

# Novel Markers to Map and Quantify Degradation on Cellulose Nitrate-Based Heritage: at the Submicrometer Level Using Synchrotron UV-Visible Multispectral Luminescence

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## Research Article

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# Abstract

Cellulose nitrate (CN) is an intrinsically unstable material that puts at risk the preservation of a great variety of objects in heritage collections, also posing threats to human health. For this reason, a detailed investigation of its degradation mechanisms is necessary to develop sustainable conservation strategies. To investigate novel probes of degradation, we implemented deep UV photoluminescence micro spectral-imaging, for the first time, to characterize a corpus of historical systems composed of cellulose nitrate. The analysis of cinematographic films and everyday objects dated from the 19th c. / early 20th c. (Perlov's collection), as well as of photo-aged CN and celluloid references allowed the identification of novel markers that correlate with different phases of CN degradation in artworks, providing insight into the role played by plasticizers, fillers, and other additives instability. By comparison with photoaged references of CN and celluloid (70% CN and 30% camphor), it was possible to correlate camphor concentration with a higher rate of degradation of the cinematographic films. Furthermore, the present study investigates, at the sub-microscale, materials heterogeneity that correlates to the artworks' history, associating the different emission profiles of zinc oxide to specific color formulations used in the late 19th and early 20th centuries.

## Introduction

**A pioneer plastic in peril.** Cellulose nitrate is considered the first semisynthetic polymer and was trademarked as Parkesine and, later in the USA, as Celluloid by John Wesley Hyatt<sup>1,2</sup>. Its flexibility and dimensional stability led to its extensive use as a new photographic and cinematographic medium for films, being present in image heritage collections in archives and museums<sup>3,4</sup>. It was also widely used between the 1890s and 1920s for design pieces and everyday objects, all testimonies of our material culture, Figs. 1 and 2. Celluloid also attracted artists like Naum Gabo and Antoine Pevsner to creating sculptures that are now preserved in museums<sup>5</sup>. This heritage may be at risk, as we do not yet know what factors trigger an irreversible degradation leading to the total loss of these precious objects. It is for this reason that cellulose nitrate is considered an intrinsically unstable material in heritage collections, posing also risks to human health. To slow down degradation, low-temperature storage is accepted by the conservation community<sup>6</sup>, but these solutions prohibit public access, are price-sensitive, have high energy costs and there are concerns about its effects on the physical stability and material lifetime<sup>7,8</sup>. Important insights on its degradation were achieved over the last decade<sup>7-32</sup>, but a full elucidation of its mechanisms is still required to develop sustainable conservation strategies as in the NEMOSINE project, by developing a smart modular package with the main goal of energy-saving and extent conservation time<sup>33-35</sup>. Figure 2.

**Celluloid in private and public collections of plastics.** During its heyday (roughly from 1880 to the 1920s) celluloid was used for an astonishing array of toiletries, novelties, and “fancy goods”. It was prized particularly for the ease with which it could be made to imitate semi-precious materials like ivory, tortoiseshell, coral, and mother-of-pearl<sup>36</sup>. Almost every article of commerce that could be made of these

materials was fabricated from celluloid, and generally (but not always) sold more cheaply than they. For the most part, celluloid was not in any way functionally inferior to the materials it replaced, and it was frequently aesthetically equivalent<sup>36</sup>. In some forms, its cost was so low that it could be used in large volume for ephemeral articles—often advertising novelties (such as pocket calendars, rulers, book-marks, trade cards, and the like)<sup>1</sup>. Because these pieces were both more durable and more handsome than the card or paper items they replaced, they were often kept and stashed away rather than disposed of. Larger objects, such as combs, brushes, and other toiletry items, were often passed down as heirlooms, much as their ivory or tortoiseshell models would have been.

In the 20th century, celluloid was almost everywhere eclipsed by newer and generally less expensive plastics. Even in photography and cinema, the material was replaced by the less flammable acetate films. In consumer goods, from toys to toiletries to tools, celluloid gave way to the products of chemical industry, led at first by phenol-formaldehyde (introduced as Bakelite) and then in even greater volume by a host of synthetic polymers, mostly made from petrochemicals. Celluloid became almost quaint as its uses fell away, until by mid-century not much more than table tennis balls and guitar picks remained<sup>36</sup>. But celluloid remains well represented in private and public collections of plastics, and the objects found in those collections raise a host of issues for understanding both the behavior of the material and the ways in which we use the material and the objects for historic preservation and representation.

The study here attempts to demonstrate methods for investigating the historical and physical experience of this first plastic in cinematographic films (dated from 1925 to 1960) and objects, Fig. 2 and Table 1. The objects are part of a collection that originated with the private efforts of Dadie Perlov, who collected a wide range of small celluloid articles, largely from the northeastern United States during the last decades of the 20th century. Items from Perlov's collection constitute the primary celluloid holding in the museums of the Smithsonian Institution, in Washington, D.C.<sup>36</sup>. Other items have ended up in other museums, and about 304 have been donated to Portuguese institutions, and these have been the basis of the study here.

Table 1

Description and degree of substitution (DS) of the cinematographic films and celluloid objects studied (Perlov's celluloid collection).

Samples	DS <sup>§</sup>	Thickness (µm)	Date	Manufacturer	Description
S4	2.15	193	1932-42	Kodak	35mm black and white cinematographic film with no sound
S5	2.20	187	1933-42	Kodak	35mm black and white cinematographic films with sound
S6	2.06	205	N.A.	Agfa	
50509	2.18	147	1930	Kodak	
DIF 50 500	1.71	175	1940-60	Kodak	
Postcard	2.06	300	Possibly from 1901	N.A.	Celluloid postcard with floral and landscape-colored reliefs. Handwritings in French. In the upper part of the postcard, the date "30-09-1901" is written.
Holy Bible Pin	2.00	400	N.A.	N.A.	A celluloid pin with a metallic needle. On the back has the inscription "Made in the USA".
American Flag Pin	2.01	300	N.A.	Bastian Bros, New York	A celluloid pin with a metallic needle. This pin belonged to the Grand Army of the Republic (G.A.R.).
Calendar	1.88	1000	1899	The Whitehead and Hoag Co., New Jersey	An 1899 celluloid year calendar with an advertisement to The Packer Manufacturing company in New York.

<sup>§</sup>Degree of substitution calculated by µFTIR using the calibration curve described in Nunes et al.<sup>33</sup>

### Synchrotron deep UV photoluminescence micro spectral-imaging to safeguard celluloid heritage.

Celluloid nitrate absorbs and can be excited in the UV (Supplementary Fig. S1), emitting in the UV-VIS region<sup>37</sup>; early degradation products that are formed in extremely low concentrations are also prone to show specific luminescence signals, making photoluminescence techniques very adapted to identify chemical changes<sup>38</sup>. In this work, we propose to assess the level of degradation by the use of luminescent chemical markers that are excited in the deep UV (DUV) using two instruments operating between 200 and 800 nm. The first endstation is dedicated to raster micro-scanning photoluminescence spectroscopy using a confocal configuration (POLYPHEME)<sup>39,40</sup>. The second one is a multispectral imaging system based on a full-field configuration (TELEMOS)<sup>39,40</sup>. In this specific configuration, using synchrotron beam as a source of light to generate photoluminescence imaging, the limit of detection can be approached to 100 nM, enabling to detect intermediates and products that will be invisible to most spectroscopy techniques, due to their very low concentration<sup>38</sup>.

In addition, the possibility to retrieve centimetric fields of view at sub-micrometer lateral resolution has shown to be extremely efficient for tackling the intrinsic heterogeneity of the historical objects<sup>39,40</sup>, such as those studied in this work, which can be described as intrinsically heterogeneous systems, Table 1 and Figs. 1 and 2. Cinematographic films can be described as multilayer systems in which CN-based polymers are used as image support: pigments or fillers are not expected in this type of matrix. In contrast, in the celluloid artworks from the Perlov's collection, fillers and pigments are present, admixed with celluloid. The analysis of these complex historical samples is supported by highly characterized reference materials, artificially aged, which will be studied both using DUV micro-imaging and UV-VIS spectrofluorimetry. Historical films were also artificially aged to simulate longer periods of natural ageing.

In this work, the level of degradation assessed by the luminescent markers is compared with the degree of substitution (DS) of CN calculated by infrared spectroscopy, since DS decreases with ageing<sup>20,26,28,29,33,41</sup>. This decrease in DS in CN-based polymers, is a consequence of the main degradation mechanism, which occurs through homolytic scission of the nitrate groups and the glycosidic main chain<sup>19,26</sup>. In a pristine matrix used as support for cinematographic films, the DS is expected to be ca 2.26<sup>33,42</sup>, and its decrease is a consequence of the scission of the nitrate group and its substitution by hydroxyl groups as depicted in Fig. 3. This leads to an increase and broadening of the carbonyl band at  $1740\text{ cm}^{-1}$  alongside the increase of the OH absorption between  $3700$  and  $3100\text{ cm}^{-1}$ , in the infrared spectrum<sup>33</sup>.

The data acquired by synchrotron deep UV photoluminescence micro spectral-imaging (DUV- $\mu$ PL), will be for the first time used to identify alteration markers in historical objects made from CN. This knowledge can support the development of early warning tools to monitor CN degradation in heritage collections.

## Results And Discussion

**Alteration markers in reference samples.** Emission and excitation spectra collected on artificially aged reference samples show that different stages of CN degradation correlate with the detection of luminescent intermediates that display specific spectra, Fig. 4. An excitation spectrum mimics the UV-VIS absorption spectrum of the chromophore that emits, and these were acquired using spectrofluorimetry (spot analyses,  $10\times 2\text{mm}^2$ ). Originally, an unreacted CN film is characterized by a broad excitation spectrum centered at 320 nm, which during the first 20h of irradiation shifts to shorter wavelengths (290 nm) and only then it will evolve continuously to longer wavelengths, starting at 50h of irradiation with a maximum at circa 300 nm, Fig. 4 (left). The first shift to 290 nm can result from the degradation of chromophores formed in the dark. In the films that have suffered extensive degradation, a strong shift to higher wavelengths is observed in the excitation spectra; for example, at 130h of irradiation, several oxidized functions are identified by the presence of emission bands whose maxima are at 266, 325, 366 and 400 nm Fig. 4 (right). This agrees with the yellowing observed in the cellulose nitrate films. Based on the excitation spectra collected on the various samples, we selected the excitation wavelength of 290 nm

to acquire maps of emission spectra with the DUV micro-imaging set-up of the DISCO beamline, Fig. 5. The overall signal collected at the microscale is consistent with the averaged signal collected using spectrofluorimetry, Supplementary Fig. S2. With an important advantage, the emission spectra obtained having a better resolution, it was possible to discriminate two bands in aged samples, in what was seen by spectrofluorimetry as an unresolved broad band, Supplementary Fig. S2. For this reason, we will only discuss the emission spectra acquired using synchrotron radiation. An important first result was to prove that degradation is homogeneous in the reference films, which was achieved by showing that the average spectrum obtained with POLYPHEME mapping is representative of the luminescence of each pixel, Fig. 5B. POLYPHEME shows that CN at t0 is characterized by a weak emission at 425 nm, this band increases during irradiation ( $I_{\max}$  ca. 2600 cps). Also, a second band is formed and its intensity increases over time in comparison to the first band at 420 nm, being visible at 150h of irradiation with maximum at 510–520 nm. This is an important result that was tested to assess the conservation condition of CN by plotting this ratio  $I_{425\text{nm}}/I_{510\text{nm}}$  versus irradiation time. We observed a linear correlation between those two parameters showing that quantification of specific degradation state of CN can be assessed through the ratio between these two bands ( $I_{425\text{nm}}/I_{510\text{nm}}$ ), Supplementary Fig. S3: the higher the intensity of the CN2 band at 510–520 nm over the first band CN1 (ca. 420 nm), the higher the extent of degradation when compared with the DS values obtained by infrared spectroscopy. In future work, more irradiation times will be measured as well as longer irradiation times to assess whether different mechanisms are at play.

When exciting at 290 nm, the spectrum of celluloid is dominated by the emission of camphor ( $I_{\max}$  ca. 1900 cps). To avoid it and to collect only the CN emission, excitation was performed at 330 nm for celluloid references. As observed for CN, degraded celluloid is also characterized by a first band at 420 nm and a second one at 510–520 nm, Fig. 5C. However, the  $I_{425\text{nm}}/I_{510\text{nm}}$  ratios indicate that comparing to CN, celluloid has degraded more rapidly: celluloid value of 3.25 at 50h of irradiation is similar to CN at 100h of irradiation, 3.07.

In summary, the studies on reference samples identified relevant markers to probe alteration in CN films. To assess the relevance of those markers in naturally aged samples, we performed similar analytical procedures in the cinematographic films and celluloid artworks.

**Cinematographic films dated from 1925 to 1960, naturally and artificially aged.** The observation of the stratigraphy of the cinematographic films studied shows that they are composed of the celluloid support and the image layer, which is a proteinaceous matrix with dispersed silver particles<sup>43</sup>. On naturally aged DIF-50500 cross-section, the spatial distribution of luminescent species collected using POLYPHEME allows to distinguish three regions by their specific emission spectra, Fig. 6: *i*) in the proteinaceous material, the image layer was characterized by a band at 412 nm. This emission can be associated with collagen as it was made from animal sources, principally calfskin<sup>44</sup>; *ii*) in the celluloid support, the emission spectra presented a band at 425 nm and a shoulder at higher wavelengths (ca. 510 nm) that compare well with the 150 h irradiated celluloid reference (Fig. 5C) and, agree with the degree of

substitution of 1.71 calculated for this film by infrared spectroscopy (indicative of a degraded CN)<sup>33</sup>, Table 1; *iii*) at the support interfaces, with air and with the image layer, the spectra presented two bands at 420 nm and 538 nm; this second band is more shifted and intense than the second band produced during degradation of celluloid references (centered at ca 510–520 nm), and can represent other types of degradation products. This emission at 538 nm can be related to a more extensive degradation or a different mechanism, Fig. 6. This degradation trend was confirmed in the cinematographic films S4, S5, S6 and 50509, where a more intense emission in the region between 500–550 nm at the interfaces was also observed, Supplementary Fig. S4.

DIF-50500 samples artificially aged ( $\lambda \geq 280$  nm, 40 °C, 150h) were analyzed in situ since it was not possible to prepare a cross-section due to the film brittleness, Fig. 2. After 150h of irradiation, the emission spectrum acquired was very similar to that of the 150h artificial aged cellulose nitrate reference emission, Supplementary Fig. S5. This behavior comparable to cellulose nitrate is probably due to the low amount of camphor in DIF-50-500, ca. 6% w/w, estimated by infrared spectroscopy<sup>26</sup>, Supplementary Fig. S6. This further strengthens the results obtained in the reference samples, proving that the concentration of camphor influences the degradation rate of celluloid.

**19th c./early 20th c. celluloid everyday objects collection.** Zinc oxide (ZnO) was the main pigment used to produce the ivory-like color and it was identified by its emission at 380 nm, in all the objects studied<sup>39,40,45–47</sup>. Zinc oxide is a semiconductor having a first narrow UV emission band at ca. 380 nm and one or more emission bands in the visible region that tend to be broad and centered at ca. 425 nm and 510 nm<sup>40,46</sup>. The latter can be attributed to defects or impurities that are related to levels located within the bandgap (trapped states). The ratio between the UV and visible bands can vary enormously in historical paints and may be used to distinguish between them<sup>40,46</sup>, Supplementary Fig. S7.

In the case of the celluloid objects of the Perlov collection, following excitation at 290 nm, the three bands described for ZnO were observed, Fig. 7 and Supplementary Figs. S7-S13. These bands were identified with maxima at ca 380 nm (ZnO1), at ca. 418 nm (ZnO2) and at ca. 507 nm (ZnO3), Supplementary Fig. S7. Every pixel of the POLYPHEME maps performed has a significant contribution of the 380 nm emission, even for those with higher intensity emissions above 400 nm, confirming the ubiquitous presence of this pigment in the samples. The ratios between the three bands varied from pixel to pixel, in the same sample and within samples, showing the heterogeneity of this ZnO-polymer systems, Fig. 7 and Supplementary Figs. S7-S14. However, for each of the objects studied, characteristic trends were observed, which will be discussed below.

The ZnO band at ca. 380 nm does not overlap with the CN emission, Fig. 5C. On the other hand, considering that these objects have an estimated camphor concentration between 20 – 15% w/w, Supplementary Fig. S11, the emission of this plasticizer at ca. 420 nm can contribute to an intensity increase in this region.

Overall, the four objects analyzed showed different emission profiles: in the 1901 postcard the emission at 510 nm (ZnO<sub>3</sub>) dominates over the other two bands; the 1899 calendar is characterized by a highly heterogeneous system with high-intensity emissions from the three bands; for the Holy Bible Pin and the American Flag Pin, the band at 380 nm displays the highest intensity, Fig. 7 and Supplementary Figs. S12-S14.

The American flag advertisement pin mappings were characterized by the strong emission of zinc oxide particles, Fig. 7. The heterogeneity of the system was observed on images collected with the full field endstation TELEMOS, by designating colors to each emission bandpass filter used: blue for the 352–388 nm range to image zinc oxide (ZnO); green for the 412–438 nm range to image ZnO trapped states, cellulose nitrate degradation, camphor, or other admixed materials; and red for the 535–607 nm range to image the ZnO trapped states and cellulose nitrate degradation, Fig. 7. In future work, we will investigate the origin of these differences by studying a set of aged and unaged reference samples. In this work, we will examine in more detail the American Flag Pin to better understand the information it is possible to extract based on the luminescent properties of ZnO, CN and camphor. The results correlated with POLYPHEME mapping in which we observed, spatially, spectral variations related to the main emission features, Fig. 7C. These emission features can be represented by three average spectra (calculated from 10 selected pixels) and are characterized by 1) 380 nm emission, accounting for 67% of the mapped area, 2) emissions between 400–450 nm (10%) and 3) ZnO trapped state emission above 500nm (23%), Fig. 7D, Supplementary Figs. S8 and S9. To better understand the causes of these variations, preliminary Raman and infrared analyzes were carried out, which will be further developed in future work. The main results are summarized in Supplementary Table S1.  $\mu$ Raman analysis of whitish particles in the American flag pin, studied by TELEMOS and depicted with a strong blue luminescence in Fig. 7, confirmed the presence of ZnO by its characteristic peaks at 330 and 475  $\text{cm}^{-1}$  and identified a peak at 1054  $\text{cm}^{-1}$  indicative of cerussite ( $\text{PbCO}_3$ ), Supplementary Fig. S10 and table S1. This also agrees with the identification of Zn, Pb and Ca by EDXRF. Zinc stearate was also detected by Raman peaks at 1062, 1094, 1127, 1296 and 1445  $\text{cm}^{-1}$ , its presence is confirmed by FTIR-ATR by comparison with a reference, Supplementary Figs. S10 and S11. It was also possible to identify peaks related to the polymer matrix, namely the nitrate groups (864, 1286, 1652  $\text{cm}^{-1}$ ) and camphor (650  $\text{cm}^{-1}$ ) main vibration. Zinc stearate and cerussite are compounds that can be responsible for the variations observed between the ZnO<sub>1</sub> emission at 385 nm and the ZnO<sub>3</sub> trapped state broad emission between 500 and 550 nm<sup>40,46,48,49</sup>, Fig. 7. Emissions between 400 and 450 nm can be due to the ZnO defect-associated emissions, camphor, or localized cellulose nitrate degradation. Future work will investigate more in-depth the origin of these emissions and if they can be related to specific formulations of ZnO that could be used as a signature to a technological process (for colour production).

In summary, it was possible to visualize at the macro and sub microscale, the heterogeneity of these complex mixture of ZnO with celluloid. Three spectral regions of interest were identified: the ZnO<sub>1</sub> (385nm) and ZnO<sub>3</sub> (500-550nm) emissions whose intensity variations can be influenced by the presence of organic functionalized ZnO and other inorganic additives or impurities; and the 400–450 nm regions

whose contributions can be due to ZnO crystal defects (ZnO<sub>2</sub>) or to the polymer associated emissions, either camphor or cellulose nitrate degradation. Comparing POLYPHEME results for the four objects analyzed it was possible to observe differences in their spectral signatures and spatial distribution at the submicrometric scale, Fig. 7 and Supplementary Fig. S12-S14. The different emission features of these two objects can be due to formulation differences, shown by Raman analysis, which identified massicot/litharge (PbO) and zinc stearate for the 1901 postcard and anatase (TiO<sub>2</sub>) and azurite for the holy bible pin, Supplementary table S1. These results will be further explored in future work, but already show the potentiality of this technique to distinguish color formulations that can also be linked with the three different ZnO manufacturing methods employed in the late 19th early 20th centuries<sup>40,50</sup>.

## Conclusions

The present study here describes the implementation of DUV photoluminescence micro spectral-imaging for investigating, at the sub-microscale, cellulose nitrate-based objects' material heterogeneity that correlates to its history (of alteration and manufacture). The investigation of cellulose nitrate and celluloid luminescence properties using DUV excitation has revealed the presence of markers that can be used for the detection of the degradation process at the early stage. By using excitation between 250 and 300nm, DUV- $\mu$ PL has allowed visualizing the distribution of degradation products in cinematographic films, with very high resolution, providing new insights into their degradation mechanisms. During degradation, the emission spectra of cellulose nitrate in the UV-VIS modifies markedly; the initial very low emission at 420 nm increases and a new band at 510–520 nm appears. The higher the intensity of the band at 510–520 nm over the first band (*ca.* 420 nm), the higher the extent of degradation. Importantly, we proved that the evolution of the ratio between the two bands ( $I_{425\text{nm}}/I_{510\text{nm}}$ ) correlates with the decrease in DS calculated by infrared spectroscopy, showing that it is possible to monitor the level of degradation of CN by DUV- $\mu$ PL. This tool allowed another important discovery: the higher the camphor concentration the higher the degradation rate both in reference samples and on cinematographic films. This crucial information will be next tested within an extended set of historical objects possibly using a chemometrics approach.

We also demonstrate the asset of these techniques to characterize and map, by combining spectral and spatial information, the heterogeneous microenvironments of the zinc oxide-based pigment in celluloid heritage. Due to the intrinsically heterogeneous nature of the objects in the Perlov collection, the variations observed in the luminescence of zinc oxide could be linked to the presence of lead carbonate or with the lead (identified by Raman microscopy), and as such to a specific color formulation. Overall, this study shows that DUV- $\mu$ PL, due to its unique detection limit and high spatial resolution, is a fundamental technique for the study of cellulose nitrate-based heritage and plastic heritage, in general.

Finally, this work shows how the collection of celluloid objects donated by Perlov is a valuable historical source. Each object offers a biography that represents a valuable way of informing its past. The object's biography is a necessary research tool to understand and contextualize the relationships between people and the meaning, purpose, and use of an object. It is also an effective didactic tool used in museums for

teaching history. To write these biographies, POLYPHEME and TELEMOS have the potential to provide important data on manufacturing particularities. Knowing more about the object's materiality is also necessary to better preserve it in a historical and material sense.

## Methods

### Cinematographic films and objects from Perlov's collection and sample preparation

The cinematographic films were provided by the Austrian Academy of Sciences and the German Film Institute, partners of the NEMOSINE project. The selected celluloid objects belong to the Perlov's collection, a collection that consists of 300 everyday celluloid objects, donated by Amy Schenkein and Dadie Perlov, American collectors and majority donors of the Smithsonian Institute's celluloid collection.

Cross-sections of the cinematographic films were prepared by using a Leica RM 2155 rotary microtome equipped with low profile blades Leica DB 80 LX. To do so, a small sample was removed from the border of the cinematographic films (about 2 x 2 mm). This fragment was taped over a small piece of polymethylmethacrylate (PMMA) to hold it steady (the glue did not enter in contact with the sampling area). The PMMA was previously cleaned with ethanol. This assembly was fixed in the microtome clamping system. 15 µm slices were cut by using a clean portion of the blade for each cut and making a quick but relatively gentle motion (to get a clean cut and avoid ridges and fractures). The cuts were controlled by using a stereomicroscope. The sample cross-sections were placed over a microscope slide. This method allows the proper observation of the stratigraphic layers of the cinematographic films without the use of embedding resins.

Cross-sections of the celluloid objects were prepared by microsampling using Ted Pella µ-tools and a Leica MZ16 stereomicroscope. Since the objects studied have thicknesses below 1mm, the micro samples were cut from the corners of the objects from one end to the other (cross-section). The cross-sections were embedded in a polyester resin (Clear Casting Polyester Resin AM) so that the areas in contact with the resin corresponded to the surface of the object and the interior of the cross-section to the object's bulk. The samples were wet ground on a polishing wheel to expose the cross-section. Micro-Mesh® sheets with grit 8000 were used for dry polishing.

### Reference samples preparation

Cellulose nitrate films were obtained from a solution of 4% (w/v) in methanol, prepared at room temperature (20°C) and allowing cellulose nitrate to dissolve through the night (approx. 12 hours). This solution was homogeneously poured over a porcelain vessel using a Pasteur pipette and placed inside a desiccator with silica gel. The solution was left drying for 3 hours. After drying, a transparent cellulose nitrate film was peeled off the vessel with Ted-Pella micro tweezers. Samples with an area of 2.5x1 cm<sup>2</sup> were cut with a scalpel. The thickness of these reference films was 150 µm (approaching the thickness of the polymeric support of a cinematographic film). Celluloid films were obtained by adding camphor to the

previous solution, in a ratio of 70/30 (cellulose nitrate/camphor) in weight. They were prepared following the same methodology used for the cellulose nitrate films.

## **Artificial Ageing**

The irradiation of the cellulose nitrate and celluloid reference films and the cinematographic film DIF 50 500 (cut in pieces with dimensions of ca.  $2 \times 1 \text{ cm}^2$ ) was carried out in a CO.FO.ME.GRA accelerated aging apparatus (SolarBox 3000e) equipped with a Xenon-arc light source, an outdoor filter  $\lambda \geq 280 \text{ nm}$ , with constant irradiation of  $800 \text{ W/m}^2$  and a temperature of  $40^\circ\text{C}$ . The films were irradiated for a maximum period of 150h (total irradiance =  $365 \text{ MJ/m}^2$ ). To avoid sample movement inside the irradiation apparatus, the samples were placed inside "homemade" glass boxes with a quartz plate over ( $100\text{mm} \times 100\text{mm} \times 3\text{mm}$  thick, UQG Optics Limited).

## **Instrumentation**

### *Optical microscopy*

Microsampling of the celluloid objects was performed with Ted Pella  $\mu$ -tools using a Leica MZ16 stereomicroscope. This microscope has a 7.1x to 115x zoom range lens, equipped with an integrated Leica ICD digital camera and a Leica KL 1500 LCD external cold light source with two flexible optic fibre cables. Microphotographs of the cinematographic cross-section were acquired using a Axioplan 2ie Zeiss microscope equipped with 10x ocular lenses and a 20x Epiplan objective, an incident halogen light illuminator (tungsten light source, HAL 100) and a digital Nikon camera DXM1200F, with Nikon ACT-1 software.

### *Spectrofluorimetry*

Cellulose nitrate excitation spectra were acquired using a Jovin-Yvon/Horiba SPEX Fluorog 3-2.2 spectrofluorometer with a 450W xenon lamp and a double-grating monochromator. Measurements with the spectrofluorometer were performed at front-face (ff). In this technique, the incident excitation beam is focused on the front surface of the samples and the fluorescence emission is acquired from the same region at an angle of  $22.5^\circ$ , which minimizes reflected and scattered light. To ensure that different samples were analysed in the same area, the reference films were placed on quartz demountable cells (Lightpath Optical (UK) Ltd.) and mounted in a cell holder for short path length cells (Lightpath Optical (UK) Ltd.), with the film surface directly facing the beam. Corrected emission and excitation spectra were collected using 3 mm entrance and exit slits, an integration time of 0.2 seconds, an increment of 2 nm. Excitation spectra were recorded collecting the signal at 390, 450 and 480 nm. To ensure the reproducibility of the results, 2 sets of four samples of irradiated cellulose nitrate and celluloid were analyzed.

### *UV-Vis spectroscopy*

Ultraviolet-visible absorption spectra were recorded on a Varian-Cary 100 Bio spectrophotometer, between 200 and 800 nm with air as a reference and a constant temperature of  $20 \pm 1^\circ\text{C}$ . To ensure that different samples were analysed in the same area, the reference films were placed on quartz demountable cells (Lightpath Optical (UK) Ltd.) and mounted in a cell holder for short path length cells (Lightpath Optical (UK) Ltd). To obtain absorbances  $< 2$ , the films were prepared with thickness  $< 10\mu\text{m}$  using solutions of 1.85% (w/v) in methanol applying the methodology described in *Reference samples preparation*.

#### *$\mu$ Infrared spectroscopy*

All samples were analyzed by  $\mu$ Fourier Transform Infrared Spectroscopy for molecular characterization of the polymer matrix. Infrared spectra were acquired on a Nicolet Nexus spectrophotometer equipped with a Nicolet Continuum (15 $\times$  objective) microscope and a Mercury–Cadmium–Tellurium (MCT) detector cooled by liquid nitrogen. Micro samples were placed on a diamond cell and the spectra were acquired in transmission mode between the  $4000\text{--}650\text{ cm}^{-1}$ , with a resolution of  $8\text{ cm}^{-1}$  and 128 scans. Spectra are shown as acquired, without corrections or any further manipulation, except for the removal of the  $\text{CO}_2$  absorption at approximately  $2300\text{--}2400\text{ cm}^{-1}$ .

#### *$\mu$ Raman microscopy*

Celluloid cross-sections were analyzed by  $\mu$ Raman to obtain further information on inorganic additives in correlation with Micro-energy dispersive X-ray fluorescence analysis. The Raman spectra were collected on a Labram 300 Jobin Yvon spectrometer equipped with a He-Ne laser operating at 632.8 nm. The laser beam was focused with an Olympus 100 $\times$  lens with a spot size of  $2\mu\text{m}$ . The laser was used at maximum power (without the use of filters), with a collection time of 15-20s performing 20 to 30 scans. It was used a high-resolution grating (1800 grooves/mm).

#### *Micro-energy dispersive X-ray fluorescence*

X-ray fluorescence of the celluloid objects was performed in situ with a Bruker ArtTAX Pro spectrometer equipped with a Molybdenum (Mo) ampule, Peltier effect cooled Xflash 3001 $\text{\textcircled{R}}$  semiconductor detector and a movable arm. The experimental parameters used were voltage of 40 kV, current of 300  $\mu\text{A}$ , acquisition time of 180 s.

#### *Micrometer*

Sample thickness was measured using a TOPEX 31C629 micrometer, which has a length measurement from 0 to 25 mm and an accuracy of  $10 \pm 5\mu\text{m}$ .

#### **Synchrotron deep UV-excited photoluminescence**

Samples were analyzed at the POLYPHEME and TELEMOS end-stations of the DISCO beamline (Synchrotron SOLEIL, Gif-sur-Yvette, France). POLYPHEME was used to perform hyperspectral

photoluminescence micro-imaging and acquire full emission spectra with high spectral resolution; TELEMOS for full-field luminescence microscopy<sup>39,51</sup>.

### *POLYPHEME*

Emission spectra were recorded on an Olympus IX71 inverted microscope with lens replacement to be transparent in the deep UV range, a 40× Zeiss Ultrafluar UV-transmitting immersion objective, with monochromatic excitation wavelengths of 290 and 330nm, an emission wavelength range of 320–640, using integration times between 5–20s per spectrum. We tested our experimental conditions and found that no degradation is induced in the samples, with the excitation beam; thus, we did not observe changes in the fluorescence of the references after repeated irradiation at 290 nm and 330 nm in the same point of analysis. Raster scanning maps were performed with integration times of 5s (2 accumulations) and 10s (1 accumulation), in areas between 280µm<sup>2</sup> – 1.25 mm<sup>2</sup> using 2 to 15 µm steps.

References and cinematographic cross-sections were analysed between two 170µm quartz coverslips. Embedded celluloid cross-sections were placed on top of a 170µm quartz coverslip. During the experiments, the in-situ analysis of the 1899 calendar was tested with success.

Data acquired were pretreated in Labspec for the removal of cosmic spikes using a top-hat filter and map colour assignments. For the quantification of the emission contributions for each pixel in the American flag pin POLYPHEME mapping, Fig. 7C, a direct classical least squares (DCLS) modeling using Labspec 5 was performed. Three average spectra, each one calculated from 10 spectra characterized by 1) strong near band edge emission (blue loading), 2) ZnO crystal defect emissions between 400–450 nm (green loading), and 3) strong green band emission (red loading), were used as reference component spectra (loadings) Fig. 7D. For each pixel, the model finds a linear combination of the reference component spectra which best fits the raw data. Using three loadings (blue, green, and red) with scores (x, y and z) the sum, S, of the linear combination is represented by:  $S = [x * \text{blue}] + [y * \text{green}] + [z * \text{red}]$ . The model provides the scores in percentage and data was normalized so that the combination of all scores adds to 100%. The bigger the score the bigger the similarity with the loading. An example of the model output for an emission spectrum is given in Supplementary Fig. S8. This procedure was used to identify the distribution of the reference component spectra within the spectral array to create a profile based on each component distribution, Supplementary Fig. S9. Based on the scores obtained for each pixel (448 pixels out of a total of 560 due to resin emission, not showed in Fig. SX2) the total averages were calculated for the entire map. Blue loading accounted for 67% of the mapped area, the green loading accounted for 10%, and the red loading for 23%. The error map for this model is showed in Supplementary Fig. S15.

### *TELEMOS*

Images acquired were collected using an Axio ObserverZ1 microscope (Carl Zeiss MicroImaging) with a 40x Zeiss Ultrafluar UV-transmitting immersion objective, with a monochromatic excitation wavelength of 290nm and a dichroic mirror with a cut-off wavelength at 300 nm. Fluorescence was collected using four emission bandpass filters: 352–388 nm; 412–438 nm; 535–607 nm. Acquisition time was set at 10s for

all channels. Images obtained were treated with ImageJ software: a BASIC corrected DW processing was used to remove the artefacts; the false-color images were created by associating a designated color to each channel (blue, green, and red) and manipulating the color balance. This method allowed localizing areas with the highest fluorescent signals.

## Declarations

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### AUTHOR CONTRIBUTIONS

Research concept and design: A.N., M.R, M.T and M.J.M. Acquisition, and interpretation of experimental data: A.N., M.R, M.T and M.J.M. Acquisition, and selection of the case studies: A.N., A.M.R., M.E.C, R.F and M.J.M. Historical contextualization: M.E.C. and R.F. Project funding: A.M.R. and M.J.M. Critical revision and approval of the manuscript: All.

### COMPETING INTERESTS

The author(s) declare no competing interests.

### DATA AND MATERIALS AVAILABILITY

All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the authors.

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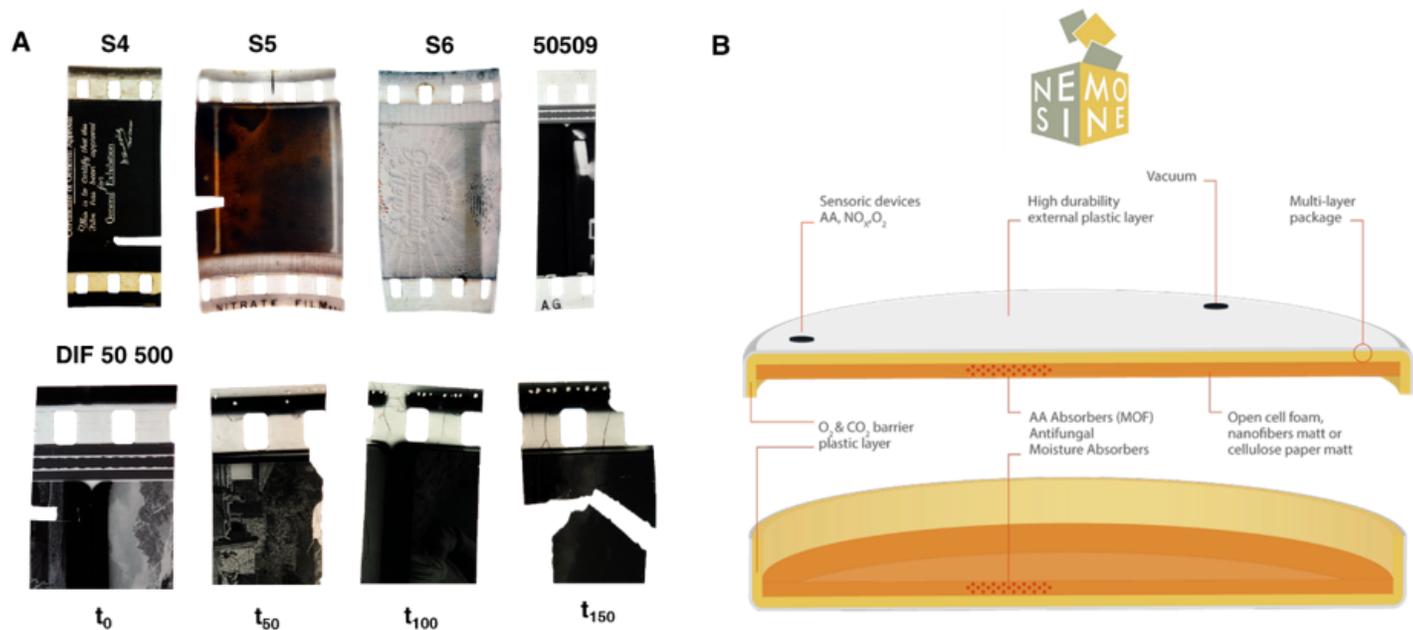
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## Figures



Figure 1

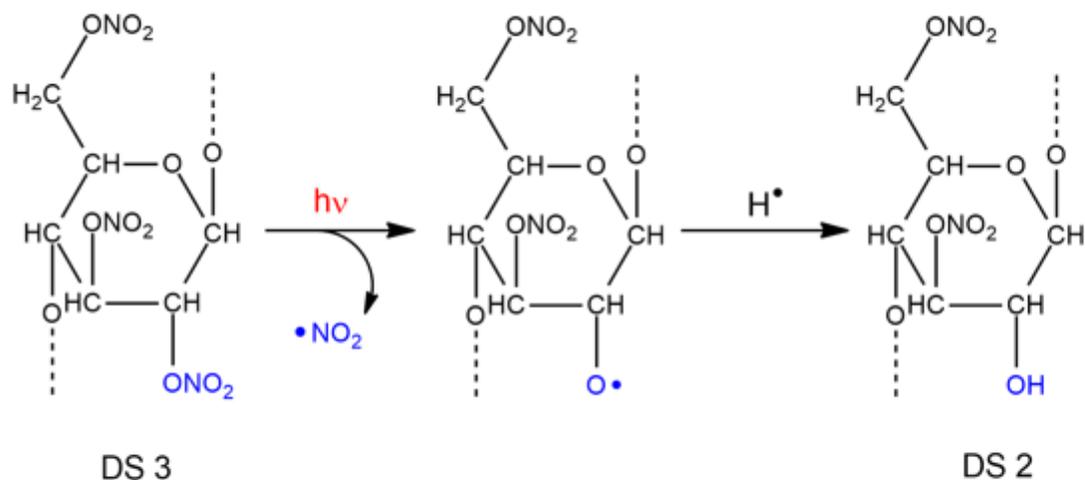
Objects from Perlov's celluloid collection that consists of 300 everyday celluloid objects, donated by Amy Schenkein and Dadie Perlov, American collectors and majority donors of the Smithsonian Institute's celluloid collection. Containing several objects of different types, from razor blades, fans, pins, postcards or advertising items, this collection is significant of the American technological advances in the celluloid industry from the 1890s to the 50s. The objects showed were studied in the framework of this research: a 1901 postcard (A), two undated advertisement pins, hereby called the holy bible pin (B) and the American flag pin (C), and an 1899 calendar (D).



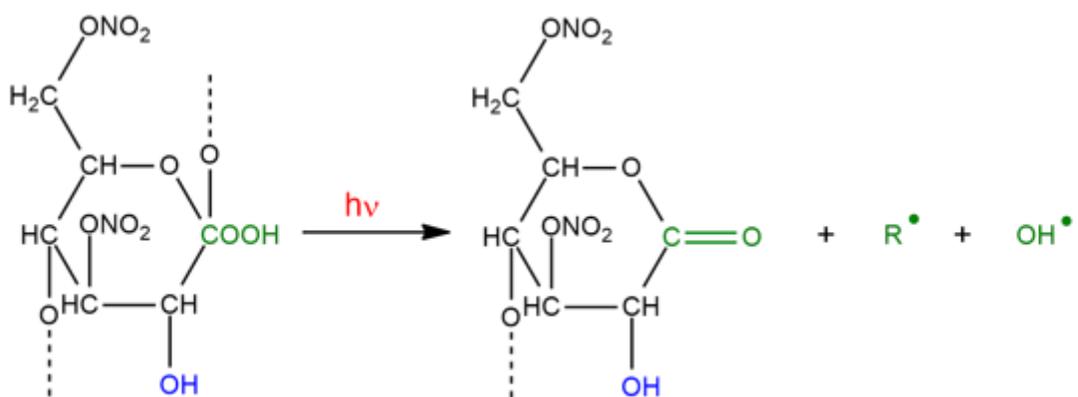
**Figure 2**

Cinematographic film samples S4, S5, S6, 50509 and DIF 50 500. DIF 50 500 was artificially aged ( $\lambda \geq 280$  nm, 40 °C): at 50 hours of irradiation the film was yellowed and fragile; at 100h presented cracking; and at 150h the film lost its integrity. B) NEMOSINE modular package, designed to extend the conservation time of cellulose nitrate and acetate cultural heritage (<https://nemosineproject.eu>).

### Side Chain Scission

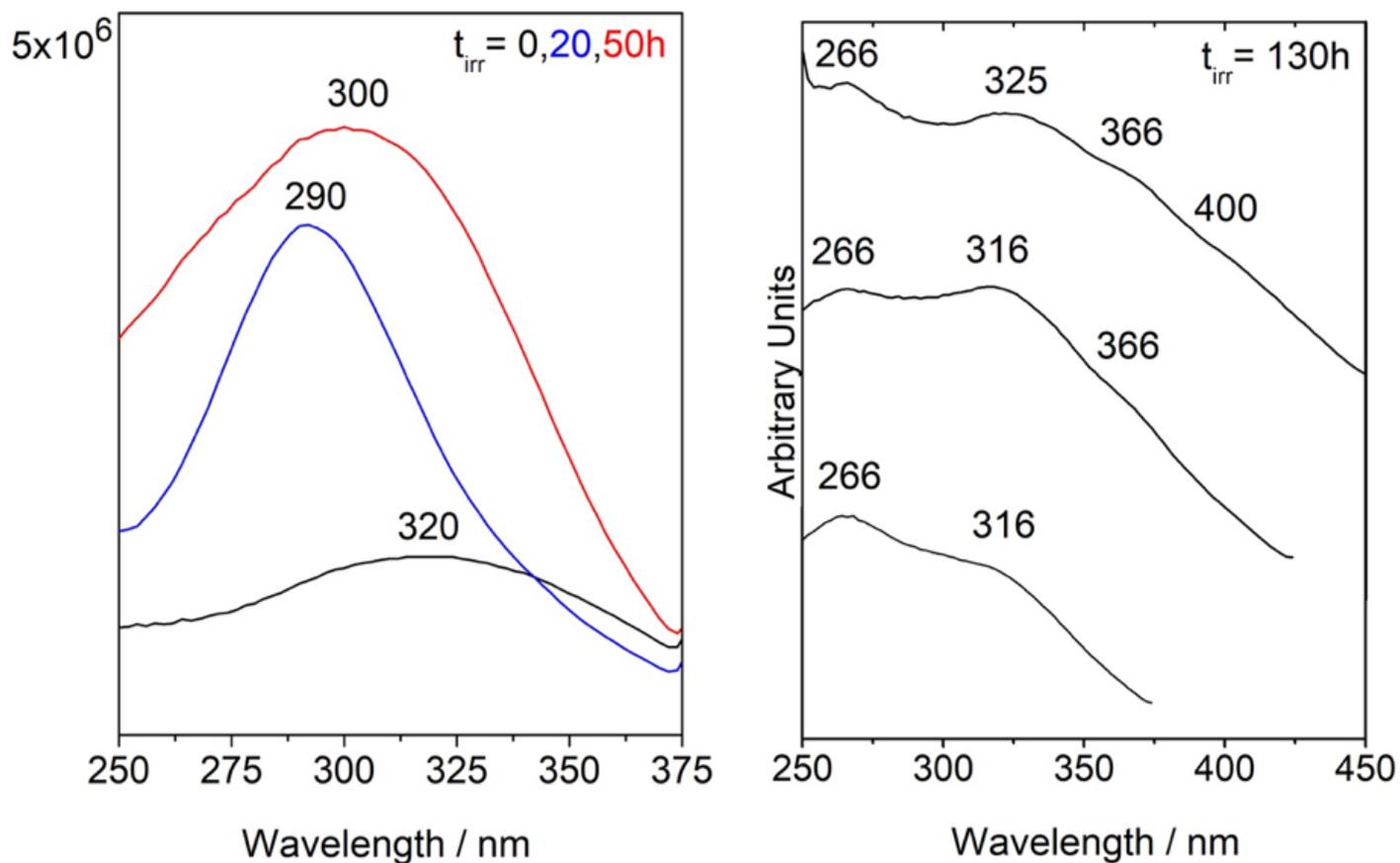


### Main Chain Scission



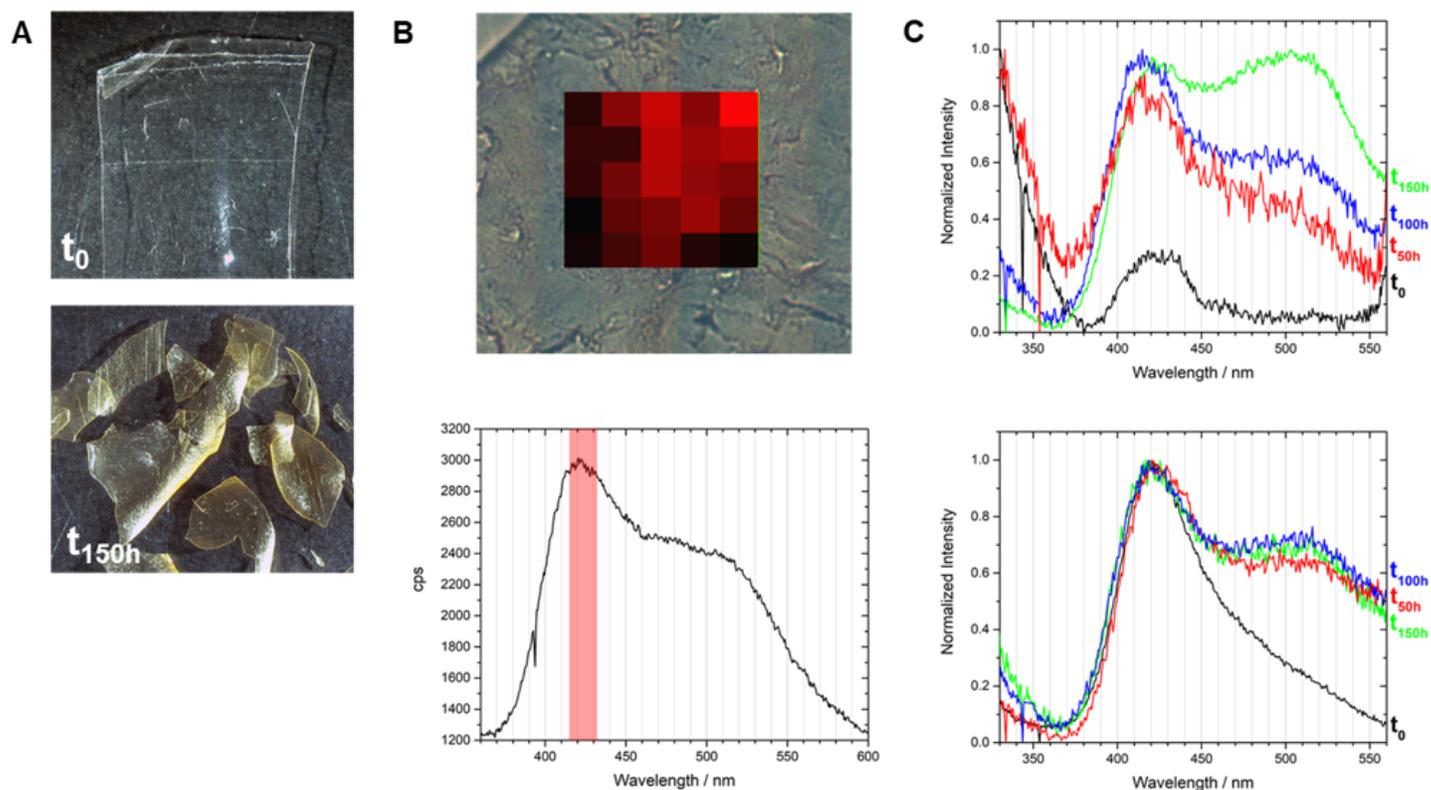
**Figure 3**

Cellulose nitrate side-chain scission starts with the homolysis of a nitrate group in C2 or C3, the release of  $\bullet\text{NO}_2$  and the formation of an alkoxy radical, which can be converted into a hydroxyl by hydrogen abstraction (inducing a DS decrease). Hydroperoxides formed in C1, lead to main chain scission through cleavage of the glycosidic bond, with the formation of a gluconolactone, a macroradical and a hydroxyl radical. The mechanisms triggered by light produce excited states that can simulate the natural ageing.



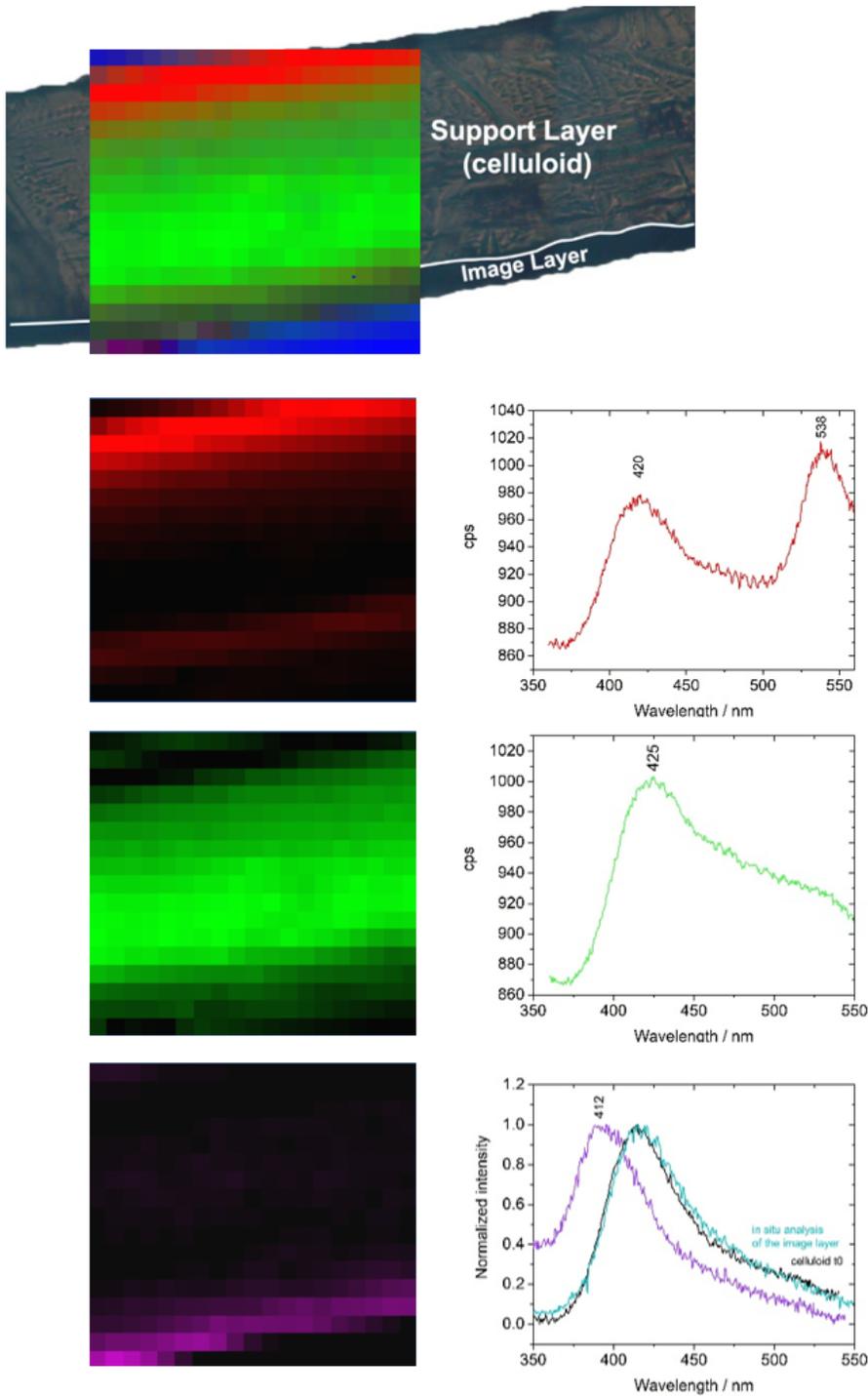
**Figure 4**

Irradiation of cellulose nitrate films followed by luminescence. Left, unreacted CN film is characterized by a broad excitation spectrum centred at 320 nm ( $\lambda_{em} = 390$  nm), and in the first 20h of irradiation the maximum is shifted to shorter wavelengths (290 nm) and then evolve to a maximum at circa 300 nm at 50h of irradiation, which will continue to shift to longer wavelengths. Right, at 130h of irradiation several oxidized functions are observed described by maxima at 266, 325, 366 and 400 nm ( $\lambda_{em} = 390, 450$  and 480 nm). This agrees with the yellowing observed in the film.



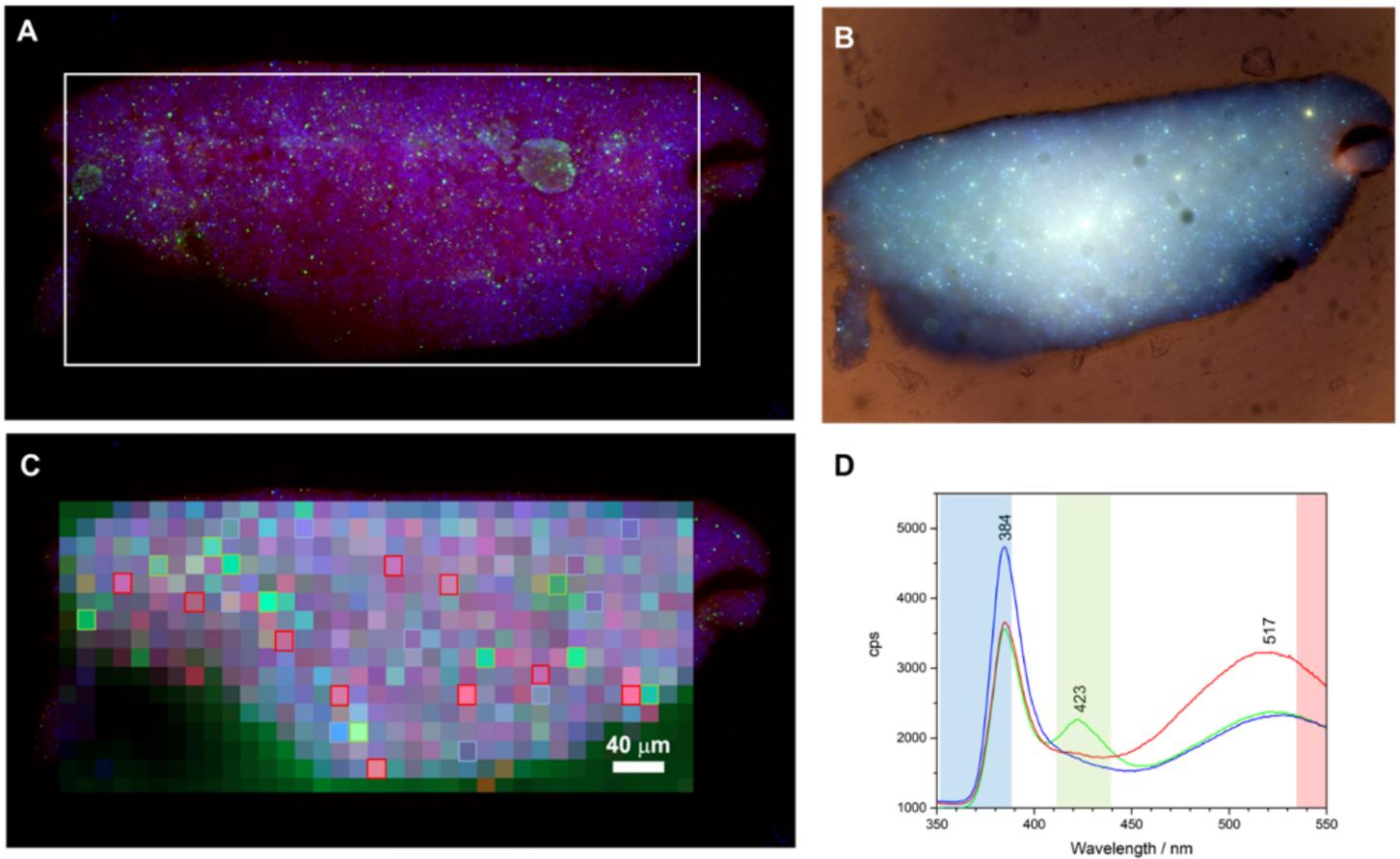
**Figure 5**

A) Microscope images (7.1x magnification) of cellulose nitrate homogeneous thin films (150 $\mu$ m) used as reference films before ( $t_0$ ) and after 150h of irradiation. B) POLYPHEME raster scanning map of a 150h aged celluloid reference ( $\lambda_{exc} = 330$ nm, 20  $\mu$ m step, 5s acquisition time), together with the average, representative, emission spectrum. Intensity variations of the probed region between 415 and 425nm are due to the topography of the sample. C) Normalized emission spectra ( $\lambda_{exc} = 290$ nm) of artificially aged cellulose nitrate (top) and celluloid (bottom) irradiated during 50h, 100h and 150h.



**Figure 6**

POLYPHEME raster scanning map (10 mm step, 5s acquisition time,  $\lambda_{exc} = 290$  nm) of the cinematographic film DIF 50 500. Three regions of interest were distinguished based on characteristic emission spectra: the proteinaceous image layer, with a band at 412 nm; the support layer with a band at 425 nm and a shoulder at higher wavelengths; and other products at the interfaces, air-support, and support-image, characterized by a band at 538 nm.



**Figure 7**

A) Full-field luminescence imaging by TELEMOS of the American flag celluloid advertisement pin ( $\lambda_{exc} = 290$  nm, 40x objective). Emission bandpass filters used: 352-388 nm (blue); 412-438 nm (green); 535-607 nm (red). The white-square marks indicate the POLYPHEME map area. B) Spatially registered false-colour RGB image of the emission at excitations of 365 (blue), 385 (green) and 405 nm (red) with emission bandpass filter 514 nm (30 nm FWHM). C) Raster scanning map by POLYPHEME ( $15 \times 15 \mu\text{m}^2$ , 5s, 2 accumulations,  $\lambda_{exc} = 290$  nm). Colors were designated as follows: blue for the band edge emission at 385 nm, green for the spectral region between 400-450 nm; red for the region between 510-550 nm. D) Average spectra calculated from 10 points for each designated color: strong near band edge emission (blue); contributions of ZnO crystal defect emissions between 400-450 nm (green) and strong green band emission (red). The spectral points used are marked in the POLYPHEME map (C) with squares of the correspondent color. The spectral regions viewed using TELEMOS setup are highlighted, with colours corresponding to the bandpass filters used.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

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