

# Gelatin, a protective agent against iron gall ink corrosion?

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## Research Article

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# Abstract

Iron gall Inks are known to promote paper degradation, thus jeopardizing the conservation of written Heritage. This phenomenon, also called iron gall ink corrosion, is not only governed by chemical reactions occurring between ink constituents and cellulose (the main constituent of paper) but also by the penetration of ink components inside the paper. This penetration depends on the ability of water and ink soluble components to migrate inside the sheet. This latter is composed of hydrophilic cellulosic fibers (of diameter approx. 10  $\mu\text{m}$ ) embedded in a size that lowers water affinity and thus makes it suitable for writing. This work aims to better understand the impact of gelatin size on iron gall ink corrosion by investigating the distribution of gelatin and ink components at the scale of individual paper fibers. STXM, a nano-scale mapping technique (resolution of 30 nm) that also allows NEXAFS analysis was used for this purpose. Fe L-edge measurements enabled to map iron distribution and to locate iron(II) and iron(III) rich areas. N K-edge measurement made it possible to map gelatin distribution. C K-edge measurements allowed mapping and discrimination of cellulose, gallic acid, iron gall ink precipitate and gelatin. Three fibers were studied: an inked fiber with no size, a sized fiber that was afterwards inked and an inked fiber sprayed with gelatin (to model the impact of conservation treatments that use gelatin as a re-sizing agent). Analysis of gelatin and ink ingredients distribution inside and outside the cellulosic fiber gave some clues to account for the limiting impact of gelatin on iron gall ink corrosion.

## Introduction

The degradation of paper by iron gall inks, known as “iron gall ink corrosion”, raises challenging conservation issues for drawings and archival records. These inks were widely in use for writing up to the nineteenth century. They are mainly composed of three ingredients: plant aqueous extracts rich in carboxyphenolic acids (such as gallnuts extract rich in gallic acid), an iron salt (often an iron sulfate, also called Vitriol) and a binder (usually a plant gum, such as gum Arabic). Their acidity (pH 2 to 3) induces cellulose depolymerization through acid catalyzed hydrolysis [1–3]. It has been often put forward that the presence of iron(II) and iron(III) in these inks would produce, via Fenton reactions, highly reactive hydroxyl radicals thus promoting cellulose oxidation and leading to chain scissions [4]. This mechanism, that was clearly evidenced in mild alkaline conditions, has probably a minor contribution in acidic medium : no hydroxyl radicals could be detected with trapping techniques below pH 6 probably because they recombine with available protons in this pH range [1]. Moreover, the degradation of paper by iron gall ink has an activation energy of 95–98  $\text{kJ}\cdot\text{mol}^{-1}$  [3], a value that is compatible with acid-catalyzed cellulose hydrolysis and much higher than those of cellulose oxidative mechanism. The presence of iron in the inks participates to acid-catalyzed depolymerization : on cellobiose, it was demonstrated that the oxidation of iron(II) to iron(III), led to the acidification of the medium and was responsible for the hydrolysis of the glycosidic bond [2]. For this reason, the presence of free iron(II) is considered to be detrimental to paper conservation.

Yet, the degradation of paper by iron gall ink is governed not only by chemical reactions, but also by the physical penetration of ink (and iron) within the paper. The deeper the ink goes within paper sheets and

paper fibers, the most likely are chemical reactions between cellulose and ink components. Paper fibers come from the paper pulp and are initially highly permeable to water. During the paper making process, sheets are impregnated with a size that makes them less permeable to water and thus suitable for writing. The size is also a key parameter to prevent the migration of ink on the verso of the paper sheet. In case of reactive inks, such as iron gall inks, it also limits future chemical interactions between the ink components and the paper support.

The penetration depth of modern inks into paper sheets has been studied with several techniques, such as optical microscopy [5, 6], confocal laser scanning microscopy [7], scanning electron microscopy (SEM) [8], X-ray microtomography and laser ablation [9]. Regarding more particularly iron gall inks, particle-induced X-ray emission (PIXE) has been used to measure the penetration of metallic ink components (Fe, Zn, Cu) into unsized paper cross sections with a spatial resolution of  $1.5 \mu\text{m} \times 1.5 \mu\text{m}$  [10]. Yet, water transport in paper sheets (usually about 70–100  $\mu\text{m}$  thick) does not only involve pores and capillaries between fibers but also the fibers themselves, the diameter of which is of the order of 10  $\mu\text{m}$  [11]. Investigating the migration of ink components within paper fibers thus requires analytical tools capable to map components distributions within a paper fiber, i.e. at the nanoscale.

Before the industrial revolution, papers were sized with gelatin. It has been recently shown that gelatin, which is a high molecular mass polymer, does not penetrate paper fibers, but simply coats them [12]. Yet gelatin that bears electronegative groups (such as carboxylates) can interact chemically with cations such as iron [13]. It can also be affected by the ink since it has been shown that the paper of original manuscripts is often more permeable to water on the verso of the ink line than on blank areas. This observation corresponds to an alteration of the size by iron gall ink [14], which is not yet completely understood. In addition, gelatin is currently used in paper conservation workshops for mending or for re-sizing fragile papers (or papers that have undergone washing) [15]. Moreover, it has been shown that a certain type of gelatin, used as resizing agent, induced a decrease of iron gall ink corrosion at the macroscopic and macromolecular scale [16, 17], thus highlighting interactions occurring between gelatin and the paper / iron gall ink system.

Studying migration of gelatin and ink components into paper fibers will help understand the beneficial effect of gelatin on iron gall ink corrosion. Given the diameter of paper fibers (approx. 10  $\mu\text{m}$ ), scanning transmission X-ray microscopy (STXM) offers promising perspectives. It combines i) chemical speciation-sensitive images at a spatial resolution down to 30 nm and ii) Near Edge X-ray Absorption Fine Structure (NEXAFS) measurements. Although NEXAFS spectroscopy (at the Fe K-edge) has already been largely used on iron gall inks to quantify iron(II) to iron(III) ratios in both historical and model inks [18–21], imaging was not carried out. Preliminary measurements on inked paper fibers clearly established the interest of the STXM to map ink components at the fiber scale using the Fe L- and C K-edges [22]. Based on this first work, the present study uses the STXM technique to investigate gelatin and iron gall ink distribution inside individual paper fibers. It aims at a better understanding of the impact of gelatin on iron gall ink corrosion.

# Materials And Methods

## Samples preparation

### Choosing model fibers

The treatment of the fibers before their use for papermaking is an important aspect that impacts the fiber structure and thus its ability to absorb water. Preliminary testing [22] were performed on bleached elemental chlorine free linen fibers coming from raw flax fibers and manufactured with an industrial paper pulp process, developed in the second half of the 20th century, that includes chlorine dioxide as a bleaching agent. Thus, these fibers were not subjected to the same process than those of historical papers made of worn out fabrics. In the present work, we therefore opted for a paper made from linen rags dating from the first half of the 20th century. The pulp, prepared traditionally, contained no filler and no size and was prepared by stamper beating at the Moulin du Verger paper mill (Puymoyen, France). It was used with a mould to make sheets.

Small pieces of the resulting dry sheet were damped with distilled water then individual fibers were sampled with a tweezer under a binocular magnifier. They were let to dry for one hour at 50% relative humidity (RH) on a glass slide. A fiber was left untreated to be used as reference. Three other fibers were impregnated with iron gall ink and/or gelatin.

### Preparation of solutions and impregnation of fibers

An iron gall ink solution was prepared using  $40 \text{ g}\cdot\text{L}^{-1}$  of iron(II) sulfate ( $\text{FeSO}_4\cdot 7\text{H}_2\text{O}$ , Sigma Aldrich) and  $9 \text{ g}\cdot\text{L}^{-1}$  of gallic acid (monohydrate, Sigma). The solution was stirred for 3 days at ambient temperature before use to allow formation of iron gall ink precipitate. In addition, a gelatin solution was made at 4 % w/w with a photographic grade gelatin (bovine source, Gelita, Restauration type 1, batch 073603, 269 Bloom, GMW, Germany). These two solutions were used to impregnate three fibers:

- first an inked fiber, named “I” supposed to model the extreme case of an un-sized paper in contact with a drop of ink. The fiber was immersed in the ink for 15 s, then let for drying. As the resulting colour was very pale, the immersion was re-iterated, leading to a light blue aspect.
- second, a sized and inked fiber, named “G\_I”, supposed to model sized paper coated with iron gall ink. The sizing was done by immersing the fiber for 1 min in the warm gelatin solution (50°C). The fiber was then let to dry one day at 50% RH and ambient temperature. It was then inked in a similar way as described above for the inked fiber I.
- Third, an inked fiber treated with gelatin named “I\_G”, supposed to model a poorly sized paper (no size) coated with ink, and spayed in a conservation workshop with a gelatin solution. The fiber was inked in a similar way as described above for the inked fiber I, then it was sprayed with the gelatin solution.

After the treatments, the fibers were all light blue and were deposited on Si wafers to avoid electrostatic charging of the samples during milling. The presence of gelatin clearly helped to stick the fibers on the

support. The fibers were then let to dry at 50% relative humidity (RH) and ambient temperature in closed glassware with pre-conditioned silica gel (Prosorb, 50% RH).

### **Preparation of cross sections**

STXM analysis requires the preparation of micro-slices thin enough to be transparent for C K-edge X-rays (~ 300 eV). Embedding the sample into a resin was not an option as it would have spoiled the signal of organic matter. FIB (Focussed Ion Beam) was an appropriate alternative to obtain 100 nm thick fiber thin sections [22]. The thin sections were prepared at IEMN (Institut d'Electronique, de Microelectronique et de Nanotechnologies, Lille) using a FIB apparatus equipped with a SEM (Scanning Electron Microscopy) imaging system (FEI corp STRATA DB 235). Before milling, the fiber was coated with platinum by Ion Beam Induced deposition to protect the thin-section during cutting with the gallium ions beam. The thin-section was obtained in two steps: first, by removing the fiber matter from both part of the platinum deposit and secondly, the thin section was thinned down to 100 nm and was then tilted, allowing its final cutting and removal. The thin section was finally fixed on a post of a FIB lift-out grid having two wide posts in V-shaped. SEM images of the cross sections were obtained with an electron beam tension of 3 kV in secondary electron mode. Between milling and STXM analyses, the thin sections were stored in closed glass vessels with an oxygen absorber (RP, Long Life for Art, Germany) and humidity absorber (dry silica gel) allowing very low humidity level).

### **Model compounds**

Model compounds are also necessary to get the NEXAFS reference signatures at each edge. Iron(II) chloride and iron(III) chloride, respectively named  $\text{Fe}^{\text{II}}_{\text{ref}}$  and  $\text{Fe}^{\text{III}}_{\text{ref}}$ , were used for the determination of the iron valence (Fe L-edge) (spectra given by J. Wang, CLS) because the iron sulfates initially considered revealed themselves inappropriate due to the oxido-reduction they had undergone. The gelatin reference, named G, corresponds to an excess of gelatin, noticeable on the cross section of the I\_G fiber that was not in contact with the fiber. The gallic acid model compound, named Ac, corresponds the deposition of a saturated ethanolic gallic acid solution on a TEM grid with a carbon mesh. The iron gall ink precipitate hereafter referred to as IGI was also prepared to identify the C K-edge signature specific of the precipitate. IGI was obtained by centrifugation of a solution containing iron(II) sulfate heptahydrate and gallic acid monohydrate with a molar ratio of 1:1. It was finely ground, put in suspension in ethanol and then deposited on a TEM grid with a carbon mesh.

## **Scanning Transmission X-ray Microscopy (STXM)**

### ***STXM mapping and NEXAFS spectroscopy***

Scanning Transmission X-rays Microscopy (STXM) is a technique that allows X-ray absorption imaging at the nanoscale. It requires synchrotron light. The measurements were done at the Canadian Light Source facility (CLS, Saskatoon, Canada) on the SM 10ID-1 Beamline which provides soft X-rays (1300-2500 eV) [23]. This technique is based on X-ray transmission microscopy [23, 24]. It allows both absorption-contrast X-ray nanoscale imaging (spatial resolution 30 nm) and NEXAFS spectroscopic measurements with a spectral resolution of 0.1 eV. The principle is as follows. The beam is focused on the sample with a Fresnel zone-plate lens. Only the first order of the diffracted beam is selected with an

order sorting aperture (OSA). The sample is scanned in x and y directions and for each energy, the transmitted intensity is detected on an X-rays sensitive detector. The microscope chamber is evacuated to 100 mtorr after sample insertion, then back-filled with helium. During energy scanning, an increase in the sample absorption is observed when the beam incident energy matches the electronic transition of chemical groups. The absorbance is also named optical density (*O.D.*) and is linked to the incident ( $I_0$ ) and transmitted ( $I_t$ ) intensities:

$$O.D. = -\ln\left(\frac{I_t}{I_0}\right).$$

A specific protocol was defined to analyse the samples as iron oxidation state was beam sensitive. First, the whole sample was mapped with low spatial resolution at energies close to Fe L-edges (700 eV, 707.8 eV, 709.8 eV, and 730 eV). This approach made it possible to identify iron(II) and iron(III) rich areas that deserve a closer investigation while limiting sample irradiation. In these areas, stacks, consisting in a 3D data recording of sample absorption versus beam energy were then recorded (see Fig. S1 supplementary material) with a spatial resolution of 30 nm. These stacks allow extraction of the NEXAFS spectra corresponding to a pixel, or to a specific region of several pixels. In this work, stacks were recorded from 700 eV to 720 eV (Fe L-edge range), from 270 eV to 325 eV (C K-edge range) and from 395 eV to 435 eV (N K-edge range) (Tab. S1 in supplementary material). In order to limit iron reduction under the beam, Fe L-edge stacks were recorded first. Then the energy was shifted to the C K- and/or N K-edges for complementary recording in the same areas. It made it possible to map on the same area the distribution of iron(II), iron(III), cellulose, gelatin and IGI compounds.

### **STXM data treatment**

The treatment of the stacks images was done using the *aXis2000* software [25]. For each series of recording, the treatment consisted in (i) *an alignment*, (ii) *a normalization* with incident flux intensity to obtain absorption (optical density (O.D.)) maps, (iii) *a subtraction* of the images at characteristic energies from the image before the edge in order to enhance the component distribution visualization, (iv) *a stack fit* to obtain the component maps (it performs a linear least squares fit of the data and fits the spectrum at each pixel with a sum of user-defined model spectra and a constant) and (v) *a red green blue (RGB) treatment* (to map the distribution of chosen reference compounds using colours). Spectra were extracted from stacks in several region of interest after the (ii) step. For the spectra comparison, an additional normalization to carbon or nitrogen quantities was done using the Athena software package [26].

## **Results And Discussion**

### **Reference NEXAFS signatures and components maps**

Collecting NEXAFS spectra of reference compounds at the Fe L-edge, C K-edge and N K-edge (Fig. 1) was necessary for comparison with those extracted from samples stacks. They were also used as reference

spectra for mapping the distribution of individual compounds in the samples and for obtaining RGB maps.

At the Fe L-edge, the references Fe<sup>II</sup>\_ref and Fe<sup>III</sup>\_ref present four main peaks at 708 eV (Fe L3 edge), 709.8 eV (Fe L3 edge), near 720–721 eV (Fe L2 edge) and near 723 eV (Fe L2 edge) (Fig. 1a). The peaks intensities of these two references are different which, in principle, makes it possible to quantify the Fe<sup>II</sup> to Fe<sup>III</sup> ratio as was done on silicates systems [27]. However, here, no precise quantification was carried out as the reduction of iron under the beam could not be neglected (optical density changes up to 15% were measured during an acquisition). These Fe L-edge spectra also helped choosing STXM energies that are appropriate to map Fe<sup>II</sup> or Fe<sup>III</sup> rich regions (Fig. 2, 3, 4): they show that 708 eV is preferentially related to Fe<sup>II</sup> while 709.8 eV is more specific of Fe<sup>III</sup>. In order to enhance the readability of the images, the 700 eV maps (before the edge) were subtracted from the 708 eV maps (characteristic of Fe<sup>II</sup>). Similarly, the 708 eV maps (specific of Fe<sup>II</sup>) were subtracted from the 709.8 eV maps (specific of Fe<sup>III</sup>). These subtractions gave a more contrasted view of respectively Fe<sup>II</sup> and Fe<sup>III</sup> distributions.

Gelatin is the only compound of the system that contains nitrogen. Hence, N K-edge mapping will be specific of the presence of gelatin. At this edge, the NEXAFS spectrum of gelatin (Fig. 1b) presents a broad band at 405.7 eV that corresponds to 1s-σ\* transition [28], and a main peak at 401.1 eV that corresponds to amide groups. The distribution of gelatin was also obtained with maps at 401.1 eV from which maps at 398 eV (before the edge) were subtracted in order to enhance the contrast.

At the C K-edge, the raw linen rag fiber NEXAFS spectrum (Fig. 1c, fiber) is similar to previously reported cellulosic fibers [22, 29]. It shows three peaks corresponding to aromatic carbons (285.1 eV) and vinyl keton (286.7 eV), possibly formed during milling, and C-O transition of osidic bonds and alcohol groups (288.9 eV). The gallic acid spectrum (Fig. 1c, Ac) also matches previously published data [22, 30] with eight peaks corresponding to 1s-π\* transitions of aromatic carbons (285.1 eV), aromatic alcohol (286.0 to 288 eV), carboxylic group (288.4 eV), 1s-3p/σ\* transition of alcohol (289.3 eV and 290.1 eV) and 1s-σ\* carbon transition (292.4 eV).

The spectrum of the IGI precipitate (Fig. 1c, IGI) presents some common feature with the one of gallic acid with the signature of aromatic carbon (C = C, 285.1 eV), aromatic carbon connected to hydroxyl group (C = C-OH, 286 eV to 287 eV), carboxylic groups (288.4 eV) and 1s-σ\* carbon transition (292.4 eV). However, for the IGI sample, the peak at 288.4 eV is enhanced in comparison to the other peaks and larger than for gallic acid. This suggests modifications in the environment of the carboxylic acid groups which is coherent with a precipitate formation (iron chelation in precipitate is done via phenolic hydroxyls groups and the carboxylic acid group [31]). The gelatin spectrum (Fig. 1c, G) exhibits a main peak at 288.3 eV related to the amide groups. Some other minor contributions are observed at 285.5 eV, 287 eV and 289.5 eV, but these signatures are too weak to be used for discrimination.

These preliminary observations show that cellulose, gelatin and ink components have different C K-edge NEXAFS signatures. Yet, mapping their distribution using a single energy remains difficult as their

signatures partially overlap. Absorption contrasts are thus not always optimal. Subtraction with a map recorded before the considered edge may help enhancing the contrasts. In the following of this work, this was done with the maps that were spotting gallic acid (287.7 eV), cellulose (286.7 eV), gelatin (288.2 eV) and IGI (285.2 eV) from which maps at 280 eV before the C K-Edge were subtracted. Yet it remained necessary to crosscheck these maps with extracted spectra taken from the regions that were supposed to be rich in the spotted component. Comparing observed distributions with maps and NEXAFS spectra obtained at other edges (Fe L and N K) also appeared useful to study properly the distribution of these different components.

### **Analysis of unsized fiber I: iron absorption in the fiber**

With SEM imaging (Fig. 2a), it can be seen that the fiber I presents an unusual structure with no lumen visible. Instead, the fiber seems very large (approx. 30  $\mu\text{m}$ ) and extremely flat (Thickness approx. 4  $\mu\text{m}$ ). This unexpected structure suggests that the original fiber has been cut lengthwise during paper milling. The two walls would then correspond to the inner and outer walls of the former fiber.

Fe L-edge maps (Fig. 2, left part) show a significant presence of iron around the fiber, consistent with a thin ink layer. Outside the fiber, mainly one region is brighter on the map at 709.8 eV (Fig. 2b, yellow arrow), suggesting aggregates rich in  $\text{Fe}^{\text{III}}$  probably corresponding to IGI precipitate. Inside the fiber, iron seems to concentrate along cracks and mainly correspond to  $\text{Fe}^{\text{II}}$  as it is particularly noticeable on the map at 708 eV.

On an enlarged region of the fiber I (Fig. 2, right part), stack fits were performed using the *Axis2000* software to get a RGB map showing the distribution of  $\text{Fe}^{\text{II}}$  (in yellow) and  $\text{Fe}^{\text{III}}$  (in blue) based on a comparison with the reference compounds spectra. This approach confirmed that the surface of the fiber is coated relatively homogeneously with a thin  $\text{Fe}^{\text{II}}$  rich layer that also follows cracks in the inner part of the fiber. Many of these cracks are oriented perpendicular to the walls, and were probably formed during paper milling. Bright Fe-rich spots, approx. 200 nm wide are also observed along the cracks and are predominantly composed of  $\text{Fe}^{\text{II}}$ .

Extraction of Fe L-edge spectra from the regions of interest 1 to 3 (Fig. 2 and Fig. 3a, left), showed that iron is not only distributed along cracks but also penetrates in the fiber (region 3) where it is present at very low concentration (low O.D.) (Fig. 3a, left, spectrum 3). Although the spectra 1 to 3 significantly differ in optical density, they have a similar shape, the peak at 708 eV being more intense than the peak at 709.8. This feature corresponds to a  $\text{Fe}^{\text{II}}/\text{Fe}^{\text{III}}$  mixture with a predominance of  $\text{Fe}^{\text{II}}$  versus  $\text{Fe}^{\text{III}}$ . C K-edge spectra were also extracted from these three regions of interest (Fig. 3a, right). They were all similar to the spectrum of cellulose recorded on the raw fiber (Fig. 1c, spectrum fiber). This is consistent with the fact that, even if the fiber is inked, cellulose remains its main organic constituent. On the spectrum extracted from region 2, at the surface of the fiber (Fig. 3a, right, spectrum 2), there is a shoulder at 287.7 eV that probably comes from gallic acid or IGI. This signature is not perceptible in the inner part of the fiber (regions 1 and 3, Fig. 3a, right, spectra 1 and 3), suggesting that gallic acid and IGI do not migrate in

significant amount within the fiber and mostly remain outside. As no other carbon signature than cellulose was detected inside the fiber (region 1, Fig. 3a, left, spectrum 1), the iron that has migrated inside the fiber might be chelated to sulfates or to cellulose hydroxyl groups.

The fact that iron is mainly present as iron(II) inside the fiber helps understanding why unsized papers that are impregnated by iron gall ink can be altered within a few months [21]. Indeed, the oxidation iron(II) to iron(III) leads to the production of protons, thus lowering the local pH and promoting acid hydrolysis of cellulose macromolecules. As polymeric chains are getting shorter, paper gradually loses its mechanical properties.

### **Analysis of fiber G\_I: gelatin prevents iron migration**

The fiber G\_I presents a rather classical linen rag fiber shape (ovoid) with a central hole corresponding to the lumen (Fig. 4a). The SEM image shows some damage due to the milling process (holes where the FIB section is too thin).

Fe L-edge maps (Fig. 4b) highlight regions containing iron that are mostly located outside the fiber, suggesting some coating with an ink layer. STXM observations focused on an area from the edge of the section to the lumen (Fig. 4, yellow squares and right part). The Fe L-edge mapping confirmed that iron remains mainly outside the fiber with an uneven Fe<sup>II</sup> and Fe<sup>III</sup> distribution. Only small amounts of iron (mostly Fe<sup>II</sup>) penetrate in the fiber as attested by NEXAFS iron spectra (Fig. 3b, left, spectra 6 and 7) and the RGB iron map. Moreover, the concentration in iron decreases with the distance to the surface, since the sub surface contains greater amounts of iron (Fig. 4, region 6; Fig. 3b, left, spectrum 6) than the inner part of the fiber (Fig. 4, region 7; Fig. 3b, left, spectrum 7).

The C K-edge NEXAFS spectra obtained inside the fiber (Fig. 4b, regions 6 and 7; Fig. 3b, right, spectra 6 and 7) match the fiber reference with characteristic peaks at 285.1, 286.7 and 288.9 eV (Fig. 1c, spectrum fiber). In contrast, the C K-edge NEXAFS spectra of regions located outside the fiber (Fig. 4b, regions 4 and 5; Fig. 3b, right, spectra 4 and 5) attest of the presence of gallic acid with characteristic signatures at 286.0 eV, 286.5 eV, 287.6 eV and 288.4 eV. Yet some contribution from IGI cannot be excluded as it also presents two characteristic peaks at 286.7 eV and 288.4 eV that superimpose those of gallic acid (Fig. 1c).

The C K-Edge characteristic peak of gelatin at 288.3 eV (Fig. 1c) is too close from the above-mentioned peaks to allow detection of gelatin in the C K-Edge data. Therefore, gelatin was researched in the N K-Edge recorded data. Previous work performed on similar fibers, sized in a similar way (yet without IGI application) showed that gelatin does not penetrate the paper fiber, but simply coats it [12]. These measurements were performed with the same system using similar acquisition parameters than in the present study, and N K-edge maps allowed an easy location of gelatin. On the present fiber G\_I however, the N K-edge maps at 401 eV (an energy characteristic of gelatin amide bonds) is highly noisy (Fig. 4c), as the NEXAFS spectra, which shows only a weak and broad signal at 405.8 eV in region 4 and 5 outside

the fiber. This suggests that only traces of nitrogen (supplementary material, Fig S2, spectra 4 and 5), most probably gelatin, are present outside the fiber but at low concentration, close to the detection limit.

These examinations of STXM maps and NEXAFS spectra suggest that most of the gelatin formerly coating the fiber was released in the solution during ink impregnation. This removal was not expected since gelatin is known to be insoluble in water at ambient temperature. We suppose here that the presence of several ionic species in the ink solution contributed to the partial solvation and dissolution of gelatin.

The C K-edge and Fe K-edge stacks evidence that, in the G\_I fiber, a layer of ink (region with high iron content, containing gallic acid and possibly IGI) coats the cellulosic fiber and that only the fiber sub surface contains iron (mostly Fe<sup>II</sup>), as illustrated by the RGB maps (Fig. 4b, 4d). On this latter, a small penetration of Fe<sup>II</sup> is seen on a depth of a few hundreds of nanometres. It corresponds to a maximum *O.D.* of 0.07 (Fig. 3b, left, spectrum 6), a value close to the value of 0.05 measured in the inner part of the unsized fiber I (Fig. 3a, left, spectrum 3). These two values are much higher than the maximum *O.D.* found in the inner part of the G\_I fiber sample (Fig. 3b, left, spectrum 7), meaning that the former presence of gelatin significantly prevented migration of iron in the fiber.

### **Analysis of fiber L\_G: impact of a spray of gelatin on iron distribution**

Previous studies have shown that depositing gelatin on an inked paper can slow down the depolymerisation process [17]. Thus, applying gelatin on graphic documents during conservation treatments should limit iron gall ink corrosion. The L\_G fiber was therefore prepared to investigate possible interactions between ink and gelatin when this latter is applied *a posteriori*.

In the SEM image of the thin section L\_G, three fibers can be distinguished (Fig. 5a, A, B and C). Even if the lower part of the foil was damaged by the FIB milling the upper part was appropriate for analysis. At the N K-edge, the presence of gelatin was clearly established by STXM mapping at 401 eV, an energy characteristic of amide bonds (Fig. 5d). As expected [12], gelatin coats the three fibers without migrating inside. It is also noticeable inside the lumen of fiber B probably because this fiber was initially opened on one end, allowing gelatin solution to migrate in the lumen along the fiber axis. At the C K-Edge, the distribution of gelatin can also be seen on the map at 288.3 eV (Fig. 5c), an energy that is specific of the gelatin NEXAFS spectrum (Fig. 1c, spectrum G). On this map, the gelatin free areas correspond to the cellulosic fibers for which a good response is obtained at 286.7 eV (C K-edge, Fig. 5c). The map at 286.7 eV also shows small cellulose rich areas situated between fiber A and fiber B that probably correspond to some fibrillated cellulosic matter partially detached. As for the maps recorded at the Fe L-edges, they show that the gelatin coating contains some amount of iron distributed relatively evenly together with bright spots rich in iron.

On the enlarged region of the map (Fig. 5, yellow square), complete stacks were recorded at the Fe L- and C K-Edges and NEXAFS spectra were extracted from different regions: in the spots rich in iron (8), in the gelatin (9) and in the fiber (10). Iron rich spots (8) mostly correspond to Fe<sup>III</sup> (Fig. 3c, left, spectrum 8). On

these spots, the C K-edge signature (Fig. 3c, right, spectrum 8) is close to the spectrum of the IGI precipitate, with characteristic peaks at 285.1 eV, 286.7 eV and 288.4 eV (Fig. 1c). Yet the most intense peak at 288.4 eV also appears slightly asymmetric, with a sharp top at 288.3 eV that is interpreted as a contribution of gelatin to the signal. This point is confirmed by the fact that this region appears relatively bright on the N K-Edge map at 401 eV. An additional contribution of gallic acid in the C K-edge spectrum of region 8 is seen in the shoulder observable at 287.7 eV. These observations led to conclude that region 8 is rich in iron gall ink (consistent with the high content in Fe<sup>III</sup>) but also contains some minor proportion of gelatin and gallic acid.

Outside the fiber, in the gelatin coating (Fig. 5b, region 9), some amount of iron is detected, mostly as Fe<sup>III</sup> (Fig. 3c, left, spectrum 9). The C K-Edge signature of this region (Fig. 3c, right, spectrum 9) is similar to the one of gelatin (Fig. 1c, spectrum G), meaning that no other organic component is detected in this region.

Conversely, in the inner part of the fiber (Fig. 5b, region 10), the C K-Edge signature is similar to the one of cellulose (Fig. 3c, right, spectrum 10) with an additional small and broad peak at 289.5 eV. This peak was previously observed in the secondary wall of a linen rag fiber and also on some cellulosic reference [22] and is probably due to C-OH bonds.

A stack fit treatment of the data was performed at the C K-edge with the model spectra of the raw fiber, gelatin and IGI precipitate (Fig. 1c). The resulting RGB map (Fig. 5c, RGB map) illustrates that gelatin remains outside the fiber and contains some grains of IGI precipitate.

Interestingly, no iron was detected in region 10, inside the fiber (Fig. 2c, left, spectrum 10). This point was completely unexpected since small concentrations of iron were detected inside the two previously analysed fibers (I and G\_I). Before impregnation with gelatin, the fiber I\_G was expected to be comparable to fiber I and thus probably containing similar amount of iron (mainly as Fe<sup>II</sup>). Consequently, the fact that no trace of iron could be detected on the I\_G fiber suggests that Fe<sup>II</sup> was removed from the inner part of the fiber during the gelatin spray. This is consistent with the fact that iron is found in the gelatin coating (Fig. 2c, left, spectrum 10). It is indeed of common knowledge that iron that is not involved in the IGI precipitate (such as Fe<sup>II</sup>) is highly water soluble [32, 33]. When the paper is humidified, it can easily migrate out of the ink line [14]. During the gelatin spray, gelatin coats the fiber without migrating inside, but water goes in the fiber, thus allowing the dissolution of iron and its migration out of the fiber in the gelatin solution. Then the solution cools down rapidly, forming a gel that fixes iron. The high predominance of Fe<sup>III</sup> in gelatin suggests oxidation reactions occurring with gelatin consistent with the ability of gelatin to chelate iron [16, 34–36]. These chelation mechanisms may also favour iron migration by entropic effect thus contributing to the decrease of iron concentration in the inner part of the fiber below the limit of detection. Iron trapping by gelatin would explain the lower depolymerization of cellulose observed in presence of gelatin on iron gall inked papers [17]. Indeed, as iron enhances cellulose degradation [2, 3], its removal from the inner part of the fiber contributes to limit its interaction with cellulose and thus the cellulose decay.

## Conclusion

The aim of this study was to get a better understanding of the impact of gelatin on iron gall ink corrosion through the study at a nano-scale of the distribution of gelatin and ink in individual paper fibers. FIB milling was used to prepare thin slices from inked and/or gelatin sized fibers. On these slices, the distribution of gelatin and ink components (including gallic acid, iron and iron gall ink precipitate) were then mapped by STXM at the Fe L, C K and N K edges.

On a fiber that was inked but not sized, the ink mostly formed an outside layer including some iron clusters. The exact nature of these clusters could not be assessed because they did not show a specific C K-edge signature. They might correspond to iron sulfate. More interestingly, some iron (most probably free Fe<sup>II</sup>) was able to penetrate in the fiber. It was homogeneously distributed at low concentration inside the fiber, but spots of high concentration in iron were also found along cracks. As the oxidation of Fe<sup>II</sup> to Fe<sup>III</sup> generates protons, the presence of Fe<sup>II</sup> inside the fiber can be seen as a factor favouring low pH values inside the fiber, thus promoting acid hydrolysis of cellulose macromolecules.

Inking was also performed on a fiber that was previously gelatin sized. Unexpectedly, very low amounts of gelatin were detected around the fiber, meaning that most of the gelatin was removed when the fiber was immersed in the ink. The penetration of iron inside the fiber was also much more limited than with the unsized fiber, showing that the former presence of a gelatin coating had delayed the migration of iron in the fiber during inking. This may be due to some chelation occurring between iron and gelatin that may prevent iron diffusion inside the fiber. Indeed gelatin bears carboxylate groups which can interact with iron(II) and iron(III).

To understand the impact of gelatin resizing of original documents during conservation treatments, gelatin was also sprayed on an inked fiber. Unexpectedly, the iron concentration inside this fiber was afterward largely reduced which is probably attributable to an entropic effect: during its application, gelatin remains outside the fiber, because it is made of macromolecules that are too big to penetrate the fiber structure. This is not the case of water that goes into the fiber and solubilises iron(II), provoking its dissolution in the whole paper + gelatin system. During cooling, gelatin forms a gel that retains and chelates iron. It also converts iron(II) to iron(III). Finally, the concentration of iron inside the fiber is lowered, and the degradation of cellulose is delayed.

This study gives some clue to better understand the mechanisms underlining paper degradation by iron gall ink. It also highlights the impact that gelatin can have on this degradation, which is not only governed by chemical aspects, but also by physical behaviours.

## Declarations

*Availability of data and materials*

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### *Competing interest*

The authors have no relevant financial or non-financial interests to disclose.

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### *Authors’ contribution*

AG prepared the samples fibers. AG and VR conceived the experiments. All the authors took part in the STXM experiment. AG analyzed the data. AG and VR wrote the manuscript. PM and AM provided critical feedback and helped shape the research, analysis and manuscript.

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## Figures

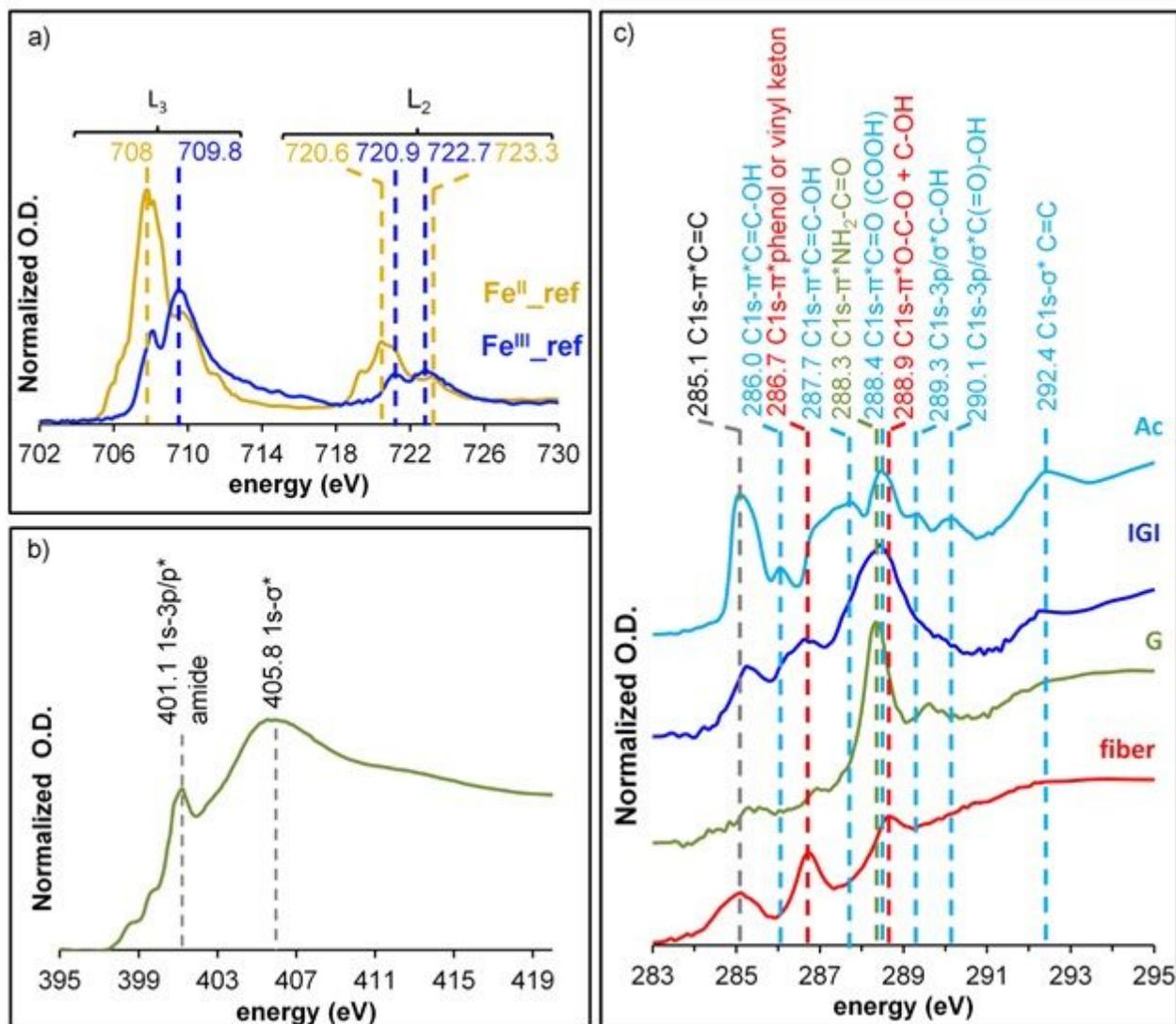
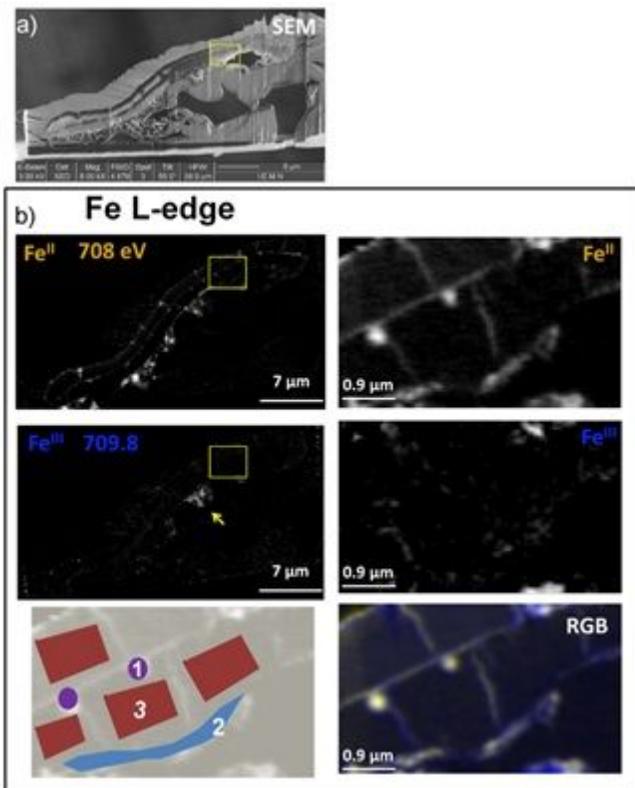


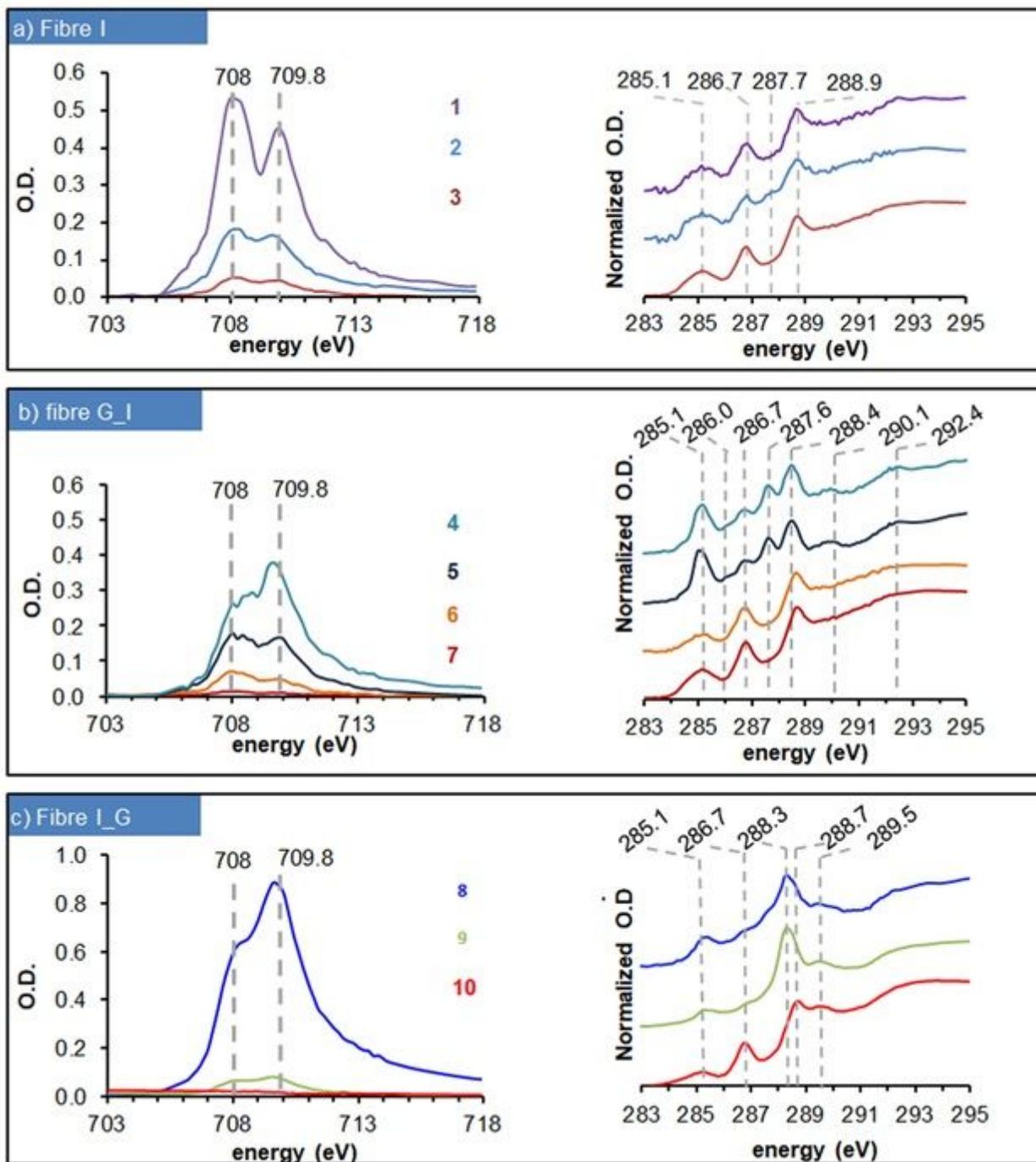
Figure 1

NEXAFS spectra of reference compounds a) at the Fe L-edge b) N K-edge and c) C K-edge. "G" stands for gelatin reference, "Ac" for gallic acid, "IGI" for iron gall ink precipitate, "fiber" for raw fiber (cellulose),  $Fe^{II}_{ref}$  for iron(II) chloride and  $Fe^{III}_{ref}$  for iron(III) chloride.



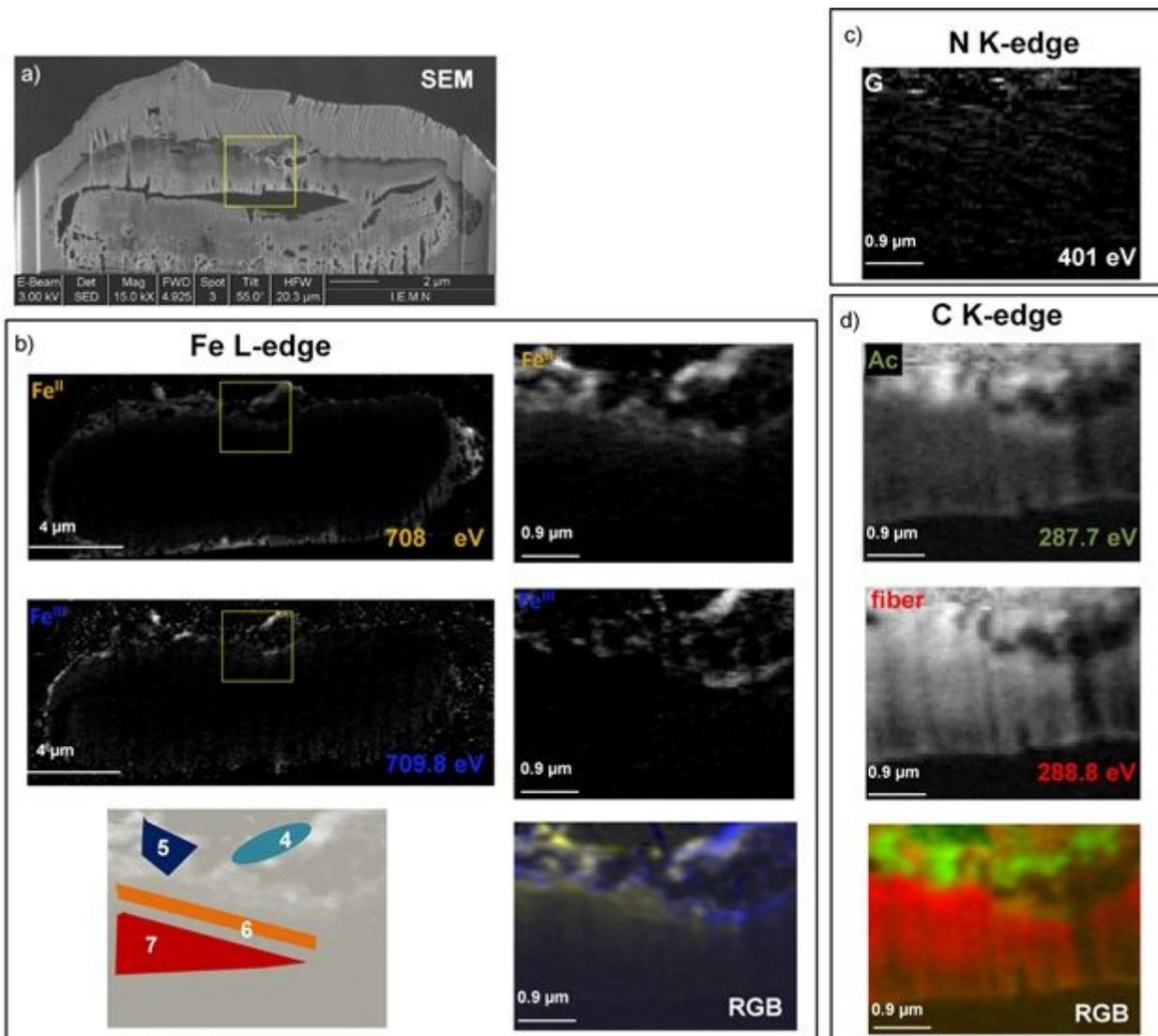
**Figure 2**

Mapping of the inked fiber (I). a) SEM image of the thin section and b) STXM maps at the Fe L-edge of the whole fiber section (left) and of a restricted area (yellow square, right). Maps at 708 eV (after subtraction of maps at 700 eV) represent Fe<sup>II</sup> distributions; maps at 709.8 eV (after subtraction of maps at 708 eV) represents Fe<sup>III</sup> distributions. RGB picture results from fit of the stack with Fe<sup>II</sup> (yellow) and Fe<sup>III</sup> (blue) reference spectra. Numbers 1 to 3 correspond to the regions of interest chosen to extract the NEXAFS spectra shown on Fig. 3a.



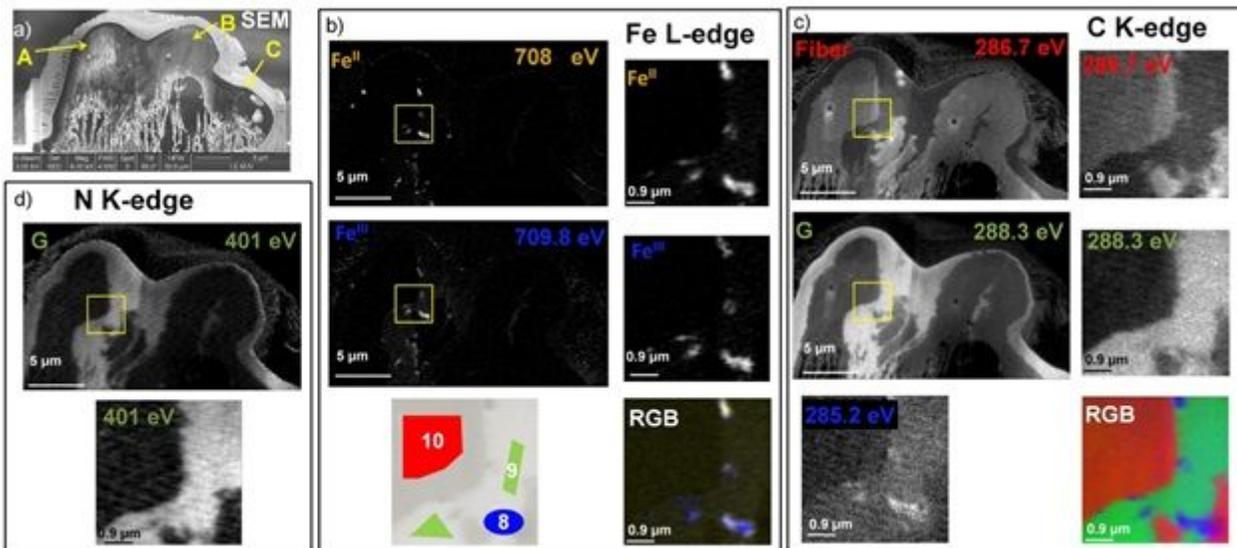
**Figure 3**

NEXAFS spectra extracted from the regions of interest of the unsized and inked fiber I (a), sized and inked fiber G\_I (b) and gelatin sprayed inked fiber I\_G (c). The spectra correspond to the Fe L-edge (left) and C K-edge (right). They were extracted from the stacks in the region of interest 1 to 10 represented in Fig. 2, 4 and 5. C K-edge spectra were normalised with the Athena software. Fe L-edge spectra are presented un-normalized to better assess the relative amount of iron present in the thin section.



**Figure 4**

Mapping of the inked sized fiber (G\_I). a) SEM image of the whole section; b) STXM maps at the Fe L-edge of the whole fiber section (left) and on the restricted area (yellow square, right). Maps at 708 eV (subtracted by the maps at 700 eV) represents FeII distribution, maps at 709.8 eV (subtracted by the map at 708 eV) represents FeIII distribution. Numbers 4 to 7 represent the region of interest chosen to extract NEXAFS spectra shown on Fig. 3b. c) STXM map at the N K-edge representing gelatin distribution (401 eV subtracted by 398 eV to enhance the contrast) and d) STXM maps at the C K-edge at 287.7 eV subtracted by 280 eV (related to gallic acid distribution) and 288.8 eV subtracted by 280 eV (related to cellulose distribution).



**Figure 5**

Mapping of fiber inked fiber sprayed with gelatin (I\_G). a) SEM image of the whole section showing three fibers (A to C). b and c) STXM maps of the whole fiber (left) and on the restricted area (right). b) Fe K-edge maps at 708 eV (subtracted by maps at 700 eV) represent FeII distribution; Fe K-edge maps at 709.8 eV (subtracted by maps at 708 eV) represent FeIII distribution; RGB picture results from fit of the stack with FeII (yellow) and FeIII (blue) reference spectra. Numbers 8 to 10 correspond to the regions of interest chosen to extract the NEXAFS spectra of Fig. 3c. c) C K-edge maps at 286.7 eV, 288.3 eV and 285.2 eV (from which maps at 280 eV were subtracted) show respectively the location of the fiber, gelatin and IGI precipitate. RGB picture results from fit of the stack with fiber (red), gelatin (green) and IGI (blue) reference spectra. d) N K-edge map at 401 eV (subtracted by maps at 398 eV) highlights gelatin distribution.

## Supplementary Files

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