

# Comparing the European Union System for the Evaluation of Substances (EUSES) environmental exposure calculations with monitoring data for alkyl sulphate surfactants

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## Research

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# Abstract

## Background

The European surfactant and detergent industry initiated a project to conduct an EUSES-based environmental exposure assessment for the total volume of alkyl sulfate (AS) surfactants, and to verify if the EUSES assessment leads to a realistic prediction of the environmental exposure or to an over- or under-estimation of the environmental concentrations of the surfactants. Verification of the EUSES environmental concentration prediction ( $C_{local\,effluent}$ ) was carried out by benchmarking them against environmental monitoring data. Recently published data from the United States of America adjusted to the European Union (EU) frame conditions were used for the assessment, as for the EU only historical data from the mid 1990's are available. In addition to the standard (default) EUSES assessment, a higher tier assessment using substance-specific properties, particularly increased biodegradation rates (192 per day instead of the default of 24 per day for STP), was conducted.

## Results

A figure of 178,400 tonnes of AS was established as the total maximum volume (2016) handled annually in Europe. This total volume includes the volumes from all EU manufacturers and all registered AS > 100 t/a, as well as the amount of AS contained in EU REACH registered Alkyl Ether Sulfates (AES). The total tonnage was split and assigned to the different uses as reported to ECHA in the C12 AS, Na (151-21-3) registration dossier in 2010. The EUSES calculation was limited to widespread (professional and consumer) uses, covering in total 97,889 t of AS homologues. The EUSES calculation gave a  $C_{local\,effluent}$  of 335  $\mu\text{g/L}$  for the SimpleTreat "readily" biodegradation rate default and a  $C_{local\,effluent}$  of 44.6  $\mu\text{g/L}$  for the AS specific degradation rates.

Recent U.S. monitoring data showed a mean effluent concentration of 4.24  $\mu\text{g Alkyl Sulfates/L}$  ( $\sum$  C12 + C14 + C16 homologues). Taking into account the different annual per capita AS use (including AS from AES) in the U.S. (295 g) and the EU (348 g), the daily per capita water use (EU 200 L, U.S. 408 L), and the WWTP efficiency in the EU and the U.S. (comparable), an U.S. to EU adjustment factor of 2.4 was established. Application of the adjustment factor to the U.S. monitoring data resulted in a calculated EU mean effluent concentration = 10.18  $\mu\text{g Alkyl Sulfates/L}$  ( $\sum$  C12, C14, C16 homologues). This value was used as an independent benchmark for the EUSES calculations.

## Conclusions

Comparing the predicted  $C_{local\,effluent} = 335 \mu\text{g Alkyl Sulfates/L}$  (SimpleTreat default) and a  $C_{local\,effluent} = 44.6 \mu\text{g Alkyl Sulfates/L}$  (AS-specific degradation rates) with the 10.18  $\mu\text{g Alkyl Sulfates/L}$  from the adjusted monitoring data it is evident, that the EUSES calculation overestimates the AS environmental exposure by factors of > 32 and > 4, respectively. Taking into consideration, that only widespread uses (covering only 50 % of the total AS volume) were included in the EUSES calculation, the overestimation of the default exposure by a factor of 4 is still conservative, despite the fact, that 8-fold higher, substance-

specific biodegradation rates were used. In conclusion, using the 2010 C12-AS REACH dossier (CAS-No. 151-21-3) as an example, it has been shown, that EUSES model exposure calculations using default biodegradation rates significantly overestimate effluent concentrations.

## Background

The EU chemical regulation EC/1907/2006 (REACH) requires chemical companies (registrants) to register their substances at the European Chemical Agency (ECHA) to obtain the “license to operate”. The information requirements as well as the deadline for registration depend on the volume (manufactured or imported) of the substance of the individual registrants. If a chemical is classified for hazardous properties for humans and/or for the environment, the registration dossier has to include an exposure assessment. Regarding the exposure to the environment, the European Union System for the Evaluation of Substances (EUSES) is the standard assessment tool. EUSES includes an environmental fate model (partitioning between air/water/solids) as well as generic wastewater treatment plant parameters, i.e. per capita water use, number of inhabitants per WWTP, and removal rates, allowing an initial estimate of exposure and subsequent risk assessment. From a legal point of view, only a risk assessment for the registered volume per registrant is required by EU REACH law. Nevertheless, from an environmental point of view, the combined, total volume of all registrants is most relevant.

In a pro-active approach, the European surfactant and detergent industry initiated a project to conduct an EUSES-based environmental exposure assessment for the total volume of Alkyl Sulfate surfactants, and to verify if the initial EUSES assessment leads to a realistic prediction of the environmental exposure or to an over- or under-estimation of the environmental concentrations of the surfactants. Verification of the EUSES environmental concentration prediction ( $C_{local,effluent}$ ) was performed by benchmarking them against recent U.S. monitoring data, adjusted to EU frame conditions.

## Methods

### Chemicals and tonnage collection

For an evaluation of the EUSES modelling for AS, the most common Carbon-chains (C12, C14 and C16) with the highest tonnage contribution were collected. Odd numbered C-chains (C11, C13, C15), C-chains above C16 and below C10 are less common and so do not significantly influence the total amount of AS in Europe, therefore the EUSES calculations were conducted only for C12, C14, and C16 homologues (for a qualitative sensitivity analysis see the discussion section below).

For the chain lengths C12, C14 and C16 tonnages were anonymously collected from the registrants of the REACH AS consortium with a production volume of > 100 t/a. The homologue distribution was collected from the following even-numbered C-chain, linear AS substances: Sodium dodecyl sulfate (CAS No. 151-21-3); Sulfuric acid, mono-C12-14-alkyl esters, sodium salts (CAS No. 85586-07-8); Sulfuric acid, mono-C12-16-alkyl esters, sodium salts (CAS No. 73296-89-6); Sulfuric acid, mono-C12-18-alkyl esters, sodium

salts (CAS No. 68955-19-1); Sulfuric acid, mono-C16-18-alkyl esters, sodium salts (CAS No. 68955-20-4); Sulfuric acid, mono-C12-14-alkyl esters, compds. with triethanolamine (CAS No. 90583-18-9); Sulfuric acid, mono-C12-14-alkyl esters, compds. with ethanolamine (CAS No. 90583-16-7); Sulfuric acid, mono-C12-14-alkyl esters, ammonium salts (CAS No. 90583-11-2).

In addition, the volumes of AS as constituents of Alkyl Ether Sulfates (AES) were collected from 15 high tonnage EU REACH registrants (> 100 t/a), as AS are constituents of AES (from incomplete ethoxylation reaction, i.e. EO = 0) at concentrations between 10–35% with 20% as a realistic average. The AS volumes in technical AES represents a major amount of the total AS anionic surfactants concentrations since the production volume of AES exceeds that of AS by a factor of ten (IHS, 2016).

All registrants of the AS consortium responded to the tonnage survey. For the AES substances, 14 of 15 registrants replied. The missing information was substituted by an average tonnage reported by all tonnage information from the AES registrants.

The tonnages (from 2016) reported by the various companies were summed leading to a total tonnage of ~ 178,400 t (Table 1).

Table 1  
Overview tonnage per chain length

Chain length	C 12	C 14	C 16	Overall
AS (t/a)	45,280	15,793	5,200	66,273
AES (t/a)	82,973	28,689	496	112,158
Total (t/a)	128,253	44,482	5,696	178,431

## Use Pattern

The tonnage information for the C12, C14 and C16 AS were assigned to the different uses as reported to ECHA in the C12 AS, Na (CAS-No. 151-21-3), registration dossier of 2010 (Table 2). Use information was obtained from the latest use maps provided by the relevant industry sector associations. The main application fields of AS are the household/ cleaning sector (AISE), the personal care sector (Cosmetics Europe), the construction sector (EFCC) and the polymer dispersion and latex sector (EPDLA). All relevant sectors including the tonnage distribution are shown in Table 2.

Table 2  
Distribution of tonnage to sector associations for chain length C12, C14, C16

<b>Sector association</b>	<b>Percentage of total tonnage</b>	<b>Tonnes per sector C12</b>	<b>Tonnes per sector C14</b>	<b>Tonnes per sector C16</b>
AISE	32.26%	41,372	12,515	1,811
ATIEL	1.61%	2,069	536	91
CEPE	0.16%	207	125	9
ESVOC	0.16%	207	125	9
COSMETIC EUROPE	16.13%	20,686	8,939	906
ECPA	8.06%	10,343	1,788	453
EFCC	16.13%	20,686	8,939	906
EPDLA	16.13%	20,686	8,939	906
ETRMA	0.16%	207	72	9
FEICA	0.81%	1,034	447	45
TEGEWA	0.16%	207	179	91
FERTILIZER EUROPE	8.06%	10,343	1,788	453
PPRM	0.16%	207	89	9
Total	100%	128,253	44,482	5,696

For the tonnage distribution within the different sectors the approach sector tonnage = tonnage formulation steps = tonnage end uses (industrial, professional, consumer) was used. Within the end uses a further distribution was considered. It was estimated that 60% of the sector tonnage will be consumed at the industrial scale, 30% at the professional scale, and 10% by consumers. For household/ cleaning and personal care application, an equal distribution factor was used. Professionals and consumers will mainly have access to these chemical products. For the personal care sector an industrial use is not applicable. In conclusion, the tonnage of this sector was split equally between the professional and consumer applications (Fig. 1). A detailed overview is provided in the Supplemental Information.

## U.s. Monitoring Data

A US monitoring campaign was conducted in 2016 by McDonough et al. which collected grab effluent samples from 44 wastewater treatment plants (WWTPs) across the US to generate statistical distributions of effluent concentrations for various anionic surfactants, including AS. The mean

concentrations for AS, AES, Linear alkylbenzene sulfonates (LAS) and Methylestersulfonate (MES) were  $5.03 \pm 4.5$ ,  $1.95 \pm 0.7$ ,  $15.3 \pm 19$ , and  $0.35 \pm 0.13$   $\mu\text{g/L}$  respectively. All data are publicly available (McDonough et al. 2016).

## Extrapolation Factor U.s./eu

For comparison to the U.S. monitoring data an U.S./EU extrapolation factor was established. This factor was used to adjust for demographic and possible technological differences between the U.S. and EU situations. This extrapolation factor was based on three key indicators: U.S.:EU per capita chemical use (AS), U.S.:EU per capita water use, and U.S.:EU WWTP efficiency ratio.

Consumption of Alkyl Sulphate in the U.S. (as established in the Waterborne Report) was equated with the manufactured tonnages in the EU (as established in the REACH consortium by a confidential survey among the EU manufacturers). To calculate an average U.S.:EU per capita chemical use ratio, the collected tonnages (U.S.: 96,000 t/a (IHS, 2016); EU: 178,431 t/a) were divided by the number of inhabitants. Assuming  $\sim 327$  Million inhabitants (2018) for the U.S. (IMF, 2019) and  $\sim 512$  Million inhabitants (2018) for the EU (Eurostat, 2018). In the U.S. each inhabitant uses on average 294 g AS per year (0.81 g/day), whereas in the EU, each inhabitant uses 348 g AS per year (0.95 g/day). Therefore, for the U.S.:EU per capita chemical use a ratio of 1:1.2 was established, i.e. an EU inhabitant uses 1.2 times more AS than an U.S. inhabitant.

Per capita water use in the U.S. were taken from the US EPA Clean Watersheds Needs Survey 2012 (USEPA, 2016). The survey included data from over 13,000 municipal WWTPs in the U.S. With the iSTREEM model (ref) the water per capita use was calculated by dividing the facility flow by the population served. The discharge rate varied considerably between the different facilities. The median per capita use of 406 L/day, which was considered as the most representative, was used for the U.S.:EU comparison. The EUSES model considers as a standard input 200 L per capita per day. This amount is slightly higher than the estimated weighted European Union average of 186 L/capita/day (Waterborne, 2018) by using data for each member state. Nevertheless, the value of 200 L/capita/day was considered as reasonable for the U.S.:EU per capita ratio. 200 L per capita per day (EU) compared to 406 L per capita per day (U.S.) resulted in an U.S.:EU ratio of  $\sim 2:1$ . In other words, in Europe the WWTP effluents are 2 times more concentrated compared to the U.S..

In general, most of the existing WWTPs in the EU (88.7%, Struijs, 2014) and the U.S. (86% according to Kapo, 2015) use and rely on the activated sludge process for domestic waste water treatment, where microorganisms mineralize non-recalcitrant organic pollutants to water and carbon dioxide, or degrade/transform them to acceptable forms, like microbial biomass (EC, 2012, The World Bank, 2015; Milieu WRC RPA, 2013). For the EU and the U.S. an equivalent treatment efficiency was assumed (U.S.:EU = 1).

Overall, U.S.:EU extrapolation factor of 1.2 (per capita AS consumption) x 2.0 (dilution in effluent) x 1.0 (WWTP efficiency) = 2.4 was estimated for the comparison. Thus, one would expect 2.4 times higher concentrations in the EU  $C_{local\,effluent}$  then reported by McDonough et al. (2016) for the U.S. effluents.

## Modelling Approach

$C_{local\,effluent}$  concentration were calculated by specific environmental release categories (spERCs) from industry associations (use maps) whenever applicable. For applications with no spERCs, the default release factors for the environmental release categories (ERC) were used (ECHA, 2016).

EUSES was used for the modelling of the EU concentrations in the STP effluent ( $C_{local\,effluent}$ ). Although under EU REACH only the individual annual production volumes per legal entity per manufacturer are used as the basis for the environmental exposure and risk assessment, in this project the total combined production volume of AS (as such and as a component of AES) was used for EUSES modelling.

EUSES is the standard Tier I tool for exposure calculations of industrial chemicals. The tool forms the basis for all further exposure modelling tools developed within the context of EU REACH like ECETOC TRA, Chesar and EasyTRA (EasyTRA is a commercial software offered by Jansen-Systems, 42799 Leichlingen, Germany).

For the estimation of the AS  $C_{local\,effluent}$  concentration in the EU, the EasyTRA tool by Jansen Systems GmbH was used. In cooperation with Jansen-Systems GmbH an extension of the tool was developed to display the average  $C_{local\,effluent}$  concentrations directly.

The default EUSES daily discharge rate of 2000 m<sup>3</sup>/d for a municipal STP (200 L wastewater per capita for a population of 10,000 inhabitants) remains unchanged for the  $C_{local\,effluent}$  calculations (ECHA, 2016).

For each chain length (C12, C14, C16) a substance data set was generated in the tool. The environmental behaviour of the AS surfactants, the physico-chemical properties: molecular weight, vapour pressure, water solubility, partition coefficient and adsorption of the representative homologues AS C12, Na (CAS No. 151-21-3); AS C14, Na (CAS No. 1191-50-0) and C16, Na (CAS No. 1120-01-2) were taken into account (Table 3).

**Table 3 Substance properties (taken from the REACH dossiers)**

Physico chemical properties Homologues	Molecular weight [g/mol]	Vapour pressure [Pa]	Water solubility [g/l]	Partition coefficient [log Kow]	Adsorption coefficient [log Koc]
AS C12, Na CAS: 151-21-3	288.38	0.18	130	-2.03	2.5
AS C14, Na CAS: 1191-50-0	316.43	<10	~ 100	2.19	3.13
AS C16, Na CAS: 1120-01-0	344.49	<20	1.54	2.19	3.515

Further, substance-specific biodegradation rates were used in a second step, where appropriate, e.g. increased biodegradation rates (192 per day instead of the default of 24 per day for STP) to evaluate, if this higher tier approach leads to more realistic predictions.

So, for each C-chain a  $Clocal_{effluent}$ -value was obtained. In the end, the three  $Clocal_{effluent}$ -value were summed to yield an overall AS  $Clocal_{effluent}$ -value, which is then compared to the US monitoring result.'

The uses for the assessment were based on information by the sector associations use maps and were distributed amongst the sectors and the single uses as described in point 'use pattern'. For industrial sites no further split was made to estimate the local site tonnage.

For the estimation of the local amount for widespread uses, the EUSES model already considers a default fraction of the main local source of  $5.5E-7$  year/day to break down the overall tonnage per application to the amount used at the local scale. This reduction factor includes the fraction of the "tonnage for the use" used in the region (0.1), the fraction of the regional tonnage (0.0005) used in the standard town (10,000 inhabitants) compared to the number of inhabitants in the region (20,000,000 inhabitants) and the number of days in a year (365 days). An additional default safety factor of 4 is applied by EUSES to cover temporary peaks. Based on the information from the specific environmental release categories (spERCs) for AISE and Cosmetics Europe a fraction of EU tonnage used in region of 0.04 (AISE) or 0.053 (Cosmetics Europe) and a fraction of the regional tonnage used locally of 0.00075 without a safety factor for seasonal peaks was used (Price et al., 2010).default

ASs are readily biodegradable according to the OECD criteria (see REACH dossiers). Solely based on the substance properties modelled elimination rates by SimpleTreat (Struijs, 2014) showed for all AS chain lengths an elimination in the sewage treatment plant (STP) greater than 90%. Representative AS degradation simulation studies Potoms (1992) and Federle & Itrich (1996a, 1996b) indicated higher (8x) degradation rates compared to the default assumptions used in SimpleTreat model predictions. Therefore, in accordance with the 2010 EU REACH registration dossiers, a degradation rate of 192 per day instead of 24 per day was used in the tier 2 step for the  $Clocal_{effluent}$  estimation.

The  $ClocaI_{effluent}$  estimation for the default – and for the AS specific (tier 2) degradation rates were used for benchmarking against the U.S. monitoring data. Furthermore, for the actual modelling purposes of  $ClocaI_{effluent}$  in the EU, only the  $ClocaI_{effluent}$  from widespread consumer and professional uses were considered. Uses which do not lead to emissions to WWTPs, i.e. direct application to soil, were not further considered for the  $ClocaI_{effluent}$  calculation.

The restriction to the widespread consumer used is scientifically justified, as only the emissions from widespread consumer uses are relevant for all EU WWTPs. Only the  $ClocaI_{effluent}$  from widespread consumer uses is directly comparable to the  $ClocaI_{effluent}$  established by McDonough et al. 2016. In other words, inclusion of the industrial end uses, which are generally considered point source emissions, would result in seemingly higher average emissions. Regarding the working hypothesis of this paper (EUSES tier 1 calculations result in unrealistic high  $ClocaI_{effluent}$  values), exclusion of industrial end uses is the worst-case scenario, as it tends to reduce the overestimation of  $ClocaI_{effluent}$  by EUSES. Therefore, it was decided to limit the  $ClocaI_{effluent}$  assessment to the widespread consumer uses.

Limitation to the widespread consumer uses covers 118,034 t compared to the total use amount of 178,400 t. Uses with direct application to soil i.e. plant protection products (ECPA) and fertilizers (Fertilizer Europe), were not considered for the  $ClocaI_{effluent}$  calculation. These uses without connection to any STP account for 20,145 t of AS. Overall, the tonnage assessed for the  $ClocaI_{effluent}$  evaluation was 97,889 t (~ 100,000 t). A qualitative sensitivity analysis of the effect of this simplification on the general conclusion of this publication is given in the Results and discussion sections below.

## Results And Discussion

Collection of AS volumes was conducted within the REACH consortium by means of a trustee, in order to comply with the EU competition law. As AS comprise a significant proportion of AES (from incomplete ethoxylation reaction, i.e.  $EO = 0$ ), volumes were also collected for AS as constituents of AES. Data collection was limited to EU REACH phase 1 (production volume per manufacturer per year > 1,000 t) and phase 2 (100-1,000 t) registered substances. A total maximum volume (2016) handled annually in Europe of 178,400 t AS was established. This volume comprised ~ 128,200 t C12 AS, ~ 44,500 t C14 AS and ~ 5,700 t C16 AS homologues. Assignment of the proportion of AS to the different uses was performed according to the use pattern of C12 AS, volume-wise this is the most important AS, assuming equal use patterns for all AS homologues. The EUSES calculation was limited to widespread (professional and consumer) uses which are connected to WWTP, covering a total volume of ~ 100,000 t AS homologues.

This widespread volume comprised 70,361 t C12 AS, 24,403 t C14 AS and 3124 t C16 AS homologues. From the EUSES calculation, a total  $ClocaI_{effluent}$  for the widespread uses of 44.6 µg/L (Table 4) was obtained. C12 AS contributed around 74% of the total  $ClocaI_{effluent}$  concentration. C14 AS had a share of 23%. The impact of C16 AS was minor with only a share of 3% of the total concentration.

Table 4  
 Clocal<sub>effluent</sub> (Default SimpleTreat degradation rates) modelling results EUSES for C12, C14, C16

	<b>Total tonnage (t)</b>	<b>Total Clocal<sub>eff.</sub> (µg/L)</b>	<b>AISE tonnage (t)</b>	<b>AISE Clocal<sub>eff.</sub> (µg/L)</b>	<b>Cosmetics Europe tonnage (t)</b>	<b>Cosmetics Europe Clocal<sub>eff.</sub> (µg/L)</b>	<b>Other sectors tonnage (t)</b>	<b>Others sectors Clocal<sub>eff.</sub> (µg/L)</b>
C12	70,361	242.9	27,581	154	20,686	81	22,094	7.85
C14	24,402	83.5	9,566	50.5	7174	30.2	7662	2.73
C16	3,125	8.6	1,225	5.2	919	3.1	981	0.28
Total	97,889	335	38,362	209.7	28,779	114.3	30,737	10.86

Table 5  
 Clocal<sub>effluent</sub> (AS specific degradation rates) modelling results EUSES for C12, C14, C16

	<b>Total tonnage (t)</b>	<b>Total Clocal<sub>eff.</sub> (µg/L)</b>	<b>AISE tonnage (t)</b>	<b>AISE Clocal<sub>eff.</sub> (µg/L)</b>	<b>Cosmetics Europe tonnage (t)</b>	<b>Cosmetics Europe Clocal<sub>eff.</sub> (µg/L)</b>	<b>Other sectors tonnage (t)</b>	<b>Others sectors Clocal<sub>eff.</sub> (µg/L)</b>
C12	70,361	33	27,581	19.8	20,686	12.2	22,094	1
C14	24,402	10.4	9,566	6.3	7174	3.8	7662	0.3
C16	3,125	1.19	1,225	0.7	919	0.4	981	0.04
Total	97,889	44.6	38,362	26.8	28,779	16.4	30,737	1.34

Household/ cleaning and personal care products (AISE and Cosmetics Europe) made the highest tonnage contribution and by far the highest Clocal<sub>effluent</sub> concentration. In both sectors AS are mainly used in down-the-drain/wash-off products with a considered release of 100% into the municipal/domestic sewage system. Both sectors, independent of the degradation rates, together contributed ~ 97% of the total Clocal<sub>effluent</sub> concentration but with only 69% of the tonnage.

The household/ cleaning sector accounted for 209 µg/L (default degradation rates) and 26,8 µg/L (AS specific degradation rates) (C12 AS: 154 µg/L / 19,8 µg/L; C14 AS: 50.5 µg/L / 16,3 µg/L; C16 AS: 5.2 µg/L / 0,7 µg/L), i.e. about 60% of the total Clocal<sub>effluent</sub> concentration. The personal care sector accounted around for 37% of the total Clocal<sub>effluent</sub> concentration with only 29% of the total tonnage. All other sectors as described in Table 2 showed only a negligible impact on the Clocal<sub>effluent</sub> concentrations. With 31% of the total tonnage, the EUSES calculation estimated Clocal<sub>effluent</sub> concentrations of 10.86 µg/L and 1.34 µg/L, which reflects only 3% of the total Clocal<sub>effluent</sub> concentration. The usage of AS in these sectors will not lead to an effluent release of 100%. AS from technical application will be mainly disposed as waste after usage (e.g. motor oil).

The eight times higher degradation rates for AS (192 per day compared to 24 per day) resulted in around  $335 \mu\text{g/L} / 44.6 \mu\text{g/L} \approx 7.5$  times lower total  $\text{Clocal}_{\text{effluent}}$  concentrations.

The study "Evaluation of anionic surfactant concentrations in U.S. effluents and probabilistic determination of their combined ecological risk in mixing zones" published by McDonough et al. (2016) included a comprehensive U.S. monitoring dataset (i.e. average local WWTP effluent concentrations) for high-volume anionic surfactants including AS and AES. These data serve as an independent benchmark for the calculated EU average local WWTP effluent concentrations. The U.S. monitoring data show a mean effluent concentration of  $4.24 \mu\text{g Alkyl Sulfates/L}$  ( $\sum$  C12, C14, C16 homologues). Taking into account the different annual per capita AS use (including AS from AES) in the U.S. (295 g) and the EU (348 g), the daily per capita water use (EU 200 L, U.S. 408 L), and the WWTP efficiency in the EU and the U.S. (comparable), a U.S. to EU adjustment factor of 2.4 has been established. Application of the adjustment factor to the U.S. monitoring data results in a calculated EU mean effluent concentration of  $10.18 \mu\text{g Alkyl Sulfates/L}$  ( $\sum$  C12, C14, C16 homologues). Modelled concentrations are expected to be in that range.

Benchmarking the EU adjusted U.S. monitoring data with the modelled exposure concentrations, a difference between the modelling and the monitoring became clear. Comparing the modelled effluent concentration ( $\sum$  C12, C14, C16 homologues) of  $335 \mu\text{g/L}$  and  $44.6 \mu\text{g/L}$  with the adjusted U.S. monitoring data =  $10.18 \mu\text{g/L}$ , showed that the EUSES modelled concentration with the default degradation rates was 32.9 times higher than the adjusted monitoring data. Modelling with AS specific degradation rates resulted in 4.4 times higher  $\text{Clocal}_{\text{effluent}}$  concentrations. Even the  $\text{Clocal}_{\text{effluent}}$  concentration of individual sectors, household/cleaning sector ( $26.8 \mu\text{g/L}$ ) and personal care sector ( $16.4 \mu\text{g/L}$ ), was about twice as high as the monitoring data despite the AS specific degradation rates.

Both, the adjusted monitoring data and the modelling approach are subject to considerable uncertainties. It could be questioned if the monitoring data from U.S. could be simply transferred to the EU by applying an adjustment factor of 2.4 based on the substance use rate, per capita water use and WWTP efficiency. A recent expert report from a German sewage treatment plant (STP) effluent monitoring program sponsored by the German Environment Agency (Freeling et al., 2019) reported an average effluent concentration of  $0.6 \mu\text{g/L}$  and a maximum effluent concentration of  $1.9 \mu\text{g/L}$  for AES. The reported concentration was slightly lower than the U.S. monitoring data for AES ( $\emptyset \text{Clocal}_{\text{effluent}} = 1.95 \pm 0.7 \mu\text{g/L}$ ) reported by McDonough et al. (2016). Although the monitoring data relates exclusively to STPs in Germany, they support the low digit  $\mu\text{g/L}$  concentration of the U.S. monitoring data. Based on the German STP monitoring data, even lower concentrations of the  $\text{Clocal}_{\text{effluent}}$  could be expected for AS compared to the ones derived from the adjusted U.S. monitoring data.

The EUSES modelling has several uncertainties due to a lack of information. The tonnage collection was based on a top down approach. Tonnage was collected from all high tonnage ( $> 100 \text{ t/y}$ ) AS and AES registrants. Missing information was covered with an average amount from all lower ton registrants. Any exports or low tonnage imports ( $< 100 \text{ t/y}$ ) by subsequent downstream users were not be considered to

limit the effort. Regarding the working hypothesis of this paper (EUSES tier 1 calculations result in unrealistic high  $C_{local\_effluent}$  values), the exclusion of imported AS quantities and the limitation to tier 1 and 2 registered volumes is a worst-case scenario, as it tends to reduce the overestimation of  $C_{local\_effluent}$  values by EUSES. Further, the tonnage distribution in the single sectors was based on the C12 AS, Na (151-21-3) registration dossier in 2010 with a general tonnage split between the use patterns. The focus of the EUSES modelling was on the widespread uses (professional and consumers) only, although it is common that industrial application as well as widespread uses discharge into a municipal STP.

However, due to the missing information on the number of point sources connected to industrial sites, no robust tonnage split (fraction of the main local source) for industrial uses and formulation could be considered. Consequently, the EUSES modelling, applying a worst case, would set by default the local tonnage at an industrial site equal to the regional tonnage. Due to the combined tonnage collection from all registrants it was not realistic to assume that the regional amount for one application type would be used at a single site. In this case, such a default assumption, resulting in an unrealistically high overestimation, would not be compatible with the  $\emptyset C_{local\_effluent}$  comparison. For widespread uses the EUSES calculation circumvents the problem of the local use amount by applying the default fraction of the main local source ( $5.5E-7$ ) and the specific fractions for AISE ( $8.2E-8$ ) and Cosmetics Europe ( $1.1E-7$ ). Therefore, the focus of the comparison was on the widespread uses solely, as only these uses can be assumed to be evenly distributed between all WWTP in Europe. The amount of  $\sim 100,000$  t ( $\sum$  C12, C14, C16 homologues) for widespread uses connected to a WWTP was considered as exemplary for the  $C_{local\_effluent}$  estimation.

It is a well-known fact that AS are readily and rapidly biodegradable substances. Studies have shown, that biodegradation rates in reality are around eight times higher (Potoms,1992; Federle & Itrich 1996a, 1996b) than the degradation rates estimated by the SimpleTreat model based on the substance properties. Using the default SimpleTreat degradation rates would lead to an unrealistic overestimation of the  $C_{local\_effluent}$  concentrations. The default degradation rate of 24 per day may be applicable for some readily biodegradable substances but for readily and rapidly biodegradable substances like AS, the modelling showed that the degradation rates are too conservative. This is confirmed by McAvoy et al. (1998) and Freeling et al. (2019), which both found equally high elimination rates for activated sludge treatment ( $\sim 98\%$ ), as we assumed in the tier 2 modelling step.

Although there are clearly numerous assumptions and simplifications in this assessment, qualitative uncertainty analysis show, that all assumptions and simplifications introduced generally tend to reduce the overestimation of  $C_{local\_effluent}$  by EUSES. Regarding the working hypothesis of this paper (EUSES tier 1 calculations result in unrealistic high  $C_{local\_effluent}$  values), this corresponds to the worst-case scenario.

Overall, the described approach was considered as reasonable worst case for the  $C_{local\_effluent}$  comparison.

## Conclusions

The evaluation showed that under the applied conditions, the EUSES calculation over-predicts the environmental exposure of AS in wastewater effluent discharges by a factor of > 32 (EUSES default biodegradation rates) and > 4 (substance specific biodegradation rates as applied for exposure calculations in the EU REACH tier 1 registration dossiers). One possible explanation can be attributed to the fact, that default biodegradation rates assumed in the SimpleTreat module of EUSES are unrealistically low for readily and rapidly biodegradable substances such as AS.

Taking into consideration the fact that only EU REACH registered AS  $\geq 100$  t/y (including AES containing AS) were considered and that only widespread uses were included in the EUSES calculation and that the assessment was conducted with substance-specific – 8 times higher biodegradation rates, the overestimation of the exposure by a factor of 4 is still conservative.

## Declarations

### Ethics approval and consent to participate

Not applicable

### Consent for publication

Not applicable

### Availability of data and material

The tailored EasyTRA version, displaying the average  $C_{local,effluent}$  concentrations directly, all the EasyTRA files used in the context of the publication, as well as the Waterborne report (2018) will be provided by ERASM upon request.

### Competing interests

Although the authors (except KS and OS) work for different companies, which are competitors in the market, all authors declare that they have no competing interests regarding the content and the conclusions of this publication.

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### Authors' contributions

AW was the project leader of the ERASM Reach Reality Check project. He coordinated the project and acted as focal point the communication to the consultant involved (Knoell Germany GmbH). MB, JD, DK,

KMD, DS, KS, OS, JW and AW contributed with scientific expertise to the project and the publication. OS and AW drafted the manuscript. All authors read and approved the final manuscript.

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[1] \*ERASM = Environmental Risk Assessment and Management. *ERASM* is a joint research initiative between the industry associations *CESIO* (*surfactants*) and AISE (*detergents*).

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## Figures

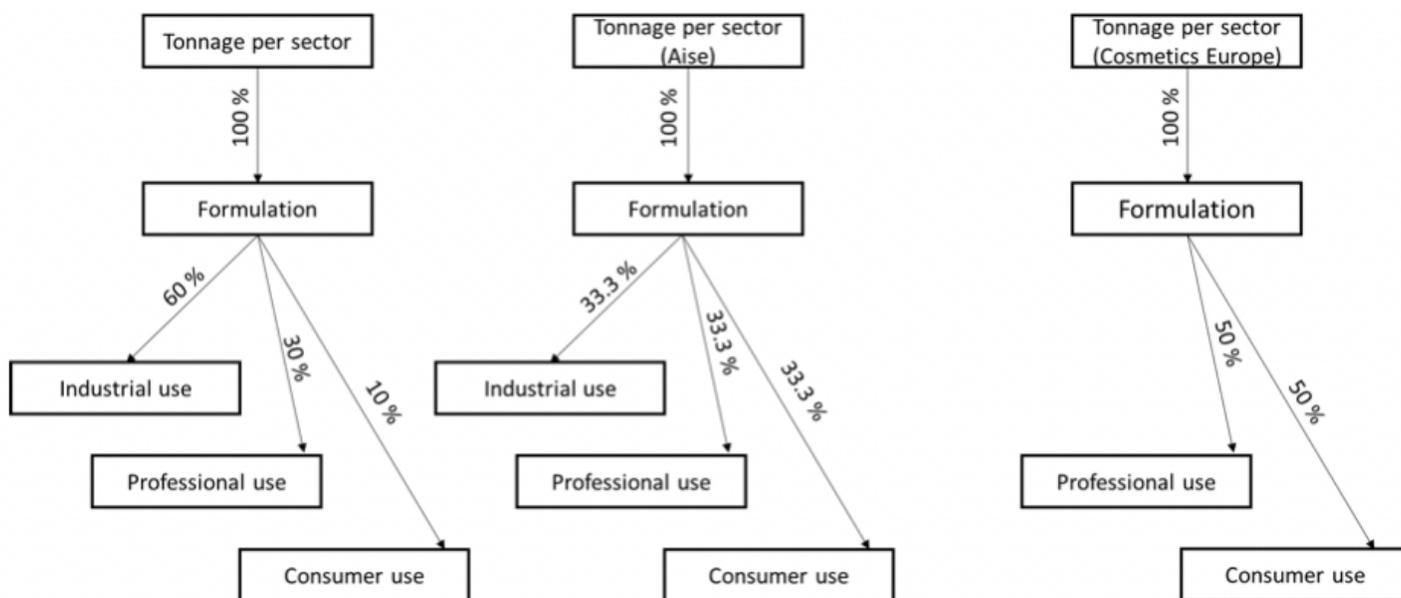


Figure 1

Tonnage distribution approach for the different sector associations (use maps)

## Supplementary Files

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