

Ultrasound-Assisted Extraction for Simultaneous Quantitation of Potential Sweetening Compounds from *Derris reticulata* Aqueous Extracts: A Response Surface Methodology Approach

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Research

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1 **Ultrasound-Assisted Extraction for Simultaneous Quantitation of Potential Sweetening**
2 **Compounds from *Derris reticulata* Aqueous Extracts: A Response Surface Methodology**
3 **Approach**

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24

25 Abstracts

26 **Background:** *Derris reticulata* or Oi Sam Saun is a highly sweet Thai plant, rich in bioactive
27 compounds, and widely used for its medicinal properties. In this study, sweet aqueous extracts
28 from the stems of Oi Sam Saun were extracted using ultrasound-assisted extraction (UAE).
29 Phenolic, flavonoid, and sugar compound extraction was optimized using the Box-Behnken
30 design (BBD) and response surface methodology (RSM).

31 **Methods:** Three independent variables—extraction temperature (40–80°C), sonication time
32 (20–60 min), and extraction ratio (1:10–1:30 g/mL)—were investigated, and the optimal
33 condition was used to determine phenolics, flavonoid and 18 β -glycyrrhetic acid by High
34 performance liquid chromatography-diode array detector (HPLC-DAD). Sensory evaluation
35 was also performed.

36 **Results:** The values of 84°C, 64 min, and ratio 1:8 g/mL were found to be optimal. Under these
37 conditions, experimental values were well correlated with predicted values, and phenolic,
38 flavonoid, and sugar contents were determined as 0.4725 mgGAE/gDW, 0.1489 \pm 0.033
39 mgCE/gDW, and 4.802 \pm 0.651 mg/gDW, respectively. Gallic acid, *p*-coumaric acid,
40 quercetin, and kaempferol was also found in optimal condition. Moreover, the extract contained
41 18 β -glycyrrhetic acid (0.529 \pm 0.002 mg/100 mg) and was 166 times sweeter than sucrose.

42 **Conclusion:** High level of phenolics, flavonoids and sugars was detected in optimal condition
43 of the extract. Therefore, this Thai medicinal plant, which has several pharmacological
44 benefits, is newly potentially and applicable as a sweetening agent or sugar substitute in foods.

45 **Keywords:** ultrasound-assisted extraction, phenolics, flavonoids, 18 β -glycyrrhetic acid,
46 sweet Thai plant, response surface methodology, Box-Behnken design

47

48

49 **Introduction**

50 Sweetness is one of five basic tastes and plays an important role in human diet. Most sweet
51 tastes originate from sugars. However, excessive sugar intake can cause diseases, such as dental
52 caries, hypertension, obesity, and diabetes (Malik et al. 2006). Currently, non-nutritive
53 sweeteners and non-sugar sweetening agents are being consumed to avoid health problems
54 associated with high sugar intake. Most non-nutritive sweeteners that are currently available
55 globally are artificial sweeteners, such as aspartame, sucralose, saccharin, and acesulfame-K,
56 some which have been reported to be harmful to life (Whitehouse et al. 2008). Many research
57 attempts have been made to discover and develop natural non-nutritive sweetening compounds
58 from plants. These attempts have been largely successful, but only a few of these compounds
59 have been commercialized as sweeteners, including stevioside, rebaudioside, glycyrrhizin,
60 mogroside, brazzein, and thaumatin. However, sweetening agents of plant origin, including
61 phenolics, flavonoids, terpenoids, and sugars have both aglycone and glycone structures (Kim
62 and Kinghorn et al. 2002). Some flavonoid or phenolic compounds are sweet, such as
63 glycyphyllin, naringin dihydrochalcone, and dihydroquercetin 3-*O*-acetate (Kim and Kinghorn
64 et al. 2002). A well-known low-calorie sweetener is glycyrrhizin, a glycosylated pentacyclic
65 triterpenoid, containing one molecule of 18 β -glycyrrhetic acid, and two molecules of
66 glucuronic acid. Additionally, the compound, 18 β -glycyrrhetic acid, is found in *Glycyrrhiza*
67 *glabra* L. or licorice (local name in Thai “Cha-em”). It is a widely used herbal medicine, native
68 to southern Europe and parts of Asia, including Thailand (Khattak and Simpson, 2010).
69 Thailand has a biodiversity of interesting plants such as “Oi Sam Saun” or “Cha em Nua”
70 (*Derris reticulata* Craib.), found in semi-shaded areas of dry evergreen forests, the edge of
71 evergreen mixed (dipterocarp) forests, bamboo forests, or along streams (50–450 m). The stems
72 of this plant give a sweet taste similar to licorice; it is used as a sweetener in local medicine
73 and as a laxative (Sirichamorn et al. 2012). Although some flavonoids, such as lupinifolin,

74 dereticulatin, and pyranoflavonone, were reported in Oi Sam Saun (Yusook et al. 2017;
75 Mahidol et al. 1997; Mahidol et al. 2002), these compounds did not show sweet tastes.

76 Generally, sweetening compounds were extracted via conventional methods, such as
77 maceration, soxhlet extraction, and supercritical fluid extraction. Xia et al. (2008) extracted
78 sweetening components from *Siraitia grosvenorii* or monk fruit by soxhlet extraction method.
79 Further, Koh et al. (2009) extracted sweetening compounds from Chinese sweet tea plant
80 (*Rubus suavissimus* S. Lee) by soaking in water and precipitating with alcohol. Choi et al.
81 (2002) extracted stevioside from stevia leaves by supercritical fluid extraction. Extraction is a
82 crucial step for the isolation of bioactive sweetening compounds from plant materials.
83 However, conventional methods have certain limitations, such as low yield, too much solvents,
84 and bitter tastes caused by the materials used (Armenta et al. 2008). Therefore, modern
85 techniques, such as ultrasound-assisted extraction (UAE), microwave assisted extraction, and
86 pressurized liquid extraction, are modified approaches that have significant advantages over
87 conventional methods (2006). These techniques have been applied in extracting commercial
88 natural sweeteners, such as stevioside, glycyrrhizin, and mogroside (Pan et al. 2008; Rao et al.
89 2012; Charpe and Rathod, 2012).

90 UAE has received considerable attention as a promising alternative to conventional
91 methods (Maran and Priya, 2016). It has been applied in several research and development
92 fields, including phytochemical product extraction and the food industry (Esclapez et al. 2011).
93 It is a simple, low cost, and highly effective technique that exhibits a high efficiency yield in a
94 short time (Al-Dhabi et al. 2017). According to some reports, UAE has been applied for the
95 extraction of natural sweeteners; Charpe and Rathod (2012) extracted glycyrrhizic acid from
96 licorice root using ultrasound, and Liu et al. (2010) extracted total carbohydrate and
97 rebuadioside A from stevia leaves by UAE.

98 However, the UAE method needs to be optimized depending on several factors that can
99 influence the phytochemical extraction yield, including the extraction temperature, ultrasonic
100 time, solvent composition, particle diameter, liquid-solid ratio, and electrical acoustic intensity
101 (Sheng et al. 2017). Currently, response surface methodology (RSM) is a mathematical tool
102 widely used in the research and food industry. Its advantages include decreasing the number of
103 experimental runs, evaluating the effect of several variables, and optimizing conditions.
104 Among many classes of RSM designs, Box-Behnken designs (BBDs) are a class of rotatable
105 or nearly rotatable second-order designs that are based on three-level incomplete factorial
106 designs. BBDs are slightly more efficient with fewer experimental runs than the central
107 composite designs (CCDs) (Ferreira et al. 2007).

108 To the best of our knowledge, there is a lack of information on sweetening compounds
109 and their related compounds from *Derris reticulata* Craib., and there are only few reports on
110 suitable extraction methods for these compounds. Therefore, this study was aimed to extract
111 such compounds (phenolics, flavonoids, and sugars) from Oi Sam Saun using UAE, optimizing
112 them using RSM, and investigating their sweetness potent.

113

114 **Materials and Methods**

115 **Chemicals**

116 Folin-Ciocalteu reagent, sodium carbonate, methanol, acetonitrile, and formic acid were
117 purchased from Sigma (Singapore). All solvents and standard compounds were of HPLC grade
118 and all chemicals were of analytical grade.

119

120 **Plant materials**

121 *Derris reticulata* Craib. (Leguminosae) or Oi Sam Saun stems were collected in July, 2015
122 from central regions of Thailand. Botanical identification was graciously performed by Assoc.

123 Prof. Saranya Vajrodaya of the Faculty of Botany, Kasetsart University, Bangkok, Thailand.
124 Voucher specimen (BK no. 069447) was then deposited at the Forest Herbarium-BKF,
125 Bangkok, Thailand. Stems were dried at 50°C until they attained constant weight (12%
126 moisture content), ground to powder, sieved through a 40-mesh sieve, and kept at room
127 temperature prior to the experiments.

128

129 **Extraction of Oi Sam Saun by Ultrasound-assisted extraction (UAE)**

130 UAE was carried out using an ultrasonic bath (Elmasonic E70H) set to 120 W and 37 Hz. Plant
131 powder was extracted using distilled water at different extraction temperatures (40, 60, and
132 80°C), times (20, 40, and 60 min), and ratios (1:10, 1:20 and 1:30 w/v). At designated extraction
133 intervals, the mixture was taken and filtered with Whatman No. 1 filter paper; the Oi Sam Saun
134 UAE extracts were collected to determine the yield, colour, and sweetening compounds.

135

136 **Determination of extraction yield, colour, and sweetening compounds in Oi Sam Saun** 137 **extract**

138 *Extraction yield*

139 Oi Sam Saun UAE extracts were collected, the water was removed under vacuum using a rotary
140 evaporator (Buchi, Germany) at 40°C, and they were freeze-dried. The percentage yield of the
141 dried crude extracts was determined and calculated according to Equation 1:

$$142 \quad \% \text{ yield} = (\text{weight of crude extract} / \text{weight of dried sample}) \times 100 \quad \text{Equation 1}$$

143

144 *Colour measurement*

145 Colours of the Oi Sam Saun UAE extracts were measured using a HunterLab colorimeter
146 (Miniscan EZ; Hunter Associates Laboratory Inc., Reston, USA). Each sample was measured in
147 triplicates and analysed using the CIE $L^* a^* b^*$ system. Here, L^* represents the lightness of the

148 colours from 0 (dark) to 100 (light), a^* represents the greenness/redness parameter (negative a^*
 149 is green and positive a^* is red), and b^* represents the grade of blueness/yellowness (negative b^*
 150 is blue and positive b^* is yellow). The angular coordinates of the hue angle (h°) were calculated
 151 according to Equation 2:

$$152 \quad h^\circ = \tan^{-1}(b^*/a^*) \text{ when } a^* > 0 \text{ and } b^* > 0 \quad \text{Equation 2a}$$

$$153 \quad h^\circ = 180^\circ + \tan^{-1}(b^*/a^*) \text{ when } a^* < 0 \quad \text{Equation 2b}$$

$$154 \quad h^\circ = 360^\circ + \tan^{-1}(b^*/a^*) \text{ when } a^* > b^* \times \text{ and } b^* < 0 \quad \text{Equation 2c}$$

155

156 For the browning index (BI) of Oi Sam Saun UAE extracts, BI was calculated using
 157 Equations 3a and 3b:

$$158 \quad BI = \frac{100(x-0.31)}{0.172} \quad \text{Equation 3a}$$

159 Where:

$$160 \quad X = \frac{a^* + 1.75L^*}{5.645L^* + a^* - 3.012b^*} \quad \text{Equation 3b}$$

161 The browning index (BI) represents the purity of the brown colour or brown pigment
 162 concentration (Guerrero et al. 1996; Palou et al. 1999).

163

164 ***Total phenolic content***

165 Phenolic content was determined using the Folin-Ciocalteu method described by Gonçalves et
 166 al. (2013). Folin reagent (2.5 mL, diluted 10×) was added to 0.5 mL of the extract, to which
 167 2 mL sodium carbonate (5 g/L) was then added. The mixture was then placed in the dark for
 168 1 hr before the absorbance was read at 760 nm. Gallic acid was used as a reference standard
 169 and the total phenolic content was expressed as gallic acid equivalents (GAE, mg/g extract).

170

171 ***Total flavonoid content***

172 Total flavonoids were determined using a spectrophotometric assay developed by Dini (2011).
173 About 0.5 mL of the extract and catechin standard (20–100 mg/L) were mixed in a test tube
174 with 2 mL of distilled water, and 5% sodium nitrite (0.15 mL) was added to the test tube. After
175 5 min, 10% aluminium chloride (0.15 mL) was added to the mixture. At 6 min, 1 M sodium
176 hydroxide (1 mL) and distilled water (1.2 mL) were added, and the mixture was thoroughly
177 mixed. The absorbance of the mixture was measured against a blank at 510 nm. The total
178 flavonoids of the extracts were expressed as mg catechin equivalent (CE)/g dry weight of the
179 plant.

180

181 *Total sugar content*

182 Total sugar content was determined based on a colorimetric method (Dubois et al. 1956). The
183 extract (1 mL) was mixed with 5% phenol (1 mL), and 5 mL of concentrated sulfuric acid was
184 then added. The reaction mixture was incubated at room temperature for 20 minutes, and the
185 absorbance was measured at 490 nm with glucose as standard. The total sugar content was
186 expressed as mg glucose/g dry weight of plant (mg/g DW).

187

188 **Experimental design**

189 Box-Behnken experimental design (BBD) with three levels and three factors was selected to
190 investigate the influence of process factors on RSM. The effects of three extraction factors
191 (ratio, temperature, and sonication time) on three responses (phenolic, flavonoid, and sugar
192 contents) were determined. **Table 1** lists the original and code values of the extraction factors
193 and their levels in the extraction process. The experimental design involves 17 experimental
194 runs, including five replicates at centre points, used to allow for the estimation of a pure error
195 sum of squares, and the total number of experimental runs were evaluated from the following
196 equation (Maran and Priya, 2016).

197
$$N = 2K(K-1) + C_0$$
 Equation 4

198 Where K is the number of experimental factors and C_0 is the number of central points.

199 A second-order polynomial equation was fitted to the data by linear regression. The
200 model equation is:

201
$$Y = \beta_0 + \sum_{i=1}^k \beta_i X_i + \sum_{i=1}^k \beta_{ii} X_i^2 + \sum_i^k \sum_i^k \beta_{ji} X_i X_j + \varepsilon$$
 Equation 5

202 Where Y is the dependent variable (flavonoid and phenolic), β_0 is the model constant, β_i , β_{ii} ,
203 β_{ij} are the model coefficients, X is the independent variable, k is the number of independent
204 factors, and ε is the error. The parameters, β_i , β_{ii} , β_{ij} represent the linear, quadratic, and
205 interaction effects of the variables, respectively.

206

207 **Optimization of sweetening compound extraction conditions**

208 BBD experimental data were used to determine the optimal conditions for the model. All
209 response variables (Total phenolic, flavonoid, and sugar contents) were kept at maximum, and
210 the independent variables (X_1 , X_2 , and X_3) were kept within the desired range (between lower
211 and higher level). Statistica 9.0 software (StatSoft Inc., Tulsa, OK) generated the optimal
212 conditions based on BBD data. Further, the optimal condition gave the model a predicted value
213 for each response, for comparison with the experimental value. Hence, the experiments were
214 performed at the suggested optimal condition, and the response values obtained were compared
215 with the model's predicted values.

216

217 **Determination of phenolic and flavonoid compounds**

218 Under optimal conditions, Oi Sam Saun UAE extract (~10 mg) was hydrolysed using 10 mL
219 of 1 M trifluoroacetic acid (TFA) at 90°C for 60 min. Next, the solution was mixed with 40
220 mL methanol and taken to the rotary evaporator at 40°C, until the remaining TFA was removed.

221 The hydrolysed sample was mixed with 20 mL deionised water and filtered with a 0.45 µm
222 syringe filter used for phenolic and flavonoid determination.

223 Phenolic and flavonoid compounds were determined under optimal Oi Sam Saun UAE
224 conditions. The extracts were analysed using an HPLC-DAD (diode array detector; 1200
225 Series, Agilent Technologies, USA), using an Eclipse XDB-C18 column (4.6 mm ID x 250
226 mm, 5 µm) and a linear gradient with water (pH 2.5) containing TFA (A) and acetonitrile (B)
227 for 65 min at a flow rate of 1.0 mL/min. The gradient was set as follows: 0–20 min, 95–90%
228 A; 20–50 min, 95–70% A; 50–55 min, 70–50% A; 55–60 min, 50–95% A; 60–65, 95% A. The
229 samples (5 µL) were loaded into the HPLC-DAD. The DAD detector was set at 280 and 350 nm
230 for phenolic and flavonoid detection, respectively.

231

232 **Sugar analysis**

233 Sugar type and content were identified by high performance liquid chromatography-
234 evaporating light scattering detector (HPLC-ELSD; Alltech, Buchi, Switzerland). Oi Sam Saun
235 UAE extracts, under optimal conditions, were dissolved in distilled water at a concentration of
236 1,000 ppm and filtered through 0.45 µm (Millipore) filters. Separation was achieved using a
237 Rezex RPM Monosaccharide column (300 mm × 7.8 mm ID, 8 µm particle size). The mobile
238 phase was water in isocratic elution with a flow rate of 0.6 mL/min for 30 min. The detection
239 of analytes was carried out using an evaporative light scattering detection (Alltech, Buchi,
240 Switzerland) technique which detects organic molecules by mass; hence, it is useful in the
241 quantitative determination of non-UV-sensitive compounds. The drift tubes for ELSD were set
242 at 105°C and the flow rate of nebulizing gas (N₂) was 2.6 standard litre per minute (SLM). The
243 sugar standard chromatograms were for glucose, fructose, and sucrose.

244

245 **Determination of 18β-glycyrrhetic acid**

246 The 18 β -glycyrrhetic acid content of the Oi Sam Saun UAE extract, under optimal
247 conditions, was identified by the modified method of Esmaeili et al. (2010), using 1200 series
248 Agilent HPLC system with a 20 μ L sample loop attached to a DAD. HPLC analysis was
249 completed using a reversed phase XDB-C18 column (250 \times 4.6 mm, 5 mm) and 18 β -
250 glycyrrhetic acid was determined using an acetonitrile/phosphoric acid (3/1, v/v; pH=2.5)
251 mobile phase at flow rates of 1.0 mL/min (0–8 min) and 0.6 mL/min (8–20 min) at a detector
252 wavelength of 230 nm.

253

254 **Sweetness potency by sensory evaluation**

255 *Sensory evaluation of Oi Sam Saun UAE aqueous extracts*

256 Sensory evaluation of Oi Sam Saun UAE extracts, under optimal conditions, was conducted
257 by 10 semi-trained panellists (3 males and 7 females) aged 24–30 years old. Sensory profiling
258 was performed by selecting extracts from the best condition. The intensity of each attribute,
259 including sweet, sour, and bitter tastes, was scored on a scale of 1 to 9. Sucrose, citric acid, and
260 caffeine were used as standards for sweet, sour, and bitter tastes, respectively. The individual
261 panellists who took part in the study were trained before the tasting and ranking test. The sweet
262 solution (10 mL), at a concentration of 1, 2, 5, and 10% sucrose, was selected for the first
263 session, and 0.5, 1, 2, and 3% sucrose were used for training in the second session. UAE
264 aqueous extracts (1 mL) were served in opaque disposable plastic cups at room temperature
265 (Meilgaard et al. 2006).

266

267 *Sweetness intensity estimation*

268 The sweetness potency of each sample, relative to that of sucrose, was calculated using the
269 following formula:

$$270 \text{ Sweetness potency} = B/A \times 100 \quad \text{Equation 6}$$

271 Where A is the concentration (%w/v) of sample solution at 10%, and B is the concentration
272 (%w/v) of sucrose with the same sweetness as sample. B was calculated from the linear
273 regression formula of the sweetness score (1–9 point) against sucrose concentration (0–15%
274 w/v) (Yoshikawa et al. 2002; Darise et al. 1984).

275

276 **Statistical analysis**

277 All tests were performed in triplicate. Statistical analysis was performed by the analysis of
278 variance (ANOVA) and least significant difference (LSD) test, using SAS statistical software,
279 version 9 (SAS Institute Inc., Cary, NC, USA), at 95% confidential interval or probability at \leq
280 0.05. The three-dimensional (3D) response surface plots of the experimental model were
281 generated using the Statistica 9.0 program (StatSoft Inc., Tulsa, OK).

282

283 **Results and discussion**

284 **Fitting the response surface model**

285 The preparation process to prepare Oi Sam Saun UAE extracts and overall experiment is
286 illustrated in **Fig. 1**. In this study, UAE involved three important parameters—temperature,
287 sonication time, and extraction ratio—which can strongly influence the amount of yield, colour,
288 and phenolic, flavonoid, and sugar contents of Oi Sam Saun extracts. Experiments were applied
289 to determine the optimum temperature, time, and extraction ratio for yield, colour, and phenolic,
290 flavonoid, and sugar compounds in the extract, based on BBD. However, the extraction yield,
291 colour (L^* , a^* , b^* , and h°), and browning index (BI) for all crude Oi Sam Saun extracts showed
292 no significant difference ($p > 0.05$; data not shown). Additionally, there was no correlation
293 between yield, colour, browning index, and phenolic, flavonoid, and sugar contents because
294 statistical analysis of the results revealed no significant difference. Moreover, some phenolic and
295 flavonoid compounds were colourless (Kelebek et al. 2010), which meant that the colour and

296 browning index of Oi Sam Saun UAE extracts were not different under every extraction
 297 condition. Therefore, phenolic, flavonoid and sugar contents were selected to determine the
 298 optimal condition for the Oi Sam Saun UAE process.

299 The experimental results for the 17 experimental points included five central points from
 300 the BBD, which were calculated from Equation 5, and are shown in **Table 1**. The quadratic
 301 model was applied to show the influence of variables over phenolic, flavonoid, and sugar
 302 contents in the extract. The quadratic model regression analysis equations, which were calculated
 303 from Equation 6 of Oi Sam Saun, were obtained. The results showed that the experimental data
 304 fit a quadratic model in the phenolic, flavonoid, and sugar contents of Oi Sam Saun based on
 305 ANOVA (**Table 2**), with significant R^2 values (> 0.90) for the effect of extraction temperature,
 306 sonication time, and extraction ratio on phenolic, flavonoid, and sugar contents. The second-
 307 order equations for these variables are shown as Equation 7 to 9, respectively.

308

$$309 \text{ Phenolic (mg/g)} = 0.233 + 0.028X_1 + 0.027X_2 - 0.147X_3 + 0.039X_1X_2 + 0.056X_2^2 +$$

$$310 0.089X_3^2 \quad \text{Equation 7}$$

311

$$312 \text{ Flavonoid (mg/g)} = 0.037 + 0.003X_1 + 0.002X_2 - 0.058X_3 + 0.004X_1^2 + 0.002X_1X_2 -$$

$$313 0.002X_1X_3 - 0.001X_2X_3 + 0.003X_2^2 + 0.027X_3^2 \quad \text{Equation 8}$$

314

$$315 \text{ Sugar (mg/g)} = 2.010 + 0.140X_1 - 1.415X_3 - 0.210X_2X_3 + 0.741X_3^2 \quad \text{Equation 9}$$

316

317 where X_1 : temperature ($^{\circ}\text{C}$), X_2 : sonication time (min), and X_3 : solid/liquid ratio (mL/g).

318 ANOVA was used to evaluate the significance of the quadratic polynomial models. The
 319 linear terms of temperature (X_1), time (X_2), and ratio (X_3) showed a significant effect ($p \leq 0.05$)
 320 on phenolic content. The quadratic terms of time (X_2^2) and ratio (X_3^2) on phenolic content also

321 exhibited a significant effect ($p \leq 0.05$), whereas the effect of temperature was insignificant (p
322 > 0.05). The combined effect on phenolic contents was significantly ($p \leq 0.05$) influenced by
323 temperature and time.

324 The linear and quadratic effects of all three parameters on flavonoid content were
325 significant ($p \leq 0.05$). ANOVA showed that, in combination, flavonoid contents were
326 significantly influenced by temperature (X_1) and time (X_2) ($p \leq 0.05$). Moreover, in
327 combination, flavonoid content was also significantly influenced by extraction temperature
328 (X_1) and ratio (X_2), and time (X_1) and ratio (X_3) ($p \leq 0.05$).

329 The linear effects of temperature (X_1) and ratio (X_3), and the quadratic effect of ratio
330 (X_3^2) on sugar content in the Oi Sam Saun UAE extract was significantly different ($p \leq 0.05$).
331 In combination, only the interaction between time and ratio had a significant ($p \leq 0.05$) effect
332 on sugar content.

333 Moreover, the highest values of estimated regression coefficients for extraction ratio
334 ($\beta_3 = -0.147, -0.058, \text{ and } -1.415$) indicated that it was the most important linear variable
335 influencing phenolic, flavonoid, and sugar contents. The negative value implied that phenolic,
336 flavonoid, and sugar contents increased with decreasing extraction ratio. In addition, the model
337 fitness was investigated using the lack-of-fit test ($p \leq 0.05$), which indicated the suitability of
338 models for accurate prediction of the variation (Yolmeh et al. 2014).

339

340 **Optimization of the extraction process**

341 The 3D response surface plots and two-dimensional (2D) contour plots were constructed from
342 the regression equations to visualize and study the relationship between the response
343 (temperature, sonication time, and extraction ratio) and sweetening compound (phenolic,
344 flavonoid, and sugar) extraction variables shown in **Fig. 2**.

345 For phenolic compounds in the Oi Sam Saun extracts, the 3D and 2D RSM plots are
346 shown as a function of temperature and sonication time in **Fig. 2a**. ANOVA results indicated
347 that X_1 (temperature) and X_2 (sonication time) had positive interaction effects on phenolic
348 content ($p \leq 0.05$; **Table 2**). Phenolic content increased with increasing extraction temperature
349 and time, probably due to enhanced mass transfer rate and diffusibility at higher temperatures
350 of solvent into the plant cell matrix. Further, the solubility of phenolic compounds also
351 increased at higher temperatures. These phenomena create bubble cavitation in liquid medium
352 and cause bubble collapse, which damages the plant cell matrix (Charpe and Rathod, 2012;
353 Esclapez et al. 2011). It can be concluded that the maximum phenolic content of Oi Sam Saun
354 UAE extract was attained when extraction temperature and sonication time were $\sim 84^\circ\text{C}$ and 64
355 min, respectively.

356 The 3D and 2D RSM plots of Oi Sam Saun flavonoid contents are shown in **Fig. 2b–**
357 **2d**. Based on ANOVA, the combined effect of temperature and sonication time, temperature
358 and ratio, and sonication time and ratio was statistically significant ($p \leq 0.05$). The flavonoid
359 content increased with increasing temperature and sonication time (**Fig. 2b**). Increasing the
360 sonication time influenced flavonoid content due to swelling, and hydration of plant material
361 could be accelerated by the cavitation effect of ultrasound waves during the initial extraction
362 period. The asymmetric collapse of micro-bubbles near surfaces was also associated with
363 micro-jets that could cause the disruption and penetration of water into the matrix through
364 diffusion, improving the washing out of flavonoid content from plant material to surrounding
365 water and enhancing extraction (Maran and Priya, 2016). **Fig.2c** and **Fig.2d** show the effect of
366 temperature and extraction ratio and sonication time and extraction on flavonoid content,
367 respectively. As seen in **Fig. 2c**, flavonoid content increased with increasing temperature and
368 decreasing extraction ratio. **Fig. 2d** shows that increasing sonication time and decreasing
369 extraction ratio increased flavonoid content. This phenomenon was also reported in the UAE

370 of polyphenols from *Sparganium stoloniferum* (Wang et al. 2013). Taken together, it can be
371 concluded that flavonoid content was the highest when the extraction ratio, temperature, and
372 sonication time were 1:8 g/mL, 84°C, and 64 min, respectively.

373 For sugar compounds, **Fig. 2e** demonstrates the interactive effect of sonication time and
374 extraction ratio on sugar content. ANOVA showed that sugar content was dependent on
375 sonication time and extraction ratio, combined ($p \leq 0.05$). Sugar content increased with
376 increasing sonication time and decreasing extraction ratio. According to **Fig. 2e**, the longer the
377 sonication time, the higher is the sugar content, which is similar to the phenolic and flavonoid
378 contents. Therefore, sugar content was the highest when the extraction ratio and sonication
379 time were around 1:8 g/mL and 64 min, respectively.

380

381 **Prediction of optimal conditions**

382 The numerical optimization method was used to optimize the UAE conditions. The optimal Oi
383 Sam Saun UAE conditions, which gave the maximum phenolic, flavonoid, and sugar content
384 were 1.2 (temperature), 1.2 (sonication time), and -1.2 (extraction ratio), in coded form. The
385 corresponding actual optimum extraction conditions were 84°C, 64 min, and 1:8 g/mL,
386 respectively. Under these conditions, the predicted values for phenolic, flavonoid, and sugar
387 contents were 0.4725 mg/g, 0.1688 mg/g, and 4.802 ± 0.651 mg/g, respectively (**Table 3**).

388

389 **Phenolic and flavonoid profile of optimized Oi Sam Saun UAE extract**

390 Some phenolics and flavonoids have been reported for their sweetening properties (Kim and
391 Kinghorn et al. 2002). Thus, the determination and identification of phenolic and flavonoid
392 compounds in Oi Sam Saun UAE extract was done. Under optimized UAE conditions, the
393 extract of Oi Sam Saun (84°C, and 64 min, 1:8 g/mL) was investigated in the presence of
394 phenolic and flavonoid compounds. The compounds were identified by comparing their

395 retention times and UV absorption spectrum with those of standards. Eight compounds were
396 shown in the chromatogram, but only four compounds were identified and quantified—two
397 phenolics (gallic acid, 0.108 ± 0.012 mg/g extract and p-coumaric acid, 0.082 ± 0.007 mg/g
398 extract) and two flavonoids (quercetin, 0.047 ± 0.008 mg/g extract and kaempferol, $0.031 \pm$
399 0.002 mg/g extract) (**Fig. 3; Table 4**). These four compounds, found in the Oi Sam Saun UAE
400 extract, are not typically present in free form and mostly give a bitter, rather than sweet taste.
401 However, quercetin and kaempferol structures might have similar dihydroflavonol or glycoside
402 forms as other sweet phenolic and flavonoid compounds, such as glycyphyllin, a
403 dihydrochalcone glycoside, or (2*R*, 3*R*)-dihydroquercetin 3-*O*-acetate, a dihydroflavonol (Kim
404 and Kinghorn et al. 2002), thereby giving a sweet taste to Oi Sam Saun extract. Hence, the
405 unknown phenolic and flavonoid compounds may be the key to the sweet taste of this plant
406 extract.

407

408 **Sugar profile of Oi Sam Saun UAE extract**

409 The sugar composition of the optimized Oi Sam Saun UAE extract was quantified via HPLC-
410 ELSD. Glucose, fructose, sucrose, and maltose were used as sugar standards. The
411 chromatogram results showed that the extract (**Fig. 4**) contained known sugars including
412 sucrose (1.333 ± 0.098 mg/g extract), glucose (0.705 ± 0.051 mg/g extract), and fructose (0.891
413 ± 0.074 mg/g extract), and three unknown sugars (**Table 4**). The type and concentration of
414 sugars were related to the sweetness intensity of the plant. Glucose in this plant might be in the
415 form of glycoside and impart a sweet taste, like glycyphyllin, which has glucose in its structure.
416 Sucrose also gives a sweet taste to this plant, depending on the concentration. Therefore,
417 analysis of the phenolic, flavonoid, and sugar components suggested that phenolic and
418 flavonoid compounds in Oi Sam Saun UAE extract exist in glycoside forms, which might be
419 responsible for imparting a sweet taste to it (Kim and Kinghorn et al. 2002).

420

421 The 18 β -glycyrrhetic acid content of optimized Oi Sam Saun UAE extract

422 The chromatogram in Fig. 4 shows that the 18 β -glycyrrhetic acid content was 0.529 ± 0.002
423 mg/100 mg of optimized Oi San Saun UAE extract (0.529%; **Table 4**). The typical amount of
424 18 β -glycyrrhetic acid found in licorice root was 0.1–1.6%, depending on the region of
425 cultivation and species (Sabbioni et al. 2006). Moreover, 18 β -glycyrrhetic acid gives a sweet
426 taste, but binds to two glucuronic acid molecules. Thus, 18 β -glycyrrhetic acid, found in Oi
427 Sam Saun UAE extract, might be bound to some sugars (such as glucose or fructose), forming
428 some of the unidentifiable compounds from the sugar profile, and possesses a sweet taste. This
429 result is consistent with the sensory profile, which showed that the optimized Oi San Saun UAE
430 extract gave a sweet taste. 18 β -glycyrrhetic acid has been shown to possess several
431 pharmacological benefits, such as an antiulcerative effect, anti-inflammatory activity, direct
432 and indirect antiviral activity, interferon inducibility, an antihepatitis effect, and an
433 antihyperglycemic effect (Kalaiarasi et al. 2009).

434

435 Sweetness intensity of optimized Oi Sam Saun UAE extracts

436 The optimized Oi Sam Saun UAE extract (84°C, 64 min, 1:8 g/mL) was selected for sensory
437 test. The sensory scores for each stimulus (sweetness, sourness, and bitterness) on a given
438 adjective scale were detected as 6.94, 1.26, and 0.24, respectively (**Table 3**).

439 The relationship between the sweetness score from the individual panellists who took
440 part in the study and the sucrose concentration was determined, and the equation, $y = 0.6981x$
441 ($R^2 = 0.9656$), was generated, and then used to calculate the B value in **Equation 6**. The Oi
442 Sam Saun extract was 166 times sweeter than sucrose, which could be attributed to the
443 combined effect of several compounds in the plant's crude extracts, such as polyphenols,
444 alkaloids, and other pigments, which contribute to the sweet taste and concentration of

445 sweetening compounds in the crude extracts. Polyphenols are responsible for some important
446 sensory properties associated with foods. This complex and large family of molecules is
447 responsible for the production of taste sensations, ranging from bitter to astringent and pungent,
448 depending on the polyphenol composition of the food (Kaushik et al. 2010). Therefore, the
449 different concentrations of phytochemical compounds, including flavonoids, sugars, and
450 phenolics, elicited similar or better sensory responses from the individual panellists who took
451 part in the study. The presence of phenolic compounds adversely influenced the acceptability
452 of the extracts. In contrast, the presence of flavonoids and sugars enhanced the overall
453 preference for Oi Sam Saun UAE extracts. High concentrations of these compounds may also
454 play a beneficial role when incorporated as part of sweeteners since they contribute to
455 antioxidant activity inherent in UAE extracts.

456

457 **Conclusion**

458 For the first time, the phenolic, flavonoid, and sugar compounds from Oi Sam Saun were
459 extracted using UAE. This BBD (three levels, three factors) with RSM optimization study
460 showed that UAE gives the best yield of sweetening compounds. The extraction yield, colour,
461 and browning index of Oi Sam Saun UAE extracts were similar under all extraction conditions.
462 The optimal conditions for Oi Sam Saun extraction were: 84°C, 64 min and 1:8 g/mL, and the
463 maximum phenolic, flavonoid, and sugar yields were, 0.4725 mgGAE/gDW, 0.1489 ±
464 0.033 mgCE/gDW, and 4.802 ± 0.651 mg/gDW, respectively. The best extraction conditions
465 yielded 0.108 ± 0.012 mg/g gallic acid, 0.082 ± 0.007 mg/g *p*-coumaric acid, 0.047 ±
466 0.008 mg/g quercetin, and 0.031 ± 0.002 mg/g kaempferol. Sucrose, glucose, and fructose
467 concentrations were 1.333 ± 0.098, 0.705 ± 0.051 and 0.891 ± 0.074 mg/g extract, respectively.
468 The amount of 18β-glycyrrhetic acid was 0.529 ± 0.002 mg/100 mg of extract. Moreover,
469 the Oi Sam Saun UAE extract was 166 times sweeter than sucrose. These results reveal the

470 potential application of this Thai medicinal plant in foods as a sweetening agent to substitute
471 sugars and provide several pharmacological benefits. Moreover, its application as a sweetening
472 compound may be rationalized for suitable processing to improve taste and sweetness potency.
473 However, the fractionation, purification, and identification processes of individual sweetening
474 compounds need to be investigated. Therefore, further studies should focus on identifying the
475 new sweetening compounds via LC-MS-MS.

476

477 **Abbreviations**

478 UAE: ultrasound-assisted extraction; BBDs: Box-Behnken designs; RSM: response surface
479 methodology; HPLC-DAD: high performance liquid chromatography-diode array detector;
480 GAE: gallic acid equivalents; CE: catechin equivalent; CCDs: central composite designs; BI:
481 browning index; TFA: trifluoroacetic acid; HPLC-ELSD: high performance liquid
482 chromatography-evaporating light scattering detector; SLM: standard litre per minute;
483 ANOVA: analysis of variance; and LSD: least significant difference; 3D: three-dimensional;
484 2D: two-dimensional.

485

486 **Authors' Contributions**

487 KT designed the study and reviewed manuscript concept, structure, topics, performed the
488 research experiments, interpreted the results, acquired the data and wrote the manuscript with
489 original draft, review & editing. NL as supervision designed, reviewed and developed
490 manuscript concept, structure and topics. OK, PV and WM were involved in the analysis. All
491 authors read and approved the final manuscript of publication.

492

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497 work.

498

499 **Competing interest**

500 The authors declare that they have no competing interests.

501

502 **Availability of data and materials**

503 All data that are relevant to the study are reported within the article.

504

505 **Consent for publication**

506 The authors approved the consent for publishing the manuscript.

507

508 **Ethics approval and consent to participate**

509 All the authors have read and agreed the ethics for publishing the manuscript. The study was
510 conducted in compliance with the ethical principles stated in the ethical guideline in human
511 experiments from King Mongkut's University of Technology Thonburi (2018) and the protocol
512 for this study. The appropriateness of this study was reviewed and approved by the ethics
513 committee of each participating facility. Written informed consent was obtained from all
514 participants in this study.

515

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519 Technology Thonburi (KMUTT), Bangkok, Thailand.

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Figures

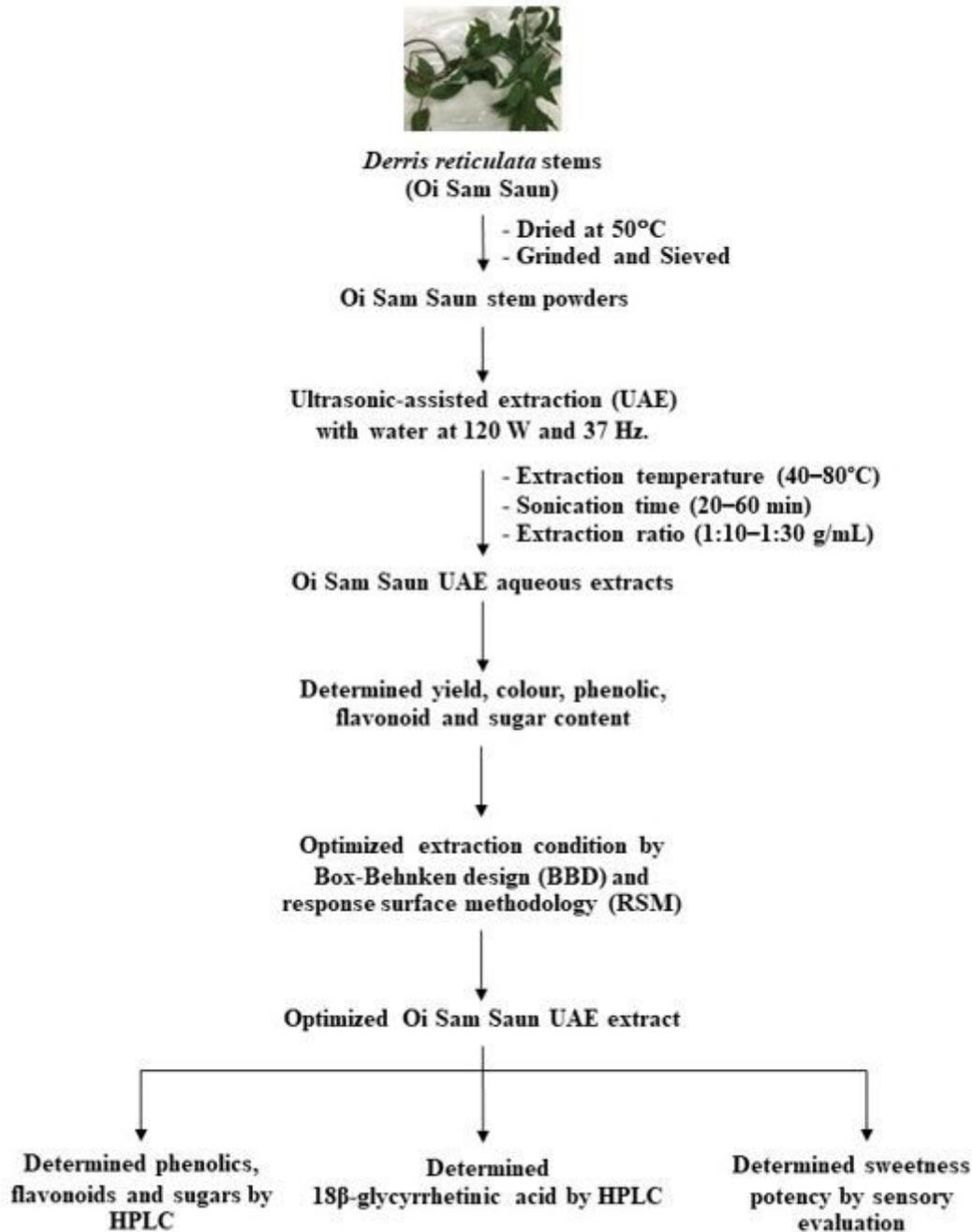


Figure 1

Schematic illustration for the process of Oi Sam Saun UAE extraction and overall experiment.

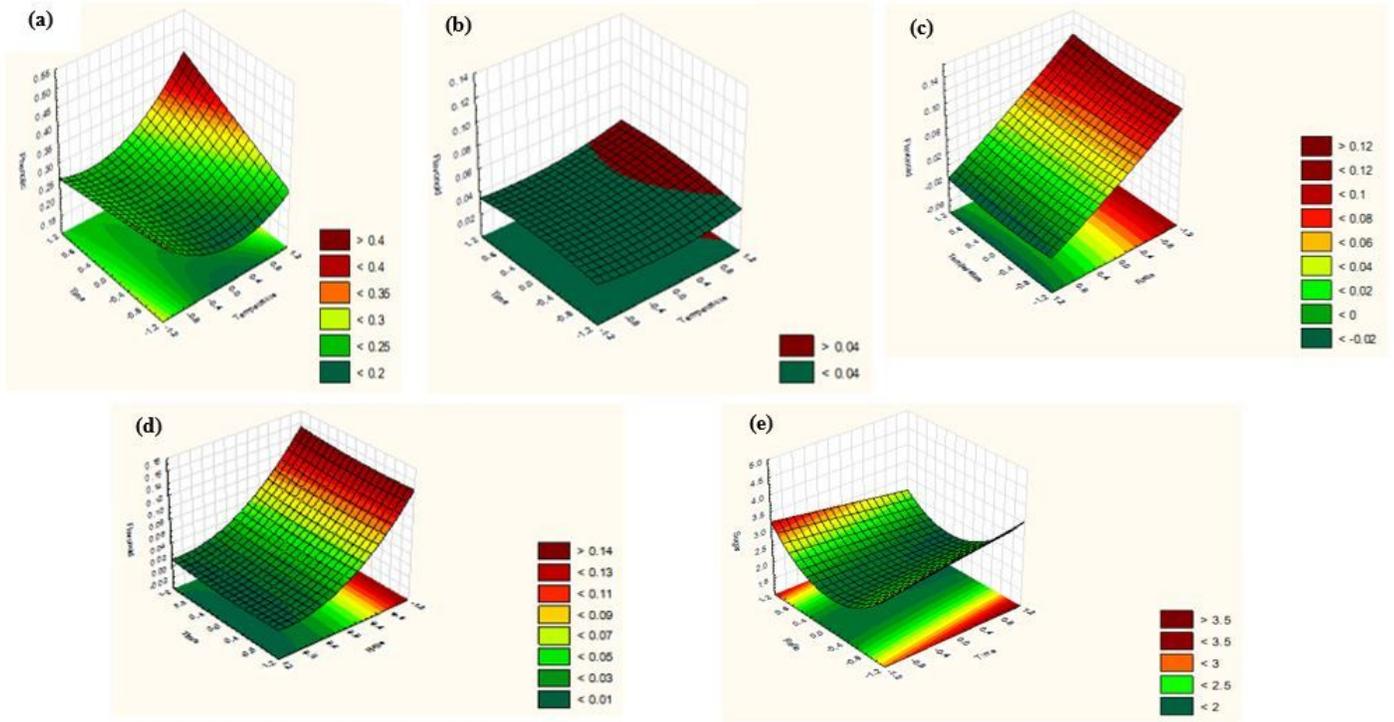


Figure 2

3D response surface graph of Oi Sam Saun of total phenolic content against time and temperature (a); total flavonoid content against time and temperature (b), temperature and ratio (c) and time and ratio (d); total sugar content against time and ratio (e).

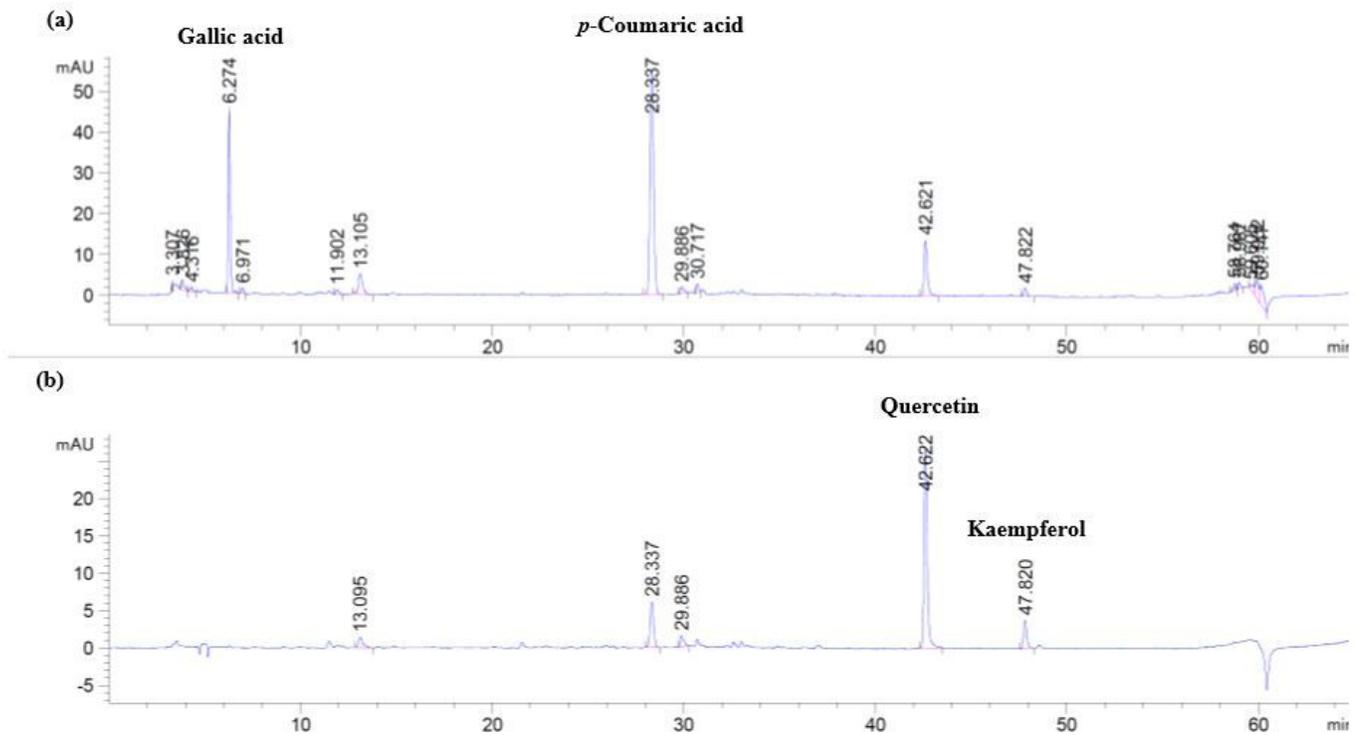


Figure 3

HPLC chromatogram showing the phenolics (a) at 280 nm and flavonoids (b) at 350 nm in the Oi Sam Saun UAE extract.

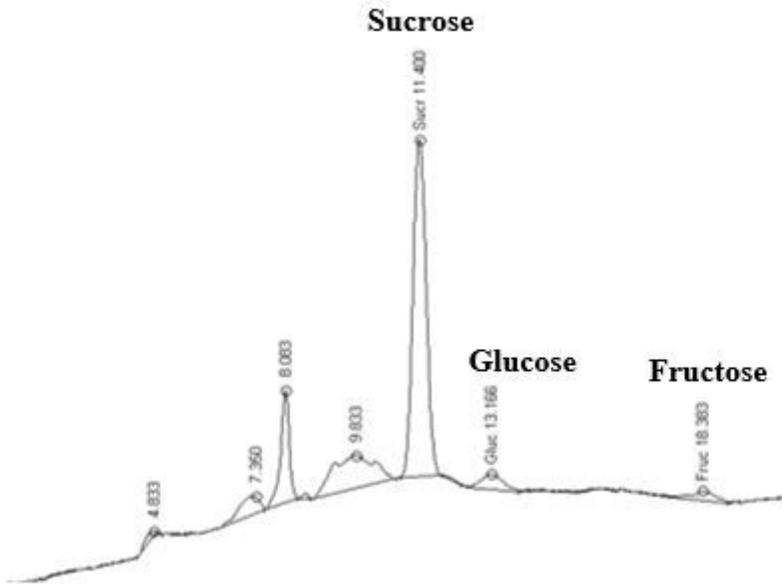


Figure 4

HPLC-ELSD (evaporating light scattering detector) chromatogram showing the sugar profile of the Oi Sam Saun UAE extract.

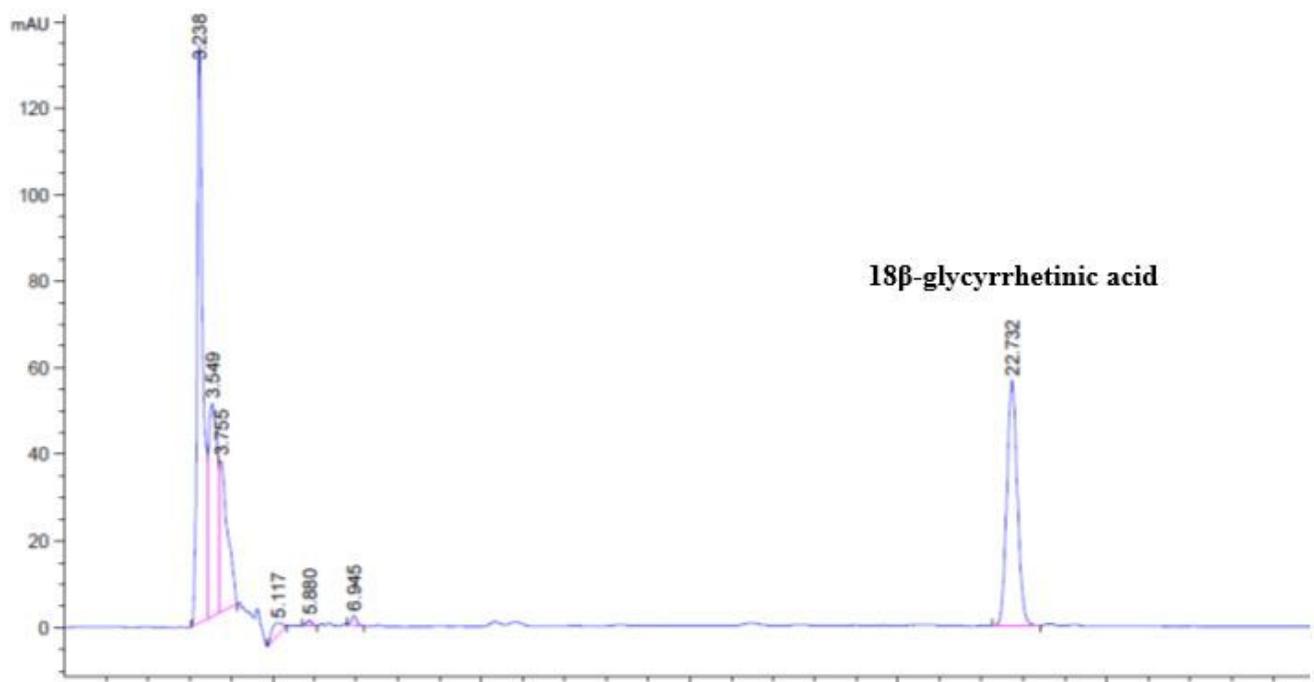


Figure 5

HPLC chromatogram showing 18 β -glycyrrhetic acid in the Oi Sam Saun UAE extract.

Supplementary Files

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