

# Thermal stabilization of recycled PET through chain extension and blending with PBT

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## Research Article

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# Abstract

This study investigates the effect of using a multifunctional epoxide chain extender (Joncryl® ADR 4468) on the thermal stabilization and rheological properties of recycled polyethylene terephthalate (R-PET) and its blends with polybutylene terephthalate (PBT). The thermal stability of the melt blended samples was analyzed through small amplitude oscillatory shear (SAOS) rheological experiments. The structure of the samples was evaluated using a Fourier transform infrared (FTIR) spectrometer. While the dynamic rheological properties of R-PET were improved with the addition of Joncryl and by blending with PBT, during the SAOS rheological experiments, the complex viscosity of R-PET further increased due to the concurrent polycondensation of R-PET and the resumption of Joncryl reaction with R-PET molecules. These reactions during the rheological experiments were further expedited with increasing the testing temperature. On the other hand, in R-PET/PBT blends, the reactivity of Joncryl was more noticeable in blends with higher R-PET contents due to the higher available internal reactive sites of much shorter R-PET molecules. It was observed that the addition of only 0.2 wt.% Joncryl to the blends of R-PET/PBT (75w/25w) dramatically improves the thermal stability and dynamic rheological properties of R-PET and most likely its processability.

## 1. Introduction

Polyethylene terephthalate (PET) is a semi-aromatic thermoplastic polyester, which is widely used in many commodity and also engineering applications [1–3]. Its extensive usage is mainly due to its superior mechanical properties, high chemical resistance, good barrier properties, high thermal stability, low production cost, and recyclability [4–9]. While the global production of PET was over 56 million tons in 2016, it increased to ~ 70 million tons in 2020, confirming a dramatic increase in PET usage in various applications [10]. Therefore, the increase in its accumulation in the nature turns to be a serious global concern [11, 12]. According to European Union (EU) new regulations, plastic bottles should contain at least 25% recycled materials by the end of 2025 and this percentage will increase up to at least 30% by 2030 [13, 14]. Therefore, it is crucial to reduce the growing waste of PET in the nature and ensure its reuse in the industry due to both commercial and ecological concerns.

Among several recycling routes, melt reprocessing is a practical and widely applied method to recycle PET due to its low cost and little adverse environmental impact [12, 15]. However, melt reprocessing of PET could cause chemical, mechanical, thermal, and oxidative degradations. These result in reduced molecular weight and viscosity of PET, which eventually suppress its processability. This is while the physical and mechanical properties of PET would also be depressed [16, 17]. These undesired degradations could restrict the direct reuse of recycled PET (R-PET). The use of chain extender (CE) has been offered as a practical and facile breakthrough to compensate the molecular degradation of PET during reprocessing and hence the suppression of the final properties of recycled polymers [18–23].

Depending on the chemical nature and number of available functional groups of CEs, linear chain extension and/or branching of PET molecules could be observed. So far, several CEs such as bis-

oxazolines [24], pyromellitic dianhydride (PMDA) [22], organic phosphites [25–27], isocyanides [28, 29] and epoxides [4, 5, 29–35] have been proposed to extend the molecules of polyesters. Among these CEs, multifunctional epoxides better known under the trade name of Joncryl® ADR are the most commonly used CEs to enhance the melt properties of PET [36].

In one of the early studies [37], various recycled polymers were chain extended with Joncryl 4368 at different contents. It was observed that this oligomeric CE has a wide gel-free processing window that could enhance the melt properties of highly degraded polymers. According to Raffa *et al.* [38], 1.5 wt.% of Joncryl 4368 could sufficiently enhance the melt viscosity of R-PET. In another study, it was found that the molecular weight of R-PET increased more efficiently when a mixture of Joncryl 4368 and Joncryl 4370 was used in a reactive blending with post-consumer R-PET [39]. Such a mixture of Joncryl grades was also reported by Duarte *et al.* [40]. Xiao *et al.* [41] also illustrated that the reactive extrusion of PET with Joncryl 4370 induced a higher elasticity than that with PMDA. In a recent study conducted by Härth *et al.* [42], molecular structure and rheological properties of PET were analyzed after chemical modification with PMDA and Joncryl 4368 at different concentrations. While PMDA-modified PET resulted in a linear and a tree-like macromolecular chains, the Joncryl-modified PET induced a strongly branched molecular structure.

Melt blending with other compatible high temperature resistant polymers is another route to compensate the inferior properties of R-PET. Due to its good processability, fast crystallization, and similar molecular structure, polybutylene terephthalate (PBT) is recognized as one of the most promising thermoplastics to be melt blended with R-PET. This is to resolve the suppressed mechanical, thermal, and rheological properties of R-PET. In this context, the enhanced melt properties and increased crystallization rate of R-PET/PBT blends could accordingly improve the poor processability of R-PET [43–49]. While PET and PBT are known to be miscible in their amorphous phases with a single glass transition temperature ( $T_g$ ) [42, 43, 47, 48], depending on the processing and hence the cooling profile they may be either miscible or immiscible in their crystalline phases due to the different crystallization rates of PET and PBT [43].

Although the properties of virgin PET and PBT blends have extensively been discussed [44–52], there is a limited research on the R-PET and PBT blends [43, 49]. Baxi *et al.* [49] incorporated R-PET into virgin PET/PBT blends at different concentrations and achieved enhancements in mechanical properties and degree of crystallinity of PET/PBT (40w/60w) blends containing 60 wt.% of R-PET. In a recent study, Nofar *et al.* [43] explored the phase miscibility in R-PET/PBT blends at different ratios while investigating mechanical and thermomechanical properties of these blends. Nonetheless, thermal stability and especially rheological properties of the R-PET/PBT blends have not been properly discussed in the literature. Moreover, the combined effect of melt blending R-PET with CE and PBT on the thermal stability and rheological properties of R-PET has not been clearly disclosed. In this study, the thermal stabilization and rheological properties of R-PET with the addition of Joncryl and melt blending with PBT are investigated. The synergistic effect of melt mixing R-PET with Joncryl and PBT on the thermal stabilization and melt properties of R-PET is addressed through small amplitude oscillatory shear (SAOS)

rheological analysis. Fourier-transform infrared (FTIR) spectroscopy is also used to better understand the structure of the melt processed samples.

## 2. Experimental

### 2.1. Materials

R-PET, with intrinsic viscosity of  $0.65\text{--}0.75\text{ dL}\cdot\text{g}^{-1}$ , was supplied from Çevre PET Inc. (Adana, Turkey). PBT was supplied from Sasa Polyester Inc. Co. (Adana, Turkey) which possessed a melt volume ratio of  $17 \pm 4\text{ cm}^3/10\text{ min}$ . The melt flow index (MFI) of the processed R-PET and PBT samples were reported as 64 and 24 g/10 min at 260 °C, respectively [43]. This indicates that the processed R-PET possesses a much lower molecular weight than the processed PBT. Multifunctional epoxide styrene acrylic oligomer Joncryl® ADR 4468 CE was also supplied from BASF (Germany). The chemical structure and physical properties of this CE are given in Fig. 1 and Table 1, respectively. In this study, Joncryl® ADR 4468 CE is referred to as CE.

Table 1  
Physical properties of Joncryl® ADR 4468 [36].

Properties	Values
Glass Transition Temperature	59 °C
Molecular Weight	7250 g/mol
Epoxy Equivalent Weight	310 g/mol

### 2.2. Processing and Sample Preparation

R-PET flakes and PBT pellets were dried in a vacuum oven at 60 °C overnight to remove the moisture and minimize further degradation during melt processing. A laboratory scale twin screw extruder (TSE) [Prism TSE-24-HC] with 24 mm screw diameter and 28 L/D ratio was used to prepare chain extended R-PET as well as R-PET/PBT blends with and without CE. The extruder temperature profile was set at 235, 245, 255, 260, 260, 255, and 245 °C from the feeder to the die with a constant screw rotation speed of 200 rpm. The extrudates were cooled in a water bath and then the pelletized samples were dried in the vacuum oven. The disk shape rheological samples (25 mm diameter and 1.5 mm thickness) were then prepared through compression molding at 255°C for 5 minutes by gradually increasing the pressure up to 1.5 tons. The samples were then fast cooled through water circulation before being taken out.

### 2.3. Rheological Analysis

The SAOS rheological experiments were conducted using an MCR-301 rotational rheometer (Anton Paar, Austria) equipped with a parallel-plate geometry (plate diameter 25 mm) with a gap of 1 mm under nitrogen atmosphere. Strain sweep experiments were conducted at 270 and 300 °C with a constant frequency of 1.0 rad/s to determine the linear viscoelastic region (LVR). The frequency sweep

experiments were conducted at 270, 280 and 300 °C from high to low frequencies with a strain amplitude of 0.01, which was within the LVR. The time sweep experiments were also carried out at a strain amplitude of 0.01 and a frequency of 1.0 rad/s.

## 2.4. Fourier Transform Infrared (FTIR) Spectroscopy

FTIR spectra of the chain extended R-PET samples and R-PET/PBT blends with and without CE were recorded at room temperature in the mid-IR range (400–4000  $\text{cm}^{-1}$ ) using a Bruker FTIR spectrometer equipped with a Bruker Platinum ATR accessory. Sample measurements were conducted on dried powdered samples. Each spectrum was taken over 12 scans with a resolution of 2  $\text{cm}^{-1}$ . The results were analyzed using OPUS software (Bruker Optics).

## 3. Results And Discussion

### 3.1. Thermal Stability of R-PET and PBT Samples

Figure 2 shows the thermal stability behavior of R-PET and PBT samples through time sweep rheological experiments at various temperatures for 20 min. The temperatures were selected according to the melting temperature of each polymer reported in our previous study [43]. As shown in Fig. 2a, the complex viscosity of R-PET increased around 5 and 47 % after 20 min time sweep experiments at 270 and 285 °C, respectively. Such increase in complex viscosity could be due to the polycondensation reactions between the internal and terminal functional groups of short R-PET molecules [39]. These reactions, which obviously expedite with the temperature increase, could compensate the thermal degradation of R-PET during melt reprocessing. On the other hand, as shown in Fig. 2b, the continuous reduction of PBT's complex viscosity with time could clearly indicate a severe thermal degradation during the time sweep experiments, which is more pronounced at higher temperatures. As seen, the complex viscosity of PBT decreased around 40 % after 20 min at 285 °C. Such different thermal stability behaviors in R-PET and PBT samples could indicate that while polycondensation and thermal degradation could concurrently exist in both polyterephthalates, the polycondensation reaction is more pronounced among the short molecules of R-PET than their thermal degradation. On the other hand, long PBT molecules, with fewer numbers of functional end groups, are less exposed to polycondensation reactions and the dominant thermal degradation caused the complex viscosity reduction.

### 3.2. Rheological and Structural Analysis of Chain Extended R-PET

In this section, the thermal stability and rheological properties of R-PET with and without 0.4 and 0.8 wt.% CE are investigated at 270 and 285 °C. Figure 3a shows the possible chemical reactions between polyterephthalates and a generic epoxide while Fig. 3b illustrates the schematic of branching mechanism in the presence of Joncryl 4468 CE.

Figure 4 shows the effect of CE on the time sweep rheological behavior of R-PET at 270 and 285 °C. As seen, the complex viscosity of R-PET samples significantly increased with the addition of 0.4 and 0.8 wt.% CE due to the induced branched structure. It should be noted that the increase in complex viscosity was already significant when 0.4 wt.% CE was incorporated. Moreover, at both testing temperatures, the complex viscosity of the samples kept increasing. As discussed earlier, polycondensation reactions among the short molecules of R-PET could cause the increase in viscosity, specifically at 285 °C. Such increase with time was, however, more remarkable in R-PET with CE, specifically in R-PET with 0.8 wt.% CE. This is because the unreacted CE molecules could also keep reacting with R-PET molecules during the rheological experiments resulting in a more pronounced complex viscosity increase. Therefore, the combined effects of polycondensation reactions among the R-PET molecules and the further reaction of CE with R-PET molecules could synergistically cause a dramatic viscosity increase after 20 min. This behavior was more noticeable at 285 °C as the polycondensation and CE reactivity stimulate at higher temperatures. The frequency sweep experiments (not shown here) of the noted R-PET samples at 270 and 285 °C also illustrated similar viscosity increase towards the low frequencies, which was more noticeable in R-PET samples with CE and at higher testing temperature.

Frequency sweep experiments were also conducted for R-PET and R-PET with 0.8 wt.% CE subsequent to the time sweep experiments. This was to confirm the polycondensation reaction among the R-PET molecules and further CE reaction occurred during the rheological experiments, which resulted in a dramatic increase in complex viscosity. Figure 5 compares the frequency sweep experiments of the noted samples with those conducted after the time sweep experiments at 270 °C. According to Fig. 5, subsequent to the time sweep, the complex viscosity of the R-PET increased within the whole frequency range. This means that the polycondensation during the time sweep already increased the molecular weight of R-PET. Such polycondensation continues even during the subsequent frequency sweep where the R-PET viscosity keeps increasing towards the low frequencies. Moreover, the frequency sweep results of R-PET sample with 0.8 wt.% CE (subsequent to the time sweep experiment) showed a severe complex viscosity increase as well as shear-thinning behavior. This was due to the generated highly branched structure that was further induced during the time sweep. It should be reminded that the polycondensation among the R-PET molecules during the time sweep also contributes to such complex viscosity increase and shear thinning behavior during the shown frequency sweep.

The structural changes upon the addition of 0.4 and 0.8 wt.% CE to R-PET before and after the time sweep rheological experiments were studied through FTIR spectroscopy and the results are shown in Fig. 6. The main chain extension reactions proceed between epoxide functional groups of CE and -OH functional groups of R-PET. Therefore, the intensities of the functional primary alcohol -OH bending and -C-O- stretching signals were compared against the normalized C = O stretching band at  $1712\text{ cm}^{-1}$ . In R-PET samples with 0.4 wt.% CE (Fig. 6a) and 0.8 wt.% CE (Fig. 6b), the signal intensities of both -OH bending band at  $1344\text{ cm}^{-1}$  and -C-O- stretching band at  $978\text{ cm}^{-1}$  decreased as a result of chain extension reaction occurred during the processing. The FTIR results of the same samples subsequent to the rheological time sweep experiments conducted at 270 and 285 °C are also given in Fig. 6. As shown,

in both R-PET samples with 0.4 and 0.8 wt.% CE, the carboxylic acid -OH bending signal at  $1340\text{ cm}^{-1}$  either completely disappeared or significantly reduced after time sweep conducted at both testing temperatures. These results clearly confirm that the CE reaction with R-PET continued during the time sweep experiments. Note that the polycondensation reactions among the R-PET molecules also occur during the time sweep.

### 3.3. Synergistic Effects of CE and PBT on the Thermal Stabilization of R-PET

Figure 7 shows the frequency sweep experiments of R-PET/PBT blends at various ratios without and with a lower content of CE (*i.e.*, 0.2 wt.% CE at  $270\text{ }^{\circ}\text{C}$ ). In samples without CE, the complex viscosity of the blends increased within the whole frequency range as the PBT content increased. Moreover, in the blend with 75 wt.% R-PET (*i.e.*, R-PET/PBT 75w/25w), the possible polycondensation in R-PET and the thermal degradation in PBT could have compensated each other's effect as the complex viscosity towards the low frequency appeared to be almost stable. When the PBT content in the blend increased, the decrease of complex viscosity at low frequencies became more noticeable. In other words, the PBT thermal degradation effect on the rheological behavior became more dominant than that of polycondensation among the R-PET molecules. This is obviously due to the more sensitivity of PBT to the thermal degradation (see Fig. 2) and its higher concentration in the blends which highlights thermal degradation over polycondensation. Although the addition of only 0.2 wt.% CE improved the complex viscosity of all blends, it enhanced the complex viscosity and the thermal stability of the blends with higher R-PET content more effectively. This is most likely due to the higher available internal and terminal reactive sites of the much shorter R-PET molecules than those available in long PBT molecules. As Fig. 7 shows, in R-PET/PBT 75w/25w blend with 0.2 wt.% CE, not only the complex viscosity of the blend increased dramatically (among all blends), but the complex viscosity towards the low frequency also behaved more stable. This indicates the existence of a compensation among the chain branching in the presence of CE, PBT thermal degradation, and the polycondensation among the R-PET molecules which could cause such stabilization of the rheological behavior at low frequencies. In blends with higher PBT content, however, such stability equilibrium disappeared and the complex viscosity of the blends towards the low frequency kept decreasing even in the presence of CE. As Fig. 7 shows, the viscosity at low frequencies decreased more significantly in blends containing CE with higher PBT content (*i.e.*, R-PET/PBT 25w/75w with 0.2 wt.% CE).

The higher number of functional sites to react with CE in R-PET could also be examined by FTIR analysis (Fig. 8). While -OH bending signal at  $1336\text{ cm}^{-1}$  is almost absent in R-PET/PBT (25w/75w) blend, it appeared more clearly in R-PET/PBT (75w/25w) sample. This could be attributed to the larger available internal reactive sites in blends with higher R-PET content. The addition of 0.2 wt.% CE to R-PET/PBT (50w/50w) blend also showed a structural change at  $978\text{ cm}^{-1}$  from a broad to a sharper small peak.

## 4. Conclusion

In this study, the thermal stability of R-PET and PBT samples was first investigated through SAOS time sweep rheological experiments. The polycondensation reaction during rheological experiments appeared to be more pronounced in R-PET due to the much shorter molecules of R-PET (i.e., existence of larger number of available internal and terminal functional groups). On the other hand, thermal degradation was more dominant in PBT with longer chains. Such polycondensation and thermal degradation in, respectively, R-PET and PBT became more noticeable at higher testing temperatures.

The SAOS time sweep rheological experiments also revealed that upon the addition of CE, the complex viscosity of R-PET considerably increased due to the induced branched structure. Such increase however continued more dramatically over time due to the further reaction of remained unreacted CE molecules with R-PET while the polycondensation among the R-PET molecules should have also contributed.

The synergistic effects of melt blending of R-PET with PBT at different compositions with or without 0.2 wt.% CE on the thermal stability and rheological properties of the samples were also examined. The addition of 0.2 wt% CE resulted in enhanced rheological properties of the blends. However, in R-PET/PBT blends with higher R-PET content such complex viscosity improvement was more noticeable due to the higher reactivity of CE with R-PET molecules that possessed larger number of available internal and terminal functional groups. Overall, it was observed that the complex viscosity improvement and thermal stability appeared remarkably in R-PET/PBT (75/25) blend with 0.2wt% CE. This study proposes that through formulation optimization, a simple one-step melt blending of R-PET with PBT and Joncryl CE could balance the thermal stability and enhance the rheological properties of a polymeric system containing R-PET. Therefore, the processability and the final properties of a product containing post-consumer R-PET could be improved while moving towards a more sustainable environment and encountering a world with minimized land fill with plastic wastes.

## Declarations

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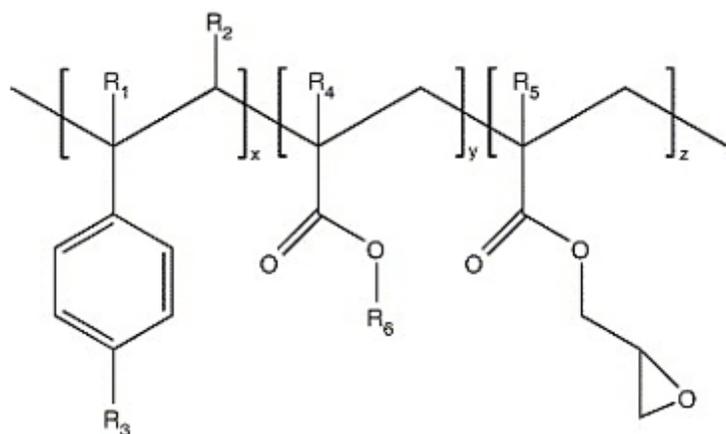
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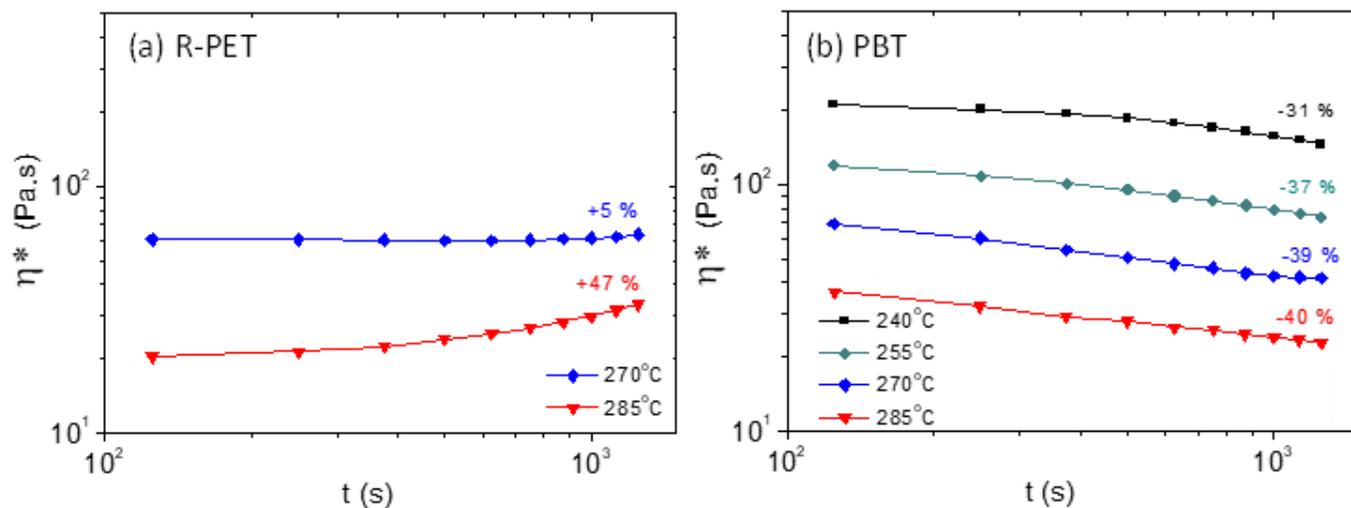
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## Figures



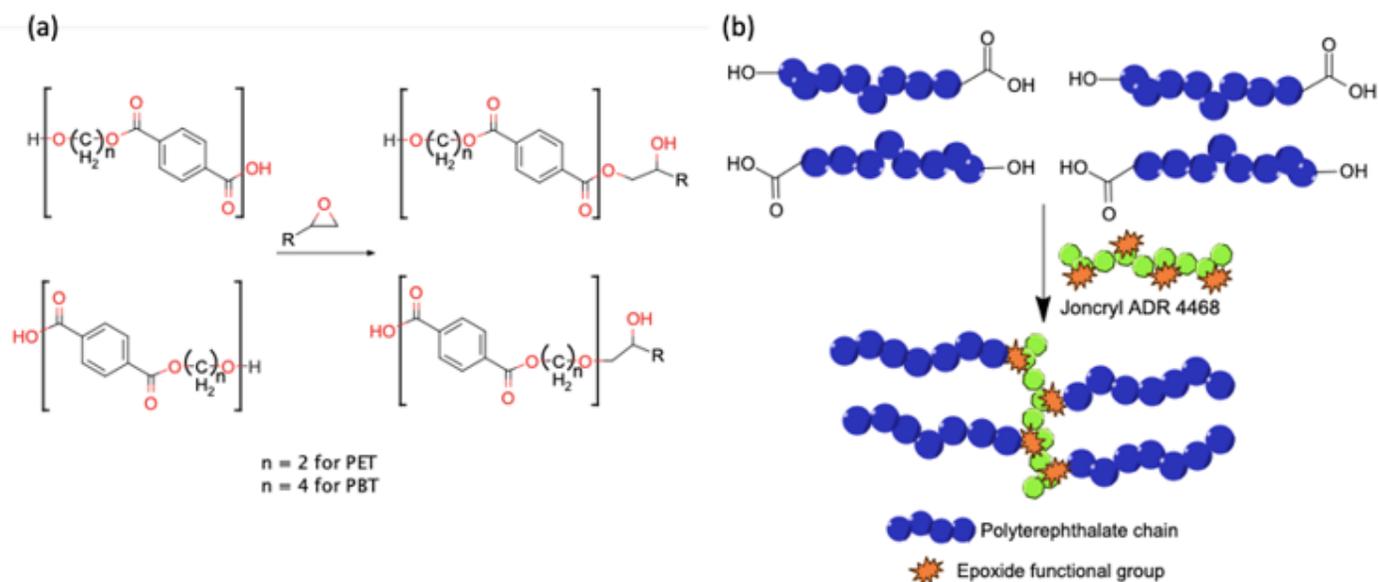
**Figure 1**

Chemical structure of Joncryl® ADR [37].



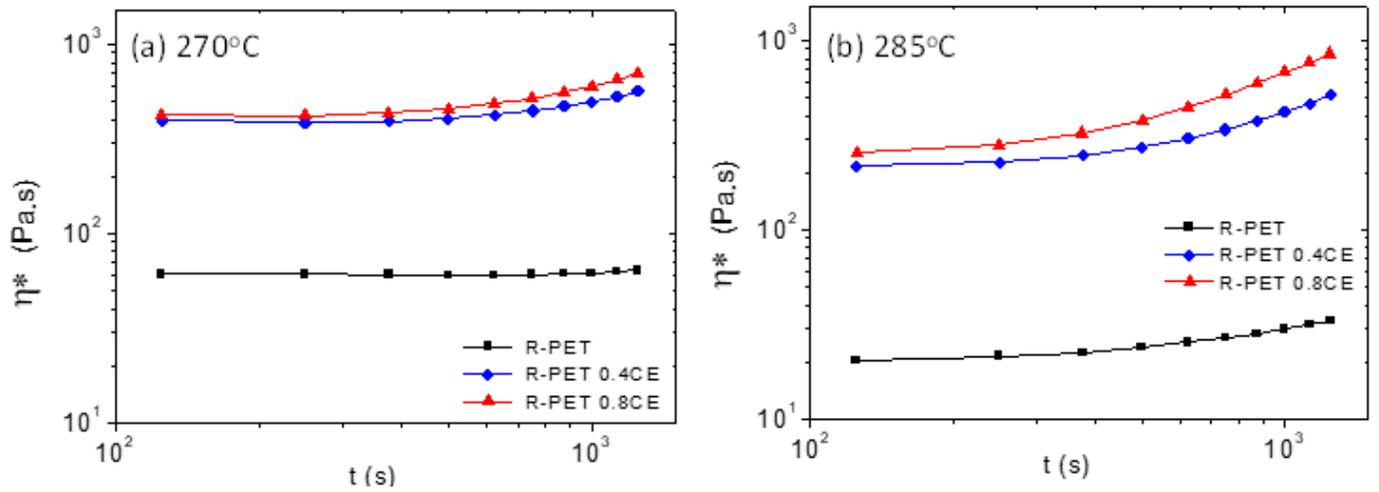
**Figure 2**

Time sweep experiments of (a) R-PET and (b) PBT at various temperatures.



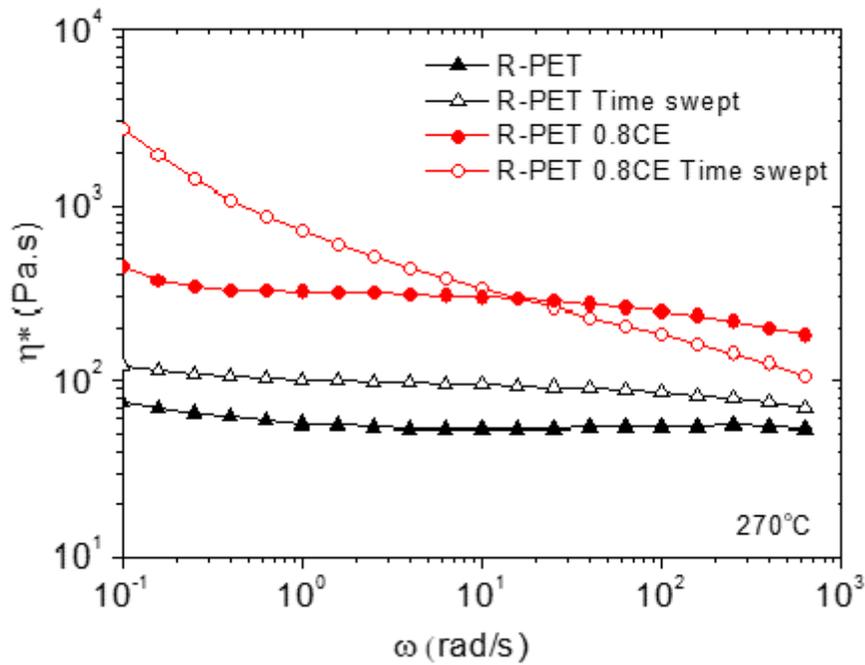
**Figure 3**

(a) Chemical representation of chain extension reaction of polyterephthalates with a generic epoxide and (b) schematic mechanism of branching in the presence of Joncryl 4468 CE.



**Figure 4**

Complex viscosity versus time of R-PET and chain extended R-PET at (a) 270 °C and (b) 285 °C.



**Figure 5**

Comparison of the frequency sweep results of R-PET and R-PET with 0.8 wt.% CE samples with those obtained subsequent to the time sweep experiments. The tests were conducted at 270 °C during both time and frequency sweep experiments.

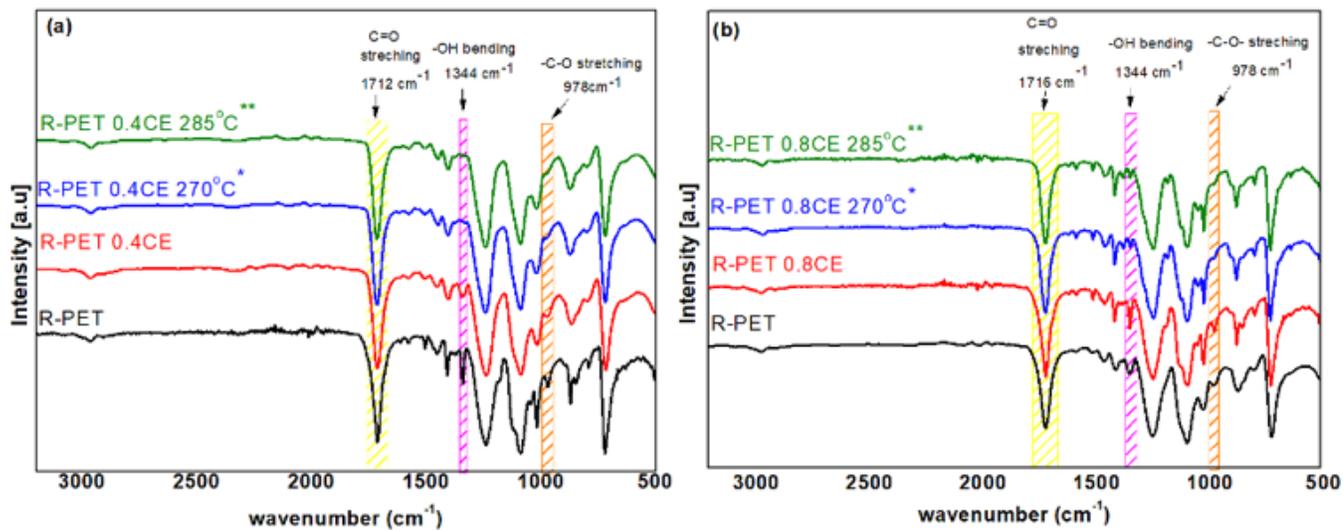


Figure 6

FTIR spectra of R-PET with and without CE: (a) with 0.4 wt.% CE and (b) with 0.8 wt.% CE. (\*) the FTIR spectra after time sweep experiment at 270 °C and (\*\*) at 285 °C .

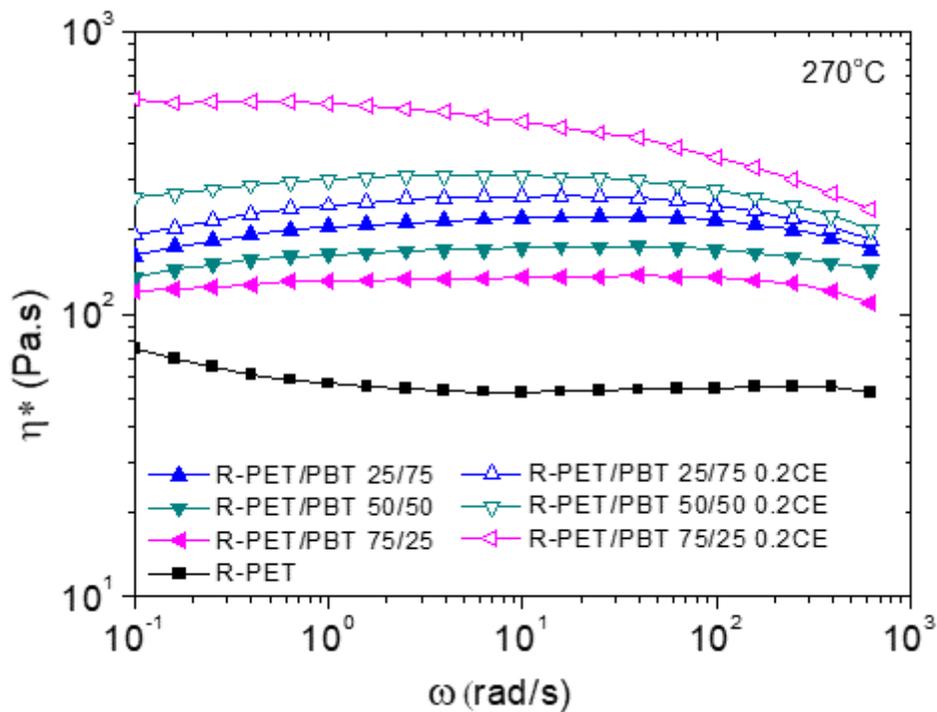


Figure 7

Complex viscosity versus angular frequency of R-PET/PBT blends without CE (filled shapes) and with 0.2 wt.% CE (empty shapes).

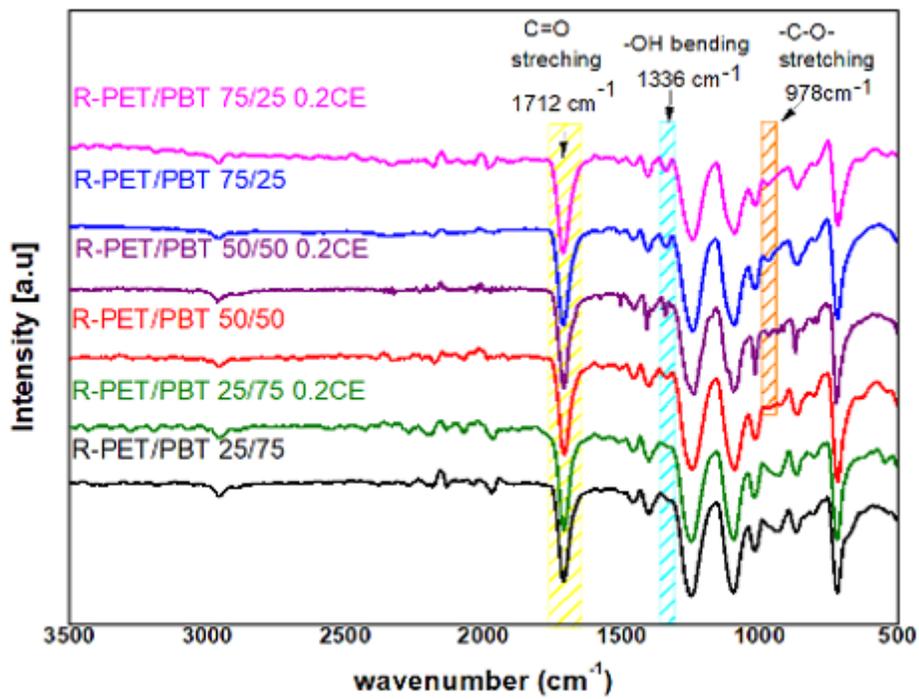


Figure 8

FTIR spectra of R-PET/PBT blends with and without CE