

Heat and Cold-related Hospitalization Risk in North-East of Iran: A Time-stratified Case Crossover Design

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Research Article

Keywords: Hospital Admissions, Cold, Heat, Attributable risk, Case crossover, Iran

Posted Date: May 28th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-513713/v1>

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Version of Record: A version of this preprint was published at Environmental Science and Pollution Research on August 10th, 2021. See the published version at <https://doi.org/10.1007/s11356-021-15677-6>.

1 **Heat and Cold-related Hospitalization Risk in North-East of Iran: A Time-stratified Case**
2 **Crossover Design**

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32 **Abstract**

33 **Background:** This study aimed to estimate hospitalization risk/number attributed to air extreme
34 temperatures using time-stratified case crossover study and distributed lag non-linear model in a
35 region of Iran during 2015-2019.

36 **Methods:** A time-stratified case crossover design based on aggregated exposure data was used in
37 this study. In order to have no overlap bias in the estimations, a fixed and disjointed window by
38 using one-month strata was used in the design. A conditional Poisson regression model allowing
39 for over dispersion (Quasi-Poisson) was applied into Distributed Lag Non-linear Model (DLNM).
40 Different approaches were applied to estimate Optimum Temperature (OT). In the model, the
41 interaction effect between temperature and humidity was assessed to see if the impact of heat or
42 cold on Hospital Admissions (HAs) are different between different levels of humidity.

43 **Results:** The cumulative effect of heat during 21 days was not significant and it was the cold that
44 had significant cumulative adverse effect on all groups. While the number of HAs attributed to
45 any ranges of heat, including medium, high, extreme and even all values were negligible, but a
46 large number was attributable to cold values; about 10000 HAs were attributable to all values of
47 cold temperature, of which about 9000 were attributed to medium range and about 1000 and less
48 than 500 were attributed to high and extreme values of cold, respectively.

49 **Conclusion:** This study highlights the need for interventions in cold seasons by policymakers. The
50 results inform researchers as well as policy makers to address both men and women and elderly
51 when any plan or preventive program is developed in the area under study.

52 **Keywords:** Hospital Admissions, Cold, Heat, Attributable risk, Case crossover, Iran

53

54 **1 Introduction**

55 The exposure to weather parameters such as air temperature and humidity have been increasingly
56 paid attention due to many extreme events which have several health outcomes. The impact of heat
57 and cold on human health has been documented by several studies. For example, more recently, a
58 study conducted by multicountry data showed that heat waves had significant cumulative risk of
59 mortality in all countries involved in the study(Guo et al. 2017) . More importantly, climate change
60 is projected to increase weather extreme events such as heat and cold waves as well as extreme
61 temperature values that might highly endanger human health (Son et al. 2014). Hence, it is
62 important to have elaborately clear evidence of how cold, heat and their extreme values affect
63 human health by which researchers can design a preventive intervention to protect vulnerable
64 people in future.

65 Previous researches illustrated that both high and low temperature values can increase Hospital
66 Admissions (HAs) due to some diseases (Chang et al. 2004; Luo et al. 2018; Wichmann et al.
67 2012). A possible mechanism may be explained by increased sympathetic nervous symptoms, high
68 blood pressure, heart rate, late clinical symptoms of heart failure and myocardial oxygen
69 consumption, as well as decreased ischemia threshold, the change of hemodynamics changes
70 (Aboubakri et al. 2019; Danet et al. 1999; Liu et al. 2018; Spencer et al. 1998). Accordingly, direct
71 or indirect human health outcomes including mortality and disability as results of extreme values
72 of temperature are essential to be addressed by health policy makers.

73 The climate or weather change seems to be more serious problem in the Middle East countries,
74 especially in Iran. Iran is expected to experience an increase of 2.6 °C in mean temperatures and a
75 decrease of 35% in precipitation by the next decades(Mansouri Daneshvar et al. 2019). Thus, the
76 heat and cold continue to be big health problems in Iran. In this study, we aimed to assess the
77 association between air extreme temperatures and HAs using time-stratified case crossover study
78 and distributed lag non-linear model in a region located to the North-East of Iran. In addition,
79 Attributable Risk/Number were estimated in the study which can be useful for policymakers to
80 make decision about the problem.

81

82 **2 Material and methods**

83 **2.1 Data**

84 The Hospital Admissions (HAs) were obtained from a referral hospital in North Khorasan. The
85 HAs due to all causes during 2015-2019 were included in analysis. They were also categorized
86 based on sex and age group in order to determine high risk groups. The weather and pollutants
87 data were collected by national weather organization and department of environment. In this study,
88 daily mean temperature was used as the predictor of HAs because many study showed that it is
89 better predictor than minimum and maximum temperature for mortality or disability (Aboubakri
90 et al. 2020a; Aboubakri et al. 2020b; Xu et al. 2018; Xu and Tong 2017). Number of missing data
91 for HAs, mean temperature and humidity was negligible (lower than 5 percent). However, there

92 were a higher number of missing data for air pollutants, but they were still low number (<20%),
93 thereby allowing us to impute the data by multiple imputation method.

94 2.2 Design

95 A time-stratified case crossover design was used in this study. In order to have no overlap bias in
96 the estimations, a fixed and disjointed window was used in the design(Janes et al. 2005). In this
97 design, a given exposure for a case occurring on Monday, 8 July, for example, would be compared
98 to the exposure occurring on all other Mondays in July (i.e. 1 July, 15 July, 22 July, and 29 July).
99 Indeed, we developed one-month strata in which for a case that falls in a stratum the every seven
100 days before or after the case day in the same stratum were chosen as reference, thereby allowing
101 the reference period to be randomly selected over the months so that days of week and months
102 were controlled. The strata therefore deserve a conditional model which has been illustrated in the
103 section 2.4. It should be mentioned that the inference is still based on aggregated exposure
104 data(Armstrong et al. 2014). Therefore it is different from individual case-crossover design and
105 the estimations are interpreted as if they are estimated from ecological studies where the exposure
106 is not distinct for each participant.

107 2.3 Optimum Temperature(OT), Heat and Cold

108 To determine the OT, we did sensitivity analysis and used two different approaches. In first
109 approach, a nonlinear function (loess spline) was fitted on the association between temperature
110 and ARIMA models' residuals. Indeed, arima (1, 1, 1), was fitted on HAs, and then the residuals
111 of the model were extracted. The residual mean value would be near to zero if the ARIMA model
112 fitted to the daily HAs series was suitable (i.e., if its variability were entirely explained by the
113 model). Therefore, the further away the residual of temperatures from the mean value(reference)
114 and its standard error, the more this variation will be attributed to the influence of those
115 temperatures (Miron et al. 2012), thereby being not considered as OT. The results of the analysis
116 has been provided in supplementary file for total HAs (Fig S2). As seen, there was uncertainty in
117 OT based on CI 95% provided in the figure. In the second approach, the temperature with
118 minimum relative risk come from the model in equation 1 was used as OT. In the approach a
119 natural cubic B-spline function was used in the relationship between daily mean temperature and
120 total HAs. The details of the approach has been illustrated in the study by Tobías et al (Tobías et
121 al. 2017). The function was separately fitted for two models with and without confounders (figure
122 S1 in supplementary file). However, there was still a big uncertainty in the optimum temperature
123 by this approach (i.e. the 95% empirical confidence interval were wide). Eventually, the OT was
124 considered as 25°C for total HAs risk based on the model with all confounders.

125 Median temperature has also been considered as OT in some studies(Guo et al. 2011) , though, it
126 had higher risk than the temperature in our study. In addition, the CI95% (in in figure S1, b) does
127 not included the median (14.9°C), showing unsuitable as OT in our study.

128 Cold and heat were the temperatures below and above the OT, respectively. Also, percentiles 5th,
129 1th, 95th and 99th were defined as cold, extreme cold, heat and extreme heat values. The ranges
130 between the values were, therefore, defined as different levels of cold and heat (i.e. the values from
131 the OT to percentile 5th, the percentile 5th to percentile 1th, percentile 1th to minimum value were
132 defined as medium, high and extreme values of cold, respectively).

133 2.4 Model

134 A conditional Poisson regression model allowing for over dispersion (Quasi-Poisson) was applied
135 into Distributed Lag Non-linear Model (DLNM). Conditional Poisson regression does not
136 necessarily estimate each stratum parameter by conditioning on number of HAs in each stratum,
137 and it provides identical estimations to unconditional Poisson in time-stratified case crossover
138 design(Armstrong et al. 2014).

139 The DLNM have been explained elsewhere in literatures(Gasparrini et al. 2010; Schwartz 2000).
140 Totally, the model provides a framework in which linear or non-linear associations can be
141 simultaneously defined in two dimensions, entitled exposure-response and lag-response
142 associations. Therefore our model used in the time-stratified case crossover design was as bellow:

143 Equation 1

$$144 \log(Y_t) = \alpha + Cb(\text{temperature}_{t1}) + NS(\text{humidity}, 3) + NS(\text{SO}_2, 3) + NS(\text{NO}_2, 3) \\ 145 + NS(\text{PM}_{10}, 3) + \text{Holiday} + \varepsilon_t$$

146 Where Y_t is the number of HAs in day t , α is intercept, cb is crossbasis function obtained by
147 DLNM. In the two dimensional function, natural cubic B-spline was used for both exposure-
148 response and lag-response associations. To facilitate comparisons between countries, similar
149 approach to other studies was used in order to place knots, in the spline function. In addition,
150 several sensitivity analysis were done in order to choose the best function and the knots location.
151 Therefore, three internal knots were placed at the 10th, 75th, and 90th percentile as used in a
152 multicountry study for the natural cubic B-spline (Gasparrini et al. 2015). Also three internal knots
153 were placed at equally spaced values in the log scale for lag-response dimension.

154 In the model, NS represents natural spline function. The $df=3$ was chosen for the confounders
155 (humidity, SO_2 , NO_2 and PM_{10}) based on literatures(Stafoggia et al. 2008; Yang et al. 2015) and
156 sensitivity analysis by using Akaike's Information Criterion for over dispersed count data(Q-
157 AICc). Holiday is an indicator variable which represent public holidays in Iran including any
158 national festival or mourning. In the model, there are not parameters for strata because, as
159 mentioned, they are conditioned out in the conditional model.

160 Maximum lag was set to 21 in order to capture both harvesting effect of heat and delayed effect of
161 cold. Also, to calculate cumulative and non-cumulative relative risk in each lag, the OT was used
162 as reference value.

163

164 2.5 Interaction effect

165 In our study, we assessed the interaction effect between temperature and humidity to see if the
166 effect of heat or cold on HAs are different between different levels of humidity(dry and moist). To
167 assess the interaction effect, humidity was categorized to three levels of dry, optimum and moist
168 based on 33.3th and 66.6th percentile. The optimum level (values between 33.3th and 66.6th
169 percentile) was considered as reference category (optimum). Therefore the coefficients of main
170 exposures (heat and cold) in the levels of dry and moist were graphically compared to the optimum.

171

172 2.6 Attributable Risk/Number

173 Attributable risk was calculated in DLNMs. The methodology of the AF has been explained by
174 Gasparrini et al (Gasparrini and Leone 2014). Basically, it comes from Relative Risk (e^β in
175 exponential form) and prevalence of exposure. The overall formula for AF is:

176 Equation 2

$$177 AF_{x,t} = 1 - e^{-\beta} = 1 - e^{-\sum_{\ell=0}^L \beta_{x,t-\ell} \cdot \ell}$$

178

179

180 In which, AF indicate the attributable fraction at time t associated to risk factor x in the past time
181 t-0... 21. Therefore, the AF in DLNM comes from cumulative relative risk. In this models,
182 Attributable Number (AN) in a specific day as to previous risk factor x can also be calculated by
183 multiplying the AF to number of events (HAs) in the same day (n_t in equation 3). The latter is
184 more likely to be easily interpreted by policy makers. So, we calculated both AF and AN.

185

186 Equation 3

$$187 AN_{x,t} = AF_{x,t} \cdot n_t$$

188

189 In equation 3 it is evident that we can estimate AN/AF for several days by limitation n_t to any
190 arbitrary range. The AN/AF were therefore estimated for medium, high, extreme and all values of
191 cold and heat in this study.

192

193

194 3 Results

195 About 79000 hospitalizations were accrued during the period of our study, of which about 54000
196 and 25000 were as to young and elderlies, respectively. Men and women were almost equally
197 admitted to the hospital. Table 1 represents descriptive statistics of daily number of hospital
198 admissions by sex and age groups. It also illustrates daily mean temperature, relative humidity and
199 air pollutants.

200 Figures 1 and 2 show relative risk of HAs by different sex and age groups in each single lag(lag-
201 response associations) due to heat and cold (extreme values), respectively. Seen together, while,
202 heat tended to have no significant adverse effect in any days but cold showed the significant
203 adverse effect on total HAs in later days. Meanwhile, male and elder people seem to be more
204 vulnerable to heat in early days (the plots b and e in figure 1); the relative risks were insignificantly
205 higher in lags 1 and 2 for both groups. Cold, in the other hand, had significant relative risk on
206 elderlies and males in later lags; men were significantly vulnerable to cold in lags 15 and 16 and
207 elderlies were significantly vulnerable to cold not only in those lags but also earlier (e.g. lags 2
208 and 11).

209 Additionally, the interaction effect of humidity was not significant. The lag-response associations
210 of both heat and cold were not significant in either dry or moist days relative to days with optimum
211 humidity (figure3 and figure4 in supplementary file). Indeed, the heat and cold effects were not
212 significant when the weather was dry or moist compared to optimum (RR was not significant in
213 any lags in figures S3 and S4).

214 While the cumulative effect of heat during 21 days was not significant for any subgroups, cold had
215 significant cumulative adverse effect on all groups (table 2). As seen in the table, although, the
216 CRRs were significant for both men and women or young and elderlies, but female and elderlies
217 were slightly more vulnerable to cold (CRR for females= 1.83; 95% CI= 1.06, 3.15 and CRR for
218 elderlies= 1.52; 95% CI= 1.15, 2.01) and extreme cold values (CRR for females= 2.02; 95% CI=
219 1.15, 3.58 and CRR for elderlies= 1.59; 95% CI= 1.11, 2.28), compared to men and young people.

220 In figure3, the total number of HAs attributed to different ranges of cold and heat temperatures ha
221 ve been showed. While the numbers were negligible for any ranges of heat, including medium, hi
222 gh, extreme and even all values, but a huge number were attributable to cold values; about 10000
223 HAs were attributable to all values of cold temperature, of which about 9000 were attributed to m
224 edium range and about 1000 and less than 500 were attributed to high and extreme values of cold
225 , respectively. Although the number for high and extreme values were lower than medium, they w
226 ere statistically significant based on empirical confidence interval for attributable fraction present
227 ed in table 3. The respective attributable fraction of all ranges of temperature for all groups have a
228 lso been presented in table 3. No AN was significant for heat values. In the table, it is evident tha
229 t elderly were in higher risk of hospitalizations than young for all values of cold (16.6 percent of a
230 ll hospitalized elderlies were attributable to all values of cold, of which 15, 1.1 and 0.5 percent w
231 ere significantly attributable to medium, high and extreme values, respectively). Also the signific
232 ant ANs of high and extreme values were more for women than men.

233

234 **4 Discussion**

235 The results of lag-response associations showed that heat had neither immediate nor later effect on
236 HAs. The results of AF revealed that the fraction attributed to heat was not significant and a low
237 number of HAs were attributable to heat values as well. Cold values, in the other hand, had not

238 only significant cumulative effect, but considerable attributable risk of HAs. Therefore, cold had
239 higher contribution to AF/AN than heat in the area of under study. Similarly, several studies have
240 shown that cold has higher AF than heat. For example, Hang Fu et al (Fu et al. 2018) found that
241 cold temperatures contributed to higher AF of mortality than heat temperatures in India. In
242 addition, a multicountry study showed that more temperature-attributable fraction was caused by
243 cold (7.29%, 7.02–7.49) than by heat values (0.42%, 0.39–0.44)(Gasparrini et al. 2015). The cold
244 effect might be explained by some mechanisms leading to cardiovascular and respiratory diseases.
245 For example, the HAs might be because of more quick spread of infectious diseases, decreased
246 response mechanisms of upper respiratory system, immune system suppression, chronic
247 respiratory or coronary diseases, and increase of fibrinogen concentration as to the respiratory
248 diseases(Zhou et al. 2014). In addition, it is important to say that the effect of heat and cold on
249 HAs tended not to be significant when the humidity was either dry or moist compared to optimum
250 (figure S3), showing no potential interaction effect between temperature and humidity. Therefore,
251 the findings about impact of heat and cold can be interpreted with high confidence and no worry
252 about humidity in our study.

253 We also demonstrated that medium values of cold shares larger proportion of AF than high and
254 extreme values. This finding is also accordance to other studies' results as well. The study
255 conducted by Gasparrini et al(Gasparrini et al. 2015) revealed that in all countries entered into
256 analysis in the study, most of AF was due to medium cold values, and extreme values (either cold
257 or heat) shared a small AF. The reason for the finding in our study can be found in figure S5. The
258 number of days with medium values is apparently more than the others two, resulting in higher AF
259 based on the function presented in equation 3. Also, that the low AF/AN for the high and extreme
260 values of cold were statistically significant can be explained by the significant relative risk
261 presented in the figure S5.

262 In our study, elderly tended to be at higher risk for cold compared to heat values and young
263 people. The higher risk of elderly to cold may be due to chronic diseases and the weakened blood
264 circulation (Cui et al. 2019). We also showed that both men and women were high risk for cold
265 values. However, women were slightly more vulnerable to cold compared to men. This result is
266 similar to several previous evidences. For example, a study conducted in Korea found that women
267 were in higher risk of cold-related hospitalization(Son et al. 2014). Also Barnett et al(Barnett et
268 al. 2005) showed that the odds for women to have a coronary diseases in cold periods were 1.07
269 higher than the odds for men. A reasonable explanation for the different between men and women
270 might be different casual wear in Bojnourd, high resistance of men to several diseases due to
271 exercise, different physiological structure and job. The later one points to outdoor working women
272 in Iran. However, in our view, the interaction effect of job need to be assessed in next studies in
273 Iran. Meanwhile there are some studies that have shown no different relationship between air
274 temperature and HAs in men and women. An example of this is the study conducted by Basu and
275 Ostro(Basu and Ostro 2008) using a similar design to our study(time-stratified case crossover
276 design). They found no different in temperature effect on mortality between men and women.
277 Although there might be no agreement between results of different studies but different

278 methodology, models, or even more importantly the different climate should be taken account
279 when their results are compared. OT, reflecting different climate, has a key role in studies assessing
280 heat or cold temperatures on human health. Some studies might estimate the OT
281 imprecisely(Tobías et al. 2017), and the results should therefore be thoroughly interpreted .For
282 example in a study conducted by Wang et al(Wang et al. 2015) in china mean temperature was
283 used in order to estimate the impact of heat and cold values. They also compared percentile 1th to
284 percentile 10th and percentile 99th to 90th in order to estimate the relative risks of the cold and hot
285 temperature. In our opinion, to compare two temperature values that are approximately the same
286 is not suitable to calculate relative risk. Because the denominator of RR is supposed to be the
287 number of events in unexposed group (a temperature value that has the lowest risk). In addition to
288 the methodological or statistical different, type of morbidity outcome, or other factors such as
289 population characteristics and socioeconomic status might be the reason for the heterogeneity
290 between studies. Meanwhile, our finding about the susceptibility of both men and women and
291 elderly to cold temperature reminds policy makers to make interventions based on this group in
292 Bojnourd.

293

294 **5 Conclusion**

295 In summary, the study showed that cold temperatures had adverse impact on Hospitalization in
296 Bojnourd and a high number of hospitalizations were attributable to cold values. It therefore
297 highlights and support the hypothesis of need for interventions in cold seasons by policymakers in
298 the region. Both men and women and elderly were high risk groups for the cold values in the
299 region. This evidence, consequently, informs researchers as well as policy makers to address these
300 groups when any plan or preventive program is developed in the area under study.

301

302 **Declarations**

303

304 **Acknowledgements**

305 This study was financially supported by North Khorasan University of Medical Sciences The
306 authors thank the Deputy of Health of North Khorasan University of Medical Sciences for
307 providing the data.

308

309 **Funding:**

310 This work was supported by the Deputy of research and technology, North Khorasan University
311 of Medical Sciences [Grant No. 990175].

312

313 **Competing interests**

314 The authors declare that they have no conflict of interest.

315 **Availability of data and materials**

316 The dataset analysed during the current study are not publicly available due to ethical concerns
317 but are available from the corresponding author on reasonable request.

318 **Code availability**

319 The R codes are available under request from corresponding author

320

321 **Author contributions**

322 Hamid Reza Shoraka: Project administration, Conceptualization; Data curation; Funding
323 acquisition, Roles/Writing - original draft; Writing - review & editing.

324 Omid Aboubakri: Formal analysis; Investigation; Methodology; Project administration;
325 Resources; Software; Supervision; Roles/Writing - original draft; Writing - review & editing.

326 Joan Ballester: Methodology; Validation; Writing - review & editing.

327 Rahim Sharafkhani: Writing - review & editing, Investigation

328

329 **Ethics approval**

330 The proposal for this study was approved by the Ethics Committee of North Khorasan University
331 of Medical Sciences. Ethics code is IR.NKUMS.REC.1400.032

332

333 **Consent to participate**

334 The data from hospital was collected with no personal identification.

335 **Consent for publication**

336 Not applicable

337

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339

340 **Reference**

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Figures

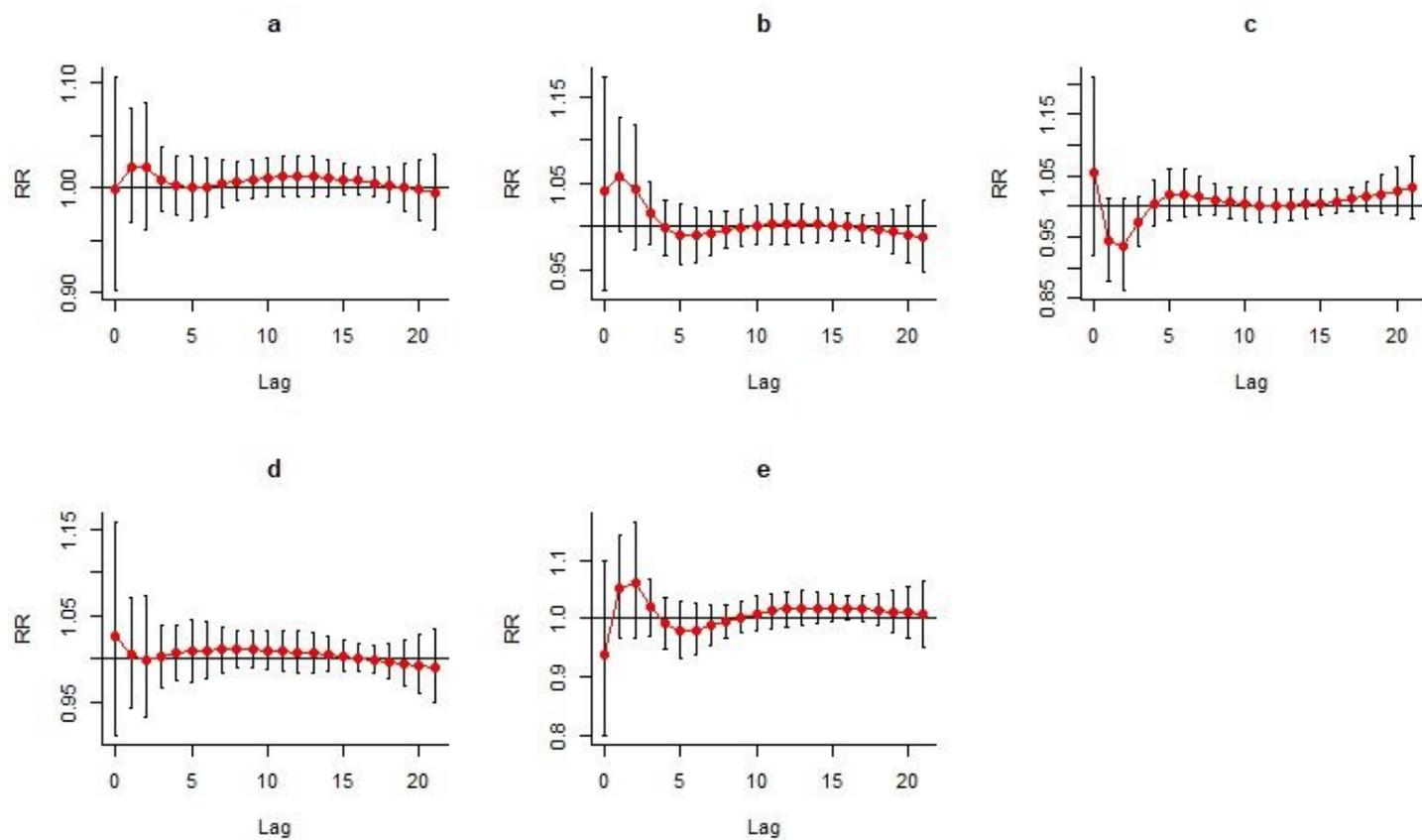


Figure 1

Relative risk (RR) of hospital admissions due to extreme temperature in different lags by different sex and age groups; Percentile 99th was compared to reference value (OT). The plots a, b, c, d, e, f provides the RR for total, male, females, young and elderlies HAs, respectively.

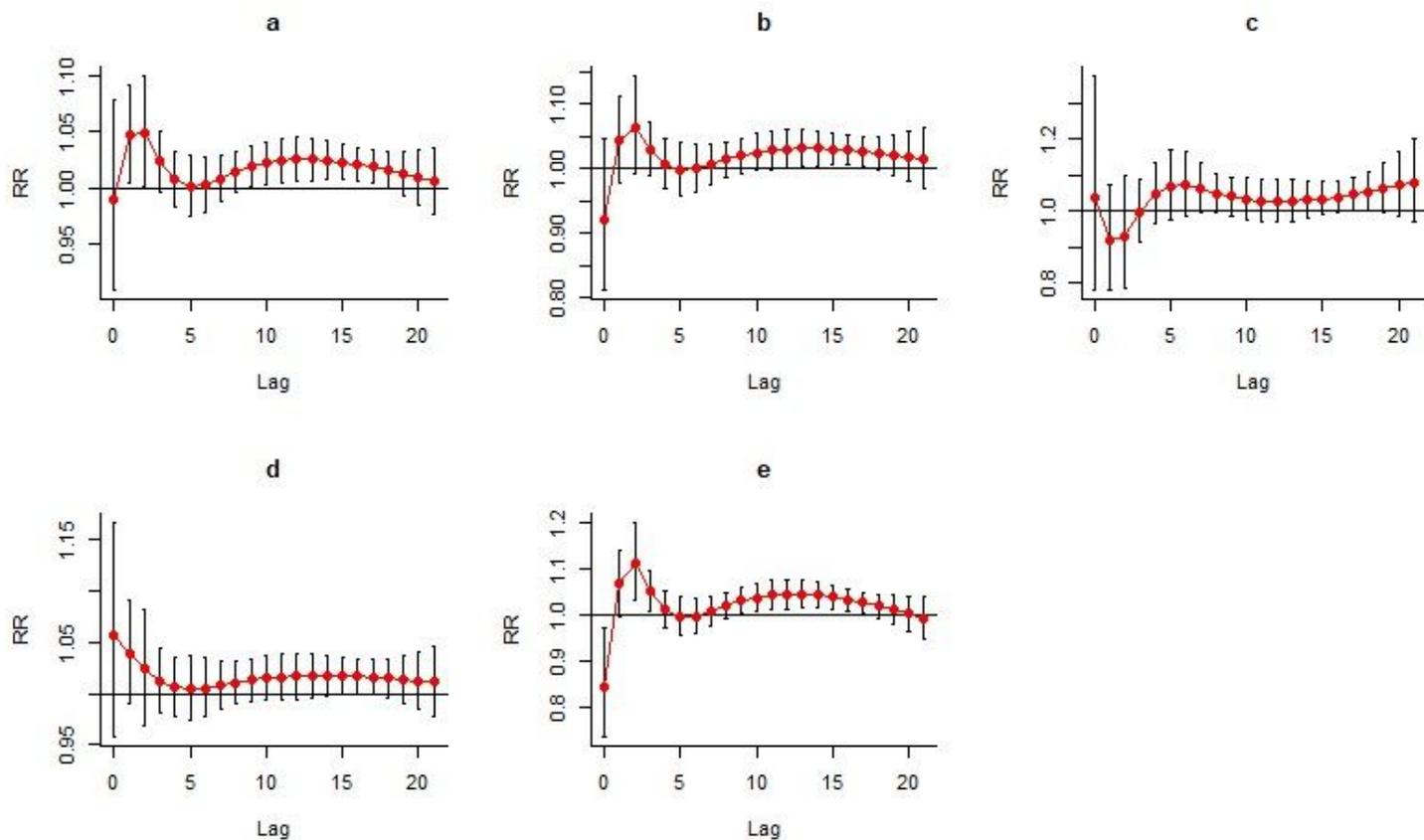


Figure 2

Relative risk (RR) of hospital admissions due to extreme temperature in different lags by different sex and age groups; Percentile 1th was compared to reference value(OT). The plots a, b, c, d, e, f provides the RR for total, male, females, young and elderlies HAs, respectively.

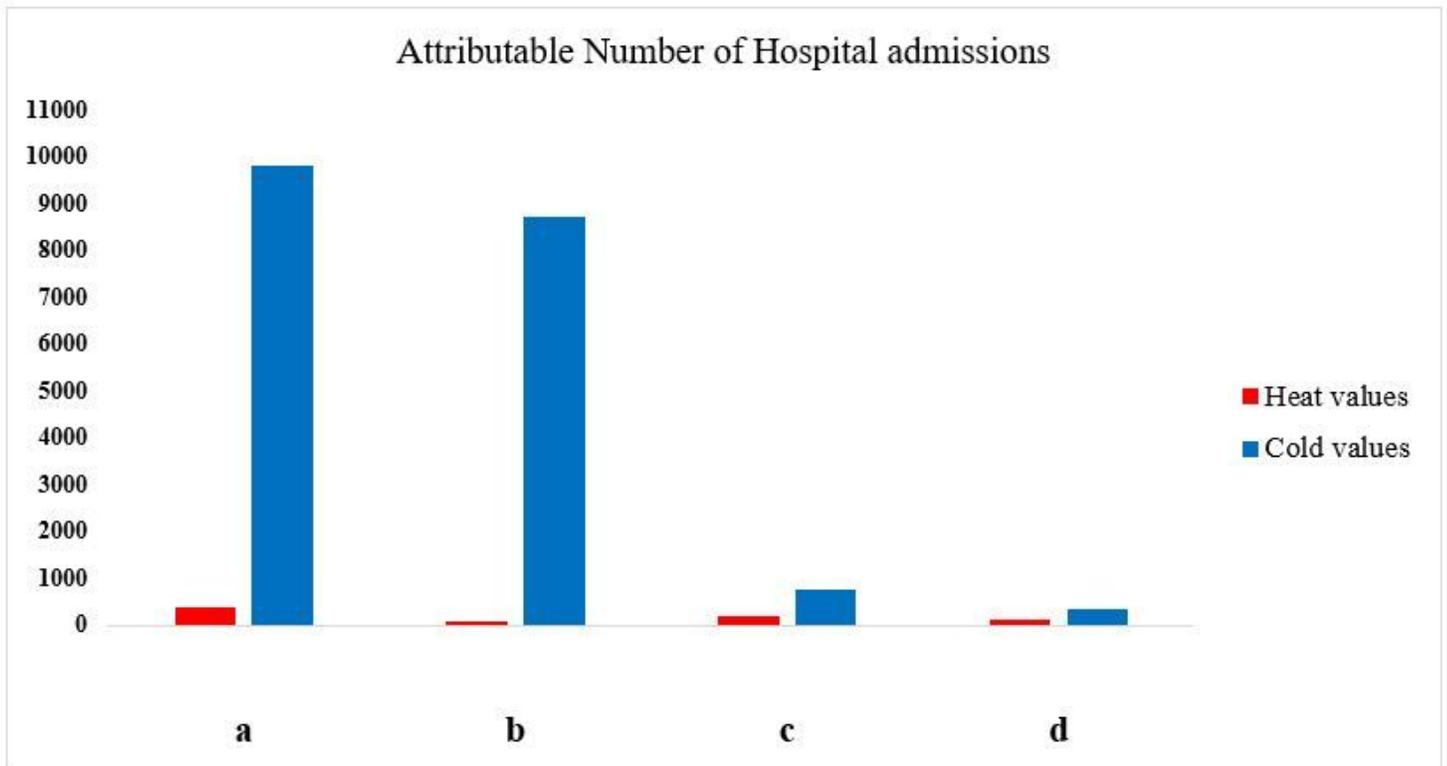


Figure 3

Number of HAs attributed to different levels of heat and cold; a represent the number attributed to all values of heat and cold. b, c, and d represent the number attributed to medium, high and extreme values of the events.

Supplementary Files

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