

# Clusters of Responders and Predictive Factors for Response to Supplementation With Boswellia, Turmeric, and Red Algae Extracts in Painful Knee Osteoarthritis: A Prospective Observational Study Using an Arsenal of Patient Centred-measures

**Samira Ait-Abdellah**

PiLeJe Laboratoire, 37 quai de Grenelle 75015 Paris

**Caroline Gal**

PiLeJe Laboratoire, 37 quai de Grenelle 75015 Paris

**Anne Leblanc**

PiLeJe Laboratoire, 37 quai de Grenelle 75015 Paris

**Anne-Priscille Trouvin**

Pôle ostéo-articulaire, Hôpital Cochin, 27 Rue du Faubourg Saint-Jacques, 75014 Paris

**Serge Perrot** (✉ [serge.perrot@aphp.fr](mailto:serge.perrot@aphp.fr))

Pôle ostéo-articulaire, Hôpital Cochin, 27 Rue du Faubourg Saint-Jacques, 75014 Paris

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## Research article

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# Abstract

**Background:** Knee osteoarthritis (KOA) is a common, painful condition. This open-label, observational, longitudinal study evaluated the use of a fixed combination of boswellia, turmeric, and red algae extracts in patients with painful KOA. Given the growing interest in patient-centred care in osteoarthritis, effects were assessed by an arsenal of patient-reported outcome measures (PROMs): Patient Acceptable Symptom Scale (PASS), Minimal Clinically Important Improvement (MCII), Patient Global Impression of Change (PGIC), and Lequesne algofunctional index (LAFI). Patients also completed a list of 17 items on pain quality.

**Methods:** Patients with painful unilateral or bilateral KOA were included. They had to take 1-4 capsules per day of a dietary supplement containing boswellia, turmeric, and red algae extracts (Cartimotil Fort<sup>®</sup>, PiLeJe Laboratoire, Paris, France) for 90 days. Patients completed PROMs on Days 0 (baseline), 10, 20, 30, 60, and/or 90.

**Results:** From March 2017 to March 2019, 118 patients [female: 69.5%; age: 62.9 (9.5) years, mean (SD); BMI: 26.4 (4.0) kg/m<sup>2</sup>; DN4 <4: 90.7%] were included in the study and took at least one capsule. Mean (SD) follow-up duration was 100.7 (54.9) days. Pain relief was maximal on Day 90: 64.5% of patients were responders (positive PASS); 68.8% and 58.4% had MCII and PGIC scores indicating positive effect (score  $\geq 3$ ) or global improvement (score  $\geq 5$ ); 73.3% (versus 47.5% at baseline) were mildly/moderately disabled (LAFI score <8); 55.2% had meaningful decrease (-30%) in pain intensity (VAS), 35.1% (versus 59.2% at baseline) took analgesics as supplementary treatment. Median time to first PASS change was 34 days. Pain intensity (VAS) as well as two pain characteristics (i.e., "Stabbing pain" and "Widespread pain") were independent factors associated with non-response on Day 30. Four clusters of responders were isolated according to pain characteristics, with one cluster exhibiting a higher responder rate. Treatment compliance and safety were satisfactory.

**Conclusions:** This study showed that the fixed combination of boswellia, turmeric, and red algae extracts improved KOA patients. Further studies are needed to confirm the observations. Beyond these results, this study showed the importance of PROs and specific pain qualitative descriptors for accurate evaluation of dietary supplement approaches in painful conditions.

**Trial registration details:** ClinicalTrials.gov, NCT02977936. Registered 30 November 2016, <https://clinicaltrials.gov/ct2/show/NCT02977936>

## Background

Osteoarthritis (OA) is a common degenerative age-related disease involving movable joint, especially knees [1-3]. OA is a painful disabling chronic condition that limits activities of daily living and impacts quality of life [1,2]. It is the source of non-negligible socioeconomic costs [4].

As restoration of the imbalances between catabolic and anabolic processes that underlie cartilage pathogenesis is nearly impossible, knee osteoarthritis (KOA) remains incurable. The objective of KOA treatments is thus to relieve pain and maintain joint function. According to the most recent guidelines [5], core treatments for KOA include arthritis education and structured land-based exercise programs with or without dietary weight management. Considering pharmacological treatments, topical are preferred to oral non-steroidal anti-inflammatory drugs (NSAIDs) due to the possible occurrence of severe adverse events, especially in patients at gastrointestinal, renal, or cardiovascular risk. Moreover, given its limited efficacy and hepatic concerns, acetaminophen is not recommended. However, in clinical practice, oral NSAIDs and acetaminophen are frequently used [6]. In a study interviewing patients

with self-reported peripheral joint OA from France, Germany, Italy, Spain, and the United Kingdom, 50% of patients claimed to have a medical prescription [7], and in a French study interviewing patients asking for over the counter (OTC) analgesics in pharmacy [8], 50% of them claimed to have rheumatologic or musculoskeletal pain. That is why the development of alternative treatments to manage KOA without adverse events is crucial.

Traditional medicines using natural derived compounds may offer this safe alternative [3]. Numerous studies, including several randomised clinical trials versus placebo or NSAIDs, have shown that boswellia (*Boswellia serrata*) and turmeric (*Curcuma longa*) extracts (alone or in combination) may relieve patients [9-20]. Their efficacy was supported by the presence of active ingredients with anti-inflammatory and/or antinociceptive properties such as boswellic acids [21-23] or curcumin [3,24,25]. In addition, some *in vitro* and *in vivo* studies showed the anti-inflammatory and anti-nociceptive effects of sulphated-polysaccharides extracted from marine algae [26-29]. Recently, PiLeJe Laboratory found that extracts of red algae (*Porphyra umbilicalis*) that contain more than 30% of sulphated-polysaccharides could relieve mice with kaolin-induced arthritis (internal data not shown).

The objective of this study was to evaluate the effects of a dietary supplement combining extracts of boswellia, turmeric, and red algae in KOA patients. As the objective of patient-centred care is not to treat the patient “*with what is satisfactory for most people but with what is personally meaningful for him or her*” [30], the effects of the dietary supplement were assessed by an arsenal of patient-reported outcome measures (PROMs), including a list of pain descriptors.

## Methods

### Study design and ethics statement

This was an open-label, observational, longitudinal study performed in France. The study was conducted by general practitioners (GPs) who knew the dietary supplement, and usually recommended its use in the treatment of OA flare-ups, in addition to a balanced diet.

The study was approved by the Advisory Committee on Information Processing in Material Research in the Field of Health (Comité d’Ethique agreement No.: 16-634). It was performed in accordance with the ethical standards laid down in the Declaration of Helsinki and the Strengthening the Reporting of Observational studies in Epidemiology (STROBE) guidelines. The present study was registered on the ClinicalTrials.gov site in November 2016 under the following identifier number: NCT02977936.

### Participants and enrolment

Male and female adult (>18 years) patients with unilateral or bilateral KOA (with flare-ups) could be included in the study if they reported pain  $\geq 4$  at rest or  $\geq 5$  on movement (walking) on a 10-cm visual analogue scale (VAS) and were unsatisfied by their symptomatic state (negative PASS at the time of recruitment). The diagnosis of KOA was to be confirmed by a clinical examination and an X-ray in the last 2 years (Kellgren-Lawrence radiographic severity grade of 2 or 3).

Conversely, the following patients could not be included in the study: (1) patients with non-OA rheumatological condition, (2) patients with chronic pain liable to interfere with KOA pain, (3) patients waiting for knee surgery within the three forthcoming months, (4) patients receiving chondroitin sulphate treatment, NSAIDs, or any other antalgics

for at least one month, (5) patients with reduced mobility and bedridden, (6) patients with body mass index (BMI) >35 kg/m<sup>2</sup>, (7) patients with cognitive disorders preventing study participation, (8) patients with known hypersensitivity to at least one of the components of the dietary supplement, and (9) pregnant and breastfeeding women.

Finally, all patients complying with all inclusion criteria and none of non-inclusion criteria received written information about the study and gave their verbal consent before being included in the study in compliance with the French regulation for observational studies.

## Supplement

The dietary supplement was a fixed combination of boswellia, turmeric, and red algae extracts (Cartimotil Fort®, PiLeJe Laboratoire, Paris, France). The dietary supplement was marketed in France in 2016. Each capsule contains 75 mg of boswellia extract (*Boswellia serrata* L., bark), 175 mg of turmeric extract (*Curcuma longa* L., roots), and 150 mg of red algae extract (*Porphyra umbilicalis*).

The dietary supplement was recommended to be taken at the dose of one to four capsules per day for 90 days (one per day in non-crisis situations and up to four in case of pain flare-up).

## Procedure

At inclusion visit, after having checked patient's eligibility, the GP completed for each patient an inclusion form including sociodemographic, anthropometric, and KOA characteristics. At the end of the visit, as they would have done in routine clinical practice, the GP recommended the dietary supplement.

Patients who took the dietary supplement had to complete several questionnaires, including some PROMs, and provide information on compliance and the use of concomitant analgics or anti-inflammatory drugs to evaluate dietary supplement effectiveness and tolerance throughout the 90 days of dietary supplementation. All data were collected during an end-of-study visit performed approximately three months after the start of the study. Data were then captured on an electronic case report form (e-CRF).

## Main evaluation scales and endpoints

On Days 0, 10, 20, 30, 60, and/or 90, patients completed three single-item tools: the Patient Acceptable Symptom Scale (PASS), the Minimal Clinically Important Improvement (MCII), and the Patient Global Impression of Change (PGIC). They also completed the Lequesne algofunctional index (LAFI), a multiple-item tool, and a list of items assessing pain quality.

The **PASS** assesses the ability of a treatment to bring patients to an acceptable and stable symptomatic state. It is a 2-point scale, with the options acceptable (positive) or nonacceptable (negative) [31]. By convention, only patients with negative PASS at inclusion could be included in the study, and patients were considered responders if they had a positive PASS during the dietary supplementation period. The **MCII** evaluates the response to treatment within the last 10 days. It is a 5-point scale, with the options "0, no good at all" (ineffective treatment), "1, poor" (some effect but unsatisfactory), "2, fair" (reasonable effect but could be better), "3, good" (satisfactory effect with occasional episodes of pain or stiffness), "4, excellent" (ideal response, virtually pain-free) [32]. The **PGIC** is designed to assess

patients' perception of change of their global health state (symptoms and quality of life) following treatment within the past 10 days [33,34]. It is a seven-point scale, with the options "1, very much worsened", "2, much worsened", "3, minimally worsened", "4, no change", "5, minimally improved", "6, much improved", and "7, very much improved". The **LAFI** [35] includes 11 items grouped in three dimensions: Pain (five items), Maximum distance walked (two items), and Activities of daily living (four items). A score is attributed to each item, and the score per dimension is calculated by adding together the scores for all items of the dimension. The score ranges between 0 and 8 for each dimension, and the LAFI score, which is calculated by adding the score of the three dimensions, between 0 and 24. Using the LAFI, patients are categorised into six classes: 0, no handicap (0); 1-4, mild handicap (1-4); 5-7, moderate handicap; 8-10, severe handicap; 11-13, very severe handicap;  $\geq 14$ , extremely severe handicap.

In addition, patients completed a list of pain descriptors elaborated by Cedraschi et al. [36] to help define subgroups of OA patients based on pain phenotype. The 17 items assessed pain quality, investigating Pain sensation (six items), OA-related symptoms (four items), Pain variations (four items), Pain-triggering factors (one item), and Pain and physical activity (two items) (The complete list of items is presented in Figure 2). For each item the patient attributed a score ranging from 0 to 10: the higher the score, the greater the intensity. Patients were categorised into clusters according to their response to these items at baseline; the response to the dietary supplementation was analysed per cluster throughout the study.

In addition, patients completed a 10-cm **VAS** on Day 0, 10, 20, 30, 60, and 90 for pain assessment, and the **DN4** (*douleur neuropathique en 4 questions*) at inclusion. The DN4 was completed with the GP, and aimed to estimate the likelihood of neuropathic pain; neuropathic pain was probable if the DN4 score was  $\geq 4$  [37]. Patients were also to provide information on the use of concomitant analgesics or anti-inflammatory drugs on Days 0, 30, 60, and 90, and on compliance on Days 30, 60, and 90. Compliance was satisfactory if the patients claimed to have taken at least one capsule per day.

Finally, safety data were collected for the whole study period using a closed question: "was the product well-tolerated? Yes, Moderately, Not at all".

### ***Power calculation, and statistical analysis***

All statistical analyses were performed using SAS 9.4 (SAS Institute Inc, Cary, NC, US).

Descriptive statistics were provided for the Intention-To-Treat (ITT) population (i.e., all patients who took at least one capsule of dietary supplement) and by cluster of patients. Patients were categorised into four clusters according to their response to each item of the questionnaire on pain quality using a k-mean clustering analysis.

Continuous variables are presented as mean and standard deviation (SD), and categorical variables as percentages. Repeated measures ANOVA (analysis of variance) were conducted to compare quantitative scores at different time points, while GEE (Generalized estimating equations) method was used for discrete variables and Cochran Q test for binary variables. Intra-group comparisons between two time points were done using paired t test or Wilcoxon signed rank test (for non-normal distributions) and by McNemar test for binary variables. Comparison between sub-groups were performed using Student's t-test or Wilcoxon rank sum test (for non-normal distributions). Correlations between scores were assessed by Spearman rank-order correlation coefficients.

Univariate and multivariate binary logistic regressions were performed to identify predictive factors of treatment response.

Based on the McNemar test, with a confidence interval of 95% and a precision of 10%, 96 patients were required to demonstrate the significant improvement in 50% of the included patients. Improvement was based on the change in PASS from negative to positive on Day 30. Assuming that about 30% of the e-CRF would not be assessable, about 126 patients were required to be included in the study.

## Results

From 12 March 2017 to 01 March 2019, 123 patients were included in the study: 28 GPs recruited one to 17 patients, each. The last visit of the last patient occurred on 10 July 2019. Of the 123 included patients, 118 took at least one capsule of the dietary supplement; they constituted the ITT population. Mean (SD) study follow-up lasted 100.7 (54.9) days per patient.

## Baseline characteristics

The 118 patients, mainly women (69.5%), were aged between 42 and 92 years, with a mean age (SD) of 62.9 (9.5) years (Table 1). Their mean BMI was 26.4 (4.0) kg/m<sup>2</sup>; 58.5% of patients had BMI between 25.0 and 35.0 kg/m<sup>2</sup>, indicating that patients were commonly overweight or slightly obese. Pain usually had non-neuropathic characteristics: DN4 score <4 in 90.7% of the patients. Its intensity was 6.1 (1.9) cm (mean VAS score). Patients were impaired by their disease: 100% had a negative PASS and 52.6% had a LAFI score ≥8, indicating severe, very severe, or extremely severe handicap. At baseline, 51.7% of patients took at least one medical treatment. Main concomitant treatments included agents acting on the renin-angiotensin system, diuretics, analgesics, beta-blocking agents, serum-lipid reducing agents, thyroid therapy and anti-inflammatory and antirheumatic drugs.

Out of the 118 patients, 97 fully completed the list of items at baseline, and were categorised into four clusters (Figure 1). Pain intensity was generally mild in Cluster I (N=41, 42.3%), moderate in Clusters II (N=22, 22.7%) and IV (N=18, 18.6%), and severe in Cluster III (N=16, 16.5%). Pain had no specific characteristics in Cluster I. It was disabling, limiting activity, and frequently associated with joint stiffness and swelling sensation around the joint in Cluster II. In Cluster III, pain was deep and particularly disabling; it affected the joint but also disseminated around the joint and persisted even without physical activity. Finally, in Cluster IV, patients had high scores for “weather-related pain” and “stabbing pain” (i.e., short, intense, brutally penetrating pain), suggesting possible pain neuropathic component. Baseline comparison for VAS values (p=0.002) and LAFI score (p=0.006) confirmed the difference in pain and disability between the four clusters (Supplementary Table 1). Patients also significantly differed on gender (p=0.049), height (p=0.037), and BMI (p=0.028), but not on age (p=0.897) or weight (p=0.059), although for the latter the p-value was close to the significance threshold. Patients from Cluster II were taller, heavier, and more frequently males (45.5%) whereas patients from Cluster III were smaller and more frequently females (87.5%).

## Observed effects throughout the dietary supplementation period

The percentage of patients with positive PASS continuously increased from baseline to Day 90 (Cochran Q test: p<0.0001). On Day 90, 64.5% of patients were responders (Table 2). Median time to first PASS change was 34 days (interquartile range: 20-65 days).

MCII and PGIC scores significantly increased throughout the dietary supplementation period (ANOVA: time-effect, p<0.0001). Scores on Day 10 were lower than on the other days, and those on Day 90, higher (Table 2). On Day 90,

they reached 3.3/4 (1.2) and 4.4/7 (1.8), respectively. The percentages of patients with a MCII  $\geq 3$  or a PGIC  $\geq 5$  also increased over time, indicating that the percentages of patients with at least good response to treatment or at least noticeable global improvement increased. On Day 90, percentages reached 68.8% and 58.4% for MCII and PGIC, respectively.

Handicap assessed by the LAFI score and pain intensity assessed by the VAS significantly decreased throughout the dietary supplementation period (ANOVA: time-effect,  $p < 0.0001$  and  $p < 0.0001$ ). From baseline to Day 90, mean scores for the LAFI dropped from 7.7/24 (3.9) to 5.2/24 (3.8) and mean scores for the VAS from 6.1/10 (1.9) to 3.5/10 (2.5) (Table 2). Scores on all the other assessment days were significantly lower than those at baseline for VAS and those on Day 10 for the LAFI. The percentage of patients with severe to extremely severe handicap (LAFI score  $\geq 8$ ) halved; it was 52.6% at baseline and 26.7% on Day 90. The distribution of patients in the various LAFI classes statistically significantly varied over time (GEE method:  $p = 0.0006$ ). Simultaneously, the percentage of patients with a meaningful drop (30%) in VAS score from baseline increased from 24.1% on Day 10 to 55.2% on Day 90.

The scores of all the items describing pain characteristics decreased throughout the dietary supplementation, indicating improvement regardless of pain characteristics (Figure 2).

Finally, the percentage of patients who took concomitant analgesics (GEE method:  $p < 0.0001$ ) but not anti-inflammatory drugs (GEE method:  $p = 0.2086$ ) decreased between Day 0 and Day 90. On Day 90, 35.1% of patients (versus 59.2% on Day 0) reported taking analgesics in the previous month (Table 2).

## Factors associated with treatment response

Univariate analyses were carried out to identify potential baseline factors associated with positive PASS (i.e., treatment response) on Day 30, which was close to the median time to first PASS change (34 days). Overall, 15 variables were identified ( $p < 0.1$ ). Patients with low LAFI or VAS scores at baseline were more likely to be responders: the odds ratio (OR) was 0.853 (95% confidence interval [CI]: 0.751-0.968;  $p = 0.0137$ ) for the LAFI and 0.739 (95% CI: 0.58-0.942;  $p = 0.0146$ ) for the VAS (Supplementary Table 2). Patients with low score for 12 of the 17 items at baseline were also more likely to be responders on Day 30. In addition, responders tended to less frequently take concomitant analgesic drugs ( $p = 0.0662$ ) but not anti-inflammatory drugs ( $p = 0.3581$ ). Age, gender, weight, height, BMI, DN4 score were not identified as independent factors associated with treatment response (Supplementary Table 2).

Multivariate analysis showed that “Stabbing pain” and “Widespread pain” were significant factors associated with treatment response. Patients with low scores were more likely to be responders. The odds ratios (ORs) were 0.820 (95% CI: 0.705-0.954) and 0.737 (0.614-0.884) for “Stabbing pain” and “Widespread pain”, respectively. A second model excluding pain items highlighted VAS as a significant factor associated with treatment response. The OR was 0.739 (95% CI: 0.580-0.942) for the VAS meaning that with a VAS score 1 point lower at inclusion, patients were 1.4 times more likely to respond to treatment at Day 30.

## Observed effects by cluster of patients

Data from PROMs were analysed according to the cluster of patients. Responders were observed in all clusters with variations in response. From Day 10 to Day 90, the percentage of patients with positive PASS (PASS+) statistically

significantly and continuously increased in Clusters I and IV (Cochran Q test,  $p=0.0052$  and  $p=0.0187$ , respectively). MCII and PGIC scores statistically significantly increased over time in Clusters I and IV (ANOVA, time effect:  $p=0.0157$  and  $p<0.0001$ ). Better improvement or relief was reported in Cluster I and Cluster IV for PASS, MCII (percentage of patients with  $MCII \geq 3$ ), PGIC (percentage of patients with  $PGIC \geq 5$ ), and VAS (percentage of patients with VAS score drop  $\geq 30\%$ ). The observed improvement tended to be continuous over the 90 days of treatment in patients from Cluster I regardless of the PROMs (Figure 3).

A statistically significant relief was found in disability (LAFI) and pain (VAS score) in the four clusters (ANOVA, time effect:  $p<0.05$ ). The percentage of patients mildly disabled statistically significantly increased from baseline to Day 90 in Clusters II and IV (ANOVA: time effect,  $p=0.0007$  and  $p=0.0458$ ), but not in the other clusters ( $p>0.05$ ). The percentage of patients with mild handicap (LAFI score  $<8$ ) increased in all clusters whereas the percentage of patients severely, very severely, or extremely severely disabled (LAFI score  $>11$ ) decreased (Figure 4).

Around the date of the first change in PASS (Day 30), the percentage of responders was 62.2%, 36.4%, 14.3% and 22.2%, for Clusters I, II, III, and IV, respectively. According to univariate analyses (Supplementary Table 2), patients from Cluster I but not those from Clusters II or IV were more likely to respond than those from Cluster III ( $p=0.0058$ ).

## Safety and Compliance

Safety data were reported for 77 of the 118 patients. Out of these patients, 74 (96.1%) claimed that the dietary supplement was well tolerated. The three patients who reported inconveniences (e.g., meteorism) did not prematurely withdraw from the study.

Treatment compliance was satisfactory: 87 (92.6%), 78 (91.8%), and 67 (88.2%) of the patients claimed to have taken at least one capsule each day for 30, 60, and 90 days.

## Discussion

The present study found a noticeable relief, as assessed by PROMs, in KOA patients who took oral dietary supplementation with a fixed combination of boswellia, turmeric, and red algae extracts. All assessed measures systematically showed improvement. The present study also showed that half of the patients reported relief after approximately one month of dietary supplementation. Finally, the present study identified different profiles of KOA patients, some being more improved by the dietary supplement than the others, and also predictive factors of the response.

The ability of boswellia (*Boswellia serrata*) and turmeric (*Curcuma longa*) extracts (alone or in combination) to relieve patients with KOA has been largely described in the literature [9-20]. However, to the best of our knowledge, this is the first and unique study reporting data where their combination with red algae extracts is used. Evaluating the effect of the fixed combination of boswellia, turmeric, and red algae extracts was necessary as the active ingredients contained in the different extracts may act synergistically [38].

The dietary supplement relieved patients and decreased pain intensity by 30% or more in 55% of patients. In clinical practice, as the fixed combination of boswellia, turmeric, and red algae extracts is well tolerated and no contraindications with analgics exist, the dietary supplement can be used alone or with anti-inflammatory or analgic drugs if required. Pain relief was observed after 34 days of supplementation (median time for positive PASS), suggesting that at least one-month treatment was required to evaluate the impact of the dietary supplement

in KOA patients. Relief was maximal after 90 days; it was continuous and significant mainly in patients from Cluster I: i.e., patients with pain of mild intensity and without specific characteristics. However, responders were observed in all clusters, showing a benefit from using the dietary supplement in patients with more severe symptoms. Altogether, these results suggest that the dietary supplement should be used at an early stage and for at least three months.

Most studies evaluating treatment effect in KOA usually focused on pain intensity and physical function [9-20]. In these studies, pain intensity was commonly assessed using a VAS and physical function using the Western Ontario McMaster Arthritis Index (WOMAC), a self-administered 24-item questionnaire [10, 12, 13, 15-17, 20]. The WOMAC assessed activities of daily living, functional mobility, gait, general health and quality of life. PROMs are useful to provide data on all aspects of the disease and its treatment as perceived by the patients. Moreover, they limit observer bias [38]. They are particularly useful to assess change in chronic conditions. However, the WOMAC does not focus on pain whereas KOA is a painful chronic condition and pain is a complex and subjective experience; its quantification is challenging. As currently no reliable method objectively quantifies patient's experience of pain, we used a battery of PROMs, which is the best way to estimate pain intensity, pain quality, and/or pain relief following treatment as perceived by the patients [39].

In addition to PROMs, we used a list of items on pain quality (pain descriptors) because KOA cannot be reduced to pain intensity, and the fixed combination of boswellia, turmeric, and red algae extracts is not an antalgic drug but a combination of active ingredients with anti-inflammatory and/or antinociceptive properties. The PROMs and the list of items on pain quality were useful to provide data on all aspects of the disease and its management by a non-antalgic drug, as perceived by the patients. In addition, pain descriptors can be useful to identify the profiles of KOA patients who could be relieved by the dietary supplement.

This study presented some limitations. Limitations included the limits of any open-label observational study. It also included potential Hawthorne effect, although this effect would have probably decreased over time. Finally, a potential bias due to the number of missing data at each visit cannot be ruled out, in particular on Day 90. Indeed, as according to the visit and the PROMs, up to 30% of the data were missing (as expected for an observational study), the results may have been overestimated. However, even assuming that all missing data were treatment failures (non-responding patients), which was not the case (data not shown), a noticeable proportion of patients would have been relieved.

## Conclusions

Although its results need to be confirmed in further studies and, in particular, a randomised placebo-controlled study, the present study demonstrated that the fixed combination of *Boswellia serrata*, *Curcuma longa*, and *Porphyra umbilicalis* extracts relieved KOA patients. This study also identified four clusters of patients according to pain characteristics. Responders were observed in the four clusters with better relief reported by the cluster of patients with pain of mild intensity and without specific characteristics, suggesting the interest of this dietary supplementation in patients with early disease. Although the benefits of the dietary supplement were maximal after 90 days of treatment, these benefits could be observed earlier; nevertheless, in clinical practice, dietary supplementation should be maintained for one to three months before ruling out its ability to relieve KOA. None of the sociodemographic or anthropomorphic characteristics of the patients predicted treatment response, whereas VAS score and two pain characteristics were predictive factors for treatment response. Further studies are needed to better identify profiles of KOA patients for whom the dietary supplement would be useful. Beyond these results, this

study therefore showed the importance of PROs and specific pain qualitative descriptors for accurate evaluation of dietary supplement approaches in painful conditions.

## List Of Abbreviations

ANOVA: analysis of variance; BMI: body mass index; CI: confidence interval; DN4: *Douleur Neuropathique en 4 questions*; e-CRF: electronic case report form; GEE: Generalized estimating equations; GP: general practitioner; ITT: Intention-To-Treat; KOA: knee osteoarthritis; LAFI: Lequesne algofunctional index; MCI: Minimal Clinically Important Improvement; MD: missing data; N: number of patients; NSAID: non-steroidal anti-inflammatory drug; OA: osteoarthritis; OTC: over the counter; PASS: Patient Acceptable Symptom Scale; PGIC: Patient Global Impression of Change; PROM: patient-reported outcome measure; SD: standard deviation; STROBE: Strengthening the Reporting of Observational studies in Epidemiology VAS: Visual Analogue Scale; WOMAC: Western Ontario McMaster Arthritis Index

## Declarations

### Ethics approval and consent to participate:

The present study was conducted according to the French law and guidelines on non-interventional studies in force when the study was launched. The protocol was approved by the French Committee for Health Research Data Processing, CCTIRS (Comité consultatif sur le traitement de l'information en matière de recherche dans le domaine de la santé) on 16 September 2016 (No.: 16-634). Following commitment to comply with relevant legislation and regulations, a declaration of conformity was made to the French national commission on personal data privacy, CNIL (Commission Nationale de l'Informatique et des Libertés). In compliance with the French regulation for observational studies, all patients included in the study freely provided verbal informed consent to participate.

### Consent for publication:

Not applicable

### Availability of data and materials:

The data that support the findings of this study are available from PiLeJe (37 quai de Grenelle, 75015 Paris, France) but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are however available from the authors upon reasonable request and with permission of PiLeJe.

### Competing interests:

SP reports grants and personal fees from PiLeJe. APT reports that she has no competing interests to declare. SAA, CG, and AL are employees of PiLeJe Laboratoire.

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## Authors' contributions:

SP and APT were involved in the study as medical experts (study design, data analysis). SAA is a Clinical Research Manager (study design and supervision, data analysis), CG a Clinical Research Associate (monitoring, data analysis), and AL a Research Project Manager (study design, data analysis). All the authors participated in the writing of the article and approved the final version.

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## Tables

Table 1. Baseline characteristics of patients (N=118)

Characteristics		Mean (SD)	Min-Max
Age (years)		62.9 (9.5)	42 - 92
Weight (kg)		72.9 (13.6)	45 - 112
Height (cm)		165.8 (9.0)	148 - 190
BMI (kg/m <sup>2</sup> )		26.4 (4.0)	18 - 36
LAFI (score) *		7.7 (3.9)	0 - 20
VAS †		6.1 (1.9)	0 – 10‡
DN4		1.4 (1.5)	0 - 7
Characteristics		No. (%)	
Sex	Female	82 (69.5%)	
	Male	36 (30.5%)	
BMI	17.0-18.5 kg/m <sup>2</sup> (slightly underweight)	1 (0.8%)	
	18.5-25.0 kg/m <sup>2</sup> (normal)	47 (39.8%)	
	25.0-30.0 kg/m <sup>2</sup> (overweight)	50 (42.4%)	
	30.0-35.0 kg/m <sup>2</sup> (moderate obesity)	19 (16.1%)	
	35.0-40.0 kg/m <sup>2</sup> (morbid obesity)	1 (0.8%)	
PASS	Negative	118 (100%)	
LAFI †	Mild handicap (1-4)	18 (18.6%)	
	Moderate handicap (5-7)	28 (28.9%)	
	Severe handicap (8-10)	30 (30.9%)	
	Very severe handicap (11-13)	12 (12.4%)	
	Extremely severe handicap (≥ 14)	9 (9.3%)	
DN4	<4	107 (90.7%)	
	≥4	11 (9.3%)	

BMI: body mass index; DN4: *Douleur Neuropathique en 4 questions*; LAFI: Lequesne algofunctional index; Max: Maximum; MD: missing data; Min: Minimum; PASS: Patient Acceptable Symptom Scale; SD: standard deviation; VAS: Visual Analogue Scale.

Baseline: at inclusion or Day 0 visit.

\* 21 missing data; † 24 missing data‡ VAS score  $\geq 4$  at rest or  $\geq 5$  on movement (walking) was required to be included in the study, however, patients could have a score  $< 4$  at the time of data collection (baseline).

Table 2. Summary of the effects of turmeric, boswellia, and red algae extracts in KOA patients (N=118)

Self-reported tools			Day 0	Day 10	Day 20	Day 30	Day 60	Day 90
PASS		N	118	95	93	94	85	76
	Positive *	%	0	43.2	49.5	42.6	63.5	64.5
MCII		N	-	95	93	94	85	77
	Score †	Mean (SD)	-	2.5 (1.0)	2.8 (1.1)	2.9 (1.1)	3.1 (1.2)	3.3 (1.2)
	Score $\geq 3$	%	-	44.2	60.2	60.6	64.7	68.8
PGIC		N	-	95	93	94	85	77
	Score †	Mean (SD)	-	3.3 (1.5)	3.7 (1.6)	3.7 (1.6)	3.9 (1.9)	4.4 (1.8)
	Score $\geq 5$	%	-	27.4	38.7	35.1	42.4	58.4
LAFI		N	97	-	-	88	85	75
	Score †	Mean (SD)	7.7 (3.9)	-	-	6.1 (3.8)	6.0 (4.3)	5.2 (3.8)
Handicap ‡	Mild	%	18.6	-	-	35.2	36.5	49.3
	Moderate	%	28.9	-	-	30.7	27.1	24.0
	Severe	%	30.9	-	-	18.2	24.7	16.0
	Very severe	%	12.4	-	-	12.5	7.1	6.7
	Extremely severe	%	9.3	-	-	3.4	4.7	4.0
VAS		N	94	90	88	87	79	69
	Score †	Mean (SD)	6.1 (1.9)	5.1 (1.9)	4.7 (2.0)	4.5 (2.1)	4.0 (2.3)	3.5 (2.5)
	Decrease in VAS score from Day 0	N	-	87	85	84	76	67
	$\geq 30\%$	%	-	24.1	30.6	39.3	48.7	55.2
Concomitant treatments §		N	98	-	-	93	85	77
	Anti-inflammatory drugs	%	30.6	-	-	24.7	21.2	23.4
	Analgesics	%	59.2	-	-	50.0	48.2	35.1

KOA: knee osteoarthritis; LAFI: Lequesne algofunctional index; MCII: Minimal Clinically Important Improvement; N: number of patients; PASS: Patient Acceptable Symptom Scale; PGIC: Patient Global Impression of Change; SD: standard deviation; VAS: Visual Analogue Scale.

\* Cochran Q test,  $p < 0.0001$ ; † ANOVA: time-effect ( $p < 0.0001$ ); a statistically significant difference was found between Baseline or Day 10 score and other assessment days: p-values for PGIC on Days 20, 30, 60, and 90 versus Day 10:  $p = 0.0160$ ,  $p = 0.0091$ ,  $p = 0.0004$ , and  $p < 0.0001$ ; p-values for MCII on Days 20, 30, 60, and 90 versus Day 10:  $p = 0.0007$ ,  $p = 0.0019$ ,  $p < 0.0001$ , and  $p < 0.0001$ ; p-value for VAS on Days 10, 20, 30, 60, and 90 versus Baseline:  $p < 0.0001$ ; p-value for Global score (Lequesne index) on Days 30, 60, and 90 versus Baseline:  $p < 0.0001$ ; ‡ GEE method,  $p = 0.0006$ ; § GEE method,  $p = 0.2086$

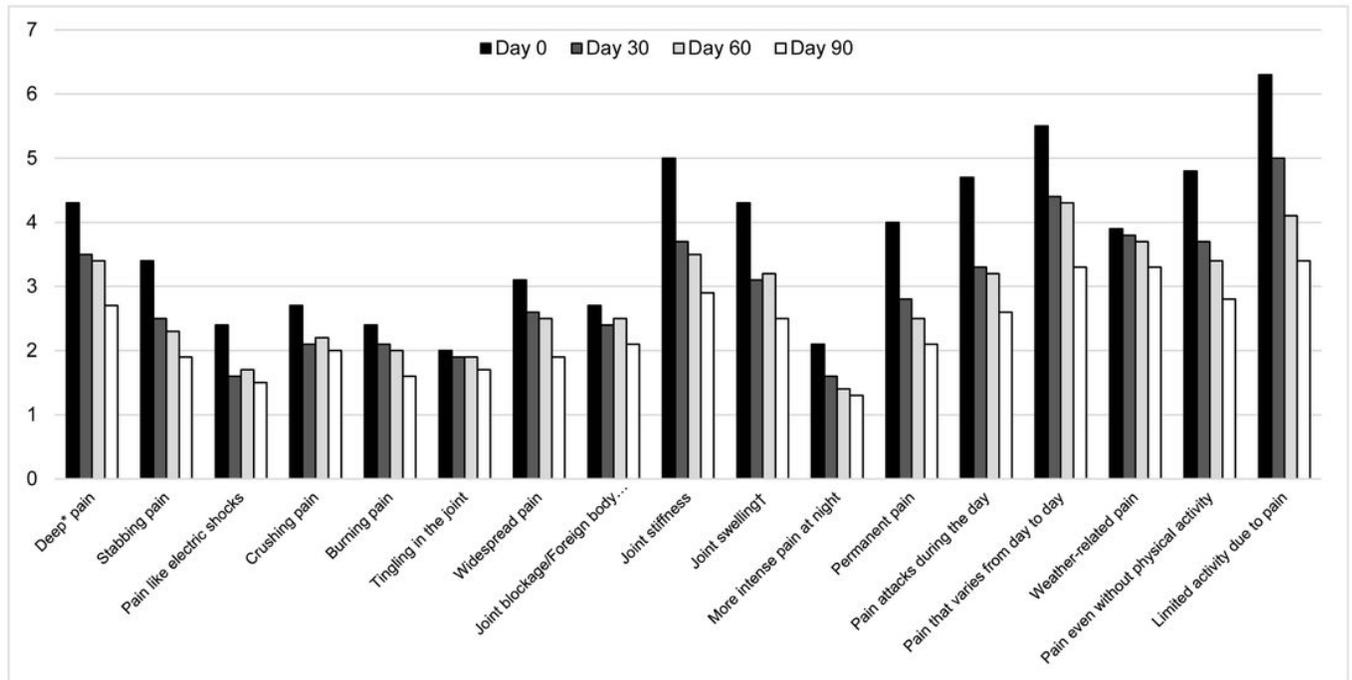
## Figures

	Cluster I	Cluster II	Cluster III	Cluster IV
Items: Mean (SD)	N=41	N=22	N=16	N=18
Deep * pain	2.6 (2.4)	5.0 (2.8)	6.7 (1.8)	5.5 (2.6)
Stabbing pain	1.5 (2.6)	2.0 (2.1)	5.9 (3.0)	7.0 (2.2)
Pain like electric shocks	1.2 (2.4)	1.2 (2.2)	5.2 (2.5)	4.3 (3.4)
Crushing pain	1.0 (1.7)	3.7 (2.6)	5.9 (2.7)	2.6 (3.3)
Burning pain	0.7 (1.5)	3.5 (3.4)	4.5 (3.2)	3.2 (3.9)
Tingling in the joint	0.4 (1.1)	2.0 (2.4)	5.6 (3.3)	2.7 (3.1)
Widespread pain	1.2 (1.8)	4.7 (2.4)	7.1 (1.4)	2.3 (2.9)
Joint blockage/Foreign body sensation	1.0 (1.7)	2.8 (2.7)	7.4 (2.0)	2.3 (2.7)
Joint stiffness	3.4 (2.5)	5.5 (2.4)	7.8 (1.4)	5.4 (3.4)
Joint swelling †	3.0 (2.6)	6.0 (2.3)	7.6 (1.9)	2.7 (3.0)
Weather-related pain	2.3 (2.8)	3.3 (2.9)	5.4 (3.1)	6.7 (3.3)
Pain even without physical activity	3.2 (2.4)	4.9 (2.3)	7.1 (1.7)	6.2 (2.1)
Limited activity due to pain	5.2 (2.9)	7.5 (1.8)	8.3 (1.4)	6.1 (3.4)

**Figure 1**

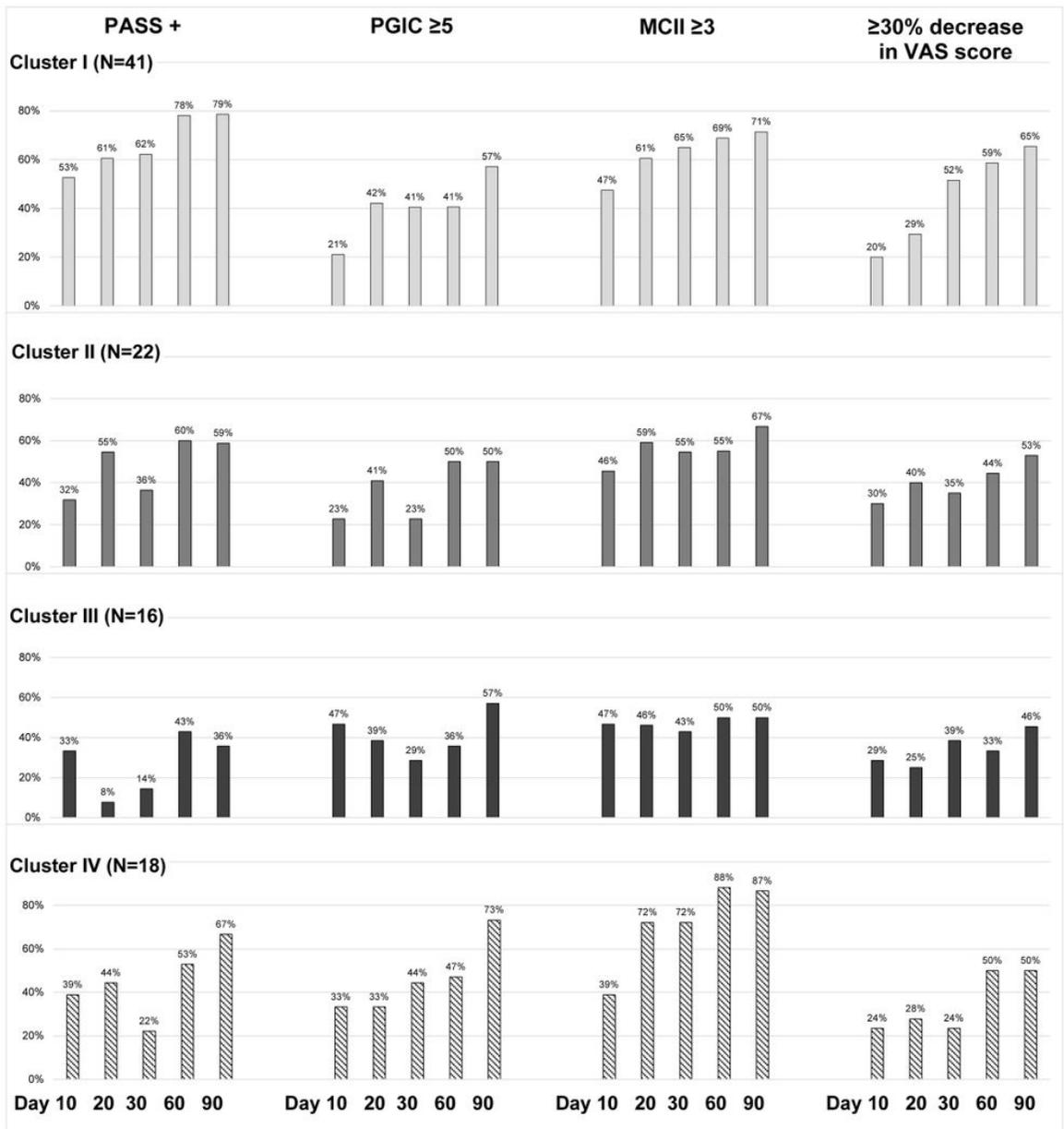
Baseline item scores by cluster (N=97) N: number of available data. \* i.e., without identified precise impact point; † i.e., swelling sensation around the joint. Clusters are based on scores for each item except four items which were

excluded due to the high variability of the answers. In red, scores  $\geq 7$ ; in orange, scores 4.0 and 7.0; in green, scores  $< 4.0$ .



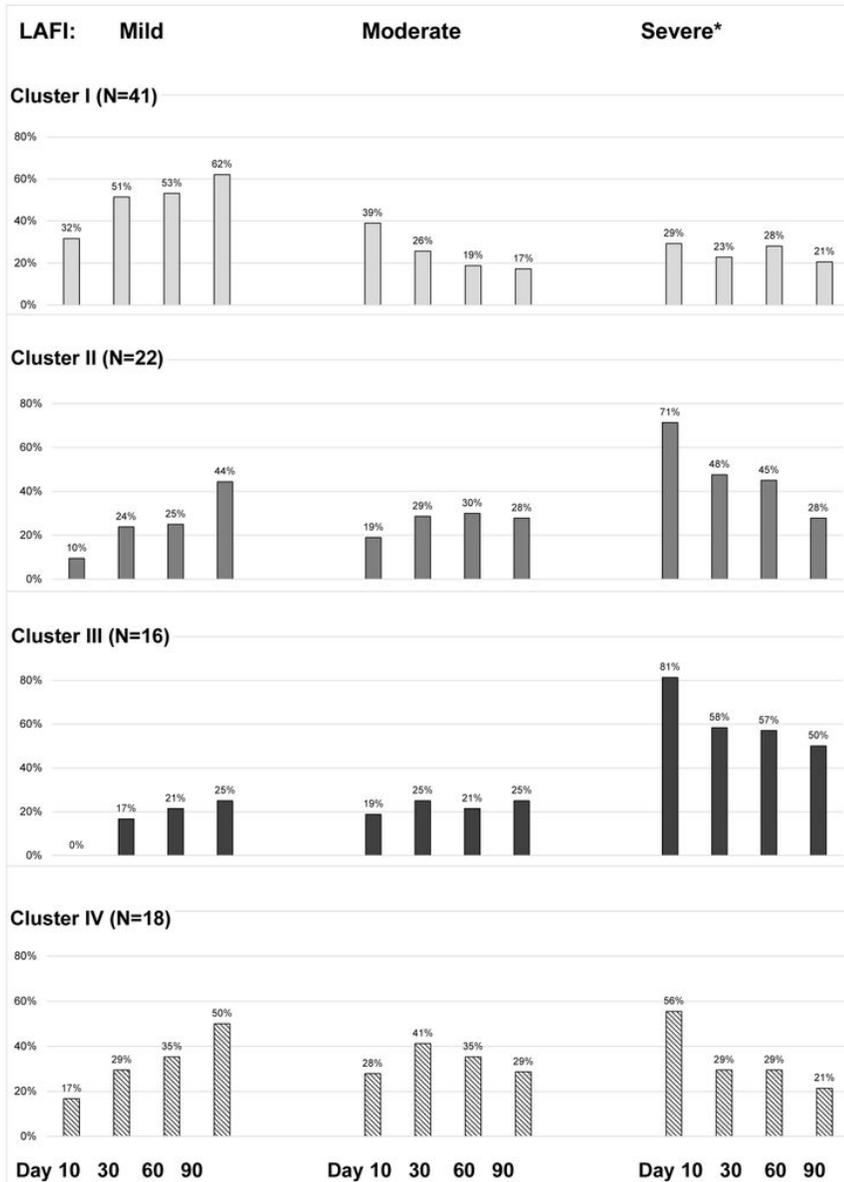
**Figure 2**

Evolution of mean scores throughout the supplementation, items describing KOA pain Supplementation: combination of turmeric, boswellia, and red algae extracts. KOA: knee osteoarthritis. \* i.e., without identified precise impact point; † swelling sensation around the joint



**Figure 3**

Changes in PASS, PGIC, MCII, and VAS throughout the supplementation period by cluster. Percentages of patients are presented for each parameter and by Days in each cluster. Supplementation: combination of turmeric, boswellia, and red algae extracts. For the characteristics of the patients please see Supplementary Table 1.



**Figure 4**

Over time changes in handicap severity (Lequesne index) by cluster \* Percentages for severe, very severe and extremely severe handicap are grouped. Percentages of patients are presented for each parameter and by Days in each cluster. For the characteristics of the patients please see Supplementary Table 1. LAFI: Lequesne algofunctional index.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementaryTable1.docx](#)