

Deciphering Disparities in Childhood Stunting in an Underdeveloped State of India: An Investigation Applying the Unconditional Quantile Regression Method

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1 **Deciphering Disparities in Childhood Stunting in an Underdeveloped State of India: An**
2 **Investigation Applying the Unconditional Quantile Regression Method**

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1 **Deciphering Disparities in Childhood Stunting in an Underdeveloped State of India: An**
2 **Investigation Applying the Unconditional Quantile Regression Method**

3

4 **Abstract**

5 **Background**

6 Unacceptably high rate of childhood stunting for decades remained a puzzle in the eastern
7 Indian state of Bihar. Despite various programmatic interventions, nearly half of the under-five
8 children (numerically about 10 million) are still stunted in this resource-constrained state.

9 **Data and Methods**

10 Using four successive rounds of National Family Health Survey (NFHS) data spread over more
11 than two decades and by employing quantile regressions and counterfactual decomposition
12 (QR-CD), the present study aims to assess effects of various endowments as well as returns to
13 those endowments in disparities in childhood stunting over the period.

14 **Results**

15 The results show that although the child's height-for-age Z-scores (HAZ) disparity largely
16 accounted for differing levels of endowments during the earlier decades, in the later periods,
17 inadequate access to the benefits from various development programmes was also found
18 responsible for HAZ disparities. Moreover, effects of endowments and their returns varied
19 across quantiles. We argue that apart from equalizing endowments, ensuring adequate access
20 to different nutrition-centric programmes is essential to lessen the burden of childhood stunting.

21 **Conclusion**

22 The state must focus on intersectoral convergence of different schemes in the form of state
23 nutrition mission, and, strengthen nutrition-centric policy processes and their political
24 underpinnings to harness better dividend.

25 **Key Words:** Childhood stunting; Unconditional quantile regression; Counterfactual
26 decompositions; Bihar; India

1 **Background**

2 Child undernutrition in India has remained a priority among academicians and policy makers.
3 Despite significant economic growth during the past two decades, prevalence of childhood
4 stunting has dropped only by 27 percent (about 14 points) [1]. UNICEF (2013) has observed
5 that India alone contributed 38 percent of the stunted children in the world in 2011 [2], while
6 Headey [2013] estimated that the number of undernourished children in India was higher than
7 that in all of Africa [3]. Jose *et al.* emphasized that despite a moderate decline in child
8 undernutrition during the past decade, a large and graded socio-economic disparity in child
9 undernutrition continues to persist [4]. A systematic review on the prevalence of child
10 undernutrition in India has also concluded that the burden of child undernutrition is still
11 unacceptably high in India and there is an urgent need to understand the risk factors in greater
12 details [5]. It is needless to mention that a rapid reduction of child undernutrition in India is
13 imperative to lessen the global burden of child malnutrition.

14 Majority of the studies carried out in India and other developing countries have
15 demonstrated that an array of household, individual, and contextual factors have significant
16 bearing on childhood undernutrition [6-7]. Higher consumption expenditure in household
17 lowers the risk of child malnutrition [6-7], while economic gradients contribute in maintaining
18 the vicious cycle of poverty and malnutrition [8-10]. Lack of household sanitation [11-12], low
19 body-mass index (BMI) among mothers [13-15], less parental education [16], lack of
20 bargaining power of women within households [17] significantly and negatively affect the
21 child's anthropometric outcomes in low- and middle-income countries.

22 Recent studies found that women's BMI, education, child's adequate diet, household
23 assets and sanitation, age at marriage, antenatal care and household size are strong and
24 significant predictors of childhood anthropometric outcomes and explain much of the

1 variations across the districts in India [18-19]. Jose *et al.* have noted that about 83 percent of
2 high stunting prevalence (higher than the national average) districts belong to the eight states
3 located in the north-central, western, and eastern region [4]. Thus, effects of endowments (or
4 covariate *per se*) were found to be significant; however, they vary across the space and nature
5 of endowments.

6 On the other hand, attempts have been made by some studies to document disparities
7 in returns to endowments (or strength of association *per se*) and their different dimensions,
8 which potentially influence child nutritional outcomes. For example, these studies have tried
9 to document how quality of the governance, institutional strength in implementing public
10 policies, reach of public services, bargaining power of the communities, and macro-level
11 politico-economic context etc. could influence health and nutritional outcomes. In the Indian
12 context, disparity in institutional performance (measured in terms of the quality of public
13 services such as health, education and public distribution system) was observed between
14 northern and north-central states, and southern states [20-22]. Harriss and Kohli investigated
15 the influence of inter-state political and institutional factors on child undernutrition and
16 differentiated between the politics of “clientelism” and “programmatic” politics [23]. They
17 argued that such political spectrum could impinge on worse and better child anthropometric
18 outcomes respectively. Significant gaps in implementation regarding the nature, coverage and
19 quality of Integrated Child Development Services (ICDS) were found by various researchers
20 in different states [24-27]. Using conditional quantile regression model, Mukhopadhyay (2013)
21 found that while the presence of government facilities was able to make a positive difference
22 in the upliftment of nutritional status for the relatively better-off children in India, it had not
23 benefitted the worse affected children much [28].

1 The majority of literature reviewed above have either tried to identify some of the key
2 observable characteristics (or covariates or endowments) that help in explaining variation in
3 child anthropometric outcomes or have emphasized that the differential strength of relationship
4 (or coefficients or returns to endowments) might also influence childhood nutritional outcomes.
5 Instances of quantifying the influence of socio-demographic, economic and ecological
6 variables, individually or at the aggregate level (covariate effects) and the contribution of the
7 strength of relationship (or coefficient effects) together were limited in the South Asian context
8 [29, 30]. In the present context, covariate effects can be defined as the differences in nutrition
9 outcomes across periods explained by the differences in observed covariates. On the other hand,
10 differences explained by differing strengths of relationships between covariates and outcomes,
11 in other words the “returns” to specific endowments, can be termed coefficient effects. To find
12 out differentials in child undernutrition in Nepal and Bangladesh, Srinivasan *et al.* have
13 highlighted that rural-urban disparities in child nutrition are primarily attributable to the
14 difference in the levels of critical endowments such as household affluence, maternal as well
15 as spouse’s education, while differences in the strength of association (or returns to
16 endowments) between determinants and nutrition outcomes are relatively small in magnitude
17 [29]. However, studies conducted in India found that large disparities in child nutritional
18 outcomes across states are modestly explained by the differences in critical endowments, while
19 returns to endowments or implementation of nutrition-relevant programmes are crucially
20 related in explaining such disparity [30].

21 During the past two decades, India and its states have witnessed substantial changes in
22 endowments (covariates) and have also experienced enormous policy changes (coefficients)
23 which could have direct or indirect bearing on child nutritional outcomes. Apart from the
24 expanding scope and coverage of ICDS, many states have also come up with many state-
25 specific schemes and emphasized multisectoral nutrition intervention. For example,

1 Maharashtra, Madhya Pradesh and Karnataka have implemented State Nutrition Missions and
2 placed special emphasis on nutrition surveillance, district planning, and district-level
3 monitoring with the goal of reducing undernutrition to a desirable extent.

4 The present study intends to find out the changing relative contribution of different
5 covariates and coefficients resulting in disparities in childhood stunting in different intervals
6 between 1992-93 and 2015-16 in the state of Bihar. The state of Bihar, located in the eastern
7 part of India is a resource-constrained state, having the highest prevalence of childhood
8 stunting in India for several past decades. The proportion of childhood stunting has declined
9 by 21 percent (or by 13 percentage-points) during the last twenty years – implying an annual
10 average decline of just one percent [1]. Numerically, about 10 million children in Bihar are
11 stunted. Notably, Bihar alone contributes around 15 percent of stunted children in India. More
12 importantly, out of 100 districts in India, where prevalence of stunting is the highest, one-
13 quarter belongs to Bihar. It was estimated that malnutrition (maternal and child malnutrition
14 together) continued to be the largest risk factor driving maximum death and disability since
15 1990s [31].

16 Changes in the basic socio-demographic and economic indicators during the last two
17 decades are given in Table 1. To note, the state of Bihar has undergone territorial changes
18 following Bihar Reorganization Act (2000) (Government of India, 2000) and a separate state
19 of Jharkhand was created from the districts of south Bihar.

20 --<Table 1: Some important demographic and health indicators of Bihar in 1992-93 and 2015-16>--

21 The strength of the present study is as follows. First, although the under-five children
22 of Bihar are highly vulnerable to stunting compared to those in the other states of India for
23 long, hardly any comprehensive study has been carried out in Bihar covering almost two and
24 half decades to understand the changing relative contribution of endowments and returns to

1 endowments resulting in disparities in childhood stunting. Second, the study applied an
2 advanced econometric tool, namely, unconditional quantile regression-based counterfactual
3 decomposition (QR-CD) method, which allows a more nuanced approach to disentangle the
4 effects of endowments (or covariates) and returns to endowments (or coefficients) and thus
5 contributes to the existing literature on childhood stunting in India.

6 The study would also like to enquire whether the changing contribution of endowments
7 and their returns are different at the lower quantile of height-for-age Z-score (HAZ)
8 distribution, where the likelihood of prevalence of severe stunting is more than the middle and
9 higher ends of the HAZ distribution. Such insights would be of utmost value in a policy
10 atmosphere where targeting the most vulnerable is considered imperative. The primary
11 hypothesis is that the period-wise changes across the HAZ distribution arises from covariate,
12 rather than coefficient effects. Disparities at the lower end of the HAZ distribution are of
13 specific attention to the present study. A secondary hypothesis is that, there are important
14 differences across the quantiles in terms of relative contributions of endowments and returns
15 to endowments in period-wise changes, even if an endowment or its return dominates.

16 **Materials and Methods**

17 *Data and Variables*

18 Data for this study was drawn from the four successive rounds of National Family Health
19 Survey (NFHS), an Indian variant of Demographic and Health Surveys (DHS)
20 (www.dhsprogram.com), which were carried out between 1992-93 and 2015-2016 by the IIPS,
21 Mumbai; ORC Macro; Macro International Inc and ICF [1, 41-43]. It is imperative to note that
22 although the state of Bihar was reorganized in 2000, data was culled out for the second round
23 (1998-99) for the districts representing present-day Bihar using district codes to make it
24 comparable with the third round (2005-06). During 1992-93, in undivided Bihar, the survey

1 collected information of 3,575 children born during the four years preceding the survey. During
2 1998-99, 2005-06 and 2015-16 information of 2,948; 2,320 and 3,679 children were collected
3 respectively. During 1998-99 and 2005-06 information was collected for the children born
4 during three years preceding the survey, while such duration was for five years during 2015-
5 16. For this reason, the study has been restricted to children of age group 0 – 36 months in
6 order to compare childhood stunting over the four rounds of NFHS. It may be noted that the
7 present study intends to compare changes of covariate and coefficient effects between two
8 successive rounds such as between NFHS 1 and NFHS 2; NFHS 2 and NFHS 3; and; NFHS 3
9 and NFHS 4, and not over the rounds, for instance, between NFHS 1 and NFHS 4.

10 Stunting has been defined as height-for-age Z-scores (HAZ) less than minus two
11 standard deviation of the WHO International Reference Standard [45]. It is universally
12 considered as a standard indicator of child undernutrition and health status as it reflects chronic
13 undernutrition caused by long-term deprivation. A child's height-for-age is a measure of their
14 height, relative to a healthy standard population of the same sex and the same age-in-months.
15 Height-for-age is measured using Z-scores, meaning that it is expressed as a difference between
16 the height of the observed child and the average height of healthy children, scaled by the
17 standard deviation of child height in the healthy population. A child with a height-for-age Z-
18 score (HAZ) of zero would be as tall as the average child in the healthy reference population;
19 a child with a negative height-for-age Z-score is shorter than the average child in the healthy
20 reference population. The formula for calculating the HAZ score is

$$21 \quad \text{HAZ} = \frac{\text{Observed height} - \text{average height}}{\text{Standard Deviation (SD)}}$$

22 Complete information on HAZ score was available for 1,821; 1,627; 1,188; and 2,184
23 children of age 0-36 months in the four respective rounds. HAZ has been used as outcome
24 variable in all the regression models. The study has included the current age of the child (in

1 months), square of the age, sex of the child (male, female), size of the child at birth (more than
2 average, average, small) as a proxy for birth weight, initiation of early breastfeeding (no, yes),
3 and number of siblings as child characteristics. Receipt of any services from ICDS during 12
4 months preceding the survey was included while comparing NFHS 3 and 4 because such
5 information was available only in these rounds. Maternal characteristics comprises age of the
6 mother at first birth (in years), maternal education (in completed years), work status (working,
7 not working), degree of media exposure (additive index of three binary variables – reading
8 newspaper, watching television, listening to radio at least once a week). Institutional delivery
9 (no, yes) was considered as a proxy of contact with health personnel by the mother. Maternal
10 height and maternal BMI, and anaemia (no, mild, moderate and severe) were included for
11 analyses of second, third and fourth rounds of NFHS because such information were not
12 collected in the first round. Similarly, normalized factor scores of variables indicating
13 household decision making, freedom of movement etc. were incorporated as maternal level
14 variable in second, third and fourth rounds of NFHS (see endnote 1).

15 Household wealth index, religious category (Hindu, Muslims/others), membership to
16 social group (scheduled castes (SC), scheduled tribes (ST), Others) were incorporated as
17 household level variables. One may note here that the first round of NFHS did not collect data
18 on ‘other backward castes’ (OBCs) and thus categorised them as ‘Others’. Household wealth
19 index as calculated by DHS is based on possession of household durable assets, availability of
20 safe drinking water and sanitation, and landholding. For construction of index, the variables
21 were first broken into sets of dichotomous variables and indicator weights were then assigned
22 using principal component analyses (PCA) as suggested by Filmer and Pritchett [32]. In
23 addition to the variables representing child, maternal and household characteristics, place of
24 residence (rural/urban) was also included in the regression models.

1 *Statistical analysis*

2 To assess the differentials in HAZ scores over the study period, first, the distribution of the
3 HAZ scores of Bihar's children was estimated separately in each survey period using kernel
4 smoothing techniques and period-wise differentials were computed at each quantile to provide
5 raw difference in HAZ scores across the distribution.

6 The present study was intended to decompose the period-wise differences in child's
7 HAZ scores in covariate effect, i.e. the differences in HAZ scores arising out of the differences
8 in levels of characteristics or composition of the children in the survey-period; and the
9 coefficient effect, i.e. the differences in HAZ scores caused by the differences in the returns to
10 those characteristics or structure, across the entire HAZ distribution. It is worth noting that
11 most of the earlier studies have largely modelled the nutrition outcomes (such as HAZ scores)
12 at the mean level by using ordinary least square (OLS), or the prevalence of stunting,
13 underweight or wasting by using logit or probit regression approaches. These approaches have
14 limitation on the following grounds. First, changes in the covariates and the effect of covariates
15 are constrained to be same along the entire distribution of outcome variable (HAZ, in this case)
16 in these models. Second, decompositions based on OLS would apply only to the period-wise
17 mean differences in HAZ scores; however, not to other distributional characteristics, such as
18 quantiles.

19 Quantile regression (QR) method, developed by Koenker and Bassett, allows effects of
20 covariates to vary across the entire distribution of continuous response variable [33]. Limitation
21 of this model is that it estimates only the conditional quantile effects of changes in covariates.
22 In this study, we intended to estimate the effect of policy intervention, for instance, mother's
23 BMI in a population of individuals with different characteristics (i.e. unconditional effects)
24 rather than its association for some sub-groups with explicit values of mother's BMI (i.e.

1 conditional effects). Unconditional recentred influence function quantile regression developed
 2 by Firpo *et al.* to assess the unconditional quantile effects of changes in covariates was
 3 employed in the present study [34]. The method consists of employing a regression of a
 4 transformation – the recentered influence function (RIF) – of the dependent variable (Y) on the
 5 explanatory variables (X). Advantage of this method is that it allows estimating the
 6 contribution of each explanatory variable for the components of the HAZ decomposition and
 7 thus extends the Blinder-Oaxaca (BO) decomposition to distributional statistics other than the
 8 mean [35]. The details of the differences between conditional and unconditional quantile
 9 methods are given in the *Appendix Note*. The rationale behind application of such quantile
 10 regression based counterfactual decomposition (QR-CD) approach would be strengthened if
 11 there are important differences across the HAZ distribution in the relative contributions of
 12 covariate and coefficient effects to period-wise changes.

13 To estimate the unconditional quantile regression, first we have derived the RIF of the
 14 response variable (HAZ score, in our case). The RIF for the τ_{th} quantile is given by the
 15 following expression:

$$RIF(Y, q_\tau) = q_\tau + \frac{\tau - I(Y \leq q_\tau)}{f_Y(q_\tau)} \quad (1)$$

17 Where $f_{Y(q_c)}$ is the marginal density function of Y at the point q_c estimated by kernel
 18 methods; q_c is the sample quantile; $I(Y \leq q_c)$ is an indicator function, which indicates whether
 19 the value of the response variable is below q_c . RIF offers a linear approximation to a non-linear
 20 functional ($v(Y)$) (such as median) of the Y distribution and thus permits calculating partial
 21 effects for every covariate [34]. Firpo *et al.* have also shown that by estimating OLS of the new
 22 transformed response variable on the covariates (X), the RIF quantile regression may be
 23 implemented [34]. In case of this study, considering two periods (t_1 and t_2), RIF regressions for
 24 HAZ score in both periods are estimated as:

$$E[RIF(Y_{icg}; q_\tau) | X_{icg}] = X_{i,g} \beta_{\tau,g} \quad g = t_1, t_2 \quad (2)$$

Coefficients $\beta_{\tau,g}$ represents the approximate marginal effects of the predictor variables on the HAZ quantile q_τ for children age 0-35 months in periods $g = t_1, t_2$.

Once we estimate the parameter $\beta_{\tau,g}$ for each year in the sample, OB decomposition is applied using RIF unconditional quantile estimates for any given quantile by following equation -

$$\hat{q}_\tau(HAZ_{t_2}) - \hat{q}_\tau(HAZ_{t_1}) = [\overline{X_{t_2}}(\widehat{\beta}_C - \widehat{\beta}_{t_2}) + \widehat{R^{Coeff}}] + [(\overline{X_{t_1}}\widehat{\beta}_{t_1} - \overline{X_{t_2}}\widehat{\beta}_C) + \widehat{R^{Cov}}]$$

where t_2 is the final year and t_1 is the initial year. In our application, we set up the initial years as 1992-93, 1998-99, and 2005-06 and the final years as 1998-99, 2005-06, and 2015-16 respectively. As typical in OB decomposition, the term $\hat{q}_\tau(HAZ_{t_2}) - \hat{q}_\tau(HAZ_{t_1})$ represents the raw differences in t_2 and t_1 HAZ scores at the τ^{th} quantile and X represents the covariate averages. The term $\overline{X_{t_2}}(\widehat{\beta}_C - \widehat{\beta}_{t_2})$ refers to the coefficient effect and $(\overline{X_{t_1}}\widehat{\beta}_{t_1} - \overline{X_{t_2}}\widehat{\beta}_C)$ represents the differences between t_2 and t_1 scores, which are attributed to the differences in characteristics of the endowments and thus refers as the covariate effect. $\widehat{R^{Coeff}}$ and $\widehat{R^{Cov}}$ are error terms while estimating coefficient and covariate effects.

Although the current research started with the reduced form of conceptual framework of the UNICEF [46], a further refinement of covariate set was required, since decomposition of observed HAZ differences into covariate and coefficient effects require well-specified regressions models which should include key relevant covariates [30]. The final regression models include the covariates representing child, maternal, household and spatial characteristics as mentioned in the preceding section.

To note, we have tried our best to minimize endogeneity problems in accordance with the previous literature [29-30], though some form of endogeneity bias may persist and can lead

1 to difficulties in parameter interpretation. However, as O'Donnell *et al.* noted, the aim of the
2 CD exercise is not solely to identify causal relationship, but to explain variations in child's
3 HAZ and resolve the relative values of covariate and coefficient effects [36]. One should
4 cautiously interpret the coefficients of variables that are potentially endogenous; however, the
5 decomposition itself remains valid.

6 **Results**

7 *Descriptive statistics*

8 Table 2 reveals percentile of HAZ scores adjusted by kernel smoothing for the four rounds of
9 NFHS. HAZ scores of first and second rounds of NFHS are not strictly comparable because of
10 territorial changes as mentioned earlier. The HAZ values of Bihar were also compared with the
11 HAZ values of overall India. Without loss of generality, one can note that absolute increase in
12 overall HAZ scores was the highest between the second and third rounds of NFHS (i.e. between
13 1998-99 and 2005-06) followed by the third and fourth rounds i.e. between 2005-06 and 2015-
14 16. Child's HAZ scores largely remained at the same level between 1992-93 and 1998-99.
15 Absolute increase of child's HAZ scores was remarkable for the bottom quantiles between
16 1998-99 and 2005-06 nationally and in Bihar, in particular. In Bihar, there was even decline of
17 HAZ scores at the top quantile. However, between 2005-06 and 2015-16, absolute increase in
18 the HAZ scores was observed at the top quantile nationally as well as in Bihar. In other words,
19 nutritionally better-off children gained more compared to the severely stunted during the last
20 decade.

21 --<Table 2: Percentile of HAZ score in NFHS 1, NFHS 2, NFHS 3 and NFHS 4 in Bihar and India>--

22 Table 3 depicts socio-demographic and economic characteristics of the samples in four
23 rounds of NFHS. It has been observed that initiation of early breastfeeding (within one hour of

1 birth) has improved dramatically – more than 14-times – between 2005-06 and 2015-16.
2 Although number of siblings of the index child has declined in the recent past, it still indicates
3 that fertility in the state is high. Notably, benefit received from ICDS services increased by
4 more than 7-fold between 2005-06 and 2015-16. Similar is the case for institutional delivery
5 of mothers. Mother’s age at first child has increased by nearly two years during the study
6 period. BMI of mothers has improved between 2005-06 and 2015-16, while the rate of decline
7 of anaemia was substantial between 1998-99 and 2005-06 compared to 2005-06 and 2015-16.
8 Mother’s educational level has improved marginally in all the rounds. Although workforce
9 participation rate among mothers remained consistent at around 20 percent during 1992-93 and
10 2005-06, it has declined by half between 2005-06 and 2015-16. Degree of media exposure was
11 found to have increased marginally over the years.

12 Majority of the respondents in the sample was Hindu and non-SC/ST, including OBCs.
13 It is surprising to find out that proportion of economically marginalized households in the
14 sample has increased from 1998-99, in spite of the state’s higher economic growth during these
15 periods, particularly after 2005 [37]. Being the least urbanised state of the country (among the
16 major states), overwhelming proportion of the sample belong to the rural areas of Bihar.

17 --< Table 3: Sample Characteristics of Child age 0-35 months according to Background

18 Characteristics in Bihar >--

19 *Unconditional RIF quantile regression results*

20 The estimates derived from unconditional RIF quantile regressions (QR) separately for
21 all the survey periods were shown in Tables 4 and 5. It has been observed that child age has
22 negative and significant influence with child’s HAZ scores across quantiles. If one moves from
23 the lower tail to the upper tail of the distribution, this effect increases. It indicates that the
24 children, who have started with better nutritional status tend to lose more as they grow older

1 through faltering. Although such observation holds for the second and third rounds of the
2 survey, the said observation confirms up to 75 percent quantile for the first and fourth rounds.
3 Girls were found to have significantly better HAZ outcomes compared to boys across quantiles;
4 however, strength of association varies across quantile and period of survey. Child's size at
5 birth (proxy for birth weight) was found to have varying association with HAZ scores across
6 quantiles during first two rounds; in third and fourth rounds, size of the children at birth did
7 not have any significant effect on HAZ scores. Early initiation of breastfeeding was found to
8 have positive and significant effect on HAZ scores in the first round, while such effect
9 weakened during the last three rounds. Higher sibling size has negative significant influence
10 on child's HAZ scores, particularly among those belonging to the lower quantiles in the third
11 and fourth rounds of the survey. Receipt of any benefit from ICDS was found to be negatively
12 associated with child's HAZ scores and such effect increases when we go from the lower tail
13 to the higher tail of the HAZ distribution in the last round of the survey.

14 Institutional delivery of mother, which is an important indicator for contact with health
15 personnel, has positive and significant influence on child's HAZ scores across quantiles,
16 particularly at the lower and middle quantile in varying degree except during the third round
17 of the survey. Significant positive effect of higher age of mother's first birth on child's HAZ
18 outcomes was found in the higher quantiles during the first and the latest rounds of the survey,
19 but not in the other rounds. Notably, significant positive influence of maternal education on
20 child's HAZ scores decreased with rounds.

21 ---< **Table 4: Unconditional Re-centred Influence Function (RIF) Quantile Regression Results for**
22 **NFHS 1 (1992-93) and NFHS 2 (1998-99) in Bihar** >---

23 ---< **Table 5: Unconditional Re-centred Influence Function (RIF) Quantile Regression Results for**
24 **NFHS 3 (2005-06) and NFHS 4 (2015-16) in Bihar** >---

1 Working mothers are significantly more likely to have children with lower HAZ scores
2 compared to their non-working counterparts across quantiles during the first round of the
3 survey; however, such association holds only in lower quantiles in the second and fourth
4 rounds. Mother's exposure to any mass media was found to have positive significant influence
5 in the middle and upper quantiles of HAZ scores in the first round, though it weakened in other
6 rounds. Maternal height and BMI both have small but significant influence in enhancing child's
7 HAZ scores across quantiles; and such association strengthened in the last two rounds of
8 survey. Degree of maternal empowerment was found to have positive significant effect on
9 child's HAZ scores during the second round of the survey; however, such relationship
10 weakened during the last two rounds.

11 Differentials with respect to religious affiliation were found in child's HAZ scores
12 during the first round of the survey; however, the relationship weakened thereafter – indicating
13 that differences in religion is no more a significant factor in explaining disparities in childhood
14 stunting. However, relationship between childhood stunting and caste affiliation is not
15 straightforward – significant differentials were observed in the first and the third rounds of the
16 survey; however, not in the second and fourth rounds. Significant positive influence of
17 household affluence on child's HAZ outcomes was found during the first and third round of
18 the survey and observation suggests that the effect is higher among those belonging to higher
19 quantiles. The results also revealed that rural-urban differentials in child's HAZ outcomes
20 diminished over the period in Bihar.

21 ***Quantile regression Oaxaca Blinder counterfactual decomposition (QR-CD)***

22 The estimated QR-CD results at the aggregate level of child, maternal, household and spatial
23 characteristics were presented in the Tables 6-8, while a detailed breakdown of contribution of
24 these characteristics were given in the *Appendix Tables A1-A3*.

1 Before interpreting the results, it should be kept in mind that the negative sign of the
2 observed raw gap in HAZ scores between two successive periods reflects the fact that raw HAZ
3 scores of the later period were lower than the previous period in all quantiles, except at the
4 highest quantile between the second and third rounds. Further, it must be recognized that the
5 direction of effect of the contribution of characteristics as shown in the Tables 6-8 – negative
6 figures implies a contribution to *increase* in the disparity in HAZ scores over time, while
7 positive figures shows a contribution to *diminish* it over the periods. A careful look at these
8 tables reveals certain patterns of covariate effects and coefficient effects across quantiles and
9 over the periods.

10 ---<Table 6: Oaxaca Blinder Decomposition of HAZ Scores of NFHS 1 and NFHS 2 in Bihar >---

11 ---<Table 7: Oaxaca Blinder Decomposition of HAZ Scores of NFHS 2 and NFHS 3 in Bihar >---

12 ---<Table 8: Oaxaca Blinder Decomposition of HAZ Scores of NFHS 3 and NFHS 4 in Bihar >---

13 It may be observed that between the periods 1992-93 and 1998-99 covariate (or
14 endowments) effects contributed significantly to enhance disparities in child HAZ outcomes,
15 at the 10th, 50th and 75th quantiles, while coefficient (returns to endowments) effects dominated
16 over covariate effects in enhancing disparities in child's HAZ outcomes at 90th quantile (see
17 Table 6). Lower panel of the Table 6 suggests that child endowments alone contributed 36.5
18 percent at 90th quantile to 270.8 percent at 25th quantile in explaining disparities in child's HAZ
19 outcomes. Effect of mother's characteristics (or mother's endowments) in explaining such
20 disparities was found to be relatively small and varies between -8.8 percent at 90th quantile to
21 38.4 percent at 25th quantile, while effects of household characteristics reduced covariate
22 effects, particularly at 10th and 25th quantiles.

23 Notwithstanding, the directions of covariate and coefficient effects reversed
24 significantly between the periods 1998-99 and 2005-06 as well as between 2005-06 and 2015-

1 16 (see Tables 7 and 8). During both the periods, coefficient effects (or returns to endowments)
2 significantly surpassed covariate effects (or endowments) in most quantiles except the bottom
3 quantile. Between 1998-99 and 2005-06, coefficient effects enhanced disparities in child's
4 HAZ outcomes by 89 – 254.5 percent between 25th and 75th quantiles (Table 7), while such
5 effects vary between 117 – 168.7 percent between the same quantile between 2005-06 and
6 2015-16 (Table 8). Additionally, between the said periods, coefficient effects enhanced
7 disparities in child's HAZ outcomes even at the 90th quantile. The lower panels of the Tables
8 7 and 8 revealed that between 1998-99 and 2015-16, coefficient effects of child characteristics
9 significantly increased disparities across quantiles, while the said effects of mother's
10 characteristics have tried to reduce it except at 25th and 50th quantiles. Further, coefficient
11 effects of the household attributes have tried to increase disparities in HAZ outcomes
12 significantly at 25th and 75th quantiles between 1998-99 and 2005-06, and at 10th and 50th
13 quantiles between 2005-06 and 2015-16. Additionally, during the last period, positive and
14 significant covariate effects were observed at the higher tails of HAZ distribution.

15 If covariate effects and coefficient effects of different attributes are looked at in more
16 disaggregated manner during the study period (as given in the *Appendix A1-A3*), it may be
17 found that these effects vary across quantiles, periods and nature of endowment. For example,
18 delivery in institutions was found to have significant effect in enhancing disparities,
19 particularly at lower tails of the HAZ distribution between 1992-93 and 1998-99 (*Appendix*
20 *Table A1*). Coefficient effects of mother's height and BMI, and media exposure have tried to
21 reduce disparities across quantiles between 1998-99 and 2005-06 (*Appendix Table A2*). During
22 the same period, covariate effect of institutional delivery has contributed significantly in
23 increasing disparities. Between 2005-06 and 2015-16, both covariate and coefficient effects of
24 the receipt of ICDS services were found to be significantly associated with the reduction of
25 HAZ disparities among children (*Appendix Table A3*). The same table also reveals that between

1 2005-06 and 2015-16, both covariate and coefficient effects have contributed in enhancing
2 disparities in childhood stunting among ST children, who are placed at the lower and middle
3 HAZ quantiles compared to the children from other caste groups.

4 **Discussion**

5 The QR-CD method provides specific insight into the drivers of disparities across child's HAZ
6 distribution. The understanding of factors resulting in disparities in the lower quantiles of HAZ
7 scores would be useful in designing interventions aimed at the vulnerable households with
8 children of the highest levels of stunting. In order to assess the contribution of the 'returns' of
9 various interventions in reducing child HAZ disparities during the last two decades, such
10 quantification of the contribution of different socio-demographic, economic and cultural
11 determinants seemed to be imperative for the state of Bihar.

12 This study indicates that although between 1992-93 and 1998-99 child's HAZ disparity
13 at the bottom quantile of the distribution largely accounted for the differing levels of
14 endowments, in the later periods such differences weakened statistically except for the children
15 belonging to the socially marginalized ST community. In other words, between 1992-93 and
16 1998-99, at the lowest quantile, reducing disparity in childhood stunting was a matter of
17 equalizing endowments; however, between 1998-99 and 2015-16, both unequal endowments
18 as well as dissimilar access to the benefits of implementation of government sponsored
19 schemes were largely responsible for childhood HAZ disparity. At the higher quantiles,
20 particularly between 50 – 75th quantile, although unequal endowments were responsible for
21 such disparities between 1992-93 and 1998-99, inadequate access to benefits from programme
22 implementation was largely found accountable between 1998-99 and 2005-06 as well as
23 between 2005-06 and 2015-16.

1 From the QR-CD estimates between 2005-06 and 2015-16, it is important to note that
2 there are limited number of equalizing endowments which can have significant influence in
3 reducing disparities in child HAZ outcomes for the bottom quantiles, though at the aggregate
4 level, influences of endowments were statistically weak. According to the current estimates,
5 much of the reduction of disparities at the lowest quantile can be achieved by maintaining the
6 regularity of ICDS services, early initiation of breastfeeding, reduction in sibling size (proxy
7 for fertility size), increasing mother's age at first birth, mass media exposure, educational
8 attainment, employability, and social inclusivity. Additionally, access to the programmes
9 pertaining to initiation of early breastfeeding, securing access and reducing gender-gap in
10 receipt of ICDS services, reduction of early childbearing, improving mother's nutritional
11 status, and creation of household wealth were found to be imperative to the households having
12 the highest level of stunting. Because coefficient effects indicate all-inclusive returns to
13 endowments, arguably, not only the *reach* of these programmes, but also ensuring *quality* of
14 these programmes also could enhance child nutritional status. Although earlier studies have
15 also demonstrated the influence of these characteristics in lowering stunting [38-39], they were
16 unable to quantify the influence of reach of various policies and programmes in reducing
17 stunting.

18 In addition to the implementation of centrally sponsored schemes such as ICDS, the
19 government of Bihar has started various programmes in the recent past which have indirect
20 influence on the reduction of child undernutrition. Currently 18 centrally sponsored schemes
21 and 30 state-specific nutrition-sensitive schemes are being implemented by 16 departments
22 covering all the aspects of the findings of the present study. It would have been more
23 meaningful and easier to monitor if all these schemes were brought under a single umbrella of
24 a State Nutrition Mission. How a State's Nutrition Mission can successfully reduce the menace
25 of child undernutrition has been well-documented for the state of Maharashtra in India [40].

1 The key factors identified in the policy processes include the way in which the issue was framed
2 and available evidences played a catalytic role for a political response. Forming the State
3 Nutrition Mission was, thus a response of government structures; and system-wide capacity
4 was combined with leadership in an innovative fashion to utilize available resources.

5 Nonetheless, the Draft State Plan of Action for Children 2017 proposed 11 strategies
6 and actions for all-round development for children. These include effective implementation of
7 schemes, programmes and laws; mapping vulnerable households and linking those households
8 with appropriate development schemes; raising community awareness on the nutritional issues
9 through institutional interventions; institutional strengthening through capacity building of
10 staff; improved infrastructure and outreach; strengthening child-relevant resources and
11 facilitating uptake of principal schemes and services etc. The state plans for action also
12 emphasized ‘breaking the intergenerational cycle of malnutrition’ by provisioning take-home
13 ration and ensuring safe health and hygiene practices through better outreach services,
14 particularly in the aspirational districts. The said action plan must also accommodate the issue
15 of intersectoral coordination in implementation of these programmes in order to harness better
16 dividend of these schemes.

17 There are some limitations of the study, which need to be pointed out. First, NFHS
18 sampling frame of 1998-99 does not allow the separation of districts from the states. However,
19 because of the unavailability of any other comparable dataset, it was compelling to segregate
20 districts of undivided Bihar. This may under- or over-estimate the QR-CD results to a
21 disproportionate extent. Secondly, CD exercise can provide reliable estimates only if the
22 primary quantile regression includes all the important factors of childhood stunting and is well-
23 specified [29]. To note, as the choice of explanatory variables has been constrained by the
24 coverage of NFHS, key variables considered by the previous literature are included in the

1 present study [18, 29-30]. However, in such situation, the issue of endogeneity cannot be
2 entirely ruled out, though necessary tests were carried out to get rid of this. Thirdly, providing
3 clinical interpretations of the effect size of the variables is beyond the scope of the present
4 study. Finally, the ‘coefficient effects’ in such comparisons lump several potential effects
5 together and are not informative about specific factors or actions [30]; thus, interpretations of
6 coefficient effects are speculative. Nonetheless, this research helps to highlight important
7 dimensions of child nutritional improvement during the last two and half decades for the state
8 of Bihar.

9 **Conclusions and policy recommendations**

10 Inconspicuous presence of child nutrition in the Millennium Development Goals (MDG)
11 framework with an imperfect measure of child undernutrition (i.e. underweight) was criticised.
12 However, the issue has gained considerable momentum in the Sustainable Development Goals
13 (SDGs) as the ambition to ‘end hunger, achieve food security and improved nutrition and
14 promote sustainable agriculture’ is captured in SDG 2. Further, at least 12 of the 17 Goals
15 contain indicators, which are highly relevant to nutrition because of the fact that without
16 adequate and sustained investments in good nutrition, the SDGs would not be realised. The
17 present study suggests that child undernutrition in Bihar is not just from a lack of sufficient and
18 adequately nutritious and safe food, but from a host of intertwined factors linking social
19 inclusivity, healthcare, women’s education and work, household wealth (including water,
20 sanitation and hygiene), access to public distribution system (PDS) and more.

21 One such state-specific nutritional intervention has been implemented through
22 JEEViKA (see endnote 2) platform in 101 blocks of 11 districts though technical support from
23 non-governmental agencies. The said platform consists of convergence with government
24 entitlements, nutrition education and direct livelihoods interventions such as kitchen garden,

1 poultry, dairy cattle rearing, and food security (credit) line/fund to smooth out lean seasons
2 (agriculture production and remittances). Initial evaluation suggests that the collectivization of
3 healthy practices around reproductive, maternal, neonatal and child health in rural Bihar has
4 increased significantly through this intervention [47]. It is hoped that such nutrition-sensitive
5 programmes with improved surveillance would result in the reduction of child undernutrition
6 in the long run. We suggest that such interventions should be scaled-up further, if proven
7 successful. Further, the nutritional rehabilitation centres across the state must be strengthened
8 in line with Madhya Pradesh [48]. Additionally, tightening the implementation mechanism of
9 the PDS in the tribal areas of Bihar would be an important step. The concerned governmental
10 agencies must ensure that tribal families are not deprived of the ration that they are entitled to
11 because of issues like the non-possession of identity cards. To ensure better dividends from
12 these schemes, there is a need for developing a comprehensive framework for appropriate
13 budgeting and expenditure for these schemes and bringing convergence and greater
14 coordination among the administrative departments [49-50].

15 In addition to scaling-up proven nutrition-specific interventions in other Indian states,
16 the state of Bihar, must focus on policy processes and their political underpinnings to reduce
17 the risk of childhood stunting. This is of utmost importance because earlier studies have shown
18 that strong programme leadership, political support across sectors, encouraged by personal
19 relationships and dedication to pushing the nutrition agenda forward, and policy and
20 programme advocacy by civil society organizations can bring about tangible outcomes in
21 reducing disparities in childhood stunting [40].

22

23

24

25 **List of Abbreviations**

26 NFHS: National Family Health Survey

- 1 DHS: Demographic Health Survey
- 2 AOR: Adjusted Odds Ratio
- 3 RIF: Re-Centred Influence Function
- 4 HAZ: Height for age Z score
- 5 SDG: Sustainable Development Goals
- 6 IIPS: International Institute for Population Sciences

7

8 **Declarations**

9 **Ethics approval and consent to participate**

10 The study used the fourth round of National Family Health Survey (NFHS) data, which is
11 publicly available. Before conducting the survey, NFHS had taken ethical approval. For the
12 present study, ethical approval is not required.

13 **Consent for publication**

14 Not applicable for this study.

15 **Availability of data and materials**

16 The datasets generated and/or analysed during the current study are available in the [DHS]
17 repository, [www.dhsprogram.com]

18 **Competing interests**

19 Authors do not have any competing interest.

20 **Funding**

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22 **Authors' contributions**

23 **SG** and **DB** conceived the idea.

24 **SG** implemented the idea.

25 **SKS** analysed the data and prepared the tables.

1 **SG** prepared the draft.

2 **DB** commented on the draft and made necessary changes.

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9 edited the final draft.

10 **Authors' information (optional)**

11 Not required

12 **Endnote 1:** Women's empowerment indicators were created from factor scores of the factor
13 analyses using different variables indicating women's household decision making power,
14 freedom of movement etc. For NFHS 2, 1998-99, the following variables were included: who
15 decides how to spend money, who decides about obtaining health care, who decides what to
16 cook, permission needed to go to market, and permission needed to visit relatives or friends.
17 Women's work for cash in the past 12 months was also incorporated. In NFHS 3, 2005-06,
18 final say on how to spend money, final say on one's own health care, final say on household
19 purchases, final say on visiting relatives or friends, work for cash in the past 12 months, having
20 bank account were considered to create such index. In NFHS 4, 2015-16, the variables such as
21 who decides on own health care, who decides on how to spend money, who decides on
22 household purchases, who decides about visiting relatives or friends, owning house/land, work
23 for cash in the past 12 months, having bank account, and having mobile phone were included
24 in the analysis.

1 **Endnote 2:** JEEViKA is an initiative of the Government of Bihar for poverty alleviation, which
2 aims at social and economic empowerment of the rural poor by improving their livelihoods and
3 by developing institutions of women like self-help groups (SHGs) and their federations. It will
4 eventually enable rural households to access and negotiate better public provisioning of credit,
5 assets and services [51].

6

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