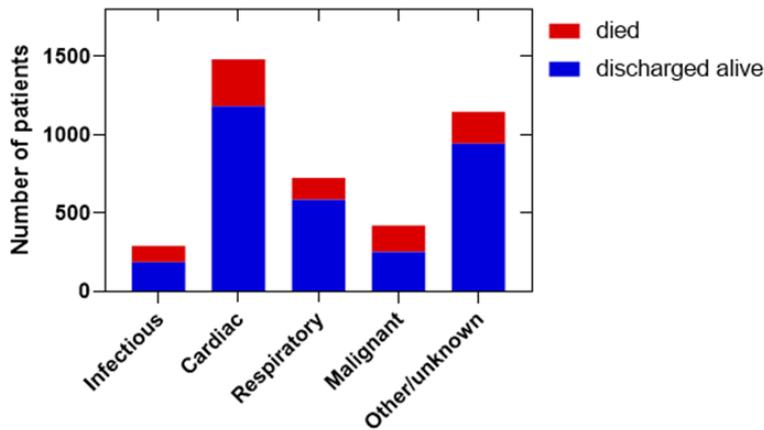
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	Total cohort	Patients who died	Patients discharged from hospital alive	p value
Number	4067	913	3154	
Age	68 (55 – 78)	71 (60 – 80)	67 (53 – 77)	<0.0001
Gender (m, male; f, female)	m 2270 (56 %) f 1797 (44 %)	m 511 (56 %) f 402 (44 %)	m 1757 (56 %) f 1395 (44 %)	0.9151
SAPS II score, points	42 (28 – 54)	44 (29 – 57)	41 (28 – 53)	0.0383
Invasive ventilation	1894 (47 %)	480 (53 %)	1416 (45 %)	<0.0001
Dialysis	531 (13 %)	143 (16 %)	388 (12 %)	0.0079
Primary diagnosis,				<0.0001
- Infectious	290 (7%)	103 (11%)	187 (6%)	
- Cardiac	1482 (36%)	298 (33%)	1184 (38%)	
- Respiratory	726 (18%)	140 (15%)	586 (19%)	
- Malignant	421 (10%)	168 (18%)	253 (8%)	
- Other / uncertain	1148 (28%)	204 (22%)	944 (30%)	
Duration to death or discharge, days		8 (2 – 18)	9 (4 – 17)	0.0042
Base excess at admission, mmol/L	0.2 (-4.2 – 3.9)	-3.4 (-9.2 – 2.3)	0.8 (-2.9 – 4.3)	<0.0001
Base excess at 24h, mmol/L	1.1 (-2.2 – 5.0)	-0.3 (-4.0 – 3.4)	1.8 (-1.4 – 5.5)	<0.0001
Base excess minimum in 24h, mmol/L	-1.0 (-5.8 – 2.8)	-5.7 (-12.1 – 0.4)	-0.3 (-4.2 – 3.1)	<0.0001
Base excess minimum in 24-48h, mmol/L	3.2 (0.1 – 7.2)	1.85 (-1.5 – 5.8)	3.7 (0.6 – 7.5)	<0.0001
Lactate at admission, mmol/L	1.4 (0.9 – 2.4)	2.3 (1.2 – 5.8)	1.2 (0.8 – 2.0)	<0.0001
Lactate at 24h, mmol/L	1.1 (0.8 – 1.7)	1.5 (0.9 – 2.5)	1.0 (0.7 – 1.5)	<0.0001
Lactate maximum in 24h, mmol/L	1.7 (1.1 – 2.9)	3.0 (1.6 – 9.0)	1.5 (1.0 – 2.3)	<0.0001
Lactate maximum in 24-48h, mmol/L	1.4 (0.9 – 2.1)	2.0 (1.3 – 3.6)	1.2 (0.8 – 1.8)	<0.0001
pH at admission	7.39 (7.33 – 7.44)	7.35 (7.23 – 7.43)	7.40 (7.35 – 7.44)	<0.0001
pH at 24h	7.42 (7.37 – 7.47)	7.40 (7.33 – 7.45)	7.43 (7.39 – 7.47)	<0.0001
pH minimum in 24h	7.37 (7.28 – 7.41)	7.28 (7.13 – 7.37)	7.38 (7.32 – 7.42)	<0.0001
pH minimum in 24-48h	7.45 (7.41 – 7.49)	7.43 (7.39 – 7.49)	7.46 (7.42 – 7.5)	<0.0001

154

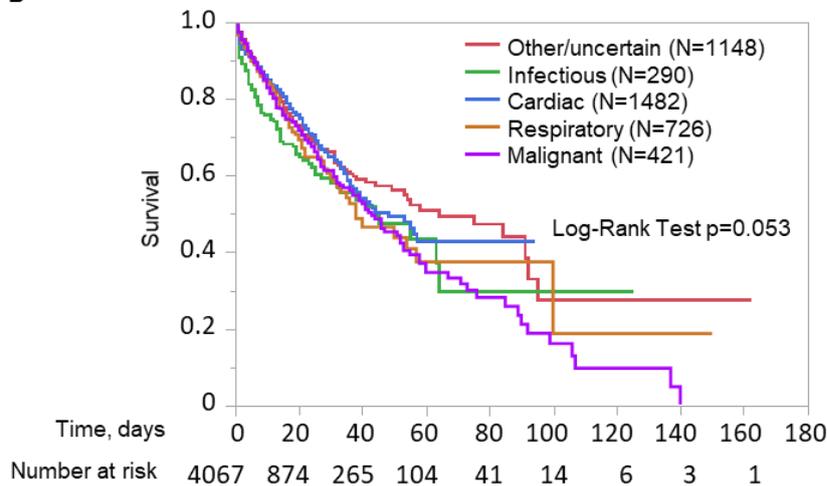
155 **Figure 2: Distribution of patients (A) and Kaplan Meier curves (B) by primary diagnosis**
 156 **group**
 157

A



158

B



159

160

161

162 Definition of primary diagnosis groups: Infectious = ICD R57.2 + A + B; Cardiac = ICD R57.0
 163 + I; Respiratory = ICD J; Malignant = ICD C + D; Other / uncertain

164

165 ICD-10:

166 A + B = Certain infectious and parasitic diseases;

167 C + D = Neoplasms and Diseases of the blood and hematopoietic organs and certain disorders
 168 involving the immune system;

169 E = Endocrine, nutritional and metabolic diseases;

170 F = Mental and behavioral disorders;

171 G = Diseases of the nervous system;

172 I = diseases of circulatory system;

173 J = Diseases of the respiratory system;

174 K = Diseases of the digestive system;

175 R57.0 = Cardiogenic shock; R57.1 = Hypovolemic shock; R57.2 = Septic shock.

176

177 Note: Pneumonia and ARDS classified in category J (disease of respiratory system)

178

179

180 *Univariate analysis: ROC and Cox regression*

181 All evaluated variables (value at admission, value after 24 ± 4 hours, maximum (lactate) /
182 minimum (pH, base excess) value in the first 24 hours and in 24 – 48 hours after admission and
183 slope of lactate, pH and base excess) showed significant differences between groups of patients
184 discharged alive or died (Table 1) and were associated significantly with mortality in univariate
185 analysis (Table 2). The variables with the highest area under the receiver operating
186 characteristics curve (AUROC) were maximum lactate in the first 24 hours after admission
187 (AUROC 0.74, sensitivity 0.56 and specificity 0.81 at a cut off value of 2.7 mmol/L, Figure
188 3A) and minimum pH in the first 24 hours after admission (AUROC 0.72, sensitivity 0.60 and
189 specificity 0.76 at a cut off value of 7.31, Figure 3B).

190 In proportional hazard analyses using the cut-offs from ROC analyses for stratification of risk
191 groups, the highest hazard ratios were found for base excess, lactate and pH at admission and
192 for minimum base excess, minimum pH and maximum lactate in 24 hours after admission
193 (Table 2), with hazard ratio for minimum / maximum values in the first 24 h overall higher than
194 for values at admission. In proportional hazards determined per standard deviation, maximum
195 lactate in 24 h, lactate at admission, minimum base excess in 24 h and minimum pH in 24 h
196 showed highest or lowest hazard ratio per SD (Table 2).

197 Results of proportional hazard analyses in the subgroups of primary diagnoses overall
198 resembled the results in the total cohort (Table 3): Maximum lactate in 24 h was a strong
199 predictor of mortality in all groups; lactate values were overall strong predictors of mortality,
200 and the interval-related maximum / minimum values of all markers were overall stronger
201 predictors of mortality than values at admission. Additionally, base excess at admission and
202 minimum base excess in 24 h were strong predictors of mortality in the cardiac disease group;
203 and age was a strong predictor of mortality in the respiratory disease group (Table 3).

204

205 **Table 2: Univariate correlations with mortality: ROC and Cox regression**

206

207 Hazard ratios are of risk group defined by cut off from ROC (e.g. risk group with age ≥ 58 years
 208 compared to group with age < 58 years) and per standard deviation increase.

209 Values with $p < 0.05$ are listed only. There was no significant correlation of gender and
 210 mortality. Highest AUROC and highest or lowest hazard ratios are marked in bold.

211

212 Abbreviations: AUROC, Area under the receiver operating characteristic curve; HR, hazard
 213 ratio; CI, confidence interval; n.s., not significant; min, minimum; max, maximum.

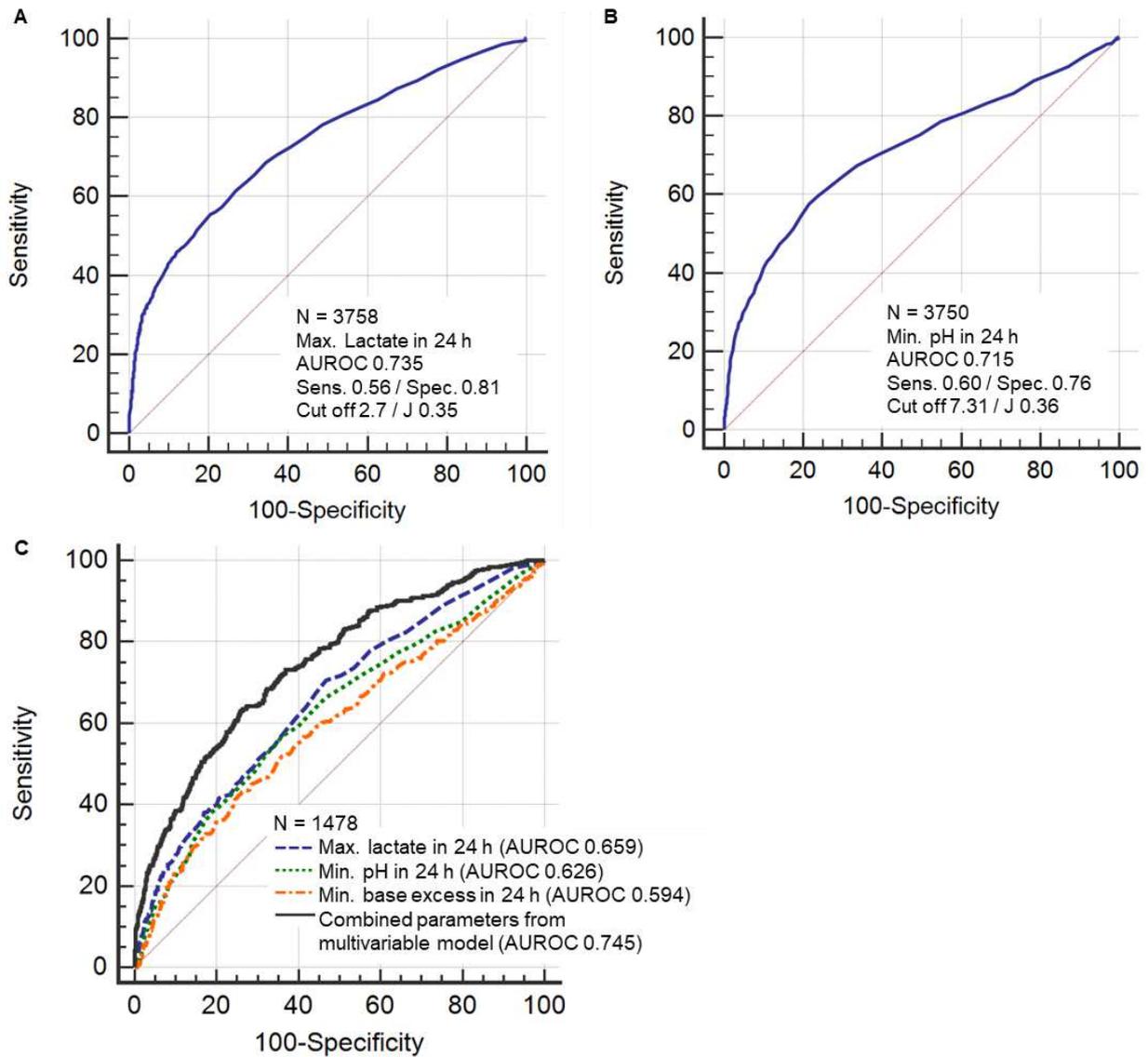
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Parameter	AUROC	Risk group (Cut off)	HR of risk group (95% CI)	HR per SD (95% CI)
Age, years	0.575	> 58	1.61 (1.37 – 1.90)	1.35 (1.26 – 1.46)
SAPS II score, points	0.529	> 53	1.28 (1.07 – 1.53)	1.09 (1.01 – 1.19)
Base excess at admission, mmol/L	0.649	< -3.8	2.27 (1.99 – 2.59)	0.69 (0.65 – 0.74)
Base excess at 24h, mmol/L	0.604	< -1.2	1.57 (1.32 – 1.86)	0.79 (0.72 – 0.86)
Base excess min in 24h, mmol/L	0.680	< -4.9	2.47 (2.15 – 2.83)	0.64 (0.61 – 0.68)
Base excess min in 24-48h, mmol/L	0.602	< 2.2	1.53 (1.28 – 1.82)	0.76 (0.69 – 0.84)
Base excess slope, mmol/L	0.589	< -2.4	1.52 (1.31 – 1.75)	0.84 (0.81 – 0.89)
Lactate at admission, mmol/L	0.698	> 2.1	2.93 (2.57 – 3.34)	1.39 (1.34 – 1.44)
Lactate at 24h, mmol/L	0.652	> 1.4	2.06 (1.74 – 2.44)	1.26 (1.21 – 1.32)
Lactate max in 24h, mmol/L	0.735	> 2.7	3.20 (2.79 – 3.67)	1.40 (1.35 – 1.44)
Lactate max in 24-48h, mmol/L	0.702	> 1.7	2.20 (1.84 – 2.64)	1.30 (1.24 – 1.36)
Lactate slope, mmol/L	0.574	< -1.0	1.62 (1.40 – 1.86)	0.84 (0.80 – 0.88)
pH at admission	0.630	< 7.31	2.60 (2.28 – 2.97)	0.72 (0.68 – 0.75)
pH at 24h	0.640	< 7.36	1.89 (1.59 – 2.26)	0.76 (0.71 – 0.81)
pH min in 24h	0.715	< 7.31	2.94 (2.56 – 3.38)	0.64 (0.61 – 0.67)
pH min in 24-48h	0.592	< 7.43	1.73 (1.45 – 2.07)	0.77 (0.71 – 0.83)
pH slope	0.612	< -0.05	1.54 (1.36 – 1.80)	0.95 (0.93 – 0.98)

215

216

217 **Figure 3: ROC analysis of mortality by maximum lactate (A) and minimum pH (B) in the**
 218 **first 24 h after admission, and multivariable ROC of mortality (C)**
 219



220

221

222

223 Combined parameters from multivariable model (Table 4) are age, minimum pH in 24 h, pH at
 224 24 h after admission, maximum lactate in 24 h, maximum lactate in 24 – 48 h, minimum base
 225 excess in 24 h and minimum base excess in 24 – 48 h.

226

227 Pairwise comparison of multivariable ROC curves:

228 Combined parameters and maximum lactate $p < 0.0001$; combined parameters and minimum
 229 pH in 24 h $p < 0.0001$; combined parameters and minimum base excess in 24 h $p < 0.0001$;
 230 maximum lactate in 24 h and minimum pH in 24 h $p = 0.0947$; maximum lactate in 24 h and
 231 minimum base excess in 24 h $p < 0.0001$; minimum pH in 24 h and minimum base excess in 24
 232 h $p = 0.0477$.

233

234 Abbreviations: AUROC, Area under the receiver operating characteristics curve; max.,
 235 maximum; min., minimum; sens., sensitivity; spec., specificity; J, Youden-Index.

236

237

238 **Table 3: Hazard ratios for subgroups of primary diagnosis**

239

240 Values are Hazard ratio per standard deviation increase and 95 % confidence interval. Highest
 241 or lowest hazard ratios for every group of primary diagnosis are marked. For definition of
 242 primary diagnosis groups see Table 1.

243 n.s. = not significant; for all other tests p-value was < 0.05.

244

245 Abbreviations: min, minimum; max, maximum.

246

Primary diagnosis	Infectious n = 290	Cardiac n = 1482	Respiratory n = 726	Malignant n = 421	Uncertain / other n = 1148
Age	1.24 (1.00 – 1.56)	1.27 (1.09 – 1.49)	2.13 (1.65 – 2.79)	n.s.	1.61 (1.40 – 1.85)
SAPS II score	n.s.	n.s.	n.s.	1.28 (1.05 – 1.57)	n.s.
Base excess at admission	0.76 (0.62 – 0.93)	0.54 (0.49 – 0.61)	0.77 (0.66 – 0.90)	0.75 (0.63 – 0.90)	0.75 (0.67 – 0.85)
Base excess at 24h	n.s.	0.76 (0.62 – 0.93)	0.79 (0.69 – 0.93)	n.s.	0.72 (0.59 – 0.87)
Base excess min in 24h	0.75 (0.63 – 0.90)	0.53 (0.47 – 0.59)	0.73 (0.62 – 0.86)	0.71 (0.60 – 0.84)	0.63 (0.56- 0.72)
Base excess min in 24-48h	n.s.	n.s.	0.79 (0.65 – 0.95)	n.s.	0.65 (0.52 – 0.80)
Base excess slope	0.86 (0.77 – 0.97)	0.85 (0.77 – 0.95)	0.83 (0.73 – 0.96)	n.s.	0.83 (0.77 – 0.90)
Lactate at admission	1.37 (1.23 – 1.51)	1.46 (1.38 – 1.54)	1.49 (1.20 – 1.79)	1.40 (1.21 – 1.59)	1.33 (1.23 – 1.42)
Lactate at 24h	1.40 (1.25 – 1.55)	1.30 (1.16 – 1.42)	1.17 (1.00 – 1.32)	1.18 (1.03 – 1.37)	1.28 (1.19 – 1.37)
Lactate max in 24h	1.32 (1.20 – 1.45)	1.53 (1.44 – 1.62)	1.52 (1.28 – 1.76)	1.56 (1.01 – 1.26)	1.46 (1.36 – 1.57)
Lactate max in 24-48h	1.34 (1.19 – 1.50)	1.29 (1.18 – 1.39)	1.48 (1.13 – 1.85)	1.14 (1.00 – 1.25)	1.41 (1.29 – 1.52)
Lactate slope	n.s.	0.79 (0.69 – 0.93)	0.78 (0.73 – 0.84)	n.s.	n.s.
pH at admission	0.72 (0.63 – 0.84)	0.64 (0.60 – 0.69)	0.76 (0.66 – 0.87)	0.85 (0.76 – 0.96)	0.73 (0.65 – 0.82)
pH at 24h	0.70 (0.59 – 0.85)	0.69 (0.60 – 0.80)	0.81 (0.72 – 0.93)	0.78 (0.65 – 0.94)	0.72 (0.63 – 0.85)
pH min in 24h	0.71 (0.62 – 0.82)	0.60 (0.55 – 0.64)	0.66 (0.58 – 0.75)	0.76 (0.68 – 0.86)	0.61 (0.54 – 0.69)
pH min in 24-48h	0.82 (0.69 – 0.98)	0.75 (0.64 – 0.88)	0.75 (0.63 – 0.91)	0.76 (0.63 – 0.93)	0.73 (0.61 – 0.88)
pH slope	0.80 (0.65 – 1.00)	n.s.	0.54 (0.44 – 0.69)	0.64 (0.50 – 0.84)	0.58 (0.49 – 0.70)

247

248 *Kaplan Meier Curves*

249 Kaplan Meier curves for groups stratified by cut offs from C-statistics are shown as an example
250 for maximum lactate in 24 h after admission and minimum base excess and minimum pH in 24
251 h after admission (Figure 4A, B, C). Kaplan Meier curves for the cut offs maximum lactate in
252 24 h > 2.7 mmol/l, minimum base excess in 24 h < -4.9 mmol/L and minimum pH in 24 h <
253 7.31 showed a clear separation particularly in the first 20 days after admission to ICU (Figure
254 4A, B, C).

255

256 **Figure 4: Kaplan Meier curve of mortality by maximum lactate (A), minimum base excess**
257 **(B) and minimum pH (C) in the first 24 h after admission**

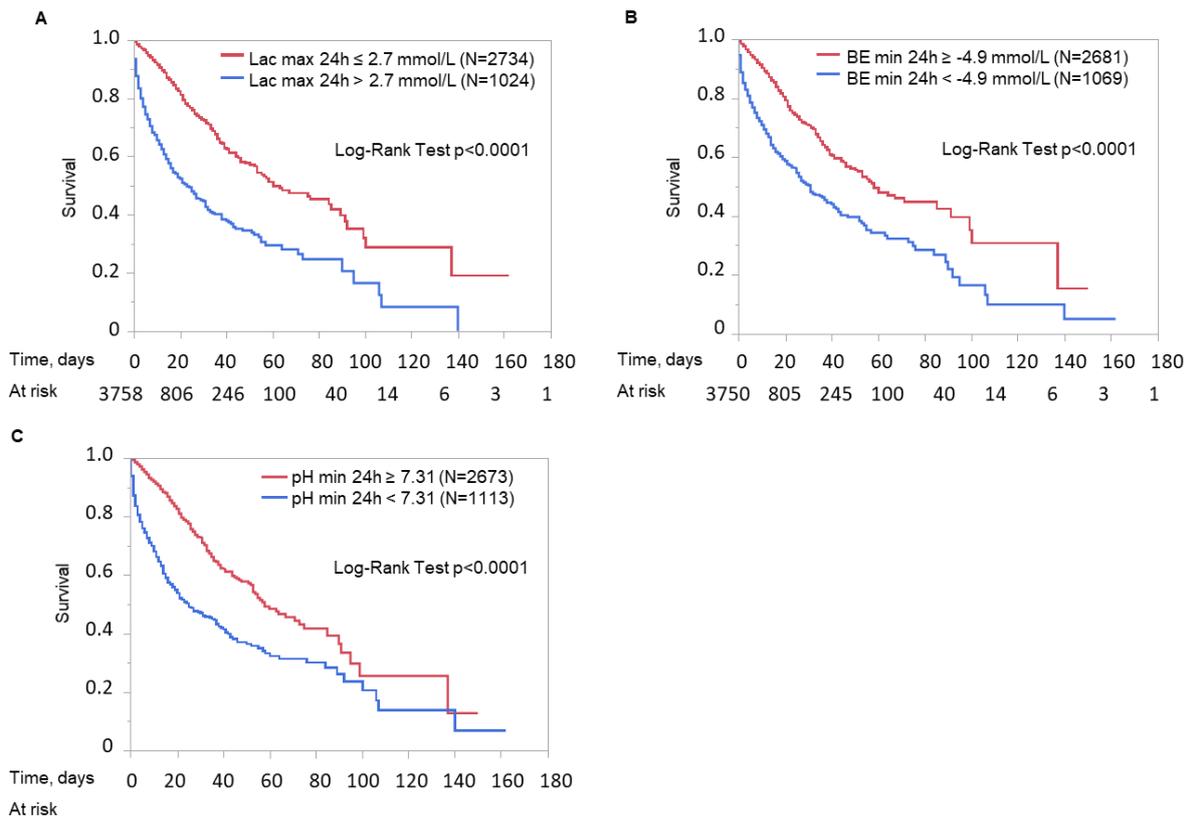
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259 Cut off values used for stratification in risk groups were determined by ROC analysis.

260

261 Abbreviations: Lac, lactate; BE, base excess.

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267 *Multivariable logistic regression*

268 Regressors of the multivariable logistic regression models fitted on mortality were selected
269 from all baseline variables by a stepwise approach as described in the methods section. Age,
270 minimum pH in 24 h, pH at 24 h after admission, maximum lactate in 24 h, maximum lactate
271 in 24 – 48 h, minimum base excess in 24 h and minimum base excess in 24 – 48 h entered the
272 final nominal logistic model and were independently associated with mortality (Table 4).

273 An exemplary multivariable ROC analysis is shown in Figure 3C for minimum or maximum
274 values in 24 h after admission for lactate, pH and base excess, respectively, and combined
275 parameters from multivariable logistic regression. AUROC of combined parameters from the
276 multivariable model was 0.745 and significantly higher than AUROC of each individual
277 parameter in multivariable ROC (Figure 3C), however only slightly higher than the univariate
278 AUROCs of the individual parameters (Figure 3A, B and Table 2). From the individual
279 parameters investigated in the multivariable ROC, maximum lactate in 24 h had the highest
280 AUROC, which was significantly different from the AUROC of minimum base excess in 24 h
281 but not from the AUROC of minimum pH in 24 h (Figure 3C).

282

283

284 **Table 4: Multivariable logistic regression model of ICU mortality**

285

286 All baseline parameters were included in a stepwise least square multiple regression model with
 287 forward and backward direction mode, probability to enter $p = 0.05$, probability to leave $p =$
 288 0.1 .

289

290 Abbreviations: AUROC, Area under the receiver operating characteristic curve; min,
 291 minimum; max, maximum.

292

Parameter	Estimate	Standard error	Chi Square	p value
n = 1478; r² = 0.1458; p < 0.0001; combined AUROC 0.748				
Intercept	-42.20	6.55	41.51	<0.0001
Base excess min in 24-48h	0.05	0.02	6.70	0.0096
Base excess min in 24h	-0.06	0.02	11.52	0.0007
Lactate max in 24-48h	-0.25	0.04	35.11	<0.0001
Lactate max in 24	-0.12	0.03	16.96	<0.0001
pH at 24h	3.90	1.08	13.15	0.0003
pH min in 24h	2.25	0.83	7.28	0.0070
Age	-0.02	0.01	30.58	<0.0001

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296 **Discussion**

297 In our cohort of medical ICU patients, all investigated parameters, lactate, pH and base excess,
298 were suitable predictors of ICU mortality. Cut off values at admission to ICU for prediction of
299 mortality were with 2.1 mmol/L for lactate and -3.8 mmol/L for base excess in a range
300 consistent with the values determined in other studies (around 1.5 – 2.5 mmol/L for lactate and
301 -4 - -6 mmol/L for base excess) ^{4,12-14}.

302 However, base excess and pH were not superior to lactate for prediction of mortality in this
303 unselected cohort of medical ICU patients. This is in contrast to patients after heart surgery at
304 admission to ICU, where base excess was superior to lactate for prediction of mortality ⁷ and
305 trauma patients, where base excess has been found a strong predictor of mortality ^{9,14}. In our
306 analyses, lactate was the strongest predictor of ICU mortality, followed by pH: Lactate values
307 showed the highest AUROC in univariate and multivariable ROC analyses and the highest
308 Hazard ratio per standard deviation increase; pH values had the second highest AUROC in
309 multivariable ROC analysis; Kaplan Meier curve stratified by maximum lactate over the first
310 24 h and minimum pH over the first 24 h showed the clearest separations.

311 For lactate, prognostic significance of the course or clearance has been evaluated ^{3,13,15-17}. In
312 sepsis patients, lactate at 24 hours was found to be strongest predictor of mortality in serial
313 lactate measurements ¹⁷ and early lactate clearance was associated with improved outcome ¹⁶.
314 In other unselected cohorts of ICU patients, mortality was higher in patients developing high
315 lactate levels after more than 24 hours following ICU admission or missing lactate clearance in
316 the first 12 hours ³ and lactate at 24 hours after admission to ICU was strongest for prediction
317 of mortality ¹⁸. There are systematic reviews available, that found that across different ICU
318 cohorts lactate clearance was associated with a better outcome ^{19,20}; the significance of the
319 course of lactate was thereby independent of the initial value and it was recommended to
320 monitor the lactate level by measurements every 1 to 2 hours ²¹. Lactate-guided therapy with
321 monitoring the course of lactate levels after admission to ICU has been suggested to improve

322 treatment outcome ²². Our findings are consistent with these reports: In our cohort of medical
323 ICU patients, from all lactate values, maximum lactate during the first 24 hours and during 24
324 to 48 hours after admission to ICU were strongest predictors for mortality in the total cohort
325 and in primary diagnosis subgroups. Altogether, lactate values both at admission and during 48
326 hours after admission to the ICU are valuable indicators for prognosis assessment.

327 This results in the question, whether the course of values in the first hours after initiation of
328 intensive care treatment should also be considered for other markers used for evaluation of
329 mortality risk. In patients with extracorporeal life support after out of hospital cardiac arrest,
330 lactate and base excess both showed best predictive power for values measured 3 h after
331 initiation of extracorporeal life support ²³. In our cohort of medical ICU patients, initial values
332 of pH and base excess were less predictive than values in the first 24 to 48 hours of the ICU
333 stay. The strongest predictors were the maximum or minimum values during the first 24 hours
334 after admission. These were also superior to the slope between value at admission and
335 maximum or minimum value in the first 24 hours. Our study therefore corroborates the
336 prognostic significance of the values of all parameters, lactate, pH and base excess, in the first
337 24 to 48 hours after admission to intensive care unit compared to the single value at admission.

338 SAPS II predicted mortality risk showed an AUROC of only 0.529 in our cohort, compared to
339 0.86 in the original validating sample from 1993 ²⁴. This could be due to a changed cohort of
340 patients undergoing intensive care and improved methods and possibilities of treatment.
341 Parameters and scores used for assessment of mortality risk must be reviewed repeatedly.
342 Combination of parameters resulted in a marginal increase of AUROC for prediction of
343 mortality, indicating that mortality remains difficult to assess as it is dependent on many
344 influenceable and non-influenceable factors.

345 The study is limited by the retrospective and single-center design. Additionally, the influence
346 of treatment on the investigated biomarkers could only be assessed to a limited extent, whereby
347 the response to therapy is likely to be reflected in the course of the parameters: A better

348 prediction of mortality by biomarkers assessed after admission to the ICU, compared with
349 values at admission to ICU, reflects the association of poor response to therapy, and thus poor
350 recovery of organ function and normalization of acid-base balance, with mortality. The study
351 complements previous studies in the field of mortality prediction in critically ill patients by
352 highlighting the analytes pH and base excess in addition to lactate and their course over the first
353 48 hours after admission to ICU in medical ICU patients.

354 In conclusion, lactate, pH and base excess appear to be consistently valid parameters for
355 estimating mortality, and monitoring changes in these parameters during the first hours of
356 intensive care treatment can improve the accuracy of mortality estimates.

357

358 **Data availability**

359 The datasets generated during and analyzed during the current study are available from the
360 corresponding author on reasonable request.

361

362

363 **Literature**

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460

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463

464 **Author contributions statement**

465 AS analyzed and interpreted the data and drafted the manuscript. RR and MH provided the data,
466 supported the analysis and interpretation of the data and reviewed the manuscript. KM helped
467 analyzing and interpreting the data. RW was a major contributor of the analysis and
468 interpretation of the data and reviewed the manuscript. All authors read and approved the final
469 manuscript.

470

471 **Additional information**

472 The authors declare no competing interests.

473

Figures

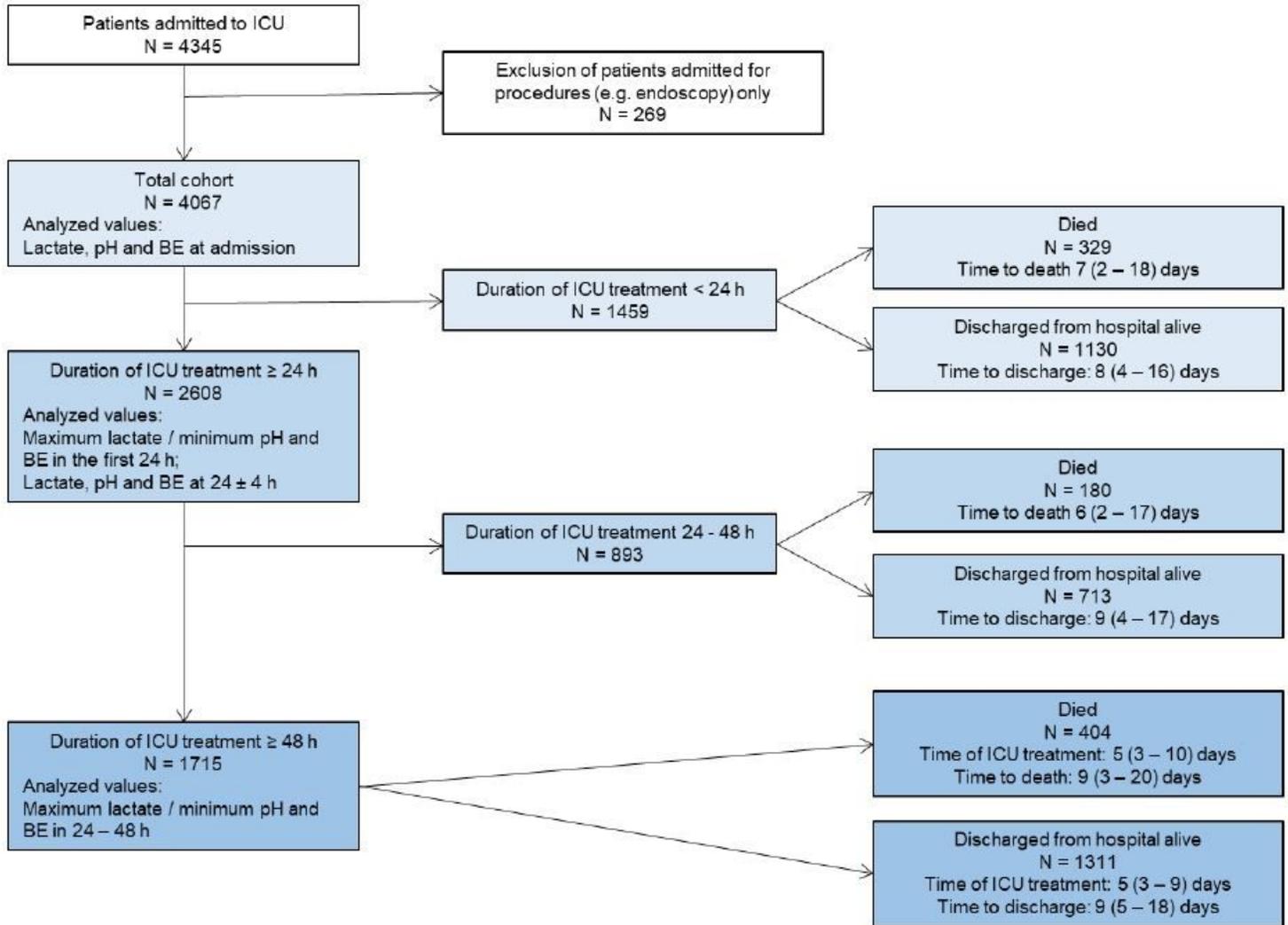
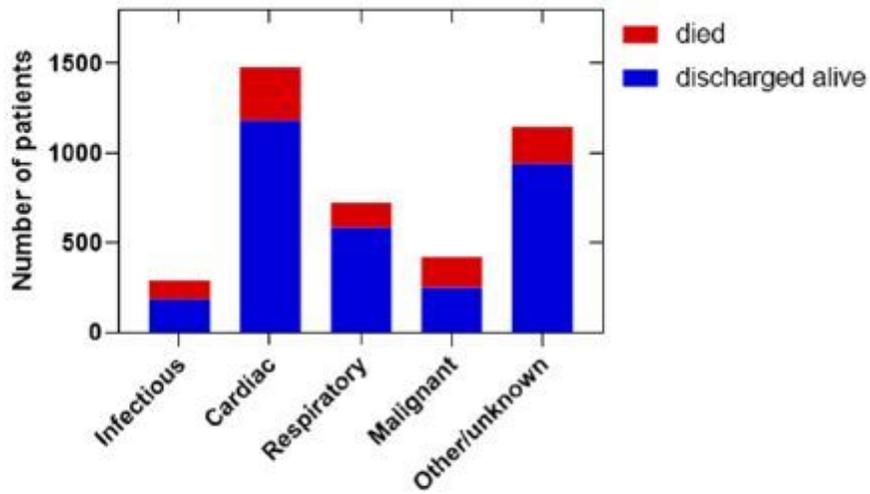


Figure 1

Flow chart study cohort and evaluated parameters

A



B

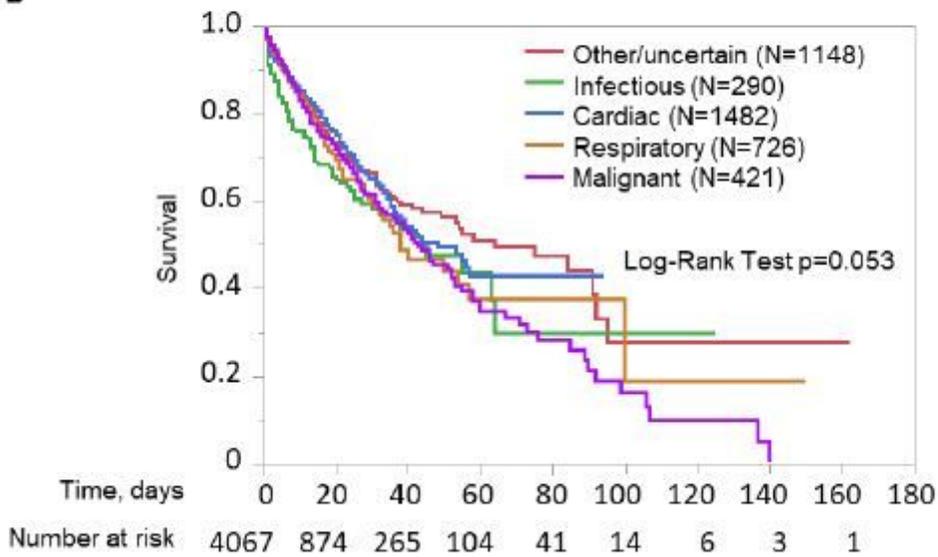


Figure 2

Distribution of patients (A) and Kaplan Meier curves (B) by primary diagnosis group

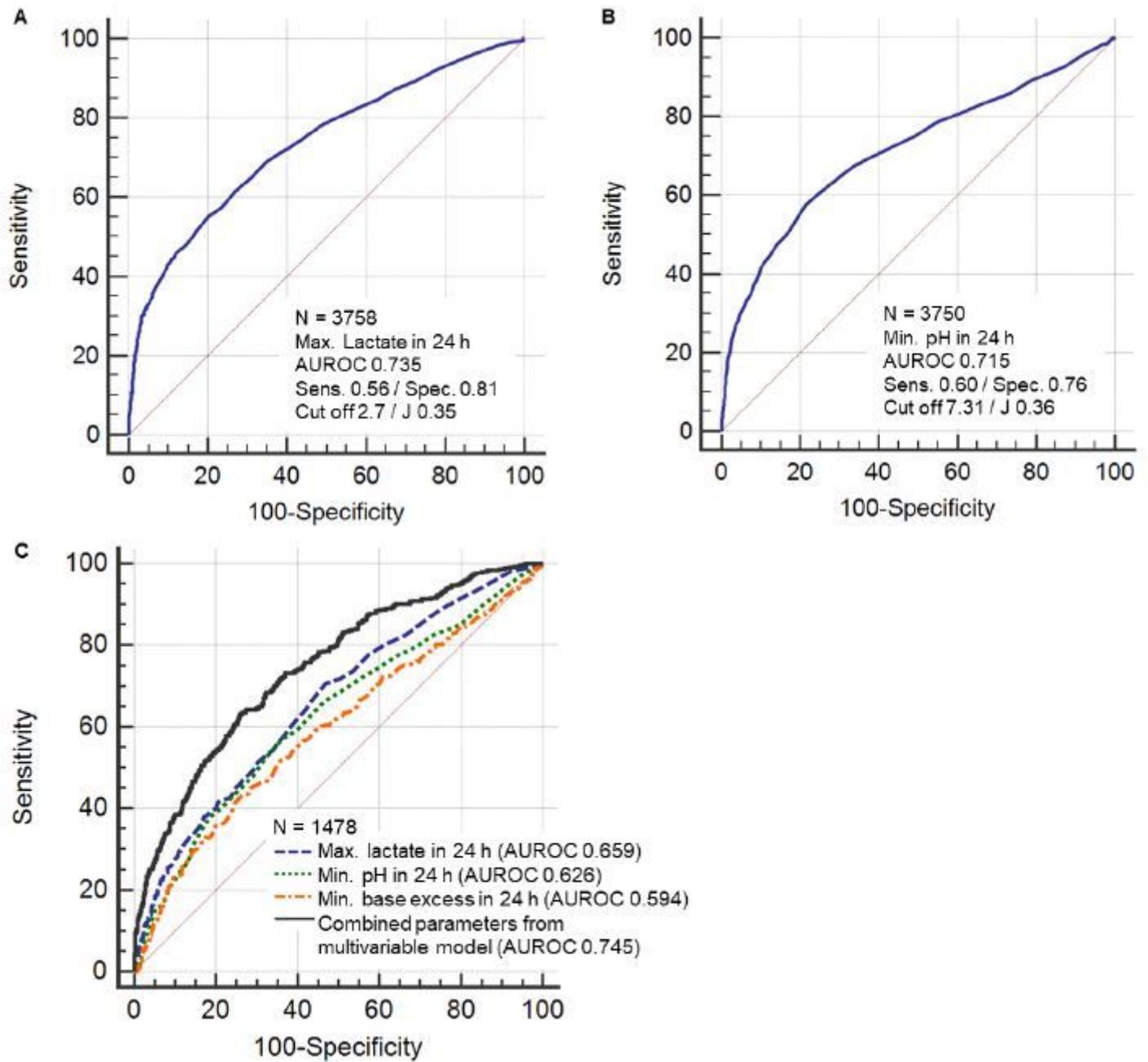


Figure 3

ROC analysis of mortality by maximum lactate (A) and minimum pH (B) in the first 24 h after admission, and multivariable ROC of mortality (C)

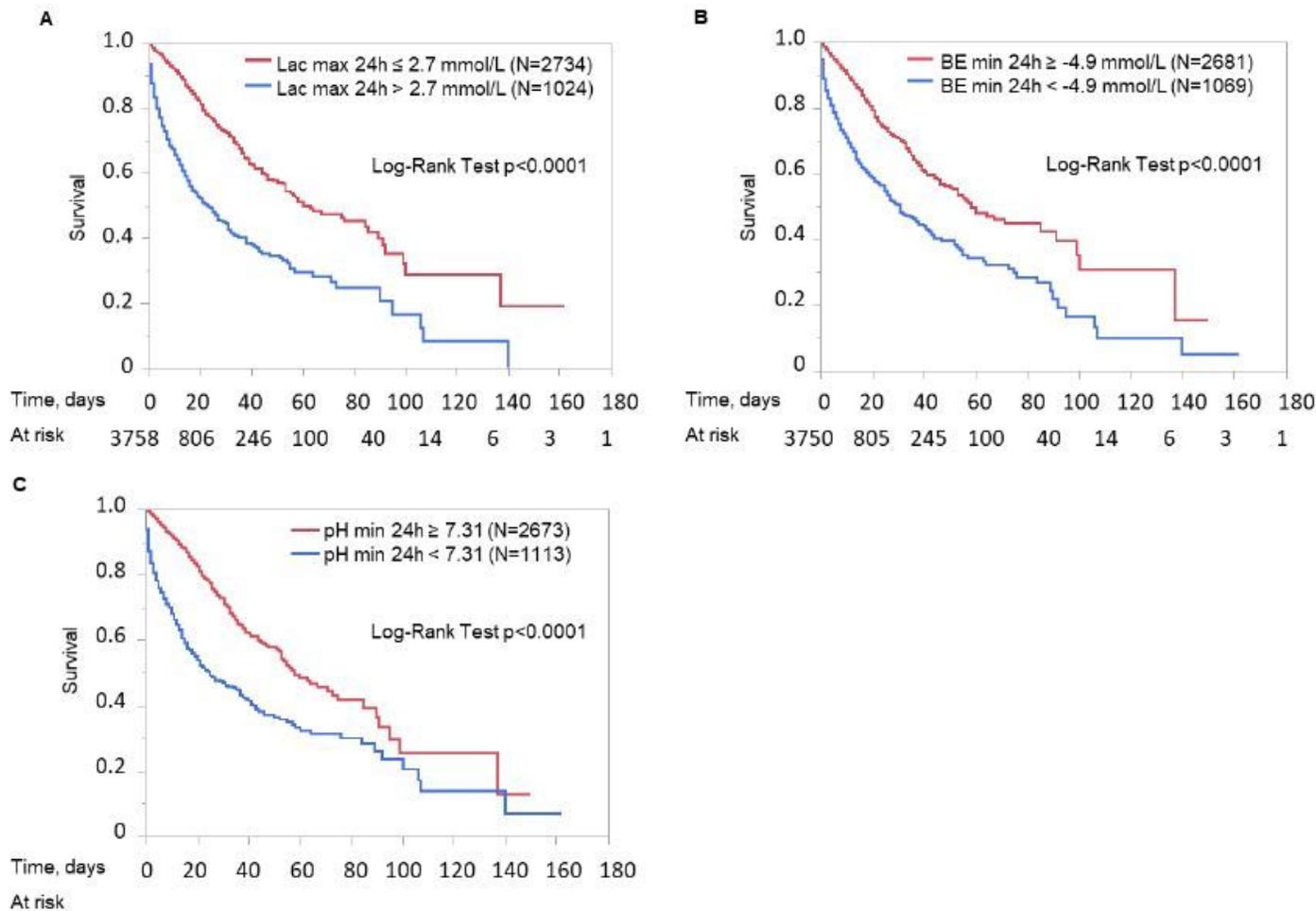


Figure 4

Kaplan Meier curve of mortality by maximum lactate (A), minimum base excess (B) and minimum pH (C) in the first 24 h after admission. Cut off values used for stratification in risk groups were determined by ROC analysis. Abbreviations: Lac, lactate; BE, base excess.