

The Investigation of the Optimization Scheme of the Low-cycle Fatigue Cropping Based on the Acoustic Emission Technique

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2 **fatigue cropping based on the acoustic emission technique**

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15

16 **Abstract**

17 High efficiency and good section quality are two main objectives of metal bar cropping. A suitable
18 control method can help to achieve both goals. An investigation of the control method of
19 low-cycle fatigue cropping (LCFC) based on the acoustic emission (AE) technique has been
20 proposed in this study. Ring-down counts and kurtosis are used to monitor the whole process of
21 LCFC. The results showed that kurtosis is more suitable for monitoring the LCFC process and as a
22 critical parameter to optimize the control method than ring-down counts in the noisy factory
23 environment.

24 Moreover, three types of materials are studied in this experiment; by combine with the AE results,
25 macroscopic images and microscopic images of sections, characteristics of various LCFC stages
26 are obtained. The results also indicated reduce the area of the transient fracture zone is the key to
27 improve the section quality. Reducing the load frequency before the unstable crack propagation
28 stage will beneficial to realize the goals. Based on the evaluation of kurtosis, an optimized control
29 method is presented, and two control parameters: transient time T and the critical value of the
30 slope of kurtosis C are determined. For 16Mn, 1045 and Al 6061, the T is 5s, 10s, and 1s,
31 respectively. For 16Mn, 1045, and Al 6061, the C is 100, 300, and 0, respectively. Two parameters,
32 h and S, are used to evaluate the section quality and four control strategies are compared. The
33 results indicate the optimal control methods can improve the section quality effectively. The
34 influence trend of reducing loading frequency is investigated by further comparison. It can be seen
35 as the frequency decreases, the efficiency of the section quality improving decreases. In order to
36 realize the optimal results, different control strategies are adopted for different materials. Strategy
37 1 (high frequency is 20Hz, high frequency thought the whole process), strategy 2 (high frequency
38 is 20Hz, low frequency is 8.33Hz), and strategy 3 (high frequency is 20Hz, low frequency is
39 6.67Hz) is suitable for Al 6061, 1045, and 16Mn, respectively.

40 **Key words:** low-cycle fatigue cropping, ring-down counts, kurtosis, section quality, optimal
41 control method

42

43 **Highlights**

- 44 ● An optimized low-cycle fatigue cropping control method based on the acoustic technique is
45 proposed to improve the section quality and ensure production efficiency.
- 46 ● A new low-cycle fatigue cropping system is established to investigate the effects of the
47 optimize control method.
- 48 ● The kurtosis is more suitable to as a critical parameter of the optimize control method in a
49 noisy factory environment.
- 50 ● The optimized control method is proved to improve the section quality effectively and ensure
51 production efficiency at the same time.
- 52 ● For 16Mn, 1045, Al 6061 metal bars, the optimal control scheme is determined respectively.
53

54 **1. Introduction**

55 The cropping of metal is the first step of industrial production. There are some common
56 deficiencies in traditional cropping methods such as the high active load, low energy efficiency
57 and a large number of sectional defects exits. Hua et al. [1] proposed the low-cycle fatigue
58 cropping (LCFC) method, which could solve the above problem. In this method, a metal bar is
59 applied on the cyclic loading, and a V-shaped groove is prefabricated on the surface of the bar to
60 produce the stress concentration effect. Dong et al. [2] investigate the evolution of the LCFC by
61 using SEM, find cracks initiate at the root of V-shaped groove, and propagate throughout the
62 V-shaped groove until fracture. Wang et al. [3] used the analytical, numerical and experimental
63 methods to investigate the kinetic properties of the new approach; the results showed this new
64 type of cropping method is an advanced green manufacturing method, which overcomes the
65 problem of high load in the traditional cropping methods.

66
67 To eliminate the defect of the section, numerous research efforts have been devoted .Some studies
68 focus on the processing parameters of the LCFC system. Zhong et al. [4] investigated the effect of
69 the interstice and the notch-sensitivity in the LCFC process, and pointed out the ductile damage
70 initiation was affected by the interstice (C_1) between the bar and the clamping device, and the
71 interstice (C_2) between the bar and crevice significantly; for metal bars with a diameter of 40mm,
72 the optimal geometric parameters are $C_1=0.1\text{mm}$, and $C_2=0.1\text{mm}$. By investigating the effect of the
73 bottom corner of the groove on the metal bar surface and the impact of clamping position, Zhang
74 et al [5]found the crack initiate at the MES(maximum equivalent stress) gradient point and
75 obtained the proper clamping position: the rate of distance L_1 (distance between groove and
76 clamping position) to the bar diameter is 0.3, and the rate of distance L_2 (distance between groove
77 and cropping die) to the bar diameter is 0.25. Besides, Zhao et al [6]established a FEM model to
78 investigate the relationship between the temperature the stress, and found that the cropping time
79 was reduced by a prefabricating crack at the notch bottom with the heat stress. Other researchers
80 design different control curves during the cropping process. Zhao et al [7] designed two control
81 curves, and found that constantly increasing displacement and reducing striking frequency can
82 obtain stable crack propagation and fracture. Hua et al [8] designed five types of control curves to
83 study the influence of loading mode on section quality. The results showed that the linear
84 decrement control curve had a good performance in producing high quality section. However, the
85 above control strategies are based on experience rather than the characteristics of the crack growth
86 process, which leads to the efficiency and the section quality have not been improved to the
87 maximum extent. Therefore, the primary work of this research is to study the characteristics of
88 low-cycle fatigue cropping process and find optimal control methods for LCFC at different stages.

89
90 A suitable monitoring method is necessary to investigate the characteristics and provide a basis for
91 optimization strategy. Carolan et al. [9] pointed out AE technique is effective to measure the
92 changing process of metal materials with non-contact, and can get change rule of fatigue process.
93 FANG and BERKOVITS [10] carried out fatigue tests on Incoloy 901 material specimens by
94 using the AE method. He found AE signal generates when plastic deformation, crack initiation and
95 crack propagation occur, and characteristics of the fatigue process can be obtained via analysis of
96 the AE signal. One analysis method is parameter analysis which is based on the properties of the

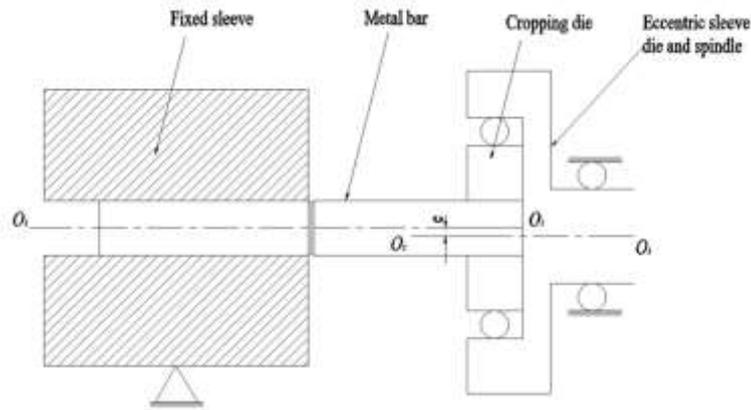
97 signal. Many parameters such as amplitude, ring-down counts, kurtosis and energy can be used to
98 analyze fatigue crack propagation process. Roberts and Talebzadeh [11] set up an AE system to
99 monitor the steel and welded steel fatigue propagation, and obtained the reasonable relevance
100 between the rates of AE counts and the rates of crack propagation. Elforjani and Mba [12] used
101 energy, counts, amplitude and ASL (the average value of the amplitude of AE signal) in a shaft run
102 to failure tests, and prove these parameters detect the crack and damage in low-speed shaft are
103 effective. Han et al. [13] established the relationship among the counts, cycles and crack length by
104 studying the characteristics of fatigue crack propagation stage in the base metal and weld of Q345
105 steel. According to the results, Han divided the fracture process into 3 stages: crack initiation stage,
106 crack growth stage and final fracture. Yu et al. [14] found the absolute energy could be used to
107 warn the unstable growth because of the absolute energy less depended on the threshold value.
108 Aggelis et al [15] come up with a new parameter RA value (ratio of rise time to amplitude) and
109 used it do a damage assessment for metal plates. He found the RA value could indicate the
110 predominant cracking model from tensile to shear. Chai et al. [16] came up with a new parameter:
111 AE entropy to investigate the fatigue process of 316LN stainless steel, and found it can be used to
112 assess the damage of fatigue process under high noise loading environment accurately.

113

114 The above excellent studies are focused on the high-cycle fatigue process; few studies focus on
115 the LCFC process. It is necessary to determine AE parameters for monitoring LCFC process. Li et
116 al. [17] mainly concentrated on the AE detection of low-cycle fatigue, and found amplitude,
117 ring-down counts and kurtosis are able to as monitoring parameters to study the LCFC process.
118 Ren et al. [18] studied the effect of notch eccentric ratio during process of the LCFC by using
119 counts and kurtosis, and found these parameters could offer valuable information to get the
120 affection of the factors during the LCFC process. Considering the amplitude represents the
121 signal's intensity, and it couldn't characterize the frequency of plastic and fracture events. Hence,
122 ring-down counts and kurtosis are selected to monitor the whole process in this study. Two
123 parameters are compared to choose one as the critical parameter to optimize the control strategy.
124 Furthermore, to observe the microscopic evolution of fatigue crack propagation and evaluate the
125 section quality before and after optimization, a scanning electron microscope (SEM) and an
126 optical microscope (OM) system are used.

127 **2. Principle of LCFC method**

128 The schematic diagram of the principle of the LCFC is shown in Fig.1. The fixed sleeve supports
129 the left end of the bar, and the cropping die shove on the right end of the bar. Due to the
130 eccentricity e between the axis O_1-O_1 and the axis O_2-O_2 , the Eccentric sleeve die and spindle
131 applied cyclic loading on the bar's surface. Due to the prefabricated V-shaped groove, which
132 generates stress concentration, the fatigue cracks occurred at the tip of notch and propagated until
133 the metal bar fracture.



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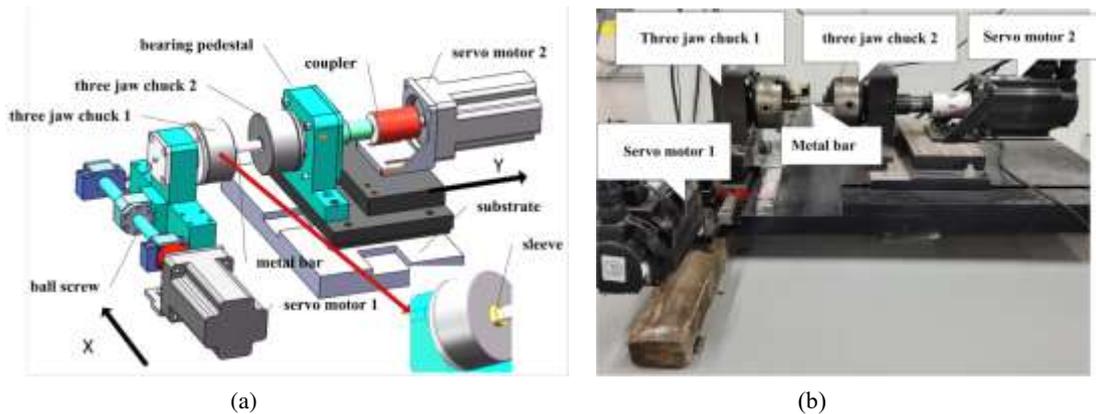
Figure 1. The schematic diagram of the working principle of LCFC.

136 **3. Materials and Methods**

137 The new type of LCFC system includes three parts: LCFC machine, control system and
 138 monitoring system. A brief description of the composition and the principle of these three parts are
 139 given in the following content.

140 **3.1 The new type of LCFC machine and experiment materials**

141 The new LCFC machine is shown in Figure 2. The left end of the metal bar is connected with the
 142 sleeve, which is fixed in the three jaw chuck 1. The servo motor 1 drives the ball screw to rotate,
 143 and the three jaw chuck 1 moves along the X-axis, which applying a 3 mm displacement load to
 144 the metal bar. The right side of the metal bar is fixed at the prefabricated groove in the three jaw
 145 chuck 2, and it is driven by servo motor 2 to rotate along the Y-axis which applying a cyclic
 146 displacement load to the metal bar. The experimental device is shown in Figure 2(b); in this
 147 machine, servo motor power is 2.6kW.



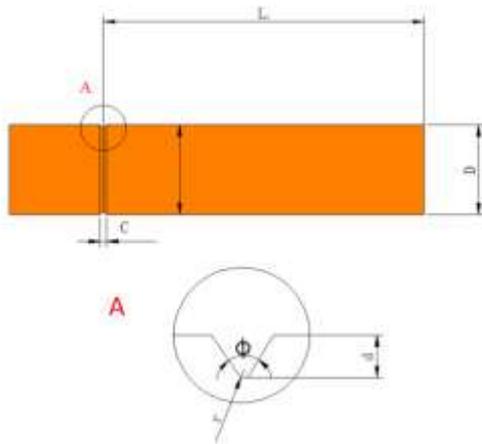
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Figure 2 The new types of LCFC machine. (a) Structural schematic diagram (b) Experimental device

151 Three metal materials are presented in this experiment: 1045, 16Mn and Al 6061. The
 152 materials properties are presented in Table 1. The geometric parameters of metal bar
 153 are presented in Fig 3 (a) . The length of the metal bar L_1 is 75mm, and the diameter of metal bar
 154 D is 12mm. The width of the V-shaped notch C is 0.2mm, and the angle of the V-shaped
 155 notch ϕ is 90° . The depth of V-shaped notch d is 1mm, and the corner radius of the V-shaped

156 notch is 0.1mm. Three types of metal bars are shown in Figure 3(b).



157

158

(a)

(b)

159 Figure 3. The geometric paramters and the images of the metal bar.(a) The diagram of geometrical structure (b)

160

The images of three types metal bar:from left to right are 16Mn, Al 6061and 1045.

161

Table 1 Mechanical properties of the specimens.

Parameters	16Mn	1045	Al 6061
Elastic Modulus (E)	206 GPa	210 GPa	71GPa
Poisson's rasion (μ)	0.3	0.3	0.33
Yield strength (σ_s)	345 MPa	355 MPa	55.2 MPa
Tensile strength(σ_b)	470~630MPa	600MPa	124MPa
Threshold stress intensity factor(ΔK_{th})	183.41 MPa \times mm ^{1/2}	252.98 MPa \times mm ^{1/2}	3.47 MPa \times mm ^{1/2}
Fracture toughness(K_{IC})	443.64MPa \times mm ^{1/2}	1890~1950MP \times mm ^{1/2}	8.32~13.2MP \times mm ^{1/2}

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3.2 The control system for LCFC

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The diagram of the control system is shown in Figure 4(a). The computer sends pulse commands

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to the s7-200 programmable logic controller (PLC). Two servo motors are controlled by PLC, and

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provide a rotating load and eccentric displacement, respectively. The PLC program logic control

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schemes for servo motor 1 and servo motor 2 are shown in Table 2 and Table 3, respectively. The

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rotation control program realizes high and low speed conversion, and the flow chart is shown in

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Figure 4(b). The eccentric displacement control program realizes the displacement loading and

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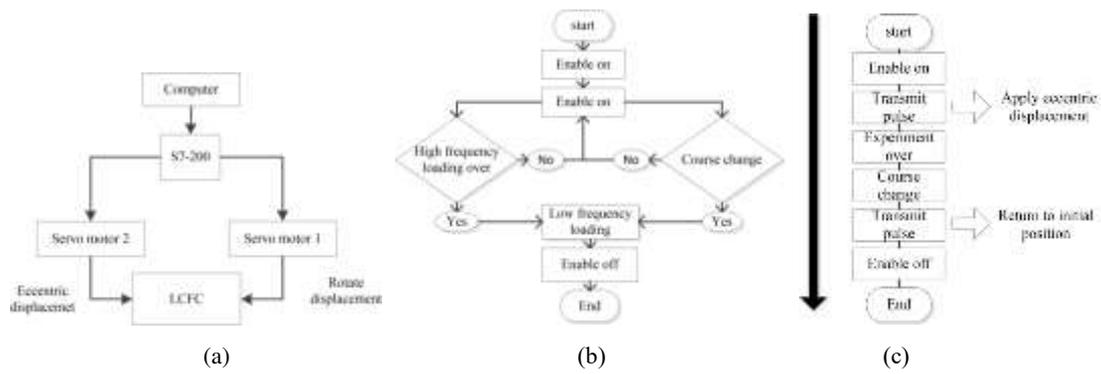
unloading, and the flow chart is shown in Figure 4(c). In this study, high frequency is 20 Hz

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($\omega=1200r/min$), and low frequency is 10Hz ($\omega=600r/min$), 8.33Hz (500r/min) and 6.67Hz

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(400r/min).



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Figure 4 Schematic of the control system. (a) Two servo motors are controlled by s7-200 PLC. (b) Flow chart of rotation control program. (c) Flow chart of eccentric displacement control program.

Table 2 The PLC program logic control table for servo motor 1.

I0.3	I0.4	I0.5	I0.7	Q0.5	Q0.6	Q0.7	State of the motor
1	x	x	1	0	0	0	Enable off
1	0	x	0	1	0	0	$\omega=0$
0	x	x	x	0	0	0	Enable off
1	1	0	0	1	1	0	High frequency
1	1	1	0	1	1	1	Low frequency

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Table 3 The PLC program logic control table for servo motor 2.

I0.0	I0.1	I0.2	I0.6	Q0.0	Q0.2	Q0.4	State of the motor
0	x	x	x	x	0	0	Enable off
1	0	x	0	x	0	1	Enable on
1	x	x	1	x	0	0	Enable off
1	1	0	0	pulse	0	1	Positive rotation
1	1	1	0	pulse	1	1	Reverse rotation

178 3.3 The monitoring system for LCFC

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As shown in Figure 5, the monitoring system includes a computer, a sensor (AE sensor) and an acquisition card (PCI-1714). The AE sensor model is Nano30 which peak frequency is 293 kHz, and the bandwidth is 125–750 kHz (Physical Acoustics Corporation, USA), and attached to the sleeve with a magnetic seat. The ultrasonic complants are coated on the surface of sensor to ensure the intensity of the signal. During the experiment, signal are obtained by AE sensor, amplified by an operational amplifier, and stored in the computer through the acquisition card which sampling rate can attain 30M/s. In this experiment, the sampling frequency is set as 2M Hz. To preprocess the AE signal, the frequency range of the bandpass filter is set as 25 to 800 kHz.

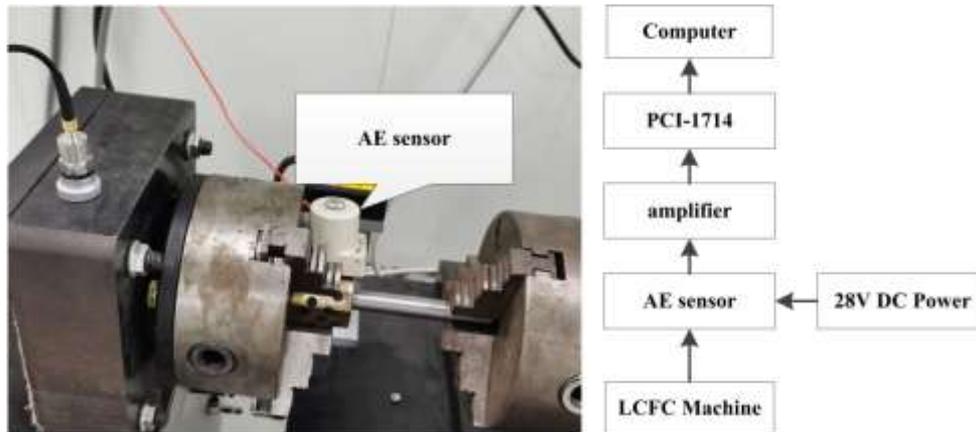


Figure 5 The diagram of the monitoring system.

4. Results and discussion

4.1 AE results

Ring-down counts represent the number of events per unit time, and are suitable to describe the crack propagation stage. Kurtosis representing an outlier prone distribution which related to the fourth standardized moment about the mean of the data [19]; it can be used to characterize the sudden variation of material and describe the abrupt change between various stages. The ring-down counts during the LCFC process are used to compare with AE kurtosis to select a more suitable parameter as the critical parameter to optimize the control strategy.

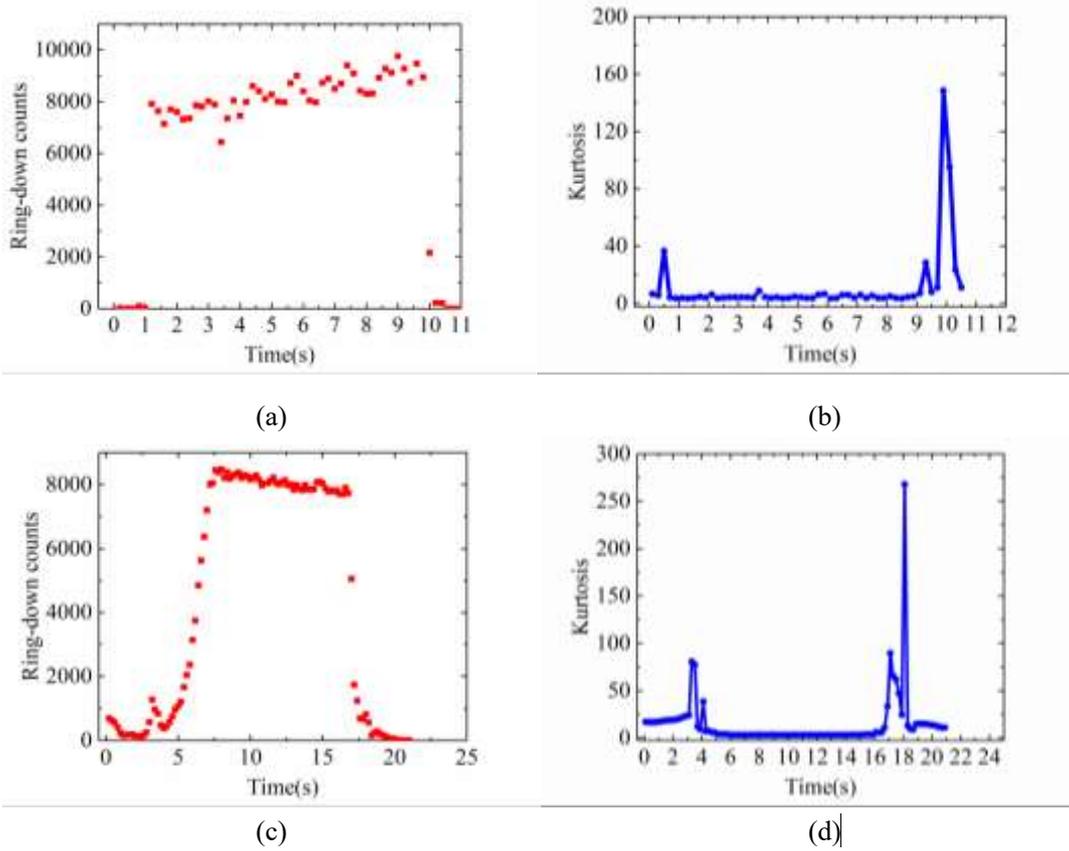
Figure 6(a), (c), (e) show the variation in ring-down counts vs. loading time for different kinds of metal bar materials. It can be seen, a sudden rise of ring-down counts ranged from 0 to 8000, 8453 and 3973 for 16Mn, 1045 and Al 6061 at the beginning of the LCFC process, respectively. This sudden increase is mainly related to the crack initiation stage. Cracks initiate at the adjacent region of the V-shaped groove tip and enhance the strength of the AE signal. Subsequently, as shown in Figure 5(a) (c), the ring-down counts maintain a stable range, for 16Mn, from 1.3s to 9.7s, the values of ring-down counts remain steady between 7000 to 10000; for 1045, from 7.5s to 16.7s, the value of ring-down counts remain steady between 7500 to 8500. This stage is mainly related to crack propagation. In this stage, the size of the plastic zone increased, and the intensity of the AE signal exceeds the threshold value. According to the previous studies, there is a good linear relationship between $\lg (da/dN)$ and $\lg \Delta K$. with the increase of the ΔK , the crack growth rate (da/dN) increased stably. However, Fig. 6(e) shows a different pattern of change that there is no stable stage of ring-down counts. This might due to the K_{IC} of Al 6061 is small, ΔK has reached K_{IC} before the crack enters the stable growth stage. At the last stage, the value of the ring-down counts decreases rapidly from 8320 to 0, 7860 to 0 and 3885 to 0 for 16Mn, 1045 and Al 6061, respectively. At this time, $\Delta K = K_{IC}$ the crack growth rate (da/dN) reach a high value and the metal bar fracture immediately.

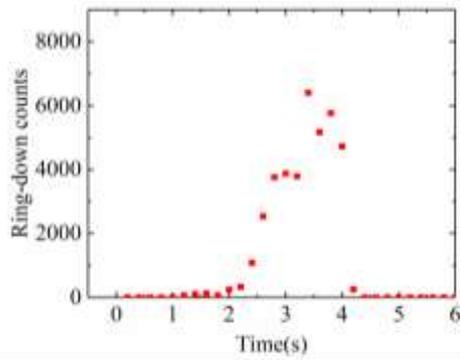
Figure 5(b), (d), (f) shows the variation in ring-down counts vs. loading time for different metal bar materials. As can be seen from the parts of b, d, the peak of kurtosis concentrated in two areas. For 16Mn, the first peak of kurtosis emerged from 0.3~0.7s; the maximum value of the kurtosis is

219 39. The second peak of kurtosis emerged from 9.7~10.5s; the maximum value of the kurtosis is
 220 156. For 1045, the first peak of kurtosis emerged from 3.1~4.3s; the maximum value of the
 221 kurtosis is 83. The second peak of kurtosis emerged from 16.7~18.7s; the maximum value of the
 222 kurtosis is 279. Ruiz-Carcel et al. [20] pointed out the kurtosis representing a distribution of
 223 outlier-prone, which can be defined as:

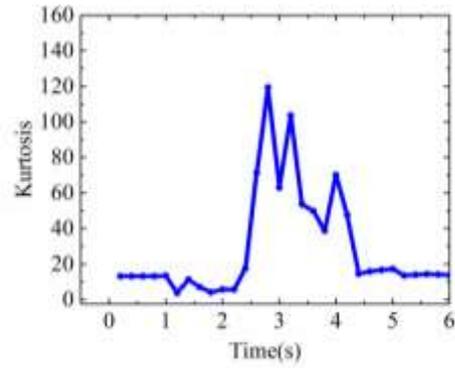
$$224 \quad \beta = \frac{E(x-\mu)^4}{\sigma^4} \quad (1)$$

225 Where μ is the mean of x , σ is the standard deviation of x and E represents the expected
 226 value of quantity. According to Formula (1), kurtosis indicates the waveform' smoothness, and the
 227 peak kurtosis value indicates a dramatic change of the material. The above results showed there
 228 are two time points in low cycle blanking in which the signal changes dramatically. These two
 229 time points are defined as transition point 1 and transition point 2, respectively. As shown in
 230 Figure 5(f), for Al 6061, from 2.2s to 4.4s, the value of kurtosis change dramatically, which
 231 showed there is no stable stage from the crack propagation to the fracture. In other words, there is
 232 no significant boundary between crack propagation stage and fracture stage for Al 6061. This
 233 result consistent with the result of the ring-down counts, and it will be explained by a macroscopic
 234 photograph of a section below.





(e)

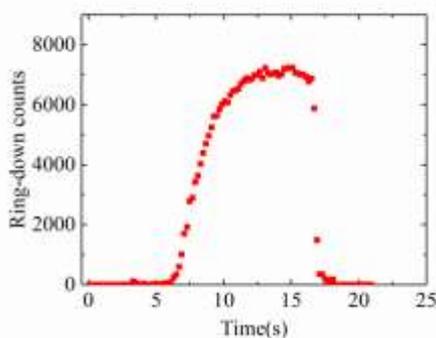


(f)

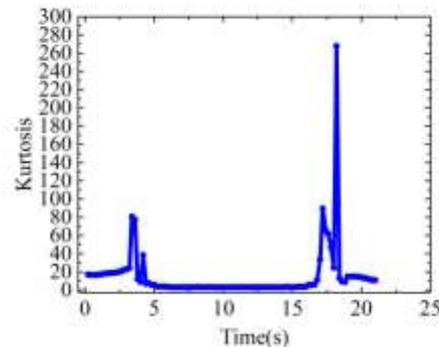
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241 Figure 6. Ring-down counts vs. time (a, c, e) and kurtosis vs. time (b, d, f) with 16Mn specimens (a, b), 1045
242 specimens (c, d) and Al 6061 (e, f).

243 It is obvious from the above analyses that the ring-down counts and kurtosis can be used to
244 monitor the whole process of LCFC. However, the above results are built in the relative quiet
245 environment of the lab; the background noise is stable and low. In this experiment, the threshold
246 value of the event is $s\ 0.2V$, which is about twice over the background noise to avoid noise signal
247 interference. In actual industrial production, the background noise changes all the time. Hence,
248 which parameter is not affected by the threshold value of event will be more suitable for monitor
249 the process of LCFC and as a critical parameter of the optimization control method. According to
250 the definition of ring-down counts and kurtosis, ring-down counts depend on the threshold value
251 and the kurtosis does not. When the threshold value of the event is change to $0.3V$, as shown in
252 Figure 7(a), ring-down counts start to rise rapidly from 6.7s and reach a maximum of 7242 at
253 14.9s, and from 11.1s to 16.5s, the values of ring-down counts remain stable between 6540 to
254 7242. Compare with Figure 6(c) and Figure 7(a), it can be seen the maximum value of the
255 ring-down counts and the duration of the rising and stable phases had changed when the threshold
256 value changed. Compare with Figure 6(d) and Figure 7(b), the variation in kurtosis as a function
257 of fatigue loading time has not been changed. From the above analysis, the kurtosis is more
258 stabilized and suited for monitoring the process of LCFC in a noisy factory environment.



(a)



(b)

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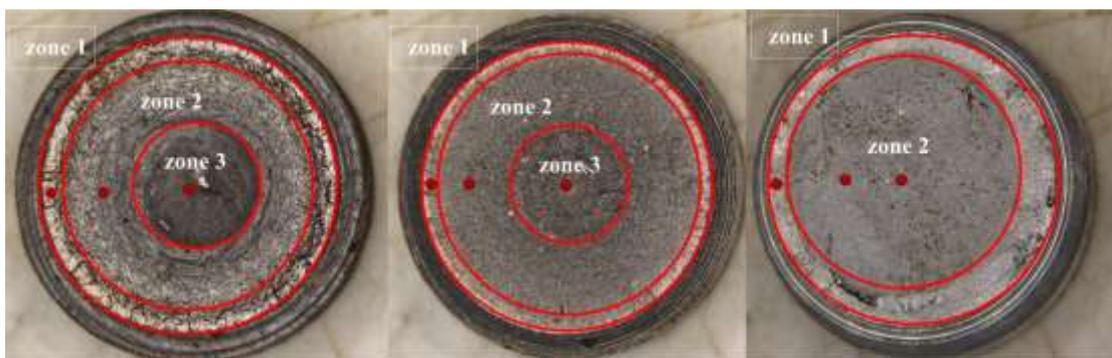
261 Figure 7. Ring-down counts vs. time (a) and kurtosis vs. time (b) with 1045 specimens when the threshold value of
262 peak event is 0.3.

263 4.2 Fatigue propagation mechanism

264 To obtain a suitable control method based on the characteristics of fatigue propagation, the OM
265 system and SEM are used to investigate the evolution of fatigue propagation. The macroscopic
266 sections of metal bars and microscopic sections of metal bars are shown in Figure 8 and Figure 9,
267 respectively.

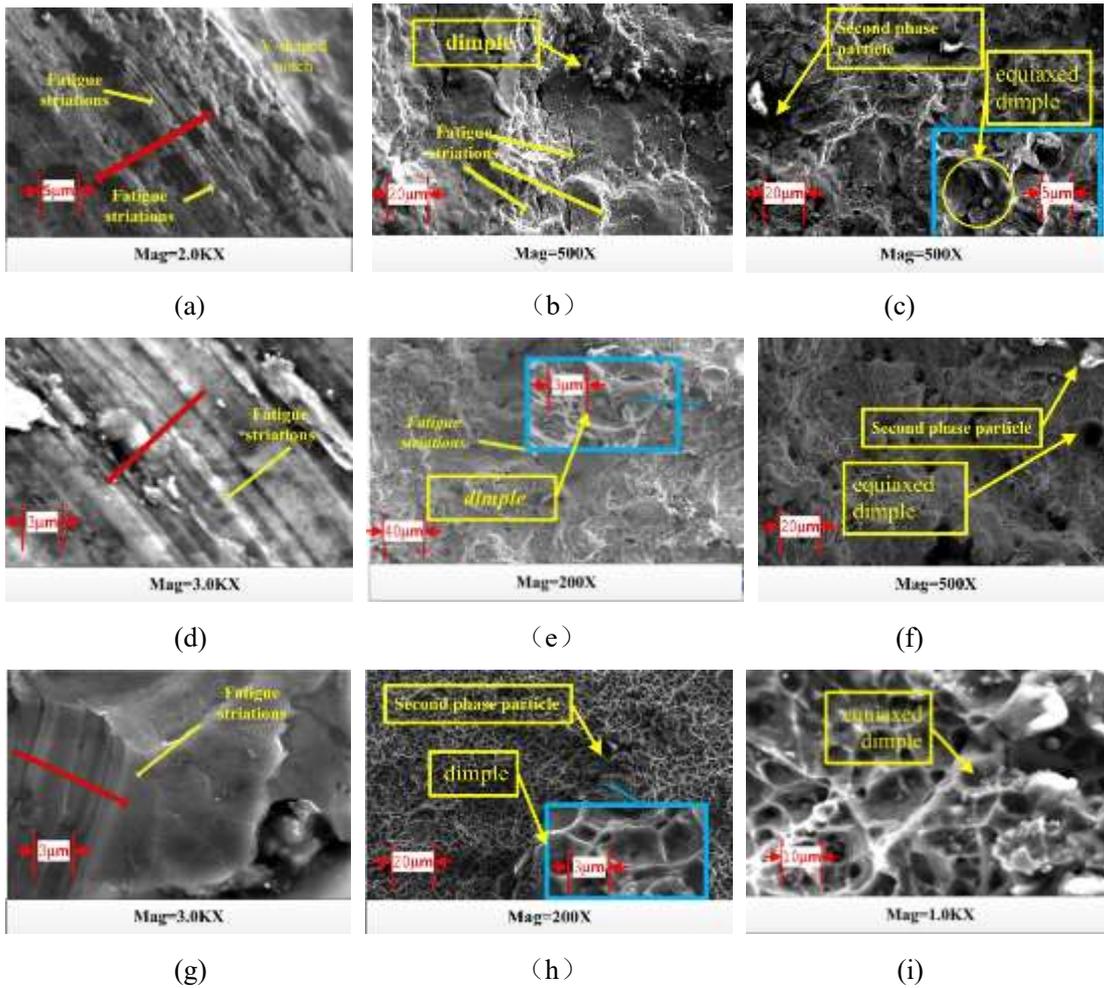
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269 As shown in Figure 8(a), (b), for 16Mn and 1045, there are three different zones which related to
270 three stages of fatigue process: crack initiation stage, crack propagation stage and fracture stage.
271 As shown in Figure 8(c), For Al 6061, there are only two different zones. There is no obvious
272 boundary between the crack propagation stage and the fracture stage. The positions marked by red
273 dots in the Figure 8 are scanned by SEM. As shown in Figure 9(a), (d), (g), the microscopic view
274 of crack initiation stage of 16Mn, 1045 and Al 6061 revealed the fatigue striations predominant
275 the zone 1. At zone I, the materials go through slight plastic deformation near the V-shaped notch
276 and form the fatigue striations. In this stage, the materials crack along the direction of the red
277 arrow which perpendicular to the fatigue band and the crack growth rate (da/dN) is less than
278 $0.1\mu\text{m}/\text{cycle}$. The damage accumulates slowly, which leads to the signal strength are less than the
279 threshold value. Hence, the ring-down counts and kurtosis are close to 0 in this stage. Figure 9(b),
280 (e), (h) show the microscopic view of crack propagation stage; it can be seen for 16Mn and 1045,
281 dimples and fatigue striations are predominant in crack surfaces; for Al 6061, there are almost no
282 fatigue striations. The above results can be used to explain why there is no stable crack growth
283 stage for Al 6061 based on the evolution of microstructure. Compare with the crack initiation
284 stage, the crack growth rate (da/dN) increased, which leads to the signal strength increase.
285 Moreover, the formations of the dimples also generate intense AE signals. Hence, the value of
286 ring-down counts in the crack propagation stage is much larger which in crack initiation stage.
287 Figure 9(c), (f), (i) display the microscopic image of the center area of the metal bar. Equiaxed
288 dimples predominant the crack surface and the depth of the dimples are larger than which in the
289 crack propagation stage. The above phenomenon shows that the tensile fracture is the primary
290 damage model during the fracture stage. In this stage, metal bars go through unstable crack growth
291 until fracture, large are of transient fracture zone produced and the section quality reduced.



292

293 Figure 8. The macroscopic cross-sections of metal bars. (a) 16Mn, (b) 1045, and (c) Al 6061.



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300 Figure 9 Different stages of fatigue fracture surface of three types of materials (a) crack initiation area of 16Mn (b)
 301 crack propagation area of 16Mn (c) fracture area of 16Mn (d) crack initiation area of 1045 (e) crack propagation
 302 area of 1045 (f) fracture area of 1045 (g) crack initiation area of Al 6061 (h) crack propagation area of Al 6061 (i)
 303 fracture area of Al 6061

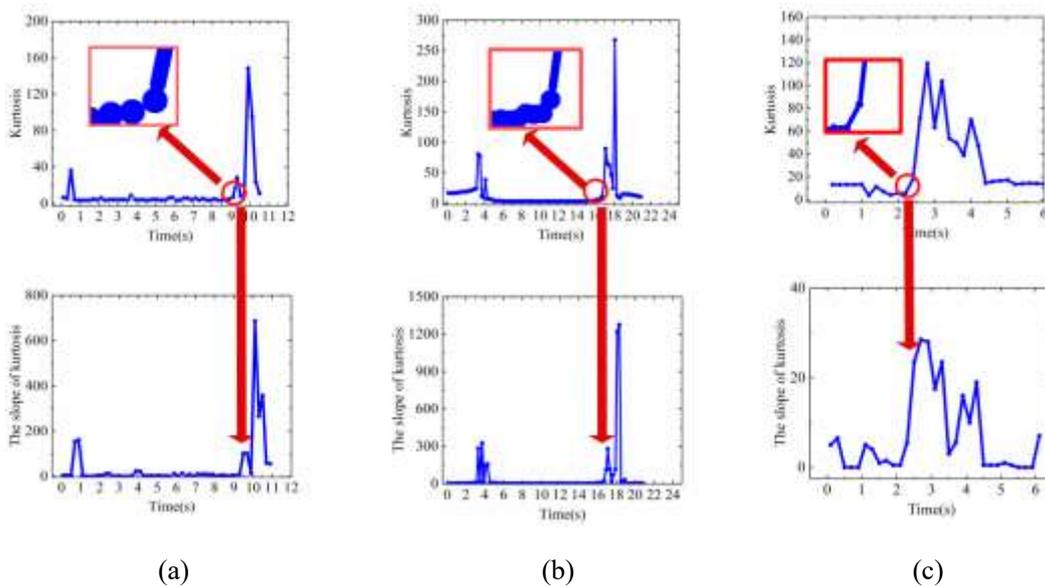
304 According to the study of [17], the higher frequency can accelerate the crack growth in the plastic
 305 zone and make the cropping efficiency improve, but it will lead to insufficient plastic deformation
 306 during the crack propagation, area of transient fracture zone increased and section quality reduced.
 307 Combine with the above microscopic evolution of the crack propagation, reduce the load
 308 frequency before crack unstable growth stage will reduce the area of transient fracture, which is
 309 beneficial to improve the section quality and also ensure the cropping efficiency. The specific
 310 control methods will be discussed below.

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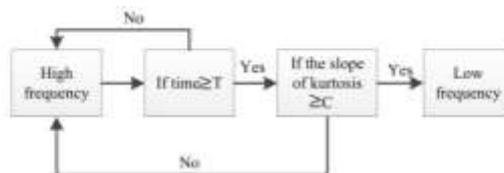
312 4.3 The optimize control method and the assessment of section quality

313 According to the analyses of the above sections, the kurtosis is set as the critical parameter which
 314 is used to optimize the control method and the best time to reduce the load frequency is before
 315 unstable crack propagation. As shown in Figure 10, there is a rise edge before unstable crack
 316 propagation stage, which can be set as the control point. It can be seen there are two rise edges
 317 during the whole process for 16Mn and 1045, and only one rise edge for Al 6061. Hence, for

318 16Mn and 1045, the time of second rise edge occurred is set as the control point; for Al 6061, the
 319 time of first rise edge occurred is set as the control point. To know the accurate time when the rise
 320 edge occurred, the derivative of kurtosis with time is calculated, and the slope of kurtosis is
 321 obtained. At the control point, for 16Mn, 1045 and Al 6061, the slope of kurtosis is bigger than
 322 100, 300 and 20, respectively. The control method scheme is illustrated in figure 11: firstly, the
 323 metal bar is applied under the high frequency load, when the load time is over the T and the slope
 324 of kurtosis is bigger than C, the computer gives commands to PLC to change the state of the servo
 325 motor from high frequency to low frequency until the metal bar fracture. T is a transient time that
 326 is used to avoid the interference of the first rise edge, and for 16Mn, 1045 and Al 6061 the T is 5s,
 327 10s, and 1s, respectively. C is the critical value of slope of kurtosis which is used to indicate the
 328 presence of control points, and for 16Mn, 1045 and Al 6061 the C is 100, 300, and 0, respectively.
 329 As shown in Table 4, the high frequency is set as 20 Hz, and three load frequencies, i.e., 8.33 Hz,
 330 6.67 Hz, 5 Hz, are set in the low frequency region to compare the influence of different frequency
 331 loads.



334 Figure 10. The rise edge of kurtosis and the slope of kurtosis of metal bars (a) 16Mn (b) 1045 (c) Al 6061



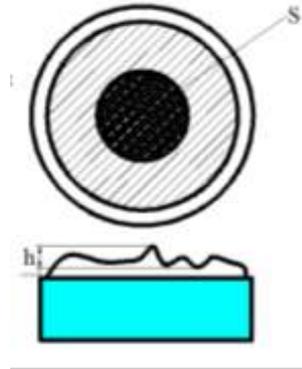
335
 336 Figure 11. The logic of optimize control method

337 Table 4 Four control strategies.

	Strategy 1	Strategy 2	Strategy 3	Strategy 4
High frequency region	20Hz	20Hz	20Hz	20Hz
Low frequency region		8.33Hz	6.67Hz	5Hz

338
 339 To compare the section quality before and after optimization, as shown in Figure 12, two

340 evaluation indexes are presented, in which h represents the height between the highest point and the
 341 lowest point on the section, and S represents the area of the fracture zone. The OM system,
 342 OLYMPUS DSX1000, is used to observe the roughness of the section. The $\times 42$ objective is
 343 selected and 3D information of the section surface is obtained through automatic image splicing.



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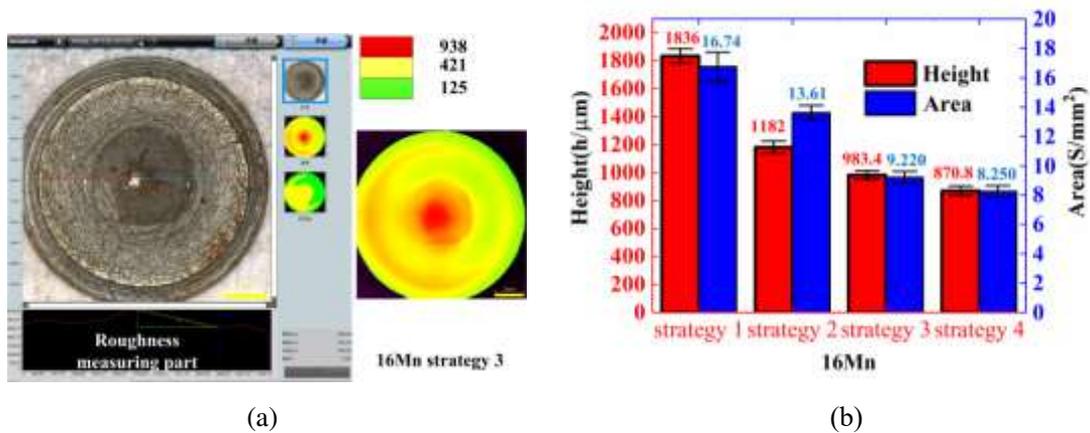
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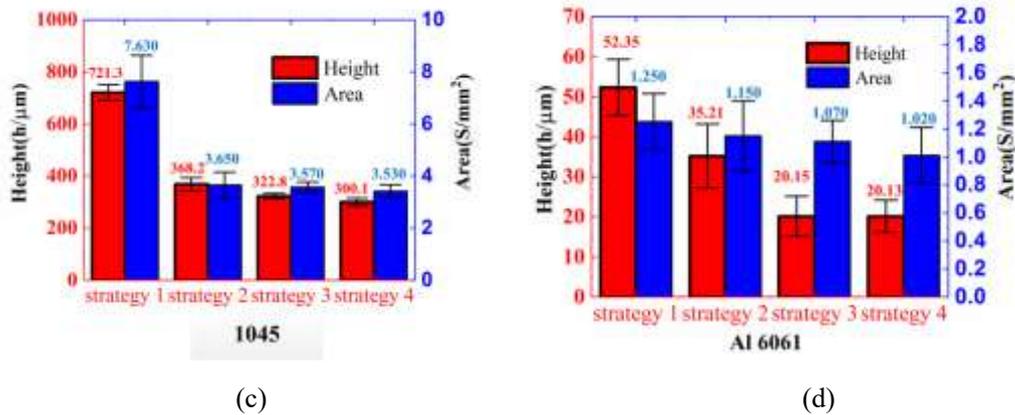
Figure 12. The diagram of two section quality evaluation indexes.

346 The section information of metal bars with different control strategies are presented in Figure 13.
 347 It can be seen from Figure 13(a) that the roughness measuring part can be used to obtain the height
 348 (h). Each sample is measured 10 times, and the average height value of different materials under
 349 four control strategies are shown in Figure 13(b),(c) and (d). When the frequency change at the
 350 control point, h reduces obviously. For 16Mn, the height value drops from $1836\mu\text{m}$ to $1182\mu\text{m}$
 351 by 35.62%; for 1045, the height value goes from $721.3\mu\text{m}$ to $368.2\mu\text{m}$ by 48.95%; and for Al
 352 6061, the height value goes from $52.35\mu\text{m}$ to $35.21\mu\text{m}$ by 32.74%. Furthermore, the area of the
 353 fracture zone reduces significantly. For 16Mn, the area of fracture zone drops from 16.74mm^2 to
 354 13.61mm^2 by 18.70%; for 1045, the area of fracture zone drops from 7.630mm^2 to 3.650mm^2 by
 355 52.16%; and for 16Mn, the area of fracture zone drops from 1.25mm^2 to 1.15mm^2 by 0.08%.
 356 The results prove that the optimal control method can reduce the section roughness and the area of
 357 the fracture zone effectively for all the experimental materials in this experiment. That is, the
 358 optimal control method can effectively improve the section quality.

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Figure 13. (a) The software interface of OLYMPUS DSX1000. (b) The height value and the area of fracture zone for 16Mn under four control strategies. (c) The height value and the area of fracture zone for 1045 under four control strategies. (d) The height value and the area of fracture zone for Al 6061 under four control strategies.

Further more, Figure 13(b), (c) and (d) show the influence of different loading frequencies on section quality. The height value and the area of the fracture zone reduce as the loading frequency reduces. For 16 Mn, when the loading frequency reduces from 8.33Hz to 5Hz, the height value drops from 1182 μm to 870.8 μm , and the area of fracture zone drops from 13.61 mm^2 to 8.250 mm^2 . For 1045, when the loading frequency reduces from 8.33Hz to 5Hz, the height value drops from 368.2 μm to 300.1 μm , and the area of the fracture zone drops from 3.650 mm^2 to 3.530 mm^2 . For Al 6061, when the loading frequency reduces from 8.33Hz to 5Hz, the height value drops from 35.21 μm to 20.13 μm , and the area of the fracture zone drops from 1.150 mm^2 to 1.020 mm^2 . The results indicate the lower the loading frequency, the better the section quality. The influence trend of reducing loading frequency can be obtained by further comparison. For 16 Mn, when the loading frequency reduces from 8.33Hz to 6.67Hz, the height value drops by 16.84%, and the area of fracture zone drops by 32.26%; with the loading frequency reducing from 6.67Hz to 5Hz, the height value drops by 11.45%, and the area of fracture zone drops by 10.52%. For 1045, with the loading frequency reducing from 8.33Hz to 6.67Hz, the height value drops by 12.32%, and the area of fracture zone drops by 0.022%; with the loading frequency reducing from 6.67Hz to 5Hz, the height value drops by 0.070%, and the area of fracture zone drops by 0.011%. For Al 6061, with the loading frequency reduces from 8.33Hz to 6.67Hz, the height value drops by 42.77%, and the area of fracture zone drops by 0.070%; with the loading frequency reduced from 6.67Hz to 5Hz, the height value drops by 0.001%, and the area of fracture zone drops by 0.047%. It can be seen that as the frequency decreases, the efficiency of the cross-section quality improving decreases. Considering the efficiency and the section quality, different control strategies can be adopted for different materials. Strategy 1, strategy 2 and strategy 3 are suitable for Al 6061, 1045 and 16Mn, respectively.

389 5. Conclusion

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In this study, a new LCFC system which includes three parts: LCFC machine, control system and monitoring system is established to find an optimized control method to ensure the cropping efficiency and improve section quality. Characteristics and mechanism of each stage during the LCFC are studied by using AE parameter, OM system and SEM, and a new optimal control

394 method based on the AE technique is proposed. To compare the section quality before and after
395 optimization, two evaluation indexes are presented and OLYMPUS DSX1000 is used to get 3D
396 information of the section surface. The detailed conclusion is as follows.

397 (1) Ring-down counts is proved to be able to describe the whole LCFC process. In the crack
398 initiation stage, the ring-down counts close to 0; in the crack propagation stage, the ring-down
399 counts increased evidently and reached a stable stage except Al 6061. For 16Mn, the values of
400 ring-down counts remain stable between 7000 to 10000; for 1045, the value of ring-down
401 counts remain stable between 7500 to 8500. In the fracture stage, the ring-down counts drop
402 to 0 rapidly.

403 (2) For 16Mn and 1045, the peak of kurtosis concentrated in two areas. For 16Mn, the first peak
404 of kurtosis emerged from 0.6~1s, the second peak of kurtosis emerged from 9.8~10.8s. For
405 1045, the first peak of kurtosis emerged from 3.2~4.4s, the second peak of kurtosis emerged
406 from 16.8~18.8s. There is no stable stage from crack propagation to fracture for Al 6061. The
407 peak kurtosis indicates a dramatic change in the state of the material which can be used to
408 show the transition area between the different stages. Moreover, the kurtosis is not influenced
409 by the threshold value of events. Hence, it is more suitable for monitoring the whole process
410 of LCFC and as a critical parameter to optimize the control method than ring-down counts in
411 a noisy factory environment.

412 (3) According to macroscopic images of the section, for 16Mn and 1045 three zones which
413 related to crack initiation stage, crack propagation stage and fracture stage can be observed.
414 For Al 6061, there is no obvious transition zone between the crack propagation stage and the
415 fracture stage. The area of the fracture stage (zone III) is too small to find on the macroscopic
416 section. By using SEM, it can be seen in the crack initiation stage, and fatigue loading leads to
417 the formation of fatigue striations; in the crack propagation stage, the fatigue striations and the
418 dimples can be observed simultaneously which indicates fatigue fracture and ductile fracture
419 happen at the same time; In the fracture stage, lots of equiaxed dimples can be found. Tensile
420 fracture is the main fracture form at this stage, and a large area of transient fracture zone is
421 produced. By reducing the load frequency to reduce the area of the transient fracture zone is
422 the key to improve section quality.

423 (4) Based on the characteristics of kurtosis, an optimal control method is come up. The metal bar
424 is first applied a high frequency (20Hz) cyclic loading. When the load time is over the T and
425 the slope of kurtosis is bigger than C, the computer give commands to a PLC to change the
426 state of the serve motor from high frequency to low frequency until the metal bar fracture.
427 Two control parameters, transient time T and the critical value of the slope of kurtosis C are
428 determined. For 16Mn, 1045 and Al 6061, the T is 5s, 10s, and 1s, respectively. For 16Mn,
429 1045 and Al 6061, the C is 100, 300, and 0, respectively. Two parameters, h and S, are used to
430 evaluate the section quality. The results under four control strategies are compared by using
431 OLYMPUS DSX1000 and indicate the optimal control methods can improve the section
432 quality effectively.

433 (5) The influence trend of reducing loading frequency is investigated by further comparison. It
434 can be seen as the frequency decreases, the efficiency of the section quality improving
435 decreases. Considering the efficiency and the section quality, various control strategies can be
436 adopted for different materials. Strategy 1 (high frequency is 20Hz, high frequency throught
437 the whole process), strategy 2 (high frequency is 20Hz, low frequency is 8.33Hz) and strategy

438 3 (high frequency is 20Hz, low frequency is 6.67Hz) is suitable for Al 6061, 1045, and 16Mn,
439 respectively.
440

441 **Declarations**

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445

446 **Conflicts of interest/Competing interests:** There is no conflict of interest in this manuscript.

447

448 **Availability of data and material:** Not applicable

449

450 **Code availability:** Not applicable

451

452 **Authors' contributions:** Not applicable

453

454 **Nomenclature**

455 LCFC

456 Low-cycle fatigue cropping

457 AE

458 Acoustic Emission

459 T

460 Transient time, s

461 C

462 The critical value of slope of kurtosis

463 h

464 The height between the highest point and the lowest point on the section

465 S

466 The area of the fracture zone

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Figures

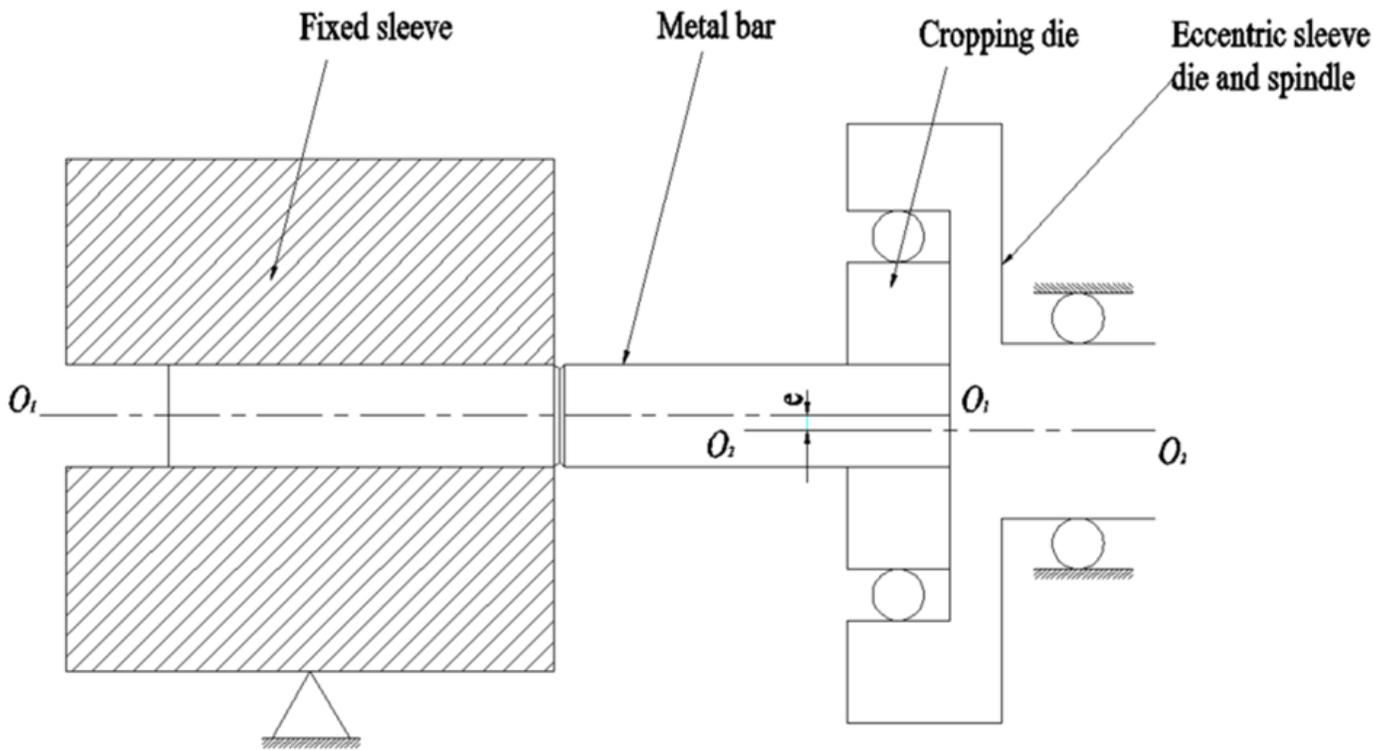


Figure 1

The schematic diagram of the working principle of LCFC.

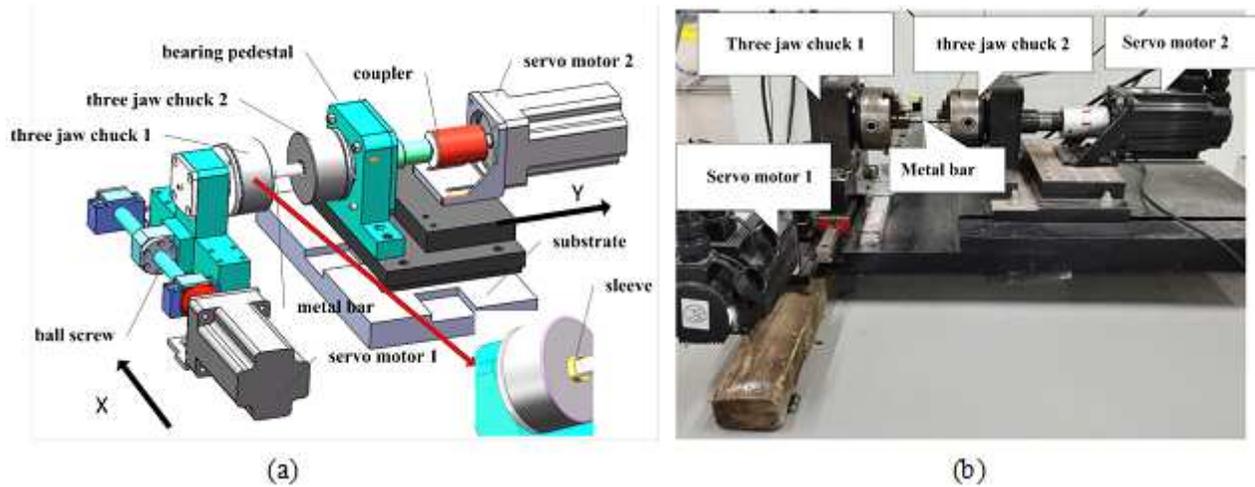
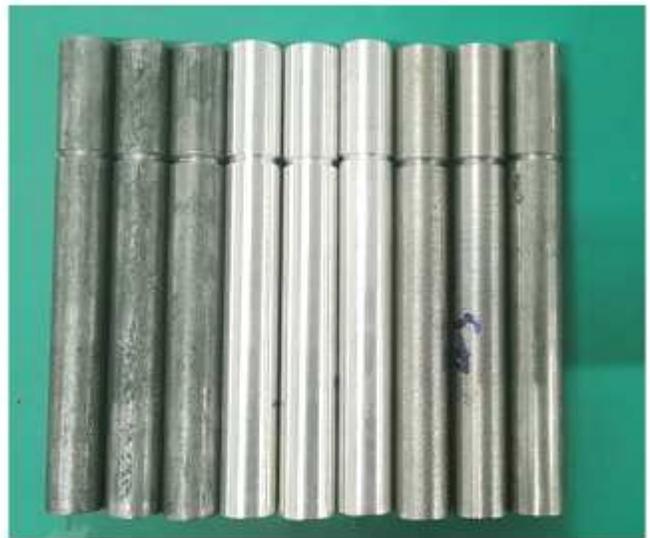
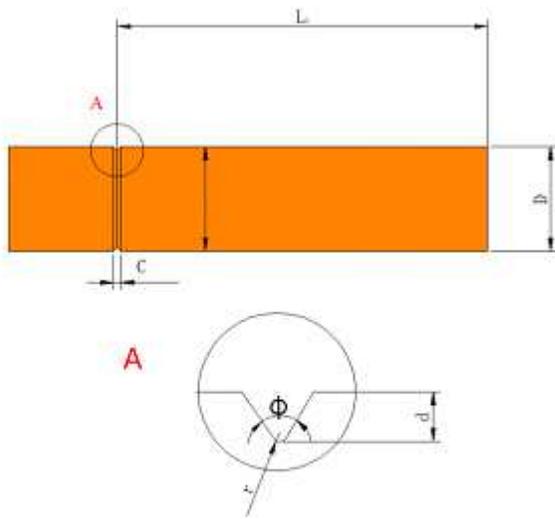


Figure 2

The new types of LCFC machine. (a) Structural schematic diagram (b) Experimental device



(a)

(b)

Figure 3

The geometric parameters and the images of the metal bar. (a) The diagram of geometrical structure (b) The images of three types metal bar: from left to right are 16Mn, Al 6061 and 1045.

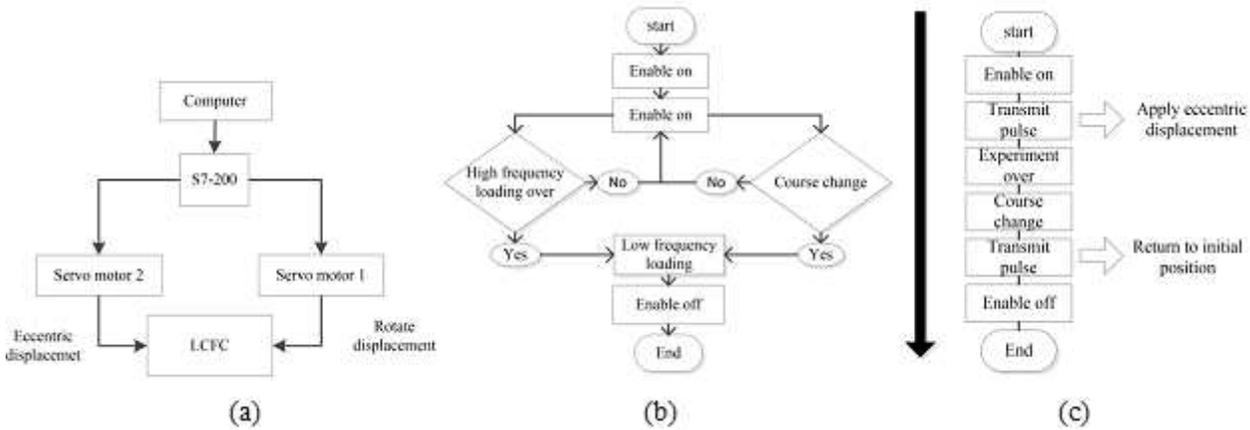


Figure 4

Schematic of the control system. (a) Two servo motors are controlled by s7-200 PLC. (b) Flow chart of rotation control program. (c) Flow chart of eccentric displacement control program.

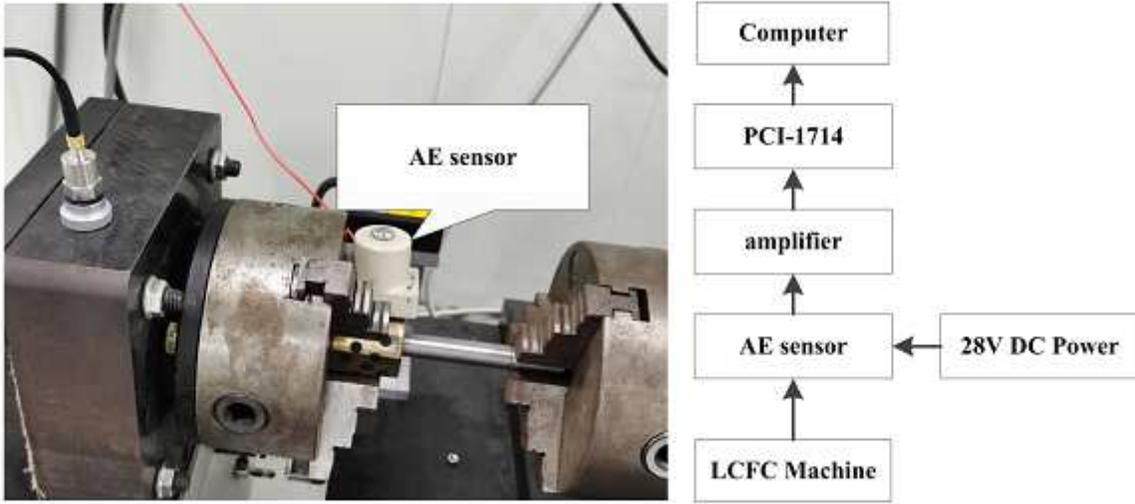
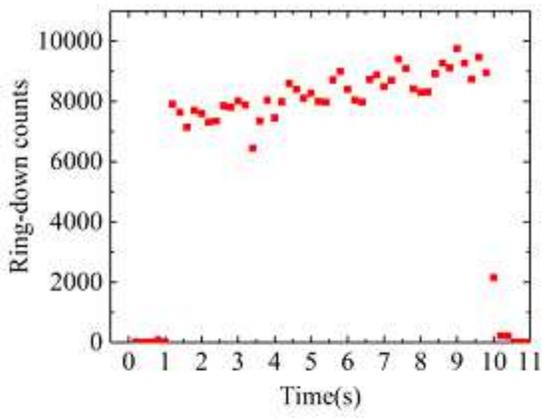
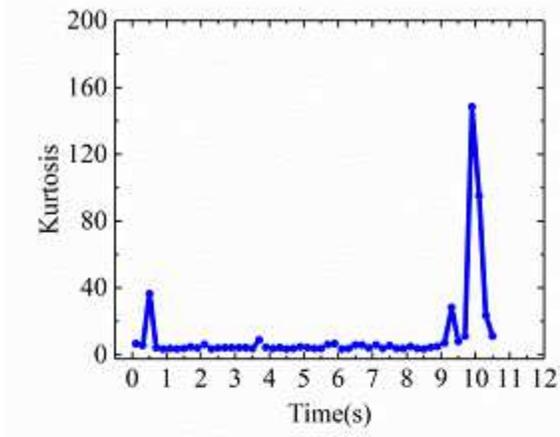


Figure 5

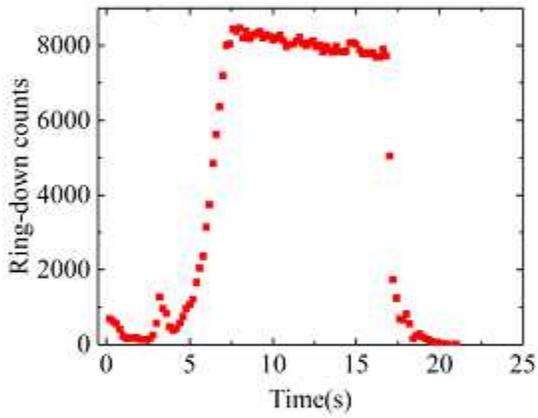
The diagram of the monitoring system.



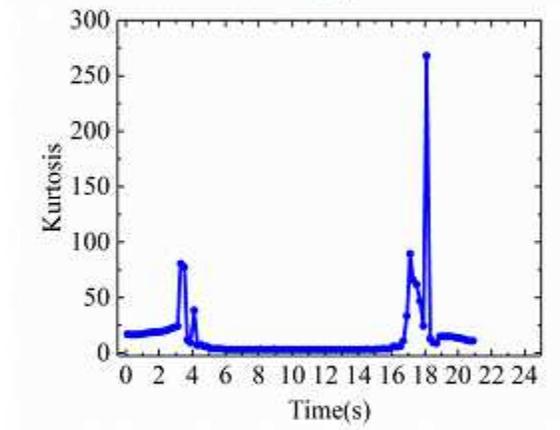
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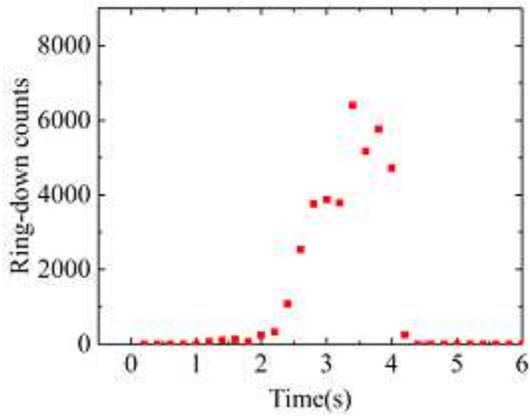
(b)



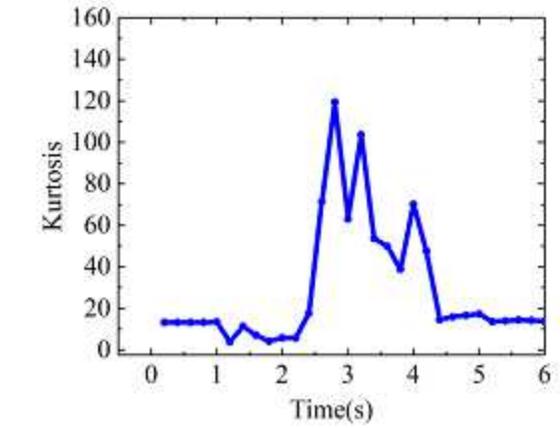
(c)



(d)



(e)



(f)

Figure 6

Ring-down counts vs. time (a, c, e) and kurtosis vs. time (b, d, f) with 16Mn specimens (a, b), 1045 specimens (c, d) and Al 6061 (e, f).

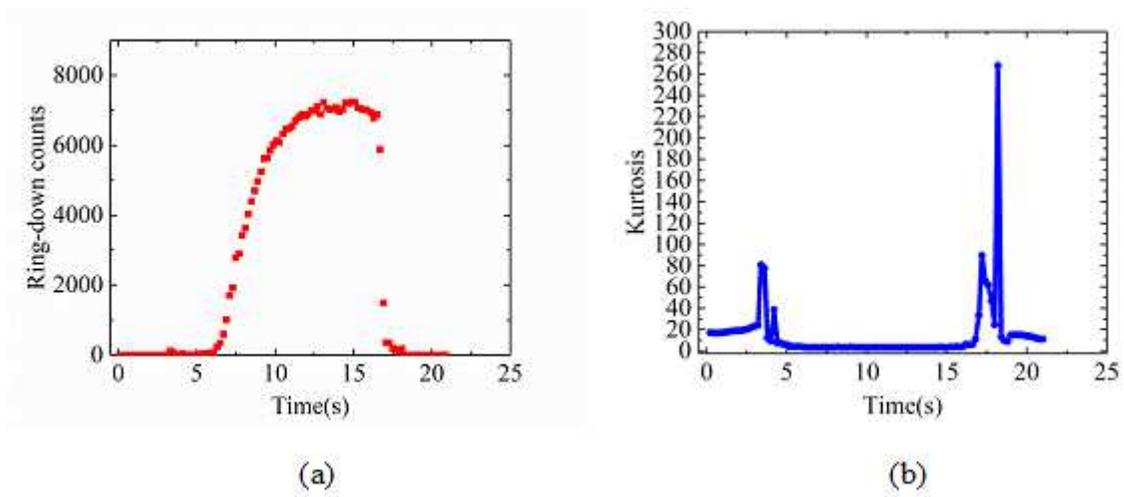


Figure 7

Ring-down counts vs. time (a) and kurtosis vs. time (b) with 1045 specimens when the threshold value of peak event is 0.3.

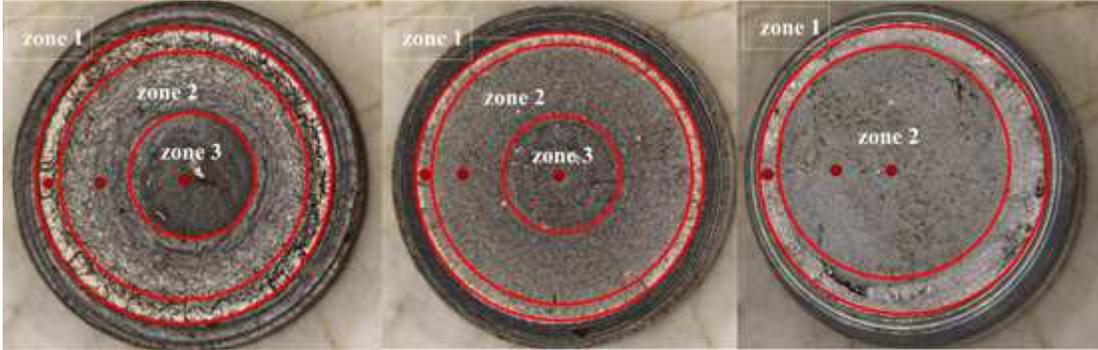


Figure 8

The macroscopic cross-sections of metal bars. (a) 16Mn, (b) 1045, and (c) Al 6061.

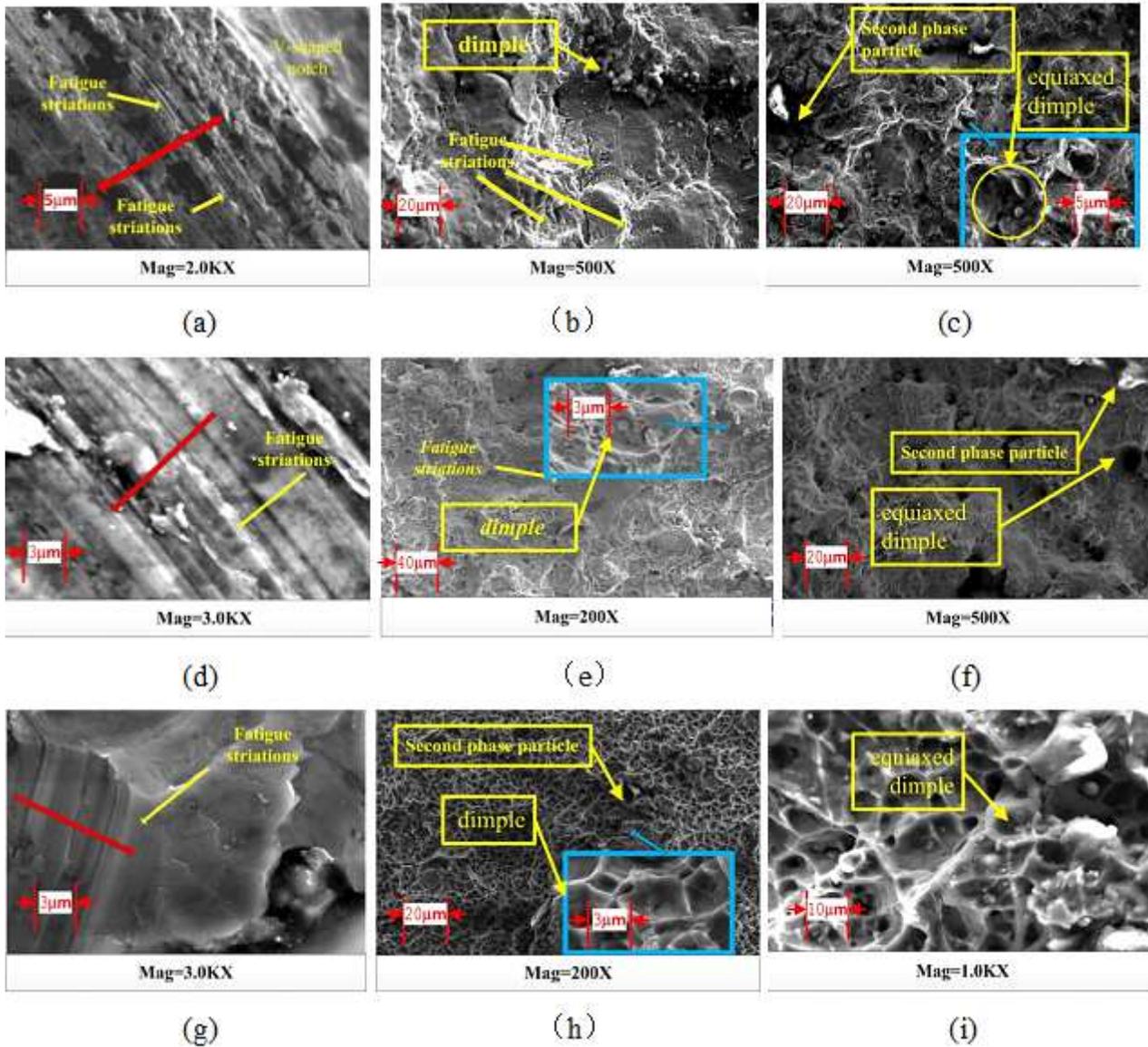


Figure 9

Different stages of fatigue fracture surface of three types of materials (a) crack initiation area of 16Mn (b) crack propagation area of 16Mn (c) fracture area of 16Mn (d) crack initiation area of 1045 (e) crack propagation area of 1045 (f) fracture area of 1045 (g) crack initiation area of Al 6061 (h) crack propagation area of Al 6061 (i) fracture area of Al 6061

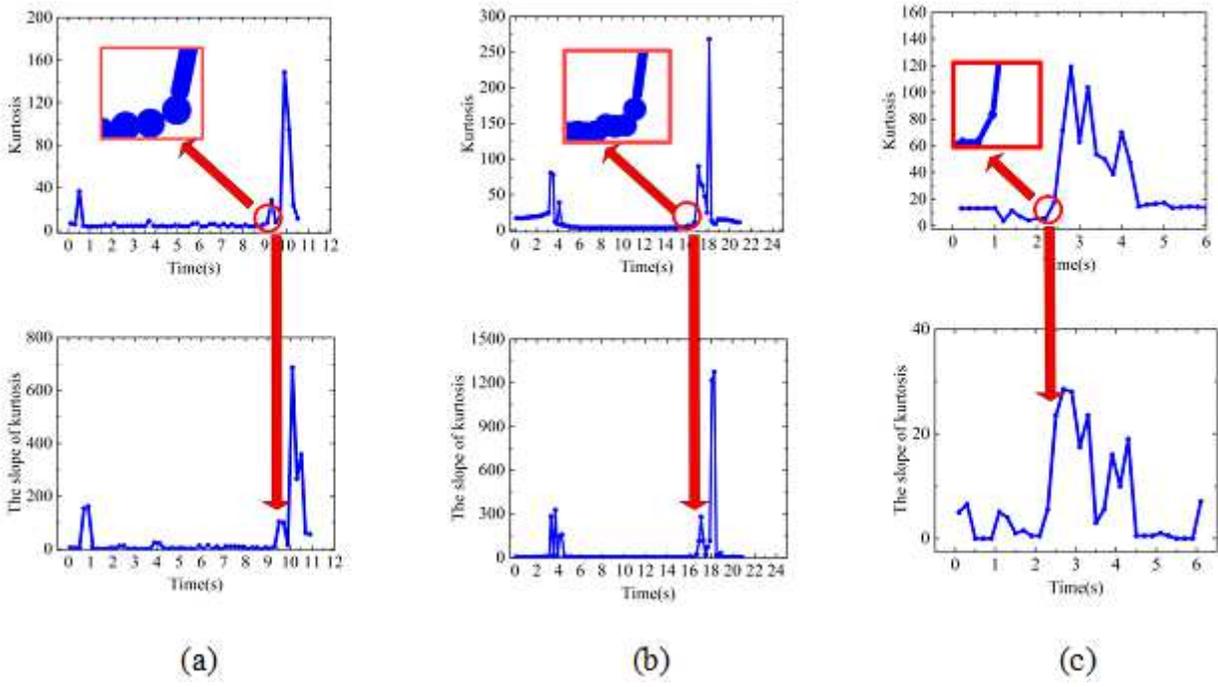


Figure 10

The rise edge of kurtosis and the slope of kurtosis of metal bars (a) 16Mn (b) 1045 (c) Al 6061

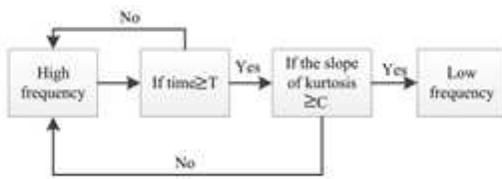


Figure 11

The logic of optimize control method

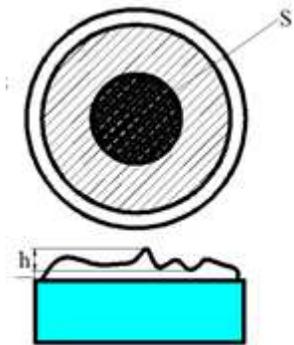
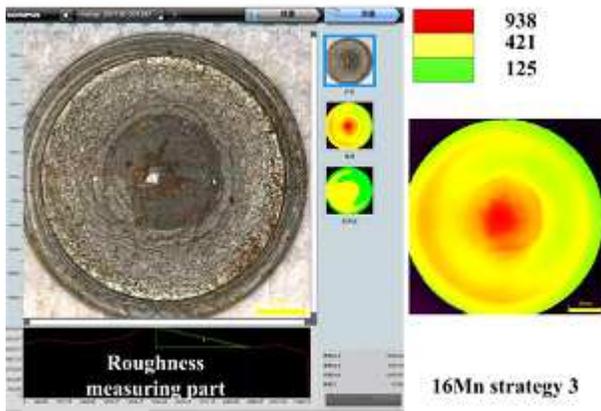
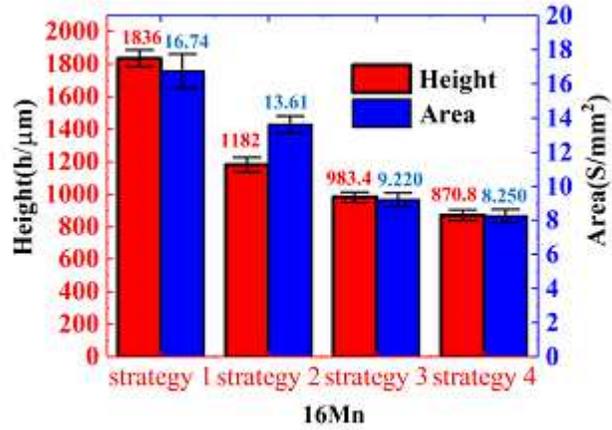


Figure 12

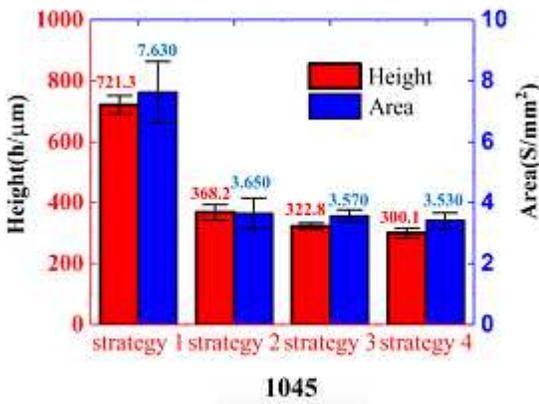
The diagram of two section quality evaluation indexes.



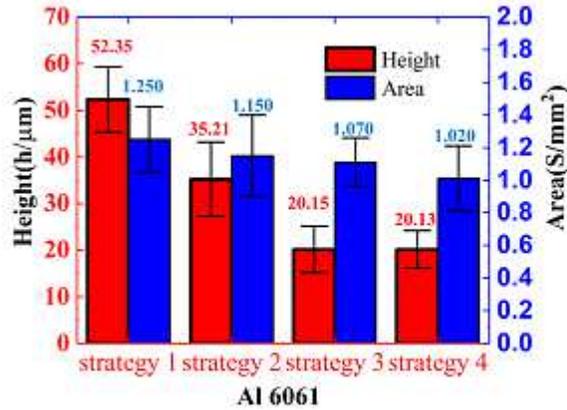
(a)



(b)



(c)



(d)

Figure 13

(a) The software interface of OLYMPUS DSX1000. (b) The height value and the area of fracture zone for 16Mn under four control strategies. (c) The height value and the area of fracture zone for 1045 under four control strategies. (d) The height value and the area of fracture zone for Al 6061 under four control strategies.