

# Municipal Solid Waste Characteristics: Recycling Potential and Waste Diversion Rate in Bali Province, Indonesia

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## Research

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# Municipal solid waste characteristics: recycling potential and waste diversion rate in Bali Province, Indonesia

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## Abstract

This research was conducted to evaluate waste management in Bali Province through the waste recycling potential and waste diversion rate. These values describe how much waste can be recycled and diverted from landfills. Based on observations and data analysis, Bali's total waste amounts to 2,253,542.03 kg d<sup>-1</sup> or equivalent to 822,542.84 tonnes yr<sup>-1</sup> from 9 (nine) cities/regencies with a population of 4,183,072 in 2019. Bali Province's waste at the source is dominated by organic waste with 65% wet weight (ww) of the total waste generated, consisting of food waste and wood/leaf waste. It is also dominated by plastic waste with 15.70% ww and paper waste with 8.92% ww. The material flow analysis results in 53.02% ww of waste, or equivalent to 436,137.41 tonnes yr<sup>-1</sup>, which ended up in the landfill. Meanwhile, 13.36% ww or equivalent to 109,896.80 tonnes yr<sup>-1</sup> is sold outside Bali, while 26.94% or equivalent to 221,583.37 tonnes yr<sup>-1</sup> is unmanaged. Waste reduction by recycling in Bali's landfill only reaches 20.38% of its potential; in comparison, the waste that can be diverted from landfills only reached 11.79% ww of the total generated waste. The reality is still very far from the 2025 government target of 30% reduction waste target and its diversion rate potential of 77.35% ww of the total waste generation.

**Keywords:** Bali Province, Waste management, Waste recycling potential, Waste diversion rate, Landfill

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## 26 1. Introduction

27 Bali Province (henceforth referred to as Bali) consists of 9 (nine) cities/regencies: Denpasar  
28 City as the capital city of Bali Province, Badung Regency, Karangasem Regency, Jembrana  
29 Regency, Bangli Regency, Buleleng Regency, Klungkung Regency, Tabanan Regency, and  
30 Gianyar Regency. Bali is located at 08°03'40" – 08°50'48" South Latitude and 114°25'53" –  
31 115°42'40" East Longitude with an area of 5,636.66 km<sup>2</sup> consisting of Buleleng Regency with  
32 1,365.88 km<sup>2</sup> (24.23%) from the total area of the province, followed by Jembrana Regency  
33 with 841.80 km<sup>2</sup> (14.93%), Karangasem Regency with 839,54 km<sup>2</sup> (14.89%), and Tabanan  
34 Regency with 839.33 km<sup>2</sup> (14.89%) by Statistical Bureau of Bali Province as shown in Figure  
35 1 [1].

36 Bali is a global tourism area in Indonesia. The increase in tourism activities also increases  
37 waste generation in Bali. While waste management in Bali still relies on landfills, which leads  
38 to the landfill's shorter lifespan as reported by Widyarsana et al. [3]. The Bali government has  
39 attempted to manage waste generated by the community as reported by Regional Research and  
40 Development Planning Agency of Bali Province [4]. Currently, the main stakeholder that  
41 manages solid waste in Bali is the government, but the management is done collaboratively by  
42 the community and private sector in some locations. Bali's waste management system is  
43 limited to managing waste in urban areas, while waste management in rural areas still uses  
44 conventional methods such as dumping and open burning as reported by Rechberger [5]. This  
45 research was conducted to evaluate Bali's waste management system through the waste  
46 recycling potential and waste diversion rate values. These 2 (two) values describe how much  
47 waste can be recycled in the landfill and how much waste can be diverted from landfills by  
48 reducing the waste using the Reduce, Reuse, Recycle (3R) hierarchy. The result will then be  
49 evaluated by comparing the value against the government's targeted waste reduction from Law  
50 No. 18 of 2008, Government Regulation No. 81 of 2012, as well as the targets set in Presidential

51 Regulation No. 97 of 2017 with a waste reduction target of 30% solid waste generation in 2025  
52 and waste handling by 70% of waste generation. By knowing these values, waste recycling  
53 activities in Bali can be increased, considering that Bali is one of the tourism centers in  
54 Indonesia, waste management and waste reduction activities are very important to maintain  
55 environmental quality and aesthetic for tourism purposes. In addition, increasing waste  
56 reduction by recycling is also a step forward towards sustainable development and a change  
57 from a linear economy to a circular economy that provides economic benefits for recycling  
58 actors and all parties involved.

## 59 **2. Materials and methods**

### 60 *2.1 Waste Characteristics*

61 The waste characteristic in this study consist of waste generation and waste compositions.  
62 Waste generation and composition were investigated in 9 (nine) cities/regencies in Bali, where  
63 the waste generation and its management system is obtained through primary data collection.  
64 Primary data collection is done through observations, interviews with related governmental  
65 agencies such as the Environment and Sanitation Agency and the Bali Regional Government,  
66 followed by interviews with relevant formal and informal sectors in mid to late 2019.  
67 Interviews were also conducted with the residents of each city/regency in Bali. Interviews with  
68 the local community were conducted with 400 respondents using a questionnaire. This  
69 interview was done to collect information about waste management at the source and waste  
70 management at several waste reduction facilities such as Waste Banks, 3R Transfer Depos,  
71 Transfer Depos, Intermediate Informal Collectors, and Waste End Collectors in 9 (nine)  
72 cities/regencies in Bali. Direct waste sampling was the last method and was conducted with  
73 200 households using the stratified random sampling method, followed by waste sampling in  
74 162 non-household samples consisting of educational facilities, health facilities, tourism  
75 objects, offices, public temples, private temples, and business areas in Bali. Direct sampling

76 was conducted to study waste generation and waste composition in Bali, and the information  
77 will be used to assess the waste flow in every waste management facility in Bali. These direct  
78 sampling procedures were done following the sampling procedures regulated in SNI 19-3964-  
79 1995 regarding Collection and Measurement Methods of Urban Waste Generation and  
80 Composition.

81 The waste characteristic was analyzed using proximate analysis and ultimate analysis. The  
82 proximate and ultimate analysis and heating value of municipal solid waste are fundamental  
83 parameters for incineration, pyrolysis, and gasification as reported by Zhou et al. [6]. The  
84 proximate analysis consists of water content and volatile content of waste analysis, while the  
85 ultimate analysis consists of calorific value, C-Organic value, and Nitrogen content analysis.  
86 Once the waste characteristics are found, they can be used to determine the waste's processing  
87 potential. The methods used for each parameter are shown in Table 1.

## 88 *2.2 Material Flow Analysis*

89 Calculations of the waste generation and the total waste in Bali's waste management  
90 facilities were analyzed for the Material Flow. The Material Flow Analysis in this research is  
91 explained in tonnes yr<sup>-1</sup>, where all the stock is presumed to leave every waste management  
92 facility by the end of the year. The flow of the waste coming in and out of Bali's waste facility  
93 will also be explained in the Material Flow Analysis diagram.

$$94 \quad WG = WR \times P \times 0.365 \quad \text{Eq. (1)}$$

95 Where:

96 WG = Waste generation (tonnes yr<sup>-1</sup>)

97 WR = Waste generation rate (kg capita<sup>-1</sup> day<sup>-1</sup>)

98 P = Number of population (people)

$$99 \quad TW = WF \times N \quad \text{Eq. (2)}$$

100 Where:

101 TW = Total waste generated (tonnes yr<sup>-1</sup>)

102 WF = Waste in facility (tonnes yr<sup>-1</sup>)

103 N = Number of facility

### 104 2.3 Waste Recycling Potential and Waste Diversion Rate

105 Waste diversion rate explains the amount of waste that is diverted from landfills. The  
106 diversion rate considers potentially diverted waste using the 3R's of the recycling hierarchy,  
107 which are Reduce, Reuse, and Recycle. Currently, cities use their waste diversion rate to  
108 measure their waste management system as reported by Zaman and Lehmann [7]. Waste  
109 Diversion Rate is different from Waste Recycling Potential. Recycling potential only considers  
110 the amount of waste that is recycled, while Waste Diversion Rate considers all the waste  
111 undisposed into the landfill due to the 3R activities, including waste reduction and reuse of the  
112 waste. Waste Diversion Rate can be calculated using the following equation.

$$113 \quad WDR = \frac{WD}{WD+TW} \times 100\% \quad \text{Eq. (3)}$$

114 Where:

115 WDR = Waste Diversion Rate (%)

116 WD = Waste diverted from the landfill (tonnes yr<sup>-1</sup>)

117 TW = Total waste generated (tonnes yr<sup>-1</sup>)

118 Meanwhile, Waste Recycling Potential will be calculated for each waste component found  
119 in the landfill. To calculate the Waste Recycling Potential, the recovery rate value of each waste  
120 is required. The recovery rate can determine how much of the waste component can be  
121 recycled, as shown in the following equation.

$$122 \quad WRP = \frac{RW}{TW} \times 100\% \quad \text{Eq. (4)}$$

123 Where:

124 WRP = Waste Recycling Potential (%)

125 RW = Recyclable waste (tonnes yr<sup>-1</sup>)

126 TW = Total waste generated (tonnes yr<sup>-1</sup>)

### 127 **3. Results and discussion**

#### 128 *3.1 Waste Generation*

129 The total population in 9 (nine) cities/regencies in Bali reached 4,183,072 people in 2019.  
130 The sampling results show that the total waste generation rate in 9 (nine) cities/regencies is  
131 0.54 kg capita<sup>-1</sup> day<sup>-1</sup>, bringing the total waste generated in Bali to 2,253,542.03 kg day<sup>-1</sup> or  
132 equivalent to 822,542.84 tonnes yr<sup>-1</sup>. More detailed information on Bali's total waste  
133 generation is shown in Table 2 and Figure 2 below.

134 Based on each city/regency's minimum wage in Bali in 2020 by Statistical Bureau of Bali  
135 Province [1], the 9 (nine) cities/regencies in Bali are divided into 3 (three) economic categories,  
136 namely high-income community, medium-income community, and low-income community.  
137 Based on the largest to the smallest minimum wage: the high-income communities are from  
138 Badung Regency, Denpasar City, and Gianyar Regency. The medium-income communities are  
139 from Tabanan Regency, Jembarana Regency, and Karangasem Regency, while the low-income  
140 communities are from Buleleng Regency, Klungkung Regency, and Bangli Regency. Based on  
141 these categories, the waste generation comparison can be seen in Figure 3.

142 The analysis shows that the low-income community's waste is greater than the waste  
143 generated from the middle-income community, contradicting the theory that states the largest  
144 waste generation will be generated from people with a high economic level. In this situation,  
145 high-income communities generate the largest waste as it is also the area with the largest  
146 population in Bali, with the population 2 (two) times above Bali's average population.  
147 However, differences occur in the medium-income communities and low-income  
148 communities. This is possibly due to people who have higher economic levels tend not to do  
149 their activities at home, but in other places, generally at work or other public places. Thus,

150 although having a similar population, the low-income community's waste is more than the  
151 medium income community.

### 152 *3.2 Waste Characteristics*

153 Characteristics of waste are analyzed using proximate analysis and ultimate analysis. The  
154 waste is tested for water content, volatile content, calorific value, organic carbon, and nitrogen.  
155 The waste characteristics are tested using the waste from Gianyar Regency, Tabanan Regency,  
156 Badung Regency, and Denpasar City. The waste came from household and non-household  
157 waste. The analysis results of the mixed waste are shown in Table 3.

158 Bali's high-water content value indicates the large contributions of organic waste, including  
159 food waste and leaf waste produced by the community. Moisture content is a physical  
160 characteristic of waste that shows the humidity level. In this condition, the water content  
161 reached 59.20% wet weight (ww). Good water content usually ranges between 50-60%, with  
162 the optimum value for composting activities being 55% as reported by Damanhuri and Padmi  
163 [8].

164 Volatile levels indicate the effectiveness of waste reduction using the combustion method  
165 stated in percentage. The volatile content in Bali's waste reached 67.86% dry weight (dw) for  
166 household and non-household waste. This indicates that the waste in Bali can be processed  
167 using the combustion method.

168 Calorific value could be used to determine the waste's potential energy if it were to have  
169 thermal processing technology applied. The Lower Heating Value (LHV) includes the heat of  
170 water evaporation. This LHV value is more realistically used as a heating value to evaluate the  
171 waste's energy potential because it contains a lot of water. LHV values factors in the required  
172 energy to evaporate water content as reported by Damanhuri and Padmi [8]. Bali's waste  
173 calorific value is 11.86 MJ kg<sup>-1</sup>. The minimum criteria for LHV Value to ensure that waste is  
174 suitable for the thermal process is 5.02 MJ kg<sup>-1</sup>. The heating value contained in Bali's waste

175 has met the minimum criteria to be processed using an incinerator. However, because of the  
176 high value of water content, the thermal method may be unnecessary because the higher the  
177 water content, the more energy is needed to achieve a suitable temperature for thermal  
178 processing.

179 C/N ratio is an essential factor in waste treatment by composting. The value of the C/N ratio  
180 depends on the content of the waste. A good C/N ratio for composting material ranges from  
181 25-30. If the C/N ratio values are too high, it will reduce the microorganism's biological  
182 activity, whereas the low C/N ratio values will increase nitrogen emissions as ammonia as  
183 reported by Work Unit for the Development of a Residential Environmental Sanitation System  
184 [9]. The value of C/N ratio of organic waste in Bali is 79.03.

### 185 *3.3 Waste Composition in Landfill*

186 This research analyzes waste composition based on Bali's landfill to analyze each waste  
187 component's recycling potential. Waste composition can be affected by the income of the  
188 community, due to the generated waste per capita is increasing along with the increasing of the  
189 economic state of the community. It also affect the waste composition and characteristics.  
190 Denpasar City generated the biggest waste with 32.86% ww or equivalent to 740,545 tonnes  
191  $\text{yr}^{-1}$ , followed by Badung Regency, which generated 17.36% ww or equivalent to 391,240  
192 tonnes  $\text{yr}^{-1}$ . Denpasar City produces the most waste due to its population, which is far above  
193 Bali's average population; furthermore, it is the capital of Bali, where it is the center of  
194 government and community activities. On the other hand, Badung Regency is considered the  
195 wealthiest regency in Bali as reported by Patera et al. [10] as it has some of Bali's best resorts,  
196 restaurants, bars, clubs, and designer stores. Badung Regency is also the home of Bali's most  
197 renowned beaches, such as Kuta Beach and Seminyak. This is what makes Denpasar City and  
198 Badung Regency the greatest waste contributor in Bali. Bali's waste is dominated by household  
199 waste with 71.58% followed by non-household waste with 28.42%; this follows the theory

200 which states that people's lifestyle in developing countries decisively characterizes the waste  
201 composition's percentage, where organic waste stream and overburden over 50% of the total  
202 generated municipal solid waste as reported by Sharma and Sharma [11] and shown in Figure  
203 4.

204 The sampling results show that household waste dominates Bali's waste generation except  
205 for the high-income community. The high-income communities that can cause this usually  
206 have a consumptive behavior, as shown in the resulting waste composition. Wastes tend to be  
207 diverse and likely produce plastic and packages waste due to a higher level of instant food  
208 consumption, making the non-household waste generation greater than the household waste  
209 generation. The waste composition at the source based on the sampling is shown in Figure 5.

210 Figure 5 shows that Bali's waste at the source is dominated by food waste with 65% ww of  
211 the total waste generated, consisting of 45,30% ww of food waste and 19,70% ww of wood  
212 and leaf waste. It is also dominated by plastic waste by 15.70% ww and paper waste by 8.92%  
213 ww. Waste from the communities will then be transported to several waste management  
214 facilities until it is finally disposed of into the landfill. The waste in the landfill consists of food  
215 waste, wood and leaf waste, plastic waste, paper waste, metal waste, rubber waste, cloth, and  
216 textile waste, glass, and hazardous waste. A detailed waste composition in the landfill based  
217 on the sampling process can be seen in Figure 6.

218 Figure 6 shows that Bali's waste in landfill is dominated by organic waste with 62.64% ww  
219 of the total waste in the landfill, consisting of 27.13% ww of food waste and 35.51% ww of  
220 wood and leaf waste. It is also dominated by paper waste by 13.95% ww and plastic waste by  
221 10.50% ww. This waste composition proves that the waste in developing countries is  
222 dominated by household waste with over 50% of the total waste as reported by Sharma and  
223 Sharma [11].

224 The waste composition and waste characteristics data can be grouped into 5 (five) criteria:  
225 putrescible waste, organic waste, biodegradable waste, combustible waste, and recyclable  
226 waste. Furthermore, waste characteristics and composition identified that 78.40% ww of waste  
227 at the landfill and 75.95% ww of waste at the source could be potentially processed through a  
228 biological method, followed by 88.90% ww of waste at the landfill and 91.65% ww of waste at  
229 the source could be processed by thermal method, and 26.99% ww of waste at the landfill and  
230 28.75% ww of waste at the source can potentially be recycled. In addition, 62.64% ww of  
231 waste at the landfill and 65% ww of waste at the source are categorized as organic, and 27.13%  
232 ww of waste at the landfill and 45.30% ww of waste at the source are categorized as putrescible  
233 waste or easily decomposed waste. This waste is easily decomposed by microorganisms, thus  
234 needing faster management, including collection, transportation, and processing.

### 235 *3.4 Material Flow Analysis*

236 Direct observation and interviews with related government agencies identified that 9 (nine)  
237 cities/regencies across Bali have 26.28% ww of waste, or equivalent to 216,160.59 tonnes yr<sup>-1</sup>  
238 directly transported to the landfill from the source. In comparison, 34.19% ww of waste from  
239 the source is transported to Transfer Depo. The waste was then transported to 3 (three) different  
240 waste management facilities: Informal Sector, Waste Crews, and Landfill. 53.02% ww of waste  
241 or equivalent to 436,137,41 tonnes yr<sup>-1</sup> ended up in the landfill. This waste came from Transfer  
242 Depo and the source. 3R Transfer Depo also disposed of their waste processing residue into  
243 the landfill by 20,079.89 tonnes yr<sup>-1</sup>.

244 The waste from the source was also transported to the Waste Banks, 1<sup>st</sup> Intermediate  
245 Informal Collectors, and 3R Transfer Depo with 4.52% ww, 3.78% ww, and 4.29% ww,  
246 respectively. All of the waste in these facilities will then be managed and utilized. The rest of  
247 the waste is then transported to the Intermediate Informal Collectors. All wastes from this  
248 facility will be sold outside Bali to be recycled or reused by 13.36% ww or equivalent to

249 109,896.80 tonnes yr<sup>-1</sup>. Some waste management facilities produced residues from their waste  
250 processing and had the residues disposed into the landfill while the rest was dumped into the  
251 environment as unmanaged waste. The 20.26% unmanaged waste from the source brings the  
252 total unmanaged waste disposed into the environment to 26.94%, equivalent to 221,583.37  
253 tonnes yr<sup>-1</sup>. The amount of unmanaged waste from the source and waste management facilities  
254 were still very high and could be detrimental to the environment and the surrounding  
255 ecosystem. So, a better and more integrated waste management system could be one solution  
256 to solve this problem. The detailed waste flow in Bali is shown in Figure 7.

### 257 *3.5 Waste Recycling Potential*

258 The waste recycling potential analysis was carried out to determine the total amount of  
259 recyclable waste in Bali Province compared to the actual waste recycled in Bali stated in the  
260 material flow. Waste recycling potential analysis is calculated by considering each type of  
261 waste's recovery factor based on the waste composition, as shown in Figure 5. These recovery  
262 rates value is used to calculate the quantity of waste that can be recycled. The recycling  
263 potential of each waste is analyzed in more detail in the following explanation. Based on the  
264 landfill's waste composition, some recovery rates value of the waste is shown in Table 4.

265 Figure 5 shows that organic waste consists of food waste with 45.30% ww or equivalent to  
266 372,611.91 tonnes yr<sup>-1</sup>, and wood/leaf waste dominated the disposed waste in the landfill with  
267 19.70% ww or equivalent to 162,040.94 tonnes yr<sup>-1</sup> respectively. Food waste is generated every  
268 day and is often heavy and wet as reported by Zhang et al. [16], thus dominating the source  
269 and landfill. Meanwhile, wood/leaf waste dominated the source and landfill due to the Balinese  
270 habits of giving offerings in flowers, leaf, or food in their daily worship rituals as reported by  
271 Widarysana and Salmaa [17]. Organic waste must be handled immediately due to its putridness  
272 and disturbing odor to the surrounding community. Based on Table 4, the recovery factor of  
273 organic waste is 80%. Thus, recyclable organic waste amounted to 52% ww or 427,722.28

274 tonnes yr<sup>-1</sup>. Thus, making 13% ww of the organic waste considered as non-recyclable waste  
275 with 106,930.57 tonnes yr<sup>-1</sup>.

276 Based on Figure 5, plastic waste was the second-largest disposed waste at the source in the  
277 amount of 15.70% ww or equivalent to 129,139.23 tonnes yr<sup>-1</sup>. Plastic waste poses a great  
278 challenge to the environment. Most developing countries have large amounts of polyethylene  
279 bag, which has little recycling capacity and could cause environmental damage as reported by  
280 Sharma and Sharma [11]. So it is essential to control the amount of plastic waste generated.  
281 Based on Table 4, the recovery factor of plastic waste is 53.10%. So, recyclable plastic waste  
282 is equal to 8.34% ww or 68,572.93 tonnes yr<sup>-1</sup>. Thus, making 7.36% ww of the waste  
283 considered as non-recyclable waste with 60,566.30 tonnes yr<sup>-1</sup>.

284 Based on Figure 5, Paper waste was the third-largest disposed waste at the source with  
285 8.92% ww or equivalent to 73,370.82 tonnes yr<sup>-1</sup>. Bali is one of Indonesia's largest tourist  
286 destinations, which results in the community and incoming tourists having a consumptive  
287 behavior as many tourist attractions and restaurants used paper as their alternative for food and  
288 beverage packaging. In addition, the many tourist attractions, hotels, and resorts also resulted  
289 in many business brochures being discarded, causing paper waste to dominate one of the waste  
290 in at the source and landfills. Based on Table 4, the recovery factor of paper waste is 40%.  
291 Thus, the amount of paper waste considered as recyclable waste is equal to 3.57% ww or  
292 29,348.33 tonnes yr<sup>-1</sup>. Thus, making 5.35% ww of the waste considered non-recyclable waste  
293 as much as 44,022.49 tonnes yr<sup>-1</sup>.

294 Figure 5 also shows that 0.90% ww or equivalent to 7,402.89 tonnes yr<sup>-1</sup> of metal waste was  
295 generated at the source. Metals have the greatest recycling potential among other waste, with  
296 98%, as shown in Table 4. This potential is due to metal's ability to retain quality despite being  
297 recycled many times. Its long life period causes metal recycling to be very profitable as it is  
298 the ideal candidate for a circular economy, which will provide many benefits for the

299 environmental and socio-economic aspects as reported by European Recycling Industries'  
300 Confederation [18]. The amount of metal waste considered as recyclable waste in Bali is equal  
301 to 0.88% ww or 7,254.83 tonnes yr<sup>-1</sup>. Thus, making 0.02% ww of the waste considered as non-  
302 recyclable waste, or 148.06 tonnes yr<sup>-1</sup>.

303 Based on Figure 5, 0.75% ww or 6,169.07 tonnes yr<sup>-1</sup> of rubber and 1.20% ww or 9,870.51  
304 tonnes yr<sup>-1</sup> of glass were generated at the source. Based on Table 4, rubber has the lowest  
305 recovery factor, with only 10.90%, while the glass recovery rate is relatively high, reaching  
306 57.50%. So, the amount of rubber waste considered as recyclable waste is equal to 0.08% ww  
307 or as much as 672.43 tonnes yr<sup>-1</sup>. Thus, making 0.67% ww of the rubber waste considered non-  
308 recyclable waste or 5,496.64 tonnes yr<sup>-1</sup>, as shown in Figure 12. As for glass waste, 0.69% ww  
309 or 5,675.55 tonnes yr<sup>-1</sup> can be recycled, while the other 0.51% ww of waste is non-recyclable,  
310 equivalent to 4,194.97 tonnes yr<sup>-1</sup>.

311 Previous explanations show that source's recyclable waste is organic waste consisting of  
312 food and leaf waste, plastic waste, paper waste, metal waste, rubber waste, and glass waste.  
313 Calculations found that the recyclable waste is 539,246.34 tonnes yr<sup>-1</sup> or equivalent to 65.56%  
314 ww of the total waste generated at the source. A more detailed percentage of each recyclable  
315 waste is shown in Figure 8.

316 Direct observation and material flow analysis identified that the generated waste at the  
317 source is equal to 822,542.84 tonnes yr<sup>-1</sup>. There are 3 facilities that recycled waste in Bali,  
318 which are 1<sup>st</sup> Intermediate Informal Collectors, Intermediate Informal Collectors, and End  
319 Collectors, which totaled to 11.60% ww of waste or equivalent to 95,399.00 tonnes yr<sup>-1</sup> waste  
320 recycled. From the source, 26.28% ww of waste or 216,160.59 tonnes yr<sup>-1</sup> of waste is directly  
321 transported to the landfill. Despite waste already placed in the last disposal facility, waste  
322 reduction efforts are still made by the informal sectors, namely the scavengers. Usually, around  
323 484 scavengers collected the waste deemed to have economic value as reported by Widyarsana

324 et al. [19], with the waste reaching 1.76% ww or equivalent to 14,497.80 tonnes yr<sup>-1</sup>. The  
325 collected valuable waste was later sold to the 1<sup>st</sup> Intermediate Informal Collectors, which some  
326 waste sold outside of Bali or recycled in the facility, making Bali's waste recycling potential  
327 amounts to 13.36% ww of waste or equivalent to 109,896.80 tonnes yr<sup>-1</sup>.

328 Calculations show that the total recyclable waste in Bali's landfill is equal to 539,246.34  
329 tonnes yr<sup>-1</sup> or 65.56% ww of the total waste at the source. This means that the waste reduction  
330 by recycling only reached 20.38% of its potential; thus, much work needs to be done, and the  
331 system must be improved to ensure recycled wastes increase before being dumped into the  
332 landfill. Even more so with the waste reduction target under Law No. 18 of 2008, Government  
333 Regulation No. 81 of 2012, as well as the 30% waste reduction in 2025 and 70% handling of  
334 waste set in Presidential Regulation No. 97 of 2017. Moreover, all waste in the landfill will  
335 usually be managed by open dumping as reported by Widyarsana et al. [19], which is still far  
336 from the environmental standards. With the improvement of waste recycling at the landfill,  
337 many parties' will obtain economic benefits; furthermore, landfill waste reduction and the  
338 improvement of the dumping system in the landfill will have environmental benefits.

### 339 *3.6 Waste Diversion Rate*

340 Waste diversion rate explains the amount of waste that is diverted from landfills. The  
341 diversion rate considers what can be diverted using the 3R's of the recycling hierarchy: Reduce,  
342 Reuse, and Recycle. Direct observations and material flow analysis in Figure 6 show some of  
343 the waste reduction activities in the 3R hierarchy in Bali's waste management facilities.

344 Transfer Depo received 34.19% ww of waste or 281,204.58 tonnes yr<sup>-1</sup> from the source,  
345 while Waste Banks received 4.52% ww of waste equivalent to 37,188.03 tonnes yr<sup>-1</sup> and 3R  
346 Transfer Depo received 4.29% ww of waste or 35,273,60 tonnes yr<sup>-1</sup>. In the landfill, 1.76% ww  
347 of waste, or equivalent to 14,497.80 tonnes yr<sup>-1</sup>, were collected by the Informal Sectors then  
348 sold to the 1<sup>st</sup> Intermediate Informal Collectors. The intermediate informal collector also

349 received 8.12% ww of waste from the Transfer Depo, which will then be sold to the  
350 Intermediate Informal Collectors while all wastes in the Waste Banks and 0.43% ww of waste  
351 from 3R Transfer Depo were also sold to the Intermediate Informal Collectors to be recycled  
352 and sold outside Bali as a new and clean product.

353 All the waste from Informal Sectors will then be sold outside Bali. Some of the waste was  
354 sold through Formal Sectors or End Collectors, and some were directly sold outside Bali. There  
355 are ten end-collectors (formal sector) identified in Bali. The waste is dominated by plastic  
356 shipped to East Java Province, such as Banyuwangi City and Surabaya City, as a clean plastic  
357 as reported by Widyarsana et al. [19]. The sold waste is categorized as managed waste, and  
358 diverted waste from the landfill amounts to 13.36% ww or 109,896.80 tonnes yr<sup>-1</sup>. Currently,  
359 Bali's actual waste diversion rate right now is equal to 11.79% or 96,997.80 tonnes yr<sup>-1</sup>. If we  
360 consider the waste recycling potential in Bali's landfill amounting to 65.56% ww or as much  
361 as 539,246.34 tonnes yr<sup>-1</sup>, then the potential waste diversion rate in Bali can reach 77.35% ww  
362 or equivalent to 636,224.14 tonnes yr<sup>-1</sup>.

363 Currently, 26.94% ww of waste, equivalent to 221,583.37 tonnes yr<sup>-1</sup>, is unmanaged and  
364 disposed of into the environment. Globally, the waste management sector is under increasing  
365 pressure to improve its environmental performance as reported by Thushari et al. [20].  
366 Considering Bali's waste is dominated by household waste with a relatively high recovery rate,  
367 reaching 80%, the actual number of waste that can be diverted from landfills is still relatively  
368 small because it only reached 11.79% ww of the total waste generated. Distributed  
369 questionnaires to Bali residents shows a large gap between the waste diversion and waste  
370 recycling potential and the actual conditions: around 26% ww of the waste is still disposed of  
371 into vacant lots, 4.9% ww is disposed of into the water bodies, and 7.8% ww of waste is buried  
372 in open land done by the community at the waste source as reported by Widyarsana et al. [19].

373 It is necessary to increase recycling activities in Bali's waste management facility to reach  
374 the potential waste diversion rate to 77.35% ww of the total waste generation. Waste reduction  
375 activities are the most likely activity to be carried out; it also involved many parties, including  
376 the community and waste management facilities in Bali, because it benefits both the socio-  
377 economic and environmental sector.

#### 378 **4. Conclusion**

379 The total population in 9 (nine) cities/regencies in Bali reached 4,183,072 people in 2019.  
380 Sampling results show that the total waste generation rate in 9 (nine) cities/regencies is 0.54  
381 kg capita<sup>-1</sup> day<sup>-1</sup>. Bali's waste generation totalled to 2,253,542.03 kg day<sup>-1</sup> or equivalent to  
382 822,542.84 tonnes yr<sup>-1</sup>. Based on the waste characteristics and composition, 78.40% ww of  
383 waste at the landfill and 75.95% ww of waste at the source could be potentially processed  
384 through a biological method, followed by 88.90% ww of waste at the landfill and 91.65% ww  
385 of waste at the source could be processed by thermal method, and 26.99% ww of waste at the  
386 landfill and 28.75% ww of waste at the source can potentially be recycled. The waste reduction  
387 by recycling in Bali only reached 20.38% ww or 109,896.80 tonnes yr<sup>-1</sup> of its 65.56% ww or  
388 539,246.34 tonnes yr<sup>-1</sup> potential; the actual waste diversion rate in Bali is currently equal to  
389 11.79%. If we consider the 65.56% ww of waste recycling potential or as much as 539,246.34  
390 tonnes yr<sup>-1</sup>, then the potential waste diversion rate can reach 77.35% ww or equivalent to  
391 636,224.14 tonnes yr<sup>-1</sup>. Currently, there are still 26.94% ww of unmanaged waste or equivalent  
392 to 221,583.37 tonnes yr<sup>-1</sup> of and disposed of into the environment. It is necessary to increase  
393 recycling activities in Bali's waste management facility to reach the potential waste diversion  
394 rate of 77.35% ww of the total waste generation. Limited resources and local authorities'  
395 capacity, and poor implementation of targeted legislation usually challenge sustainable waste  
396 management practices in low and medium-income countries. Therefore, waste recycling is the  
397 right activity to reduce waste because many parties can do it. Waste recycling improvement

398 can economically benefit many parties; furthermore, reduction of waste dumped in landfills  
399 and the improvement of the dumping system in the landfill will benefit the environment.

#### 400 **Declarations**

##### 401 **Availability of data and materials**

402 All data generated or analyzed during this study are available upon request.

##### 403 **Competing interest**

404 The authors declare they have no competing interests.

##### 405 **Funding**

406 This work was not supported by any funding source.

##### 407 **Authors' contributions**

408 I Made Wahyu Widyarsana provided the data, processed the data, and wrote the draft. Suci  
409 Ameliya Tambunan fulfilled the analysis, processed the data, wrote the draft, and performed  
410 proofreading. Aurilia Ayuanda Mulyadi performed proofreading. All authors read and  
411 approved the final manuscript.

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464 **Table 1** The methods of measuring the waste characteristics  
 465

No.	Parameter	Method
1	Water Content	ASTM D2216-98
2	Volatile Content	ASTM D2216-98
3	Calorific Value	Bomb calorimeter
4	C-organic	SMEWW-5220-B
5	NTK-Norg	SMEWW-4500-B

466 **Table 2** Waste Generation in 9 (nine) cities/regencies in Bali  
 467  
 468

Cities/Regencies	Population in 2019 (people)	MSW Generation (kg cap <sup>-1</sup> d <sup>-1</sup> )	Total Waste Generation (kg d <sup>-1</sup> )	Total Waste Generation (tonnes yr <sup>-1</sup> )
Jembarana	274,900.00	0.46	126,900.00	46,318.50
Karangasem	412,800.00	0.38	154,800.00	56,502.00
Bangli	225,100.00	0.37	83,287.00	30,399.76
Klungkung	231,462.00	0.38	88,689.03	32,371.50
Buleleng	653,600.00	0.47	310,281.00	113,252.57
Gianyar	503,900.00	0.36	180,400.00	65,846.00
Tabanan	441,000.00	0.40	177,400.00	64,751.00
Denpasar	914,300.00	0.81	740,545.00	270,298.93
Badung	526,010.00	0.74	391,240.00	142,802.60
Total	4,183,072.00	0.54	2,253,542.03	822,542.84

469 **Table 3** Characteristics of waste in Bali  
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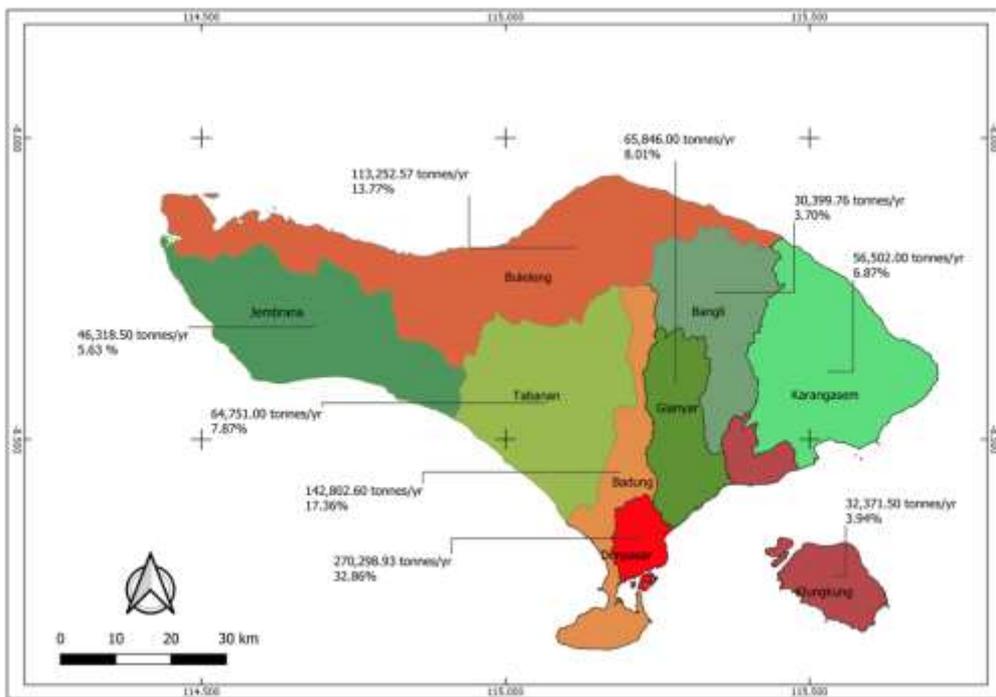
No.	Parameter	Unit	Analysis Result
1	Water Content	% ww	59.20
2	Volatile Content	% dw	67.86
3	Calorific Value (HHV)	MJ kg <sup>-1</sup>	21.32
	Calorific Value (LHV)	MJ kg <sup>-1</sup>	11.86
4	Organic C	% dw	50.37
5	NTK	% dw	1.06

472 **Table 4** Waste recovery rates  
 473  
 474

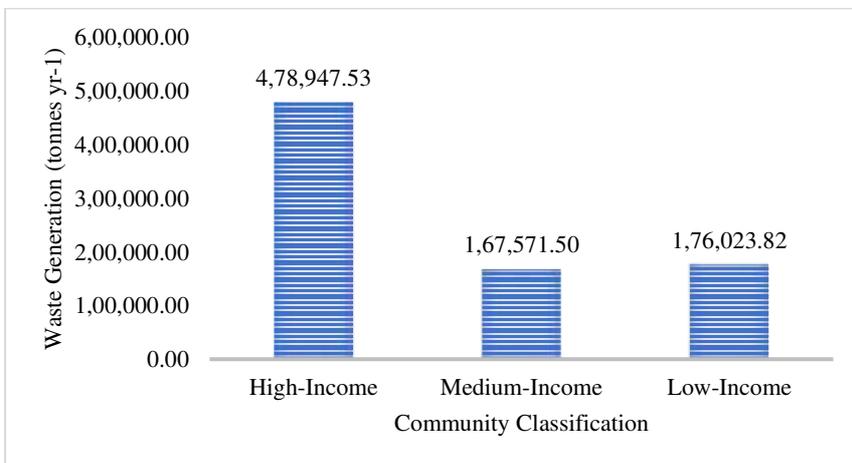
Waste Component	Recovery Factor
Organic Waste	80% [12]
Plastic Waste	53.1% [13]
Glass Waste	57.5% [13]
Rubber / Leather Waste	10.9% [13]
Paper Waste	40% [14]
Metal Waste / Scrap Metal	98% [15]



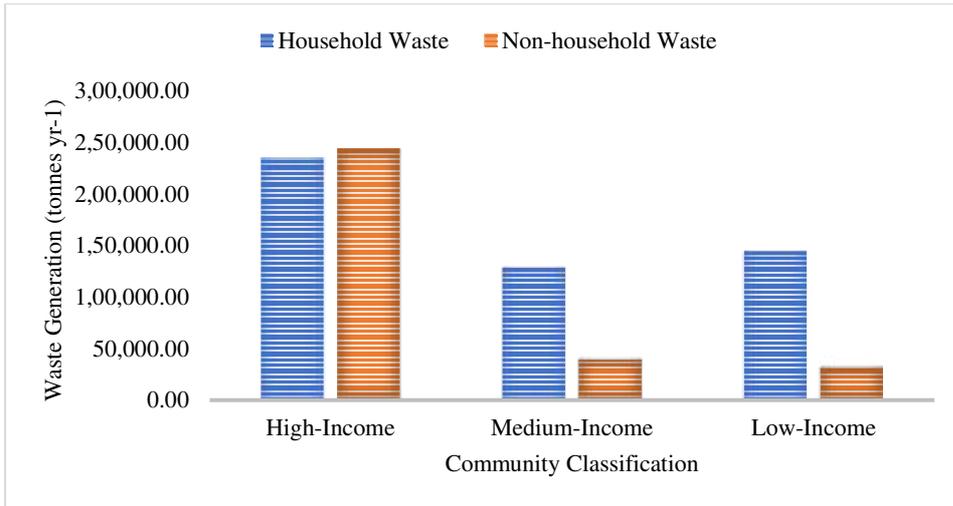
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476 **Figure 1** Bali administration map [2]  
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478  
479 **Figure 2.** Waste generation in every city/regency in Bali  
480

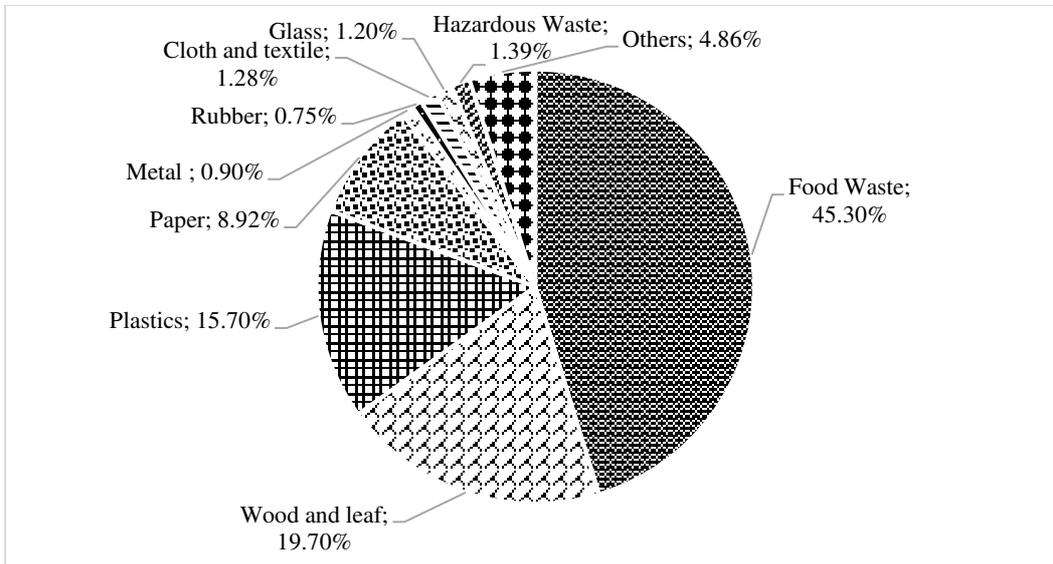


481  
482 **Figure 3.** Waste generation based on economic classification



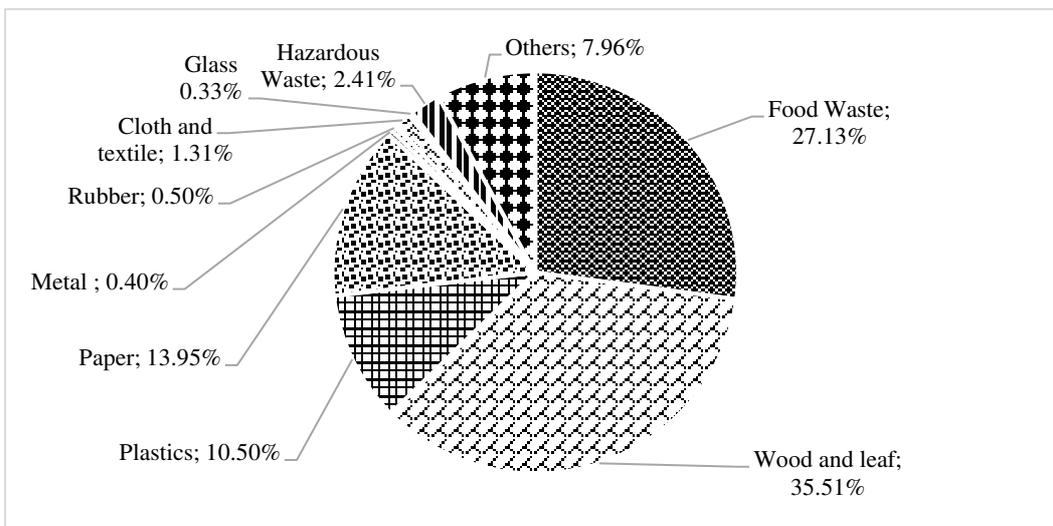
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**Figure 4.** Household and non-household waste generation based on community classification



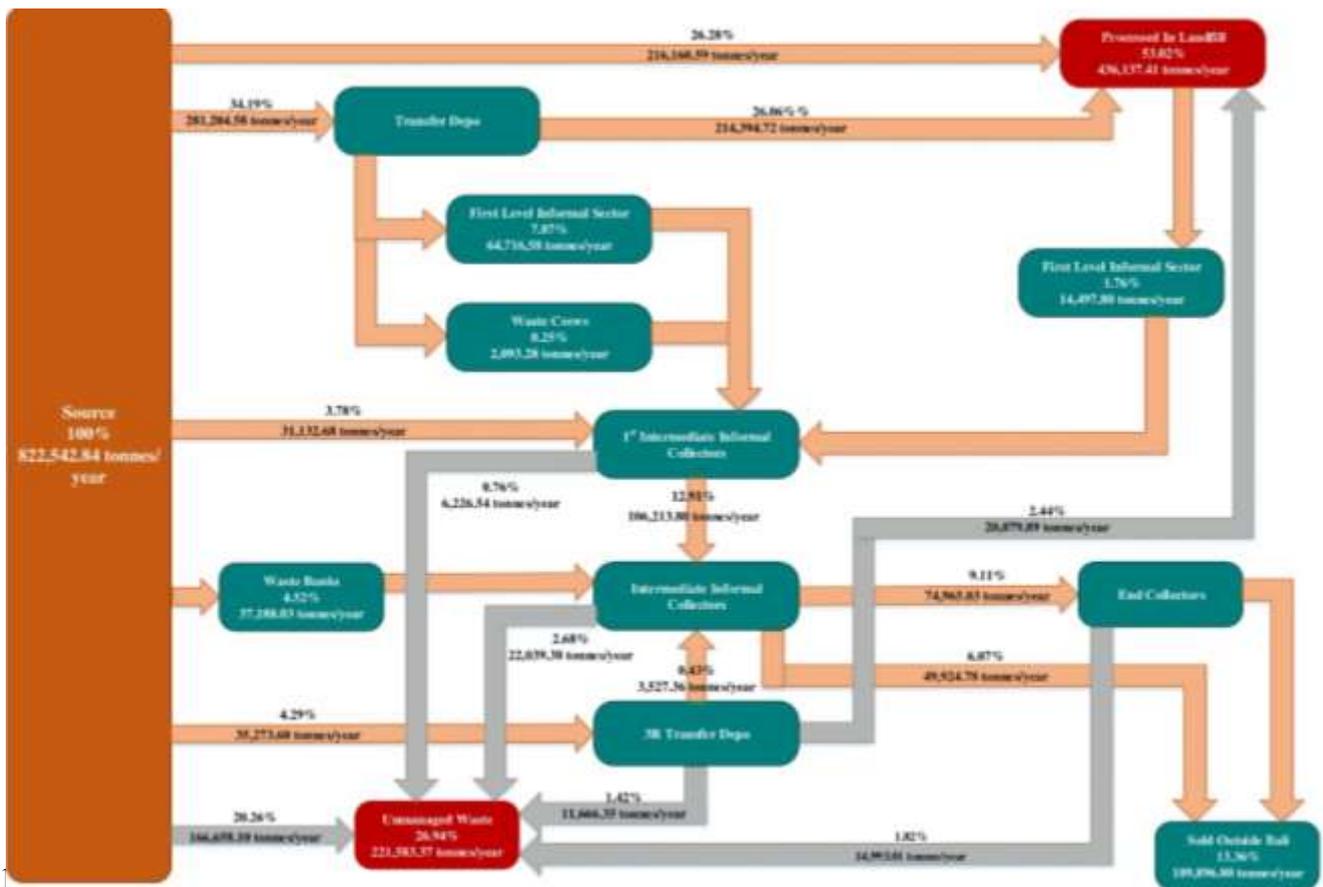
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**Figure 5** Waste composition at the source

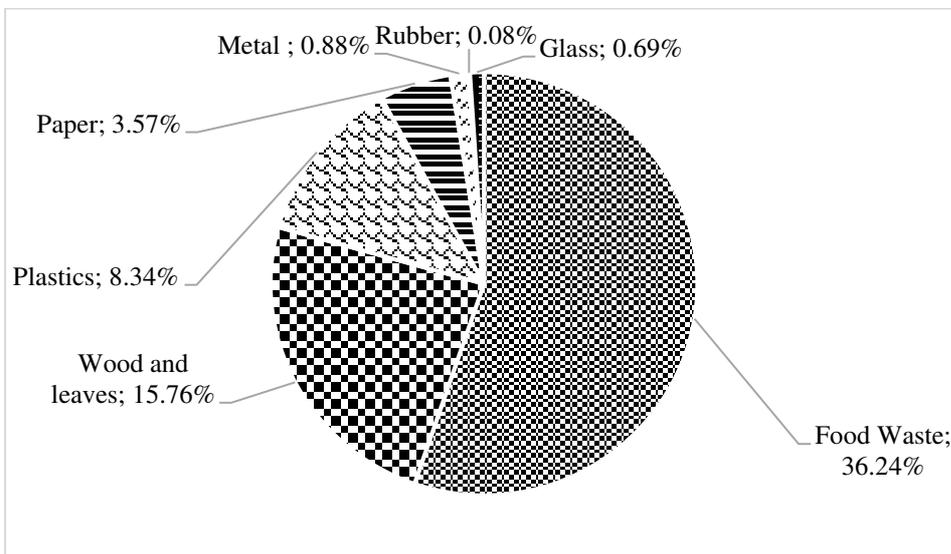


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**Figure 6.** Waste composition in landfill



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492 **Figure 7.** Material flow analysis in Bali  
493



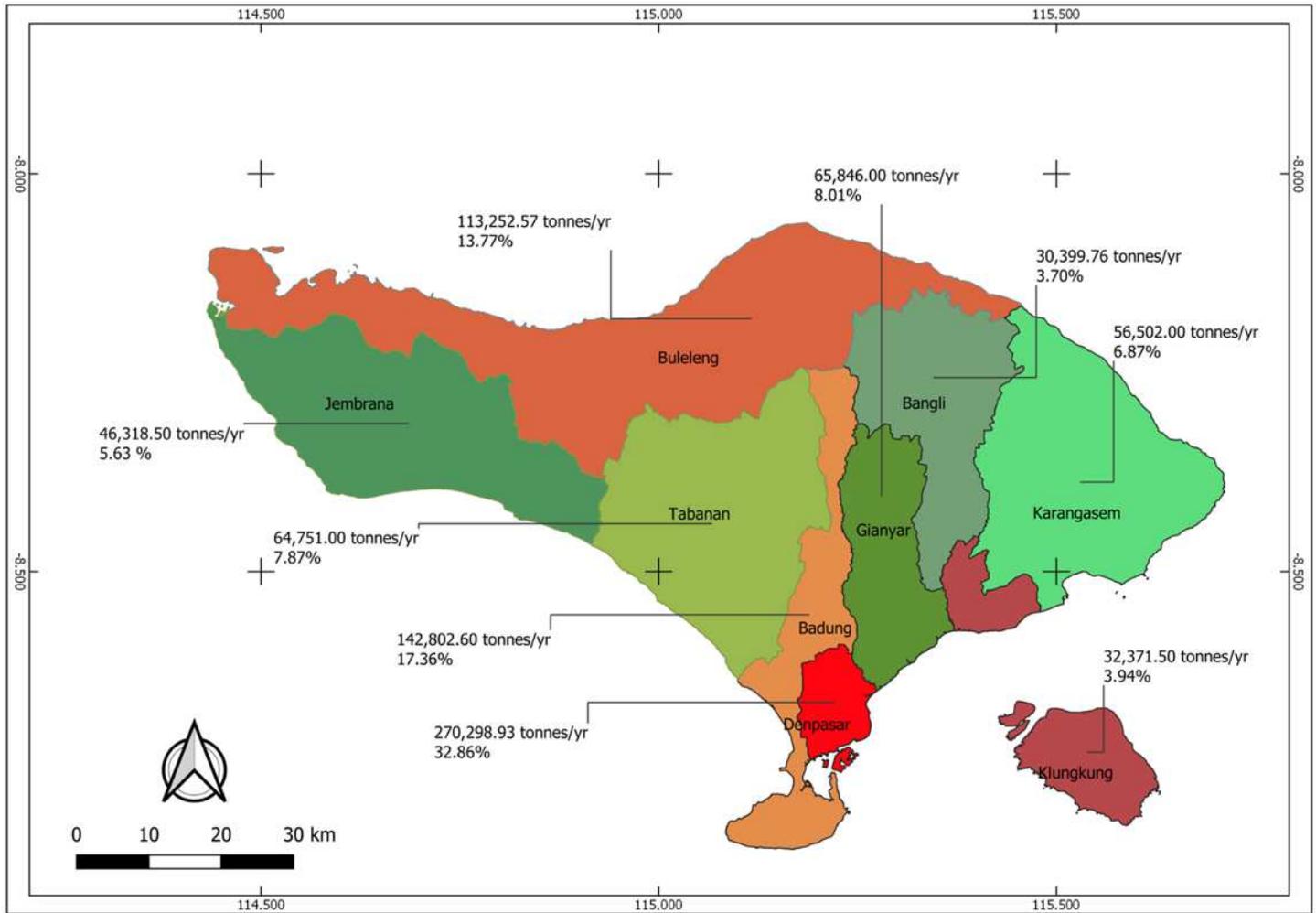
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495 **Figure 8.** Waste recycling potential in Bali Province

## Figures



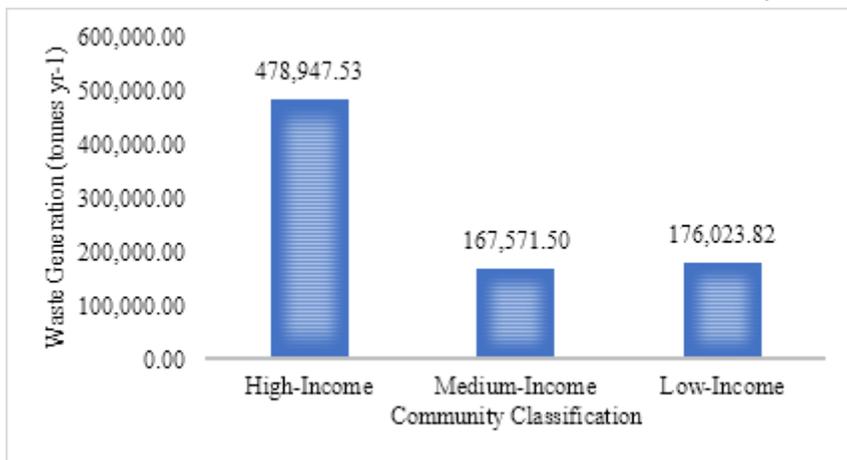
**Figure 1**

Bali administration map [2] Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



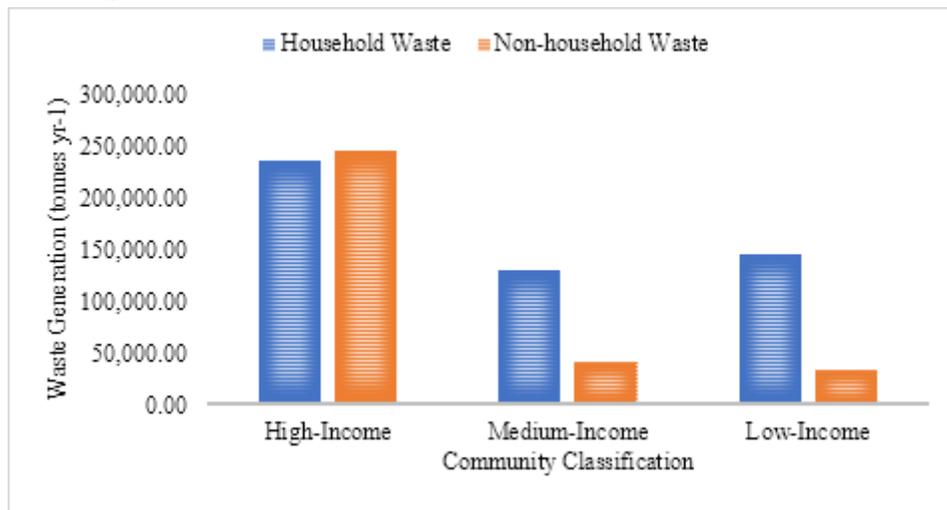
**Figure 2**

Waste generation in every city/regency in Bali Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



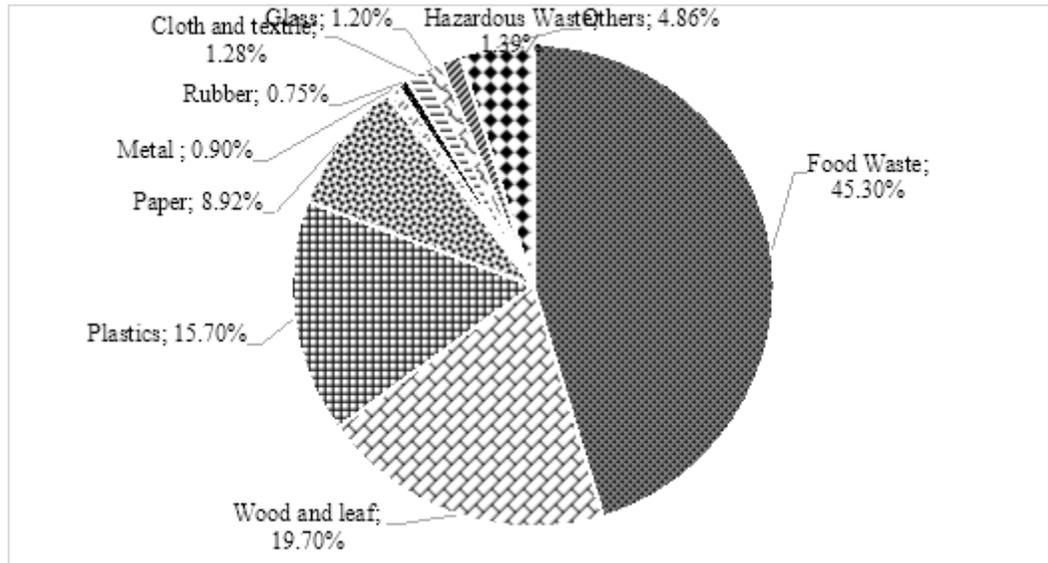
**Figure 3**

## Waste generation based on economic classification



**Figure 4**

## Household and non-household waste generation based on community classification



**Figure 5**

## Waste composition at the source

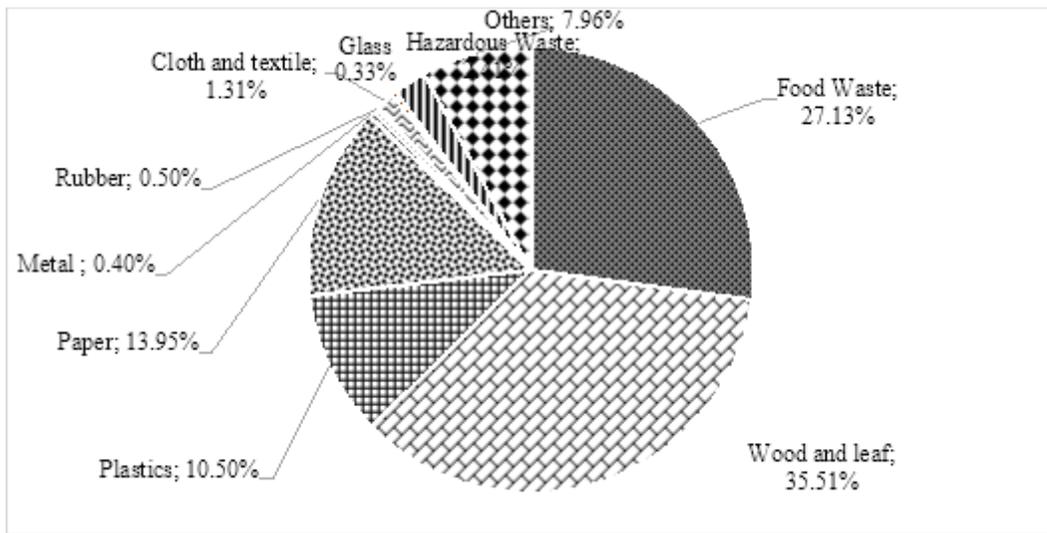
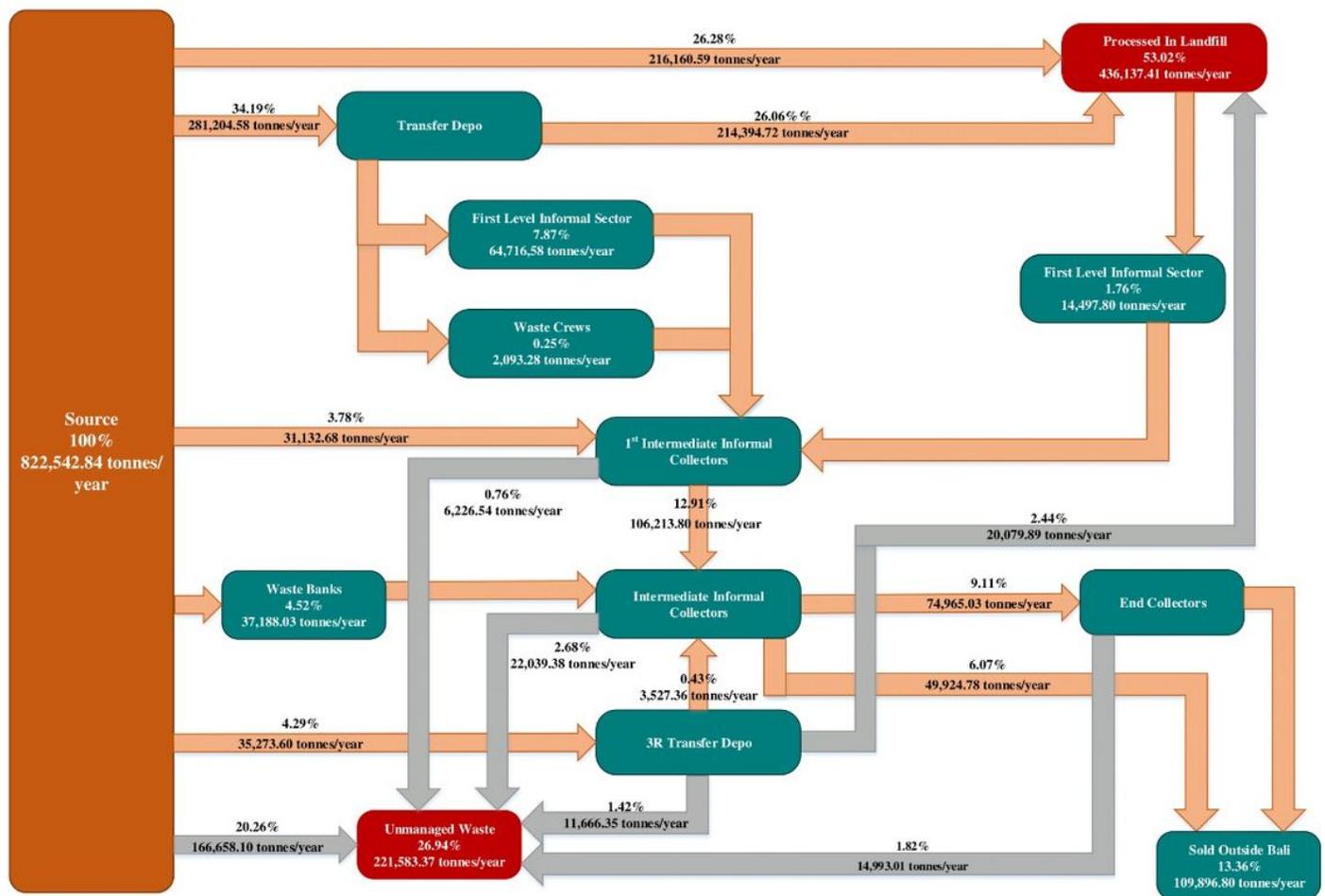


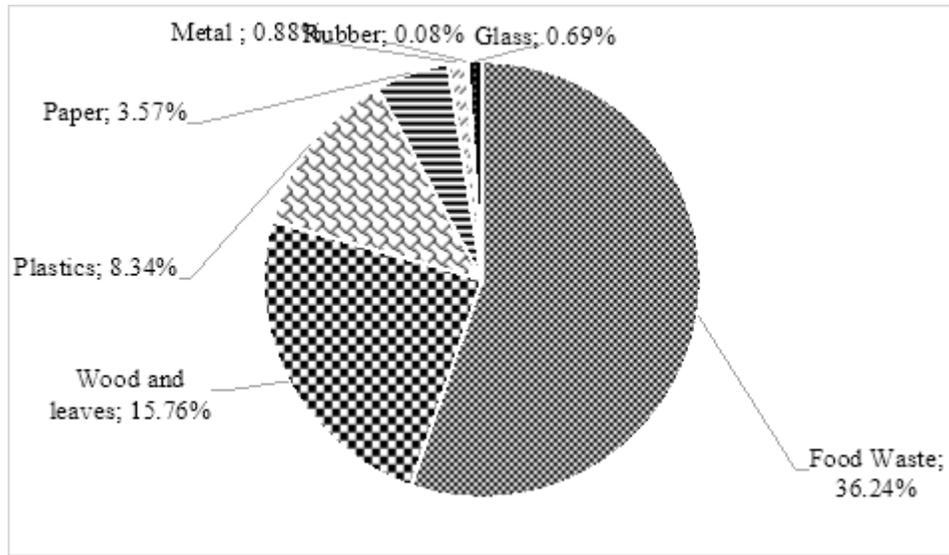
Figure 6

Waste composition in landfill



**Figure 7**

Material flow analysis in Bali



**Figure 8**

Waste recycling potential in Bali Province